

Form **990**

**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**A** For the 2014 calendar year, or tax year beginning **APR 1, 2014** and ending **MAR 31, 2015**

|  |  |  |
|--|--|--|
| <b>B</b> Check if applicable:<br><br><input type="checkbox"/> Address change<br><input type="checkbox"/> Name change<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return/terminated<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Application pending | <b>C</b> Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b><br><br>Doing business as<br>Number and street (or P.O. box if mail is not delivered to street address) Room/suite<br><b>125 BROAD STREET, 18TH FLOOR</b><br><br>City or town, state or province, country, and ZIP or foreign postal code<br><b>NEW YORK, NY 10004</b><br><br><b>F</b> Name and address of principal officer: <b>ANTHONY D. ROMERO</b><br><b>SAME AS C ABOVE</b> | <b>D</b> Employer identification number<br><b>13-3871360</b><br><br><b>E</b> Telephone number<br><b>212-549-2500</b><br><br><b>G</b> Gross receipts \$ <b>50,629,173.</b><br><br><b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No<br>If "No," attach a list. (see instructions)<br><br><b>H(c)</b> Group exemption number ▶ |
| <b>I</b> Tax-exempt status: <input type="checkbox"/> 501(c)(3) <input checked="" type="checkbox"/> 501(c)( <b>4</b> ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527   |  |  |
| <b>J</b> Website: ▶ <b>WWW.ACLU.ORG</b>  |  |  |
| <b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶  |  | <b>L</b> Year of formation: <b>1920</b>  |
| <b>M</b> State of legal domicile: <b>DC</b>  |  |  |

**Part I Summary**

|                                    |  |                                  |                     |
|------------------------------------|--|----------------------------------|---------------------|
|                                    | <b>1</b> Briefly describe the organization's mission or most significant activities: <b>PRESERVATION AND PROMOTION OF CIVIL RIGHTS AND CIVIL LIBERTIES</b> |                                  |                     |
| <b>Activities &amp; Governance</b> | <b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.           |                                  |                     |
|                                    | <b>3</b> Number of voting members of the governing body (Part VI, line 1a) .....   | <b>3</b>                         | <b>76</b>           |
|                                    | <b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) .....   | <b>4</b>                         | <b>76</b>           |
|                                    | <b>5</b> Total number of individuals employed in calendar year 2014 (Part V, line 2a) .....  | <b>5</b>                         | <b>120</b>          |
|                                    | <b>6</b> Total number of volunteers (estimate if necessary) .....  | <b>6</b>                         | <b>87</b>           |
|                                    | <b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12 .....   | <b>7a</b>                        | <b>101,217.</b>     |
|                                    | <b>b</b> Net unrelated business taxable income from Form 990-T, line 34 .....  | <b>7b</b>                        | <b>-71,027.</b>     |
| <b>Revenue</b>                     | <b>8</b> Contributions and grants (Part VIII, line 1h) .....   | <b>Prior Year</b>                | <b>Current Year</b> |
|                                    | <b>9</b> Program service revenue (Part VIII, line 2g) .....  | <b>36,824,175.</b>               | <b>50,508,454.</b>  |
|                                    | <b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....  | <b>0.</b>                        | <b>0.</b>           |
|                                    | <b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....   | <b>13,412.</b>                   | <b>18,678.</b>      |
|                                    | <b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) .....   | <b>95,540.</b>                   | <b>101,836.</b>     |
|                                    | <b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....   | <b>36,933,127.</b>               | <b>50,628,968.</b>  |
| <b>Expenses</b>                    | <b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) .....  | <b>1,570,083.</b>                | <b>4,827,749.</b>   |
|                                    | <b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....  | <b>0.</b>                        | <b>0.</b>           |
|                                    | <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) .....   | <b>6,971,362.</b>                | <b>7,716,251.</b>   |
|                                    | <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>4,719,747.</b>   | <b>1,277,910.</b>                | <b>1,429,817.</b>   |
|                                    | <b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....   | <b>27,211,105.</b>               | <b>28,001,114.</b>  |
|                                    | <b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....  | <b>37,030,460.</b>               | <b>41,974,931.</b>  |
| <b>Net Assets or Fund Balances</b> | <b>19</b> Revenue less expenses. Subtract line 18 from line 12 .....   | <b>-97,333.</b>                  | <b>8,654,037.</b>   |
|                                    | <b>20</b> Total assets (Part X, line 16) .....   | <b>Beginning of Current Year</b> | <b>End of Year</b>  |
|                                    | <b>21</b> Total liabilities (Part X, line 26) .....  | <b>27,488,272.</b>               | <b>83,794,932.</b>  |
|                                    | <b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....   | <b>38,466,524.</b>               | <b>60,752,478.</b>  |
|                                    |  | <b>-10,978,252.</b>              | <b>23,042,454.</b>  |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

