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OASIS Unstructured Information Management Architecture (UIMA) TC

Chair(s):

David Ferrucci, IBM

Editor(s):

Adam Lally, IBM

Karin Verspoor, University of Colorado Denver

Eric Nyberg, Carnegie Mellon University

Related work:

This specification is related to:

- OASIS Unstructured Operation Markup Language (UOML). The UIMA specification, however, is independent of any particular model for representing or manipulating unstructured content.

Declared XML Namespace(s):

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<http://docs.oasis-open.org/uima/base.ecore>
<http://docs.oasis-open.org/uima/peMetadata.ecore>
<http://docs.oasis-open.org/uima/pe.ecore>
<http://docs.oasis-open.org/uima/peService>

Abstract:

Unstructured information may be defined as the direct product of human communication. Examples include natural language documents, email, speech, images and video. The UIMA specification defines platform-independent data representations and interfaces for software

components or services called *analytics*, which analyze unstructured information and assign semantics to regions of that unstructured information.

Status:

This draft has not yet been approved by the OASIS UIMA TC.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at <http://www.oasis-open.org/committees/uima/>.

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Table of Contents

1	Introduction	6
1.1	Terminology	7
1.2	Normative References	7
1.3	Non-Normative References	8
2	Basic Concepts and Terms	9
3	Elements of the UIMA Specification	11
3.1	Common Analysis Structure (CAS)	11
3.2	Type System Model	11
3.3	Base Type System.....	13
3.4	Abstract Interfaces.....	13
3.5	Behavioral Metadata.....	14
3.6	Processing Element Metadata.....	15
3.7	WSDL Service Descriptions.....	16
4	Full UIMA Specification	17
4.1	The Common Analysis Structure (CAS)	17
4.1.1	Basic Structure: Objects and Slots.....	17
4.1.2	Relationship to Type System.....	17
4.1.3	The XMI CAS Representation	18
4.1.4	Formal Specification.....	18
4.2	The Type System Model.....	19
4.2.1	Ecore as the UIMA Type System Model	19
4.2.2	Formal Specification.....	19
4.3	Base Type System.....	20
4.3.1	Primitive Types	20
4.3.2	Annotation and Sofa Base Type System	20
4.3.3	View Base Type System	22
4.3.4	Source Document Information.....	24
4.3.5	Formal Specification.....	25
4.4	Abstract Interfaces	25
4.4.1	Abstract Interfaces URL	25
4.4.2	Formal Specification.....	26
4.5	Behavioral Metadata	30
4.5.1	Behavioral Metadata UML	30
4.5.2	Behavioral Metadata Elements and XML Representation	31
4.5.3	Formal Semantics for Behavioral Metadata	31
4.5.4	Formal Specification.....	33
4.6	Processing Element Metadata.....	36
4.6.1	Elements of PE Metadata.....	36
4.6.2	Formal Specification.....	39
4.7	Service WSDL Descriptions.....	39
4.7.1	Overview of the WSDL Definition	39
4.7.2	Delta Responses	43
4.7.3	Formal Specification.....	43

A.	Acknowledgements	44
B.	Examples (Not Normative)	45
	B.1 XMI CAS Example.....	45
	B.1.1 XMI Tag.....	45
	B.1.2 Objects	45
	B.1.3 Attributes (Primitive Features)	46
	B.1.4 References (Object-Valued Features)	47
	B.1.5 Multi-valued Features	47
	B.1.6 Linking an XMI Document to its Ecore Type System	48
	B.1.7 XMI Extensions	48
	B.2 Ecore Example	49
	B.2.1 An Introduction to Ecore	49
	B.2.2 Differences between Ecore and EMOF	50
	B.2.3 Example Ecore Model.....	51
	B.3 Base Type System Examples	52
	B.3.1 Sofa Reference	52
	B.3.2 References to Regions of Sofas	53
	B.3.3 Options for Extending Annotation Type System	53
	B.3.4 An Example of Annotation Model Extension.....	54
	B.3.5 Example Extension of Source Document Information	55
	B.4 Abstract Interfaces Examples.....	56
	B.4.1 Analyzer Example	56
	B.4.2 CAS Multiplier Example	56
	B.5 Behavioral Metadata Examples	57
	B.5.1 Type Naming Conventions.....	58
	B.5.2 XML Syntax for Behavioral Metadata Elements	60
	B.5.3 Views.....	61
	B.5.4 Specifying Which Features Are Modified.....	62
	B.5.5 Specifying Preconditions, Postconditions, and Projection Conditions.....	62
	B.6 Processing Element Metadata Example	63
	B.7 SOAP Service Example	64
C.	Formal Specification Artifacts.....	66
	C.1 XMI XML Schema	66
	C.2 Ecore XML Schema	69
	C.3 Base Type System Ecore Model.....	74
	C.4 PE Metadata and Behavioral Metadata Ecore Model.....	76
	C.5 PE Metadata and Behavioral Metadata XML Schema	78
	C.6 PE Service WSDL Definition	81
	C.7 PE Service XML Schema (uima.peServiceXMI.xsd)	91
D.	Revision History.....	95

1 Introduction

2 Unstructured information may be defined as the direct product of human communication. Examples
3 include natural language documents, email, speech, images and video. It is information that was not
4 specifically encoded for machines to process but rather authored by humans for humans to understand.
5 We say it is “unstructured” because it lacks explicit semantics (“structure”) required for applications to
6 interpret the information as intended by the human author or required by the end-user application.

7
8 Unstructured information may be contrasted with the information in classic relational databases where the
9 intended interpretation for every field data is explicitly encoded in the database by column headings.
10 Consider information encoded in XML as another example. In an XML document some of the data is
11 wrapped by tags which provide explicit semantic information about how that data should be interpreted.
12 An XML document or a relational database may be considered semi-structured in practice, because the
13 content of some chunk of data, a blob of text in a text field labeled “description” for example, may be of
14 interest to an application but remain without any explicit tagging—that is, without any explicit semantics or
15 structure.

16
17 Unstructured information represents the largest, most current and fastest growing source of knowledge
18 available to businesses and governments worldwide. The web is just the tip of the iceberg. Consider, for
19 example, the droves of corporate, scientific, social and technical documentation including best practices,
20 research reports, medical abstracts, problem reports, customer communications, contracts, emails and
21 voice mails. Beyond these, consider the growing number of broadcasts containing audio, video and
22 speech. These mounds of natural language, speech and video artifacts often contain nuggets of
23 knowledge critical for analyzing and solving problems, detecting threats, realizing important trends and
24 relationships, creating new opportunities or preventing disasters.

25
26 For unstructured information to be processed by applications that rely on specific semantics, it must be
27 first analyzed to assign application-specific semantics to the unstructured content. Another way to say this
28 is that the unstructured information must become “structured” where the added structure explicitly
29 provides the semantics required by target applications to interpret the data correctly.

30
31 An example of assigning semantics includes labeling regions of text in a text document with appropriate
32 XML tags that, for example, might identify the names of organizations or products. Another example may
33 extract elements of a document and insert them in the appropriate fields of a relational database or use
34 them to create instances of concepts in a knowledgebase. Another example may analyze a voice stream
35 and tag it with the information explicitly identifying the speaker or identifying a person or a type of physical
36 object in a series of video frames.

37
38 In general, we refer to a segment of unstructured content (e.g., a document, a video etc.) as an **artifact**
39 and we refer to the act of assigning semantics to a region of an artifact as **analysis**. A software
40 component or service that performs the analysis is referred to as an **analytic**. The results of the analysis
41 of an artifact by an analytic are referred to as **artifact metadata**.

42
43 Analytics are typically reused and combined together in different flows to perform application-specific
44 aggregate analyses. For example, in the analysis of a document the first analytic may simply identify and
45 label the distinct tokens or words in the document. The next analytic might identify parts of speech, the
46 third might use the output of the previous two to more accurately identify instances of persons,
47 organizations and the relationships between them

49 While different platform-specific, software frameworks have been developed with varying features in
50 support of building and integrating component analytics (e.g., Apache UIMA, Gate, Catalyst, Tipster,
51 Mallet, Talent, Open-NLP, LingPipe etc.), no clear standard has emerged for enabling the interoperability
52 of analytics across platforms, frameworks and modalities (text, audio, video, etc.) Significant advances in
53 the field of unstructured information analysis require that it is easier to combine best-of-breed analytics
54 across these dimensions.

55

56 The UIMA specification defines platform-independent data representations and interfaces for text and
57 multi-modal analytics. The principal objective of the UIMA specification is to support interoperability
58 among *analytics*. This objective is subdivided into the following four design goals:

59

- 60 1. **Data Representation.** Support the common representation of *artifacts* and *artifact metadata*
61 independently of *artifact modality* and *domain model* and in a way that is independent of the
62 original representation of the artifact.
- 63 2. **Data Modeling and Interchange.** Support the platform-independent interchange of *analysis data*
64 (*artifact and its metadata*) in a form that facilitates a formal modeling approach and alignment with
65 existing programming systems and standards.
- 66 3. **Discovery, Reuse and Composition.** Support the discovery, reuse and composition of
67 independently-developed *analytics*.
- 68 4. **Service-Level Interoperability.** Support concrete interoperability of independently developed
69 *analytics* based on a common service description and associated SOAP bindings.

70

71 The text of this specification is normative with the exception of the Introduction and Examples (Appendix
72 B).

73 1.1 Terminology

74 The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD
75 NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described
76 in [RFC2119].

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117 Sons, Inc. 2002

118 2 Basic Concepts and Terms

119 This specification defines and uses the following terms:

120 **Unstructured Information** is typically the direct product of human communications. Examples include
121 natural language documents, email, speech, images and video. It is information that was not encoded for
122 machines to understand but rather authored for humans to understand. We say it is “unstructured”
123 because it lacks explicit semantics (“structure”) required for computer programs to interpret the
124 information as intended by the human author or required by the application.

125

126 **Artifact** refers to an application-level unit of information that is subject to analysis by some application.
127 Examples include a text document, a segment of speech or video, a collection of documents, and a
128 stream of any of the above. Artifacts are physically encoded in one or more ways. For example, one way
129 to encode a text document might be as a Unicode string.

130

131 **Artifact Modality** refers to mode of communication the artifact represents, for example, text, video or
132 voice.

133

134 **Artifact Metadata** refers to structured data elements recorded to describe entire artifacts or parts of
135 artifacts. A piece of artifact metadata might indicate, for example, the part of the document that
136 represents its title or the region of video that contains a human face. Another example of metadata might
137 indicate the topic of a document while yet another may tag or annotate occurrences of person names in a
138 document etc. Artifact metadata is logically distinct from the artifact, in that the artifact is the data being
139 analyzed and the artifact metadata is the result of the analysis – it is data about the artifact.

140

141 **Domain Model** refers to a conceptualization of a system, often cast in a formal modeling language. In this
142 specification we use it to refer to any model which describes the structure of artifact metadata. A domain
143 model provides a formal definition of the types of data elements that may constitute artifact metadata. For
144 example, if some artifact metadata represents the organizations detected in a text document (the artifact)
145 then the type Organization and its properties and relationship to other types may be defined in a domain
146 model which the artifact metadata instantiates.

147

148 **Analysis Data** is used to refer to the logical union of an artifact and its metadata.

149

150 **Analysis Operations** are abstract functions that perform some analysis on artifacts and/or their metadata
151 and produce some result. The results may be the addition or modification to artifact metadata and/or the
152 generation of one or more artifacts. An example is an “Annotation” operation which may be defined by the
153 type of artifact metadata it produces to describe or annotate an artifact. Analysis operations may be
154 ultimately bound to software implementations that perform the operations. Implementations may be
155 realized in a variety of software approaches, for example web-services or Java classes.

156

157 An **Analytic** is a software object or network service that performs an Analysis Operation.

158

159 A **Flow Controller** is a component or service that decides the workflow between a set of analytics.

160

161 A **Processing Element (PE)** is either an Analytic or a Flow Controller. PE is the most general type of
162 component/service that developers may implement.

163

164 **Processing Element Metadata (PE Metadata)** is data that describes a Processing Element (PE) by
165 providing information used for discovering, combining, or reusing the PE for the development of UIM
166 applications. PE Metadata would include Behavioral Metadata for the operation which the PE implements.
167

168 3 Elements of the UIMA Specification

169 In this section we provide an overview of the seven elements of the UIMA standard. The full specification
170 for each element will be defined in Section 4.

171 3.1 Common Analysis Structure (CAS)

172 The Common Analysis Structure or CAS is the common data structure shared by all UIMA analytics to
173 represent the unstructured information being analyzed (the **artifact**) as well as the metadata produced by
174 the analysis workflow (the **artifact metadata**).
175

176 The CAS represents an essential element of the UIMA specification in support of interoperability since it
177 provides the common foundation for sharing data and results across analytics.
178

179 The CAS is an Object Graph where Objects are instances of Classes and Classes are Types in a **type**
180 **system** (see next section).
181

182 A general and motivating UIMA use case is one where analytics label or *annotate* regions of unstructured
183 content. A fundamental approach to representing annotations is referred to as the “stand-off” annotation
184 model. In a “stand-off” annotation model, annotations are represented as objects of a domain model that
185 “point into” or reference elements of the unstructured content (e.g., document or video stream) rather than
186 as inserted tags that affect and/or are constrained by the original form of the content.
187

188 To support the stand-off annotation model, UIMA defines two fundamental types of objects in a CAS:

- 189 • **Sofa**, or subject of analysis, which holds the artifact;
- 190 • **Annotation**, a type of artifact metadata that points to a region within a Sofa and “annotates” (labels) the
191 designated region in the artifact.

192 The Sofa and Annotation types are formally defined as part of the UIMA Base Type System (see Section
193 3.3).
194

195 The CAS provides a domain neutral, object-based representation scheme that is aligned with UML
196 [UML1]. UIMA defines an XML representation of analysis data using the XML Metadata Interchange
197 (XMI) specification [XMI1][XMI2].
198

199 The CAS representation can easily be elaborated for specific domains of analysis by defining domain-
200 specific types; interoperability can be achieved across programming languages and operating systems
201 through the use of the CAS representation and its associated type system definition.
202

203 For the full CAS specification, see Section 4.1.
204

3.2 Type System Model

205 To support the design goal of data modeling and interchange, UIMA requires that a CAS conform to a
206 user-defined schema, called a **type system**.
207

208 A type system is a collection of inter-related **type** definitions. Each type defines the structure of any object
209 that is an instance of that type. For example, Person and Organization may be types defined as part of a

210 type system. Each type definition declares the attributes of the type and describes valid fillers for its
211 attributes. For example lastName, age, emergencyContact and employer may be attributes of the Person
212 type. The type system may further specify that the lastName must be filled with exactly one string value,
213 age exactly one integer value, emergencyContact exactly one instance of the same Person type and
214 employer zero or more instances of the Organization type.

215

216 The **artifact metadata** in a CAS is represented by an object model. Every object in a CAS must be
217 associated with a Type. The UIMA Type-System language therefore is a declarative language for defining
218 object models.

219

220 Type Systems are user-defined. UIMA does not specify a particular set of types that developers must use.
221 Developers define type systems to suit their application's requirements. A goal for the UIMA community,
222 however, would be to develop a common set of type-systems for different domains or industry verticals.
223 These common type systems can significantly reduce the efforts involved in integrating independently
224 developed analytics. These may be directly derived from related standards efforts around common tag
225 sets for legal information or common ontologies for biological data, for example.

226

227 Another UIMA design goal is to support the composition of independently developed **analytics**. The
228 behavior of analytics may be specified in terms of type definitions expressed in a type system language.
229 For example an analytic must define the types it requires in an input CAS and those that it may produce
230 as output. This is described as part of the analytic's Behavioral Metadata (See Section 3.5). For example,
231 an analytic may declare that given a plain text document it produces instances of Person annotations
232 where Person is defined as a particular type in a type system.

233

234 The UIMA Type System Model is designed to provide the following features:

- 235 • **Object-Oriented.** Type systems defined with the UIMA Type System Model are isomorphic to classes
236 in object-oriented representations such as UML, and are easily mapped or compiled into deployment
237 data structures in a particular implementation framework.
- 238 • **Inheritance.** Types can extend other types, thereby inheriting the features of their parent type.
- 239 • **Optional and Required Features.** The features associated with types can be optional or required,
240 depending on the needs of the application.
- 241 • **Single and Multi-Valued Features with Range Constraints.** The features associated with types can
242 be single-valued or multi-valued, depending on the needs of the application. The legal range of values
243 for a feature (its range constraint) may be specified as part of the feature definition.
- 244 • **Alignment with UML standards and Tooling.** The UIMA Type System model can be directly
245 expressed using existing UML modeling standards, and is designed to take advantage of existing
246 tooling for UML modeling.

247

248 Rather than invent a language for defining the UIMA Type System Model, we have explored standard
249 modeling languages.

250

251 The OMG has defined representation schemes for describing object models including UML and its
252 subsets (modeling languages with increasingly lower levels of expressivity). These include MOF and
253 EMOF (the essential MOF) [[MOF1](#)].

254

255 Ecore is the modeling language of the Eclipse Modeling Framework (EMF) [[EMF1](#)]. It affords the
256 equivalent modeling semantics provided by EMOF with some minor syntactic differences – see Section
257 B.2.2.

258

259 UIMA adopts Ecore as the type system representation, due to the alignment with standards and the
260 availability of EMF tooling.

261

262 For the full Type System Model specification, see Section 4.2.

263 **3.3 Base Type System**

264 The UIMA Base Type System is a standard definition of commonly-used, domain-independent types. It
265 establishes a basic level of interoperability among applications.

266

267 The most significant part of the Base Type System is the *Annotation and Sofa (Subject of Analysis) Type*
268 *System*. In UIMA, a CAS stores the artifact (i.e., the unstructured content that is the subject of the
269 analysis) and the artifact metadata (i.e., structured data elements that describe the artifact). The
270 metadata generated by an analytic may include a set of annotations that label regions of the artifact with
271 respect to some domain model (e.g., persons, organizations, events, times, opinions, etc). These
272 annotations are logically and physical distinct from the subject of analysis, so this model is referred to as
273 the “stand-off” model for annotations.

274

275 In UIMA the original content is not affected in the analysis process. Rather, an object graph is produced
276 that *stands off* from and annotates the content. Stand-off annotations in UIMA allow for multiple content
277 interpretations of graph complexity to be produced, co-exist, overlap and be retracted without affecting
278 the original content representation. The object model representing the stand-off annotations may be used
279 to produce different representations of the analysis results. A common form for capturing document
280 metadata for example is as in-line XML. An analytic in a UIM application, for example, can generate from
281 the UIMA representation an in-line XML document that conforms to some particular domain model or
282 markup language. Alternatively it can produce an XMI or RDF document.

283

284 The Base Type System also includes the following:

- 285 • Primitive Types (defined by Ecore)
- 286 • Views (Specific collections of objects in a CAS)
- 287 • Source Document Information (Records information about the original source of unstructured
288 information in the CAS)

289

290 For the full Base Type System specification, see Section 4.3.

291 **3.4 Abstract Interfaces**

292 The UIMA Abstract Interfaces define the standard component types and operations that UIMA services
293 implement. The abstract definitions in this section lay the foundation for the concrete service specification
294 described in Section 3.7.

295

296 All types of UIMA services operate on the Common Analysis Structure (CAS). As defined in Section 3.1,
297 the CAS is the common data structure that represents the unstructured information being analyzed as
298 well as the metadata produced by the analysis workflow.

299

300 The supertype of all UIMA components is called the *Processing Element (PE)*. The ProcessingElement
301 interface defines the following operations, which are common to all subtypes of ProcessingElement:

- 302 • `getMetadata`, which takes no arguments and returns the *PE Metadata* for the service.
- 303 • `setConfigurationParameters`, which takes a ConfigurationParameterSettings object that
304 contains a set of (name, values) pairs that identify configuration parameters and the values to
305 assign to them.

306
307 An *Analytic* is a subtype of PE that performs analysis of CASes. There are two subtypes, *Analyzer* and
308 *CAS Multiplier*.
309
310 An *Analyzer* processes a CAS and possibly updates its contents. This is the most common type of UIMA
311 component. The *Analyzer* interface defines the operations:
312

- `processCas`, which takes a single CAS plus a list of Sofas to analyze, and returns either an
313 updated CAS, or a set of updates to apply to the CAS.
- `processCasBatch`, which takes multiple CASes, each with a list of Sofas to analyze, and returns
315 a response that contains, for each of the input CASes: an updated CAS, a set of updates to apply
316 to the CAS, or an exception.

317
318 A *CAS Multiplier* processes a CAS and possibly creates new CASes. This is useful for example to
319 implement a “segmenter” *Analytic* that takes an input CAS and divides it into pieces, outputting each
320 piece as a new CAS. A *CAS multiplier* can also be used to merge information from multiple CASes into
321 one output CAS. The *CAS Multiplier* interface defines the following operations:
322

- `inputCas`, which takes a CAS plus a list of Sofas, but returns nothing.
- `getNextCas`, which takes no input and returns a CAS. This returns the next output CAS. An
324 empty response indicates no more output CASes.
- `retrieveInputCas`, which takes no arguments and returns the original input CAS, possibly
326 updated.
- `getNextCasBatch`, which takes a maximum number of CASes to return and a maximum amount
328 of time to wait (in milliseconds), and returns a response that contains: Zero or more
329 CASes (up to the maximum number specified), a Boolean indicating whether any more CASes
330 remain, and an estimate of the number of CASes remaining (if known).

331
332 A *Flow Controller* is a subtype of PE that determines the route CASes take through multiple Analytics.
333 The *Flow Controller* interface defines the following operations:
334

- `addAvailableAnalytics`, which provides the Flow Controller with access to the Analytic
335 Metadata for all of the Analytics that the Flow Controller may route CASes to. This takes a map
336 from String keys to `ProcessingElementMetadata` objects. This may be called multiple times, if
337 new analytics are added to the system after the original call is made.
- `removeAvailableAnalytics`, which takes a set of `Keys` and instructs the Flow Controller to
339 remove some Analytics from consideration as possible destinations.
- `setAggregateMetadata`, which provides the Flow Controller with Processing Element Metadata
341 that identifies and describes the desired behavior of the entire flow of components that the
342 FlowController is managing. The most common use for this is to specify the desired outputs of
343 the aggregate, so that the Flow Controller can make decisions about which analytics need to be
344 invoked in order to produce those outputs.
- `getNextDestinations`, which takes a CAS and returns one or more destinations for this CAS.
- `continueOnFailure`, which can be called by the aggregate/application when a Step issued by
347 the FlowController failed. The FlowController returns true if it can continue, and can change the
348 subsequent flow in any way it chooses based on the knowledge that a failure occurred. The
349 FlowController returns false if it cannot continue.

350
351 For the full Abstract Interfaces specification, see Section 4.4.

352 **3.5 Behavioral Metadata**

353 The Behavioral Metadata of an analytic declaratively describes what the analytic does; for example, what
354 types of CASs it can process, what elements in a CAS it analyzes, and what sorts of effects it may have
355 on CAS contents as a result of its application.

- 356
- 357 Behavioral Metadata is designed to achieve the following goals:
- 358 1. **Discovery:** Enable both human developers and automated processes to search a repository and
359 locate components that provide a particular function (i.e., works on certain input, produces certain
360 output)
- 361
- 362 2. **Composition:** Support composition either by a human developer or an automated process.
- 363 a. Analytics should be able to declare what they do in enough detail to assist manual
364 and/or automated processes in considering their role in an application or in the
365 composition of aggregate analytics.
- 366 b. Through their Behavioral Metadata, Analytics should be able to declare enough detail
367 as to enable an application or aggregate to detect “invalid” compositions/workflows
368 (e.g., a workflow where it can be determined that one of the Analytic’s preconditions
369 can never be satisfied by the preceding Analytic).
- 370
- 371 3. **Efficiency:** Facilitate efficient sharing of CAS content among cooperating analytics. If analytics
372 declare which elements of the CAS (e.g., views) they need to receive and which elements they do not
373 need to receive, the CAS can be filtered or split prior to sending it to target analytics, to achieve
374 transport and parallelization efficiencies respectively.
- 375
- 376 Behavioral Metadata breaks down into the following categories:
- 377 • **Analyzes:** Types of objects (Sofas) that the analytic intends to produce annotations over.
 - 378 • **Required Inputs:** Types of objects that must be present in the CAS for the analytic to operate.
 - 379 • **Optional Inputs:** Types of objects that the analytic would consult if they were present in the CAS.
 - 380 • **Creates:** Types of objects that the analytic may create.
 - 381 • **Modifies:** Types of objects that the analytic may modify.
 - 382 • **Deletes:** Types of objects that the analytic may delete.
- 383
- 384 Note that analytics are not required to declare behavioral metadata. If an analytic does not provide
385 behavioral metadata, then an application using the analytic cannot assume anything about the operations
386 that the analytic will perform on a CAS.
- 387
- 388 For the full Behavioral Metadata specification, see Section 4.5.
- 389

3.6 Processing Element Metadata
- 390 All UIMA Processing Elements (PEs) must publish **processing element metadata**, which describes the
391 analytic to support discovery and composition. This section of the spec defines the structure of this
392 metadata and provides an XML schema in which PEs must publish this metadata.
- 393
- 394 The PE Metadata is subdivided into the following parts:
- 395
- 396 1. **Identification Information.** Identifies the PE. It includes for example a symbolic/unique name, a
397 descriptive name, vendor and version information.
 - 398 2. **Configuration Parameters.** Declares the names of parameters used by the PE to affect its
399 behavior, as well as the parameters’ default values.
 - 400 3. **Behavioral Metadata.** Describes the PEs input requirements and the operations that the PE
401 may perform, as described in Section 3.5.
 - 402 4. **Type System.** Defines types used by the PE and referenced from the behavioral specification.
 - 403 5. **Extensions.** Allows the PE metadata to contain additional elements, the contents of which are
404 not defined by the UIMA specification. This can be used by framework implementations to

405 extend the PE metadata with additional information that may be meaningful only to that
406 framework.
407

408 For the full Processing Element Metadata specification, see Section 4.6.

409 **3.7 WSDL Service Descriptions**

410 This specification element facilitates interoperability by specifying a WSDL [[WSDL1](#)] description of the
411 UIMA interfaces and a binding to a concrete SOAP interface that compliant frameworks and services
412 MUST implement.

413

414 This SOAP interface implements the Abstract Interfaces described in Section 3.4. The use of SOAP
415 facilitates standard use of web services as a CAS transport.

416

417 For the full WSDL Service Descriptions specification, see Section 4.7.

418

419 **4 Full UIMA Specification**

420 **4.1 The Common Analysis Structure (CAS)**

421 **4.1.1 Basic Structure: Objects and Slots**

422 At the most basic level a CAS contains an object graph – a collection of objects that may point to or
423 cross-reference each other. Objects are defined by a set of properties which may have values. Values
424 can be primitive types like numbers or strings or can refer to other objects in the same CAS.

425

426 This approach allows UIMA to adopt general object-oriented modeling and programming standards for
427 representing and manipulating artifacts and artifact metadata.

428

429 UIMA uses the Unified Modeling Language (UML) [UML1] to represent the structure and content of a
430 CAS.

431

432 In UML an **object** is a data structure that has 0 or more slots. We can think of a slot as representing an
433 object's properties and values. Formally a **Slot** in UML is a (feature, value) pair. Features in UML
434 represent an object's properties. A slot represents an assignment of one or more values to a feature.
435 Values can be either primitives (strings or various numeric types) or references to other objects.

436

437 UML uses the notion of classes to represent the required structure of objects. Classes define the slots
438 that objects must have. We refer to a set of classes as a **type system**.

439 **4.1.2 Relationship to Type System**

440 Every object in a CAS is an instance of a class defined in a UIMA **type system**.

441

442 A type system defines a set of classes. A class may have multiple features. Features may either be
443 attributes or references.

444

445 All features define their type. The type of an attribute is a primitive data type. The type of a reference is a
446 class. Features also have a cardinality (defined by a lower bound and a upper bound), which define how
447 many values they may take. We sometimes refer to features with an upper bound greater than one as
448 multi-valued features.

449

450 An object has one slot for each feature defined by its class.

451

452 Slots for attributes take primitive values; slots for references take objects as values. In general a slot may
453 take multiple values; the number of allowed values is defined by the lower bound and upper bound of the
454 feature.

