## CONFORMED COPY ORIGINAL FILED SUPERIOR COUNTY OF CALIFORNIA

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Plaintiffs Beatriz Vergara, Elizabeth Vergara, Clara Grace Campbell, Kate Elliott, Herschel Liss, Julia Macias, Daniella Martinez, and Raylene Monterroza complain of Defendants and allege:

#### PRELIMINARY STATEMENT

- 1. California has a long and celebrated history of leadership and innovation in educating its children. Unfortunately, recent studies show that California's public educational system has fallen behind, achieving educational outcomes far inferior to the results achieved by other states.

  According to the U.S. Department of Education, California's public schools rank 46th in the nation in fourth-grade reading and 47th in the nation in eighth-grade math. California's public schools are failing the very children whose interests they are meant to serve.
- 2. Worse, California is inflicting this harm on itself: A handful of outdated laws passed by the California legislature are preventing school administrators from maintaining or improving the quality of our public educational system by denying them the flexibility to make teacher employment decisions driven by the needs of their students. Instead, these laws force school administrators to grant new teachers "permanent employment" after only 18 months on the job—well before the teachers' effectiveness can be determined—and force school administrators to keep teachers in the classroom long after they have demonstrated themselves to be grossly ineffective. From 2009 to 2011, 23 states have started designing teacher evaluation standards that require objective evidence of student growth. California is not on that list.
- 3. This problem affects California public school students statewide. In any given school year, any student might be arbitrarily assigned to a grossly ineffective teacher who should not be teaching. Studies show that students who are unfortunate enough to be assigned to two or more grossly ineffective teachers in a row are unlikely ever to catch up to their peers. But the problem is worse for students at schools that serve predominantly minority and economically disadvantaged populations because those schools have a disproportionate share of grossly ineffective teachers. In certain school districts, students of color are two to three times more likely to have bottom-quartile teachers than their white and Asian peers. Thus, the laws at issue perpetrate and widen the very achievement gap that education is supposed to eliminate.

4. This lawsuit seeks to strike down the legal impediments that prevent California's schools from providing an effective education to all of their students, as guaranteed by the California Constitution. This small change will have a tremendous positive impact on California's children.

### INTRODUCTION

- 5. The California Supreme Court has long recognized that a child's right to an education is a fundamental interest guaranteed by the California Constitution. (*Serrano v. Priest* (1971) 5 Cal.3d 584, 609 ("*Serrano I*").) Because "education is the lifeline of both the individual and society" (*id.* at p. 605) and serves the "distinctive and priceless function" as "the bright hope for entry of the poor and oppressed into the mainstream of American society" (*id.* at pp. 608-09), laws that inflict a "real and appreciable impact" on the fundamental right to education, and which are not narrowly tailored to serve a compelling state interest, are unconstitutional. (*Butt v. California* (1992) 4 Cal.4th 668, 685-86 ("*Butt*").)
- 6. "[T]he right to an education today means more than access to a classroom." (*Serrano I, supra*, 5 Cal.3d at p. 607). At a minimum, the right guarantees a basic level of education that prepares our children to (1) compete successfully in the economic marketplace and (2) participate in the social, cultural, and political activity of our society. (*Id.* at pp. 605-06.) As the California Supreme Court has recognized, "the unique importance of public education in California's constitutional scheme requires careful scrutiny of state interference with basic educational rights." (*Butt, supra*, 4 Cal.4th at p. 683.)
- 7. In addition, "the State itself has broad responsibility to ensure basic educational equality." (*Butt, supra*, 4 Cal.4th at p. 681.) "[T]he State's responsibility for basic equality in its system of common schools extends beyond the detached role of fair funder or fair legislator." (*Id.* at p. 688.) It must provide a statewide public education system "open on equal terms to all." (*Id.* at p. 680.) California students must have access to "substantially equal opportunities for learning." (*Serrano v. Priest* (1976) 18 Cal.3d 728, 747-48 ("*Serrano II*").) Where "substantial disparities in the quality and extent of availability of educational opportunities" persist, the State has a duty to intervene and ensure "equality of treatment to all the pupils in the state." (*Id.* at p. 747.)

- 8. California's public-school teachers play a vital role in providing California students with the education to which they are entitled. Recent studies have confirmed what students and parents have always known: The key determinant of educational effectiveness is teacher quality. (See, e.g., Chetty et al., Nat. Bur. of Economic Research, The Long-Term Impacts of Teachers: Teacher Value-Added and Student Outcomes in Adulthood (Working Paper 17699, Dec. 2011).) Students assigned to effective teachers are more likely to attend college, attend higher-quality colleges, earn more, live in higher socioeconomic status neighborhoods, save more for retirement, and are less likely to have children during their teenage years. (*Ibid.*)
- 9. Although the majority of teachers in California are providing students with a quality education, some California K-12 public school students are being taught by teachers who fail to provide their students with the most basic tools necessary to compete in the economic marketplace or to participate as a citizen in our democracy. Although the number of such grossly ineffective teachers may be small, their impact on students is enormous. Even when they are well-intentioned, as most teachers are, such grossly ineffective teachers have an enduring and negative effect on the lives of their students.
- 10. The hiring and continued employment of such grossly ineffective teachers in the California public school system is the direct result of the continued enforcement of five California statutes (the "Challenged Statutes") that confer permanent employment on California teachers, effectively prevent the removal of grossly ineffective teachers from the classroom, and, in economic downturns, require layoffs of more competent teachers. The Challenged Statutes prevent school administrators from prioritizing—or even meaningfully considering—the interests of their students in having effective teachers when making employment and dismissal decisions. By forcing these critical decisions to be made primarily or exclusively on grounds *other* than students' need for effective teachers, and therefore perpetuating the employment within the school system of a number of grossly ineffective teachers who do not serve students' needs and who, in fact, have a real and

The Challenged Statutes are California Education Code section 44929.21, subdivision (b), section 44934, section 44938, subdivisions (b)(1) and (2), section 44944, and section 44955. (See *infra*, ¶¶ 43-67.)

appreciably negative impact on students' education, these laws infringe upon California students' fundamental right to education.