|                               |   |   |                               |
|-------------------------------|---|---|-------------------------------|
| <b>Sign Here</b>              | Signature of officer<br><b>TERENCE DOUGHERTY, COO/GENERAL COUNSEL/ASST TREAS</b><br>Type or print name and title      | Date  |                               |
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name<br><b>MARTIN GREIF</b>   | Preparer's signature<br>                        | Date<br><b>9/28/15</b>        |
|                               | Firm's name ▶ <b>MCGLADREY LLP</b><br>Firm's address ▶ <b>1185 AVENUE OF THE AMERICAS<br/>NEW YORK, NY 10036-2602</b> | Check if self-employed <input type="checkbox"/> | PTIN<br><b>P00029738</b>      |
|                               |   | Firm's EIN ▶ <b>42-0714325</b>                  | Phone no. <b>212-372-1000</b> |

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: AS NOTED IN ITS ARTICLES OF INCORPORATION, THE MISSION OF THE ACLU IS "TO MAINTAIN AND ADVANCE CIVIL LIBERTIES, INCLUDING, WITHOUT LIMITATION, THE FREEDOMS OF ASSOCIATION, PRESS, RELIGION AND SPEECH, AND THE RIGHTS TO THE FRANCHISE, TO DUE PROCESS OF LAW, AND TO EQUAL

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 10,630,628. including grants of \$ 12,000.) (Revenue \$ ) AFFILIATE SUPPORT - THE ACLU HAS AN AFFILIATE OR CHAPTER IN EVERY STATE AND IN PUERTO RICO. AFFILIATES HANDLE REQUESTS FOR LEGAL ASSISTANCE, LOBBY STATE LEGISLATURES AND HOST EDUCATIONAL FORUMS THROUGHOUT THE YEAR. THE NATIONAL ACLU COORDINATES FUNDRAISING EFFORTS WITH ITS AFFILIATES AND SHARES THE PROCEEDS OF FUNDRAISING EFFORTS WITH AFFILIATES IN ACCORDANCE WITH A DETAILED POLICY. THROUGH ITS AFFILIATE SUPPORT DEPARTMENT, THE NATIONAL ACLU PROVIDES GRANTS AND SUPPORT TO AFFILIATES ON SPECIFIC INITIATIVES AND PROJECTS THAT HAVE BEEN IDENTIFIED AS INVOLVING MATTERS OF BOTH LOCAL/REGIONAL AND NATIONAL SIGNIFICANCE. THE AFFILIATE SUPPORT DEPARTMENT PROVIDES ONGOING TRAINING AND TECHNICAL ASSISTANCE TO AFFILIATES ON A VARIETY OF TOPICS OF RELEVANCE. THE \$10,630,628 OF EXPENSES INCLUDES GRANTS TO

4b (Code: ) (Expenses \$ 12,597,221. including grants of \$ ) (Revenue \$ ) EDUCATION - THROUGH NEWSLETTERS, ITS COMPREHENSIVE WEBSITE, ADVERTISEMENTS, OP-ED ARTICLES, MEDIA INTERVIEWS, PUBLICATIONS, SOCIAL MEDIA, AND NUMEROUS MEETINGS AND WORKSHOPS CONDUCTED IN COLLABORATION WITH ITS AFFILIATES THROUGHOUT THE US, THE ACLU PROVIDES ONGOING EDUCATION TO ITS 500,000 MEMBERS AND TO THE PUBLIC AT LARGE CONCERNING A WIDE RANGE OF CIVIL LIBERTIES ISSUES. THE ORGANIZATION'S EDUCATIONAL CAMPAIGNS EMPHASIZE FIRST AMENDMENT RIGHTS TO FREE SPEECH, ASSOCIATION AND ASSEMBLY; THE RIGHT TO EQUAL PROTECTION UNDER THE LAW; THE RIGHT TO DUE PROCESS AND TO FAIR TREATMENT WHEN THE LOSS OF LIBERTY OR PROPERTY IS AT STAKE; AND THE RIGHT TO PRIVACY AND FREEDOM FROM UNWARRANTED GOVERNMENT INTRUSION INTO PERSONAL AND PRIVATE AFFAIRS.