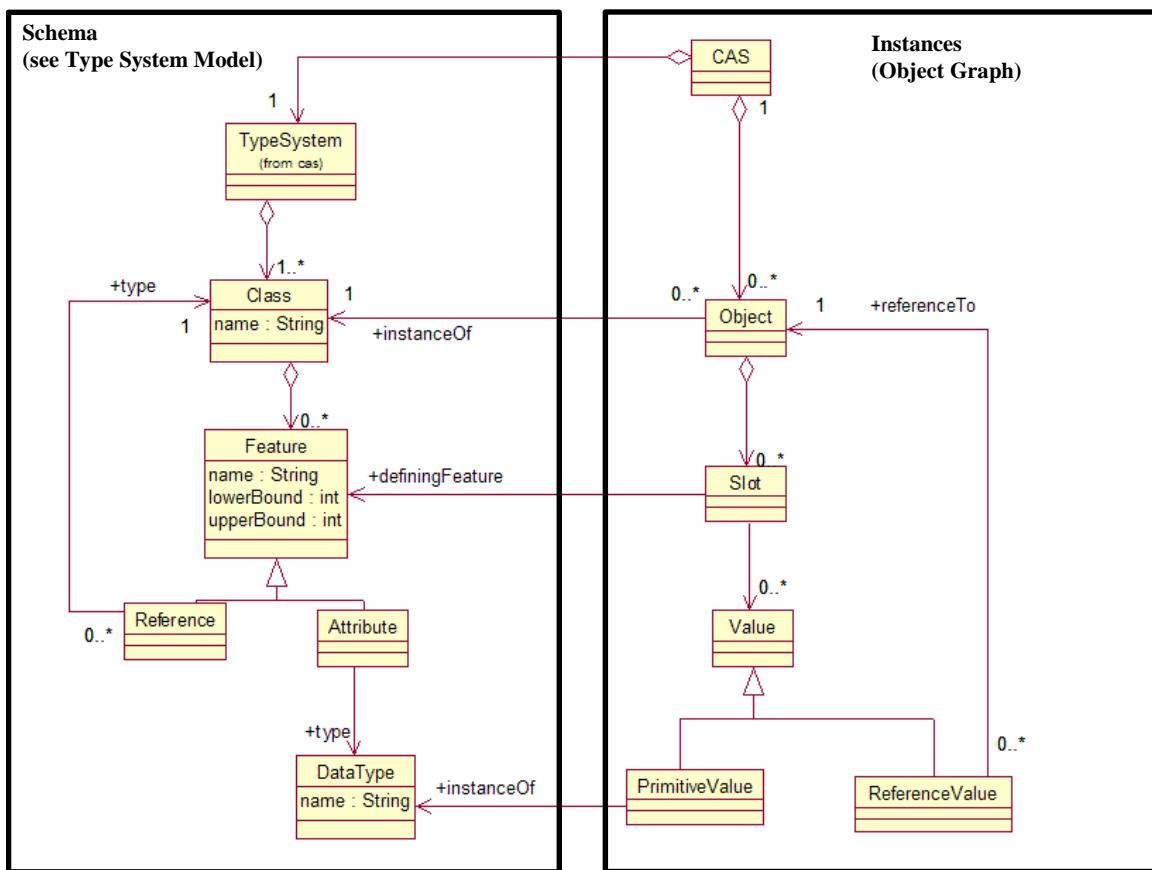
455

456 The metamodel describing how a CAS relates to a type system is diagrammed in Figure 1.

457

458 Note that some UIMA components may manipulate a CAS without knowledge of its type system. A
459 common example is a CAS Store, which might allow the storage and retrieval of any CAS regardless of
460 what its type system might be.

461



462

463

Figure 1: CAS Specification UML

464

4.1.3 The XMI CAS Representation

466 A UIMA CAS is represented as an XML document using the XMI (XML Metadata Interchange) standard
467 [XMI1, XMI2]. XMI is an OMG standard for expressing object graphs in XML.

468
469 XMI was chosen because it is an established standard, aligned with the object-graph representation of
470 the CAS, aligned with UML and with object-oriented programming, and supported by tooling such as the
471 Eclipse Modeling Framework [EMF1].

4.1.4 Formal Specification

4.1.4.1 Structure

474 UIMA CAS XML MUST be a valid XMI document as defined by the XMI Specification [XMI1].

475
476 This implies that UIMA CAS XML MUST be a valid instance of the XML Schema for XMI, listed in
477 Appendix C.1.

4.1.4.2 Constraints

479 If the root element of the XML CAS contains an xsi:schemaLocation attribute, the CAS is said to be linked
480 to an Ecore Type System. The xsi:schemaLocation attribute defines a mapping from namespace URI to

481 physical URI as defined by the XML Schema specification [XMLS1]. Each of these physical URIs MUST
482 be a valid Ecore document as defined by the XML Schema for Ecore, presented in Appendix C.2.

483

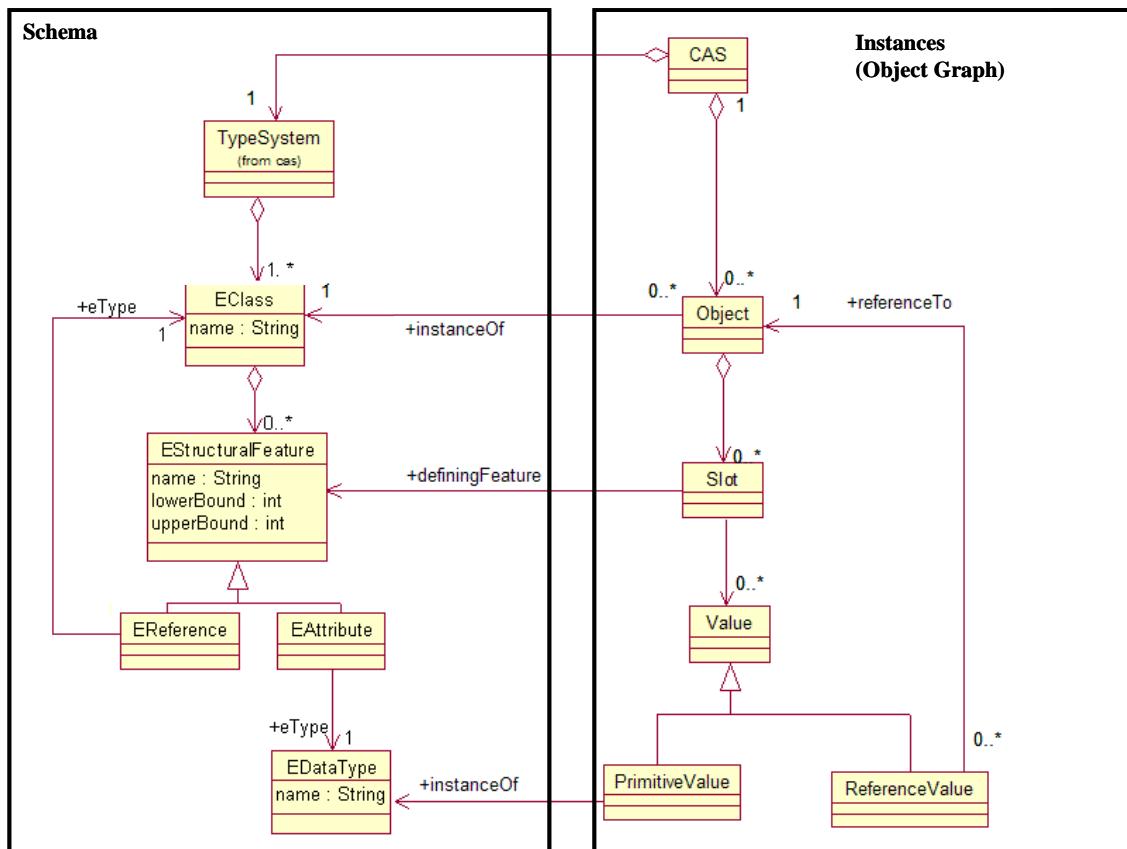
484 A CAS that is linked to an Ecore Type System MUST be valid with respect to that Ecore Type System, as
485 defined in Section 4.2.2.2.

486 **4.2 The Type System Model**

487 **4.2.1 Ecore as the UIMA Type System Model**

488 A UIMA Type System is represented using Ecore. Figure 2 shows how Ecore is used to define the
489 schema for a CAS.

490



491

492 **Figure 2: Ecore defines schema for CAS**

493

494 For an introduction to Ecore and an example of a UIMA Type System represented in Ecore, see Appendix
495 B.2.

496 **4.2.2 Formal Specification**

497 **4.2.2.1 Structure**

498 *UIMA Type System XML* MUST be a valid Ecore/XMI document as defined by Ecore and the XMI
499 Specification [XMI1].

500
501 This implies that UIMA Type System XML MUST be a valid instance of the XML Schema for Ecore, given
502 in Section C.2.

503 **4.2.2.2 Semantics**

504 A CAS is valid with respect to an Ecore type system if each object in the CAS is a valid instance of its
505 corresponding class (EClass) in the type system, as defined by XMI [XMI1], UML [UML1] and MOF
506 [MOF1].

507 **4.3 Base Type System**

508 The XML namespace for types defined in the UIMA base model is <http://docs.oasis-open.org/uima/base.ecore>. (With the exception of types defined as part of Ecore, listed in Section
509 4.3.1, whose namespace is defined by Ecore.).

511
512 Examples showing how the Base Type System is used in UIMA examples can be found in Appendix B.3.

513 **4.3.1 Primitive Types**

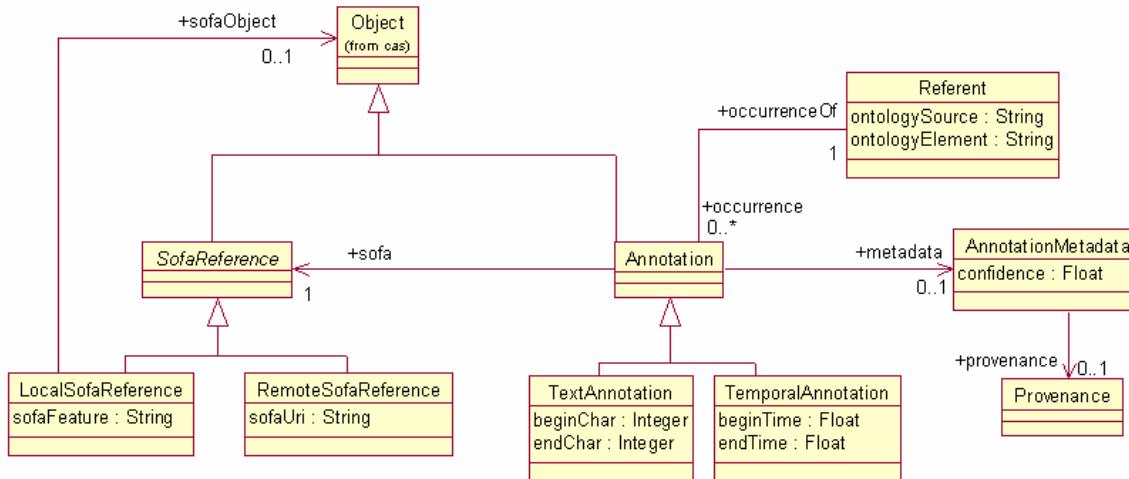
514 UIMA uses the following primitive types defined by Ecore, which are analogous to the Java (and Apache
515 UIMA) primitive types:

- 516
- 517 • EString
518 • EBoolean
519 • EByte (8 bits)
520 • EShort (16 bits)
521 • EInt (32 bits)
522 • ELong (64 bits)
523 • EFloat (32 bits)
524 • EDouble (64 bits)

525
526 Also Ecore defines the type EObject, which is defined as the superclass of all non-primitive types
527 (classes).

528 **4.3.2 Annotation and Sofa Base Type System**

529 The Annotation and Sofa Base Type System defines a standard way for Annotations to refer to regions
530 within a Subject of Analysis (Sofa). The UML for the Annotation and Sofa Base Type System is given in
531 Figure 3. The discussion in the following subsections refers to this figure.



532

533

Figure 3: Annotation and Sofa Base Type System UML

534

535 **4.3.2.1 Annotation and Sofa Reference**

536 The UIMA Base Type System defines a standard object type called **Annotation** for representing stand-off
537 annotations. The **Annotation** type represents a type of object that is linked to a Subject of Analysis (Sofa).

538

539 The Sofa is the value of a slot in another object. Since a reference directly to a *slot* on an *object* (rather
540 than just an *object* itself) is not a concept directly supported by typical object oriented programming
541 systems or by XMI, UIMA defines a base type called **LocalSofaReference** for referring to Sofas from
542 annotations. UIMA also defines a **RemoteSofaReference** type that allows an annotation to refer to a
543 subject of analysis that is not located in the CAS.

544 **4.3.2.2 References to Regions of Sofas**

545 An annotation typically points to a region of the artifact data. One of UIMA's design goals is to be
546 independent of modality. For this reason UIMA does not constrain the data type that can function as a
547 subject of analysis and allows for different implementations of the linkage between an annotation and a
548 region of the artifact data.

549

550 The **Annotation** class has subclasses for each artifact modality, which define how the **Annotation** refers to
551 a region within the Sofa. The Standard defines subclasses for common modalities – Text and Temporal
552 (audio or video segments). Users may define other subclasses.

553

554 In **TextAnnotation**, **beginChar** and **endChar** refer to Unicode character offsets in the corresponding Sofa
555 string. For **TemporalAnnotation**, **beginTime** and **endTime** are offsets measured in seconds from the start
556 of the Sofa. Note that applications that require a different interpretation of these fields must accept the
557 standard values and handle their own internal mappings.

558

559 Annotations with discontiguous spans are not part of the Base Type System, but could be implemented
560 with a user-defined subclass of the **Annotation** type.

561 **4.3.2.3 Referents**

562 In general, an `Annotation` is a reference to some element in a domain ontology. (For example, the text
563 "John Smith" and "he" might refer to the same person John Smith.) The UIMA Base Type System defines
564 a standard way to encode this information, using the `Annotation` and `Referent` types, and
565 `occurrences/occurrenceOf` features.

566

567 The value of the `Annotation's occurrenceOf` feature is the `Referent` object that identifies the domain
568 element to which that `Annotation` refers. All of the `Annotation` objects that refer to the same thing should
569 share the same `Referent` object. The `Referent's occurrences` feature is the inverse relationship,
570 pointing to all of the `Annotation` objects that refer to that `Referent`.

571

572 A `Referent` need not be a physical object. For example, `Event` and `Relation` are also considered kinds of
573 `Referent`.

574

575 The domain ontology can either be defined directly in the CAS type system or in an external ontology
576 system. If the domain ontology is defined directly in the CAS, then domain classes should be subclasses
577 of the `Referent` class. If the domain ontology is defined in an external ontology system, then the feature
578 `Referent.ontologySource` should be used to identify the target ontology and the feature
579 `Referent.ontologyElement` should be used to identify the target element within that ontology. The
580 format of these identifiers is not defined by UIMA.

581 **4.3.2.4 Additional Annotation Metadata**

582 In many applications, it will be important to capture metadata about each annotation. In the Base Type
583 System, we introduce an `AnnotationMetadata` class to capture this information. This class provides
584 fields for `confidence`, a float indicating how confident the annotation engine that produced the annotation
585 was in that annotation, and `provenance`, a `Provenance` object which stores information about the source
586 of an annotation. Users may subclass `AnnotationMetadata` and `Provenance` as needed to capture
587 additional application-specific information about annotations.

588 **4.3.3 View Base Type System**

589 A `View`, depicted in Figure 4, is a named collection of `objects` in a CAS. In general a view can represent
590 any subset of the `objects` in the CAS for any purpose. It is intended however that Views represent
591 different perspectives of the artifact represented by the CAS. Each View is intended to partition the
592 artifact metadata to capture a specific perspective.

593

594 For example, given a CAS representing a document, one View may capture the metadata describing an
595 English translation of the document while another may capture the metadata describing a French
596 translation of the document.

597 In another example, given a CAS representing a document, one view may contain an analysis produced
598 using company-confidential data another may produce an analysis using generally available data.

599

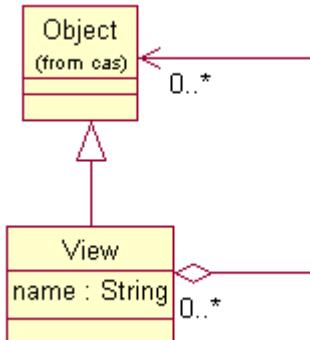


Figure 4: View Type

600

601

602

603 UIMA does not require the use of Views. However, our experiences developing Apache UIMA suggest
 604 that it is a useful design pattern to organize the metadata in a complex CAS by partitioning it into Views.
 605 Individual analytics may then declare that they require certain Views as input or produce certain Views as
 606 output.

607

608 Any application-specific type system could define a *class* that represents a named collection of *objects*
 609 and then refer to that *class* in an analytic's behavioral specification. However, since it is a common design
 610 pattern we define a standard View *class* to facilitate interoperability between components that operate on
 611 such collections of *objects*.

612

613 The members of a view are those *objects* explicitly asserted to be contained in the View. Referring to the
 614 UML in Figure 4, we mean that there is an explicit reference from the View to the member *object*.
 615 Members of a view may have references to other *objects* that are not members of the same View. A
 616 consequence of this is that we cannot in general "export" the members of a View to form a new self-
 617 contained CAS, as there could be dangling references. We define the **reference closure of a view** to
 618 mean the collection of objects that includes all of the members of the view but also contains all other
 619 *objects* referenced either directly or indirectly from the members of the view.

620

4.3.3.1 Anchored View

621 A common and intended use for a View is to contain metadata that is associated with a specific
 622 interpretation or perspective of an artifact. An application, for example, may produce an analysis of both
 623 the XML tagged view of a document and the de-tagged view of the document.

624

625 AnchoredView is as a subtype of View that has a named association with exactly one particular *object* via
 626 the standard *feature sofa*.

627

628 An AnchoredView requires that all Annotation *objects* that are members of the AnchoredView have their
 629 sofa *feature* refer to the same SofaReference that is referred to by the View's sofa *feature*.

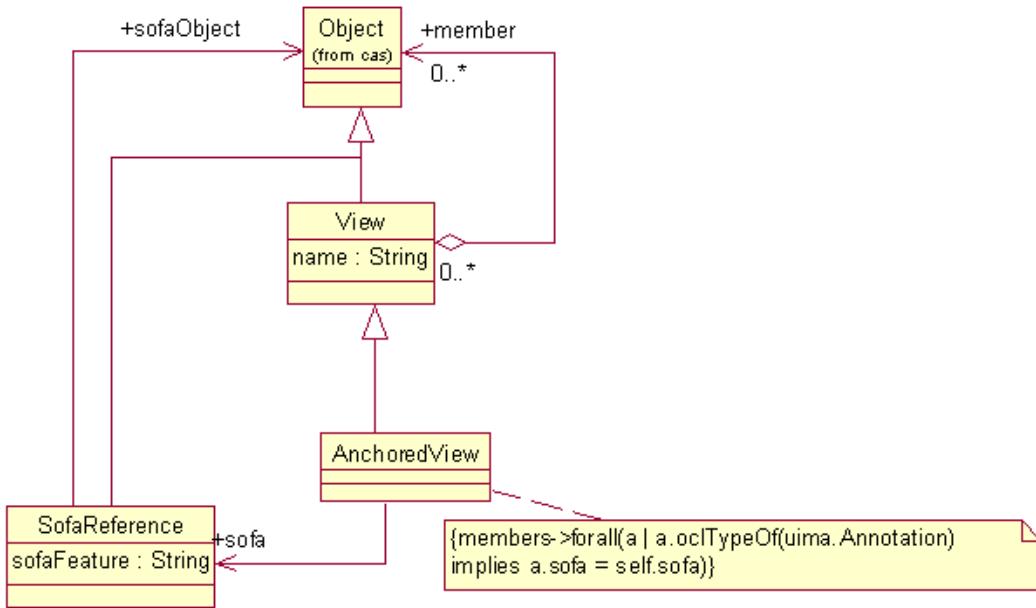
630

631 Simply put, all annotations in an AnchoredView annotate the same subject of analysis.

632

633 Figure 5 shows a UML diagram for the AnchoredView type, including an OCL constraint
 634 expression[OCL1] specifying the restriction on the sofa feature of its member annotations.

635



636

637

Figure 5: Anchored View Type

638

639 The concept of an AnchoredView addresses common use cases. For example, an analytic written to
 640 analyze the detagged representation of a document will likely only be able to interpret Annotations that
 641 label and therefore refer to regions in that detagged representation. Other Annotations, for example
 642 whose offsets referred back to the XML tagged representation or some other subject of analysis would
 643 not be correctly interpreted since they point into and describe content the analytic is unaware of.

644

645 If a chain of analytics are intended to all analyze the same representation of the artifact, they can all
 646 declare that AnchoredView as a precondition in their Behavioral Specification (see Section 4.5 Behavioral
 647 Metadata). With AnchoredViews, all the analytics in the chain can simply assume that all regional
 648 references of all Annotations that are members of the AnchoredView refer to the AnchoredView's sofa.
 649 This saves them the trouble of filtering Annotations to ensure they all refer to a particular sofa.

650

4.3.4 Source Document Information

651

Often it is useful to record in a CAS some information about the original source of the unstructured data contained in that CAS. In many cases, this could just be a URL (to a local file or a web page) where the source data can be found.

654

655

Figure 6: Source Document Information UML

656

Figure 6 contains the specification of a **SourceDocumentInformation** type included in the Base Type System that can be stored in a CAS and used to capture this information. Here, the offsetInSource and documentSize attributes must be byte offsets into the source, since that source may be in any modality.

SourceDocumentInformation
uri : String
offsetInSource : Integer
documentSize : Integer

659 4.3.5 Formal Specification

660 The Base Type System is formally defined by the Ecore model in Appendix C.3. UIMA services and
661 applications SHOULD use the Base Type System to facilitate interoperability with other UIMA services
662 and applications. The XML namespace `http://docs.oasis-open.org/uima/base.ecore` is reserved
663 for use by the Base Type System Ecore model, and user-defined Type Systems (such as those
664 referenced in PE metadata as discussed in Section 4.6.1.3) MUST NOT define their own type definitions
665 in this namespace.

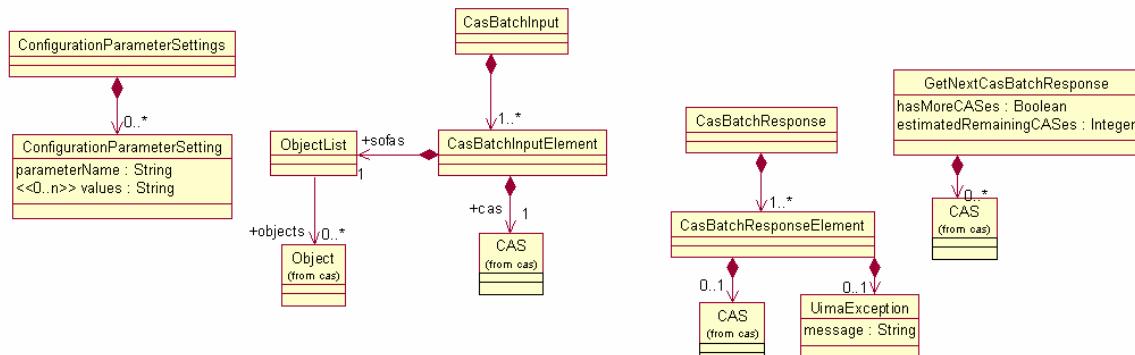
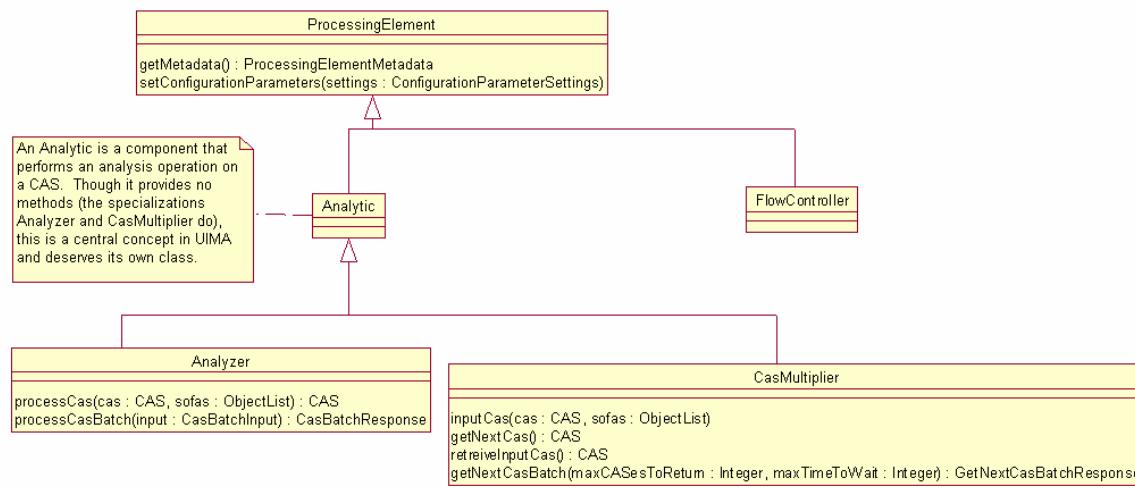
666 4.4 Abstract Interfaces

667 4.4.1 Abstract Interfaces URL

668 The UIMA specification defines two fundamental types of Processing Elements (PEs) that developers
669 may implement: *Analytics* and *Flow Controllers*. Refer to Figure 7 for a UML model of the Analytic
670 interfaces and Figure 8 for a UML model of the FlowController interface. A summary of the operations
671 defined by each interface is given in Section 3.4.

672 4.4.1.1 Analytic

673 An Analytic is a component that performs analysis on CASes. There are two specializations: Analyzer
674 and CasMultiplier. The Analyzer interface supports Analytics that take a CAS as input and output the
675 same CAS, possibly updated. The CasMultiplier interface supports zero or more output CASes per input
676 CAS.



677

678

679

Figure 7: Abstract Interfaces UML (Flow Controller Detail Omitted)

680 4.4.1.2 Flow Controller

681 A *Flow Controller* is a component that determines the route CASes take through multiple Analytics

682

683 Note that the FlowController is not responsible for knowing how to actually invoke a constituent analytic.
684 Invoking the constituent analytic is the job of the application or aggregate framework that encapsulates
685 the FlowController. This is an important separation of concerns since applications or frameworks may
686 use arbitrary protocols to communicate with constituent analytics and it is not reasonable to expect a
687 reusable FlowController to understand all possible protocols.

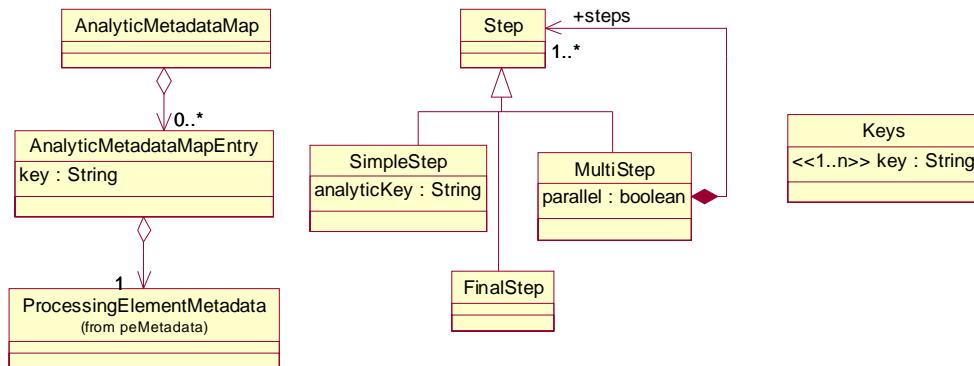
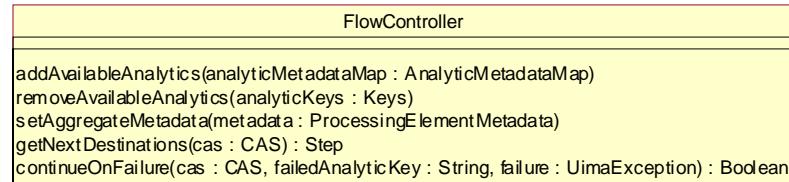
688

689 A FlowController, being a subtype of ProcessingElement, may have configuration parameters. For
690 example, a configuration parameter may refer to a description of the desired flow in some flow language
691 such as BPEL [BPEL1]. This is one way to create a reusable Flow Controller implementation that can be
692 applied in many applications or aggregates.

693

694 A Flow Controller may not modify the CAS. However, a concrete implementation of the Flow Controller
695 interface could provide additional operations on the Flow Controller which allow it to return data. For
696 example, it could return a Flow data structure to allow the application to get information about the flow
697 history.

698



699
700

Figure 8: Flow Controller Abstract Interface UML

701 4.4.2 Formal Specification

702 The following subsections specify requirements that a particular type of UIMA service must provide an
703 operation with certain inputs and outputs. For example, a UIMA PE service must implement a

704 getMetaData operation that returns standard UIMA PE Metadata. In all cases, the protocol for invoking
705 this operation is not defined by the standard. However, the format in which data is sent to and from the
706 service MUST be the standard UIMA XML representation. Implementations MAY define additional
707 operations that use other formats.

708 **4.4.2.1 ProcessingElement.getMetaData**

709 A UIMA Processing Element (PE) Service MUST implement an operation named `getMetaData`. This
710 operation MUST take zero arguments and MUST return PE Metadata XML as defined in Section 4.6.2. In
711 the following sections, we use the term “this PE Service’s Metadata” to refer to the PE Metadata returned
712 by this operation.

