

# Quality Progress Report (QPR) For Iowa FFY 2021

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## 1) Overview

To gain an understanding of the availability of child care in the State/Territory please provide the following information on the total number of child care providers. Please enter N/A when necessary.

### 1.1 State or Territory Child Care Provider Population

Enter the total number of child care providers that operated in the state or territory as of September 30, 2021. These counts should include all child care providers, not just those serving children receiving CCDF subsidies. Please enter N/A when necessary.

Licensed center-based programs

# 1522

N/A.

Describe:

License exempt center-based programs

#

N/A.

Describe:

Iowa does not have license-exempt center-based child care programs

Licensed family child care

# 2417

N/A.

Describe:

License-exempt family child care (care in providers' home)

# 88

N/A.

Describe:

In-home (care in the child's own home)

# 91

N/A.

Describe:

Other.

Explain

N/A

## 1.2 Goals for Quality Improvement

**Based on Question 7.1.1 and 7.1.2 from the FFY2019-2021 CCDF State Plan, please report your progress on the State or Territory's overarching goals for quality improvement during October 1 to September 30 of the last federal fiscal year. You may include any significant areas of progress that were not anticipated in the Plan, as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible.**

The Lead Agency's overarching goals were implementation of the new QRIS system and supporting provider's participation in the QRIS system. In regard to the first goal the Lead Agency is happy to report that a rollout date for our new QRIS system, called Iowa Quality 4 Kids (IQ4K) has been set for April 2022. This is the culmination of many years of work by Iowa's Quality Rating System Oversight Team who guided the redesign of requirements, the Lead Agency's information technology team who built a digital system to accommodate the new QRIS and many stakeholders that have contributed time to provide feedback and support.

The Lead Agency's Quality Program Manager and CCR&R are hard at work on the second goal of supporting participation in the new QRIS system. They are working with a marketing firm to promote the new QRIS system and developing guidance manuals and tools to help child care programs understand the new system.

## 2) Supporting the training and professional development of the child care workforce

*Goal: Ensure the lead agency's professional development systems or framework provides initial and ongoing professional development and education that result in a diverse and*

*stable child care workforce with the competencies and skills to support all domains of child development. Please select N/A when necessary.*

## **2.1 Lead Agency Progression of Professional Development**

2.1.1 Did the lead agency use a workforce registry or professional development registry to track progression of professional development during October 1 to September 30 of the last federal fiscal year?

Yes.

If yes, describe:

Iowa's Early Childhood and School Age Professional Workforce Registry, known as i-PoWeR is an online system managed by the Lead Agency. Organizations that provide approved professional development opportunities to Iowa child care providers list their classes, series and conferences on the system. Accounts on the system are free and child care providers enroll in the trainings through the system. When participants complete each course the professional development providing organization verifies their completion with attendance. A training history is comprised of all classes and series that were successfully completed and verified by the professional development providing organization.

No.

If no, what alternative does the lead agency use to track the progression of professional development for teachers/providers serving children who receive CCDF subsidy? Describe:

2.1.2 Are any teachers/providers required to participate?

Yes.

If yes, describe:

While teachers or providers are not required by regulation to have an account, staff that work in licensed centers, registered child development homes and non-registered homes that accept CCDF are required to have preservice/orientation training. Enrollment for this training occurs on the Iowa child care provider registry and staff must have an account to enroll in the training.

No.

If no, describe:

2.1.3 Total number of participants in the registry as of September 30, 2021 # 83433

**2.2 What supports did the lead agency make available to teachers/providers to help them progress in their education and professional pathway between October 1 and September 30 of the last federal fiscal year (check all that apply)? If available, how many people received each type of support?**

Scholarships (for formal education institutions)

# 263

Financial bonus/wage supplements tied to education levels

# 761

Career advisors, Mentors, Coaches, or Consultants

# 61.41

Reimbursement for training

#

Loans

#

Substitutes, sick/annual leave, release time, etc. for professional development

#

Other.

Describe:

N/A

Describe:

**2.3 Please report on the number of staff by qualification level as of September 30, 2021. Count only the highest level attained by staff.**

**2.3 Licensed child care providers**

**a. Total number**

Licensed child care center directors: #: 1517

Licensed child care center teachers: #: 18181

Licensed family child care center providers: #: 2378

**b. How many had a Child Development Associate (CDA)?**

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information by what setting a person works in.

**c. How many had an Associate's degree in an early childhood education field (e.g., psychology, human development, education)?**

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information by what setting a person works in.

**d. How many had a Bachelor's degree in an early childhood education field (e.g., psychology, human development, education)?**

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information by what setting a person works in.

**e. How many had a State child care credential?**

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

Iowa does not have a State child care credential.

**f. How many had State infant and toddler credentials?**

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

Iowa does not have a State infant and toddler credential.

**g. How many had an "other" degree?**

Define "other" degree:

Licensed child care center directors: #: 0

Licensed child care center teachers: #: 0

Licensed family child care center providers: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information broken out by setting and receiving subsidy.

**2.4 Please report on the number of staff by qualification level as of September 30, 2021. Count only the highest level attained by staff.**

**2.4 Licensed CCDF providers**

**a. Total number**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 1250

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 14981

Licensed family child care providers who serve children who receive CCDF subsidy: #: 1959

**b. How many had a Child Development Associate (CDA)?**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information broken out by setting and receiving subsidy.

**c. How many had an Associate's degree in an early childhood education field (e.g., psychology, human development, education)?**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information broken out by setting and receiving subsidy.

**d. How many had a Bachelor's degree in an early childhood education field (e.g., psychology, human development, education)?**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information broken out by setting and receiving subsidy.

**e. How many had a State child care credential?**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

Iowa does not have a State child care credential.

**f. How many had State infant and toddler credentials?**

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

Iowa does not have a State infant and toddler credential.

**g. How many had an "other" degree?**

Define "other" degree

Licensed child care center directors who serve children who receive CCDF subsidy: #: 0

Licensed child care center teachers who serve children who receive CCDF subsidy: #: 0

Licensed family child care providers who serve children who receive CCDF subsidy: #: 0

N/A

If N/A, explain:

The above numbers are not actually 0 but the we are unable to determine this information broken out by setting and receiving subsidy.

**2.5 How many providers received the following additional forms of professional development from October 1, 2020 to September 30, 2021?**

**a) Business practices**

Total: 137

Licensed or registered center-based programs: 89

License-exempt center-based programs: 0

Licensed or registered family child care: 47

License-exempt family child care (care in providers' home): 1

In-home (care in the child's own home): 0

**b) Mental health**



Total: 211

Licensed or registered center-based programs: 0

License-exempt center-based programs: 0

Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**c) Diversity, equity, and inclusion**

Total: 0

Licensed or registered center-based programs: 0

License-exempt center-based programs: 0

Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**d) Other:**

Describe:

For 2,5b zeros were listed for the types of providers because the lead agency is only able to determine total participants for mental health training we are not able to break that number down by what type of program they are from.