4c (Code: ) (Expenses \$ 11,250,799. including grants of \$ 4,815,749.) (Revenue \$ ) LEGISLATIVE ADVOCACY - THE ACLU'S LEGISLATIVE ADVOCATES ARE A CONSTANT PRESENCE ON CAPITOL HILL AND IN STATE LEGISLATURES, WHERE THEY WORK TO ADDRESS CIVIL LIBERTIES ISSUES. BASED PRIMARILY IN THE ACLU'S WASHINGTON, DC OFFICE, THE ORGANIZATION'S LEGISLATIVE POLICY TEAM WORKS TO ENSURE THAT PROPOSED LEGISLATION MOVES TOWARDS, RATHER THAN AWAY, FROM THE CIVIL LIBERTIES GOALS OF THE ORGANIZATION. WORKING IN COLLABORATION WITH STAFF FROM ACLU AFFILIATES ACROSS THE COUNTRY AND IN COALITION WITH OTHER GROUPS WITH A SHARED INTEREST IN SPECIFIC CIVIL LIBERTIES ISSUES, THE ACLU CONDUCTS RESEARCH, PUBLISHES POSITION PAPERS, HOSTS FORUMS FOR THE PUBLIC, AND MEETS WITH KEY LEGISLATORS AND MEMBERS OF THEIR STAFFS TO DISCUSS STRATEGIES TO PROTECT CIVIL LIBERTIES AND RIGHTS.

4d Other program services (Describe in Schedule O.) (Expenses \$ 350,378. including grants of \$ ) (Revenue \$ )

4e Total program service expenses 34,829,026.

**Part IV Checklist of Required Schedules**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?<br><i>If "Yes," complete Schedule A</i> .....  |     | X  |
| <b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? .....   | X   |    |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> .....  |     | X  |
| <b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> .....   |     |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> .....   | X   |    |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> .....  |     | X  |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> .....  |     | X  |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> .....   |     | X  |
| <b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> .....            |     | X  |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> .....   |     | X  |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.   |     |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> .....   |     | X  |
| <b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> .....   |     | X  |
| <b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> .....   |     | X  |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> .....  | X   |    |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> .....   | X   |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> .....  | X   |    |
| <b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> .....  |     | X  |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year?<br><i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> .....  | X   |    |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> .....  |     | X  |
| <b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? .....  |     | X  |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> ..... |     | X  |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> .....   |     | X  |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> .....   |     | X  |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> .....   | X   |    |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> .....   |     | X  |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> .....   |     | X  |
| <b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....   |     | X  |
| <b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....   |     |    |

**Part IV Checklist of Required Schedules** (continued)

|     |  | Yes | No |
|-----|--|-----|----|
| 21  | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....   | X   |    |
| 22  | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....   |     | X  |
| 23  | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....  | X   |    |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....                            |     | X  |
| b   | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....  |     |    |
| c   | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....   |     |    |
| d   | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....  |     |    |
| 25a | <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....   |     | X  |
| b   | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....                                       |     | X  |
| 26  | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> .....                                 |     | X  |
| 27  | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> ..... |     | X  |
| 28  | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):  |     |    |
| a   | A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....   |     | X  |
| b   | A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....  |     | X  |
| c   | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....  |     | X  |
| 29  | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....  | X   |    |
| 30  | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....  |     | X  |
| 31  | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....  |     | X  |
| 32  | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....  |     | X  |
| 33  | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....  |     | X  |
| 34  | Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....  | X   |    |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....  | X   |    |
| b   | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....   | X   |    |
| 36  | <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....   |     |    |
| 37  | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....   |     | X  |
| 38  | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? .....   | X   |    |

**Note.** All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question numbers (1a-14b), Yes/No checkboxes, and numerical responses (72, 0, 120). Includes questions about Form 1096, Form W-2G, Form W-3, and various tax compliance issues.

