



Independent Monitoring of
National Deworming Day in Uttarakhand
August 2018

Report October 2018

Background

During every round of National Deworming Day (NDD), Evidence Action conducts independent monitoring, which includes process monitoring on NDD and mop-up day and a coverage validation exercise post-NDD. This is conducted through an independent survey agency, to assess the planning, implementation and quality of NDD program implementation with an objective of identifying gaps and suggesting recommendations for improvements in future NDD rounds. Process monitoring is conducted to understand state government's preparedness for NDD and adherence to the program's prescribed processes; and coverage validation is an ex-post check of the accuracy of the reporting data and coverage estimates to verify government-reported treatment figures.

Uttarakhand observed the August 2018 round of NDD on August 10, followed by mop-up day on August 20. Fieldwork for process monitoring was conducted on August 10 and 20 while coverage validation in the state was conducted August 27 -31. This extract is a summary of the broad findings from the surveys conducted in the state.

Survey Methodology

Using a two-stage probability sampling procedure, across 13 districts Evidence Action covered 162 schools (127 government schools and 35 private schools) and 160 anganwadis for process monitoring visits during NDD and mop-up days; 406 schools (313 government schools, 93 private schools) and 408 anganwadis were covered for coverage validation. Through a competitive review process, Evidence Action hired an independent survey agency to conduct process monitoring and coverage validation. Evidence Action designed and finalized survey tools with approval from Uttarakhand's government. One combined tool was used for process monitoring at schools and anganwadis on NDD and mop-up day, and one each for schools and anganwadis for coverage validation.

Implementation

Prior to the survey, Evidence Action conducted a comprehensive training of master trainers who further conducted two two-days trainings of 80 surveyors and 16 supervisors for process monitoring and coverage validation. The training included an orientation on NDD, the importance of independent monitoring, details of the monitoring formats including CAPI (Computer Assisted Personal Interview) practices, survey protocols, and practical sessions. Each surveyor was allotted one school and one anganwadi for process monitoring on NDD and mop-up day, and subsequently five schools and five anganwadis for coverage validation. Surveyors were provided with a tablet computer with the latest CAPI version downloaded, battery charger, printed copy of monitoring formats as backup, and albendazole tablets for demonstration during data collection. The details of sample schools were shared with surveyors one day before the commencement of fieldwork to ensure that they did not contact schools and anganwadis in advance, as this could cause bias in the results.

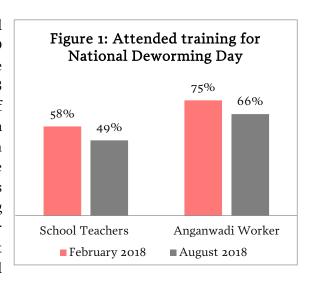
Appropriate quality assurance measures were taken to ensure that the data collected was accurate, consistent and authenticated. For example, teachers and *anganwadi* workers (AWWs) were asked to sign a participation form with an official stamp to authenticate surveyor's visit to schools and *anganwadis*. Further, consent based thumb impression of all survey respondents in electronic mode including headmasters, teachers, AWWs,

ASHAs and children were collected for verification purpose. The GPS location along with time stamp and photographs of all schools and *anganwadis* visited during data collection was also collected through CAPI to authenticate the location and the time of the interview. Evidence Action reviewed all data sets and shared feedback with the agency for any inconsistency observed and ensured timely corrective actions. All analysis was performed using STATA and Microsoft Excel.

Key Findings

Training

Prior to each NDD round, teachers and anganwadi workers are trained on NDD related processes and protocols to facilitate effective implementation. In August 2018 NDD round, 49% of teachers and 66% of AWWsworkers attended training which was a decline by nine percentage points in both schools and anganwadis in comparison to the February 2018 round (Figure 1). All schools and AWWs are mandated to attend training for every NDD round, irrespective of whether they attended training in earlier rounds. It was observed that 43% of school teachers and



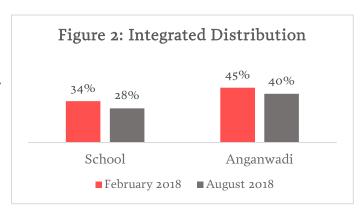
28% of AWWs never attended training. Fifty-four percent of private school teachers participated in the block-level trainings and a decline of seven percentage points was observed as compared to the February 2018 round (61% of private school teachers) (Annex- Table PM7).

Among those who did not attend the training, 47% of teachers and 49% of AWWs reported having received no information about the NDD training date/venue/timing as the main reason for not attending the training (Annex- Table PM1). Further, 65% of teachers provided training to other teachers at their schools (Annex- Table PM1). Approximately 26% of teachers and 37% of *anganwadi* workers reported that they did not receive an SMS about NDD (Annex Table PM1). Lack of updated contact database of teachers and AWWs is one of the probable reasons for the sub-optimal delivery of SMS to them.

Integrated Distribution of NDD Kit at Trainings

Integrated distribution of the NDD kit was low for both schools (28%) and *anganwadis* (40%), which declined marginally from the previous NDD round (Figure 2). The low level of integrated distribution is partly attributed to delays in distribution of albendazole tablets to districts. Despite low integrated distribution in the state, drug availability at schools and *anganwadis* was ensured by the district and block officials, leading to 96% of schools and 97% of *anganwadis* reporting to have received albendazole tablets (Annex-Table PM4).

Eighty-one percent of schools and 83% of anganwadis received while posters/banners, 75% schools and 73% of anganwadis received handouts/reporting forms (Annex-Table PM4). Almost all the (99%) schools and 95% anganwadis reported having received sufficient tablets for deworming (Annex-Table PM3).



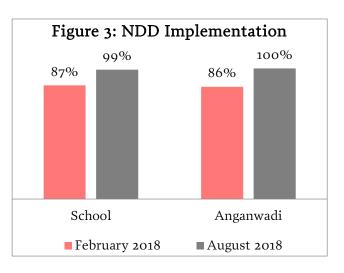
Among the sampled private schools, 89% received deworming tablets and among them, 94% reported having received sufficient quantity. Further, 69% of the private schools covered during process monitoring received posters/banners and 60% received handouts/reporting forms (Annex Table PM7).