For 2.5c 0 was listed as there is not a "stand alone" training that is focused solely on diversity, equity and inclusion. However those topics are incorporated into other trainings that are offered to child care providers.

Total: 0

Licensed or registered center-based programs: 0

License-exempt center-based programs: 0

Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**2.6 Spending:**

2.6.1 Did the lead agency spend funds from any of the following sources to support the training and professional development of the child care workforce during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ 1,383,933.30

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

**2.7 Progress Update:**

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.**

The Lead Agency funds a variety of professional development initiatives including training, consultation and TA through CCR&R agencies; online trainings through Iowa State University Extension and Outreach; the T.E.A.C.H. and WAGE\$ programs through Iowa Association for the Education of Young Children (IA AEYC) and business and financial training and coaching through First Children's Finance.

Two key developments during this reporting period were the start of our new Business Coaching and Technical Assistance (TA) contract and moving the WAGE\$ program from "pilot" mode to fully statewide. On 7/1/21 the Lead Agency's new business and financial training and TA contract with First Children's Finance went into effect. This contract provides a basic business and financial series training to child care centers and to child development homes. The contract also includes an intensive coaching opportunity for child care programs that have taken the training and would like support in implementing the best business practices taught in the training series. Also on 7/1/21 the Lead Agency was able to provide funding to implement the WAGE\$ program statewide so that child care staff in all Iowa counties can apply for this program. Previously the program had only been available in 38 of Iowa's 99 counties. In the short amount of time between 7/1/21 and 9/30/21 the program sent supplements to over 559 child care staff throughout the state which increased those staff members wage by an average of 7%.

CCR&R agencies provide professional development including training, consultation and technical assistance to child care programs at no cost to child care providers. Contract performance measures from the CCR&R contracts are used to evaluate progress. CCR&R's are located throughout the state and offer multiple trainings to providers. All CCR&R regions offer the following core trainings: ChildNet; Iowa Early Learning Standards(IELS) Overview; Nutrition and Physical Activity Self-Assessment in Child Care (NAP SACC) or Physical Activity Learning Session (PALS); Quality School-Age Care (QSAC) or School-Age Matters(SAM); Program for Infant Toddler Care (PITC); Early Childhood Positive Behavioral Interventions and Supports(EC-PBIS) for Preschool; EC-PBIS for Infants and Toddlers; and EC-PBIS for Family Child Care. ChildNet is 25 hours and intended for family child care providers. It was offered 33 times and a total of 277 participants completed the entire series (many more completed some but not all of the modules). The IELS training is a two-hour overview of the IELS and was offered 3 times by CCR&R with a total of 44 participants trained. NAP SACC and PALS are health and nutrition trainings which were offered 7 times with a total of 92 providers completing the trainings. QSAC or SAM are trainings focused on

caring for school-age children, these trainings were offered a total of 11 times with 108 providers completing the training. PITC is a 40 hour series for caregivers that serve infants and toddlers and was offered 9 times with a total of 55 participants completing the training series. EC-PBIS is what Iowa calls it's Pyramid Model trainings. Iowa has 3 different versions based on the age-group or setting that a caregiver is working with. EC-PBIS for Preschoolers was offered 3 times with a total of 16 participants completing the series. EC-PBIS for Infants and Toddlers was offered 6 times with a total of 52 participants completing the series. EC-PBIS for Family Child Care was offered a total of 9 times with 98 participants completing the series. Additionally CCR&R offers coaching and consultation to support provider's professional development. They provide an evidence-based coaching model to support implementation of best practices contained in the above core trainings. During the reporting period 3 child care programs participated in coaching to increase implementation of practices learned in these training series. They also offer consultation to support providers on specific issues. In FFY 21 CCR&R provided 2202 hours of onsite and 478 hours of virtual consultation to center based programs, 1271 hours of on-site and 925 hours of virtual consultation to child development homes. They also provided 238 hours of on-site and 142 hours of virtual consultation to licensed-exempt programs.

### 3) Improving early learning and development guidelines

*Goal: To ensure the lead agency has research-based early learning and development guidelines appropriate for children birth to age 12, including children with special needs and dual language learners that are used to inform practice and professional development.*

#### 3.1 Were any changes or updates made to the State or Territory's early learning and development guidelines during October 1, 2020 to September 30, 2021?

Yes.

If yes, describe changes or updates:

No

N/A

Describe:

Iowa targets our early learning standards for review approximately every five years and they were not up for review during this reporting period.

### 3.2 Spending:

3.2.1 Did the lead agency spend funds from any of the following sources on the development or implementation of early learning and development guidelines during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

### 3.3 Progress Update:

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021.** Include examples and numeric targets where possible.

N/A

## 4) Developing, implementing, or enhancing a quality rating and improvement system (QRIS) and other transparent system of quality indicator

*Goal: To ensure the lead agency implements a quality rating and improvement system, or other quality rating system, to promote high-quality early care and education programs.*

**Please provide the lead agency's definition of high quality care, and how it relates to the tiers of the QRIS, including a description of all tiers and which are considered high quality (if applicable).** *This may include the high quality definition as part of the lead agency's Quality Rating and Improvement System (QRIS). If no QRIS exists describe other measures used to assess quality (may include assessment scores, accreditation, or other metric):*

The State defines high quality child care as a programs that have a level 3 through 5 rating in Iowa's Quality Rating System (QRS).

### 4.1 Indicate the status of the lead agency's quality rating and improvement system (QRIS) during October 1, 2020 to September 30, 2021?

The lead agency QRIS is operating state- or territory-wide

The lead agency QRIS is now operating as a pilot, in a few localities, or only a few levels

The lead agency is operating another system of quality improvement. Describe this system



Describe:

- The lead agency does not have a QRIS or other system of quality improvement.

4.2 What types of providers participated in the QRIS during October 1, 2020 to September 30, 2021 (check all that apply)?

- Licensed child care centers
- Licensed family child care homes
  - License-exempt providers
- Programs serving children who receive CCDF subsidy
- Early Head Start programs
- Head Start programs
- State Prekindergarten or preschool programs
- Local district-supported Prekindergarten programs
- Programs serving infants and toddlers
- Programs serving school-age children
- Faith-based settings
- Tribally operated programs
  - Other.