- 11. A series of recent investigative reports is illustrative. The Los Angeles Times found that California teachers achieve "permanent employment" status with alarming ease. Following a cursory performance evaluation, or sometimes none at all, probationary teachers in the Los Angeles Unified School District ("LAUSD") secured permanent employment at a staggering rate exceeding 98 percent. Once permanently employed, those same teachers become protected by "laborious and labyrinthine" dismissal policies that require administrators to endure "years of investigation, union grievances, administrative appeals, court challenges and re-hearings" before they can terminate any tenured teacher for cause. LA Weekly found, for example, that the LAUSD spent \$3.5 million from 2000 to 2010 in efforts to dismiss just seven of the district's 33,000 employees for inadequate classroom performance. (Barrett, LAUSD's Dance of the Lemons: Why Firing the Desk-Sleepers, Burnouts, Hotheads and Other Failed Teachers Is All but Impossible, LA Weekly (Feb. 11, 2010).) The legal battles averaged \$500,000 in cost, sometimes exceeded five years in length, and ultimately resulted in the dismissal of only four employees. (*Ibid.*) Because "[b]uilding a case for dismissal is so time-consuming, costly and draining for principals and administrators," the Los Angeles Times found it "rare" for a teacher ever to be dismissed for poor performance. (Song, Failure Gets a Pass: Firing Tenured Teachers Can Be a Costly and Tortuous Task, L.A. Times (May 3, 2009).) Many principals and administrators do not even attempt to remove ineffective teachers, except in the most egregious cases of illegal or immoral conduct. (Ibid.) And even in those egregious cases—for instance, where a teacher mocks an eighth grader recently hospitalized for attempted suicide, tells the suicidal boy to "[c]arve deeper next time," and allows other students to instruct the boy on how to commit suicide—teachers protected by the California statutes at issue remain in the classroom. (Ibid.)
- 12. The Challenged Statutes, on their face and as applied, are therefore unconstitutional. California students assigned to grossly ineffective teachers who are employed and continue teaching as a direct result of the Challenged Statutes are denied equal access to the fundamental right to education required by article IX, sections 1 and 5 of the Constitution of the State of California. The

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presence of grossly ineffective teachers in the California K-12 public school system creates arbitrary and unjustifiable inequality among students: Even within the same school, some students are taught by teachers who fail to teach at a minimally effective level, while other students receive an education from teachers of average or superior effectiveness. As a result of these arbitrary distinctions, children of substantially equal age, aptitude, motivation, and ability do not have substantially equal access to education. Because education is a fundamental interest under the California Constitution, the statutes that dictate this unequal, arbitrary result violate the equal protection provisions of the California Constitution. (Art. I, § 7; *id.* art. IV, § 16.)

- 13. Moreover, as a result of the same Challenged Statutes, grossly ineffective teachers are disproportionately assigned to schools serving predominantly minority and economically disadvantaged students. Those statutes thus make the quality of education provided to school-age children in California a function of race and/or the wealth of a child's parents and neighbors in violation of the equal protection provisions of the California Constitution. (Art. I, § 7; *id.* art. IV, § 16.)
- 14. For these reasons, Plaintiffs ask this Court to declare the Challenged Statutes unconstitutional on their face and as-applied and to permanently enjoin their enforcement.

### **PARTIES**

- 15. Plaintiff BEATRIZ VERGARA is a California citizen who resides in the Los Angeles Unified School District. She is 13 years old and attends public school in the Los Angeles Unified School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Vergara's right to education because, as a direct result of the Challenged Statutes, Plaintiff Vergara has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. The parent of Plaintiff Beatriz Vergara has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.
- 16. Plaintiff ELIZABETH VERGARA is a California citizen who resides in the Los Angeles Unified School District. She is 14 years old and attends public school in the Los Angeles Unified School District. The Challenged Statutes have a real and appreciably negative impact on

- 17. Plaintiff CLARA GRACE CAMPBELL is a California citizen who resides in the Los Angeles Unified School District. She is 7 years old and attends public school in the Los Angeles Unified School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Campbell's right to education because, as a direct result of the Challenged Statutes, Plaintiff Campbell has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. The parent of Plaintiff Clara Grace Campbell has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.
- 18. Plaintiff KATE ELLIOTT is a California citizen who resides in the Sequoia Union High School District. She is 15 years old and attends public school in the Sequoia Union High School District. She previously attended public school in the San Carlos School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Elliott's right to education because, as a direct result of the Challenged Statutes, Plaintiff Elliott has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. The parent of Plaintiff Kate Elliott has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.
- 19. Plaintiff HERSCHEL LISS is a California citizen who resides in the Los Angeles Unified School District. He is 8 years old and attends public school in the Los Angeles Unified School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Liss's right to education because, as a direct result of the Challenged Statutes, Plaintiff Liss has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes his equal access to the opportunity to receive a meaningful education. The parent of

Plaintiff Herschel Liss has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.