Source of Information about the Recent Round of NDD

SMS was the most reported source of information in schools (46%) and in *anganwadis* (35%) on NDD. Forty-four percent of schools and 16% of *anganwadis* reported that they received information about NDD through WhatsApp messages. Thirty-five percent of schools and 17% of *anganwadis* reported that they received information about NDD through the newspaper. Eighteen percent of schools and 24% of *anganwadis* reported hearing about NDD from other teachers and *anganwadi* workers. Radio, Banner and *Gram Panchayat¹* was the least effective source of information about NDD for the current round (Annex Table PM1).

NDD Implementation

As evident from Figure 3, a high proportion of schools and anganwadis conducted deworming during both NDD rounds. The coverage validation data shows that around 99% (401 out of 406) of schools and approximately 100% (406 out of 408) of anganwadis conducted deworming on NDD which is a 12 percentage point increase in schools and 14 percentage point increase in anganwadis from the February 2018 round (Figure 3). No



information about NDD and apprehension of adverese event ere the main reason among a small number of schools (5) for not conducting deworming(Annex- Table CV1).

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¹ Village council

Adverse Events- Knowledge and Management

Interviews with headmasters/teachers and anganwadi workers reveal a moderate degree of awareness (54% in schools and 47% in anganwadis) regarding potential adverse events due to deworming. Knowledge gap was observed on appropriate protocols to follow in case of such events. Vomiting was listed as a side effect by 74% of teachers and 87% of AWWs and mild abdominal pain by 75% of teachers and 72% of anganwadis. Further, 73% of teachers and 78% of AWWs knew to make a child lie down in an open, shaded place in the case of any symptoms of adverse events, and around 44% of schools and 41% of anganwadis knew to give ORS/water. Only 29% of schools and 18% of AWWs knew to observe the child for at least two hours. Further, 70% of schools and 68% of anganwadis reported the need to call a PHC doctor if symptoms persisted (Annex- Table PM6). Findings necesitate further emphasis on adverse event management protocols during training of teachers and AWWs.

Recording Protocol

Twenty-four percent of schools and 27% of *anganwadis* followed the correct (single and double ticks) recording protocol. Around 14% of schools and 19% of *anganwadis* carried out partial² recording. Sixty-two percent of schools and 54% of *anganwadis* did not follow any recording protocol (Annexure- CV3). Further, as per NDD guidelines, all schools and *anganwadis* are supposed to retain a copy of reporting forms; however, only 62% of schools and 47% of *anganwadis* retained a copy for verification (Annex —Table CV1). The findings from process monitoring suggests that 91% of schools and 84% of *anganwadi* workers were aware of this requirement (Annex —Table PM2).

Accredited Social Health Activists (ASHAs) are required to prepare a list of out-of-school children and children unregistered in *anganwadis* and submit it to *anganwadi* workers prior to NDD. However, only 26% of *anganwadis* reported to have the list of unregistered (1-5 years) children and 12% reported having the list of out-of-school children (6-19 years) (Annex —Table CV1). Neverthless, of all the ASHAs interviwed during coverage validation (who were available at the *anganwadis* at the time of surveyors visit), 35% reported to prepare the list of unregistered and out-of-school children and 69% of them reported to share it with AWWs. Further merely eight percent of ASHA workers reported receiving incentives for the last round of NDD i.e. February 2018. (Annex —Table CV2).

Coverage Validation

Coverage validation provides an opportunity to assess the accuracy of reported data and verify government-reported treatment figures. Verification factors³ are common indicators to measure the accuracy of reported treatment values for neglected tropical

² Partial recording protocol includes schools/anganwadis where all the classes/registers did not follow correct protocol, but put different symbols and prepared separate list to record the information of dewormed children.

¹A verification factor of 1 means the schools reported the exact same figures that they recorded on deworming day. A verification factor less than 1 indicates over-reporting, while a verification factor greater than 1 indicates under-reporting.

disease control programs⁴. It also gives us an idea about record keeping and data management at the service delivery point. The verification factor was estimated on the basis of the availability of a copy of reporting forms at schools and *anganwadis*. The state-level verification factor for school enrolled children was 0.47, indicating that on an average, for every 100 dewormed children reported by the school, 47 were verified either through single/double tick or through other available documents at the school. Similarly, the overall state-level verification factor for children dewormed at *anganwadis* was 0.57, indicating that on an average; for every 100 dewormed children reported by the *anganwadi*, 57 were verified through available documents (Annex – Table CV3).

The category-wise verification factors for registered (1-5 years), unregistered (1-5 years) and out-of-school (6-19 years) children were 0.49, 1.08, and 0.90 respectively for anganwadis (Annex CV3). The data suggests miss reporting and aggregation errors of coverage figures, particularly for unregistered and out-of-school children in anganwadis and therefore highlights a need for proper documentation. Despite challenges in reporting and documentation of NDD coverage data, based on children's interviews, the majority of the children present at schools on NDD or mop-up day received (99%) and consumed (100%) the albendazole tablet on either NDD or mop-up day.

Against the state government reported 96% coverage in schools and 89% coverage for 1-5 years registered children in anganwadis, attempts were made to understand the maximum number of children that could have been dewormed at schools and anganwadis through coverage validation data. The NDD treatment coverage in schools was estimated considering the maximum attendance of children on NDD dates. Coverage validation data showed that 99% of schools conducted deworming on either NDD or mop-up day (Annex-Table CV1), a maximum of 94% of children were in attendance (Annex-Table CV3), 99% of children received an albendazole tablet, and 96% of children reported to consume the tablet under supervision (Annex-Table CV4). Considering these factors, 88%⁵ (0.99*0.94*0.99*0.96) of enrolled children could have been dewormed at schools. Since interviews of children are not conducted in anganwadis, the verification factor of 1-5 years registered children from coverage validation data is applied to government reported coverage data for the same category. It was estimated that around 44% (0.89*0.49) of registered children (1-5 years) in anganwadis could have been dewormed. The calculation of verification factors is based on only those schools and anganwadis where a copy of the reporting form was available for verification. Therefore, adjusted coverage in anganwadis based on verification factor needs to be interpreted with caution.