713 **4.4.2.2 ProcessingElement.setConfigurationParameters**

714 A UIMA Processing Element (PE) Service MUST implement an operation named
715 `setConfigurationParameters`. This operation MUST accept one argument, an instance of the
716 `ConfigurationParameterSettings` type defined by the XML Schema in Section C.7.

717

718 The PE Service MUST return an error if the `ConfigurationParameterSettings` object passed to this
719 method contains any of:

- 720 1. a `parameterName` that does not match any of the parameter names declared in this PE Service’s
721 Metadata.
- 722 2. multiple values for a parameter that is not declared as `multiValued` in this PE Service’s Metadata.
- 723 3. a value that is not a valid instance of the type of the parameter as declared in this PE Service’s
724 Metadata. To be a valid instance of the UIMA configuration parameter type, the value must be a
725 valid instance of the corresponding XML Schema datatype in Table 1: Mapping of UIMA
726 Configuration Parameter Types to XML Schema Datatypes, as defined by the XML Schema
727 specification [XMLS2].

728

UIMA Configuration Parameter Type	XML Schema Datatype
String	string
Integer	int
Float	float
Boolean	boolean
ResourceURL	anyURI

729 **Table 1: Mapping of UIMA Configuration Parameter Types to XML Schema Datatypes**

730

731 After a client calls `setConfigurationParameters`, those parameter settings MUST be applied to all
732 subsequent requests from that client, until such time as a subsequent call to `setConfigurationParameters`
733 specifies new values for the same parameter(s). If the PE service is shared by multiple clients, the PE
734 service MUST provide a way to keep their configuration parameter settings separate.

735

736 **4.4.2.3 Analyzer.processCas**

737 A UIMA Analyzer Service MUST implement an operation named `processCas`. This operation MUST
738 accept two arguments. The first argument is a CAS, represented in XMI as defined in Section 4.1.4. The
739 second argument is a list of `xmi:id`s that identify `SofaReference` objects which the Analyzer is expected

740 to analyze. This operation MUST return a valid XMI document which is either a valid CAS (as defined in
741 Section4.1.4) or a description of changes to be applied to the input CAS using the XMI differences
742 language defined in [XMI1].

743

744 The output CAS of this operation represents an update of the input CAS. Formally, this means :

- 745 1. All objects in the input CAS must appear in the output CAS, except where an explicit delete or
746 modification was performed by the service (which is only allowed if such operations are declared
747 in the Behavioral Metadata element of this service's PE Metadata).
- 748 2. For the processCas operation, an object that appears in both the input CAS and output CAS must
749 have the same value for xmi:id.
- 750 3. No newly created object in the output CAS may have the same xmi:id as any object in the input
751 CAS.

752

753 The input CAS may contain a reference to its type system (see Section B.1.6). If it does not, then the
754 PE's type system (see Section 4.6.1.3) may provide definitions of the types. If the CAS contains an
755 instance of a type that is not defined in either place, then the PE MUST return that object, unmodified.

756

757 **4.4.2.4 Analyzer.processCasBatch**

758 A UIMA Analyzer Service MUST implement an operation named `processCasBatch`. This operation
759 MUST accept an argument which consists of one or more CASes, each with an associated list of xmi:ids
760 that identify `SofaReference` objects in that CAS. This operation MUST return a response that consists of
761 multiple elements, one for each input CAS, where each element is either valid XMI document which is
762 either a valid CAS (as defined in Section4.1.4), a description of changes to be applied to the input CAS
763 using the XMI differences language defined in [XMI1], or an exception message.

764

765 The CASes that result from calling `processCasBatch` MUST be identical to the CASes that would result
766 from several individual `processCas` operations, each taking only one of the CASes as input.

767

768 If an application needs to consider an entire set of CASes in order to make decisions about annotating
769 each individual CAS, it is up to the application to implement this. An example of how to do this would be
770 to use an external resource such as a database, which is populated during one pass and read from
771 during a subsequent pass.

772 **4.4.2.5 CasMultiplier.inputCas**

773 A UIMA CAS Multiplier service MUST implement an operation named `inputCas`. This operation MUST
774 accept two arguments. The first argument is a CAS, represented in XMI as defined in Section 4.1.4. The
775 second argument is a list of xmi:ids that identify `SofaReference` objects which the Analyzer is expected
776 to analyze. This operation returns nothing.

777

778 The CAS that is passed to this operation becomes this CAS Multiplier's *active* CAS.

779 **4.4.2.6 CasMultiplier.getNextCas**

780 A UIMA CAS Multiplier service MUST implement an operation named `getNextCas`. This operation
781 MUST take zero arguments. This operation MUST return a valid CAS as defined in Section4.1.4, or a
782 result indicating that there are no more CASes available.

783

784 If the client calls `getNextCas` when this CAS Multiplier has no active CAS, then this CAS Multiplier MUST
785 return an error.

786 **4.4.2.7 CasMultiplier.retrieveInputCas**

787 A UIMA CAS Multiplier service MUST implement an operation named `retrieveInputCas`. This operation
788 MUST take zero arguments and MUST return a valid XMI document which is either a valid CAS (as
789 defined in Section 4.1.4) or a description of changes to be applied to the CAS Multiplier's active CAS
790 using the XMI differences language defined in [XMI1].

791
792 If the client calls `retrieveInputCas` when this CAS Multiplier has no active CAS, then this CAS Multiplier
793 MUST return an error.

794
795 After this method completes, this service no longer has an active CAS, until the client's next call to
796 `inputCas`.

797 **4.4.2.8 CasMultiplier.getNextCasBatch**

798 A UIMA CAS Multiplier service MUST implement an operation named `getNextCasBatch`. This
799 operation MUST take two arguments, both of which are integers. The first argument (named
800 `maxCASEsToReturn`) specifies the maximum number of CASEs to be returned, and the second argument
801 (named `maxTimeToWait`) indicates the maximum number of milliseconds to wait. This operation MUST
802 return an object with three fields:

- 803 1. Zero or more valid CASEs as defined in Section 4.1.4. The number of CASEs MUST NOT
804 exceed the value of the `maxCASEsToReturn` argument.
- 805 2. a Boolean indicating whether more CASE remain to be retrieved.
- 806 3. An estimated number of remaining CASEs. The estimated number of remaining CASEs may be
807 set to -1 to indicate an unknown number.

808
809 The call to `getNextCasBatch` SHOULD attempt to complete and return a response in no more than the
810 amount of time specified (in milliseconds) by the `maxTimeToWait` argument.

811
812 If the client calls `getNextCasBatch` when this CAS Multiplier has no active CAS, then this CAS Multiplier
813 MUST return an error.

814
815 CASEs returned from `getNextCasBatch` MUST be equivalent to the CASEs that would be returned from
816 individual calls to `getNextCas`.

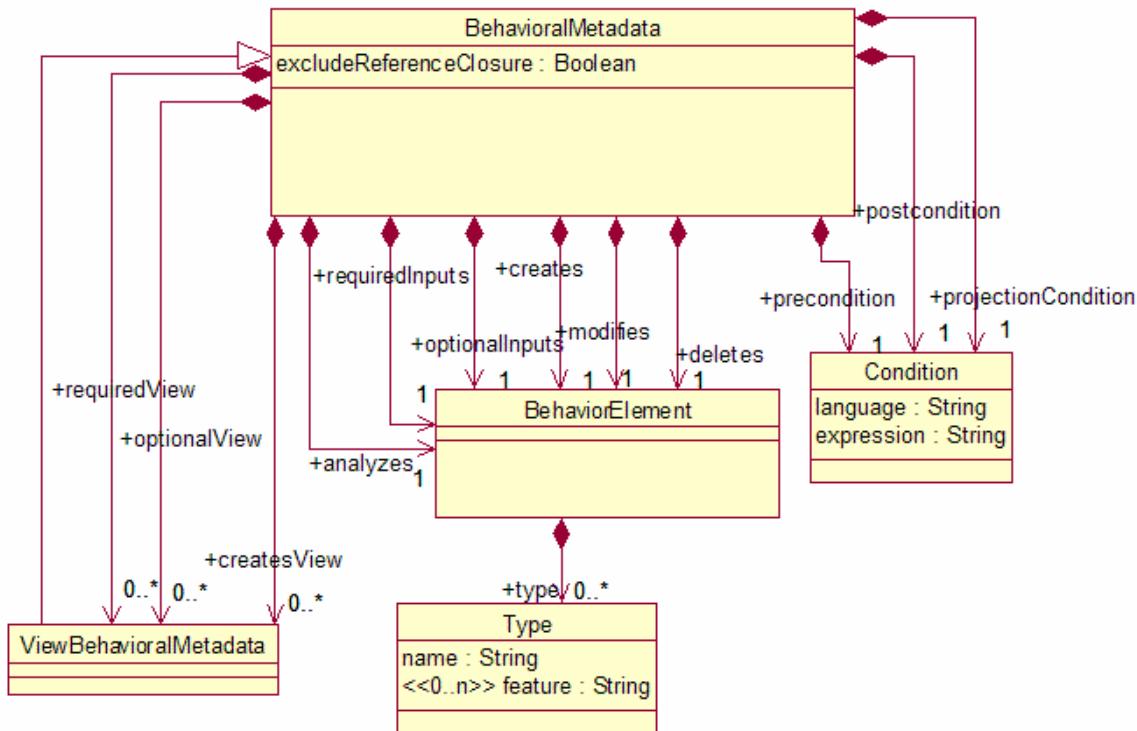
817 **4.4.2.9 FlowController.addAvailableAnalytics**

818 A UIMA Flow Controller service MUST implement an operation named `addAvailableAnalytics`. This
819 operation MUST accept one argument, a Map from String keys to PE Metadata objects. Each of the
820 String keys passed to this operation is added to the set of *available analytic* keys for this Flow Controller
821 service.

822 **4.4.2.10 FlowController.removeAvailableAnalytics**

823 A UIMA Flow Controller service MUST implement an operation named `removeAvailableAnalytics`.
824 This operation MUST accept one argument, which is a collection of one or more String keys. If any of the
825 String keys passed to this operation are not a member of the set of *available analytic* keys for this Flow
826 Controller service, an error MUST be returned. Each of the String keys passed to this operation is
827 removed from the set of *available analytic* keys for this FlowController service.

- 828 **4.4.2.11 FlowController.setAggregateMetadata**
- 829 A UIMA Flow Controller service MUST implement an operation named `setAggregateMetadata`. This
830 operation MUST take one argument, which is valid PE Metadata XML as defined in Section 4.6.2.
831
- 832 There are no formal requirements on what the Flow Controller does with this PE Metadata, but the
833 intention is for the PE Metadata to specify the desired outputs of the workflow, so that the Flow Controller
834 can make decisions about which analytics need to be invoked in order to produce those outputs.
- 835 **4.4.2.12 FlowController.getNextDestinations**
- 836 A UIMA Flow Controller service MUST implement an operation named `getNextDestinations`. This
837 operation MUST accept one argument, which is an XML CAS as defined in Section 4.1.4 and MUST
838 return an instance of the `Step` type defined by the XML Schema in Section C.7.
839
- 840 The different types of Step objects are defined in the UML diagram in Figure 8 and XML schema in
841 Appendix C.7. Their intending meanings are as follows:
- 842
 - `SimpleStep` identifies a single Analytic to be executed. The Analytic is identified by the String key
843 that was associated with that Analytic in the `AnalyticMetadataMap`.
 - `Multistep` identifies one or more Steps that should be executed next. The `MultiStep` also indicates
844 whether these steps must be performed sequentially or whether they may be performed in parallel.
 - `FinalStep` which indicates that there are no more destinations for this CAS, i.e., that processing of
845 this CAS has completed.
- 846 Each analyticKey field of a Step object returned from the `getNextDestinations` operation MUST be a
847 member of the set of *active analytic* keys of this Flow Controller service.
- 850 **4.4.2.13 FlowController.continueOnFailure**
- 851 A UIMA FlowController service MUST define an operation named `continueOnFailure`. This operation
852 MUST accept three arguments as follows. The first argument is an XML CAS as defined in Section 4.1.4.
853 The second argument is a String key. The third argument is an instance of the `UimaException` type
854 defined in the XML schema in Section C.7.
- 855
- 856 If the String key is not a member of the set of *active analytic* keys of this Flow Controller, then an error
857 must be returned.
- 858
- 859 This method is intended to be called by the client when there was a failure in executing a Step issued by
860 the FlowController. The client is expected to pass the CAS that failed, the analytic key from the Step
861 object that was being executed, and the exception that occurred.
- 862
- 863 Given that the above assumptions hold, the `continueOnFailure` operation SHOULD return true if a further
864 call to `getNextDestinations` would succeed, and false if a further call to `getNextDestinations` would fail.
865
- 866 **4.5 Behavioral Metadata**
- 867 **4.5.1 Behavioral Metadata UML**
- 868 The following UML diagram defines the UIMA Behavioral Metadata representation:



869

870

Figure 9: Behavioral Metadata UML

871

4.5.2 Behavioral Metadata Elements and XML Representation

873 Behavioral Metadata breaks down into the following categories:

- 874 • **Analyzes**: Types of objects (Sofas) that the analytic intends to produce annotations over.
- 875 • **Required Inputs**: Types of objects that must be present in the CAS for the analytic to operate.
- 876 • **Optional Inputs**: Types of objects that the analytic would consult if they were present in the CAS.
- 877 • **Creates**: Types of objects that the analytic may create.
- 878 • **Modifies**: Types of objects that the analytic may modify.
- 879 • **Deletes**: Types of objects that the analytic may delete.

880

881 The representation of these elements in XML is defined by the **BehavioralMetadata** element definition in
 882 the XML schema given in Appendix C.5. For examples and discussion, see Appendix B.5.

4.5.3 Formal Semantics for Behavioral Metadata

884 All Behavioral Metadata elements may be mapped to three kinds of expressions in a formal language: a
 885 **Precondition**, a **Postcondition**, and a **Projection Condition**.

886

887 A **Precondition** is a predicate that qualifies CASs that the analytic considers valid input. More precisely
 888 the analytic's behavior would be considered unspecified for any CAS that did not satisfy the pre-condition.
 889 The pre-condition may be used by a framework or application to filter or skip CASs routed to an analytic
 890 whose pre-condition is not satisfied by the CASs. A human assembler or automated composition process
 891 can interpret the pre-conditions to determine if the analytic is suitable for playing a role in some aggregate
 892 composition.

893

894 A *Postcondition* is a predicate that is declared to be true of any CAS after having been processed by the
895 analytic, assuming that the CAS satisfied the precondition when it was input to the analytic.

896

897 For example, if the pre-condition requires that valid input CASs contain People, Places and
898 Organizations, but the Postconditions of the previously run Analytic asserts that the CAS will not contain
899 all of these objects, then the composition is clearly invalid.

900

901 A *Projection Condition* is a predicate that is evaluated over a CAS and which evaluates to a subset of the
902 objects in the CAS. This is the set of objects that the Analytic declares that it will consider to perform its
903 function.

904

905 The following is a high-level description of the mapping from Behavioral Metadata Elements to
906 preconditions, postconditions, and projection conditions. For a precise definition of the mapping, see
907 Section 4.5.4.3.

908

909 An `analyzes` or `requiredInputs` predicate translates into a precondition that all input CASes contain the
910 objects that satisfy the predicates.

911

912 A `deletes` predicate translates into a postcondition that for each object O in the input CAS, if O does not
913 satisfy the `deletes` predicate, then O is present in the output CAS.

914

915 A `modifies` predicate translates into a postcondition that for each object O in the input CAS, if O does not
916 satisfy the `modifies` predicate, and if O is present in the output CAS (i.e. it was not deleted), then O has
917 the same values for all of its slots.

918

919 For views, we add the additional constraint that objects are members of that View (and therefore
920 annotations refer to the View's sofa). For example:

```
921 <requiredView sofaType="org.example:TextDocument">
922   <requiredInputs>
923     <type>org.example:Token</type>
924   </requiredInputs>
925 </requiredView>
```

926

927 This translates into a precondition that the input CAS must contain an anchored view V where V is linked
928 to a Sofa of type TextDocument and V.members contains at least one object of type Token.

929

930 Finally, the projection condition is formed from a disjunction of the "analyzes," "required inputs," and
931 "optional inputs" predicates, so that any object which satisfies any of these predicates will satisfy the
932 projection condition.

933

934 UIMA does not mandate a particular expression language for representing these conditions.
935 Implementations are free to use any language they wish. However, to ensure a standard interpretation of
936 the standard UIMA Behavior Elements, the UIMA specification defines how the Behavior Elements map
937 to preconditions, postconditions, and projection conditions in the Object Constraint Language [OCL1], an
938 OMG standard. See Section 4.5.4.3 for details.

939 **4.5.4 Formal Specification**

940 **4.5.4.1 Structure**

941 *UIMA Behavioral Metadata XML* is a part of *UIMA Processing Element Metadata XML*. Its structure is
942 defined by the definitions of the BehavioralMetadata class in the Ecore model in C.3.

943

944 This implies that UIMA Behavioral Metadata XML must be a valid instance of the BehavioralMetadata
945 element definition in the XML schema given in Section C.5.

946 **4.5.4.2 Constraints**

947 Field values must satisfy the following constraints:

948 **4.5.4.2.1 Type**

- 949 • name must be a valid QName (Qualified Name) as defined by the Namespaces for XML specification
950 [XML2]. The namespace of this QName must match the namespace URI of an EPackage defined in an
951 Ecore model referenced by the PE's *TypeSystemReference*. The local part of the QName must match
952 the name of an EClass within that EPackage.
- 953 • Values for the `feature` attribute must not be specified unless the Type is contained in a `modifies`
954 element.
- 955 • Each value of feature must be a valid UnprefixedName as specified in [XML2], and must match the
956 name of an EStructuralFeature in the EClass corresponding to the value of the name field as described
957 in the previous bullet.

958 **4.5.4.2.2 Condition**

- 959 • language must be one of:

- 960 ○ The exact string OCL. If the value of the language field is OCL, then the value of the
961 expression field must be a valid OCL expression as defined by [OCL1].
- 962 ○ A user-defined language, which must be a String containing the '.' Character (for example
963 "org.example.MyLanguage"). Strings not containing the '.' are reserved by the UIMA
964 standard and may be defined at a later date.

965 **4.5.4.3 Semantics**

966 To give a formal meaning to the *analyzes*, *required inputs*, *optional inputs*, *creates*, *modifies*, and *deletes*
967 expressions, UIMA defines how these map into formal preconditions, postconditions, and projection
968 conditions in the Object Constraint Language [OCL1], an OMG standard.

969

970 The UIMA specification defines this mapping in order to ensure a standard interpretation of UIMA
971 Behavioral Metadata Elements. There is no requirement on any implementation to evaluate or enforce
972 these expressions. Implementations are free to use other languages for expressing and/or processing
973 preconditions, postconditions, and projection conditions.

974 **4.5.4.3.1 Mapping to OCL Precondition**

975 An OCL precondition is formed from the *analyzes*, *requiredInputs*, and *requiredView*
976 BehavioralMetadata elements as follows.

977

978 In these OCL expressions the keyword `input` refers to the collection of objects in the CAS when it is input
979 to the analytic.

980

981 For each type T in an analyzes or requiredInputs element, produce the OCL expression:
982 $\text{input} \rightarrow \text{exists}(p \mid p.\text{oclKindOf}(T))$
983
984 For each requiredView element that contains analyzes or requiredInputs elements with types T_1, T_2, \dots, T_n , produce the OCL expression:
985 $\text{input} \rightarrow \text{exists}(v \mid \text{ViewExpression} \text{ and } v.\text{members} \rightarrow \text{exists}(p \mid p.\text{oclKindOf}(T_2) \text{ and } \dots \text{ and } v.\text{members}(\text{exists}(p \mid p.\text{oclKindOf}(T_n))))$
986
987 There may be zero analyzes or requiredInputs elements, in which case there will be no $v.\text{members}$ clauses in the OCL expression.
988
989

990 In the above we define ViewExpression as follows:

991 If the requiredView element has no value for its sofaType slot, then ViewExpression is:

992 $v.\text{oclKindOf}(\text{uima}:\text{cas}:\text{View})$
993
994 If the requiredView has a sofaType slot with value then ViewExpression is defined as:

995 $v.\text{oclKindOf}(\text{uima}:\text{cas}:\text{AnchoredView}) \text{ and } v.\text{sofa}.\text{sofaObject}.\text{oclKindOf}(S)$
996
997 The final precondition expression for the analytic is the conjunction of all the expressions generated from
998 the productions defined in this section, as well as any explicitly declared precondition as defined in
999 Section B.5.5.

4.5.4.3.2 Mapping to OCL Postcondition

1000 In these OCL expressions the keyword input refers to the collection of objects in the CAS when it was
1001 input to the analytic, and the keyword result refers to the collection of objects in the CAS at the end of
1002 the analytic's processing. Also note that the suffix @pre applied to any attribute references the value of
1003 that attribute at the start of the analytic's operation.
1004
1005

1006 For types T_1, T_2, \dots, T_n specified in creates elements, produce the OCL expression:

1007 $\text{result} \rightarrow \text{forAll}(p \mid \text{input} \rightarrow \text{includes}(p) \text{ or } p.\text{oclKindOf}(T_1) \text{ or } p.\text{oclKindOf}(T_2) \text{ or } \dots \text{ or } p.\text{oclKindOf}(T_n))$
1008
1009

1010 For types T_1, T_2, \dots, T_n specified in deletes elements, produce the OCL expression:

1011 $\text{input} \rightarrow \text{forAll}(p \mid \text{result} \rightarrow \text{includes}(p) \text{ or } p.\text{oclKindOf}(T_1) \text{ or } p.\text{oclKindOf}(T_2) \text{ or } \dots \text{ or } p.\text{oclKindOf}(T_n))$
1012
1013

1014 For each modifies element specifying type T with features $F = \{F_1, F_2, \dots, F_n\}$, for each feature g defined
1015 on type T where $g \notin F$, produce the OCL expression:

1016 $\text{result} \rightarrow \text{forAll}(p \mid (\text{input} \rightarrow \text{includes}(p) \text{ and } p.\text{oclKindOf}(T)) \text{ implies } p.g = p.g@\text{pre})$
1017
1018

1019 For each createsView, requiredView or optionalView containing creates elements with types
1020 T_1, T_2, \dots, T_n , produce the OCL expression:

1021 $\text{result} \rightarrow \text{forAll}(v \mid (\text{ViewExpression}) \text{ implies } v.\text{members} \rightarrow \text{forAll}(p \mid v.\text{members}@\text{pre} \rightarrow \text{includes}(p) \text{ or } p.\text{oclKindOf}(T_1) \text{ or } p.\text{oclKindOf}(T_2) \text{ or } \dots \text{ or } p.\text{oclKindOf}(T_n))$
1022
1023

1024 where ViewExpression is as defined in Section 4.5.4.3.1.
1025
1026 For each requiredView or optionalView containing deletes elements with types T1,T2,...,Tn, produce
1027 the OCL expression:

```
1028     result->forAll(v | (ViewExpression) implies v.members@pre->forAll(p |
1029     v.members->includes(p) or p.oclkIndOf(T1) or p.oclkIndOf(T2) or ... or
1030     p.oclkIndOf(Tn))
```

1031 where ViewExpression is as defined in Section 4.5.4.3.1.
1032
1033 Within each requiredView or optionalView, for each modifies element specifying type T with features
1034 F={F1, F2, ...Fn}, for each feature g defined on type T where gnotinF, produce the OCL expression:

```
1035     result->forAll(v | (ViewExpression) implies v.members->forAll(p |
1036     (v.members@pre->includes(p) and p.oclkIndOf(T)) implies p.g = p.g@pre))
```

1037 where ViewExpression is as defined in Section 4.5.4.3.1.
1038
1039 The final postcondition expression for the analytic is the conjunction of all the expressions generated from
1040 the productions defined in this section, as well as any explicitly declared postcondition as defined in
1041 Section B.5.5.

1042 **4.5.4.3.3 Mapping to OCL Projection Condition**

1043 In these OCL expressions the keyword input refers to the collection of objects in the entire CAS when it
1044 is about to be delivered to the analytic. The OCL expression evaluates to a collection of objects that the
1045 analytic declares it will consider while performing its operation. When an application or framework calls
1046 this analytic, it MUST deliver to the analytic all objects in this collection.

1047
1048 If the excludeReferenceClosure attribute of the BehavioralMetadata is set to false (or omitted), then the
1049 application or framework MUST also deliver all objects that are referenced (directly or indirectly) from any
1050 object in the collection resulting from evaluation of the projection condition.

1051
1052 For types T1, T2, ... Tn specified in analyzes, requiredInputs, or optionalInputs elements, produce
1053 the OCL expression:

```
1054     input->select(p | p.oclkIndOf(T1) or p.oclkIndOf(T2) or ... or
1055     p.oclkIndOf(Tn))
```

1056
1057 For each requiredView or optionalView produce the OCL expression:

```
1058     input->select(v | ViewExpression)
```

1059 where ViewExpression is as defined in Section 4.5.4.3.1.

1060

1061 If the requiredView or optionalView contains types T1, T2,...Tn specified in analyzes,
1062 requiredInputs, or optionalInputs elements, produce the OCL expression:

```
1063     input->select(v | ViewExpression)->collect(v.members()->select(p |
1064     p.oclkIndOf(T1) or p.oclkIndOf(T2) or ... or p.oclkIndOf(Tn)))
```

1065

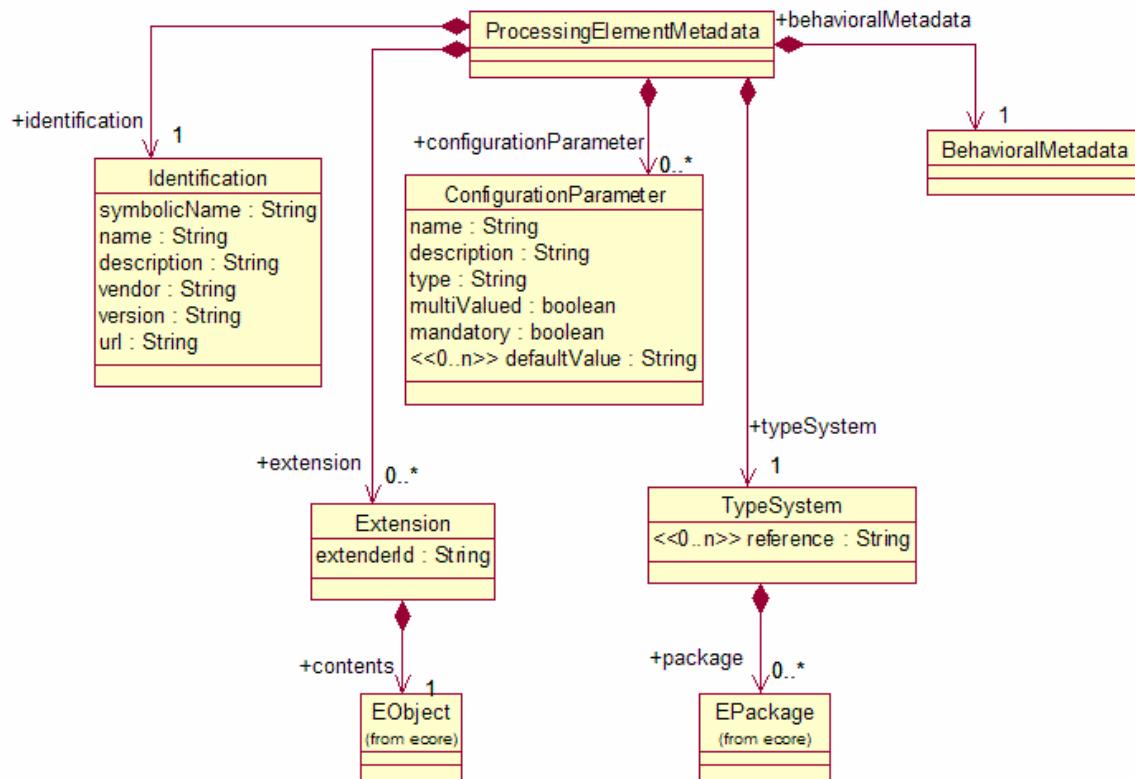
1066 The final projection condition expression for the analytic is the result of the OCL `union` operator applied
1067 consecutively to all of the expressions generated from the productions defined in this section, as well as
1068 any explicitly declared projection condition as defined in Section B.5.5.

1069

1070 4.6 Processing Element Metadata

1071 Figure 10 is a UML model for the PE metadata. We describe each subpart of the PE metadata in detail in
1072 the following sections.

1073



1074

Figure 10: Processing Element Metadata UML Model

1075

1076 4.6.1 Elements of PE Metadata

1077 4.6.1.1 Identification Information

1078 The Identification Information section of the descriptor defines a small set of properties that developers
1079 should fill in with information that describes their PE. The main objectives of this information are to:

- 1080
- 1081 1. Provide human-readable information about the analytic to assist developers in understanding
what the purpose of each PE is.
 - 1082 2. Facilitate the development of repositories of PEs.