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

|   |    | Yes | No |
|---|----|-----|----|
| <b>1a</b> Enter the number of voting members of the governing body at the end of the tax year   | 1a |     | 76 |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.             |    |     |    |
| <b>b</b> Enter the number of voting members included in line 1a, above, who are independent   | 1b |     | 76 |
| <b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?  | 2  |     | X  |
| <b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3  |     | X  |
| <b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?   | 4  |     | X  |
| <b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?   | 5  |     | X  |
| <b>6</b> Did the organization have members or stockholders?   | 6  | X   |    |
| <b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?  | 7a | X   |    |
| <b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?  | 7b | X   |    |
| <b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:  |    |     |    |
| <b>a</b> The governing body?  | 8a | X   |    |
| <b>b</b> Each committee with authority to act on behalf of the governing body?  | 8b | X   |    |
| <b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O         | 9  |     | X  |

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

|   |     | Yes | No |
|---|-----|-----|----|
| <b>10a</b> Did the organization have local chapters, branches, or affiliates?   | 10a | X   |    |
| <b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?   | 10b | X   |    |
| <b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | 11a | X   |    |
| <b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.  |     |     |    |
| <b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13  | 12a | X   |    |
| <b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?  | 12b | X   |    |
| <b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done   | 12c | X   |    |
| <b>13</b> Did the organization have a written whistleblower policy?   | 13  | X   |    |
| <b>14</b> Did the organization have a written document retention and destruction policy?  | 14  | X   |    |
| <b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  |     |     |    |
| <b>a</b> The organization's CEO, Executive Director, or top management official   | 15a | X   |    |
| <b>b</b> Other officers or key employees of the organization  | 15b |     | X  |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).   |     |     |    |
| <b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | 16a |     | X  |
| <b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b |     |    |

**Section C. Disclosure**

- 17** List the states with which a copy of this Form 990 is required to be filed **AK, AR, AZ, CA, CO, CT, DC, FL, GA, HI, IL, KS**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website     Another's website     Upon request     Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records: **TERENCE DOUGHERTY - 212-549-2500**  
**125 BROAD STREET, 18TH FLOOR, NEW YORK, NY 10004**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and Title                           | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|   |   | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (1) ACEVES, WILLIAM<br>DIRECTOR                 | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (2) ADAMS, MARK<br>DIRECTOR                     | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (3) ALVARADO, LI YUN<br>DIRECTOR                | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (4) ANDERSON, KAREN<br>DIRECTOR                 | 3.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (5) ANGELOS, CLAUDIA<br>DIRECTOR                | 2.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (6) ARCHER, DEBORAH<br>DIRECTOR                 | 2.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (7) AVILA, REBECCA (THRU 12/31/14)<br>DIRECTOR  | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (8) AYERS, MARK<br>DIRECTOR                     | 2.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (9) BARTH, JAY<br>DIRECTOR                      | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (10) BEEBE, MARC<br>DIRECTOR                    | 2.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (11) BEEM, MARC<br>DIRECTOR                     | 3.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (12) BENDICH, JUDITH (THRU 9/30/14)<br>DIRECTOR | 2.50  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (13) BEREANO, PHIL<br>DIRECTOR                  | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (14) BOBROW, DAVIS<br>DIRECTOR                  | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (15) BROWN, ANTONIO (THRU 9/30/14)<br>DIRECTOR  | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (16) BROWN, MILLICENT<br>DIRECTOR               | 2.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |
| (17) BUITRAGO, LUZ<br>DIRECTOR                  | 3.00  | X   |                       |         |              |                              | 0.     | 0.   | 0.  |   |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |   | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (18) CARLSON, M. SUSAN<br>DIRECTOR                             | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (19) CHEN, RONALD<br>DIRECTOR                                  | 2.50<br>2.50  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (20) CHESTER, ROBERT<br>DIRECTOR                               | 4.50<br>3.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (21) COLKER, RUTH<br>DIRECTOR                                  | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (22) CUNITZ, CHASEN (FROM 6/2014)<br>DIRECTOR                  | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (23) DANELO, PETER<br>DIRECTOR                                 | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (24) DAWSON-EDWARDS, CHERIE<br>DIRECTOR (FROM 6/2014)          | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (25) EARLS, LINDSAY<br>DIRECTOR                                | 2.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (26) ESTES, SUSAN<br>DIRECTOR                                  | 2.50  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| <b>1b Sub-total</b>  |   |   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| <b>c Total from continuation sheets to Part VII, Section A</b> |   |   |                       |         |              |                              |        | 2,552,699.   | 1,266,861.  | 642,357.  |
| <b>d Total (add lines 1b and 1c)</b>                           |   |   |                       |         |              |                              |        | 2,552,699.   | 1,266,861.  | 642,357.  |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **30**