- 20. Plaintiff JULIA MACIAS is a California citizen who resides in the Los Angeles Unified School District. She is 11 years old and attends public school in the Los Angeles Unified School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Macias's right to education because, as a direct result of the Challenged Statutes, Plaintiff Macia has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. The parent of Plaintiff Julia Macias has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.
- 21. Plaintiff DANIELLA MARTINEZ is a California citizen who resides in the Alum Rock Union School District. She is 10 years old and attends a public charter school in San Jose, California. Although she previously attended traditional public school in the Alum Rock Union School District, Plaintiff Martinez was deterred from continuing to attend traditional public schools because of the substantial risk that she would be assigned to a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. Absent that risk, Plaintiff Martinez would have continued to attend traditional public school. Thus, the Challenged Statutes have a real and appreciably negative impact on Plaintiff Martinez's right to education. The parent of Plaintiff Daniella Martinez has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.
- 22. Plaintiff RAYLENE MONTERROZA is a California citizen who resides in the Pasadena Unified School District. She is 14 years old and attends public school in the Pasadena Unified School District. The Challenged Statutes have a real and appreciably negative impact on Plaintiff Monterroza's right to education because, as a direct result of the Challenged Statutes, Plaintiff Monterroza has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher who impedes her equal access to the opportunity to receive a meaningful education. The parent of Plaintiff Raylene Monterroza has filed simultaneously with this Complaint a petition with the Court to act as Plaintiff's guardian ad litem.

- 23. As students within the boundaries of the State of California, Plaintiffs have a fundamental, constitutional right to a quality education, which cannot be achieved without effective teachers.
- 24. Defendant STATE OF CALIFORNIA is the legal and political entity required by the California Constitution to maintain and oversee the system of public education in California. It has plenary responsibility for educating all California public school students, including the responsibility to establish and maintain the system of common schools and to ensure that the fundamental right to education is afforded to all California public school students.
- 25. Defendant EDMUND G. BROWN, JR. is the Governor of the State of California. In his official capacity, the Governor is the chief executive officer of the State of California. It is his responsibility to ensure that the laws of the State are properly enforced. The Governor maintains an office in Los Angeles.
- 26. Defendant TOM TORLAKSON is the State Superintendent of Public Instruction for the State of California. In his official capacity, the State Superintendent is obligated to take all necessary steps to ensure that school districts comply with the California Constitution and State laws.
- 27. Defendant CALIFORNIA DEPARTMENT OF EDUCATION is the department of State government responsible for administering and enforcing laws related to education.
- 28. Defendant STATE BOARD OF EDUCATION and its members are responsible for determining the policies governing California's schools and for adopting rules and regulations for the supervision and administration of all local school districts.
- 29. Defendant LOS ANGELES UNIFIED SCHOOL DISTRICT is a school district organized by the State Legislature and charged with the administration of public schools within its jurisdiction. LAUSD possesses those powers set forth in articles IX and XVI of the California Constitution and as otherwise set forth by the laws of the State of California.
- 30. Defendant ALUM ROCK UNION SCHOOL DISTRICT is a school district organized by the State Legislature and charged with the administration of public schools within its jurisdiction. The Alum Rock Union School District ("ARUSD") possesses those powers set forth in articles IX

and XVI of the California Constitution and as otherwise set forth by the laws of the State of California.

31. Defendants, and those subject to their supervision, direction, and control, are responsible for the enforcement of the statutes challenged herein. Except where otherwise specified, the relief requested in this action is sought against each Defendant, as well as against each Defendant's officer's employees, and agents, and against all persons acting in cooperation with Defendant(s), under their supervision, at their direction, or under their control.

### **JURISDICTION AND VENUE**

- 32. This case raises questions under the Constitution of the State of California. Thus, this Court has jurisdiction over all of Plaintiffs' claims. This Court is authorized to grant declaratory relief pursuant to section 1060 of the California Code of Civil Procedure and to grant injunctive relief pursuant to sections 525, 526, and 526(a) of the California Code of Civil Procedure.
- 33. Venue is proper in this Court pursuant to section 393(b) of the California Code of Civil Procedure. Because this action is brought against public officers and may be commenced in a county where the Attorney General maintains offices and performs its functions (Code Civ. Proc., § 393, subd. (b)), this action is properly brought in the County of Los Angeles where the Attorney General maintains an office. (*Id.* § 401, subd. (1).) Moreover, venue is proper in Los Angeles County because at least some of the Plaintiffs reside and attend school in the county.

#### **FACTS**

### A. <u>Teacher Quality Is The Key Determinant Of Educational Effectiveness</u>

34. Extensive research over the past 35 years supports one indisputable fact: Teachers matter. Teachers are a key determinant of the quality of education students receive and have a profound impact on students' lifetime achievement. In fact, teacher quality affects student success more than any other in-school factor. According to one of the nation's foremost education economists, "teachers near the top of the quality distribution can get an entire year's worth of additional learning out of their students compared to those near the bottom." As a result, students taught by effective teachers are more likely to attend college, attend higher-ranked colleges, earn higher salaries, reside in higher quality neighborhoods, and save for retirement.

- 35. Conversely, students taught by grossly ineffective teachers—those in approximately the bottom five percent of all educators—suffer lifelong problems and fail to recover from this marked disadvantage. One recent study found that a student who is taught by a single ineffective teacher remains "stuck below grade level" for years to come. Another recent study found that replacing a grossly ineffective teacher with even an *average* teacher—not an above-average or superior teacher—would increase students' cumulative lifetime income by a total of \$1.4 million per classroom taught by that teacher.
- 36. In light of the substantial and enduring impact that teachers have on their students' achievement, removal of the small number of grossly ineffective teachers currently employed by the California public school system would have a pronounced, life-altering impact on the performance of those students who would otherwise be assigned to those teachers. It would therefore be in the interest of all California public school students to ensure that grossly ineffective teachers are not hired into the California public school system and, if hired, are promptly dismissed upon discovery of their grossly ineffective performance.