Describe:

**4.3 For each setting, indicate the number of providers eligible to participate in the QRIS and the number of providers participating in the QRIS as of September 30, 2021?**

**a. Number of providers eligible for QRIS:**

- Licensed child care centers: # 1515
- License-exempt child care centers: # 0
- Licensed family child care homes: # 2497
- License-exempt family child care homes: # 0
- In-home (care in the child's own home): # 0
- Programs serving children who receive CCDF subsidy: # 3306
- Other: 0

Describe:

Iowa has some school-district preschools that are eligible for QRIS ratings but we are unable to determine how many.

**b. Number of providers participating in QRIS:**

Licensed child care centers: # 719

License-exempt child care centers: # 0

Licensed family child care homes: # 766

License-exempt family child care homes: # 0

In-home (care in the child's own home): # 0

Programs serving children who receive CCDF subsidy: # 502

Other: 0

Describe:

Iowa has some school-district preschools that have a QRIS rating but those numbers are included with the licensed center numbers.

**c. N/A:**

Licensed child care centers: #

N/A

License-exempt child care centers: #

License-exempt child care centers are not eligible for QRIS

Licensed family child care homes: #

N/A

License-exempt family child care homes: #

License-exempt family child care homes are not eligible for QRIS

In-home (care in the child's own home): #

All in-home care in Iowa is license-exempt and not eligible for QRIS.

Programs serving children who receive CCDF subsidy: #

N/A

Other, describe:

N/A

**4.4 Is participation in the QRIS mandatory for any group of providers?**

- Yes (check all that apply).
- Licensed child care centers
- Licensed family child care homes
- License-exempt providers
- Programs serving children who receive CCDF subsidy
- Early Head Start programs
- Head Start programs
- State Prekindergarten or preschool programs
- Local district-supported Prekindergarten programs
- Programs serving infants and toddlers
- Programs serving school-age children
- Faith-based settings
- Tribally operated programs
- Other

Describe:

- No
- N/A

Describe:

**4.5 Enter the number of programs that met the lead agency's high quality definition as of September 30, 2021:**

- a) Licensed family child care # 222
- b) Legally exempt family child care (care in providers' home) # 0
- c) Licensed center-based programs # 532
- d) Legally exempt center-based programs # 0
- e) In-home (care in the child's own home) # 0
- f) Programs serving children who receive CCDF subsidy # 611

- N/A

Describe:

**4.6 Enter the number of CCDF children in high quality care by age grouping as of September 30, 2021:**

a. Total number of CCDF children in high quality care # 6539

i. Infant # 2,800

Define age range: 0-3 years old

ii. Toddler # 0

Define age range: included in Infant number

iii. Preschool # 2195

Define age range: 3-6 years old

iv. School-age # 1544

Define age range: >6 years old

b. Other. Describe:

c. N/A. Describe:

**4.7 Did the lead agency provide one-time grants, awards or bonuses connected to (or related to) QRIS during October 1, 2020 to September 30, 2021? If yes, how many were provided to the following types of programs during October 1, 2020 to September 30, 2021?**

Yes, the following programs received grants..

a. Licensed child care centers # 643

b. License-exempt child care centers # 0

c. Licensed family child care homes # 364

d. License-exempt family child care (care in providersâ home) # 0

e. In-home (care in the childâs own home) # 0

f. Programs serving children who receive CCDF subsidy # unable to determine

No

N/A

Describe:

For f the lead agency's computer systems are unable to determine how many of the programs that received one-time bonuses serve children who receive CCDF.

**4.8 Did the lead agency provide on-going or periodic quality stipends connected to**

**(or related to) QRIS during October 1, 2020 to September 30, 2021? If yes, how many programs received on-going or periodic quality stipends connected to (or related to) QRIS during October 1, 2020 to September 30, 2021?**

- Yes, the following programs received stipends.
- a. Licensed child care centers #
  - b. License-exempt child care centers #
  - c. Licensed family child care homes #
  - d. License-exempt family child care (care in providersâ home) #
  - e. In-home (care in the childâs own home) #
  - f. Programs serving children who receive CCDF subsidy #

No

N/A

Describe:

**4.9 Did the lead agency provide ongoing technical assistance related to the QRIS or other quality rating system during October 1, 2020 to September 30, 2021? If so, how many programs received ongoing technical assistance during October 1, 2020 to September 30, 2021?**

- Yes, the following programs received ongoing technical assistance.
- a. Licensed child care centers # 818
  - b. License-exempt child care centers # 0
  - c. Licensed family child care homes # 918
  - d. License-exempt family child care (care in providersâ home) # 0
  - e. In-home (care in the childâs own home) # 0
  - f. Programs serving children who receive CCDF subsidy # unable to determine

No

N/A

Describe:

**4.10 Did the lead agency provide higher subsidy rates related to the QRIS or other quality rating system during October 1, 2020 to September 30, 2021? If so, how many programs received higher subsidy payment rates due to their QRIS rating during October 1, 2020 to September 30, 2021?**

- Yes, the following programs received higher subsidy rates.
- a. Licensed child care centers # 626
  - b. License-exempt child care centers # 0

- c. Licensed family child care homes # 676
- d. License-exempt family child care (care in providersâ home) # 0
- e. In-home (care in the childâs own home) # 0
- f. Programs serving children who receive CCDF subsidy # 1166

No

N/A

Describe:

Note all these programs are eligible for higher subsidy payment rates because of their QRIS ratings. The Lead Agency is unable to determine how many of the programs are actually receiving higher subsidy rates.

#### 4.11 Spending:

4.11.1 Did the lead agency spend funds from any of the following sources to support **QRIS or other quality rating systems** during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ 2,931,883.43

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY  
(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

#### 4.12 Progress Update:

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.**

The Lead Agency tracks number of QRS rated providers. At the start of FFY 2021 there were 730 Child Development Homes (CDHs) and 767 centers with a current QRS rating. At the end of FFY 2021 there were 719 CDHs and 766 centers with a current QRS rating. This is a decrease of 11 CDHs and 1 center. However, it must be noted that the Lead Agency had been providing extensions of QRS ratings due to COVID-19 since March 2020. When the Lead Agency started phasing out extensions in spring of 2021 there were many programs that needed to renew their ratings and the majority of programs successfully did renew. Additionally the Lead Agency hypothesizes that the workforce issues that are happening nationwide are impacting provider participation in the QRS system as there are requirements related to workforce qualifications and education in the QRS system.

### 5) Improving the supply and quality of child care programs for infants and toddlers

*Goal: Ensure adequate and stable supply of high quality child care with a qualified, skilled workforce to promote the healthy development of infants and toddlers. Please report on all activities funded by quality dollars and infant toddler set-aside.*



**5.1 Provide the total number of state or territory-funded infant toddler specialists available to providers during October 1, 2020 to September 30, 2021.**

Yes, specialists are available.

a) Number of Specialists available to all providers #

b) Number of specialists available to providers serving children who receive CCDF#

c) Number of specialists available specifically trained to support family child care providers #

d) Number of providers served #

e) Total number of children reached #

No, there are no funded specialists.

