

Wolfdieter Lang, May 18 2007

Rationals $r(n) = A006232(n)/A006233(n)$

e.g.f: $1/(\ln(1+x)/x)$

$r(n)$: $n=0..30$:

[1, 1/2, -1/6, 1/4, -19/30, 9/4, -863/84, 1375/24, -33953/90, 57281/20,
-3250433/132, 1891755/8, -13695779093/5460, 24466579093/840, -132282840127/360,
240208245823/48, -111956703448001/1530, 4573423873125/4, -30342376302478019/1596,
56310194579604163/168, -12365722323469980029/1980, 161867055619224199787/1320,
-20953816286242674495191/8280, 4380881778942163832799/80,
-101543126947618093900697699/81900, 192060902780872132330221667/6552,
-1092286933245454564213092649/1512, 2075032177476967189228515625/112,
-1718089509598695642524656240811/3480, 1092041494691940355778302728249/80]

This sequence of signed rationals $r(n)$ (called Cauchy numbers of the first kind in OEIS) coincides with the so called a-sequence (see below) for the Sheffer (in this case Jabotinsky) matrix Stirling2 A048993.

This sequence $r(n) = a(n)$ determines a recurrence relation for $S2(n,m)$ using all entries in the previous row numbered $n-1$:

$$S2(n,m) = (n/m)*\sum(\text{binomial}(m-1+j,j)*a(j)*S2(n-1,m-1+j),j=0..n-m), n \geq 1, m \geq 1.$$

$$\text{E.g.: } 3 = S2(3,2) = (3/2)*(1*1*1 + 2*(1/2)*1) = 3;$$

$$7 = S2(4,2) = (4/2)*(1*1*1 + 2*(1/2)*3 + 3*(-1/6)*1) = 7.$$

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Introduction to A- and Z- sequences for Riordan matrices and a- and z- sequences for Sheffer matrices

(special lower triangular infinite matrices):

The A- and Z-sequences for Riordan matrices are considered in the papers:

D.G. Rogers, Pascal Triangles, Catalan Numbers and Renewal Arrays, Discrete Math. 22(1978)301-310,

D. Merlini, D.G. Rogers, R. Sprugnoli and M.C. Verri, On some alternative characterizations of Riordan

arrays, Can. J. Math, 49(1997)301-320,

R.Sprugnoli, Riordan arrays and combinatorial sums, Discrete Math. 132(1994)267-290.

For Riordan matrices and the Riordan group see the paper:

L.V. Shapiro, S. Getu. W.-J.Woan, and L. Woodson, The Riordan Group, Discrete Appl. Math. 34(1991)229-239.

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Summary on A- and Z-sequences for Riordan matrices:

A Riordan matrix $R=(G,F)$ (in our notation) with an o.g.f. $G(x)$ with $G(0)=1$ and an invertible o.g.f.

$F(x)=x*\hat{F}(x)$ with $\hat{F}(0)=1$ is defined by its matrix elements $R(n,m):=[(x^n)] G_m(x)$ with the o.g.f.

for column nr. $m \geq 0$ given by $G_m(x) = G(x)*F(x)^m = G(x)*(x*\hat{F}(x))^m$.

The o.g.f. of the row polynomials $R(n,x) := \sum(R(n,m)*x^m, m=0..n)$ is

$$R(z,x) := \sum(R(n,x)*(z^n)) = G(z)/(1-x*z*\hat{F}(z)).$$

A Riordan matrix (coefficient matrix of the polynomials) is infinite lower triangular: $R(n,m)=0$ if $n < m$.

Every Riordan matrix satisfies the following recurrence relations:

(a) For the first column $m=0$ numbers:

$$R(n,0) = \sum(Z(j)*R(n-1,j), j=0..n-1), \quad n \geq 1; \quad R(0,0) := 1 \text{ (by convention).}$$

(b) For the columns $m \geq 1$:

$$R(n,m) = \sum(A(j)*R(n-1,m-1+j), j=0..n-m), \quad n \geq 1, \quad m \geq 1.$$

The o.g.f.s for the Z- and A-sequences are obtained from G and F of the Riordan matrix as follows:

$A(y) := \sum(a(j)*y^j, j=0..infty) = \hat{F}(F_{inv}(y)) = y/F_{inv}(y)$ with $F(x)=x*\hat{F}(x)$ and F_{inv} is the compositional inverse of F.

$$Z(y) := \sum(z(j)*y^j, j=0..infty) = (1 - 1/G(F_{inv}(y)))/F_{inv}(y).$$

Conversely, the o.g.f.s G and F of the Riordan matrix R are determined from the o.g.f.s A(y) and Z(y) as follows. First, $\hat{F}(x)=A(F(x))$ is used to either find f(x) directly from a(y) or a corollary to Lagrange's inversion theorem is employed to give $F_j := [x^j]F(x) = \text{diff}(A(t)^n, t^{n-1})|_{t=0}$, $n \geq 1$ and

$$F(0) := 0.$$

Then G(x) is found from $G(x) = 1/(1-Z(F(x)))$.

The proof works for both directions. See the quoted references and the hints given below for the Sheffer case.

Example: Pascal's triangle A007318 $R=P=(G(x)=1/(1-x), F(x)=x/(1-x))$ with the A-sequence generated by

$A(y) = \text{Fhat}(\text{Finv}(y)) = 1+y$ and the Z-sequence generated by $Z(y)=1$.

This leads to the obvious recurrences for $P(n,m)$ and $P(n,0)$.

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a- and z-sequences are the analoga of A- and Z-sequences for Sheffer matrices.

For Sheffer matrices (polynomials) and the Sheffer group see the book:

S. Roman, Umbral calculus, Academic Press, 1984.

The notation $(g=gR, f=fR)$ of this book translates as follows to our notation $S=(g, f)$ for a Sheffer matrix:

$gR(t) = 1/g(\text{finv}(t))$, $fR(t) = \text{finv}(t)$, with the compositional inverse $\text{finv}(t)$ of $f(x)$.

Conversely, $g(x) = 1/gR(fR\text{inv}(x))$, $f(x) = fR\text{inv}(x)$, with the compositional inverse $fR\text{inv}(x)$ of $fR(t)$.

For the subgroup of the Sheffer group $(1, f)$ called Jabotinsky subgroup, see the paper:

D. E. Knuth, Convolution polynomials, The Mathematica J., 2(1992)67-78.

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A Sheffer matrix $S=(g, f)$ with e.g.f. $g(x)$ with $g(0):=1$ and an invertible e.g.f. $f(x)$ with $f(0)=0$

is defined by its matrix elements $S(n,m) := [(x^n)/n!] g_m(x)$ with the e.g.f. for column No. $m \geq 0$ given

by $g_m(x) = g(x)(f(x)^m/m!)$.

The e.g.f. of the row polynomials $s(n,x) := \sum(S(n,m) * x^m, m=0..n)$ is

$s(z,x) := \sum(s(n,x) * (z^n)/n!) = g(z) * \exp(x * f(z))$.

A Sheffer matrix (coefficient matrix of the polynomials) is infinite lower triangular: $S(n,m)=0$ if $n < m$.

Every Sheffer matrix satisfies the following recurrence relations:

(a) For the first column $m=0$ numbers:

$S(n,0) = n * \sum(z(j) * S(n-1,j), j=0..n-1)$, $n \geq 1$; $S(0,0) := 1$ (by convention).

(b) For the columns $m \geq 1$:

$$S(n,m) = (n/m) * \sum(\text{binomial}(m-1+j, m-1) * a(j) * S(n-1, m-1+j), j=0..n-m), n \geq 1, m \geq 1.$$

The e.g.f.s for the z- and a-sequences are obtained from g and f of the Sheffer matrix as follows:

$$a(y) := \sum(a(j) * (y^j) / j!, j=0..infty) = \text{fhat}(\text{finv}(y)) = y / \text{finv}(y) \text{ with } f(x) = x * \text{fhat}(x) \text{ and } \text{finv} \text{ is the}$$

compositional inverse of f.

$$z(y) := \sum(z(j) * (y^j) / j!, j=0..infty) = (1 - 1/g(\text{finv}(y))) / \text{finv}(y).$$

Conversely, the e.g.f.s g and f of the Sheffer matrix S are determined from the e.g.f.s a(y) and z(y) as

follows. First, $f(x) = x * a(f(x))$ is used to either find directly f(x) from a(y) or a corollary to Lagrange's inversion theorem is employed to give

$$f_j := [(x^j) / j!] f(x) = \text{diff}(a(t)^n, t^{(n-1)} |_{t=0}), n \geq 1 \text{ and } f(0) := 0.$$

$$\text{Then } g(x) = 1 / (1 - z(f(x))).$$

The proof works for both directions.