Recommendations

The following are the key recommendation for program improvements that emerged from the exercise.

²WHO (2013), Data Quality Assessment tool for Neglected Tropical Diseases: Guidelines for Implementation December 2013.

⁵ This was estimated on the basis of NDD implementation status (99%), maximum attendance on NDD and mop-up day (94%); children received albendazole (99%) and supervised drug administration (96%). In absence of children interview in *anganwadis*, the government reported coverage was adjusted by implying state level verification factor.

- 1. Training is critical component of NDD to ensure smooth implementation of the program. Efforts are required to maximize training participation of school teachers and *anganwadi* workers at block-level trainings. Effective planning and coordination among stakeholder departments can pave ways in avoiding delays and rescheduling of training. Training sessions including the date and venue should be communicated to teachers and AWWs at least one week prior to the training date. District/Block level officials must ensure private school teachers' participation during training to further improve training attendance and strengthen the program. Efforts shall also be directed to monitor the quality of training to ensure that teachers and *anganwadi* workers gain comprehensive understanding of program protocols.
- 2. Integrated distribution is a crucial component for the success of NDD. It is cost effective, eases logistical concerns and ensures quality services. Timely procurement of deworming tablets and printing of IEC/training materials and its distribution to districts is critical in order to ensure the integrated distribution of the NDD kit (Drug, IEC& Training materials) at all block-level. Robust and efficiently planned integrated distribution of tablets and materials at trainings is important to mitigate the supply gap. This is all the more critical considering the difficult geographical terrain with accessibility issues in the state.
- 3. ASHAs plays a crucial role in NDD program in tapping unregistered and out-of-school children for deworming. In the current round of NDD, though guidelines from the state were released to improve the engagement of ASHAs in mobilizing out-of-school children and spreading awareness about deworming benefits, findings indicate scope for greater involvement of ASHAs in community mobilization in future rounds. ASHA engagement can be strengthened by highlighting the role of ASHAs in the joint directive, encouraging their participation in training sessions, and sending reminder SMSs to them with information on incentives. Further, as finding shows, a low proportion of ASHA received incentive for February 2018 round; timely disbursement of ASHA incentives could be a motivating factor for their engagement in the program.
- 4. Adherence to correct recording protocol is a prerequisite to understanding the coverage of the program. Findings from coverage validation data shows low adherence to correct recording protocol at both schools and *anganwadis*. Teachers and *anganwadi* workers should be informed of the importance of recording protocol during training and additional practical sessions held during training. Adherence to recording protocol can also be strengthened through reinforcement SMSs. Standard copies of reporting formats, attached with the training handout, should be available at trainings.
- **5.** Coverage validation findings showed a significant decrease in the estimated coverage in *anganwadis*. This could be attributed to a decrease in the verification factor (for 1-5 registered children) in *anganwadis*. Emphasis should be given to maintain proper records and reporting at *anganwadis*.

Annexure:

Findings from Process Monitoring of National Deworming Day (NDD), August 2018, Uttarakhand

Table A: Sample Description including Number of Schools and *Anganwadis* Covered during Process Monitoring and coverage validation

| Sample Details | Numbe | | | | |
|---|-------|--|--|--|--|
| | r | | | | |
| Total number of NDD districts in the state | 13 | | | | |
| Number of districts covered under process monitoring | 13 | | | | |
| Number of trained surveyors deployed during process monitoring | 80 | | | | |
| Number of blocks ⁶ covered during process monitoring | | | | | |
| Total number of schools covered | | | | | |
| Number of government schools ⁷ | 127 | | | | |
| Number of private schools | 35 | | | | |
| Total number of <i>anganwadis</i> covered ⁸ | 160 | | | | |
| Total number of schools covered of during coverage validation | 406 | | | | |
| Total number of government schools covered | 313 | | | | |
| Total number of private schools covered | 93 | | | | |
| Total number of <i>anganwadis</i> covered 10 during coverage validation | 408 | | | | |

Table PM1: Training and source of information about NDD among teachers/headmasters and anganwadi workers, August 2018

| Indicators | School | | | Anganwadi | | |
|--|---------------|----------------|---------|------------|----------|----|
| indicators | Denominato | Numerato | % | Denominato | Numerato | % |
| | r | r | | r | r | |
| Attended training for current round of NDD | 162 | 79 | 49 | 160 | 105 | 66 |
| Ever attended training for NDD ¹¹ | 162 | 93 | 57 | 160 | 116 | 73 |
| Never attended training for NDD | 162 | 69 | 43 | 160 | 44 | 28 |
| Reasons for not attending curr | ent NDD round | training (Mult | tiple l | Response) | | |
| Location was too far away | 83 | 13 | 16 | 55 | 8 | 15 |
| Did not know the date/timings/venue | 83 | 39 | 47 | 55 | 27 | 49 |
| Busy in other official/personal work | 83 | 5 | 6 | 55 | 2 | 4 |
| Attended deworming | 83 | 14 | 17 | 55 | 11 | 20 |

⁶These are sampled blocks selected from UDISE data, 2017-18.

 $^{^7\}mbox{These}$ are the actual schools covered during NDD and Mop-Up Day visits.

⁸These are the actual *anganwadis* covered during NDD and Mop-Up Day visits.

⁹These are the actual schools covered during Coverage Validation visits. Numbers given in subsequent tables (numerator and denominator) are weighted. The weights are used in order to generalize the findings at state level.

¹⁰These are the actual *anganwadis* covered during Coverage Validation visits. Numbers given in subsequent tables (numerator and denominator) are weighted. The weights are used in order to generalize the findings at state level.