1083

1084 The following properties are included:

- 1085
- 1086 1. Symbolic Name: A unique name (such as a Java-style dotted name) for this PE.

- 1087 2. Name: A human-readable name for the PE. Not necessarily unique.
1088 3. Description: A textual description of the PE.
1089 4. Version: A version number. This is necessary for PE repositories that need to distinguish
1090 different versions of the same component. The syntax of a version number is as defined in
1091 [OSGi1]: up to four dot-separated components where the first three must be numeric but the
1092 fourth may be alphanumeric. For example 1.2.3.4 and 1.2.3.abc are valid version numbers but
1093 1.2.abc is not.
1094 5. Vendor: The provider of the component.
1095 6. URL: website providing information about the component and possibly allowing download of the
1096 component

1097

1098 4.6.1.2 Configuration Parameters

1099 PEs may be configured to operate in different ways. UIMA provides a standard way for PEs to declare
1100 configuration parameters so that application developers are aware of the options that are available to
1101 them.

1102

1103 UIMA provides a standard interface for setting the values of parameters; see Section 4.4 Abstract
1104 Interfaces.

1105

1106 For each configuration parameter we should allow the PE developer to specify:

- 1107
- 1108 1. The name of the parameter
1109 2. A description for the parameter
1110 3. The type of value that the parameter may take
1111 4. Whether the parameter accepts multiple values or only one
1112 5. Whether the parameter is mandatory
1113 6. A default value or values for the parameter

1114

1115 One common use of configuration parameters is to refer to external resource data, such as files
1116 containing patterns or statistical models. Frameworks such as Apache UIMA may wish to provide
1117 additional support for such parameters, such as resolution of relative URLs (using classpath/datapath)
1118 and/or caching of shared data. It is therefore important for the UIMA configuration parameter schema to
1119 be expressive enough to distinguish parameters that represent resource locations from parameters that
1120 are just arbitrary strings.

1121

1122 The type of a parameter must be one of the following:

- 1123
- 1124 • String
 - 1125 • Integer (32-bit)
 - 1126 • Float (32-bit)
 - 1127 • Boolean
 - 1127 • ResourceURL

1128

1129 The ResourceURL satisfies the requirement to explicitly identify parameters that represent resource
1130 locations.

1131

1132 Note that parameters may take multiple values so it is not necessary to have explicit parameter types
1133 such as StringArray, IntegerArray, etc.

1135 As a best practice, analytics SHOULD NOT declare configuration settings that would affect their
1136 Behavioral Metadata. UIMA does not provide any mechanism to keep the behavioral specification in sync
1137 with the different configurations.

1138 **4.6.1.3 Type System**

1139 There are two ways that PE metadata may provide type system information: It can either include it or refer
1140 to it. This specification is only concerned with the format of that reference or inclusion. For the actual
1141 definition of the type system, we have adopted the Ecore/XMI representation. See Section 4.2 for details.
1142

1143 If reference is chosen as the way to provide the type system information, then the `reference` field of the
1144 `TypeSystem` object must be set to a valid URI (or multiple URIs). URIs are used as references by many
1145 web-based standards (e.g., RDF), and they are also used within Ecore. Thus we use a URI to refer to the
1146 type system. To achieve interoperability across frameworks, each URI should be a URL which resolves
1147 to a location where Ecore/XMI type system data is located.
1148

1149 If embedding is chosen as the way to provide the type system information, then the `package` reference of
1150 the `TypeSystem` object must be set to one or more `EPackages`, where an `EPackage` contains
1151 subpackages and/or classes as defined by Ecore.
1152

1153 The role of this type system is to provide definitions of the types referenced in the PE's behavioral
1154 metadata. It is important to note that this is not a restriction on the CASes that may be input to the PE (if
1155 that is desired, it can be expressed using a precondition in the behavioral specification). If the input CAS
1156 contains instances of types that are not defined by the PE's type system, then the CAS itself may indicate
1157 a URI where definitions of these types may be found (see B.1.6 Linking an XMI Document to its Ecore
1158 Type System). Also, some PE's may be capable of processing CASes without being aware of the type
1159 system at all.
1160

1161 Some analytics may be capable of operating on any types. These analytics need not refer to any specific
1162 type system and in their behavioral metadata may declare that they analyze or inspect instances of the
1163 most general type (`EObject` in Ecore).

1164 **4.6.1.4 Behavioral Metadata**

1165 The Behavioral Metadata is discussed in detail in 4.5.

1166 **4.6.1.5 Extensions**

1167 Extension objects allow a framework implementation to extend the PE metadata descriptor with additional
1168 elements, which other frameworks may not necessarily respect. For example Apache UIMA defines an
1169 element `fslIndexCollection` that defines the CAS indexes that the component uses. Other frameworks
1170 could ignore that.
1171

1172 This extensibility is enabled by the Extension class in Figure 10. The Extension class defines two
1173 features, `extenderId` and `contents`.

1175 The `extenderId` feature identifies the framework implementation that added the extension, which allows
1176 framework implementations to ignore extensions that they were not meant to process.
1177

1178 The `contents` feature can contain any `EObject`. (`EObject` is the superclass of all classes in Ecore.) To add
1179 an extension, a framework must provide an Ecore model that defines the structure of the extension.

1180 **4.6.2 Formal Specification**

1181 **4.6.2.1 Structure**

1182 *UIMA Processing Element Metadata XML* must be a valid XMI document that is an instance of the UIMA
1183 Processing Element Metadata Ecore model given in Section C.3.

1184

1185 This implies that UIMA Processing Element Metadata XML must be a valid instance of the UIMA
1186 Processing Element Metadata XML schema given in Section C.5.

1187 **4.6.2.2 Constraints**

1188 Field values must satisfy the following constraints

1189

1190 **Identification Information:**

- symbolicName must be a valid symbolic-name as defined by the OSGi specification [OSGi1].
- version must be a valid version as defined by the OSGi specification [OSGi1].
- url must be a valid URL as defined by [\[URL1\]](#).

1194

1195 **Configuration Parameter**

- name must be a valid Name as defined by the XML specification [XML1].
- type must be one of {String, Integer, Float, Boolean, ResourceURL}

1198

1199 **Type System Reference**

- uri must be a syntactically valid URI as defined by [\[URI1\]](#). It is application defined to check the reference validity of the URI and handle errors related to dereferencing the URI.

1202

1203 **Extensions**

- extenderId must be a valid Name as defined by the XML specification [XML1].

1205

1206 **4.7 Service WSDL Descriptions**

1207 In this section we describe the UIMA Service WSDL descriptions at a high level. The formal WSDL
1208 document is given in Section C.6.

1209

1210 **4.7.1 Overview of the WSDL Definition**

1211 Before discussing the elements of the UIMA WSDL definition, as a convenience to the reader we first
1212 provide an overview of WSDL excerpted from the WSDL Specification.

1213

Excerpt from WSDL W3C Note [http://www.w3.org/TR/wsdl]

As communications protocols and message formats are standardized in the web community, it becomes increasingly possible and important to be able to describe the communications in some structured way. WSDL addresses this need by defining an XML grammar for describing network services as collections of communication endpoints capable of exchanging messages. WSDL service definitions provide documentation for distributed systems and serve as a recipe for automating the details involved in applications communication.

A WSDL document defines services as collections of network endpoints, or ports. In WSDL, the abstract definition of endpoints and messages is separated from their concrete network deployment or data format bindings. This allows the reuse of abstract definitions: messages, which are abstract descriptions of the data being exchanged, and port types which are abstract collections of operations. The concrete protocol and data format specifications for a particular port type constitutes a reusable binding. A port is defined by associating a network address with a reusable binding, and a collection of ports define a service. Hence, a WSDL document uses the following elements in the definition of network services:

- Types – a container for data type definitions using some type system (such as XSD).
- Message – an abstract, typed definition of the data being communicated.
- Operation – an abstract description of an action supported by the service.
- Port Type – an abstract set of operations supported by one or more endpoints.
- Binding – a concrete protocol and data format specification for a particular port type.
- Port – a single endpoint defined as a combination of a binding and a network address.
- Service – a collection of related endpoints.

1214
1215

4.7.1.1 Types

1217 Type Definitions for the UIMA WSDL service are defined using XML schema. These draw from other
1218 elements of the specification. For example the `ProcessingElementMetadata` type, which is returned
1219 from the `getMetadata` operation, is defined by the PE Metadata specification element.
1220

4.7.1.2 Messages

1222 Messages are used to define the structure of the request and response of the various operations
1223 supported by the service. Operations are described in the next section.
1224

1225 Messages refer to the XML schema defined under the `<wsdl:types>` element. So wherever a message
1226 includes a CAS (for example the `processCasRequest` and `processCasResponse`, we indicate that the
1227 type of the data is `xmi:XMI` (a type defined by `XMI.xsd`), and where the message consists of PE metadata
1228 (the `getMetadataResponse`), we indicate that the type of the data is `uima:ProcessingElementMetadata` (a
1229 type defined by `UimaDescriptorSchema.xsd`).

1230
1231 The messages defined by the UIMA WSDL service definition are:
1232 For ALL PEs:
1233 • getMetadataRequest – takes no arguments
1234 • getMetadataResponse – returns ProcessingElementMetadata
1235 • setConfigurationParametersRequest – takes one argument: ConfigurationParameterSettings
1236 • setConfigurationParameterResponse – returns nothing
1237
1238 For Analyzers:
1239 • processCasRequest – takes two arguments – a CAS and a list of Sofas (object IDs) to process
1240 • processCasResponse – returns a CAS
1241 • processCasBatchRequest – takes one argument, an Object that includes multiple CASes, each with an
1242 associated list of Sofas (object IDs) to process
1243 • processCasResponse – returns a list of elements, each of which is a CAS or an exception message
1244
1245 For CAS Multipliers:
1246 • inputCasRequest – takes two arguments – a CAS and a list of Sofas (object IDs) to process
1247 • inputCasResponse – returns nothing
1248 • getNextCasRequest – takes no arguments
1249 • getNextCasResponse – returns a CAS
1250 • retrieveInputCasRequest – takes no arguments
1251 • retrieveInputCasResponse – returns a CAS
1252 • getNextCasBatchRequest – takes two arguments, an integer that specifies the maximum number of
1253 CASes to return and an integer which specifies the maximum number of milliseconds to wait
1254 • getNextCasBatchResponse – returns an object with three fields: a list of zero or more CASes, a
1255 Boolean indicating whether any CASes remain to be retrieved, and an integer indicating the estimated
1256 number of remaining CASes (-1 if not known).
1257
1258 For Flow Controllers:
1259 • addAvailableAnalyticsRequest – takes one argument, a Map from String keys to PE Metadata objects.
1260 • addAvailableAnalyticsResponse – returns nothing
1261 • removeAvailableAnalyticsRequest – takes one argument, a collection of one or more String keys
1262 • removeAvailableAnalyticsResponse – returns nothing
1263 • setAggregateMetadataRequest – takes one argument – a ProcessingElementMetadata
1264 • setAggregateMetadataResponse – returns nothing
1265 • getNextDestinationsRequest – takes one argument, a CAS
1266 • getNextDestionsResponse – returns a Step object
1267 • continueOnFailureRequest – takes three arguments, a CAS, a String key, and a UimaException
1268 • continueOnFailureResponse – returns a Boolean
1269
1270 **4.7.1.3 Port Types and Operations**
1271 A *port type* is a collection of *operations*, where each operation is an action that can be performed by the
1272 service. We define a separate port type for each of the three interfaces defined in Section 4.4 Abstract
1273 Interfaces.
1274
1275 The port types and their operations defined by the UIMA WSDL definition are as follows. Each operation
1276 refers to its input and output message, defined in the previous section. Operations also have fault
1277 messages, returned in the case of an error.

1278

1279 • **Analyzer Port Type**

1280 • getMetadata

1281 • setConfigurationParameters

1282 • processCas

1283 • processCasBatch

1284

1285 • **CasMultiplier Port Type**

1286 • getMetadata

1287 • setConfigurationParameters

1288 • inputCas

1289 • getNextCas

1290 • retrieveInputCas

1291 • getNextCasBatch

1292

1293 **FlowController Port Type**

1294 • getMetadata

1295 • setConfigurationParameters

1296 • addAvailableAnalytics

1297 • removeAvailableAnalytics

1298 • setAggregateMetadata

1299 • getNextDestinations

1300 • continueOnFailure

1301

4.7.1.4 SOAP Bindings

1302 For each port type, we define a binding to the SOAP protocol. There are a few configuration choices to
1303 be made:

1304

1305 In <wsdlsoap:binding style="rpc" transport="http://schemas.xmlsoap.org/soap/http"/>:

1306 • The style attribute defines that our operation is an RPC, meaning that our XML messages contain
1307 parameters and return values. The alternative is "document" style, which is used for services that
1308 logically send and receive XML documents without a parameter structure. This has an effect on
1309 how the body of the SOAP message is constructed.

1310 • The transport operation defines that this binding uses the HTTP protocol (the SOAP spec allows
1311 other protocols, such as FTP or SMTP, but HTTP is by far the most common)

1312 For each parameter (message part) in each abstract operation, we have a <wsdlsoap:body use="literal"/>
1313 element:

1314 • The use of the <wsdlsoap:body> tag indicates that this parameter is sent in the body of the SOAP
1315 message. Alternatively we could use <wsdlsoap:header> to choose to send parameters in the
1316 SOAP header. This is an arbitrary choice, but a good rule of thumb is that the data being
1317 processed by the service should be sent in the body, and "control information" (i.e., *how* the
1318 message should be processed) can be sent in the header.

1319 • The use="literal" attribute states that the content of the message must *exactly* conform to the
1320 XML Schema defined earlier in the WSDL definitions. The other option is "encoded", which treats
1321 the XML Schema as an abstract type definition and applies SOAP encoding rules to determine
1322 the exact XML syntax of the messages. The "encoded" style makes more sense if you are
1323 starting from an abstract object model and you want to let the SOAP rules determine your XML
1324 syntax. In our case, we already know what XML syntax we want (e.g., XMI), so the "literal" style
1325 is more appropriate.

1326

1327 **4.7.2 Delta Responses**

1328 If an Analytic makes only a small number of changes to its input CAS, it will be more efficient if the service
1329 response specifies the “deltas” rather than repeating the entire CAS. UIMA supports this by using the
1330 XMI standard way to specify differences between object graphs [XMI1]. An example of such a delta
1331 response is given in the next section.

1332 **4.7.3 Formal Specification**

1333 A *UIMA SOAP Service* must conform to the WSDL document given in Section C.6 and must implement at
1334 least one of the portTypes and corresponding SOAP bindings defined in that WSDL document, as defined
1335 in [WSDL1] and [SOAP1].

1336

1337 A *UIMA Analyzer SOAP Service* must implement the Analyzer portType and the AnalyzerSoapBinding.

1338

1339 A *UIMA CAS Multiplier SOAP Service* must implement the CasMultiplier portType and the
1340 CasMultiplierSoapBinding.

1341

1342

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1367
1368

1369 **B. Examples (Not Normative)**

1370 **B.1 XMI CAS Example**

1371 This section describes how the CAS is represented in XMI, by way of an example. This is not normative.
1372 The exact specification for XMI is defined by the OMG XMI standard [XMI1].

1373 **B.1.1 XMI Tag**

1374 The outermost tag is typically <xmi:XMI> (this is just a convention; the XMI spec allows this tag to be
1375 arbitrary). The outermost tag must, however, include an XMI version number and XML namespace
1376 attribute:

1377

```
1378 <xmi:XMI xmi:version="2.0" xmlns:xmi="http://www.omg.org/XMI">  
1379 <!-- CAS Contents here -->  
1380 </xmi:XMI>
```

1381

1382 XML namespaces [XML1] are used throughout. The xmi namespace prefix is typically used to identify
1383 elements and attributes that are defined by the XMI specification.

1384

1385 The XMI document will also define one namespace prefix for each CAS namespace, as described in the
1386 next section.

1387

1388 **B.1.2 Objects**

1389 Each *Object* in the CAS is represented as an XML element. The name of the element is the name of the
1390 object's *class*. The XML namespace of the element identifies the *package* that contains that *class*.

1391

1392 For example consider the following XMI document:

```
1393 <xmi:XMI xmi:version="2.0" xmlns:xmi="http://www.omg.org/XMI"  
1394   xmlns:myorg="http://org/myorg.ecore">  
1395   ...  
1396   <myorg:Person xmi:id="1"/>  
1397   ...  
1398 </xmi:XMI>
```

1399

1400 This XMI document contains an object whose class is named Person. The Person class is in the
1401 package with URI http://org/myorg.ecore. Note that the use of the http scheme is a common convention,
1402 and does not imply any HTTP communication. The .ecore suffix is due to the fact that the recommended
1403 type system definition for a package is an ECore model.

1404

1405 Note that the order in which Objects are listed in the XMI is not important, and components that process
1406 XMI are not required to maintain this order.

1407

1408 The xmi:id attribute can be used to refer to an object from elsewhere in the XMI document. It is not
1409 required if the object is never referenced. If an xmi:id is provided, it must be unique among all xmi:ids on
1410 all objects in this CAS.

1411

1412 All namespace prefixes (e.g., myorg) in this example must be bound to URIs using the
1413 "xmlns..." attribute, as defined by the XML namespaces specification [XMLS1].

1414

1415 **B.1.3 Attributes (Primitive Features)**

1416 *Attributes* (that is, *features* whose values are of primitive types, for example, strings, integers and other
1417 numeric types – see Base Type System for details) can be mapped either to XML attributes or XML
1418 elements.

1419

1420 For example, an *object* of *class Person*, with slots:

1421

1422 begin = 14
1423 end = 25
1424 name = "Fred Center"

1425

1426 could be mapped to the attribute serialization as follows:

1427

```
1428 <xmi:XMI xmi:version="2.0" xmlns:xmi="http://www.omg.org/XMI"  
1429   xmlns:myorg="http://org/myorg.ecore">  
1430   ...  
1431   <myorg:Person xmi:id="1" begin="14" end="25" name="Fred Center" />  
1432   ...  
1433 </xmi:XMI>
```

1434

1435 or alternatively to an element serialization as follows:

1436

```
1437 <xmi:XMI xmi:version="2.0" xmlns:xmi="http://www.omg.org/XMI"  
1438   xmlns:myorg="http://org/myorg.ecore">  
1439   ...  
1440   <myorg:Person xmi:id="1">  
1441     <begin>14</begin>  
1442     <end>25</end>  
1443     <name>Fred Center</name>  
1444   </myorg:Person>  
1445   ...  
1446 </xmi:XMI>
```

1447

1448 UIMA framework components that process XMI are required to support both. Mixing the two styles is
1449 allowed; some *features* can be represented as attributes and others as elements.

1450 B.1.4 References (Object-Valued Features)

1451 *Features* that are references to other *objects* are serialized as ID references.

1452

1453 If we add to the previous CAS example an Object of Class Organization, with *feature* myCEO that is a
1454 reference to the Person object, the serialization would be:

1455

```
1456 <xmi:XMI xmi:version="2.0" xmlns:xmi="http://www.omg.org/XMI"
1457   xmlns:myorg="http://org/myorg.ecore">
1458   ...
1459   <myorg:Person xmi:id="1" begin="14" end="25" name="Fred Center"/>
1460   <myorg:Organization xmi:id="2" myCEO="1"/>
1461   ...
1462 </xmi:XMI>
```

1463

1464 As with primitive-valued *features*, it is permitted to use an element rather than an attribute, and UIMA
1465 framework components that process XMI are required to support both representations. However, the XMI
1466 spec defines a slightly different syntax for this as is illustrated in this example:

1467

```
1468 <myorg:Organization xmi:id="2">
1469   <myCEO href="#1"/>
1470 <myorg.Organization>
```

1471

1472 Note that in the attribute representation, a reference *feature* is indistinguishable from an integer-valued
1473 *feature*, so the meaning cannot be determined without prior knowledge of the type system. The element
1474 representation is unambiguous.

1475 B.1.5 Multi-valued Features

1476 Features may have multiple values. Consider the example where the *object of class* Baz has a *feature*
1477 myIntArray whose value is {2,4,6}. This can be mapped to:

1478

```
1479 <myorg:Baz xmi:id="3" myIntArray="2 4 6"/>
```

1480

1481 or:

1482

```
1483 <myorg:Baz xmi:id="3">
1484   <myIntArray>2</myIntArray>
1485   <myIntArray>4</myIntArray>
1486   <myIntArray>6</myIntArray>
1487 </myorg:Baz>
```

1488

1489 Note that string arrays whose elements contain embedded spaces must use the latter mapping.

1490

1491 Multi-valued *references* serialized in a similar way. For example a *reference* that refers to the elements
1492 with xmi:ids "13" and "42" could be serialized as:

```

1493
1494     <myorg:Baz xmi:id="3" myRefFeature="13 42"/>
1495
1496 or:
1497
1498     <myorg:Baz xmi:id="3">
1499         <myRefFeature href="#13"/>
1500         <myRefFeature href="#42"/>
1501     </myorg:Baz>
1502
1503 Note that the order in which the elements of a multi-valued feature are listed is meaningful, and
1504 components that process XMI documents must maintain this order.
1505

1506 B.1.6 Linking an XMI Document to its Ecore Type System
1507 The structure of a CAS is defined by a UIMA type system, which is represented by an Ecore model (see
1508 Section 4.2).
1509
1510 If the CAS Type System has been saved to an Ecore file, it is possible to store a link from an XMI
1511 document to that Ecore type system. This is done using an xsi:schemaLocation attribute on the root XMI
1512 element.
1513
1514 The xsi:schemaLocation attribute is a space-separated list that represents a mapping from the
1515 namespace URI (e.g., http://org/myorg.ecore) to the physical URI of the .ecore file containing the type
1516 system for that namespace. For example:
1517
1518 xsi:schemaLocation="http://org/myorg.ecore file:/c:/typesystems/myorg.ecore"
1519
1520 would indicate that the definition for the org.myorg CAS types is contained in the file
1521 c:/typesystems/myorg.ecore. You can specify a different mapping for each of your CAS namespaces. For
1522 details see [EMF2].
1523 B.1.7 XMI Extensions
1524 XMI defines an extension mechanism that can be used to record information that you may not want to
1525 include in your type system. This can be used for system-level data that is not part of your domain
1526 model, for example. The syntax is:
1527
1528     <xmi:Extension extenderId="NAME">
1529         <!-- arbitrary content can go inside the Extension element -->
1530     </xmi:Extension>
1531
1532 The extenderId attribute allows a particular "extender" (e.g., a UIMA framework implementation) to record
1533 metadata that's relevant only within that framework, without confusing other frameworks that may want to
1534 process the same CAS.
1535

```

1536 **B.2 Ecore Example**

1537 **B.2.1 An Introduction to Ecore**

1538 Ecore is well described by Budisnyk et al. in the book *Eclipse Modeling Framework* [EMF2]. Some brief
1539 introduction to Ecore can be found in a chapter of that book available online [EMF3]. As a convenience to
1540 the reader we include an excerpt from that chapter:

Excerpt from Budinsky et al. *Eclipse Modeling Framework*

Ecore is a metamodel - a model for defining other models. Ecore uses very similar terminology to UML, but it is a small and simplified subset of full UML.

The following diagram illustrates the "Ecore Kernel", a simplified subset of the Ecore model.

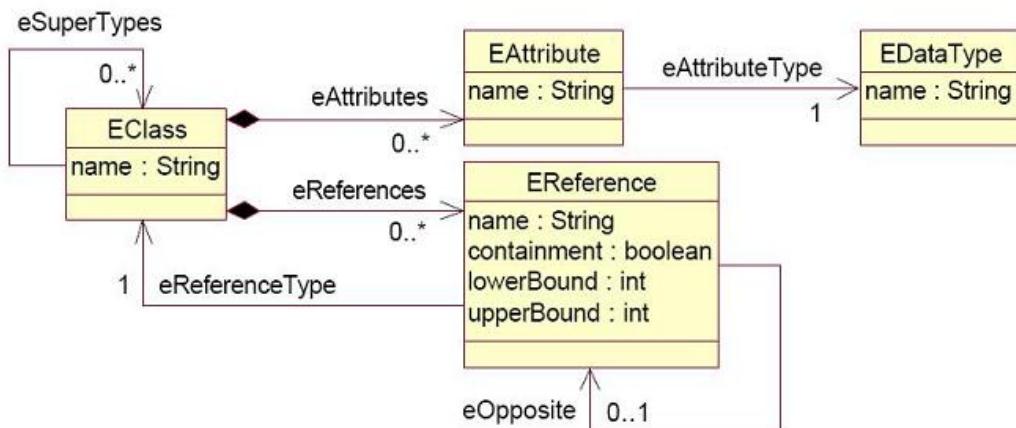


Figure 11: The Ecore Kernel

This model defines four types of objects, that is, four classes:

- **EClass** models classes themselves. Classes are identified by name and can contain a number of attributes and references. To support inheritance, a class can refer to a number of other classes as its supertypes.
- **EAttribute** models attributes, the components of an object's data. They are identified by name, and they have a type.
- **EDataType** models the types of attributes, representing primitive and object data types that are defined in Java, but not in EMF. Data types are also identified by name.
- **EReference** is used in modeling associations between classes; it models one end of the association. Like attributes, references are identified by name and have a type. However, this type must be the EClass at the other end of the association. If the association is navigable in the opposite direction, there will be another corresponding reference. A reference specifies lower and upper bounds on its multiplicity. Finally, a reference can be used to represent a stronger type of association, called containment; the reference specifies whether to enforce containment semantics.

1541
1542

1543 B.2.2 Differences between Ecore and EMOF

1544 The primary differences between Ecore and EMOF are:

- EMOF does not use the 'E' prefix for its metamodel elements. For example EMOF uses the terms *Class* and *DataType* rather than Ecore's *EClass* and *EDatatype*.
- EMOF uses a single concept *Property* that subsumes both *EAttribute* and *EReference*.

For a detailed mapping of Ecore terms to EMOF terms see [EcoreEMOF1].

B.2.3 Example Ecore Model

Figure 12 shows a simple example of an object model in UML. This model describes two types of Named Entities: Person and Organization. They may participate in a CeoOf relation (i.e., a Person is the CEO of an Organization). The *NamedEntity* and *Relation* types are subtypes of *TextAnnotation* (a standard UIMA base type, see 4.3), so they will inherit *beginChar* and *endChar* features that specify their location within a text document.