|  | Yes | No |
|--|-----|----|
| 3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual  | 3 X |    |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | 4 X |    |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person                       | 5   | X  |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address   | (B)<br>Description of services | (C)<br>Compensation |
|--|--------------------------------|---------------------|
| GRASSROOTS CAMPAIGNS, INC.<br>PO BOX 2517, DENVER, CO 80201                    | CANVASSING                     | 2,806,726.          |
| CONTROL GROUP, INC., 233 BROADWAY. 21ST FLOOR, NEW YORK, NY 10279              | IT SERVICES                    | 1,356,764.          |
| ACTION MAILING, INC.<br>90 COMMERCE DRIVE, ASTON, PA 19014                     | PRINTING AND PUBLISHING        | 1,185,630.          |
| PRINT MAIL COMMUNICATIONS, INC., 4333 DAVENPORT ROAD, FREDERICKSBURG, VA 22408 | PRINTING AND PUBLISHING        | 1,136,629.          |
| CHERRY LANE PRINTING AND MAILING<br>15 EAST BETHPAGE ROAD, PLAINVIEW, NY 11803 | PRINTING AND PUBLISHING        | 840,639.            |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **36**

SEE PART VII, SECTION A CONTINUATION SHEETS



**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title   | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---|---|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|   |   | Individual trustee or director         | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (27) FOX, TIM<br>DIRECTOR                                     | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (28) FRIDELL, LORIE<br>DIRECTOR                               | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (29) GALE, MARY ELLEN<br>DIRECTOR                             | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (30) GILCHRIST, BRUCE<br>DIRECTOR                             | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (31) GILCHRIST, LIZ<br>DIRECTOR                               | 3.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (32) GODIN, KATHERINE<br>DIRECTOR                             | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (33) GOODWIN, MICHELE (FROM 4/2014)<br>DIRECTOR               | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (34) GOYAL, MADAN<br>DIRECTOR                                 | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (35) GREENWOOD, SCOTT<br>DIRECTOR, GENERAL COUNSEL            | 3.00<br>2.50  | X                                      | X                     |         |              |                              |        | 0.   | 0.  | 0.  |
| (36) GRIFFITH, TRACI<br>DIRECTOR                              | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (37) GUNNING, ISABELLE<br>DIRECTOR                            | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (38) HASAN, REHAN<br>DIRECTOR                                 | 3.00<br>2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (39) HERMAN, SUSAN<br>DIRECTOR, PRESIDENT                     | 4.50<br>4.50  | X                                      | X                     |         |              |                              |        | 0.   | 0.  | 0.  |
| (40) HERNANDEZ, MARY (FROM 4/2014)<br>DIRECTOR                | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (41) HERRON, AUNDRE<br>DIRECTOR                               | 3.50<br>3.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (42) HONG, JEFFREY (FROM 4/2014)<br>DIRECTOR                  | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (43) HONIG, LISA (FROM 4/2014)<br>DIRECTOR                    | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (44) HOSSAIN, IQBAL<br>DIRECTOR                               | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (45) HSIEH, MARINA (THRU 9/30/14)<br>DIRECTOR, VICE PRESIDENT | 3.00  | X                                      | X                     |         |              |                              |        | 0.   | 0.  | 0.  |
| (46) JACOBS, GILDA (FROM 1/2015)<br>DIRECTOR                  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| Total to Part VII, Section A, line 1c .....                   |   |  |                       |         |              |                              |        |  |   |   |