¹¹Includes those school teachers and *anganwadi* workers who attended training either for NDD August 2018 or attended training in past.

| Indicators | School | | | Anganwadi | | |
|----------------------------------|------------------------|-----------------|--------|----------------|----------|----|
| indicators | Denominato | Numerato | % | Denominato | Numerato | % |
| | r | r | | r | r | |
| training in the past | | | | | | |
| Not necessary | 83 | 8 | 10 | 55 | 4 | 7 |
| No incentives/no financial | 83 | 2 | 4 | | 2 | |
| support | 03 | 3 | 4 | 55 | 2 | 4 |
| Others | 83 | 20 | 24 | 55 | 11 | 20 |
| Trained teacher that provided | training to other | r teachers in t | heir s | chools | | |
| All other teachers | 79 | 51 | 65 | Not Applicable | | |
| Few teachers | 79 | 9 | 11 | Not Applicable | | |
| No (himself/herself only | 79 | | | Not Applicable | ; | |
| teacher) | | 9 | 11 | | | |
| No, did not train other | 79 | 10 | 12 | Not Applicable | ! | |
| teachers | | 10 | 13 | | | |
| Source of information about co | ırrent NDD roui | nd (Multiple R | lespoi | nse) | | |
| Television | 162 | 48 | 30 | 160 | 42 | 26 |
| Radio | 162 | 20 | 12 | 160 | 16 | 10 |
| Newspaper | 162 | 57 | 35 | 160 | 27 | 17 |
| Banner | 162 | 29 | 18 | 160 | 22 | 14 |
| SMS | 162 | 75 | 46 | 160 | 56 | 35 |
| Others | | | | | | |
| school/teacher/ <i>anganwadi</i> | 162 | 29 | 18 | 160 | 39 | 24 |
| worker | | | | | | |
| WhatsApp message | 162 | 72 | 44 | 160 | 25 | 16 |
| Training | 162 | 33 | 20 | 160 | 45 | 28 |
| Gram Panchayat | 162 | 5 | 3 | 160 | 9 | 6 |
| Others ¹² | 162 | 15 | 9 | 160 | 25 | 18 |
| Received SMS for current | 162 | 120 | 74 | 160 | 101 | 63 |
| NDD round | | 120 | 74 | 100 | 101 | 03 |
| Probable reasons for not receive | ving SMS ¹³ | | | | | |
| Changed Mobile number | 40 | 7 | 17 | 56 | 12 | 21 |
| Other family members use | 40 | 2 | 5 | 56 | 2 | |
| this number | 40 | ۷ | 3 | 30 | ۷ | 4 |
| Number not registered to | 40 | 4 | 10 | 56 | 8 | 14 |
| receive such messages | 40 | 4 | 10 | 30 | J | 14 |
| Don't Know | 40 | 21 | 53 | 56 | 29 | 52 |
| Others ¹⁴ | 40 | 6 | 15 | 56 | 5 | 9 |

Table PM2: Awareness about NDD among teachers/headmasters and anganwadi workers, August 2018

| Indicators | School | | | Anganwadi | | |
|---|------------------|---------------------|------|------------|----------|----|
| | Denominato | Denominato Numerato | | Denominato | Numerato | % |
| | r | r | | r | r | |
| Awareness about the ways a child can get worm infection | 162 | 149 | 92 | 160 | 145 | 91 |
| Different ways a child can get | worm infection (| Multiple Resp | onse | ·) | | |
| Not using sanitary latrine | 149 | 105 | 70 | 145 | 93 | 64 |
| Having unclean surroundings | 149 | 104 | 70 | 145 | 107 | 74 |

¹² Other includes by ANM/BRC/SUPERVISOR/PHC/meeting, received call. ¹³ 2 Schools and 3 *Anganwadis* reported that they don't know about receiving the SMS and reasons were not asked to them.

¹⁴ Other includes Network/light Problem, phone switch off.

| Consume vegetables and fruits without washing | 149 | 102 | 68 | 145 | 88 | 61 |
|--|-------------------|---------------|---------|-----------------|-----|----|
| Having uncovered food and drinking dirty water | 149 | 91 | 61 | 145 | 75 | 52 |
| Having long and dirty nails | 149 | 88 | 59 | 145 | 91 | 63 |
| Moving in bare feet | 149 | 74 | 50 | 145 | 75 | 52 |
| Having food without washing hands | 149 | 81 | 54 | 145 | 79 | 54 |
| Not washing hands after using toilets | 149 | 49 | 33 | 145 | 40 | 28 |
| Awareness about all the possible ways a child can get a worm infection ¹⁵ | 149 | 22 | 15 | 145 | 14 | 10 |
| Perceives that health education should be provided to children | 162 | 161 | 99 | 160 | 157 | 98 |
| Awareness about correct dose | and right way o | f administrat | ion of | albendazole tab | let | |
| 1-2 years of children (Crush the half tablet between two spoons and administer with water) | Not Applicable | 2 | | 160 | 138 | 86 |
| 2-3 years of children (Crush one full tablet between two spoons, and administer with water) | Not Applicable | | | 160 | 84 | 53 |
| 3-5 years of children (one full tablet and child chewed the tablet properly) | Not Applicable | | | 160 | 133 | 83 |
| 6-19 years of children (one full tablet and child chewed the tablet properly) | 162 | 160 | 99 | 160 | 158 | 99 |
| Awareness about non-adminis | stration of alben | dazole tablet | to sicl | child | • | |
| Will administer albendazole tablet to sick child | 162 | 23 | 14 | 160 | 10 | 6 |
| Will not administer albendazole tablet to sick child | 162 | 139 | 86 | 160 | 150 | 94 |
| Awareness about consuming a | lbendazole table | t | | | | |
| Chew the tablet | 162 | 158 | 98 | 160 | 159 | 99 |
| Swallow the tablet directly | 162 | 4 | 2 | 160 | 1 | 1 |
| Awareness about consuming albendazole in school/anganwadi | 162 | 158 | 98 | 160 | 158 | 99 |
| Awareness about the last date (August 22, 2018) for submitting the reporting form | 162 | 79 | 49 | 160 | 68 | 43 |
| Awareness about the revised last date (August 24, 2018) for submitting the reporting form | 162 | 0 | О | 160 | 2 | 1 |

