## PARTICIPATING AGENCY PROGRAM AGREEMENT BETWEEN THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT AND

# THE UNITED STATES PEACE CORPS

Award Number: DCH-T-00-06-00004-00 Modification Number: #9 1. Program Title: 2. Strategic Objective Title and Number: Special Objective (see Schedule, Sec. B) Small Project Assistance (SPA) Program - SPA III 3. Appropriation Symbol: 4. Fund Code: 5. A&A Request/MAARD Number: 6. Initial Fiscal Year: 2007 7. Start Date: 10/01/2011 8. Completion Date: 9/30/2012 9A. Prior Funding 9B. Funding Obligated this Document 9C. Total Obligated Funding \$11,763,701 \$2,523,963 \$14,287,664 10. Authority: Section 632(b) of the Foreign Assistance Act of 1961 (FAA), as amended, 22 U.S.C. Sec. 2392(b). 11. Program and Amendment Description: This modification provides an additional \$2,523,963 for Peace Corps SPA activities in participating posts for implementation year FY 2012. The Peace Corps shall use the additional funds provided through this amendment to carry out activities included in the program description found in the Schedule and Annex A of the PAPA in accordance with the country and funding breakdown set forth in the Attachment, "Fiscal Data for FY 2011 USAID Funds," which is incorporated herein. This amendment incorporates modifications to the Program Description (Annex A) Section A, 1(c) that were mutually agreed upon. The Schedule, Annex C (Standard Provisions), and Annex D (Initial Environmental Examination) remain unmodified from Modification #8 to the PAPA, signed June 27, 2011, and are incorporated herein by reference. 12. Liaison Offices/Additional Representatives 12A. The Peace Corps 12B. United States Agency for International Development - Cognizant Technical Officer Sara R. Lopez Program Manager (Acting) Zufan Mulugeta Office of Intergovernmental Affairs and Partnerships Private and Voluntary Cooperation Office of Development Partners 1111 20th St. NW. Washington, D.C. 20526 U.S. Agency for International Development 202-692-2187 Ronald Reagan Building Room 7.8-031 1300 Pennsylvania Ave., Wash., D.C. 20523-2110 Tel: 202-712-5886 13B. Signature by USAID Agreement Officer 13A. Signature by Authorized Representative **United States Agency for International Development** The Peace Corps - 20 By: By: Lisa M. Bilder Maura O'Neill Chief Acquisition Officer Acting Director Office of Acquisitions and Contract Management Office of Development Partners (OACM) Date: Date: 14. This Agreement consists of this face sheet and the following items (if marked): Schedule Annex A - Program Description Annex B – Financial Plan and Budget Annex C - Standard Provisions

## Annex B Financial Plan and Budget Additional funding for FY12 - \$2,523,963 (Amendment #9)\*

Grants and Training Activities			\$ 2,212,099
Malaria PCV Support Costs			\$ 57,323
Indirect/Administration			
Salaries/Benefits	\$	126,164	
Travel	\$	21,396	
Training/Workshops	\$	42,780	
Monitoring and Evaluation	\$	31,000	
Subtotal			\$ 221,340
Agency Overhead (15% of above)			\$ 33,201
TOTAL			\$ 2,523,963

For each year of this Agreement, the revenue stream (and therefore the Financial Plan and Budget) will be determined by rolling up the contributions made by each USAID Field Mission, Regional Support Mission, or regional and/or technical support Bureau supporting the Program in Participating Peace Corps Posts. Incremental funding will be provided in yearly amendments to this Agreement, and a Financial Plan and Budget will be prepared consistent with each amendment. Administrative costs will be drawn from each Mission contribution on a pro-rated basis.

Budget Categories and line items for this Agreement will include:

\*\*Unobligated carryover funds budgeted for administrative costs in prior amendments will cover additional Salary and Benefits/Program Administration, Travel and Per Diem, and Monitoring and Evaluation costs, as required.

<sup>\*</sup> Unexpended funds provided by USAID to Peace Corps in prior amendments shall continue to be used in accordance with the budgets set forth in those amendments, provided that the terms and conditions restated in this amended and restated PAPA shall otherwise apply to such funds.

### Annex A Program Description

### A. Statement of Work

 Under this Agreement Peace Corps Volunteers in Participating Agency posts will work with host country and community counterparts and non-governmental and community organizations to support sustainable grassroots community development through the following Program components:

#### a) Community Grants

This component supports small-scale projects at the community level in Participating Agency posts. Community projects supported by these grants must respond to community-based self-help initiatives and contribute the special objective identified in this Agreement. Additional guidance on small project implementation is contained in the *SPA Handbook for Peace Corps and USAID Staff*.