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title                            | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |   | Individual trustee or director         | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (47) JARAMILLO, ANNABELLE<br>DIRECTOR            | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (48) JOSEPH, SHELAN (THRU 9/30/14)<br>DIRECTOR   | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (49) JUDGE, DONITA<br>DIRECTOR                   | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (50) KAPLAN, ARTHUR<br>DIRECTOR                  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (51) KASHANI, HAMID<br>DIRECTOR                  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (52) KASSAM-REMTULLA, ALY<br>DIRECTOR            | 2.50<br>2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (53) KAY, SUSAN<br>DIRECTOR                      | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (54) KENNEDY, JACK, JR.<br>DIRECTOR              | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (55) KNOX, RIVKO (FROM 4/2014)<br>DIRECTOR       | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (56) LASKOWSKI, JOAN<br>DIRECTOR                 | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (57) LAND, RODERIC (FROM 1/2015)<br>DIRECTOR     | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (58) LESTER, MARK (THRU 12/31/14)<br>DIRECTOR    | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (59) LEWIS, M. CALIEN<br>DIRECTOR                | 5.00<br>2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (60) LITMAN, ROSLYN<br>DIRECTOR, GENERAL COUNSEL | 5.50<br>2.50  | X                                      |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (61) LOPEZ, MARIANITA<br>DIRECTOR                | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (62) MAHONEY, CARLOS<br>DIRECTOR                 | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (63) MUJUMDAR, ANIL (FROM 1/2015)<br>DIRECTOR    | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (64) NIMEH-LEWIS, NAHLA<br>DIRECTOR              | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (65) OSBY, OLGA<br>DIRECTOR                      | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (66) PAINE, GALEN (THRU 5/31/14)<br>DIRECTOR     | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| Total to Part VII, Section A, line 1c            |   |  |                       |         |              |                              |        |  |   |   |

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title                            | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |   | Individual trustee or director         | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (67) PAZ, R. SAMUEL<br>DIRECTOR                  | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (68) REMAR, ROBERT<br>DIRECTOR, VP, TREASURER    | 5.50<br>3.50  | X                                      |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (69) RUBIO, SIGFREDO<br>DIRECTOR                 | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (70) RUSSELL, MARGARET<br>DIRECTOR               | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (71) SCHATZ, ANDY<br>DIRECTOR                    | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (72) SCHNEIDER, MICHAEL<br>DIRECTOR              | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (73) SELF, ELEANOR (THRU 5/31/14)<br>DIRECTOR    | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (74) SEYMORE, LESLIE<br>DIRECTOR                 | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (75) SHEINBERG, JILL (THRU 12/31/14)<br>DIRECTOR | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (76) SHEPARD, SARAH<br>DIRECTOR                  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (77) STRINE, PEGGY<br>DIRECTOR                   | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (78) STRONG, SIMONE (THRU 12/31/14)<br>DIRECTOR  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (79) STRUM, PHILIPPA<br>DIRECTOR, VP, SECRETARY  | 2.50  | X                                      |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (80) TCHENG, CONNIE (FROM 1/2015)<br>DIRECTOR    | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (81) THOMAS, LOUIS (FROM 4/2014)<br>DIRECTOR     | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (82) TYLER, RONALD<br>DIRECTOR, GENERAL COUNSEL  | 3.00<br>2.50  | X                                      |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (83) VOPALKA, SANDY<br>DIRECTOR                  | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (84) WENDELBERGER, JERALYN<br>DIRECTOR           | 2.50  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (85) WILLIAMS, GARY<br>DIRECTOR, VICE PRESIDENT  | 2.50<br>2.50  | X                                      |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (86) WILSON, RON<br>DIRECTOR                     | 2.00  | X                                      |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| Total to Part VII, Section A, line 1c .....      |   |  |                       |         |              |                              |        |  |   |   |