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¹⁵Includes those who were aware that a child can get worm infection if she/he does not use sanitary latrine, have unclean surroundings, consume vegetable and fruits without washing, have uncovered food and drinking dirty water, have long and dirty nails, moves in bare fee, have food without washing hands and not washing hands after using toilets.

| Awareness about submission of reporting forms to ANM | 162 | 79 | 49 | 160 | 84 | 53 |
|--|-----|-----|----|-----|-----|----|
| Awareness to retain a copy of the reporting form | 162 | 148 | 91 | 160 | 134 | 84 |

Table PM3: Deworming activity, drug availability, and list of unregistered and out-of-school children. August 2018

| Indicators | School | | | Anganwadi | | |
|---|----------------|----------------|----|----------------|-----------|----|
| marcators | Denominator | Numerator | % | Denominator | Numerator | % |
| Albendazole tablet adminis | | | 70 | Denominator | rumerator | 70 |
| Yes, ongoing | 162 | 63 | 39 | 160 | 58 | 36 |
| Yes, already done | 162 | 52 | 32 | 160 | 59 | 37 |
| Yes, after sometime | 162 | 18 | 11 | 160 | 8 | 5 |
| No, will not administer today | 162 | 29 | 18 | 160 | 35 | 22 |
| Schools/ <i>anganwadis</i> conducted deworming on either of the day ¹⁶ | 162 | 155 | 96 | 160 | 149 | 93 |
| Schools/ <i>anganwadis</i> conducted deworming on NDD ¹⁷ | 81 | 79 | 98 | 81 | 77 | 95 |
| Schools/ <i>anganwadis</i> conducted deworming on Mop-Up Day ¹⁸ | 81 | 54 | 67 | 79 | 48 | 61 |
| Reasons for not conducting | deworming | | | | | |
| No information | 29 | 5 | 17 | 35 | 5 | 14 |
| Albendazole tablet not received | 29 | 1 | 3 | 35 | 3 | 9 |
| Apprehension of adverse events | 29 | О | 0 | 35 | О | О |
| Already Dewormed all children on deworming day | 29 | 22 | 76 | 35 | 24 | 69 |
| Others ¹⁹ | 29 | 1 | 3 | 35 | 3 | 9 |
| Attendance on NDD ²⁰ | 10570 | 8910 | 84 | Not Applicable | e | |
| Attendance on Mop-Up Day ²¹ | 12799 | 10336 | 81 | Not Applicable | e | |
| Anganwadis having list of unregistered/out- of-school children | Not Applicable | Not Applicable | | | 45 | 28 |
| Out-of-school children (Age 6-19 years) administered albendazole tablet | Not Applicable | | | 160 | 72 | 45 |
| Unregistered children (Age 1-5 years) administered albendazole tablet | Not Applicable | 2 | | 160 | 82 | 51 |

 16 Schools/anganwadisadministeredalbendazole tablet to children either on NDD or Mop-Up Day 17 Based on the samples visited on NDD.

¹⁸Based on the samples visited on Mop-Up Day only.

¹⁹Others include: gave tablet on 18.8.2018, children were absent, Tablet yet not received.

²⁰Based on those schools visited on NDD

²¹Based on those schools visited on Mop-Up Day

| albendazole tablets ²² 150 154 99 155 148 95 | | 156 | 154 | 99 | 155 | 148 | 95 |
|---|--|-----|-----|----|-----|-----|----|
|---|--|-----|-----|----|-----|-----|----|

Table PM4: Integrated distribution of albendazole tablets and IEC materials, August 2018

| Indicators | Schools | | | Anganwadi | | | |
|--|-----------------------|---------------------|--------|------------------|---------------|-------|--|
| | Denominato | Numerato | % | Denominato | Numerato | % | |
| | r | r | | r | r | | |
| Items received by school | teacher and <i>an</i> | <i>ganwadi</i> worl | ker | | | | |
| Albendazole tablet | 162 | 156 | 96 | 160 | 155 | 97 | |
| Poster/banner | 162 | 132 | 81 | 160 | 132 | 83 | |
| Handouts/ reporting form | 162 | 121 | 75 | 160 | 117 | 73 | |
| Received all materials | 162 | 111 | 69 | 160 | 107 | 67 | |
| Items verified during Independent Monitoring | | | | | | | |
| Albendazole tablet | 156 | 132 | 85 | 155 | 124 | 80 | |
| Poster/banner | 132 | 121 | 92 | 132 | 117 | 89 | |
| Handouts/ reporting form | 101 | 95 | 94 | 94 | 86 | 91 | |
| Received all materials | 111 | 84 | 76 | 107 | 78 | 73 | |
| No of school teachers/ang | ganwadi worke | r attended tr | aining | and received ite | ms during tra | ining | |
| Albendazole tablet | 76 | 61 | 80 | 103 | 85 | 83 | |
| Poster/banner | 65 | 57 | 88 | 95 | 84 | 88 | |
| Handouts/ reporting form | 60 | 53 | 88 | 86 | 75 | 87 | |
| Received all materials | 111 | 45 | 41 | 107 | 64 | 60 | |
| Integrated Distribution of albendazole tablet IEC and training materials ²³ | 162 | 45 | 28 | 160 | 64 | 40 | |

Table PM5: Implementation of deworming activity and observation of surveyor, August 2018

| Indicators | Schools | | | Anganwadi | | |
|--|----------------|-----------|----|-------------|-----------|----|
| | Denominator | Numerator | % | Denominator | Numerator | % |
| Deworming activity was taking place | 63 | 60 | 95 | 58 | 56 | 97 |
| Albendazole tablets wer | e administered | by | | | | |
| Teacher/headmaster | 60 | 59 | 98 | 56 | 2 | 4 |
| Anganwadi worker | 60 | 0 | 0 | 56 | 54 | 96 |
| ASHA | 60 | О | О | 56 | 0 | О |
| ANM | 60 | 0 | 0 | 56 | 0 | 0 |
| Student | 60 | 1 | 2 | 56 | 0 | 0 |
| Teacher/Anganwadi worker asked children to chew the tablet | 63 | 59 | 94 | 58 | 54 | 93 |
| Followed any recording protocol ²⁴ | 115 | 92 | 80 | 117 | 84 | 72 |
| Protocol followed | · | · | | · | · | |
| Putting single/double tick | 92 | 66 | 72 | 84 | 58 | 69 |

²² This indicator is based on the sample that received albendazole tablet.