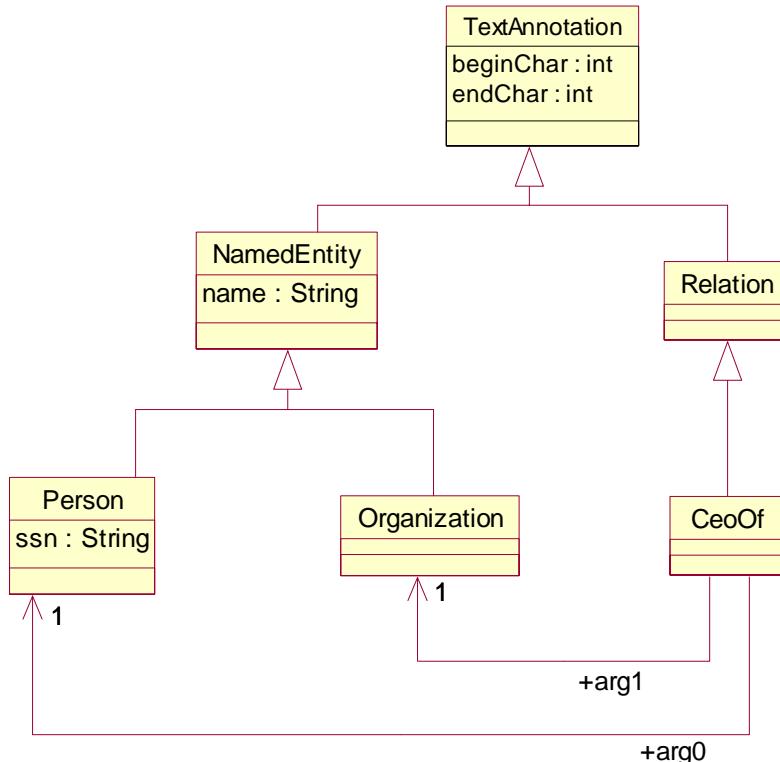


Figure 12: Example UML Model

XMI [XMI1] is an XML format for representing object graphs. EMF tools may be used to automatically convert this to an Ecore model and generate an XML rendering of the model using XMI:

```

<?xml version="1.0" encoding="UTF-8"?>
<ecore:EPackage xmi:version="2.0"
  xmlns:xmi="http://www.omg.org/XMI"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:ecore="http://www.eclipse.org/emf/2002/Ecore"
  name="org" nsURI="http://org.ecore" nsPrefix="org">
  
```

```

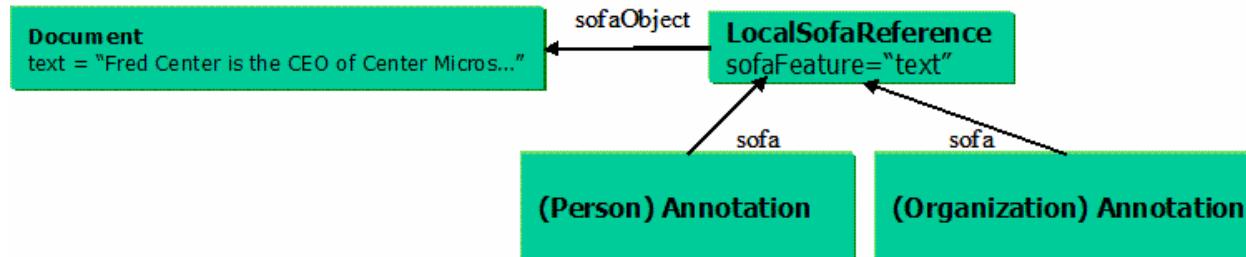
1569      <eSubpackages name="example" nsURI="http://org/example.ecore"
1570      nsPrefix="org.example">
1571          <eClassifiers xsi:type="ecore:EClass" name="NamedEntity"
1572          eSuperTypes="ecore:EClass http://docs.oasis-
1573          open.org/uima.ecore#/base/TextAnnotation">
1574              <eStructuralFeatures xsi:type="ecore:EAttribute" name="name"
1575              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
1576          </eClassifiers>
1577          <eClassifiers xsi:type="ecore:EClass" name="Relation"
1578          eSuperTypes="ecore:EClass http://docs.oasis-
1579          open.org/uima.ecore#/base/TextAnnotation"/>
1580              <eClassifiers xsi:type="ecore:EClass" name="Person"
1581              eSuperTypes="#//example/NamedEntity">
1582                  <eStructuralFeatures xsi:type="ecore:EAttribute" name="ssn"
1583                  eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
1584              </eClassifiers>
1585              <eClassifiers xsi:type="ecore:EClass" name="CeoOf"
1586              eSuperTypes="#//example/Relation">
1587                  <eStructuralFeatures xsi:type="ecore:EReference" name="arg0"
1588                  lowerBound="1"
1589                      eType="#//example/Person"/>
1590                  <eStructuralFeatures xsi:type="ecore:EReference" name="arg1"
1591                  lowerBound="1"
1592                      eType="#//example/Organization"/>
1593              </eClassifiers>
1594              <eClassifiers xsi:type="ecore:EClass" name="TextDocument">
1595                  <eStructuralFeatures xsi:type="ecore:EAttribute" name="text"
1596                  eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
1597              </eClassifiers>
1598              <eClassifiers xsi:type="ecore:EClass" name="Organization"
1599              eSuperTypes="#//example/NamedEntity"/>
1600          </eSubpackages>
1601      </ecore:EPackage>
1602
1603 This XMI document is a valid representation of a UIMA Type System.
1604

```

1605 B.3 Base Type System Examples

1606 B.3.1 Sofa Reference

1607 Figure 13 illustrates an example of an annotation referring to its subject of analysis (Sofa).



1608
1609 **Figure 13: Annotation and Subject of Analysis**

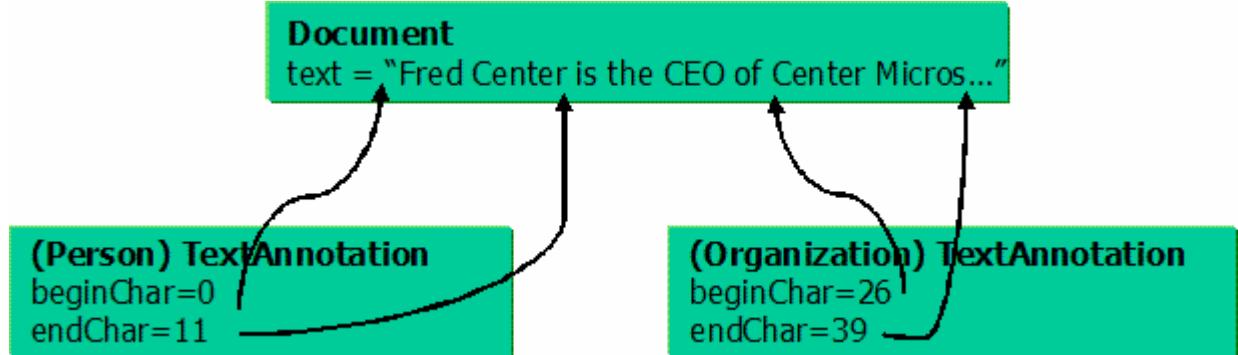
1610 The CAS contains an *object* of class Document with a *slot* text containing the string value, “Fred Center is
1611 the CEO of Center Micros.”

1612
1613
1614
1615
1616
1617

Two annotations, a Person annotation and an Organization annotation, refer to that string value. The method of indicating a subrange of characters within the text string is shown in the next example. For now, note that the LocalSofaReference object is used to indicate which object, and *which field (slot)* within that object, serves as the Subject of Analysis (Sofa).

1618 **B.3.2 References to Regions of Sofas**

1619 Figure 14 extends the previous example by showing how the `TextAnnotation` subtype of `Annotation` is
1620 used to specify a range of character offsets to which the annotation applies.



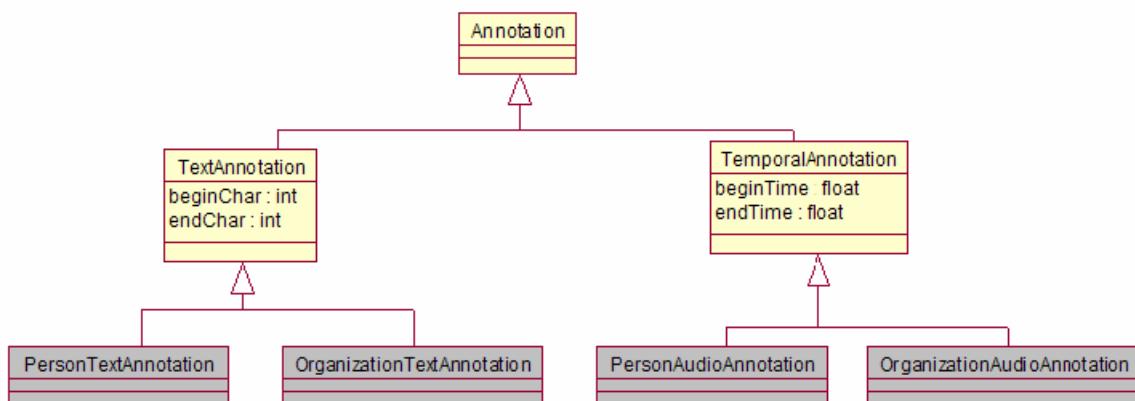
1621
1622 **Figure 14: References from Annotations to Regions of the Sofa**

1623 **B.3.3 Options for Extending Annotation Type System**

1624 The standard types in the UIMA Base Type system are very high level. Users will likely wish to extend
1625 these base types, for instance to capture the semantics of specific kinds of annotations. There are two
1626 options for implementing these extensions. The choice of the extension model for the annotation type
1627 system is up to the user and depends on application-specific needs or preferences.

1628
1629
1630
1631
1632
1633
1634
1635

The first option is to subclass the Annotation types, as in Figure 15. In this model, the `Annotation` subtype
for each modality will be independently subclassed according to the annotation types found in that
modality. One advantage of this approach is that all subtype classes remain subtypes of `Annotation`.
However, a disadvantage is that types that are annotations of the same semantic class, but for different
modalities, are not grouped together in the type system. We see in the figure that an annotation of a
reference to a Person or an Organization would have a distinct type depending on the nature of the Sofa
the reference occurred in.

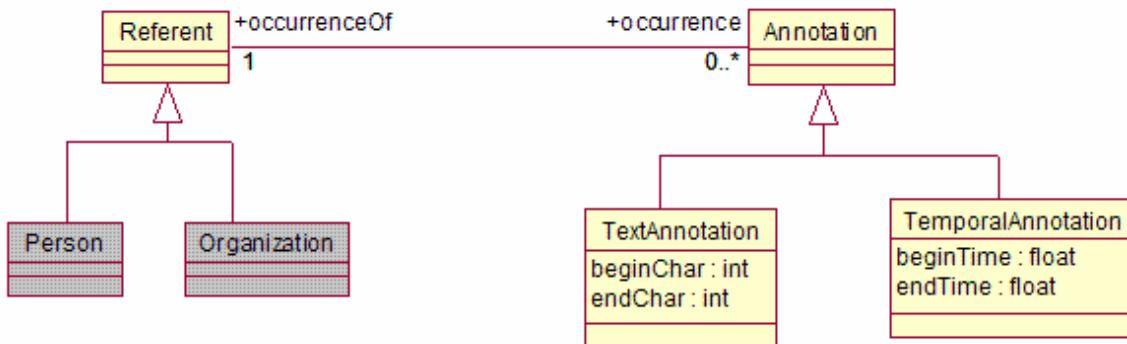


1636
1637

Figure 15: Extending the base type system through subclassing.

1638

1639 The second option, shown in Figure 16, is to create subtypes of Referent that subsumes the relevant
1640 semantic classes, and associate the Annotation with the appropriate Referent type. In this model, an
1641 Annotation is viewed as a reference to a Referent in a particular modality. The advantage of this
1642 approach is that all annotations corresponding to a particular Referent type (e.g. Person or Organization),
1643 regardless of the modality they are expressed in, will have the same occurrence value and can thus be
1644 easily grouped together. It does, however, push the semantic information about the annotation into an
1645 associated type that needs to be investigated rather than being immediately available in the type of the
1646 Annotation object. In other words, it introduces a level of indirection for accessing the semantic
1647 information about the Annotation. However, an additional advantage of this approach is that it allows for
1648 multiple Annotations to be associated with a single Referent, so that for instance multiple distinct
1649 references to a person in a text can be linked to a single Referent object representing that person.



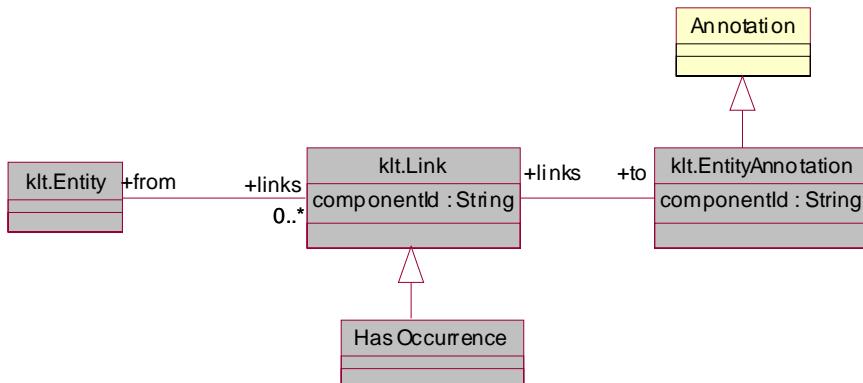
1650

Figure 16: Associate Annotation with Referent type

1651

B.3.4 An Example of Annotation Model Extension

1652 The Base Type System is intended to specify only the top-level classes for the Annotation system used in
1653 an application. Users will need to extend these classes in order to meet the particular needs of their
1654 applications. An example of how an application might extend the base type system comes from
1655 examining the redesign of IBM's Knowledge Level Types [KLT1] in terms of the standard. The current
1656 model in KLT appears in Figure 17. It uses the Annotation class, but subclasses it with its own
1657 EntityAnnotation, models coreference with a reified HasOccurrence link, and captures provenance
1658 through a *componentId* attribute.
1659
1660



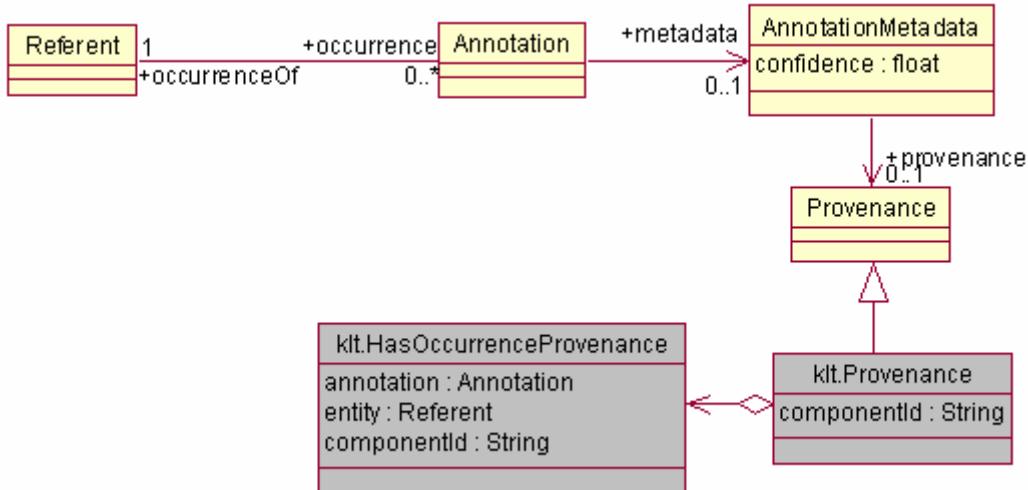
1661

Figure 17: IBM's Knowledge Level Types

1662

1663 Using the standard base type system, this type system could be refactored as in Figure 18. This
1664 refactoring uses the standard definitions of **Annotation** and **Referent**. The **klt.Link** type, which was
1665

1666 used to represent a HasOccurrence link between Entity and Annotation, is replaced by the direct
 1667 occurrence/occurrenceOf features in the standard base type system. Provenance on the occurrence link
 1668 is captured using a subclass of the Provenance type.

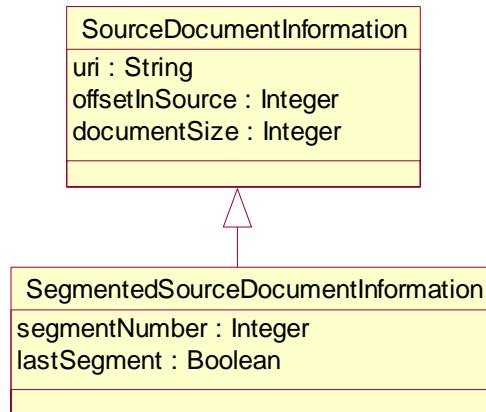


1669
 1670
 1671

Figure 18: Refactoring of KLT using the standard base type system.

B.3.5 Example Extension of Source Document Information

1673 If an application needs to process multiple segments of an artifact and later merge the results, then
 1674 additional offset information may also be needed on each segment. While not a standard part of the
 1675 specification, a representative extension to the SourceDocumentInformation type to capture such
 1676 information is shown in Figure 19. This SegmentedSourceDocumentInformation type adds features
 1677 to track information about the segment of the source document the CAS corresponds to. Specifically, it
 1678 adds an Integer segmentNumber to capture the segment number of this segment, and a Boolean
 1679 lastSegment that is true when this segment is the last segment derived from the source document.



1680
 1681
 1682

Figure 19: Segmented Source Document Information UML

1683 **B.4 Abstract Interfaces Examples**

1684 **B.4.1 Analyzer Example**

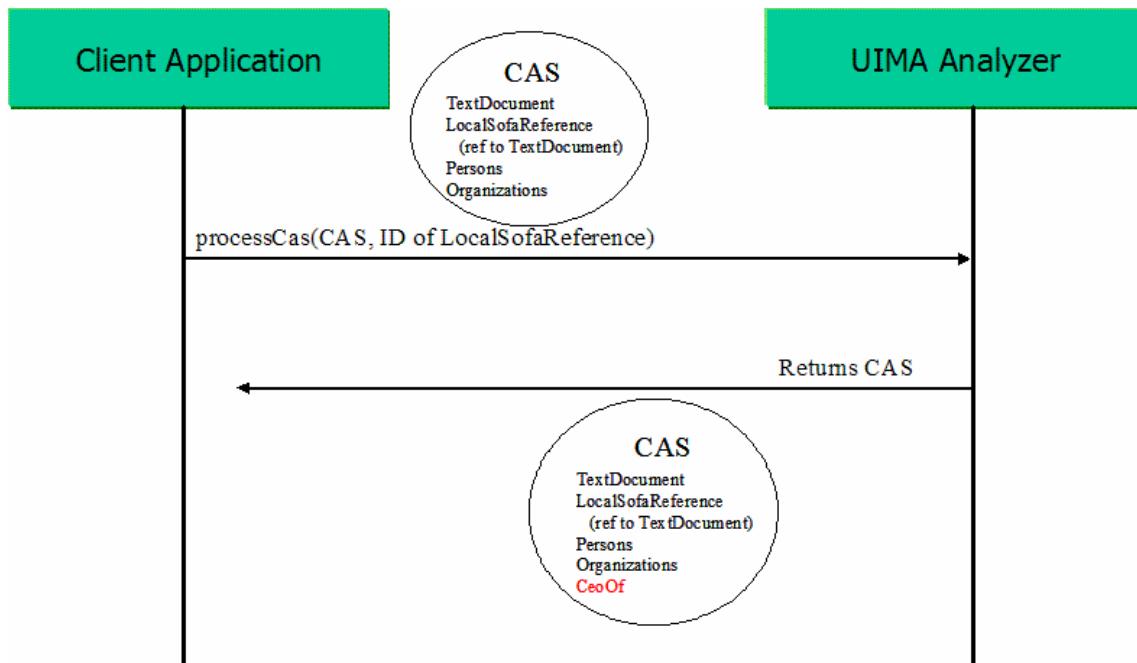
1685 The sequence diagram in Figure 20 illustrates how a client interacts with a UIMA Analyzer service. In this
1686 example the Analyzer is a "CEO Relation Detector," which given a text document with Person and
1687 Organization annotations, can find occurrences of CeoOf relationships between them.

1688

1689 The example shows that the client calls the `processCas(cas, sofas)` operation. The first argument is
1690 the CAS to be processed (in XMI format). It contains a TextDocument, a LocalSofaReference (see
1691 Section 4.3.2.1) that points to a text field in that TextDocument, and Person and Organization annotations
1692 that annotate regions in the TextDocument. The second argument is the xmi:id of the
1693 LocalSofaReference object, indicating that this object should be considered the subject of analysis (Sofa)
1694 for this operation.

1695

1696 The response from the `processCas` operation is a CAS (in XMI format), which in addition to the objects in
1697 the input CAS, also contains CeoOf annotations.



1698

1699 **Figure 20: Analyzer Sequence Diagram**

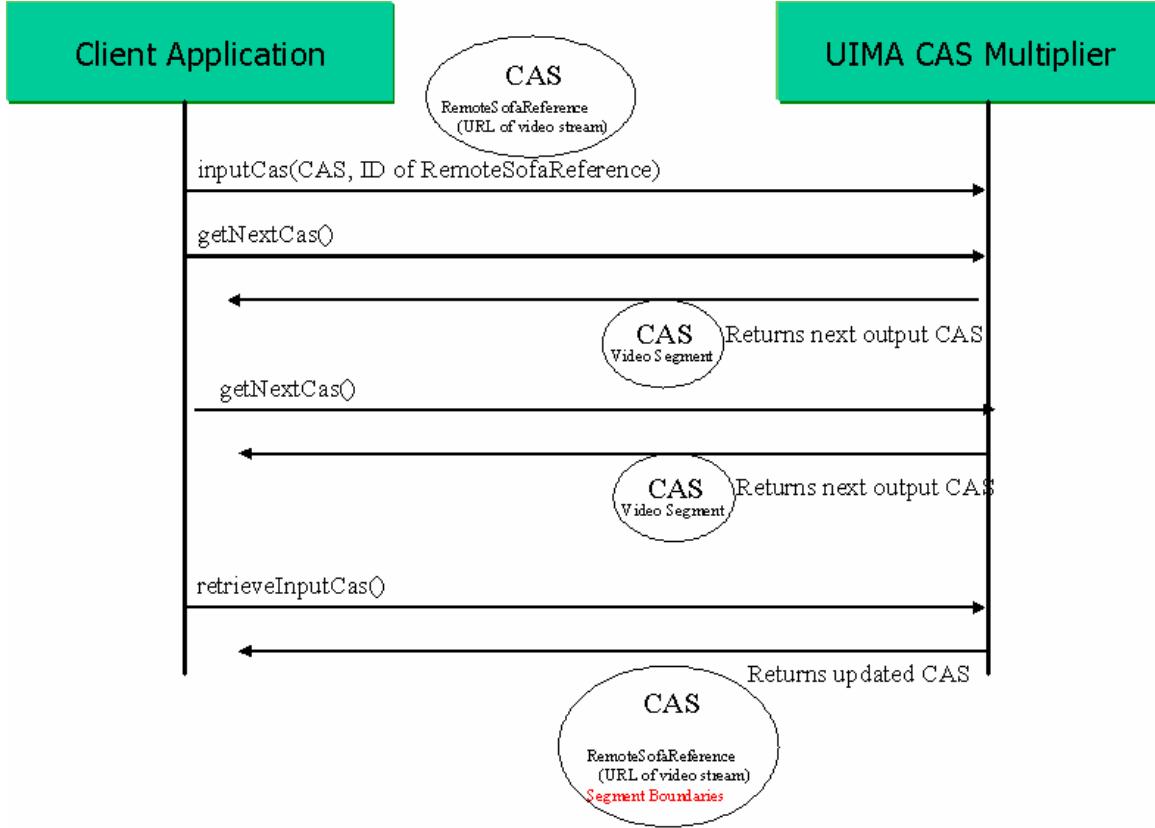
1700 **B.4.2 CAS Multiplier Example**

1701 The sequence diagram in Figure 21 illustrates how a client interacts with a UIMA CAS Multiplier service.
1702 In this case the CAS Multiplier is a Video Segmente, which given a video stream divides it into individual
1703 segments.

1704

1705 The client first calls the `inputCas(cas, sofas)` operation. The first argument is a CAS containing a
1706 reference to the video stream to analyze. Typically a large artifact such as a video stream is represented
1707 in the CAS as a reference (using the `RemoteSofaReference` base type introduced in section 4.3.2.1),
1708 rather than included directly in the CAS as is typically done with a text document. The second argument
1709 to `inputCas` is the xmi:id of the `RemoteSofaReference` object, so that the service knows that this is the
1710 subject of analysis for this operation.

1711
 1712 The client then calls the `getNextCas` operation. This returns a CAS containing the data for the first
 1713 segment (or possibly, a reference to it). The client repeatedly calls `getNextCas` to obtain each
 1714 successive segment. Eventually, `getNextCas` returns null to indicate there are no more segments.
 1715
 1716 Finally, the client calls the `retrieveInputCas` operation. This returns the original CAS, with additional
 1717 information added. In this example, the Video Segmenter adds information to the original CAS indicating
 1718 at what time offsets each of the segment boundaries were detected. Any other information from the
 1719 individual segment CASes could also be merged back into the original CAS.
 1720



1721
 1722 **Figure 21: CAS Multiplier Sequence Diagram**
 1723
 1724 Note that a CAS Multiplier may also be used to merge multiple input CASes into one output CAS. Upon
 1725 receiving the first `inputCas` call, the CAS Multiplier would return 0 output CASes and would wait for the
 1726 next `inputCas` call. It would continue to return 0 output CASes until it has seen some number of input
 1727 CASes, at which point it would then output the one merged CAS.

1728 **B.5 Behavioral Metadata Examples**

1729 For each of the Behavioral Metadata Elements (analyzes, required inputs, optional inputs, creates,
 1730 modifies, and deletes), there will be a corresponding XML element. For each element a list of type
 1731 names is declared.
 1732
 1733 To address some common situations where an analytic operates on a *view* (a collection of objects all
 1734 referring to the same subject of analysis), we also provide a simple way for behavioral metadata to refer
 1735 to views.

1736 B.5.1 Type Naming Conventions

1737 In the XML behavioral metadata, type names are represented in the same way as in Ecore and XMI.

1738

1739 In UML (and Ecore), a *Package* is a collection of classes and/or other packages. All classes must be
1740 contained in a package.

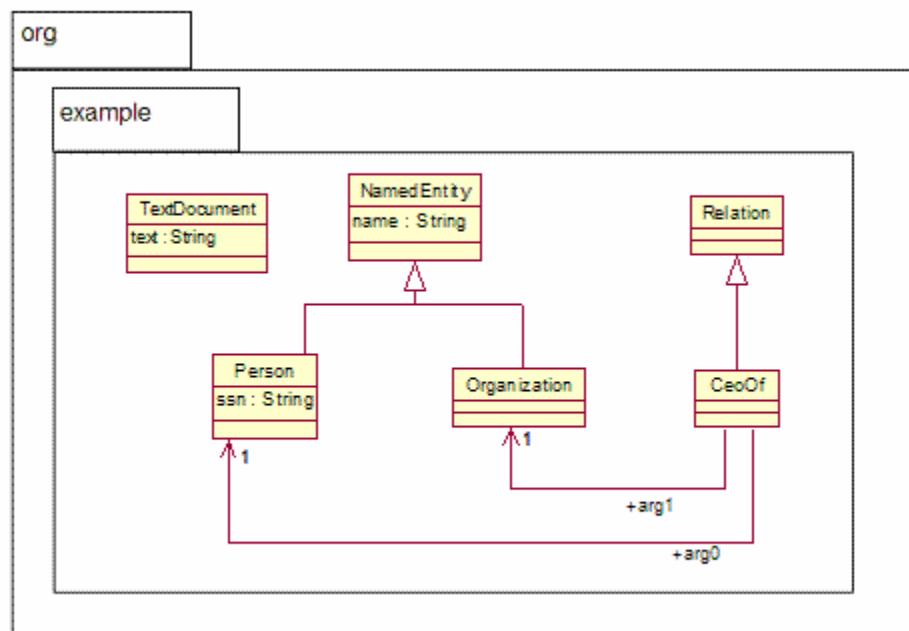
1741

1742 Figure 1 is a UML diagram of an example type system. It depicts a Package “org” containing a Package
1743 “example” containing several classes.

1744

1745

1746



1747

Figure 22: Example Type System UML Model

1749

1750 In the Ecore model, each package is assigned (by the developer) three identifiers: a *name*, a *namespace URI*, and a *namespace prefix*. The *name* is a simple string that must be unique within the containing
1751 package (top-level package names must be globally unique). The namespace URI and namespace prefix
1752 are standard concepts in the XML namespaces spec [2] are used to refer to that package in XML,
1753 including the behavioral metadata as well as the XMI CAS. An example is given below.
1754

1755

1756 Figure 23 shows the relevant parts of the Ecore definition for this type system. Some details have been
1757 omitted (marked with an ellipsis) to show only the parts where packages and namespaces are concerned,
1758 and only a subset of the classes in the diagram are shown.

1759

```

<ecore:EPackage ... name="org"
  nsURI="http://docs.oasis-open.org/uima/org.ecore"
  nsPrefix="org">

  <eSubpackages name="example" nsURI="http://docs.oasis-
  open.org/uima/org/example.ecore"
    nsPrefix="org.example">
    <eClassifiers xsi:type="ecore:EClass" name="NamedEntity">
      ...
    </eClassifiers>
    <eClassifiers xsi:type="ecore:EClass" name="Person"
      eSuperTypes="#//example/NamedEntity"/>

```

1760

1761

Figure 23: Partial Ecore Representation of Example Type System

1762

1763 In this example, the namespace URI for the nested “example” project is <http://docs.oasis-open.org/uima/org/example.ecore>¹, and the corresponding prefix is `org.example`. It is
 1764 important to note that the URI and prefix are arbitrarily determined by the type system developer and
 1765 there is no required mapping from the package names “org” and “example” to the URI and prefix. In the
 1766 above example, the namespace prefix could have been set to “foo” and it would be completely valid.
 1767

1768

1769 Now, to refer to a type name within the behavioral metadata XML, we use the namespace URI and prefix
 1770 in the normal XML namespaces way, for example:

1771

```

1772 <behavioralMetadata xmlns:org.example="http://docs.oasis-
1773 open.org/uima/org/example.ecore">
1774   ...
1775   <type name="org.example:Person" />
1776   ...
1777 </behavioralMetadata>

```

1778

1779 The “`xmlns`” attribute declares that the prefix “`org.example`” is bound to the URI <http://docs.oasis-open.org/uima/org/example.ecore>. Then, each time we want to refer to a type in that package, we use
 1780 the prefix “`org.example`.”
 1781

1782

¹ The use of the “`http`” scheme is a common XML namespace convention and does not imply that any actual http communication is occurring.

1783 Technically, the XML document does not have to use the same namespace prefix as what is in the Ecore
1784 model. It is only a guideline. The namespace URI is what matters. For example, the above XML is
1785 completely equivalent to the following

1786
1787 <behavioralMetadata xmlns:foo="http://docs.oasis-
1788 open.org/uima/org/example.ecore">
1789 ...
1790 <type name="foo:Person"/>
1791 ...
1792 </behavioralMetadata>
1793

1794 This is because the namespace URI is a globally unique identifier for the package, but the namespace
1795 prefix need only be unique within the current XML document. For more information on XML namespace
1796 syntax, see [XML1].