### B. <u>California's Schools Hire And Retain Grossly Ineffective Teachers At Alarming Rates</u>

- 37. Despite the profound impact teachers have on student achievement, grossly ineffective teachers are routinely hired into the California school system and granted effectively lifetime employment. Even after their grossly ineffective performance is discovered, such teachers are not dismissed for their poor performance, instead remaining as teachers in California classrooms.
- 38. Recent reports estimate that, in the LAUSD alone, there are approximately 1,000 or more teachers who are grossly ineffective. These grossly ineffective teachers, or "lemons," are responsible for teaching approximately 30,000 or more students annually. In a recent survey, 68 percent of teachers reported that there are grossly ineffective tenured teachers currently working in their schools who should be dismissed for poor performance.
- 39. The Challenged Statutes make it nearly impossible for school administrators to dismiss grossly ineffective teachers. Unable to remove these grossly ineffective teachers from the California school system, the statutes at issue instead compel school administrators to either leave the grossly ineffective teachers in place or transfer them from school to school within the public school

system, a phenomenon sometimes referred to as the "dance of the lemons." Indeed, in a recent survey, 34 percent of LAUSD principals reported that attempting to dismiss grossly ineffective teachers is futile and prohibitively resource-intensive because the dismissal process established by the statutes at issue is unlikely to result in dismissal of those teachers.

40. The grossly ineffective teachers are disproportionately situated in schools that serve predominantly low-income and minority students. A recent study of the LAUSD found that a "low-income student is more than twice as likely to have a low value-added [English-Language Arts ("ELA")] teacher as a higher income peer, and 66 percent more likely to have a low-value added math teacher." The data reveals that the "patterns are even more pronounced for students of color, with Latino and African-American students two to three times more likely (in math and ELA, respectively) to have bottom-quartile teachers than their white and Asian peers."

### C. The Challenged Statutes Prevent School Administrators From Making Employment And Dismissal Decisions That Benefit Students

41. The hiring and continued employment of grossly ineffective teachers in California's public schools are a direct result of the Challenged Statutes and cause grave harm to California's students. Those five statutes comprise a statutory scheme that confers permanent employment on teachers before their effectiveness can readily be determined, makes dismissal nearly impossible or highly impractical once poor performers are identified, and, when layoffs are necessary, forces districts to terminate teachers based on seniority alone, irrespective of their teaching effectiveness. This statutory scheme, enacted by the State of California through its Legislature and enforced by Defendants, inevitably presents a total and fatal conflict with the right to education guaranteed by the California Constitution because it forces an arbitrary subset of California students to be educated by grossly ineffective teachers who fail to provide them with the basic tools necessary to compete in the economic marketplace or participate in a democratic society.

42. In the absence of this statutory scheme, school administrators would have the ability to make employment and dismissal decisions that serve the interests of California's students. School administrators could decline to offer permanent employment to a teacher unless and until they have determined that the teacher's performance merits such an offer, could dismiss those teachers they

believe to be grossly ineffective, could retain only those teachers who they believe to be at least minimally effective, and could reward and incentivize teachers who exhibit superior performance. The Challenged Statutes prevent school administrators from meaningfully considering their students' need for effective teachers when making teacher employment and dismissal decisions. On information and belief, in the absence of the Challenged Statutes, school administrators would make teacher employment and dismissal decisions based, in larger part and/or entirely, on their students' need for effective teachers.

### California's Permanent Employment Statute

- 43. Pursuant to California Education Code section 44929.21, subdivision (b) (the "Permanent Employment Statute"), California school districts must decide whether to grant permanent employment to new teachers after less than 18 months.
- 44. The Permanent Employment Statute requires that "[e]very employee of a school district of any type or class having an average daily attendance of 250 or more who, after having been employed by the district for two complete consecutive school years in a position or positions requiring certification qualifications, is reelected for the next succeeding school year to a position requiring certification qualifications shall, at the commencement of the succeeding school year be classified as and become a permanent employee of the district." It states that "[t]he governing board shall notify the employee, on or before March 15 of the employee's second complete consecutive school year of employment by the district in a position or positions requiring certification qualifications, of the decision to reelect or not reelect the employee for the next succeeding school year to the position." "In the event that the governing board does not give notice pursuant to this section on or before March 15," the statute provides that "the employee shall be deemed reelected for the next succeeding school year."
- 45. Several studies have shown that it is not possible to determine a teacher's long-term effectiveness with any degree of confidence during the first three years of teaching. In addition, teacher performance reviews used to determine whether a teacher should receive permanent employment do not consider student test data, student work, or any other indication whether the

teacher's students are actually learning. Nonetheless, within 18 months, California offers more than 98 percent of new teachers the full benefits of permanent employment.