²³Integrated distribution of NDD kits includes albendazole, banner/poster and handout/reporting forms and provided to schools and AWC during the trainings.

²⁴Any recording protocol implies putting single tick (\checkmark), double tick ($\checkmark\checkmark$), any other symbol or preparing separate list for all those children administered albendazole tablets on NDD or Mop-Up Day.

| Put different symbols | 92 | 11 | 12 | 84 | 9 | 11 |
|---|-----|-----|----|-----|-----|----|
| Prepare the separate list for dewormed | 92 | 15 | 16 | 84 | 17 | 20 |
| Visibility of poster/banner during visits | 132 | 115 | 87 | 132 | 106 | 80 |

Table PM6: Awareness about Adverse events and Its Management, August 2018

| Table PM6: Awarenes Indicators | Schools | | | Anganwadi | | |
|--|------------------|----------------|-----------|-------------|-----------|----|
| | Denominator | Numerator | % | Denominator | Numerator | % |
| Opinion of occurrence of an adverse event after administering albendazole tablet | 162 | 88 | 54 | 160 | 75 | 47 |
| Awareness about possi | ble adverse ever | ts (Multiple I | Response) |) | I . | |
| Mild abdominal pain | 88 | 66 | 75 | 75 | 54 | 72 |
| Nausea | 88 | 55 | 63 | 75 | 47 | 63 |
| Vomiting | 88 | 65 | 74 | 75 | 65 | 87 |
| Diarrhea | 88 | 38 | 43 | 75 | 28 | 37 |
| Fatigue | 88 | 25 | 28 | 75 | 22 | 29 |
| All possible adverse event ²⁵ | 88 | 12 | 14 | 75 | 8 | 11 |
| Awareness about mild | adverse event m | anagement | | | | |
| Make the child lie down in open and shade/shaded place | 162 | 119 | 73 | 160 | 124 | 78 |
| Give ORS/water | 162 | 71 | 44 | 160 | 65 | 41 |
| Observe the child at least for 2 hours in the school | 162 | 47 | 29 | 160 | 28 | 18 |
| Don't know/don't remember | 162 | 23 | 14 | 160 | 27 | 17 |
| Awareness about sever | e adverse event | management | | | | |
| Call PHC or emergency number | 162 | 114 | 70 | 160 | 109 | 68 |
| Take the child to the hospital /call doctor to school | 162 | 96 | 59 | 160 | 88 | 55 |
| Don't know/don't remember | 162 | 7 | 4 | 160 | 9 | 6 |
| Available contact numbers of the nearest ANM or MO- PHC | 162 | 121 | 75 | 160 | 129 | 81 |
| Asha present in Anganwadi center | Not Applicable | 2 | | 160 | 77 | 48 |

²⁵Includes those who are aware that a mild abdominal pain and nausea and vomiting and diarrhea and fatigue can be reported by a child after taking albendazole tablet.

Table PM7: Selected Indicators of Process Monitoring in Private Schools, August 2018

| Indicators ²⁶ | Denominator | Numerator | % |
|---|-------------|-----------|-----|
| Attended training for current round of NDD | 35 | 19 | 54 |
| Received albendazole tablets | 35 | 31 | 89 |
| Sufficient quantity of albendazole tablets | 31 | 29 | 94 |
| Received poster/banner | 35 | 24 | 69 |
| Received handouts/ reporting form | 35 | 21 | 60 |
| Received SMS for current NDD round | 35 | 24 | 69 |
| Albendazole administered to children | 35 | 26 | 74 |
| Reasons for not conducting deworming | | | |
| No information | 9 | 3 | 33 |
| Albendazole tablets not received | 9 | 0 | 0 |
| Apprehension of adverse events | 9 | 0 | 0 |
| Already dewormed all children on deworming day | 9 | 5 | 56 |
| Others ²⁷ | 9 | 1 | 11 |
| Albendazole tablet administered to children by teacher/headmaster ²⁸ | 11 | 11 | 100 |
| Perceive that health education should be provided to children | 35 | 35 | 100 |
| Awareness about correct dose and right way of albendazole administration | 35 | 34 | 97 |
| Awareness about non-administration of albendazole tablet to sick child | 35 | 27 | 77 |
| Opinion of occurrence of an adverse event after taking albendazole tablet | 35 | 19 | 54 |
| Awareness about occurrence of possible adverse ev | rents | | |
| Mild abdominal pain | 19 | 16 | 84 |
| Nausea | 19 | 12 | 63 |
| Vomiting | 19 | 14 | 74 |
| Diarrhea | 19 | 11 | 58 |
| Fatigue | 19 | 4 | 21 |
| Awareness about mild adverse event management | | | |
| Let the child rest in an open and shaded place | 35 | 20 | 57 |
| Provide clean water to drink/ORS | 35 | 16 | 46 |
| Contact the ANM/nearby PHC | 35 | 23 | 66 |
| Available contact numbers of the nearest ANM or MO-PHC | 35 | 28 | 80 |
| Followed correct ²⁹ recording protocol | 21 | 15 | 71 |

 $^{^{26}}$ These indicators are based on small samples; therefore, precautions should be taken while interpreting the results as these are not representative of all private schools in the state

²⁷Others include School Closed.

²⁸This indicator is based on samples where deworming was ongoing.

²⁹Correct recording protocol implies putting single tick (\checkmark) on NDD and double tick ($\checkmark\checkmark$) for all those children administered albendazole tablets.