1797
1798 The above discussion centered on the representation of type names in XML. When specifying
1799 preconditions, postconditions, and projection conditions (see Section B.5.5), the Object Constraint
1800 Language (OCL) [OCL1] may be used. There is a different representation of type names needed within
1801 OCL expressions. Since OCL is not primarily XML-based, it does not use the XML namespace URIs or
1802 prefixes to refer to packages. Instead, OCL expressions refer directly to the simple package names
1803 separated by double colons, as in "org::example::Person". For more information see [OCL1].

1804 **B.5.2 XML Syntax for Behavioral Metadata Elements**

1805 The following example is the behavioral metadata for an analytic that analyzes a Sofa of type
1806 `TextDocument`, requires objects of type `Person`, and will inspect objects of type `Organization` if they are
1807 present. It may create objects of type `CeoOf`.

1808
1809 <behavioralMetadata xmlns:org.example="http://docs.oasis-
1810 open.org/uima/org/example.ecore" excludeReferenceClosure="true">
1811 <analyzes>
1812 <type name="org.example:TextDocument"/>
1813 </analyzes>
1814 <requiredInputs>
1815 <type name="org.example:Person"/>
1816 </requiredInputs>
1817 <optionalInputs>
1818 <type name="org.example:Organization"/>
1819 </optionalInputs>
1820 <creates>
1821 <type name="org.example:CeoOf"/>
1822 </creates>
1823 </behavioralMetadata>

1824
1825 Note that the inheritance hierarchy declared in the type system is respected. So for example a CAS
1826 containing objects of type `GovernmentOfficial` and `Country` would be valid input to this analytic,
1827 assuming that the type system declared these to be subtypes of `org.example:Person` and
1828 `org.example:Place`, respectively.

1829
1830 The `excludeReferenceClosure` attribute on the Behavioral Metadata element, when set to true,
1831 indicates that objects that are referenced from optional/required inputs of this analytic will not be
1832 guaranteed to be included in the CAS passed to the analytic. This attribute defaults to false.
1833
1834 For example, assume in this example the `Person` object had an employer feature of type `Company`. With
1835 `excludeReferenceClosure` set to true, the caller of this analytic is not required to include `Company`
1836 objects in the CAS that is delivered to this analytic. If `Company` objects are filtered then the employer
1837 feature would become null. If `excludeReferenceClosure` were not set, then `Company` objects would be
1838 guaranteed to be included in the CAS.

1839 **B.5.3 Views**

1840 Behavioral Metadata may refer to a View, where a View may collect all annotations referring to a
1841 particular Sofa.

1842
1843 `<behavioralMetadata xmlns:org.example="http://docs.oasis-`
1844 `open.org/uima/org/example.ecore">`
1845 `<requiredView sofaType="org.example:TextDocument">`
1846 `<requiredInputs>`
1847 `<type name="org.example:Token"/>`
1848 `</requiredInputs>`
1849 `<creates>`
1850 `<type name="org.example:Person"/>`
1851 `</creates>`
1852 `</requiredView>`
1853 `<optionalView sofaType="org.example:RawAudio">`
1854 `<requiredInputs>`
1855 `<type name="org.example:SpeakerBoundary"/>`
1856 `</requiredInputs>`
1857 `<creates>`
1858 `<type name="org.example:AudioPerson"/>`
1859 `</creates>`
1860 `</optionalView>`
1861 `</behavioralMetadata>`

1862
1863 This example requires a `TextDocument` Sofa and optionally accepts a `RawAudio` Sofa. It has different
1864 input and output types for the different Sofas.

1865
1866 As with an optional input, an “optional view” is one that the analytic would consider if it were present in the
1867 CAS. Views that do not satisfy the required view or optional view expressions might not be delivered to
1868 the analytic.

1869
1870 The meaning of an `optionalView` having a `requiredInput` is that a view not containing the required input
1871 types is not considered to satisfy the `optionalView` expression and might not be delivered to the analytic.

1873 An analytic can also declare that it creates a View along with an associated Sofa and annotations. For
1874 example, this Analytic transcribes audio to text, and also outputs Person annotations over that text:

1875

```
1876 <behavioralMetadata xmlns:org.example="http://docs.oasis-
1877 open.org/uima/org/example.ecore">
1878   <requiredView sofaType="org.example:RawAudio">
1879     <requiredInputs>
1880       <type name="org.example:SpeakerBoundary" />
1881     </requiredInputs>
1882   </requiredView>
1883   <createsView sofaType="org.example:TextDocument">
1884     <creates>
1885       <type name="org.example:Person" />
1886     </creates>
1887   </createsView>
1888 </behavioralMetadata>
```

1889 **B.5.4 Specifying Which Features Are Modified**

1890 For the “modifies” predicate we allow an additional piece of information: the names of the features that
1891 may be modified. This is primarily to support discovery. For example:

1892

```
1893 <behavioralMetadata xmlns:org.example="http://docs.oasis-
1894 open.org/uima/org/example.ecore">
1895   <requiredInputs>
1896     <type name="org.example:Person" />
1897   </requiredInputs>
1898   <modifies>
1899     <type name="org.example:Person">
1900       <feature name="ssn" />
1901     </type>
1902   </modifies>
1903 </behavioralMetadata>
```

1904

1905 This Analytic inputs Person objects and updates their ssn features.

1906

1907 **B.5.5 Specifying Preconditions, Postconditions, and Projection Conditions**

1908 Although we expect it to be rare, analytic developers may declare preconditions, postconditions, and
1909 projection conditions directly. The syntax for this is straightforward:

1910

```
1911 <behavioralMetadata>
1912   <precondition language="OCL"
1913     expression="exists(s | s.oclKindOf(org::example::Sofa) and
1914     s.mimeMimeTypeMajor = 'audio')"/>
1915   <postcondition language="OCL"
```

```

1916           expr="exists(p | p.oclKindOf(org::example::Sofa) and s.mimeTypeMajor =
1917 'text')"/>
1918     <projectionCondition language="OCL"
1919       expr=" select(p | p.oclKindOf(org::example::NamedEntity))"/>
1920   </behavioralMetadata>
1921
1922 UIMA does not define what language must be used for expression these conditions. OCL is just one
1923 example.
1924
1925 Preconditions and postconditions are expressions that evaluate to a Boolean value. Projection conditions
1926 are expressions that evaluate to a collection of objects.
1927
1928 Behavioral Metadata can include these conditions as well as the other elements (analyzes,
1929 requiredInputs, etc.). In that case, the overall precondition and postcondition of the analytic are a
1930 combination of the user-specified conditions and the conditions derived from the other behavioral
1931 metadata elements as described in the next section. (For precondition and postcondition it is a
1932 conjunction; for projection condition it is a union.)
1933

```

B.6 Processing Element Metadata Example

```

1934 The following XML fragment is an example of Processing Element Metadata for a "CeoOf Relation
1935 Detector" analytic.
1936
1937 <peemd:ProcessingElementMetadata xmi:version="2.0"
1938   xmlns:xmi="http://www.omg.org/XMI" xmlns:peemd="http://docs.oasis-
1939   open.org/uima/pemetadata.ecore">
1940   <identification
1941     symbolicName="org.oasis-open.uima.example.CeoRelationAnnotator"
1942     name="Ceo Relation Annotator"
1943     description="Detects CeoOf relationships between Persons and
1944     Organizations in a text document."
1945     vendor="OASIS"
1946     version="1.0.0"/>
1947
1948   <configurationParameter
1949     name="PatternFile"
1950     description="Location of external file containing patterns that
1951     indicate a CeoOf relation in text."
1952     type="ResourceURL">
1953     <defaultValue>myResources/ceoPatterns.dat</defaultValue>
1954   </configurationParameter>
1955
1956   <typeSystem
1957     reference="http://docs.oasis-
1958   open.org/uima/types/exampleTypeSystem.ecore"/>
1959
1960   <behavioralMetadata>
1961     <analyzes>
1962       <type name="org.example:Document"/>
1963     </analyzes>
1964     <requiredInputs>
1965       <type name="org.example:Person"/>
1966       <type name="org.example:Organization"/>
1967     </requiredInputs>

```

```

1968     <creates>
1969         <type name="org.example:CeoOf" />
1970     </creates>
1971 </behavioralMetadata>
1972
1973     <extension extenderId="org.apache.uima">
1974         ...
1975     </extension>
1976 </pemd:ProcessingElementMetadata>
```

1977 B.7 SOAP Service Example

1978 Returning to our example of the CEO Relation Detector analytic, this section gives examples of SOAP
 1979 messages used to send a CAS to and from the analytic.

1980

1981 The processCas request message is shown here:

```

1982 <soapenv:Envelope...>
1983     <soapenv:Body>
1984         <processCas xmlns="">
1985             <cas xmi:version="2.0" ... >
1986                 <org.example:Document xmi:id="1"
1987                     text="Fred Center is the CEO of Center Micros."/>
1988                     <base:LocalSofaReference xmi:id="2" sofaObject="1"
1989 sofaFeature="text"/>
1990                     <org.example:Person xmi:id="3" sofa="2" begin="0" end="11"/>
1991                     <org.example:Organization xmi:id="4" sofa="2" begin="26" end="39"/>
1992             </cas>
1993             <sofas objects="1" />
1994         </processCas>
1995     </soapenv:Body>
1996 </soapenv:Envelope>
```

1997 This message is simply an XMI CAS wrapped in an appropriate SOAP envelope, indicating which
 1998 operation is being invoked (processCas).

1999

2000 The processCas response message returned from the service is shown here:

2001

```

2002 <soapenv:Envelope...>
2003     <soapenv:Body>
2004         <processCas xmlns="">
2005             <cas xmi:version="2.0" ... >
2006                 <org.example:Document xmi:id="1"
2007                     text="Fred Center is the CEO of Center Micros."/>
2008                     <base:SofaReference xmi:id="2" sofaObject="1" sofaFeature="text"/>
2009                     <org.example:Person xmi:id="3" sofa="2" begin="0" end="11"/>
2010                     <org.example:Organization xmi:id="4" sofa="2" begin="26" end="39"/>
2011                     <org.example:CeoOf xmi:id="5" sofa="2" begin="0" end="31" arg0="3"
2012 arg1="4"/>
2013             </cas>
2014         </processCas>
2015     </soapenv:Body>
2016 </soapenv:Envelope>
```

2017 Again this is just an XMI CAS wrapped in a SOAP envelope. Note that the "CeoOf" object has been
 2018 added to the CAS.

2019

2020 Alternatively, the service could have responded with a “delta” using the XMI differences language. Here
2021 is an example:

```
2022 <soapenv:Envelope...>
2023   <soapenv:Body>
2024     <processCas xmlns="">
2025       <cas xmi:version="2.0" ... >
2026         <xmi:Difference>
2027           <target href="input.xmi"/>
2028           <xmi:Add addition="5">
2029             </xmi:Difference>
2030             <org.example:CeoOf xmi:id="5" sofa="2" begin="0" end="31" arg0="3"
2031               arg1="4" />
2032             </cas>
2033           </processCas>
2034         </soapenv:Body>
2035       </soapenv:Envelope>
```

2036

2037 Note that the target element is defined in the XMI specification to hold an href to the original XMI file to
2038 which these differences will get applied. In UIMA we don't really have a URI for that - it is just the input to
2039 the Process CAS Request. The example conventionally uses input.xmi for this URI.

2040 C. Formal Specification Artifacts

2041 This section includes artifacts such as Ecore models and XML Schemata, which formally define elements
2042 of the UIMA specification.

2043 C.1 XMI XML Schema

2044 This XML schema is defined by the XMI specification [XMI1] and repeated here for completeness:

```
2045
2046 <?xml version="1.0" encoding="UTF-8"?>
2047 <xsd:schema xmlns:xmi="http://www.omg.org/XMI"
2048   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
2049   targetNamespace="http://www.omg.org/XMI">
2050   <xsd:attribute name="id" type="xsd:ID"/>
2051   <xsd:attributeGroup name="IdentityAttribs">
2052     <xsd:attribute form="qualified" name="label" type="xsd:string"
2053       use="optional"/>
2054     <xsd:attribute form="qualified" name="uuid" type="xsd:string"
2055       use="optional"/>
2056   </xsd:attributeGroup>
2057   <xsd:attributeGroup name="LinkAttribs">
2058     <xsd:attribute name="href" type="xsd:string" use="optional"/>
2059     <xsd:attribute form="qualified" name="idref" type="xsd:IDREF"
2060       use="optional"/>
2061   </xsd:attributeGroup>
2062   <xsd:attributeGroup name="ObjectAttribs">
2063     <xsd:attributeGroup ref="xmi:IdentityAttribs"/>
2064     <xsd:attributeGroup ref="xmi:LinkAttribs"/>
2065     <xsd:attribute fixed="2.0" form="qualified" name="version"
2066       type="xsd:string" use="optional"/>
2067     <xsd:attribute form="qualified" name="type" type="xsd:QName"
2068       use="optional"/>
2069   </xsd:attributeGroup>
2070   <xsd:complexType name="XMI">
2071     <xsd:choice maxOccurs="unbounded" minOccurs="0">
2072       <xsd:any processContents="strict"/>
2073     </xsd:choice>
2074     <xsd:attributeGroup ref="xmi:IdentityAttribs"/>
2075     <xsd:attributeGroup ref="xmi:LinkAttribs"/>
2076     <xsd:attribute form="qualified" name="type" type="xsd:QName"
2077       use="optional"/>
2078     <xsd:attribute fixed="2.0" form="qualified" name="version"
2079       type="xsd:string" use="required"/>
```

```

2080    </xsd:complexType>
2081    <xsd:element name="XMI" type="xmi:XMI" />
2082    <xsd:complexType name="PackageReference">
2083        <xsd:choice maxOccurs="unbounded" minOccurs="0">
2084            <xsd:element name="name" type="xsd:string"/>
2085            <xsd:element name="version" type="xsd:string"/>
2086        </xsd:choice>
2087        <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2088            <xsd:attribute name="name" type="xsd:string" use="optional"/>
2089    </xsd:complexType>
2090    <xsd:element name="PackageReference"
2091        type="xmi:PackageReference" />
2092    <xsd:complexType name="Model">
2093        <xsd:complexContent>
2094            <xsd:extension base="xmi:PackageReference" />
2095        </xsd:complexContent>
2096    </xsd:complexType>
2097    <xsd:element name="Model" type="xmi:Model" />
2098    <xsd:complexType name="Import">
2099        <xsd:complexContent>
2100            <xsd:extension base="xmi:PackageReference" />
2101        </xsd:complexContent>
2102    </xsd:complexType>
2103    <xsd:element name="Import" type="xmi:Import" />
2104    <xsd:complexType name="MetaModel">
2105        <xsd:complexContent>
2106            <xsd:extension base="xmi:PackageReference" />
2107        </xsd:complexContent>
2108    </xsd:complexType>
2109    <xsd:element name="MetaModel" type="xmi:MetaModel" />
2110    <xsd:complexType name="Documentation">
2111        <xsd:choice maxOccurs="unbounded" minOccurs="0">
2112            <xsd:element name="contact" type="xsd:string"/>
2113            <xsd:element name="exporter" type="xsd:string"/>
2114            <xsd:element name="exporterVersion" type="xsd:string"/>
2115            <xsd:element name="longDescription" type="xsd:string"/>
2116            <xsd:element name="shortDescription" type="xsd:string"/>
2117            <xsd:element name="notice" type="xsd:string"/>
2118            <xsd:element name="owner" type="xsd:string"/>
2119        </xsd:choice>
2120        <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2121            <xsd:attribute name="contact" type="xsd:string" use="optional"/>
2122            <xsd:attribute name="exporter" type="xsd:string"

```

```

2123      use="optional"/>
2124    <xsd:attribute name="exporterVersion" type="xsd:string"
2125      use="optional"/>
2126    <xsd:attribute name="longDescription" type="xsd:string"
2127      use="optional"/>
2128    <xsd:attribute name="shortDescription" type="xsd:string"
2129      use="optional"/>
2130    <xsd:attribute name="notice" type="xsd:string" use="optional"/>
2131    <xsd:attribute name="owner" type="xsd:string" use="optional"/>
2132  </xsd:complexType>
2133  <xsd:element name="Documentation" type="xmi:Documentation" />
2134  <xsd:complexType name="Extension">
2135    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2136      <xsd:any processContents="lax"/>
2137    </xsd:choice>
2138    <xsd:attributeGroup ref="xmi:ObjectAttribs" />
2139    <xsd:attribute name="extender" type="xsd:string"
2140      use="optional"/>
2141    <xsd:attribute name="extenderID" type="xsd:string"
2142      use="optional"/>
2143  </xsd:complexType>
2144  <xsd:element name="Extension" type="xmi:Extension" />
2145  <xsd:complexType name="Difference">
2146    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2147      <xsd:element name="target">
2148        <xsd:complexType>
2149          <xsd:choice maxOccurs="unbounded" minOccurs="0">
2150            <xsd:any processContents="skip"/>
2151          </xsd:choice>
2152            <xsd:anyAttribute processContents="skip"/>
2153        </xsd:complexType>
2154      </xsd:element>
2155      <xsd:element name="difference" type="xmi:Difference" />
2156      <xsd:element name="container" type="xmi:Difference" />
2157    </xsd:choice>
2158    <xsd:attributeGroup ref="xmi:ObjectAttribs" />
2159    <xsd:attribute name="target" type="xsd:IDREFS" use="optional"/>
2160    <xsd:attribute name="container" type="xsd:IDREFS"
2161      use="optional"/>
2162  </xsd:complexType>
2163  <xsd:element name="Difference" type="xmi:Difference" />
2164  <xsd:complexType name="Add">
2165    <xsd:complexContent>

```

```

2166      <xsd:extension base="xmi:Difference">
2167          <xsd:attribute name="position" type="xsd:string"
2168              use="optional" />
2169          <xsd:attribute name="addition" type="xsd:IDREFS"
2170              use="optional" />
2171      </xsd:extension>
2172  </xsd:complexContent>
2173 </xsd:complexType>
2174 <xsd:element name="Add" type="xmi:Add" />
2175 <xsd:complexType name="Replace">
2176     <xsd:complexContent>
2177         <xsd:extension base="xmi:Difference">
2178             <xsd:attribute name="position" type="xsd:string"
2179                 use="optional" />
2180             <xsd:attribute name="replacement" type="xsd:IDREFS"
2181                 use="optional" />
2182         </xsd:extension>
2183     </xsd:complexContent>
2184 </xsd:complexType>
2185 <xsd:element name="Replace" type="xmi:Replace" />
2186 <xsd:complexType name="Delete">
2187     <xsd:complexContent>
2188         <xsd:extension base="xmi:Difference" />
2189     </xsd:complexContent>
2190 </xsd:complexType>
2191 <xsd:element name="Delete" type="xmi:Delete" />
2192 <xsd:complexType name="Any">
2193     <xsd:choice maxOccurs="unbounded" minOccurs="0">
2194         <xsd:any processContents="skip" />
2195     </xsd:choice>
2196     <xsd:anyAttribute processContents="skip" />
2197 </xsd:complexType>
2198 </xsd:schema>

```

2199 C.2 Ecore XML Schema

2200 This XML schema is defined by Ecore [EMF1] and repeated here for completeness:

```

2201 <?xml version="1.0" encoding="UTF-8"?>
2202 <xsd:schema xmlns:ecore="http://www.eclipse.org/emf/2002/Ecore"
2203   xmlns:xmi="http://www.omg.org/XMI"
2204   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
2205   targetNamespace="http://www.eclipse.org/emf/2002/Ecore">
2206     <xsd:import namespace="http://www.omg.org/XMI" schemaLocation="XMI.xsd"/>
2207     <xsd:complexType name="EAttribute">
2208       <xsd:complexContent>

```

```

2209      <xsd:extension base="ecore:EStructuralFeature">
2210          <xsd:attribute name="id" type="xsd:boolean"/>
2211      </xsd:extension>
2212  </xsd:complexContent>
2213 </xsd:complexType>
2214 <xsd:element name="EAttribute" type="ecore:EAttribute"/>
2215 <xsd:complexType name="EAnnotation">
2216     <xsd:complexContent>
2217         <xsd:extension base="ecore:EModelElement">
2218             <xsd:choice maxOccurs="unbounded" minOccurs="0">
2219                 <xsd:element name="details" type="ecore:EStringToStringMapEntry" />
2220                 <xsd:element name="contents" type="ecore:EObject" />
2221                 <xsd:element name="references" type="ecore:EObject" />
2222             </xsd:choice>
2223             <xsd:attribute name="source" type="xsd:string" />
2224             <xsd:attribute name="references" type="xsd:string" />
2225         </xsd:extension>
2226     </xsd:complexContent>
2227 </xsd:complexType>
2228 <xsd:element name="EAnnotation" type="ecore:EAnnotation"/>
2229 <xsd:complexType name="EClass">
2230     <xsd:complexContent>
2231         <xsd:extension base="ecore:EClassifier">
2232             <xsd:choice maxOccurs="unbounded" minOccurs="0">
2233                 <xsd:element name="eSuperTypes" type="ecore:EClass" />
2234                 <xsd:element name="eOperations" type="ecore:EOperation" />
2235                 <xsd:element name="eStructuralFeatures"
2236 type="ecore:EStructuralFeature" />
2237             </xsd:choice>
2238             <xsd:attribute name="abstract" type="xsd:boolean" />
2239             <xsd:attribute name="interface" type="xsd:boolean" />
2240             <xsd:attribute name="eSuperTypes" type="xsd:string" />
2241         </xsd:extension>
2242     </xsd:complexContent>
2243 </xsd:complexType>
2244 <xsd:element name="EClass" type="ecore:EClass"/>
2245 <xsd:complexType abstract="true" name="EClassifier">
2246     <xsd:complexContent>
2247         <xsd:extension base="ecore:ENamedElement">
2248             <xsd:attribute name="instanceClassName" type="xsd:string" />
2249         </xsd:extension>
2250     </xsd:complexContent>
2251 </xsd:complexType>
```

```

2252 <xsd:element name="EClassifier" type="ecore:EClassifier"/>
2253 <xsd:complexType name="EDataType">
2254   <xsd:complexContent>
2255     <xsd:extension base="ecore:EClassifier">
2256       <xsd:attribute name="serializable" type="xsd:boolean" />
2257     </xsd:extension>
2258   </xsd:complexContent>
2259 </xsd:complexType>
2260 <xsd:element name="EDataType" type="ecore:EDataType"/>
2261 <xsd:complexType name="EEEnum">
2262   <xsd:complexContent>
2263     <xsd:extension base="ecore:EDataType">
2264       <xsd:choice maxOccurs="unbounded" minOccurs="0">
2265         <xsd:element name="eLiterals" type="ecore:EEEnumLiteral" />
2266       </xsd:choice>
2267     </xsd:extension>
2268   </xsd:complexContent>
2269 </xsd:complexType>
2270 <xsd:element name="EEEnum" type="ecore:EEEnum"/>
2271 <xsd:complexType name="EEEnumLiteral">
2272   <xsd:complexContent>
2273     <xsd:extension base="ecore:ENamedElement">
2274       <xsd:attribute name="value" type="xsd:int" />
2275       <xsd:attribute name="literal" type="xsd:string" />
2276     </xsd:extension>
2277   </xsd:complexContent>
2278 </xsd:complexType>
2279 <xsd:element name="EEEnumLiteral" type="ecore:EEEnumLiteral"/>
2280 <xsd:complexType name="EFactory">
2281   <xsd:complexContent>
2282     <xsd:extension base="ecore:EModelElement" />
2283   </xsd:complexContent>
2284 </xsd:complexType>
2285 <xsd:element name="EFactory" type="ecore:EFactory"/>
2286 <xsd:complexType abstract="true" name="EModelElement">
2287   <xsd:complexContent>
2288     <xsd:extension base="ecore:EObject">
2289       <xsd:choice maxOccurs="unbounded" minOccurs="0">
2290         <xsd:element name="eAnnotations" type="ecore:EAnnotation" />
2291       </xsd:choice>
2292     </xsd:extension>
2293   </xsd:complexContent>
2294 </xsd:complexType>

```

```

2295 <xsd:element name="EModelElement" type="ecore:EModelElement" />
2296 <xsd:complexType abstract="true" name="ENamedElement">
2297   <xsd:complexContent>
2298     <xsd:extension base="ecore:EModelElement">
2299       <xsd:attribute name="name" type="xsd:string" />
2300     </xsd:extension>
2301   </xsd:complexContent>
2302 </xsd:complexType>
2303 <xsd:element name="ENamedElement" type="ecore:ENamedElement" />
2304 <xsd:complexType name="EObject">
2305   <xsd:choice maxOccurs="unbounded" minOccurs="0">
2306     <xsd:element ref="xmi:Extension" />
2307   </xsd:choice>
2308   <xsd:attribute ref="xmi:id" />
2309   <xsd:attributeGroup ref="xmi:ObjectAttribs" />
2310 </xsd:complexType>
2311 <xsd:element name="EObject" type="ecore:EObject" />
2312 <xsd:complexType name="EOperation">
2313   <xsd:complexContent>
2314     <xsd:extension base="ecore:ETypedElement" >
2315       <xsd:choice maxOccurs="unbounded" minOccurs="0">
2316         <xsd:element name="eParameters" type="ecore:EParameter" />
2317         <xsd:element name="eExceptions" type="ecore:EClassifier" />
2318       </xsd:choice>
2319       <xsd:attribute name="eExceptions" type="xsd:string" />
2320     </xsd:extension>
2321   </xsd:complexContent>
2322 </xsd:complexType>
2323 <xsd:element name="EOperation" type="ecore:EOperation" />
2324 <xsd:complexType name="EPackage">
2325   <xsd:complexContent>
2326     <xsd:extension base="ecore:ENamedElement" >
2327       <xsd:choice maxOccurs="unbounded" minOccurs="0">
2328         <xsd:element name="eClassifiers" type="ecore:EClassifier" />
2329         <xsd:element name="eSubpackages" type="ecore:EPackage" />
2330       </xsd:choice>
2331       <xsd:attribute name="nsURI" type="xsd:string" />
2332       <xsd:attribute name="nsPrefix" type="xsd:string" />
2333     </xsd:extension>
2334   </xsd:complexContent>
2335 </xsd:complexType>
2336 <xsd:element name="EPackage" type="ecore:EPackage" />
2337 <xsd:complexType name="EParameter">

```

```

2338     <xsd:complexContent>
2339         <xsd:extension base="ecore:ETypedElement" />
2340     </xsd:complexContent>
2341 </xsd:complexType>
2342 <xsd:element name="EParameter" type="ecore:EParameter" />
2343 <xsd:complexType name="EReference">
2344     <xsd:complexContent>
2345         <xsd:extension base="ecore:EStructuralFeature" >
2346             <xsd:choice maxOccurs="unbounded" minOccurs="0" >
2347                 <xsd:element name="eOpposite" type="ecore:EReference" />
2348             </xsd:choice>
2349             <xsd:attribute name="containment" type="xsd:boolean" />
2350             <xsd:attribute name="resolveProxies" type="xsd:boolean" />
2351             <xsd:attribute name="eOpposite" type="xsd:string" />
2352         </xsd:extension>
2353     </xsd:complexContent>
2354 </xsd:complexType>
2355 <xsd:element name="EReference" type="ecore:EReference" />
2356 <xsd:complexType abstract="true" name="EStructuralFeature">
2357     <xsd:complexContent>
2358         <xsd:extension base="ecore:ETypedElement" >
2359             <xsd:attribute name="changeable" type="xsd:boolean" />
2360             <xsd:attribute name="volatile" type="xsd:boolean" />
2361             <xsd:attribute name="transient" type="xsd:boolean" />
2362             <xsd:attribute name="defaultValueLiteral" type="xsd:string" />
2363             <xsd:attribute name="unsettable" type="xsd:boolean" />
2364             <xsd:attribute name="derived" type="xsd:boolean" />
2365         </xsd:extension>
2366     </xsd:complexContent>
2367 </xsd:complexType>
2368 <xsd:element name="EStructuralFeature" type="ecore:EStructuralFeature" />
2369 <xsd:complexType abstract="true" name="ETypedElement">
2370     <xsd:complexContent>
2371         <xsd:extension base="ecore:ENamedElement" >
2372             <xsd:choice maxOccurs="unbounded" minOccurs="0" >
2373                 <xsd:element name="eType" type="ecore:EClassifier" />
2374             </xsd:choice>
2375             <xsd:attribute name="ordered" type="xsd:boolean" />
2376             <xsd:attribute name="unique" type="xsd:boolean" />
2377             <xsd:attribute name="lowerBound" type="xsd:int" />
2378             <xsd:attribute name="upperBound" type="xsd:int" />
2379             <xsd:attribute name="eType" type="xsd:string" />
2380         </xsd:extension>