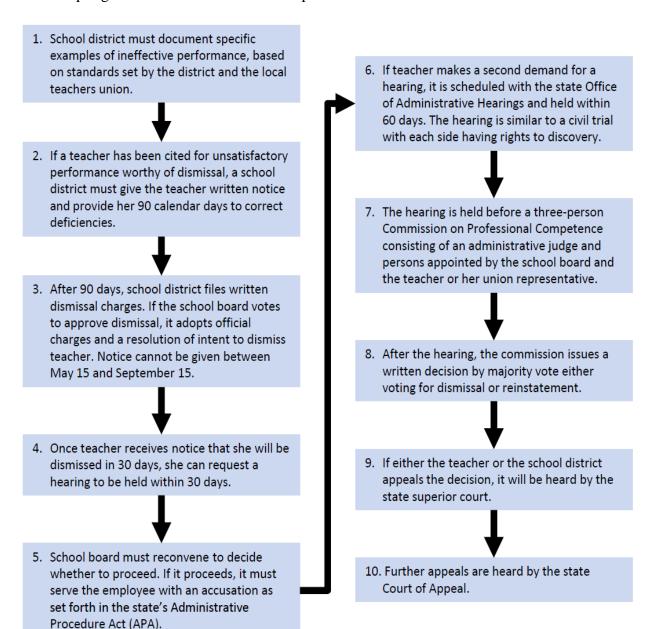
- 46. The Permanent Employment Statute, alone and in conjunction with the other statutes at issue, ensures that a certain number of grossly ineffective teachers who are unable to prepare students to compete in the economic marketplace or to participate in a democracy are granted permanent employment in the California school system.
- 47. The Permanent Employment Statute, alone and in conjunction with the other statutes at issue, also ensures that children of substantially equal age, aptitude, motivation, and ability will not have substantially equal access to education. Even within the same schoolhouse, some students will be assigned to teachers who are grossly ineffective, while other students are assigned to teachers who are at least minimally effective.

### California's Dismissal Statutes

- 48. Unlike employees of private companies, public employees in California must be afforded certain due process rights—known as *Skelly* rights (*Skelly v. State Personnel Bd.* (1975) 15 Cal.3d 194)—before being subject to termination or other adverse employment decisions. These *Skelly* due process rights must include "notice of the proposed action, the reasons therefor, a copy of the charges and materials upon which the action is based, and the right to respond, either orally or in writing," before the proposed discipline or termination can be made effective. (*Id.* at p. 215.)
- 49. California's statutory scheme, however, affords teachers with permanent employment status "super" due process rights—an astounding array of additional rights and privileges, which are significantly greater in scope and content than *Skelly* rights, before they may be terminated for unprofessional conduct or unsatisfactory performance. These rights and privileges are codified primarily in California Education Code section 44934 (the "Written Charges Statute"), California Education Code section 44938, subdivisions (b)(1) and (2) (the "Correct and Cure Statute"), and California Education Code section 44944 (the "Dismissal Hearing Statute") (collectively, the "Dismissal Statutes").
- 50. The Dismissal Statutes mandate that more than a dozen hurdles be cleared before a district can dismiss an underperforming teacher. These hurdles result in a labyrinthine dismissal

process requiring investigations, hearings, union grievances, administrative appeals, court challenges, and re-hearings—all of which can and often do take multiple years and cost hundreds of thousands, or even millions, of dollars.

51. The Dismissal Statutes require the following procedure to be followed in connection with attempting to dismiss a teacher for underperformance:



52. Recent studies have found that the Dismissal Statutes effectively prevent school administrators from dismissing teachers for poor performance. In the past decade, for example,

LAUSD officials spent \$3.5 million trying to dismiss seven of the district's teachers for poor classroom performance. The process endured for an average of five years per teacher, and the average cost of the process to the LAUSD was \$500,000 per teacher. Ultimately, only four of the seven teachers were dismissed, two of the teachers were paid large settlements, and one teacher remained employed.

- 53. In light of the difficulty, complexity, cost, and length of time associated with the removal process under the Dismissal Statutes, these proceedings are rarely initiated for unprofessional conduct or unsatisfactory performance alone. Further, when the dismissal process is initiated based on teacher performance, it rarely results in dismissal. Indeed, 80 percent of dismissals in the LAUSD are due to immoral or illegal conduct, not teaching performance.
- 54. When a school administrator believes a teacher to be grossly ineffective, the Dismissal Statutes often require the administrator to leave the teacher in the classroom for one or more years, in order to attempt to provide the documentation of ineffective performance necessary to initiate and prevail in dismissal proceedings. Even after the dismissal process has been initiated, school administrators are often forced to leave grossly ineffective teachers in the classroom throughout the dismissal process.
- 55. In the absence of the Dismissal Statutes, teachers would retain the same *Skelly* due process rights afforded to other California public employees.
- 56. On information and belief, in the absence of the Dismissal Statutes, school administrators could and would dismiss grossly ineffective teachers that, under the current system, they are compelled to leave in place. Under the current system, on information and belief, less than 0.002% of California's hundreds of thousands of teachers are dismissed for unprofessional conduct or unsatisfactory performance in any given year. This compares to the 1% of other California public employees dismissed annually for unprofessional conduct or unsatisfactory performance and the 8% of private employees dismissed annually for cause.
- 57. The Dismissal Statutes, alone and in conjunction with the other statutes at issue, ensure that a certain number of grossly ineffective teachers who are unable to prepare students to

compete in the economic marketplace or to participate in a democracy retain their employment in the California school system.

58. The Dismissal Statutes, alone and in conjunction with the other statutes at issue, also ensure that children of substantially equal age, aptitude, motivation, and ability do not have substantially equal access to education. Even within the same schoolhouse, some students are assigned to teachers who are grossly ineffective, while other students are assigned to teachers who are at least minimally effective.