Findings from Coverage Validation Data -Uttarakhand, August 2018

Table CV1: Findings from School and Anganwadi Coverage Validation Data

| Sr. | ble CV1: Findings from Sc Indicators | Schools | | | Anganwadis | | | |
|-----|---|-------------------------|----------------|-------------------------|-------------------|-----------------|-------|--|
| No. | marcators | Denominator Numerator % | | Denominator Numerator % | | | | |
| 1 | Percentage of | | Tvainerator | 70 | | | 70 | |
| | schools/ <i>anganwadis</i> conducted deworming ³⁰ | 406 | 401 | 99 | 408 | 406 | 100 | |
| | Percentage of conducted | | | | | | l . | |
| | deworming in | 313 | 313 | 100 | Not Applicable | 2 | | |
| | Government schools | | | | 11 | | | |
| | Percentage of conducted | | | | | | | |
| | deworming in Private | 93 | 88 | 95 | Not Applicable | | | |
| | schools | , - | | | | | | |
| 1a | Percentage of school and | <i>anganwadis</i> adm | inistered albe | endazo | le on day of - (N | /Iultiple Respo | onse) | |
| | a. National | | | - 0 | (| 0 | - 0 | |
| | Deworming Day | 401 | 394 | 98 | 406 | 398 | 98 | |
| | b. Mop-up day | 401 | 166 | 41 | 406 | 153 | 38 | |
| | c. Between NDD | | 45 | | 406 | | 8 | |
| | and mop-up day | 401 | 45 | 11 | 406 | 31 | 0 | |
| | d. Both days (NDD | | | | | | | |
| | and mop-up | 401 | 163 | 41 | 406 | 148 | 36 | |
| | day) | | | | | | | |
| 1b | Reasons for not conducting deworming | | | | | | | |
| | a. No information | 5 | 2 | 40 | 2 | 1 | 50 | |
| | b. Drugs not received | 5 | 1 | 20 | 2 | 1 | 50 | |
| | c. Apprehension of | 5 | 2 | 40 | 2 | О | 0 | |
| | adverse events | | | 70 | | | | |
| | d. Others | 5 | О | О | 2 | О | О | |
| 2 | Percentage of schools | | | | | | | |
| | and <i>anganwadis</i> left | 401 | 170 | 42 | 406 | 161 | 40 | |
| | over with albendazole | • | · | | • | | | |
| | tablet after deworming | 11 . 1 | • . | | | | | |
| 2a | Number of albendazole ta | | | 0.5 | - / - | 0 | 0.0 | |
| | a. Less than 50 tablets | 170 | 159 | 93 | 161 | 158 | 98 | |
| | b. 50-100 tablets | 170 | 45 | 3 | 161 | 2 | 1 | |
| | c. More than 100 tablets | 170 | 46 | 4 | 161 | 1 | 1 | |
| 2 | Copy of filled-in | | | | | | | |
| 3 | reporting form was | 401 | 250 | 62 | 406 | 191 | 47 | |
| | available for verification | 401 | 250 | 02 | 400 | 191 | 4/ | |
| | Copy of filled-in | | | | | <u> </u> | I | |
| | reporting form was | | | | | | | |
| | available for verification | 313 | 208 | 66 | Not Applicable | 9 | | |
| | in Government schools | | | | | | | |
| | Copy of filled-in | | | | | | | |
| | reporting form was | | | 40 | NT a.4 A 12 1 1 | | | |
| | available for verification | 93 | 42 | 48 | Not Applicable | | | |
| | in Private schools | | | | | | | |
| 3a | Reasons for non-availabil | ity of copy of re | porting form | 31 | | | | |

 $^{\scriptscriptstyle 30}\text{Schools}$ and anganwadis that conducted deworming on NDD or mop-up day.

| | a. Did not received | 144 | 63 | 44 | 208 | 70 | 34 |
|---|-------------------------------|----------------|----------|----|---------|-----|----|
| | b. Submitted to ANM | 144 | 60 | 42 | 208 | 110 | 53 |
| | c. Unable to locate | 144 | 8 | 6 | 208 | 6 | 3 |
| | d. Others ³² | 144 | 13 | 9 | 208 | 22 | 11 |
| 4 | Percentage of | | | | | | |
| | <i>Anganwadi</i> center | | | | | | 63 |
| | where ASHA | Not Applicable | <u>)</u> | | 406 | 255 | |
| | administered | | | | | | |
| | albendazole | | | | | | |
| 5 | <i>Anganwadis</i> having list | Not Applicable | | | | | 26 |
| | of unregistered children | | | | 406 104 | 104 | |
| | (aged 1-5 years) | | | | | | |
| 6 | <i>Anganwadis</i> having list | | | • | | | |
| | of out-of-school | Not Applicable | | | 406 | 47 | 12 |
| | children (aged 6-19 | | | | 406 | 47 | 12 |
| | years) | | | | | | |

Table CV2: Selected indicators based on ASHA's interview at *Anganwadi* Centre, Coverage Validation Data

| Sr. No. | Indicators | Anganwadis | | | | |
|---------|--|-------------|-----------|----|--|--|
| | | Denominator | Numerator | % | | |
| 1 | ASHA ³³ conducted meetings with parents to inform about NDD | 92 | 90 | 98 | | |
| 2 | ASHA prepared list of unregistered and out-of-school children | 92 | 32 | 35 | | |
| 3 | ASHA shared the list of unregistered and out-of-school children with <i>angnawadis</i> worker ³⁴ | 32 | 22 | 69 | | |
| 4 | ASHA administered albendazole to children | 92 | 67 | 73 | | |
| 5 | ASHA received incentive for NDD February 2018 round | 92 | 7 | 8 | | |

Table CV3: Recording protocol, verification factor and school attendance

| Sr. Indicators | | Schools/Child | ren | | Anganwadis/Children | | |
|----------------|---|---------------|-----------|----|---------------------|-----------|----|
| No. | No. Indicators | Denominator | Numerator | % | Denominator | Numerator | % |
| 1 | Followed correct ³⁵ recording protocol | 401 | 95 | 24 | 406 | 110 | 27 |
| 2 | Followed partial ³⁶ recording protocol | 401 | 56 | 14 | 406 | 76 | 19 |

³¹In 7 schools and 7 *anganwadis* blank reporting form was available.

³²Other includes not received, at headmasters' home, don't want share, locked, *Anganwadi* worker changed, lost, don't remember, submitted to ICDS, ASHA Facilitator, etc.