```

```

2381      </xsd:complexContent>
2382  </xsd:complexType>
2383  <xsd:element name="ETypedElement" type="ecore:ETypedElement" />
2384  <xsd:complexType name="EStringToStringMapEntry">
2385      <xsd:choice maxOccurs="unbounded" minOccurs="0">
2386          <xsd:element ref="xmi:Extension"/>
2387      </xsd:choice>
2388      <xsd:attribute ref="xmi:id"/>
2389      <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2390      <xsd:attribute name="key" type="xsd:string"/>
2391      <xsd:attribute name="value" type="xsd:string"/>
2392  </xsd:complexType>
2393  <xsd:element name="EStringToStringMapEntry"
2394 type="ecore:EStringToStringMapEntry"/>
2395 </xsd:schema>
2396

```

2397 C.3 Base Type System Ecore Model

2398 This Ecore model formally defines the UIMA Base Type System.

```

2399 <?xml version="1.0" encoding="UTF-8"?>
2400 <ecore:EPackage xmi:version="2.0"
2401     xmlns:xmi="http://www.omg.org/XMI"
2402     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
2403     xmlns:ecore="http://www.eclipse.org/emf/2002/Ecore" name="uima"
2404     nsURI="http://docs.oasis-open.org/uima.ecore" nsPrefix="uima">
2405     <eSubpackages name="base" nsURI="http://docs.oasis-
2406 open.org/uima/base.ecore" nsPrefix="uima.base">
2407         <eClassifiers xsi:type="ecore:EClass" name="Annotation">
2408             <eStructuralFeatures xsi:type="ecore:EReference" name="sofa"
2409             lowerBound="1"
2410                 eType="#//base/SofaReference"/>
2411                 <eStructuralFeatures xsi:type="ecore:EReference" name="metadata"
2412                 eType="#//base/AnnotationMetadata"/>
2413                     <eStructuralFeatures xsi:type="ecore:EReference" name="occurrenceOf"
2414                     lowerBound="1"
2415                         eType="#//base/Referent" eOpposite="#//base/Referent/occurrence"/>
2416                     </eClassifiers>
2417                     <eClassifiers xsi:type="ecore:EClass" name="SofaReference"
2418                     abstract="true"/>
2419                     <eClassifiers xsi:type="ecore:EClass" name="LocalSofaReference"
2420                     eSuperTypes="#//base/SofaReference">
2421                         <eStructuralFeatures xsi:type="ecore:EAttribute" name="sofaFeature"
2422                         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore##/EString"/>
2423                             <eStructuralFeatures xsi:type="ecore:EReference" name="sofaObject"
2424                             eType="ecore:EClass http://www.eclipse.org/emf/2002/Ecore##/EObject"/>
2425                         </eClassifiers>
2426                         <eClassifiers xsi:type="ecore:EClass" name="RemoteSofaReference"
2427                         eSuperTypes="#//base/SofaReference">
2428                             <eStructuralFeatures xsi:type="ecore:EAttribute" name="sofaUri"
2429                             eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore##/EString"/>
2430                         </eClassifiers>

```

```

2431      <eClassifiers xsi:type="ecore:EClass" name="TextAnnotation"
2432      eSuperTypes="#//base/Annotation">
2433          <eStructuralFeatures xsi:type="ecore:EAttribute" name="beginChar"
2434          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EInt"/>
2435              <eStructuralFeatures xsi:type="ecore:EAttribute" name="endChar"
2436              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EInt"/>
2437      </eClassifiers>
2438      <eClassifiers xsi:type="ecore:EClass" name="TemporalAnnotation"
2439      eSuperTypes="#//base/Annotation">
2440          <eStructuralFeatures xsi:type="ecore:EAttribute" name="beginTime"
2441          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EFloat"/>
2442              <eStructuralFeatures xsi:type="ecore:EAttribute" name="endTime"
2443              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EFloat"/>
2444      </eClassifiers>
2445      <eClassifiers xsi:type="ecore:EClass" name="AnnotationMetadata">
2446          <eStructuralFeatures xsi:type="ecore:EAttribute" name="confidence"
2447          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EFloat"/>
2448              <eStructuralFeatures xsi:type="ecore:EReference" name="provenance"
2449              eType="#//base/Provenance"/>
2450      </eClassifiers>
2451      <eClassifiers xsi:type="ecore:EClass" name="Provenance"/>
2452      <eClassifiers xsi:type="ecore:EClass" name="Referent">
2453          <eStructuralFeatures xsi:type="ecore:EReference" name="occurrence"
2454      upperBound="-1"
2455          eType="#//base/Annotation"
2456      eOpposite="#//base/Annotation/occurrenceOf"/>
2457          <eStructuralFeatures xsi:type="ecore:EAttribute" name="ontologySource"
2458          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
2459              <eStructuralFeatures xsi:type="ecore:EAttribute" name="ontologyElement"
2460              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
2461      </eClassifiers>
2462      <eClassifiers xsi:type="ecore:EClass" name="SourceDocumentInformation">
2463          <eStructuralFeatures xsi:type="ecore:EAttribute" name="uri"
2464          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
2465              <eStructuralFeatures xsi:type="ecore:EAttribute" name="offsetInSource"
2466              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EInt"/>
2467                  <eStructuralFeatures xsi:type="ecore:EAttribute" name="documentSize"
2468                  eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EInt"/>
2469      </eClassifiers>
2470      <eClassifiers xsi:type="ecore:EClass" name="AnchoredView"
2471      eSuperTypes="#//base/View">
2472          <eStructuralFeatures xsi:type="ecore:EReference" name="sofa"
2473      upperBound="-1"
2474          eType="#//base/SofaReference"/>
2475      </eClassifiers>
2476      <eClassifiers xsi:type="ecore:EClass" name="View">
2477          <eStructuralFeatures xsi:type="ecore:EReference" name="IndexRepository"
2478      lowerBound="1"/>
2479          <eStructuralFeatures xsi:type="ecore:EReference" name="member"
2480      upperBound="-1"
2481          eType="ecore:EClass
2482          http://www.eclipse.org/emf/2002/Ecore#/EObject"/>
2483              <eStructuralFeatures xsi:type="ecore:EAttribute" name="name"
2484              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore#/EString"/>
2485      </eClassifiers>
2486      </eSubpackages>
2487  </ecore:EPackage>
```

2488 C.4 PE Metadata and Behavioral Metadata Ecore Model

2489 This Ecore model formally defines the UIMA Processing Element Metadata and Behavioral Metadata.

```
2490 <?xml version="1.0" encoding="UTF-8"?>
2491 <ecore:EPackage xmi:version="2.0"
2492   xmlns:xmi="http://www.omg.org/XMI"
2493   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
2494     xmlns:ecore="http://www.eclipse.org/emf/2002/Ecore" name="uima"
2495     nsURI="http://docs.oasis-open.org/uima.ecore" nsPrefix="uima">
2496   <eSubpackages name="peMetadata" nsURI="http://docs.oasis-
2497 open.org/uima/peMetadata.ecore"
2498     nsPrefix="uima.peMetadata">
2499     <eClassifiers xsi:type="ecore:EClass" name="Identification">
2500       <eStructuralFeatures xsi:type="ecore:EAttribute" name="symbolicName"
2501         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2502       <eStructuralFeatures xsi:type="ecore:EAttribute" name="name"
2503         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2504       <eStructuralFeatures xsi:type="ecore:EAttribute" name="description"
2505         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2506       <eStructuralFeatures xsi:type="ecore:EAttribute" name="vendor"
2507         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2508       <eStructuralFeatures xsi:type="ecore:EAttribute" name="version"
2509         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2510       <eStructuralFeatures xsi:type="ecore:EAttribute" name="url"
2511         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2512     </eClassifiers>
2513     <eClassifiers xsi:type="ecore:EClass" name="ConfigurationParameter">
2514       <eStructuralFeatures xsi:type="ecore:EAttribute" name="name"
2515         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2516       <eStructuralFeatures xsi:type="ecore:EAttribute" name="description"
2517         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2518       <eStructuralFeatures xsi:type="ecore:EAttribute" name="type"
2519         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2520       <eStructuralFeatures xsi:type="ecore:EAttribute" name="multiValued"
2521         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EBoolean"/>
2522       <eStructuralFeatures xsi:type="ecore:EAttribute" name="mandatory"
2523         eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EBoolean"/>
2524       <eStructuralFeatures xsi:type="ecore:EAttribute" name="defaultValue"
2525         upperBound="-1"
2526           eType="ecore:EDataType
2527             http://www.eclipse.org/emf/2002/Ecore//EString"/>
2528     </eClassifiers>
2529     <eClassifiers xsi:type="ecore:EClass" name="TypeSystem">
2530       <eStructuralFeatures xsi:type="ecore:EAttribute" name="reference"
2531         upperBound="-1"
2532           eType="ecore:EDataType
2533             http://www.eclipse.org/emf/2002/Ecore//EString"/>
2534           <eStructuralFeatures xsi:type="ecore:EReference" name="package"
2535             upperBound="-1"
2536               eType="ecore:EClass
2537                 http://www.eclipse.org/emf/2002/Ecore//EPackage" containment="true" />
2538             </eClassifiers>
2539             <eClassifiers xsi:type="ecore:EClass" name="BehavioralMetadata">
2540               <eStructuralFeatures xsi:type="ecore:EAttribute"
2541                 name="excludeReferenceClosure"
2542                   eType="ecore:EDataType
2543                     http://www.eclipse.org/emf/2002/Ecore//EBooleanObject" />
```

```

2544      <eStructuralFeatures xsi:type="ecore:EReference" name="analyzes"
2545      lowerBound="1"
2546          eType="#//peMetadata/BehaviorElement" containment="true"/>
2547          <eStructuralFeatures xsi:type="ecore:EReference" name="requiredInputs"
2548      lowerBound="1"
2549          eType="#//peMetadata/BehaviorElement" containment="true"/>
2550          <eStructuralFeatures xsi:type="ecore:EReference" name="optionalInputs"
2551      lowerBound="1"
2552          eType="#//peMetadata/BehaviorElement" containment="true"/>
2553          <eStructuralFeatures xsi:type="ecore:EReference" name="creates"
2554      lowerBound="1"
2555          eType="#//peMetadata/BehaviorElement" containment="true"/>
2556          <eStructuralFeatures xsi:type="ecore:EReference" name="modifies"
2557      lowerBound="1"
2558          eType="#//peMetadata/BehaviorElement" containment="true"/>
2559          <eStructuralFeatures xsi:type="ecore:EReference" name=" deletes"
2560      lowerBound="1"
2561          eType="#//peMetadata/BehaviorElement" containment="true"/>
2562          <eStructuralFeatures xsi:type="ecore:EReference" name=" precondition"
2563      lowerBound="1"
2564          eType="#//peMetadata/Condition" containment="true"/>
2565          <eStructuralFeatures xsi:type="ecore:EReference" name=" postcondition"
2566      lowerBound="1"
2567          eType="#//peMetadata/Condition" containment="true"/>
2568          <eStructuralFeatures xsi:type="ecore:EReference"
2569      name="projectionCondition"
2570          lowerBound="1" eType="#//peMetadata/Condition" containment="true"/>
2571          <eStructuralFeatures xsi:type="ecore:EReference" name=" requiredView"
2572      upperBound="-1"
2573          eType="#//peMetadata/ViewBehavioralMetadata" containment="true"/>
2574          <eStructuralFeatures xsi:type="ecore:EReference" name=" optionalView"
2575      upperBound="-1"
2576          eType="#//peMetadata/ViewBehavioralMetadata" containment="true"/>
2577          <eStructuralFeatures xsi:type="ecore:EReference" name=" createsView"
2578      upperBound="-1"
2579          eType="#//peMetadata/ViewBehavioralMetadata" containment="true"/>
2580      </eClassifiers>
2581      <eClassifiers xsi:type="ecore:EClass" name="ProcessingElementMetadata">
2582          <eStructuralFeatures xsi:type="ecore:EReference"
2583      name="configurationParameter"
2584          upperBound="-1" eType="#//peMetadata/ConfigurationParameter"
2585      containment="true"/>
2586          <eStructuralFeatures xsi:type="ecore:EReference" name=" identification"
2587      lowerBound="1"
2588          eType="#//peMetadata/Identification" containment="true"/>
2589          <eStructuralFeatures xsi:type="ecore:EReference" name=" typeSystem"
2590      lowerBound="1"
2591          eType="#//peMetadata>TypeSystem" containment="true"/>
2592          <eStructuralFeatures xsi:type="ecore:EReference"
2593      name="behavioralMetadata" lowerBound="1"
2594          eType="#//peMetadata/BehavioralMetadata" containment="true"/>
2595          <eStructuralFeatures xsi:type="ecore:EReference" name=" extension"
2596      upperBound="-1"
2597          eType="#//peMetadata/Extension" containment="true"/>
2598      </eClassifiers>
2599      <eClassifiers xsi:type="ecore:EClass" name="Extension">
2600          <eStructuralFeatures xsi:type="ecore:EAttribute" name="extenderId"
2601      eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore##/EString"/>

```

```

2602      <eStructuralFeatures xsi:type="ecore:EReference" name="contents"
2603      lowerBound="1"
2604      eType="ecore:EClass
2605      http://www.eclipse.org/emf/2002/Ecore//EObject" containment="true" />
2606      </eClassifiers>
2607      <eClassifiers xsi:type="ecore:EClass" name="BehaviorElement">
2608          <eStructuralFeatures xsi:type="ecore:EReference" name="type"
2609          upperBound="-1"
2610              eType="#/peMetadata/Type" containment="true" />
2611          </eClassifiers>
2612          <eClassifiers xsi:type="ecore:EClass" name="Type">
2613              <eStructuralFeatures xsi:type="ecore:EAttribute" name="name"
2614              eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2615                  <eStructuralFeatures xsi:type="ecore:EAttribute" name="feature"
2616                  upperBound="-1"
2617                      eType="ecore:EDataType
2618                      http://www.eclipse.org/emf/2002/Ecore//EString"/>
2619                  </eClassifiers>
2620                  <eClassifiers xsi:type="ecore:EClass" name="Condition">
2621                      <eStructuralFeatures xsi:type="ecore:EAttribute" name="language"
2622                      eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2623                          <eStructuralFeatures xsi:type="ecore:EAttribute" name="expression"
2624                          eType="ecore:EDataType http://www.eclipse.org/emf/2002/Ecore//EString"/>
2625                      </eClassifiers>
2626                      <eClassifiers xsi:type="ecore:EClass" name="ViewBehavioralMetadata"
2627                      eSuperTypes="#/peMetadata/BehavioralMetadata"/>
2628                  </eSubpackages>
2629  </ecore:EPackage>
```

2630 C.5 PE Metadata and Behavioral Metadata XML Schema

2631 This XML schema was generated from the Ecore model in Appendix C.4 by the Eclipse Modeling
2632 Framework tools.

```

2633 <?xml version="1.0" encoding="UTF-8" standalone="no"?>
2634 <xsd:schema xmlns:ecore="http://www.eclipse.org/emf/2002/Ecore"
2635 xmlns:uima.peMetadata="http://docs.oasis-open.org/uima/peMetadata.ecore"
2636 xmlns:xmi="http://www.omg.org/XMI"
2637 xmlns:xsd="http://www.w3.org/2001/XMLSchema"
2638 targetNamespace="http://docs.oasis-open.org/uima/peMetadata.ecore">
2639     <xsd:import namespace="http://www.eclipse.org/emf/2002/Ecore"
2640     schemaLocation="ecore.xsd"/>
2641     <xsd:import namespace="http://www.omg.org/XMI"
2642     schemaLocation="../../../../plugin/org.eclipse.emf.ecore/model/XMI.xsd"/>
2643     <xsd:complexType name="Identification">
2644         <xsd:choice maxOccurs="unbounded" minOccurs="0">
2645             <xsd:element ref="xmi:Extension"/>
2646         </xsd:choice>
2647         <xsd:attribute ref="xmi:id"/>
2648         <xsd:attributeGroup ref="xmi:ObjectAttribs"/>
2649         <xsd:attribute name="symbolicName" type="xsd:string"/>
2650         <xsd:attribute name="name" type="xsd:string"/>
2651         <xsd:attribute name="description" type="xsd:string"/>
2652         <xsd:attribute name="vendor" type="xsd:string"/>
2653         <xsd:attribute name="version" type="xsd:string"/>
2654         <xsd:attribute name="url" type="xsd:string"/>
2655     </xsd:complexType>
2656     <xsd:element name="Identification" type="uima.peMetadata:Identification" />
```

```

2657 <xsd:complexType name="ConfigurationParameter">
2658   <xsd:choice maxOccurs="unbounded" minOccurs="0">
2659     <xsd:element name="defaultValue" nillable="true" type="xsd:string"/>
2660     <xsd:element ref="xmi:Extension"/>
2661   </xsd:choice>
2662   <xsd:attribute ref="xmi:id"/>
2663   <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2664   <xsd:attribute name="name" type="xsd:string"/>
2665   <xsd:attribute name="description" type="xsd:string"/>
2666   <xsd:attribute name="type" type="xsd:string"/>
2667   <xsd:attribute name="multiValued" type="xsd:boolean"/>
2668   <xsd:attribute name="mandatory" type="xsd:boolean"/>
2669 </xsd:complexType>
2670 <xsd:element name="ConfigurationParameter"
2671 type="uima.peMetadata:ConfigurationParameter" />
2672 <xsd:complexType name="TypeSystem">
2673   <xsd:choice maxOccurs="unbounded" minOccurs="0">
2674     <xsd:element name="reference" nillable="true" type="xsd:string"/>
2675     <xsd:element name="package" type="ecore:E.Package"/>
2676     <xsd:element ref="xmi:Extension"/>
2677   </xsd:choice>
2678   <xsd:attribute ref="xmi:id"/>
2679   <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2680 </xsd:complexType>
2681 <xsd:element name="TypeSystem" type="uima.peMetadata>TypeSystem" />
2682 <xsd:complexType name="BehavioralMetadata">
2683   <xsd:choice maxOccurs="unbounded" minOccurs="0">
2684     <xsd:element name="analyzes" type="uima.peMetadata:BehaviorElement"/>
2685     <xsd:element name="requiredInputs"
2686 type="uima.peMetadata:BehaviorElement" />
2687     <xsd:element name="optionalInputs"
2688 type="uima.peMetadata:BehaviorElement" />
2689     <xsd:element name="creates" type="uima.peMetadata:BehaviorElement"/>
2690     <xsd:element name="modifies" type="uima.peMetadata:BehaviorElement"/>
2691     <xsd:element name="deletes" type="uima.peMetadata:BehaviorElement"/>
2692     <xsd:element name="precondition" type="uima.peMetadata:Condition"/>
2693     <xsd:element name="postcondition" type="uima.peMetadata:Condition"/>
2694     <xsd:element name="projectionCondition"
2695 type="uima.peMetadata:Condition" />
2696     <xsd:element name="requiredView"
2697 type="uima.peMetadata:ViewBehavioralMetadata" />
2698     <xsd:element name="optionalView"
2699 type="uima.peMetadata:ViewBehavioralMetadata" />
2700     <xsd:element name="createsView"
2701 type="uima.peMetadata:ViewBehavioralMetadata" />
2702     <xsd:element ref="xmi:Extension"/>
2703   </xsd:choice>
2704   <xsd:attribute ref="xmi:id"/>
2705   <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2706   <xsd:attribute name="excludeReferenceClosure" type="xsd:boolean"/>
2707 </xsd:complexType>
2708 <xsd:element name="BehavioralMetadata"
2709 type="uima.peMetadata:BehavioralMetadata" />
2710   <xsd:complexType name="ProcessingElementMetadata">
2711     <xsd:choice maxOccurs="unbounded" minOccurs="0">
2712       <xsd:element name="configurationParameter"
2713 type="uima.peMetadata:ConfigurationParameter" />

```

```

2714      <xsd:element name="identification"
2715      type="uima.peMetadata:Identification"/>
2716      <xsd:element name="typeSystem" type="uima.peMetadata>TypeSystem"/>
2717      <xsd:element name="behavioralMetadata"
2718      type="uima.peMetadata:BehavioralMetadata"/>
2719      <xsd:element name="extension" type="uima.peMetadata:Extension"/>
2720      <xsd:element ref="xmi:Extension"/>
2721    </xsd:choice>
2722    <xsd:attribute ref="xmi:id"/>
2723    <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2724  </xsd:complexType>
2725  <xsd:element name="ProcessingElementMetadata"
2726  type="uima.peMetadata:ProcessingElementMetadata"/>
2727  <xsd:complexType name="Extension">
2728    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2729      <xsd:element name="contents" type="ecore:EObject"/>
2730      <xsd:element ref="xmi:Extension"/>
2731    </xsd:choice>
2732    <xsd:attribute ref="xmi:id"/>
2733    <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2734    <xsd:attribute name="extenderId" type="xsd:string"/>
2735  </xsd:complexType>
2736  <xsd:element name="Extension" type="uima.peMetadata:Extension"/>
2737  <xsd:complexType name="BehaviorElement">
2738    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2739      <xsd:element name="type" type="uima.peMetadata>Type"/>
2740      <xsd:element ref="xmi:Extension"/>
2741    </xsd:choice>
2742    <xsd:attribute ref="xmi:id"/>
2743    <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2744  </xsd:complexType>
2745  <xsd:element name="BehaviorElement"
2746  type="uima.peMetadata:BehaviorElement"/>
2747  <xsd:complexType name="Type">
2748    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2749      <xsd:element name="feature" nillable="true" type="xsd:string"/>
2750      <xsd:element ref="xmi:Extension"/>
2751    </xsd:choice>
2752    <xsd:attribute ref="xmi:id"/>
2753    <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2754    <xsd:attribute name="name" type="xsd:string"/>
2755  </xsd:complexType>
2756  <xsd:element name="Type" type="uima.peMetadata>Type"/>
2757  <xsd:complexType name="Condition">
2758    <xsd:choice maxOccurs="unbounded" minOccurs="0">
2759      <xsd:element ref="xmi:Extension"/>
2760    </xsd:choice>
2761    <xsd:attribute ref="xmi:id"/>
2762    <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
2763    <xsd:attribute name="language" type="xsd:string"/>
2764    <xsd:attribute name="expression" type="xsd:string"/>
2765  </xsd:complexType>
2766  <xsd:element name="Condition" type="uima.peMetadata:Condition"/>
2767  <xsd:complexType name="ViewBehavioralMetadata">
2768    <xsd:complexContent>
2769      <xsd:extension base="uima.peMetadata:BehavioralMetadata"/>
2770    </xsd:complexContent>
2771  </xsd:complexType>
```

```
2772     <xsd:element name="ViewBehavioralMetadata"  
2773      type="uima.peMetadata:ViewBehavioralMetadata" />  
2774   </xsd:schema>
```

2775 C.6 PE Service WSDL Definition

2776 This WSDL document formally defines a UIMA SOAP Service.

```
2777 <?xml version="1.0" encoding="UTF-8"?>  
2778 <wsdl:definitions  
2779   targetNamespace="http://docs.oasis-open.org/uima/peService"  
2780   xmlns:service="http://docs.oasis-open.org/uima/peService"  
2781   xmlns:pemd="http://docs.oasis-open.org/uima/peMetadata.ecore"  
2782   xmlns:pe="http://docs.oasis-open.org/uima/pe.ecore"  
2783   xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"  
2784   xmlns:wsdlsoap="http://schemas.xmlsoap.org/wsdl/soap/"  
2785   xmlns:xsd="http://www.w3.org/2001/XMLSchema"  
2786   xmlns:xmi="http://www.omg.org/XMI">  
2787  
2788   <wsdl:types>  
2789     <!-- Import the PE Metadata Schema Definitions -->  
2790     <xsd:import  
2791       namespace="http://docs.oasis-open.org/uima/peMetadata.ecore"  
2792       schemaLocation="uima.peMetadataXMI.xsd"/>  
2793  
2794     <!-- Import the XMI schema. -->  
2795     <xsd:import namespace="http://www.omg.org/XMI"  
2796       schemaLocation="XMI.xsd"/>  
2797  
2798     <!-- Import other type definitions used as part of the service API. -->  
2799     <xsd:import  
2800       namespace="http://docs.oasis-open.org/uima/pe.ecore"  
2801       schemaLocation="uima.peServiceXMI.xsd"/>  
2802   </wsdl:types>  
2803  
2804   <!-- Define the messages sent to and from the service. -->  
2805  
2806   <!-- Messages for all UIMA Processing Elements -->  
2807   <wsdl:message name="getMetadataRequest">  
2808     </wsdl:message>  
2809  
2810   <wsdl:message name="getMetadataResponse">  
2811     <wsdl:part element="metadata"  
2812       type="pemd:ProcessingElementMetadata" name="metadata" />  
2813   </wsdl:message>  
2814  
2815   <wsdl:message name="setConfigurationParametersRequest">  
2816     <wsdl:part element="settings"  
2817       type="pe:ConfigurationParameterSettings" name="settings" />  
2818   </wsdl:message>  
2819  
2820   <wsdl:message name="setConfigurationParametersResponse">  
2821     </wsdl:message>  
2822  
2823   <wsdl:message name="uimaFault">  
2824     <wsdl:part element="exception" type="pe:UimaException" name="exception" />  
2825   </wsdl:message>  
2826  
2827
```

```

2828     <!-- Messages for the Analyzer interface -->
2829
2830     <wsdl:message name="processCasRequest">
2831         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2832         <wsdl:part element="sofas" type="pe:ObjectList" name="sofas"/>
2833     </wsdl:message>
2834
2835     <wsdl:message name="processCasResponse">
2836         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2837     </wsdl:message>
2838
2839     <wsdl:message name="processCasBatchRequest">
2840         <wsdl:part element="casBatchInput" type="pe:CasBatchInput"
2841 name="casBatchInput"/>
2842     </wsdl:message>
2843
2844     <wsdl:message name="processCasBatchResponse">
2845         <wsdl:part element="casBatchResponse" type="pe:CasBatchResponse"
2846 name="casBatchResponse"/>
2847     </wsdl:message>
2848
2849
2850     <!-- Messages for the CasMultiplier interface -->
2851     <wsdl:message name="inputCasRequest">
2852         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2853         <wsdl:part element="sofas" type="pe:ObjectList" name="sofas"/>
2854     </wsdl:message>
2855
2856     <wsdl:message name="inputCasResponse">
2857     </wsdl:message>
2858
2859     <wsdl:message name="getNextCasRequest">
2860     </wsdl:message>
2861
2862     <wsdl:message name="getNextCasResponse">
2863         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2864     </wsdl:message>
2865
2866     <wsdl:message name="retrieveInputCasRequest">
2867     </wsdl:message>
2868
2869     <wsdl:message name="retrieveInputCasResponse">
2870         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2871     </wsdl:message>
2872
2873     <wsdl:message name="getNextCasRequest">
2874         <wsdl:part element="maxCAsesToReturn" type="xsd:integer"
2875 name="maxCAsesToReturn"/>
2876         <wsdl:part element="timeToWait" type="xsd:integer" name="timeToWait"/>
2877     </wsdl:message>
2878
2879     <wsdl:message name="getNextCasResponse">
2880         <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2881     </wsdl:message>
2882
2883     <wsdl:message name="getNextCasBatchRequest">
2884         <wsdl:part element="maxCAsesToReturn" type="xsd:integer"
2885 name="maxCAsesToReturn"/>