N/A

Describe:

**5.2 Please provide the total number of programs receiving state or territory-funded on-site coaching in infant and toddler practice and the percentage of these programs that served CCDF children.**

**a. Number of programs receiving on-site coaching:**

Licensed child care centers: # 28

License-exempt child care centers: # 0

Licensed family child care homes: # 7

License-exempt family child care homes: # 0

In-home (care in the child's own home) providers: # 0

**b. Percent of total programs receiving on-site coaching that served children who receive CCDF:**

Licensed child care centers: % 0

License-exempt child care centers: % 0

Licensed family child care homes: % 0

License-exempt family child care homes: % 0

In-home (care in the child's own home) providers: % 0

**c. N/A:**

Licensed child care centers, describe:

We are unable to determine the percentage of centers that received on-site consultation that serve CCDF children as the system that tracks on-site consultation visits is not able to provide that information. Additionally 8 centers that were not included in the information above received virtual consultation on infant/toddler practices.

License-exempt child care centers, describe:

Iowa does not have licensed-exempt child care centers

Licensed family child care homes, describe:

We are unable to determine the percentage of licensed homes that received on-site consultation that serve CCDF children as the system that tracks on-site consultation visits does is not able to provide that information. Additionally 7 licensed homes that were not included in the information above received virtual consultation on infant/toddler practices.

License-exempt family child care homes, describe:

N/A

In-home (care in the child's own home) providers, describe:

N/A

**5.3 Provide the total number of state or territory funded infant and toddler health consultants in the state or territory during October 1, 2020 to September 30, 2021.**

Consultants available

#

Consultants available to providers serving CCDF children

#

No funded infant and toddler health consultants.

N/A

Describe:

**5.4 Did the lead agency conduct an analysis of supply and demand for infant toddler slots to identify areas of focus to build supply during October 1, 2020 to September 30, 2021?**

Yes

Describe (include link to analysis if available):

No

N/A

Describe:

**5.5 Provide the number of staffed family child care networks supported by CCDF funds through direct agreement with a centralized hub or community-based agency during October 1, 2020 to September 30, 2021.**

Number of staffed family child care networks:

#

Describe what the hub provides to participating family child care providers:

No staffed family child care networks supported by CCDF funds.

N/A

Describe:

**5.6 Spending:**

5.6.1 Did the lead agency spend funds from any of the following sources, in addition to the 3% infant and toddler set-aside, to **improve the supply and quality of child care programs and services for infants and toddlers** during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ \$1,095,025

Comments related to dollar amount provided (optional):

\$1,095,025 over and above the required 3.0% was reported as Infant and Toddler Quality Activities.

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$ \$2,315,500

If yes, describe source(s) of funding:

A portion of the state funds used for Early Childhood Iowa for children ages birth to 5 supports infants and toddlers. Quality activities are decided by local boards based on the community's needs.

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$ \$2,043,748

If yes, describe how funds were used:

Funds were used to support supplies, stipends and grants to providers due to COVID-19. A portion of these funds were used to serve infant and toddler populations.

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$ \$13,056,635

If yes, describe how funds were used:

Funds were used to support stipends and grants to providers and salary

supplements to the workforce due to COVID-19. A portion of these funds were used to serve infant and toddler populations.

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

**5.7 Progress Update:**

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021.**

Include examples and numeric targets where possible.

The Lead Agency has strategies imbedded into contracts to assist with the supply and quality of infant-toddler care. The lead agency's contracts with CCR&R includes training and consultation specific to best practices for infant and toddler care. CCR&R offered training on Modules 1-4 of the Program for Infant/Toddler Care (PITC) 9 times with a total of 55 participants completing the trainings. They offered Early Childhood-Positive Behavioral Interventions and Supports for Infants and Toddlers 6 times with a total of 52 participants completing the training series. Additionally they provided consultation to providers on infant/toddler best practices both on-site and virtually. For center-based programs CCR&R provided on-site consultation to 28 programs and virtual consultation to 8 programs. For child development homes they provided on-site consultation to 7 programs and virtual consultation to 7 programs. The Lead Agency also contracted to offer WAGE\$ stipends to infant/toddler staff in 20 high poverty counties for the time period of 10/1/20 through 6/30/21 before we transitioned to offering the WAGE\$ program statewide. During that time 66 eligible infant/toddler teachers received stipends to supplement their pay.

## **6) Establishing, expanding, modifying, or maintaining a statewide system of child care resource and referral services**

*Goal: Lead agency provides: services to involve families in the development of their children, information on a full range of child care options, and assistance to families in selecting child care that is appropriate for the family's needs and is high quality as determined by the State/Territory.*

6.1 Describe how CCDF quality funds were used to establish, expand, modify, or maintain a statewide system of child care resource and referral services during October 1, 2020 to September 30, 2021.

CCDF quality funds were used to maintain contracts for services with the five CCR&R regions. Services provided by the CCR&R contracts remained the same however another QRIS specialist position was added to support CCR&R regions in preparing for the release of the updated QRIS system in the spring of 2022.

### **6.2 Spending:**

6.2.1 Did the lead agency spend funds from any of the following sources to **establish, expand, modify, or maintain a statewide CCR&R** during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ 4,913,703.80

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$ \$6,807

If yes, describe how funds were used:

Covid related supplies such as gloves and thermometers that were distributed to providers through our CCR&R system

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$ \$3,160,920

If yes, describe how funds were used:

Child Care Start-up grants that were distributed through our CCR&R system.

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No



N/A

Describe:

### **6.3 Progress Update:**

Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible..

CCR&R provides a variety of services to child care providers. During this reporting period they:

- Assisted 306 family child care providers in completing application paperwork and preparing for pre-inspection to become registered Child Development Homes (Iowa's term for licensed family child care).
- Assisted 58 licensed-exempt family child care providers in the processes of becoming CCDF eligible.
- Assisted 715 providers to meet criteria and submit application paperwork to receive a rating in Iowa's Quality Rating System. Facilitated 196 peer support group meetings for child care providers.
- Provided a total of 43,275 technical assistance contacts. Technical assistance contacts are defined as when a child care provider has a question or concern that can be addressed through email or phone call as opposed to needing a virtual or in-person consultation visit.
- As part of their contract CCR&R sends surveys to providers to determine satisfaction with services. Of the 2,397 providers that responded to the survey 96% were satisfied with the services provided.