### California's Last-In First-Out ("LIFO") Statute

- 59. California Education Code section 44955 (the "LIFO Statute") mandates the selection criteria by which teachers are to be included in any district-wide layoff. The LIFO Statute creates a seniority-based layoff system, irrespective of a teacher's performance, effectiveness, or quality.
- 60. The LIFO Statute requires that layoffs be conducted in accordance with seniority. It states, "[e]xcept as otherwise provided by statute, the services of no permanent employee may be terminated under the provisions of this section while any probationary employee, or any other employee with less seniority, is retained to render a service which said permanent employee is certificated and competent to render." (*Id.* § 44955, subd. (b).)
- 61. The LIFO Statute further requires that reassignments of positions be made based on seniority. It mandates that "[t]he governing board shall make assignments and reassignments in such a manner that employees shall be retained to render any service which their seniority and qualifications entitle them to render." (Ed. Code, § 44955, subd. (c).)
- 62. Seniority, defined as the number of years of teaching experience, is not an accurate predictor of teacher effectiveness, as recent studies have demonstrated. Yet the LIFO Statute mandates that the selection of teachers to be included in any layoff be governed primarily, if not exclusively, by seniority. For all practical purposes, the LIFO Statute prevents teacher effectiveness from being taken into account—to any extent or degree—in connection with layoff decisions.
- 63. In recent years, various school districts in California have been forced to implement district-wide layoffs. Those layoffs have been conducted in accordance with the LIFO Statute, forcing school administrators to lay off top-performing teachers with low seniority, and preventing

school administrators from laying off low-performing teachers with high seniority. In 2009, for example, quality-blind layoffs under the LIFO Statute resulted in the retention of thousands of low performing teachers who happened to have more years of experience than the teachers included in the layoffs. One study found that nearly 2,000 ELA teachers and more than 1,500 math teachers in the lowest quartile of teacher performance kept their jobs, while 20 percent of the ELA and math teachers laid off were in the top quartile of teacher performance.

- 64. On information and belief, in the absence of the LIFO Statute, school administrators forced to implement district-wide layoffs would select the teachers to be included in such layoffs based, in larger part or entirely, on the performance and effectiveness of those teachers and the outcome of their students.
- 65. The LIFO Statute also hinders recruitment of new teachers by creating an environment in which newly and recently hired teachers face a heightened risk of being laid off regardless of their performance.
- 66. The LIFO Statute, alone and in conjunction with the other statutes at issue, ensures that a certain number of grossly ineffective teachers who are unable to prepare students to compete in the economic marketplace or to participate in a democracy retain employment in the California school system.
- 67. The LIFO Statute, alone and in conjunction with the other statutes at issue, also ensures that children of substantially equal age, aptitude, motivation, and ability do not have substantially equal access to education. Even within the same school, some students are assigned to teachers who are grossly ineffective, while other students are assigned to teachers who are at least minimally effective.

### D. <u>The Challenged Statutes Have A Disproportionate Adverse Effect On Minority And Economically Disadvantaged Students</u>

- 68. The Challenged Statutes, separately and together, have a disproportionately adverse effect upon minority and economically disadvantaged students.
- 69. As described above, as a direct result of the Challenged Statutes, a certain number of grossly ineffective teachers who are unable to minimally prepare students to compete in the economic

marketplace or to participate in a democracy obtain and retain employment in the California public school system. Rather than dismiss grossly ineffective teachers for their poor performance, the Challenged Statutes cause school administrators to transfer those teachers to other schools within the district, a phenomenon sometimes referred to as the "dance of the lemons." Often, and disproportionately to the number of schools within a school district, grossly ineffective teachers are transferred to schools that serve high concentrations of economically disadvantaged students, students of color, and English learners.

- 70. Transfers of teachers from one school to another within a school district often occur en masse after district-wide layoffs. Because schools serving low-income students, students of color, and English learners typically have the highest concentrations of teachers with the lowest seniority, those schools are disproportionately affected by district-wide layoffs. As Judge Highberger of the Los Angeles Superior Court recently concluded, "[s]ubstantial evidence . . . has shown how budgetbased layoffs devastated the teaching corps at struggling LAUSD schools, removing dedicated teachers who were committed to teaching at those particular schools." (Reed v. California (Feb. 8, 2011, No. BC432420) [p. 29].) Judge Highberger also found that "[d]espite the fact that UTLA, LAUSD's incoming Superintendent, LAUSD administrators, LAUSD teachers, and Plaintiffs' experts all agree that layoffs at already struggling schools destroy students' educational opportunities, those are exactly the schools that are disproportionally hit in a purely seniority-based layoff." (Id. at p. 32.) One recent study showed that a school in the highest poverty quartile is 65 percent more likely to have a teacher laid off than a school in the lowest poverty quartile. As a result of senioritybased layoffs, the highest poverty schools in California are likely to lose 30 percent more teachers than wealthier schools. The disproportionate number of vacancies in those schools are then filled by transferring lower performing teachers, including grossly ineffective teachers, from other schools.
- 71. The result is that grossly ineffective teachers who are permanently employed by the California school system pursuant to the Challenged Statutes are disproportionately assigned to educate students in schools that serve the largest numbers of minority and economically disadvantaged students. The resulting real and appreciable harm to those students is predictable and well-documented: Although half of all California students are proficient in ELA as measured by

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California Standards Tests, only 37% of African-American students, 37% of Hispanic students, 36% of economically disadvantaged students, and 20% of English learners are proficient in ELA. Similarly, although 46% of all California students are proficient in Mathematics as measured by California Standards Tests, only 30% of African-American students, 36% of Hispanic students, 37% of economically disadvantaged students, and 32% of English learners are proficient in Mathematics. As the California Committee on Education Excellence summarized: "Students from low income families, many of them children of color and/or English Learners, are losing the most. The State of California has created a pattern of disparities—an achievement gap—in public schools that not only limits the opportunities for these students, but reinforces and enlarges the existing social inequalities confronting them—exactly opposite of the intended function of public education in a democracy."