```

```

2886     <wsdl:part element="timeToWait" type="xsd:integer" name="timeToWait"/>
2887   </wsdl:message>
2888
2889   <wsdl:message name="getNextCasBatchResponse">
2890     <wsdl:part element="reponse" type="pe:GetNextCasBatchResponse"
2891     name="response"/>
2892   </wsdl:message>
2893
2894   <!-- Messages for the FlowController interface -->
2895
2896   <wsdl:message name="addAvailableAnalyticsRequest">
2897     <wsdl:part element="analyticMetadataMap"
2898       type="pe:AnalyticMetadataMap" name="analyticMetadataMap"/>
2899   </wsdl:message>
2900
2901   <wsdl:message name="addAvailableAnalyticsResponse">
2902   </wsdl:message>
2903
2904   <wsdl:message name="removeAvailableAnalyticsRequest">
2905     <wsdl:part element="analyticKeys" type="pe:Keys"
2906       name="analyticKeys"/>
2907   </wsdl:message>
2908
2909   <wsdl:message name="removeAvailableAnalyticsResponse">
2910   </wsdl:message>
2911
2912   <wsdl:message name="setAggregateMetadataRequest">
2913     <wsdl:part element="metadata"
2914       type="pemd:ProcessingElementMetadata" name="metadata"/>
2915   </wsdl:message>
2916
2917   <wsdl:message name="setAggregateMetadataResponse">
2918   </wsdl:message>
2919
2920   <wsdl:message name="getNextDestinationsRequest">
2921     <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2922   </wsdl:message>
2923
2924   <wsdl:message name="getNextDestinationsResponse">
2925     <wsdl:part element="step" type="pe:Step" name="step"/>
2926   </wsdl:message>
2927
2928   <wsdl:message name="continueOnFailureRequest">
2929     <wsdl:part element="cas" type="xmi:XMI" name="cas"/>
2930     <wsdl:part element="failedAnalyticKey" type="xsd:string"
2931     name="failedAnalyticKey"/>
2932     <wsdl:part element="failure" type="pe:UimaException" name="failure"/>
2933   </wsdl:message>
2934
2935   <wsdl:message name="continueOnFailureResponse">
2936     <wsdl:part element="continue" type="xsd:boolean" name="continue"/>
2937   </wsdl:message>
2938
2939   <!-- Define a portType for each of the UIMA interfaces -->
2940   <wsdl:portType name="Analyzer">
2941
2942     <wsdl:operation name="getMetadata">
2943       <wsdl:input message="service:getMetadataRequest">
```

```

2944     name="getMetadataRequest" />
2945     <wsdl:output message="service:getMetadataResponse" 
2946         name="getMetadataResponse" />
2947     <wsdl:fault message="service:uimaFault" 
2948         name="uimaFault" />
2949   </wsdl:operation>
2950
2951   <wsdl:operation name="setConfigurationParameters">
2952     <wsdl:input
2953         message="service:setConfigurationParametersRequest" 
2954         name="setConfigurationParametersRequest" />
2955     <wsdl:output
2956         message="service:setConfigurationParametersResponse" 
2957         name="setConfigurationParametersResponse" />
2958     <wsdl:fault message="service:uimaFault" 
2959         name="uimaFault" />
2960   </wsdl:operation>
2961
2962   <wsdl:operation name="processCas">
2963     <wsdl:input message="service:processCasRequest" 
2964         name="processCasRequest" />
2965     <wsdl:output message="service:processCasResponse" 
2966         name="processCasResponse" />
2967     <wsdl:fault message="service:uimaFault" 
2968         name="uimaFault" />
2969   </wsdl:operation>
2970
2971   <wsdl:operation name="processCasBatch">
2972     <wsdl:input message="service:processCasBatchRequest" 
2973         name="processCasBatchRequest" />
2974     <wsdl:output message="service:processCasBatchResponse" 
2975         name="processCasBatchResponse" />
2976     <wsdl:fault message="service:uimaFault" 
2977         name="uimaFault" />
2978   </wsdl:operation>
2979 </wsdl:portType>
2980
2981 <wsdl:portType name="CasMultiplier">
2982
2983   <wsdl:operation name="getMetadata">
2984     <wsdl:input message="service:getMetadataRequest" 
2985         name="getMetadataRequest" />
2986     <wsdl:output message="service:getMetadataResponse" 
2987         name="getMetadataResponse" />
2988     <wsdl:fault message="service:uimaFault" 
2989         name="uimaFault" />
2990   </wsdl:operation>
2991
2992   <wsdl:operation name="setConfigurationParameters">
2993     <wsdl:input
2994         message="service:setConfigurationParametersRequest" 
2995         name="setConfigurationParametersRequest" />
2996     <wsdl:output
2997         message="service:setConfigurationParametersResponse" 
2998         name="setConfigurationParametersResponse" />
2999     <wsdl:fault message="service:uimaFault" 
3000         name="uimaFault" />
3001   </wsdl:operation>

```

```

3002
3003     <wsdl:operation name="inputCas">
3004         <wsdl:input message="service:inputCasRequest"
3005             name="inputCasRequest"/>
3006         <wsdl:output message="service:inputCasResponse"
3007             name="inputCasResponse"/>
3008         <wsdl:fault message="service:uimaFault"
3009             name="uimaFault"/>
3010     </wsdl:operation>
3011
3012     <wsdl:operation name="getNextCas">
3013         <wsdl:input message="service:getNextCasRequest"
3014             name="getNextCasRequest"/>
3015         <wsdl:output message="service:getNextCasResponse"
3016             name="getNextCasResponse"/>
3017         <wsdl:fault message="service:uimaFault"
3018             name="uimaFault"/>
3019     </wsdl:operation>
3020
3021     <wsdl:operation name="retrieveInputCas">
3022         <wsdl:input message="service:retrieveInputCasRequest"
3023             name="retrieveInputCasRequest"/>
3024         <wsdl:output message="service:retrieveInputCasResponse"
3025             name="retrieveInputCasResponse"/>
3026         <wsdl:fault message="service:uimaFault"
3027             name="uimaFault"/>
3028     </wsdl:operation>
3029
3030     <wsdl:operation name="getNextCasBatch">
3031         <wsdl:input message="service:getNextCasBatchRequest"
3032             name="getNextCasBatchRequest"/>
3033         <wsdl:output message="service:getNextCasBatchResponse"
3034             name="getNextCasBatchResponse"/>
3035         <wsdl:fault message="service:uimaFault"
3036             name="uimaFault"/>
3037     </wsdl:operation>
3038 </wsdl:portType>
3039
3040 <wsdl:portType name="FlowController">
3041
3042     <wsdl:operation name="getMetadata">
3043         <wsdl:input message="service:getMetadataRequest"
3044             name="getMetadataRequest"/>
3045         <wsdl:output message="service:getMetadataResponse"
3046             name="getMetadataResponse"/>
3047         <wsdl:fault message="service:uimaFault"
3048             name="uimaFault"/>
3049     </wsdl:operation>
3050
3051     <wsdl:operation name="setConfigurationParameters">
3052         <wsdl:input
3053             message="service:setConfigurationParametersRequest"
3054             name="setConfigurationParametersRequest"/>
3055         <wsdl:output
3056             message="service:setConfigurationParametersResponse"
3057             name="setConfigurationParametersResponse"/>
3058         <wsdl:fault message="service:uimaFault"
3059             name="uimaFault"/>

```

```

3060      </wsdl:operation>
3061
3062      <wsdl:operation name="addAvailableAnalytics">
3063          <wsdl:input message="service:addAvailableAnalyticsRequest"
3064              name="addAvailableAnalyticsRequest"/>
3065          <wsdl:output message="service:addAvailableAnalyticsResponse"
3066              name="addAvailableAnalyticsResponse"/>
3067          <wsdl:fault message="service:uimaFault"
3068              name="uimaFault"/>
3069      </wsdl:operation>
3070
3071      <wsdl:operation name="removeAvailableAnalytics">
3072          <wsdl:input
3073              message="service:removeAvailableAnalyticsRequest"
3074              name="removeAvailableAnalyticsRequest"/>
3075          <wsdl:output
3076              message="service:removeAvailableAnalyticsResponse"
3077              name="removeAvailableAnalyticsResponse"/>
3078          <wsdl:fault message="service:uimaFault"
3079              name="uimaFault"/>
3080      </wsdl:operation>
3081
3082      <wsdl:operation name="setAggregateMetadata">
3083          <wsdl:input message="service:setAggregateMetadataRequest"
3084              name="setAggregateMetadataRequest"/>
3085          <wsdl:output message="service:setAggregateMetadataResponse"
3086              name="setAggregateMetadataResponse"/>
3087          <wsdl:fault message="service:uimaFault"
3088              name="uimaFault"/>
3089      </wsdl:operation>
3090
3091      <wsdl:operation name="getNextDestinations">
3092          <wsdl:input message="service:getNextDestinationsRequest"
3093              name="getNextDestinationsRequest"/>
3094          <wsdl:output message="service:getNextDestinationsResponse"
3095              name="getNextDestinationsResponse"/>
3096          <wsdl:fault message="service:uimaFault"
3097              name="uimaFault"/>
3098      </wsdl:operation>
3099
3100      <wsdl:operation name="continueOnFailure">
3101          <wsdl:input message="service:continueOnFailureRequest"
3102              name="continueOnFailureRequest"/>
3103          <wsdl:output message="service:continueOnFailureResponse"
3104              name="continueOnFailureResponse"/>
3105          <wsdl:fault message="service:uimaFault"
3106              name="uimaFault"/>
3107      </wsdl:operation>
3108
3109  </wsdl:portType>
3110
3111  <!-- Define a SOAP binding for each portType. -->
3112  <wsdl:binding name="AnalyzerSoapBinding" type="service:Analyzer">
3113
3114      <wsdlsoap:binding style="rpc"
3115          transport="http://schemas.xmlsoap.org/soap/http"/>
3116
3117      <wsdl:operation name="getMetadata">

```

```

3118     <wsdlsoap:operation soapAction="" />
3119
3120     <wsdl:input name="getMetadataRequest">
3121         <wsdlsoap:body use="literal"/>
3122     </wsdl:input>
3123
3124     <wsdl:output name="getMetadataResponse">
3125         <wsdlsoap:body use="literal"/>
3126     </wsdl:output>
3127 </wsdl:operation>
3128
3129 <wsdl:operation name="setConfigurationParameters">
3130     <wsdlsoap:operation soapAction="" />
3131
3132     <wsdl:input name="setConfigurationParametersRequest">
3133         <wsdlsoap:body use="literal"/>
3134     </wsdl:input>
3135
3136     <wsdl:output name="setConfigurationParametersResponse">
3137         <wsdlsoap:body use="literal"/>
3138     </wsdl:output>
3139 </wsdl:operation>
3140
3141 <wsdl:operation name="processCas">
3142     <wsdlsoap:operation soapAction="" />
3143
3144     <wsdl:input name="processCasRequest">
3145         <wsdlsoap:body use="literal"/>
3146     </wsdl:input>
3147
3148     <wsdl:output name="processCasResponse">
3149         <wsdlsoap:body use="literal"/>
3150     </wsdl:output>
3151 </wsdl:operation>
3152
3153 <wsdl:operation name="processCasBatch">
3154     <wsdlsoap:operation soapAction="" />
3155
3156     <wsdl:input name="processCasBatchRequest">
3157         <wsdlsoap:body use="literal"/>
3158     </wsdl:input>
3159
3160     <wsdl:output name="processCasBatchResponse">
3161         <wsdlsoap:body use="literal"/>
3162     </wsdl:output>
3163 </wsdl:operation>
3164 </wsdl:binding>
3165
3166 <wsdl:binding name="CasMultiplierSoapBinding"
3167     type="service:CasMultiplier">
3168
3169     <wsdlsoap:binding style="rpc"
3170         transport="http://schemas.xmlsoap.org/soap/http"/>
3171
3172     <wsdl:operation name="getMetadata">
3173         <wsdlsoap:operation soapAction="" />
3174
3175     <wsdl:input name="getMetadataRequest">

```

```

3176      <wsdlsoap:body use="literal"/>
3177  </wsdl:input>
3178
3179  <wsdl:output name="getMetadataResponse">
3180    <wsdlsoap:body use="literal"/>
3181  </wsdl:output>
3182
3183  <wsdl:fault name="uimaFault">
3184    <wsdlsoap:fault use="literal"/>
3185  </wsdl:fault>
3186 </wsdl:operation>
3187
3188 <wsdl:operation name="setConfigurationParameters">
3189   <wsdlsoap:operation soapAction="" />
3190
3191   <wsdl:input name="setConfigurationParametersRequest">
3192     <wsdlsoap:body use="literal"/>
3193   </wsdl:input>
3194
3195   <wsdl:output name="setConfigurationParametersResponse">
3196     <wsdlsoap:body use="literal"/>
3197   </wsdl:output>
3198
3199   <wsdl:fault name="uimaFault">
3200     <wsdlsoap:fault use="literal"/>
3201   </wsdl:fault>
3202 </wsdl:operation>
3203
3204 <wsdl:operation name="inputCas">
3205   <wsdlsoap:operation soapAction="" />
3206
3207   <wsdl:input name="inputCasRequest">
3208     <wsdlsoap:body use="literal"/>
3209   </wsdl:input>
3210
3211   <wsdl:output name="inputCasResponse">
3212     <wsdlsoap:body use="literal"/>
3213   </wsdl:output>
3214
3215   <wsdl:fault name="uimaFault">
3216     <wsdlsoap:fault use="literal"/>
3217   </wsdl:fault>
3218 </wsdl:operation>
3219
3220 <wsdl:operation name="getNextCas">
3221   <wsdlsoap:operation soapAction="" />
3222
3223   <wsdl:input name="getNextCasRequest">
3224     <wsdlsoap:body use="literal"/>
3225   </wsdl:input>
3226
3227   <wsdl:output name="getNextCasResponse">
3228     <wsdlsoap:body use="literal"/>
3229   </wsdl:output>
3230
3231   <wsdl:fault name="uimaFault">
3232     <wsdlsoap:fault use="literal"/>
3233   </wsdl:fault>

```

```

3234      </wsdl:operation>
3235
3236      <wsdl:operation name="retrieveInputCas">
3237          <wsdlsoap:operation soapAction="" />
3238
3239          <wsdl:input name="retrieveInputCasRequest">
3240              <wsdlsoap:body use="literal" />
3241          </wsdl:input>
3242
3243          <wsdl:output name="retrieveInputCasResponse">
3244              <wsdlsoap:body use="literal" />
3245          </wsdl:output>
3246
3247          <wsdl:fault name="uimaFault">
3248              <wsdlsoap:fault use="literal" />
3249          </wsdl:fault>
3250      </wsdl:operation>
3251
3252      <wsdl:operation name="getNextCasBatch">
3253          <wsdlsoap:operation soapAction="" />
3254
3255          <wsdl:input name="getNextCasBatchRequest">
3256              <wsdlsoap:body use="literal" />
3257          </wsdl:input>
3258
3259          <wsdl:output name="getNextCasBatchResponse">
3260              <wsdlsoap:body use="literal" />
3261          </wsdl:output>
3262
3263          <wsdl:fault name="uimaFault">
3264              <wsdlsoap:fault use="literal" />
3265          </wsdl:fault>
3266      </wsdl:operation>
3267  </wsdl:binding>
3268
3269  <wsdl:binding name="FlowControllerSoapBinding"
3270      type="service:FlowController">
3271
3272      <wsdlsoap:binding style="rpc"
3273          transport="http://schemas.xmlsoap.org/soap/http" />
3274
3275      <wsdl:operation name="getMetadata">
3276          <wsdlsoap:operation soapAction="" />
3277
3278          <wsdl:input name="getMetadataRequest">
3279              <wsdlsoap:body use="literal" />
3280          </wsdl:input>
3281
3282          <wsdl:output name="getMetadataResponse">
3283              <wsdlsoap:body use="literal" />
3284          </wsdl:output>
3285
3286          <wsdl:fault name="uimaFault">
3287              <wsdlsoap:fault use="literal" />
3288          </wsdl:fault>
3289      </wsdl:operation>
3290
3291      <wsdl:operation name="setConfigurationParameters">

```

```

3292     <wsdlsoap:operation soapAction="" />
3293
3294     <wsdl:input name="setConfigurationParametersRequest">
3295         <wsdlsoap:body use="literal"/>
3296     </wsdl:input>
3297
3298     <wsdl:output name="setConfigurationParametersResponse">
3299         <wsdlsoap:body use="literal"/>
3300     </wsdl:output>
3301
3302     <wsdl:fault name="uimaFault">
3303         <wsdlsoap:fault use="literal"/>
3304     </wsdl:fault>
3305 </wsdl:operation>
3306
3307 <wsdl:operation name="addAvailableAnalytics">
3308     <wsdlsoap:operation soapAction="" />
3309
3310     <wsdl:input name="addAvailableAnalyticsRequest">
3311         <wsdlsoap:body use="literal"/>
3312     </wsdl:input>
3313
3314     <wsdl:output name="addAvailableAnalyticsResponse">
3315         <wsdlsoap:body use="literal"/>
3316     </wsdl:output>
3317
3318     <wsdl:fault name="uimaFault">
3319         <wsdlsoap:fault use="literal"/>
3320     </wsdl:fault>
3321 </wsdl:operation>
3322
3323 <wsdl:operation name="removeAvailableAnalytics">
3324     <wsdlsoap:operation soapAction="" />
3325
3326     <wsdl:input name="removeAvailableAnalyticsRequest">
3327         <wsdlsoap:body use="literal"/>
3328     </wsdl:input>
3329
3330     <wsdl:output name="removeAvailableAnalyticsResponse">
3331         <wsdlsoap:body use="literal"/>
3332     </wsdl:output>
3333
3334     <wsdl:fault name="uimaFault">
3335         <wsdlsoap:fault use="literal"/>
3336     </wsdl:fault>
3337 </wsdl:operation>
3338
3339 <wsdl:operation name="setAggregateMetadata">
3340     <wsdlsoap:operation soapAction="" />
3341
3342     <wsdl:input name="setAggregateMetadataRequest">
3343         <wsdlsoap:body use="literal"/>
3344     </wsdl:input>
3345
3346     <wsdl:output name="setAggregateMetadataResponse">
3347         <wsdlsoap:body use="literal"/>
3348     </wsdl:output>
3349

```

```

3350      <wsdl:fault name="uimaFault">
3351          <wsdlsoap:fault use="literal"/>
3352      </wsdl:fault>
3353  </wsdl:operation>
3354
3355  <wsdl:operation name="getNextDestinations">
3356      <wsdlsoap:operation soapAction="" />
3357
3358      <wsdl:input name="getNextDestinationsRequest">
3359          <wsdlsoap:body use="literal"/>
3360      </wsdl:input>
3361
3362      <wsdl:output name="getNextDestinationsResponse">
3363          <wsdlsoap:body use="literal"/>
3364      </wsdl:output>
3365
3366      <wsdl:fault name="uimaFault">
3367          <wsdlsoap:fault use="literal"/>
3368      </wsdl:fault>
3369  </wsdl:operation>
3370
3371  <wsdl:operation name="continueOnFailure">
3372      <wsdlsoap:operation soapAction="" />
3373
3374      <wsdl:input name="continueOnFailureRequest">
3375          <wsdlsoap:body use="literal"/>
3376      </wsdl:input>
3377
3378      <wsdl:output name="continueOnFailureResponse">
3379          <wsdlsoap:body use="literal"/>
3380      </wsdl:output>
3381
3382      <wsdl:fault name="uimaFault">
3383          <wsdlsoap:fault use="literal"/>
3384      </wsdl:fault>
3385  </wsdl:operation>
3386  </wsdl:binding>
3387 </wsdl:definitions>
3388

```

3389 C.7 PE Service XML Schema (uima.peServiceXMI.xsd)

3390 This XML schema is referenced from the WSDL definition in Appendix C.6

```

3391 <?xml version="1.0" encoding="UTF-8" standalone="no"?>
3392 <xsd:schema xmlns:uima.pe="http://docs.oasis-open.org/uima/pe.ecore"
3393   xmlns:uima.peMetadata="http://docs.oasis-open.org/uima/peMetadata.ecore"
3394   xmlns:xmi="http://www.omg.org/XMI"
3395   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
3396   targetNamespace="http://docs.oasis-open.org/uima/pe.ecore">
3397     <xsd:import namespace="http://docs.oasis-open.org/uima/peMetadata.ecore"
3398       schemaLocation="uima.peMetadataXMI.xsd"/>
3399     <xsd:import namespace="http://www.omg.org/XMI" schemaLocation="XMI.xsd"/>
3400     <xsd:complexType name="AnalyticMetadataMap">
3401       <xsd:choice maxOccurs="unbounded" minOccurs="0">
3402         <xsd:element name="AnalyticMetadataMapEntry"
3403           type="uima.pe:AnalyticMetadataMapEntry"/>
3404         <xsd:element ref="xmi:Extension"/>

```

```

3405      </xsd:choice>
3406      <xsd:attribute ref="xmi:id" />
3407      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3408      <xsd:attribute name="AnalyticMetadataMapEntry" type="xsd:string" />
3409  </xsd:complexType>
3410  <xsd:element name="AnalyticMetadataMap"
3411    type="uima.pe:AnalyticMetadataMap" />
3412  <xsd:complexType name="AnalyticMetadataMapEntry">
3413    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3414      <xsd:element name="ProcessingElementMetadata"
3415        type="uima.peMetadata:ProcessingElementMetadata" />
3416        <xsd:element ref="xmi:Extension" />
3417    </xsd:choice>
3418    <xsd:attribute ref="xmi:id" />
3419    <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3420    <xsd:attribute name="key" type="xsd:string" />
3421    <xsd:attribute name="ProcessingElementMetadata" type="xsd:string" />
3422  </xsd:complexType>
3423  <xsd:element name="AnalyticMetadataMapEntry"
3424    type="uima.pe:AnalyticMetadataMapEntry" />
3425  <xsd:complexType name="Step">
3426    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3427      <xsd:element ref="xmi:Extension" />
3428    </xsd:choice>
3429    <xsd:attribute ref="xmi:id" />
3430    <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3431  </xsd:complexType>
3432  <xsd:element name="Step" type="uima.pe:Step" />
3433  <xsd:complexType name="SimpleStep">
3434    <xsd:complexContent>
3435      <xsd:extension base="uima.pe:Step">
3436        <xsd:attribute name="analyticKey" type="xsd:string" />
3437      </xsd:extension>
3438    </xsd:complexContent>
3439  </xsd:complexType>
3440  <xsd:element name="SimpleStep" type="uima.pe:SimpleStep" />
3441  <xsd:complexType name="MultiStep">
3442    <xsd:complexContent>
3443      <xsd:extension base="uima.pe:Step">
3444        <xsd:choice maxOccurs="unbounded" minOccurs="0">
3445          <xsd:element name="steps" type="uima.pe:Step" />
3446        </xsd:choice>
3447        <xsd:attribute name="parallel" type="xsd:boolean" />
3448      </xsd:extension>
3449    </xsd:complexContent>
3450  </xsd:complexType>
3451  <xsd:element name="MultiStep" type="uima.pe:MultiStep" />
3452  <xsd:complexType name="FinalStep">
3453    <xsd:complexContent>
3454      <xsd:extension base="uima.pe:Step" />
3455    </xsd:complexContent>
3456  </xsd:complexType>
3457  <xsd:element name="FinalStep" type="uima.pe:FinalStep" />
3458  <xsd:complexType name="Keys">
3459    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3460      <xsd:element name="key" nillable="true" type="xsd:string" />
3461      <xsd:element ref="xmi:Extension" />
3462    </xsd:choice>

```

```

3463      <xsd:attribute ref="xmi:id" />
3464      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3465    </xsd:complexType>
3466    <xsd:element name="Keys" type="uima.pe:Keys" />
3467    <xsd:complexType name="ObjectList">
3468      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3469        <xsd:element name="objects" type="xmi:Any" />
3470        <xsd:element ref="xmi:Extension" />
3471      </xsd:choice>
3472      <xsd:attribute ref="xmi:id" />
3473      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3474      <xsd:attribute name="objects" type="xsd:string" />
3475    </xsd:complexType>
3476    <xsd:element name="ObjectList" type="uima.pe:ObjectList" />
3477    <xsd:complexType name="UimaException">
3478      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3479        <xsd:element ref="xmi:Extension" />
3480      </xsd:choice>
3481      <xsd:attribute ref="xmi:id" />
3482      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3483      <xsd:attribute name="message" type="xsd:string" />
3484    </xsd:complexType>
3485    <xsd:element name="UimaException" type="uima.pe:UimaException" />
3486    <xsd:complexType name="ConfigurationParameterSettings">
3487      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3488        <xsd:element name="ConfigurationParameterSetting"
3489          type="uima.pe:ConfigurationParameterSetting" />
3490        <xsd:element ref="xmi:Extension" />
3491      </xsd:choice>
3492      <xsd:attribute ref="xmi:id" />
3493      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3494    </xsd:complexType>
3495    <xsd:element name="ConfigurationParameterSettings"
3496      type="uima.pe:ConfigurationParameterSettings" />
3497    <xsd:complexType name="ConfigurationParameterSetting">
3498      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3499        <xsd:element name="values" nillable="true" type="xsd:string" />
3500        <xsd:element ref="xmi:Extension" />
3501      </xsd:choice>
3502      <xsd:attribute ref="xmi:id" />
3503      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3504      <xsd:attribute name="parameterName" type="xsd:string" />
3505    </xsd:complexType>
3506    <xsd:element name="ConfigurationParameterSetting"
3507      type="uima.pe:ConfigurationParameterSetting" />
3508    <xsd:complexType name="CasBatchInput">
3509      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3510        <xsd:element name="CasBatchInputElement"
3511          type="uima.pe:CasBatchInputElement" />
3512        <xsd:element ref="xmi:Extension" />
3513      </xsd:choice>
3514      <xsd:attribute ref="xmi:id" />
3515      <xsd:attributeGroup ref="xmi:ObjectAttrs" />
3516    </xsd:complexType>
3517    <xsd:element name="CasBatchInput" type="uima.pe:CasBatchInput" />
3518    <xsd:complexType name="CasBatchInputElement">
3519      <xsd:choice maxOccurs="unbounded" minOccurs="0">
3520        <xsd:element name="cas" type="xmi:Any" />

```

```

3521      <xsd:element name="sofas" type="uima.pe:ObjectList"/>
3522      <xsd:element ref="xmi:Extension"/>
3523  </xsd:choice>
3524  <xsd:attribute ref="xmi:id"/>
3525  <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
3526 </xsd:complexType>
3527  <xsd:element name="CasBatchInputElement"
3528 type="uima.pe:CasBatchInputElement"/>
3529  <xsd:complexType name="CasBatchResponse">
3530    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3531      <xsd:element name="CasBatchResponseElement"
3532 type="uima.pe:CasBatchResponseElement"/>
3533      <xsd:element ref="xmi:Extension"/>
3534  </xsd:choice>
3535  <xsd:attribute ref="xmi:id"/>
3536  <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
3537 </xsd:complexType>
3538  <xsd:element name="CasBatchResponse" type="uima.pe:CasBatchResponse"/>
3539  <xsd:complexType name="CasBatchResponseElement">
3540    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3541      <xsd:element name="CAS" type="xmi:Any"/>
3542      <xsd:element name="UimaException" type="uima.pe:UimaException"/>
3543      <xsd:element ref="xmi:Extension"/>
3544  </xsd:choice>
3545  <xsd:attribute ref="xmi:id"/>
3546  <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
3547 </xsd:complexType>
3548  <xsd:element name="CasBatchResponseElement"
3549 type="uima.pe:CasBatchResponseElement"/>
3550  <xsd:complexType name="GetNextCasBatchResponse">
3551    <xsd:choice maxOccurs="unbounded" minOccurs="0">
3552      <xsd:element name="CAS" type="xmi:Any"/>
3553      <xsd:element ref="xmi:Extension"/>
3554  </xsd:choice>
3555  <xsd:attribute ref="xmi:id"/>
3556  <xsd:attributeGroup ref="xmi:ObjectAttrs"/>
3557  <xsd:attribute name="hasMoreCAses" type="xsd:boolean"/>
3558  <xsd:attribute name="estimatedRemainingCAses" type="xsd:int"/>
3559 </xsd:complexType>
3560  <xsd:element name="GetNextCasBatchResponse"
3561 type="uima.pe:GetNextCasBatchResponse"/>
3562 </xsd:schema>
3563

```

3564 D. Revision History

3565 [optional; should not be included in OASIS Standards]

3566

Revision	Date	Editor	Changes Made
1	11 March 2008	Adam Lally	First spec revision in OASIS template
2	10 April 2008	Adam Lally	Integrated Section 3.3 text from Karin. Rewrote Abstract Interface Compliance points to require standard XMLdata representation. Expanded Section 4.5.4 Behavioral Metadata Formal Specification, to include mapping to OCL. Other cleanup to sections 3.5 and 3.7.
3	24 April 2008	Adam Lally	Integrated Section 1 text from Dave, Section 3.1 and 3.2 text from Eric, additional Section 3.3 updates from Karin, and section 3.6 text from Thomas. Also fixed some UML diagrams in these sections.
			Added processCasBatch and getNextCasBatch operations to Abstract Interfaces so they would be in sync with the WSDL spec.
			Added 3.2.4.2 to reference XMI, UML, and MOF for definition of an object being a valid instance of a class.
			Fixed OCL in 3.5.8.3.1.
4	21 May 2008	Adam Lally	Major reorganization. Section 3 now contains an expanded overview of each spec element. Section 4 is the full specification of each element. Appendix B is the examples.
			Fixed many errors and typos found by Karin and myself.
			Updated all the Formal Specification Artifacts in Appendix C.
			Added Related Work, Abstract, and Acknowledgments sections. Added Karin and Eric to list of editors.
			Added a note that Discontiguous annotations

5

29 May 2008 Adam Lally

are not defined by standard but can be implemented by a user-defined subtype of Annotation (section 4.3.2.2).

Added a note that the Entity type subsumes Events and Relations (section 4.3.2.3).

Renamed Entity type to Referent. Added ontologySource and ontologyElement features.

Moved the introduction of the stand-off annotation model from 3.3 Base Type System to 3.1 Common Analysis Structure.

Rewrote Section 3.4 Abstract Interaces, to include a summary of the individual operations (moved from Section 4.4) and to state that all UIMA services operate on CASes and reiterate what a CAS is.

Added references from each section 3.x to the corresponding section 4.x.

Fixed tab stops in references.

Updates to the Introduction from Dave.

Fixed many spelling errors.

3567