CCR&R also provides many professional development opportunities for child care providers including training, coaching and consultation. Information about these professional development services provided by CCR&R was included in the professional development measures discussed in section 2.7

## **7) Facilitating compliance with lead agency requirements for inspection, monitoring, health and safety standards and training, and lead agency licensing standards**

*Goal: To ensure child care providers maintain compliance with lead agency licensing, inspection, monitoring, and health and safety standards and training.*

### **7.1. Has the lead agency aligned health and safety standards with the following:**

#### **a. Licensing standards**

Yes.

No.

If not, describe why:

The majority of licensing standards align with CCDF health and safety but we have not yet implemented out of state record checks or NCIC checks

#### **b. Caring for Our Children Basics**

Yes.

No.

If not, describe why:

The lead agency utilizes CFOC Basics as a best practice standard. Many of Iowa's regulations are similar to CFOC Basics recommended standards but may not meet them in entirety

#### **c. Head Start**

Yes.

No.

If not, describe why:

While standards may complement one another, they are not directly aligned.

#### **d. State pre-k**

Yes.

No.

If not, describe why:

State PreK is under the Iowa Department of Education and falls under different state code requirements

### **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.1 How many complaints were received regarding providers during October 1, 2020 to September 30, 2021?

- a) Licensed providers # 913
- b) Licensed-exempt providers # 5

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.2 What was the average length of time between receiving the complaint and taking steps to respond to a complaint during October 1, 2020 to September 30, 2021? unable to determine

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.3 How many complaints received an on-site follow-up inspection during October 1, 2020 to September 30, 2021? # 545

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.4 How many of the complaints resulted in one or more *substantiated* violations in the program or provider site identified during October 1, 2020 to September 30, 2021? # 334

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.5 How many child care providers had CCDF funding revoked as a result of an inspection during October 1, 2020 to September 30, 2021?# 6

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.6 How many child care providers closed as a result of an inspection during October 1, 2020 to September 30, 2021? # 6

## **7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021**

7.2.7 Please provide any additional information regarding health and safety complaints and inspections in the state or territory during October 1, 2020 to September 30, 2021

For 7.2.4 numbers are for licensed centers. We are unable to determine the number of licensed family child care and licensed-exempt family child cares with substantiated violations in relation to a complaint.

## **7.3 How many child care programs received coaching or technical assistance to improve their understanding and adherence to CCDF health and safety standards (as a result of an inspection or violation) during October 1, 2020 to September 30, 2021?**

- a. Licensed center-based programs # 43
- b. License-exempt center-based programs # 0
- c. Licensed family child care # 61
- d. License-exempt family child care (care in providers' home) # 6
- e. In-home (care in the child's own home) # 0
- f. N/A

Describe:

**7.4 How many providers received virtual monitoring from October 1, 2020 to September 30, 2021?**

Total

# 0

Licensed or registered center-based programs

#

License-exempt center-based programs.

#

Licensed or registered family child care

#

License-exempt family child care (care in providers' home)

#

In-home (care in the child's own home).

#

Programs serving children who receive CCDF subsidy

#

**7.5 Spending:**

7.5.1 Did the lead agency spend funds from any of the following sources on **facilitating compliance with lead agency requirements for inspections, monitoring, health and safety standards and training, and lead agency licensing standards** during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ 776188

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$ 103256

If yes, describe source(s) of funding:

A fund containing licensing fees was the source of this funding.

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$ 640775

If yes, describe how funds were used:

Funds were used to provide grants to providers that were temporarily closed due to COVID-19 meet increased health and safety standards to safely reopen.

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

**7.6 Progress Update:**

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible**

For child care centers the Lead Agency's licensing supervisor tracks annual compliance visits in FFY 21 all center received annual compliance visits. For child development homes and legally exempt child care homes the Lead Agency tracks compliance visits per calendar year so the percentages reported are based on January 2021-September 2021. As of September 2021, compliance workers for home child cares had completed annual checks on 81.2% of registered child development homes and 42.9% of legally exempt child care homes.

The Lead Agency also sends referrals to CCR&R agencies when there are issues with compliance at annual visits. In FFY 21 the Lead Agency sent 152 referrals to CCR&R for support with compliance issues. CCR&R calls all the providers for which they receive a referral from the Lead Agency and they offer support. However CCR&R services are voluntary so providers may choose if they want support. In FFY 21 CCR&R provided TA or consultation to 43 centers, 61 registered child development homes and 6 license-exempt providers based on a referral from the Lead Agency.

**8) Evaluating the quality of child care programs in the Lead agency, including evaluating how programs positively impact children**

*Goal: Lead agency investment in effective quality improvement strategies using reliable data from evaluation and assessment*

**8.1 What measure(s) or tool(s) and studies did the lead agency use to evaluate and assess the quality of programs and effective practice in center-based programs during October 1, 2020 to September 30, 2021?**

To measure program quality,

describe:

The ITERS-R, ECERS-R and SACERS assessment tools were used for programs that are applying for a Level 5 in the Iowa QRS.

To measure effective practice

describe:

To measure age appropriate child development.

describe:

Other

describe:

N/A

describe:

**8.2 What measure(s) or tool(s) and studies did the lead agency use to evaluate and assess the quality of programs and effective practice in family child care programs during October 1, 2020 to September 30, 2021?**

To measure program quality,

describe:

The FCCERS-R was used for programs applying for a level 5 in the Iowa QRS.

To measure effective practice

describe:

To measure age appropriate child development.

describe:

Other

describe:

N/A

describe:

**8.3 Spending:**

8.3.1 Did the lead agency spend funds from any of the following sources on evaluating and assessing the quality of child care programs, practice, or child development during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)



Yes.

Amount spent: \$

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

**8.4 Progress Update:**

**8.4 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021.** Include examples and numeric targets where possible.

The measures listed in section 8 are referring to measures that are a part of Iowa's QRS system and are not tracked individually. Progress related to the QRS system is tracked. The Lead Agency tracks number of QRS rated providers. At the start of FFY 2021 there were 730 Child Development Homes (CDHs) and 767 centers with a current QRS rating. At the end of FFY 2021 there were 719 CDHs and 766 centers with a current QRS rating. This is a decrease of 11 CDHs and 1 center. However, it must be noted that the Lead Agency had been providing extensions of QRS ratings due to COVID-19 since March 2020. When the Lead Agency started phasing out extensions in spring of 2021 there were many programs that needed to renew their ratings and the majority of programs successfully did renew. Additionally the Lead Agency hypothesizes that the workforce issues that are happening nationwide are impacting provider participation in the QRS system as there are requirements related to workforce qualifications and education in the QRS system.