#### b) Capacity-building Training

The Peace Corps has a unique capability to identify host country nationals for inclusion in technical assistance interventions and training workshops. This Agreement will permit Participating Agency posts to include Volunteers' host country and/or community counterparts in In-Service Training (IST) and/or technical training workshops. For example, training may be provided to counterparts in the Project Design and Management (PDM) methodology previously developed by Peace Corps. This interactive training builds the skills of counterparts in participatory community planning, the identification of priorities, small project design and implementation, budgeting and monitoring and evaluation. Capacity-building technical training may also be offered in other sector areas as well, where the emphasis will be placed on the transfer of skills that can be directly applied to implementing sustainable community development activities and to building greater self-reliance at the local level. In these training activities, USAID funds will support the travel, lodging and per diem of host country and community counterparts, PAPA funds may cover travel, lodging and per diem costs of Peace Corps Volunteers to attend training, orientation or other workshops, upon the request of the applicable USAID Mission, where the workshop content enhances the capacity of Volunteers and host

country nationals to implement community development activities envisaged under the PAPA. PAPA funds may not cover any costs related to basic training of Peace Corps Volunteers, including pre-service training and close-of-service workshops.

#### c.) Other collaboration

i) As desired by the Parties, USAID and Peace Corps may also collaborate on other sector, program, national or regional initiatives that focus on building the capacity of local communities to implement sustainable development projects. This will include at least one pilot program in support of Peace Corps' Stomping out Malaria in Africa, whereby USAID Missions may contribute funds to support Peace Corps posts' efforts in this program. For each of these specific collaborations, the parties will develop goals, objectives and desired results, and indicate how each initiative will respond to one or more of the Intermediate Results of the program.

ii) On occasion, Participating Agency posts may request support to conduct country-level evaluations and/or impact assessments of their performance under this Agreement. These evaluations will assess the Program's performance in strengthening the capabilities of local communities and community organizations to carry out low-cost, sustainable, grassroots development activities. Any such evaluation will be in addition to the monitoring and evaluation requirements described in Section F, below and the regular sharing of information on Program performance with USAID Missions in each Participating Agency post.

### **B.** Program Areas

- Under the terms of the Types of Activities for this Agreement, funding provided by USAID Field and/or Regional Missions and/or Regional Bureaus may be used to carry out Program activities in a variety of sector and initiative areas. The following list is merely illustrative:
  - a) health and water sanitation activities;
  - b) basic education activities, directed to literacy and numeracy, but also including non-formal education with out-of-school youth, women's organizations, and disadvantaged groups;
  - c) agricultural and economic growth activities;

- d) environmental activities;
- e) civic education and democracy-building activities; and
- f) cross-cutting priorities, including gender and youth development, and integrated activities, e.g. income-generation activities for People Living With AIDS (PLWAs), among others.
- 2. Additional short-term or longer-term assistance consistent with the requirements of this Agreement's Schedule, Standard Provisions and Program Description by other pillar bureaus, regional bureaus, operating units or strategic objective teams of USAID may be provided with the approval in writing of USAID's CTO for this Agreement, by amending this Agreement.

### C. Results

- Special Objective. The Special Objective developed within USAID for this Program is "to increase the capabilities of local communities to conduct low-cost, grassroots sustainable development activities."
- 2. Intermediate Results. Six Intermediate Results have been developed to meet the Special Objective. As the participation of men, women, boys and girls in local-level development efforts is efforts is considered essential to achieving broad-based improvements and sustainability, disaggregated information on individuals who are members of community groups and direct participants in the Program's capacity-building efforts, as well as those who are indirect beneficiaries, will be reported on for each Intermediate Result.

The anticipated results of this Program are:

a) The increased involvement in and effective implementation of health and water sanitation projects by local community groups.

b) The effective implementation of quality-based education efforts by local communities;c) Increased involvement in and effective implementation of agricultural and economic activities

by local community groups;

d) Greater responsibility for effective environmental interventions by local communities;

e) The effective implementation of projects that strengthen civil society and democratic practice by local community groups and/or non-governmental organizations; and

f) Stronger local organizational capacity to implement cross-cutting and integrated development interventions.

- <u>USAID Strategic Planning Framework</u>. Each Participating Agency post will work within the general goals and program components of USAID's strategic planning framework established for each Mission. Insofar as possible and appropriate, Participating Agency posts will contribute performance data to USAID for their use in tracking progress in implementing the country-level strategic plan.
- 4. <u>Monitoring and Evaluation Requirements.</u> The monitoring requirements for this Program address a) the degree to which performance indicators for each Intermediate Result and their related data accurately reflect the process they are being used to measure and b) the level of comparability of performance indicators and data from year to year.