### E. The Challenged Statutes, Individually And Collectively, Violate California Students' Right to Equal Protection

- 72. As a direct result of the Challenged Statutes, both individually and collectively, a certain number of grossly ineffective teachers obtain permanent employment within the California public school system, and retain employment despite their grossly ineffective performance. In the absence of the Challenged Statutes, most, if not all, of these grossly ineffective teachers would not obtain permanent employment in the California public school system or, if they obtained permanent employment, would be dismissed for their poor performance. In addition, in the absence of the Challenged Statutes, school administrators would have the flexibility to attract teachers of superior performance to California's public schools, retain high-performing teachers even during economic layoffs, and provide incentives to encourage teachers to become or remain high performers. Instead, the Challenged Statutes prevent school administrators from making employment and dismissal decisions that serve the interest of California's students in having effective teachers. Such a system has a real and appreciably negative impact on the education that certain California public school students receive.
- 73. <u>Classroom-To-Classroom (Intra-School) Disparity</u>: As a direct result of the Challenged Statutes, both individually and collectively, a subset of K-12 students in California public schools have been, and will continue to be, assigned to teachers who are grossly ineffective. The

students comprising that subset attend schools all over the State, including schools that are considered to be average or above-average in overall educational performance. Further, the students comprising that subset are of substantially the same age, aptitude, motivation, and ability as the larger group of students who receive the prevailing statewide standard of teachers who are not grossly ineffective. The Challenged Statutes therefore create an arbitrary distinction between students who receive the prevailing statewide standard education and students who receive their education from one or more grossly ineffective teachers. California has no compelling interest in creating such arbitrary distinctions between students, or in laws that have a real and appreciably negative impact on an arbitrary subset of students' fundamental right to education. In the absence of the Challenged Statutes, few, if any, of the grossly ineffective teachers would retain their employment in the California school system, and students would not face the arbitrary risk of being assigned to those teachers. As such, the Challenged Statutes violate the equal protection provisions of the California Constitution by arbitrarily assigning certain students to one or more grossly ineffective teachers.

School-To-School (Inter-School) Disparity: As a direct result of the Challenged 74. Statutes, both individually and collectively, a subset of California public schools have more than their proportionate share of teachers who are grossly ineffective. The students attending those schools are of substantially the same age, aptitude, motivation, and ability as the larger group of students who, in accordance with the prevailing statewide standard, attend schools that have a proportionate share (or less than their proportionate share) of teachers who are grossly ineffective. The Challenged Statutes therefore create an arbitrary distinction between schools that provide their students with the prevailing statewide standard education (or better) and schools in which students are more likely to receive their education from one or more grossly ineffective teachers. California has no compelling interest in creating such arbitrary distinctions between the students in different schools, or in laws that have a real and appreciably negative impact on an arbitrary subset of students' fundamental right to education. In the absence of the Challenged Statutes, few, if any, of the grossly ineffective teachers would retain their employment in the California school system, and students would not face the arbitrary risk of being assigned to those teachers. As such, the Challenged Statutes violate the equal protection provisions of the California Constitution.

75. <u>Disparate Impact</u> : As a direct result of the Challenged Statutes, both individually and
collectively, the subset of California public schools that have more than their proportionate share of
grossly ineffective teachers serve predominantly minority and economically disadvantaged students.
Thus, the Challenged Statutes have a disparate impact on minority and economically disadvantaged
students, infringing on their fundamental right to education to a greater degree than other students in
California. California has no compelling interest in creating such race-based or wealth-based
distinctions between students. As such, the Challenged Statutes violate the equal protection
provisions of the California Constitution.
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76. Plaintiff Beatriz Vergara is an example of a student suffering all three injuries. She attends a public school that serves predominantly minority and economically disadvantaged students. The student body at Plaintiff Vergara's school is 91 percent Hispanic and 6 percent African-American. Approximately 82 percent of her classmates receive a free or reduced-priced lunch. And the school's Academic Performance Index ("API") of 660 is far below the statewide target of 800. On information and belief, Plaintiff Vergara has been assigned to, and/or is at substantial risk of being assigned to, a grossly ineffective teacher, at the same time that students in other classrooms in the same school are assigned to effective teachers. Further, on information and belief, Plaintiff Vergara is disproportionately more likely to be assigned to grossly ineffective teachers, and is likely to be assigned to more grossly ineffective teachers, than students who attend other schools because she attends a public school that has more than its proportionate share of such teachers.

### **CLAIMS FOR RELIEF**

## CLAIM ONE: EQUAL PROTECTION, FUNDAMENTAL INTEREST (PERMANENT EMPLOYMENT STATUTE)

- 77. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.
- 78. The Permanent Employment Statute violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.

- 79. Education is a fundamental interest for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (Art. IX, §§ 1 & 5; *Serrano II, supra*, 18 Cal. 3d at pp. 764-65).
- 80. The Permanent Employment Statute violates the equal protection provisions of the California Constitution because it has a real and appreciably negative impact on an arbitrary subset of children of substantially equal age, aptitude, motivation, and ability, depriving them of substantially equal access to an education sufficient to equip them with the critical, fundamental tools minimally necessary to compete in the economic marketplace and to participate in a democratic society.