**9) Supporting providers in the voluntary pursuit of accreditation**

*Goal: Support child care programs and FCCs in the voluntary pursuit of accreditation by a national accrediting body with demonstrated, valid, and reliable program standards of quality*

**9.1 How many providers did the lead agency support in their pursuit of accreditation during October 1, 2020 to September 30, 2021?**

- Yes, providers were supported in their pursuit of accreditation
  - a. Licensed center-based programs #
  - b. License-exempt center-based programs #
  - c. Licensed family child care #
  - d. License-exempt family child care (care in providers' home) #
  - e. Programs serving children who receive CCDF subsidy #
- No lead agency support given to providers in their pursuit of accreditation.
- N/A.

Describe:

## 9.2 Spending:

9.2.1 Did the lead agency spend funds from any of the following sources on accreditation during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

### 9.3 Progress Update:

Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

N/A

## 10) Supporting the development or adoption of high-quality program standards related to health, mental health, nutrition, physical activity, and physical development

*Goal: Assist programs to meet high-quality comprehensive program standards relating to health, mental health, nutrition, physical activity, and physical development*

### 10.1 Quality Indicators: Does the lead agency have quality improvement standards that include indicators covering the following areas beyond what is required for licensing?

Yes, check which indicators the lead agency has established:

Health, nutrition, and safety of child care settings

Physical activity and physical development in child care settings

Mental health of children

Mental health for staff/employees

Learning environment and curriculum

Ratios and group size

Staff/provider qualifications and professional development

Teacher/provider-child relationships

Teacher/provider instructional practices

Family partnerships and family strengthening

Other

Describe:

No

## 10.2 Spending:

10.2.1 Did the lead agency spend funds from any of the following sources on supporting the development or adoption of high-quality program standards related to health, mental health, nutrition, physical activity, and physical development during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$

If yes, describe source(s) of funding:

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.



Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

### 10.3 Progress Update:

**Describe the measures used and progress made during October 1, 2020 to September 30, 2021.** Include examples and numeric targets where possible.

N/A as the standards described are part of the QRIS and progress on QRIS was described in question 4.12

### 11) Other activities to improve the quality of child care services supported by outcome measures that improve provider preparedness, child safety, child well-being, or kindergarten-entry

*Goal: To improve the quality of child care programs and services related to outcomes measuring improved provider preparedness, child safety, child well-being, or kindergarten-entry*

**11.1 Did the state or territory set up a grant program (NOT including American Rescue Plan Act stabilization grants) designed to sustain the child care supply or provide sustainability funding to child care providers due to the COVID-19 pandemic?**

Yes.

If yes, describe it:

The Lead Agency offered monthly stipends to child care providers that were open to serve children to support sustainability. While the Lead Agency has data on number of stipends awarded each month we are not able to unduplicated those numbers to determine the total number of child care programs that received at least one stipend. The numbers below are an average of the number of programs that received a monthly stipend in any given month.

and check which types of providers were eligible and number served:

Licensed center-based programs

# 1122

Legally exempt center-based programs

#

Licensed family child care

# 2118

Legally exempt family child care (care in providers' home)

#

In-home (care in the child's own home)

#

Other

(explain)

No

N/A

Describe:

**11.2 Did the lead agency provide cleaning supplies and/or personal protective equipment (PPE) to child care providers either through funding or directly in-kind due to the COVID-19 pandemic?**

Yes.

If yes, describe it :

The Lead agency added funding to the CCR&R contracts to enable them to purchase and deliver supplies such as gloves and thermometers to child care providers when they heard of needs. This support was available to both open and temporarily closed providers and ended on 10/31/2020.

and check which types of providers were eligible:

Licensed center-based programs

Legally exempt center-based programs

Licensed family child care

Legally exempt family child care (care in providers' home)

In-home (care in the child's own home)

Other

(explain)

No

N/A

Describe:

**11.3 Did the state/territory invest in data systems to support equitable access to child care (e.g., modernizing and maintaining systems; technology upgrades and data governance improvements to provide more transparent and updated information to parents; a workforce registry; updated QRIS systems; CCR&R updates; monitoring systems) from October 1, 2020 to September 30, 2021?**

Yes.

If yes, describe:

The Lead Agency invested in building an online application portal and data system to support the new QRIS system that will roll out in 2022. This system will replace the old paper-based application process.

No.

**11.4 Did the state/territory conduct an analysis of supply and demand or other needs assessment to identify areas of focus to build supply or target funding from October 1, 2020 to September 30, 2021?**

Yes.

Describe findings:

No.

**11.5 Describe how supply building grants were used with a lens towards DEI?:**

All applicants for start-up or expansion funding were asked questions about impact of projects on minority populations.

**11.6 How many providers received the following from October 1, 2020 to September 30, 2021?**

**a. Increased rates:**

Total: 4027

Licensed or registered center-based programs: 1522

License-exempt center-based programs: 0

Licensed or registered family child care: 2417

License-exempt family child care (care in providers' home): 88

In-home (care in the child's own home): 0

**b. Increased wages:**

Total: 761

Licensed or registered center-based programs: 609

License-exempt center-based programs: 0

Licensed or registered family child care: 152

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**c. Benefits health insurance:**

Total: 0

Licensed or registered center-based programs: 0

License-exempt center-based programs: 0

Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**d. Mental health supports:**

Total: 0

Licensed or registered center-based programs: 0

License-exempt center-based programs: 0

Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**e. Start-up funds:**

Total: 132

Licensed or registered center-based programs: 98

License-exempt center-based programs: 0

Licensed or registered family child care: 34

License-exempt family child care (care in providers' home): 0

In-home (care in the child's own home): 0

**f. Other:**

Describe:

N/A

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**11.7 How many providers received the following technical assistance from October 1, 2020 to September 30, 2021?**

**a. Business practices:**

Total: 3190  
Licensed or registered center-based programs: 1008  
License-exempt center-based programs: 0  
Licensed or registered family child care: 1879  
License-exempt family child care (care in providers' home): 303  
In-home (care in the child's own home): 0

**b. Emergency preparedness planning:**

Total: 2378  
Licensed or registered center-based programs: 611  
License-exempt center-based programs: 0  
Licensed or registered family child care: 1591  
License-exempt family child care (care in providers' home): 176  
In-home (care in the child's own home): 0

**c. Other:**

N/A

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**11.8 Spending:**

11.8.1 Did the lead agency spend funds from any of the following sources on other activities to improve the quality of child care services during October 1, 2020 to September 30, 2021?

a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)

Yes.

Amount spent: \$ 20,837,581.34

Comments related to dollar amount provided (optional):

No

N/A

Describe:

b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)

Yes.