(a) Insert the recurrence for $S(n,0)$ into $g(x) = 1 + \sum(S(n,0) * (x^n) / n!, n=1..infty)$,

interchange the sums (formal power series here), building the e.g.f. $g_j(x)$ and use its Sheffer structure. This produces $g(x) = 1 + x * g(x) z(f(x))$. From this one finds $g(x) = 1 / (1 - x * z(f(x)))$ or

$$z(y) = (1 - 1/g(\text{finv}(y))) / \text{finv}(y).$$

This argument can be reversed.

(b) Insert the recurrence for $S(n,m)$ into

$$g_m(x) = 0 + \sum(S(n,m) * (x^n) / n!, n=1..infty),$$

interchange the sums (formal power series), finding the e.g.f. $g_{m-1+j}(x)$ and use its Sheffer structure. The factorials are rearranged to produce $g_m(x) * (x * a(f(x))) / f(x)$. This shows that

$$a(f(x)) = \text{fhat}(x) \text{ with } \text{fhat}(x) = f(x) / x.$$

This argument can also be reversed.

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Note: These recurrences (a) and (b) are not always the simplest one for $S(n,m)$.

E.g. Stirling2 = A048993, which has $z(y) = 0$ from $g(x) = 1$ (this is what one expects for the first $m=0$ column) but $\text{finv}(y) = \ln(1+y)$ leading to $a(y) = 1 / (\ln(1+y) / y)$, which generates the sequence $A006232(n) / A006233(n)$. Hence all entries of the previous row starting with $S_2(n-1, m-1)$ are needed for $S_2(n, m)$.

The usual recurrence used for $S_2(n, m)$ needs only to terms of the previous row. See the recurrence for Sheffer polynomials given as next item.

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There is also a recurrence for the row polynomials $s(n,x) := \sum(S(n,m)*x^m, m=0..n)$ for every Sheffer matrix $S=(g,f)$. In the general case it uses formal series expansion employing a corollary of Legendre's inversion theorem.

$$s(n,x) = (x+(\ln(g(\text{finv}(t))))')/\text{finv}'(t)|_{\{t \rightarrow d_x\}} s(n-1,x), n \geq 1; s(0,x)=1.$$

Here ' denotes derivative w.r.t. t, finv is the compositional inverse of f and $d_x=d/dx$ is the derivative w.r.t. x (powers of t should to be replaced by powers of d_x).

This formula is the rewritten version of S. Roman's book (op. cit.) p. 50, Corollary.

The proof uses the fact that $\text{finv}(d_x) s(z,x) = \text{finv}(f(z)) s(z,x) = z s(z,x)$ with the e.g.f. $s(z,x)$ for the

row polynomials given above, and $d_x=d/dx$ is the derivative w.r.t. x. This follows from $\text{del}_x^k s(z,x) = f(z)^k s(z,x)$ together with $\text{del}_z s(z,x) = (\ln(g(z))' + x*f'(z))*s(z,x)$ with ' denoting differentiation w.r.t. z, and del_x , resp. del_z stands for the partial derivative w.r.t. x, resp. z.

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In the Stirling2 case, with $\text{finv}(t)=\ln(1+t)$ and $g(t)=1$ this recurrence becomes

$$S2(n,x) = x*(1 + d_x)*S2(n-1,x), n \geq 1, S2(0,x)=1, \text{ with the row polynomials } S2(n,x) := \sum(A048993(n,m), m=0..n).$$

Comparing coefficients of powers of x leads to the known three term recurrence

$$S2(n,m) = S2(n-1,m-1) + m*S2(n-1,m). \text{ The inputs are: } S(0,0)=1, S(n,-1)=0 \text{ and } S(n,m)=0 \text{ if } n < m.$$

e.o.f.