## CLAIM TWO: EQUAL PROTECTION, FUNDAMENTAL INTEREST (DISMISSAL STATUTES)

- 81. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.
- 82. The Dismissal Statutes violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.
- 83. Education is a fundamental interest for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (Art. IX, §§ 1 & 5; *Serrano II, supra*, 18 Cal. 3d at pp. 764-65).
- 84. The Dismissal Statutes violate the equal protection provisions of the California Constitution because it has a real and appreciably negative impact on an arbitrary subset of children of substantially equal age, aptitude, motivation, and ability, depriving them of substantially equal access to an education sufficient to equip them with the critical, fundamental tools minimally necessary to compete in the economic marketplace and to participate in a democratic society.

# CLAIM THREE: EQUAL PROTECTION, FUNDAMENTAL INTEREST (LIFO STATUTE)

- 85. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.
- 86. The LIFO Statute violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.

- 87. Education is a fundamental interest for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (Art. IX, §§ 1 & 5; *Serrano II, supra*, 18 Cal. 3d at pp. 764-65).
- 88. The LIFO Statute violates the equal protection provisions of the California Constitution because it has a real and appreciably negative impact on an arbitrary subset of children of substantially equal age, aptitude, motivation, and ability, depriving them of substantially equal access to an education sufficient to equip them with the critical, fundamental tools minimally necessary to compete in the economic marketplace and to participate in a democratic society.

## CLAIM FOUR: EQUAL PROTECTION, SUSPECT CLASSES (PERMANENT EMPLOYMENT STATUTE)

- 89. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.
- 90. The Permanent Employment Statute violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.
- 91. Both race and wealth are suspect classes for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (*Serrano II*, *supra*, 18 Cal. 3d at p. 766, fn. 45).
- 92. The Permanent Employment Statute violates the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of race.
- 93. The Permanent Employment Statute violates the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of the wealth of the children's parents and neighbors.

# CLAIM FIVE: EQUAL PROTECTION, SUSPECT CLASSES (DISMISSAL STATUTES)

94. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.

- 95. The Dismissal Statutes violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.
- 96. Both race and wealth are suspect classes for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (*Serrano II*, *supra*, 18 Cal. 3d at p. 766, fn. 45).
- 97. The Dismissal Statutes violate the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of race.
- 98. The Dismissal Statutes violate the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of the wealth of the children's parents and neighbors.

## CLAIM SIX: EQUAL PROTECTION, SUSPECT CLASSES (LIFO STATUTE)

- 99. Plaintiffs incorporate by reference the foregoing paragraphs as though fully set forth herein.
- 100. The LIFO Statute violates the equal protection provisions of the California Constitution (art. I, § 7; *id.* art. IV, § 16), both on its face and as applied to Plaintiffs.
- 101. Both race and wealth are suspect classes for purposes of evaluating statutes in light of the California Constitution's provisions guaranteeing equal protection of the laws. (*Serrano II*, *supra*, 18 Cal. 3d at p. 766, fn. 45).
- 102. The LIFO Statute violates the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of race.
- 103. The LIFO Statute violates the equal protection provisions of the California Constitution because it makes the quality of education for school age children in California a function of the wealth of the children's parents and neighbors.

## CLAIM SEVEN: DECLARATORY RELIEF (ALL CHALLENGED STATUTES)

- 104. Plaintiffs incorporate by reference the foregoing paragraphs of this Complaint as though fully set forth herein.
- 105. An actual and justiciable controversy exists between the Plaintiffs and Defendants because Plaintiffs contend, and Defendants dispute, that Defendants' actions and inactions as described above have violated the constitutional provisions cited herein.
- 106. Plaintiffs seek a declaration that the Permanent Employment Statute, the Written Charges Statute, the Correct and Cure Statute, the Dismissal Hearing Statute, and the LIFO Statute separately and together violate the right to equal protection, both facially and as applied to Plaintiffs.

### PRAYER FOR RELIEF

WHEREFORE, Plaintiffs pray for judgment as follows:

- 1. Plaintiffs respectfully request that this Court enter a declaratory judgment stating that the Permanent Employment Statute, the Written Charges Statute, the Correct and Cure Statute, the Dismissal Hearing Statute, and the LIFO Statute, separately and together, violate the equal protection provisions of the California Constitution.
- 2. Plaintiffs respectfully request that this Court enter a permanent injunction enjoining the enforcement, application, or implementation of the Permanent Employment Statute, the Written Charges Statute, the Correct and Cure Statute, the Dismissal Hearing Statute, and the LIFO Statute.
- 3. Plaintiffs respectfully request that this Court enter a permanent injunction enjoining Defendants from implementing at any time in the future, by law or by contract, any system of teacher employment, retention and dismissal that is substantially similar to the framework implemented by the Challenged Statutes, in that it (1) vests in teachers greater protections against dismissal than the *Skelly* rights applicable to other California state employees, or (2) prevents school administrators from meaningfully considering teacher effectiveness when making employment, retention and termination decisions about teachers.

- 4. Plaintiffs respectfully request that this Court retain continuing jurisdiction over this matter until such time as the Court has determined that Defendants have fully and properly complied with its Orders.
- 5. Plaintiffs respectfully request an award of costs, disbursements, and reasonable attorneys' fees and expenses pursuant to section 1021.5 of the California Code of Civil Procedure.

Dated: May 14, 2012

GIBSON, DUNN & CRUTCHER LLP

By: Theodore J. Boutrous, Jr. /16
Theodore J. Boutrous, Jr.

Attorneys for Plaintiffs Beatriz Vergara, et al.