Amount spent: \$ \$3,473,250

If yes, describe source(s) of funding:

Early Childhood Iowa grants

No

N/A

Describe:

c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020

Yes.

Amount spent: \$ \$6,272,476.33

If yes, describe how funds were used:

Provider stabilization stipends.

No

N/A

Describe:

d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021

Yes.

Amount spent: \$ \$41,048,388.74

If yes, describe how funds were used:

Provider stabilization stipends, start-up and expansion grants and the WAGE\$ program which provides salary supplement stipends to eligible child care staff.

No

N/A

Describe:

e. American Rescue Plan (ARP) Act, 2021 Supplemental funding

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

f. ARP Act, 2021 Stabilization Grant set-aside ONLY

(As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)

Yes.

Amount spent: \$

If yes, describe how funds were used:

No

N/A

Describe:

**11.9 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible:**

Provider sustainability stipends that started in response to COVID-19 appear to be effective in limiting the number of temporary closures. Near the beginning of the pandemic in April 2020 we had a 60% closure rate for centers. The Lead Agency started sustainability stipends in April 2020 and continued to provide them on a monthly basis through August 2021. Throughout FFY 21 we saw closure rates of around 4% to 6%. A total of 132 programs received start up or expansion grants during the reporting period. While projects are ongoing and not all fully completed it is estimated that this will bring over 4,000 slots to the State. Starting 7/1/21 the WAGE\$ program started providing salary supplements to eligible child care staff statewide. In that short amount of time the program sent supplements to over 559 child care staff throughout the state which increased those staff members wage by an average of 7%.

**12) Lead agencies must submit an annual report, as required at 45 CFR Â§ 98.53(f) (4), describing any changes to lead agency regulations, enforcement mechanisms, or other lead agency policies addressing health and safety based on an annual review and assessment of serious child injuries and any deaths occurring in child care programs receiving CCDF, and in other regulated and unregulated child care centers and family child care homes, to the extent possible.**

**12.1 Describe the annual review and assessment of serious injuries and any deaths occurring in child care programs receiving CCDF, and in other regulated and unregulated child care centers and family child care homes, to the extent possible.**

The Lead Agency requires that all serious injuries and deaths are documented on a reporting form and sent to the lead agency. These are then captured as a complaint for tracking purposes. Anecdotally, we can report that injuries primarily occur on playgrounds or through falls.



**12.2 Describe any changes to lead agency regulations, enforcement mechanisms, or other lead agency policies addressing health and safety based on the annual review and assessment.**

None at this time.

**13) American Rescue Plan (ARP) Act Child Care Stabilization Grants**

Did your state/territory begin **paying providers (funds were dispersed by the state/territory to providers) prior to December 1, 2021**?

Yes.

No.

**13.1 When did you start accepting applications? Enter Date:** 01/10/2022

**13.2 When did the first grants go out to providers? Enter Date:** 3/10/2022

**13.3 How many stabilization grants were awarded?**

Total

# 0

Licensed or registered center-based programs

# 0

License-exempt center-based programs

#

Licensed or registered family child care

# 0

License-exempt family child care (care in providersâ home)

#

In-home (care in the child's own home)

#

Programs already serving children who receive CCDF subsidy at time of application

#

**13.4 What are the minimum, maximum, and average provider stabilization grant award amounts and average number of awards made to the same provider? Round amounts to the nearest dollar.**

**a. Minimum provider award amount:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**b. Maximum provider award amount:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**c. Average provider award amount:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**d. Average number of awards made to the same provider during the fiscal year:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**13.5 What is the licensed or identified capacity by age group that providers awarded stabilization grants served?** States/Territories should use their own definition of age groups as provided in the CCDF Plan.

**a. Total:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0

License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**b. Infants:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**c. Toddlers:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**d. Preschool:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**e. School-age:**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**f. Mixed age (combination of more than one age group):**

Total: 0  
Licensed or registered center-based programs: 0  
License-exempt center-based programs: 0  
Licensed or registered family child care: 0  
License-exempt family child care (care in providers' home): 0  
In-home (care in the child's own home): 0

**13.6 What is the number of stabilization grants awarded to providers who are operating in high need areas? OCC will be providing these FIPS codes for each jurisdiction.**

FIPS Code 1: 19169

Total number of stabilization grants awarded: 0

Number of stabilization grants awarded to licensed or regulated center-based programs: 0

Number of stabilization grants awarded to license-exempt center-based programs: 0

Number of stabilization grants awarded to licensed or regulated family child care: 0

Number of stabilization grants awarded to license-exempt family child care: 0

Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 2: 19053

Total number of stabilization grants awarded: 0

Number of stabilization grants awarded to licensed or regulated center-based programs: 0

Number of stabilization grants awarded to license-exempt center-based programs: 0

Number of stabilization grants awarded to licensed or regulated family child care: 0

Number of stabilization grants awarded to license-exempt family child care: 0

Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 3: 19103

Total number of stabilization grants awarded: 0

Number of stabilization grants awarded to licensed or regulated center-based programs: 0

Number of stabilization grants awarded to license-exempt center-based programs: 0

Number of stabilization grants awarded to licensed or regulated family child care: 0

Number of stabilization grants awarded to license-exempt family child care: 0

Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 4: 19047

Total number of stabilization grants awarded: 0

Number of stabilization grants awarded to licensed or regulated center-based programs: 0

Number of stabilization grants awarded to license-exempt center-based programs: 0

Number of stabilization grants awarded to licensed or regulated family child care: 0

Number of stabilization grants awarded to license-exempt family child care: 0

Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 5: 19007

Total number of stabilization grants awarded: 0

Number of stabilization grants awarded to licensed or regulated center-based programs: 0

Number of stabilization grants awarded to license-exempt center-based programs: 0

Number of stabilization grants awarded to licensed or regulated family child care: 0

Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 6: 19153

Total number of stabilization grants awarded: 0  
Number of stabilization grants awarded to licensed or regulated center-based programs:  
0  
Number of stabilization grants awarded to license-exempt center-based programs: 0  
Number of stabilization grants awarded to licensed or regulated family child care: 0  
Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 7: 19113

Total number of stabilization grants awarded: 0  
Number of stabilization grants awarded to licensed or regulated center-based programs:  
0  
Number of stabilization grants awarded to license-exempt center-based programs: 0  
Number of stabilization grants awarded to licensed or regulated family child care: 0  
Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 8: 19163

Total number of stabilization grants awarded: 0  
Number of stabilization grants awarded to licensed or regulated center-based programs:  
0  
Number of stabilization grants awarded to license-exempt center-based programs: 0  
Number of stabilization grants awarded to licensed or regulated family child care: 0  
Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 9: 19013