³³Surveyors were instructed to call ASHA at *anganwadi* centers during coverage validation and collect relevant information. Surveyors could only cover those ASHA's who were able to join for interview because it was not mandatory for ASHA's to attend.

³⁴ Based on sub-sample who reported to prepare the said list

³⁵Correct recording protocol includes schools/*anganwadis* where all the classes/registers put single tick (\checkmark) on NDD and double tick ($\checkmark\checkmark$) on mop-up day to record the information of dewormed children.

³⁶Partial recording protocol includes schools/*anganwadis* where all the classes/registers did not follow correct protocol, put different symbols and prepared separate list to record the information of dewormed children.

| 3 | Followed no ³⁷ recording protocol | 401 | 250 | 62 | 406 | 220 | 54 |
|----|---|------------------------|--------------------------------|----|----------------|------|-----|
| | Followed correct recording protocol in Government schools | 313 | 76 | 24 | Not Applicable | | • |
| | Followed correct recording protocol in Private schools | 88 | 19 | 22 | Not Applicable | | |
| 4 | State-level verification factor ³⁸ (children enrolled/registered) | 18895 | 8921 | 47 | 4985 | 2864 | 57 |
| | a. Children registered with <i>anganwadis</i> | Not Applicable | Not Applicable Not Applicable | | | 2061 | 49 |
| | b. Children unregistered with anganwadis (Aged 1-5) | Not Applicable | | | | 473 | 108 |
| | c. Out-of-school children (Aged 6- 19) | Not Applicable 366 330 | | | | 330 | 90 |
| 5 | Attendance on previous day of NDD (children enrolled) | 35119 | 26324 | 75 | Not Applicable | | |
| 6 | Attendance on NDD (children enrolled) | 35119 | 30133 | 86 | Not Applicable | e | |
| 7 | Attendance on mop-up day (children enrolled) | 35119 | 28531 | 81 | Not Applicable | e | |
| 8 | Children who attended on both NDD and mop-up day (children enrolled) | 35119 | 25824 | 74 | Not Applicable | | |
| 9 | Maximum attendance of children on NDD and mop-up day ³⁹ (Children enrolled) | 35119 | 32840 | 94 | Not Applicable | | |
| 10 | Estimated NDD coverage 4041 | 88 | | | 44 | | |
| 11 | Estimated NDD coverage in Government schools | 91 | | | Not Applicable | e | |
| 12 | Estimated NDD coverage in Private schools | 80 | | | Not Applicable | e | |

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³⁷No protocol includes all those schools/*anganwadis* where none of the classes/registers followed any protocol to record the information of dewormed children

³⁸Ratio of recounted value of the dewormed children to the reported value. This calculation is based on only those schools (n=250) and *anganwadis* (n=191) where deworming was conducted and copy of reporting form was available for verification.

³⁹Maximum attendance refers to the total attendance of children who were exclusively present in school either on NDD or mop-up day and children who attended school on both days.

⁴⁰ This was estimated on the basis of NDD implementation status, attendance on NDD and mop-up day, whether child received albendazole and its supervised administration. Since no child interview is conducted at *anganwadis*; this has not been estimated for *anganwadis*.

⁴¹This was estimated by implying state-level verification factor on government reported coverage for 1-5 years registered children in AWC.

Table CV4: Description on children (6-19 years) interviewed in the schools (n=401) during coverage validation

| Sr.No | ge validation | Denominato | Numerato | % | | | |
|--------|--|------------|----------|---------|--|--|--|
| 21.1VO | Indicators | | | % | | | |
| • | Children received albendazole tablets | r | r | | | | |
| 1 | | 1203 | 1186 | 99 | | | |
| 2 | Children aware about the albendazole tablets | 1186 | 1104 | 93 | | | |
| | Source of information about deworming among children (| | ise) | 1 | | | |
| 3 | a. Teacher/school | 1104 | 1102 | 10 | | | |
| | | | | О | | | |
| | b. Television | 1104 | 41 | 4 | | | |
| | c. Radio | 1104 | 28 | 3 | | | |
| | d. Newspaper | 1104 | 47 | 4 | | | |
| | e. Poster/Banner | 1104 | 106 | 10 | | | |
| | f. Parents/siblings | 1104 | 21 | 2 | | | |
| | g. Friends/neighbors | 1104 | 14 | 1 | | | |
| 4 | Children aware about the worm infection | 1186 | 861 | 73 | | | |
| 5 | Children awareness about different ways a child can get worm infection (Multiple | | | | | | |
| | response) | | | | | | |
| | a. Not using sanitary latrine | 861 | 321 | 37 | | | |
| | b. Having unclean surroundings | 861 | 543 | 63 | | | |
| | c. Consume vegetables and fruits without washing | 861 | 437 | 51 | | | |
| | d. Having uncovered food and drinking dirty water | 861 | 394 | 46 | | | |
| | e. Having long and dirty nails | 861 | 504 | 59 | | | |
| | f. Moving in bare feet | 861 | 452 | 53 | | | |
| | g. Having food without washing hands | 861 | 411 | 48 | | | |
| | h. Not washing hands after using toilets | 861 | 187 | 22 | | | |
| 6 | | 2.4 | , | 10 | | | |
| | Children consumed albendazole tablet | 1186 | 1182 | О | | | |
| 7 | Way children consumed the tablet | I | | 1 | | | |
| • | a. Chew the tablet | 1182 | 1088 | 92 | | | |
| | b. Swallow tablet directly | 1182 | 94 | 8 | | | |
| 8 | Supervised administration of tablets | 1182 | 1132 | 96 | | | |
| 9 | Reasons for not consuming albendazole tablet | 1 | 12- | _ / ~ | | | |
| 7 | a. Feeling sick | 4 | 2 | 50 | | | |
| | b. Afraid of taking the tablet | 4 | 1 | 25 | | | |
| | c. Parents told me not to have it | 4 | 0 | 0 | | | |
| | d. Do not have worms so don't need it | 4 | 1 | 1 | | | |
| | e. Did not like the taste | | 0 | 25 0 | | | |
| | c. Did not like the taste | 4 | U | U | | | |