Total number of stabilization grants awarded: 0  
Number of stabilization grants awarded to licensed or regulated center-based programs:  
0  
Number of stabilization grants awarded to license-exempt center-based programs: 0  
Number of stabilization grants awarded to licensed or regulated family child care: 0  
Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

FIPS Code 10: 19193

Total number of stabilization grants awarded: 0  
Number of stabilization grants awarded to licensed or regulated center-based programs:  
0  
Number of stabilization grants awarded to license-exempt center-based programs: 0  
Number of stabilization grants awarded to licensed or regulated family child care: 0  
Number of stabilization grants awarded to license-exempt family child care: 0  
Number of stabilization grants awarded to in-home (care in the child's home): 0

**13.7 How many grants were provided with the following specific uses? (check all that apply and include number of grants provided)**

**Mortgage/rent:** : Rent, mortgage, utilities, facility maintenance or improvements, insurance

# 0

**Personnel costs:** Personnel costs including any sole proprietor or independent contractor-- employee benefits, premium pay, or costs for employee recruitment and retention

# 0

**Supplies and services:** Personal protective equipment cleaning and sanitization supplies and services, or training and professional development related to health and safety practices

# 0

**Purchases/Updates:** Purchases of or updates to equipment and supplies to respond to the COVIDâ19 public health emergency

# 0

**Goods and services:** Goods and services necessary to maintain or resume child care services

# 0

**Mental health supports:** Mental health supports for children and employees

# 0

**13.8 Did you run more than one grant program? If so, list the number of separate grant programs and describe their uses.**

Yes.

Describe:

No.

**13.9 Check which of the following methods were used for implementing stabilization grants? (check all that apply)**

Prioritizing small child care programs

Recurring subgrants

Employing multiple subgrant programs

Providing bonuses or supplemental funding for providers meeting certain needs of families

- Encouraging wage increases through stabilization grants (e.g., bonuses going directly to staff or requirement that a percentage of the grant be used for personnel)
- Other.

Describe:

Prioritizing programs that serve more than 25% children on subsidy and programs that have fiscal losses even after the stabilization stipends that the Lead Agency implemented from April 2020-August 2021.

**13.10 Check which of the following were available to providers related to applying for stabilization grants? (check all that apply)**

- Applications for subgrants are widely available in plain language and multiple languages
- A staffed helpline or chat function to provide real time assistance for completing applications available in multiple languages
  - Support for collecting documentation showing operating expenses
- Used a cost estimation model or survey to estimate operating expenses for or already collected operating expenses from providers as part of an earlier application or relief effort instead of requiring new information from applicants
  - Acceptance of a variety of types of documentation of operating expenses
- Resources such as frequently asked questions to help with the completion of the applications available in multiple languages
  - Partnerships with culturally relevant organizations and trusted messengers to support a diverse range of child care providers in navigating the application process
  - Other.

Describe:

Note all of these supports are being contracted for and are in process but did not roll out during the FFY 21 period that this report covers.

**13.11 Check which of the following supports were available to providers after they received stabilization grants? (check all that apply)**

- A staffed helpline or chat function to provide real time assistance available in multiple languages

- Support for providers related to following certain health and safety guidelines
  - Support for providers related to continuing to pay full compensation to staff
- Support for providers related to recruiting and retaining existing and former child care workers and strengthening the diversity of the workforce to meet children and families' needs
- Support for providers related to providing relief from copayments and tuition for parents struggling to afford child care
- Support for providers in making facilities improvements needed to comply with safety guidance in the context of developmentally appropriate practice and a welcoming environment for children and families
- Support for providers in making facilities improvements that make child care programs inclusive and accessible to children with disabilities and family members with disabilities
- Support for background checks and health and safety training for providers who were previously ineligible for CCDF subsidies because they had not completed the health and safety requirements in the CCDBG Act
- Support for providers to find personal protective equipment (PPE), cleaning and sanitization supplies
- Support for providers related to finding mental health services and supports
  - Other.

Describe:

The Lead Agency anticipates opening the stabilization grant applications mid-January so no providers have received stabilization grants yet.

**13.12 Describe the methods used to eliminate fraud, waste, and abuse when providing stabilization grants (e.g., validated identity through the lead agency licensing system or conducted identity verification through a data match with state tax records):**

The lead agency is requiring provider numbers as part of the stabilization grant application. These are unique ID numbers tied to the Lead Agency's licensing system and information in that system will be checked to verify a valid license and other application requirements before a stabilization grant is awarded.

**13.13.1 What is the number of stabilization grants awarded by gender of center director or family child care owner?**



**a. Female:**

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

**b. Male:**

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

**c. Non-Binary:**

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

**d. No response:**

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

**13.13.2 What is the number of stabilization grants awarded by race and ethnicity of the center director or family child care owner?**

**a) Ethnicity: Hispanic, Race: American Indian or Alaskan Native**

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0

In-home (care in the child's own home): # 0

b) Ethnicity: Hispanic, Race: Asian

Total 0

Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0

Licensed or registered family child care: # 0

License-exempt family child care (care in providers' home): # 0

In-home (care in the child's own home): # 0

c) Ethnicity: Hispanic, Race: Black or African American

Total 0

Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0

Licensed or registered family child care: # 0

License-exempt family child care (care in providers' home): # 0

In-home (care in the child's own home): # 0

d) Ethnicity: Hispanic, Race: Native Hawaiian or Pacific Islander

Total 0

Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0

Licensed or registered family child care: # 0

License-exempt family child care (care in providers' home): # 0

In-home (ccare in the child's own home): # 0

e) Ethnicity: Hispanic, Race: White

Total 0

Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0

Licensed or registered family child care: # 0

License-exempt family child care (care in providers' home): # 0

In-home (care in the child's own home): # 0

f) Ethnicity: Hispanic, Race: Multi-Racial

Total 0

Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0

Licensed or registered family child care: # 0

License-exempt family child care (care in providers' home): # 0

In-home (care in the child's own home): # 0

g) Ethnicity: Non-Hispanic, Race: American Indian or Alaskan Native

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

h) Ethnicity: Non-Hispanic, Race: Asian

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

i) Ethnicity: Non-Hispanic, Race: Black or African American

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

j) Ethnicity: Non-Hispanic, Race: Native Hawaiian or Pacific Islander

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

k) Ethnicity: Non-Hispanic, Race: White

Total 0  
Licensed or registered center-based programs: # 0  
License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0

l) Ethnicity: Non-Hispanic, Race: Multi-racial

Total 0  
Licensed or registered center-based programs: # 0

License-exempt center-based programs: # 0  
Licensed or registered family child care: # 0  
License-exempt family child care (care in providers' home): # 0  
In-home (care in the child's own home): # 0