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title   | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---|---|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|   |   | Individual trustee or director         | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (87) FRANK ASKIN<br>GENERAL COUNSEL                               | 2.00  |  |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (88) ANTHONY D. ROMERO<br>EXECUTIVE DIRECTOR/CEO                  | 14.00<br>26.00  |  |                       | X       |              |                              |        | 468,564.   | 0.  | 36,817.   |
| (89) TERENCE DOUGHERTY<br>COO & GENERAL COUNSEL/ASST TREAS        | 14.00<br>26.00  |  |                       | X       |              |                              |        | 353,129.   | 0.  | 40,114.   |
| (90) DOROTHY M. EHRLICH<br>DEPUTY EXEC. DIRECTOR/ASST SECRETARY   | 14.00<br>26.00  |  |                       |         | X            |                              |        | 389,861.   | 0.  | 84,884.   |
| (91) LAURA W. MURPHY (THRU 2/2015)<br>DIR WASHINGTON LEGIS OFFIC  | 14.00<br>26.00  |  |                       |         | X            |                              |        | 336,294.   | 0.  | 19,632.   |
| (92) MARK WIER<br>CHIEF DEVELOPMENT OFFICER                       | 6.00<br>34.00   |  |                       |         | X            |                              |        | 0.   | 277,395.  | 33,205.   |
| (93) GERI ROZANSKI<br>DIR AFFILIATE SUPPORT & ADVOCACY            | 0.00<br>40.00   |  |                       |         | X            |                              |        | 0.   | 310,123.  | 74,564.   |
| (94) STEVEN SHAPIRO<br>LEGAL DIRECTOR                             | 0.00<br>40.00   |  |                       |         | X            |                              |        | 0.   | 352,468.  | 72,874.   |
| (95) EMILY TYNES<br>DIRECTOR OF COMMUNICATIONS                    | 0.00<br>40.00   |  |                       |         | X            |                              |        | 0.   | 326,875.  | 86,584.   |
| (96) DAVID S. BAIRD<br>DIRECTOR OF OPERATIONS                     | 14.00<br>26.00  |  |                       |         |              | X                            |        | 157,641.   | 0.  | 49,224.   |
| (97) GERALDINE LYNN ENGEL<br>DEPUTY DIRECTOR OF DEVELOPMENT       | 14.00<br>26.00  |  |                       |         | X            |                              |        | 159,172.   | 0.  | 47,211.   |
| (98) HENRY ARMBRUSTER<br>CONTROLLER/DIR ACCOUNTING                | 14.00<br>26.00  |  |                       |         | X            |                              |        | 156,034.   | 0.  | 21,530.   |
| (99) MICHAEL W. MACLEOD-BALL<br>CHIEF OF STAFF/1ST AMEND. COUNSEL | 14.00<br>26.00  |  |                       |         | X            |                              |        | 165,133.   | 0.  | 51,909.   |
| (100) ERIC J. VIELAND<br>DEPUTY GENERAL COUNSEL/DIR RISK MGMT     | 14.00<br>26.00  |  |                       |         | X            |                              |        | 194,371.   | 0.  | 23,809.   |
| (101) ALMA MONTCLAIR<br>FORMER DIR OF ADMIN & FIN/ASST TR         | 14.00<br>26.00  |  |                       |         |              |                              | X      | 172,500.   | 0.  | 0.  |
| <b>Total to Part VII, Section A, line 1c</b>                      |   |  |                       |         |              |                              |        | <b>2,552,699.</b>  | <b>1,266,861.</b>   | <b>642,357.</b>   |

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

|   |  |  |                      | (A)<br>Total revenue | (B)<br>Related or<br>exempt function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue excluded<br>from tax under<br>sections<br>512-514 |
|---|--|--|----------------------|----------------------|---|---|--|
| Contributions, Gifts, Grants<br>and Other Similar Amounts                     | <b>1 a</b> Federated campaigns .....   | <b>1a</b>  |                      |                      |   |   |  |
|   | <b>b</b> Membership dues .....   | <b>1b</b>  | 24,655,947.          |                      |   |   |  |
|   | <b>c</b> Fundraising events .....  | <b>1c</b>  |                      |                      |   |   |  |
|   | <b>d</b> Related organizations .....   | <b>1d</b>  | 250,000.             |                      |   |   |  |
|   | <b>e</b> Government grants (contributions)   | <b>1e</b>  |                      |                      |   |   |  |
|   | <b>f</b> All other contributions, gifts, grants, and<br>similar amounts not included above .....   | <b>1f</b>  | 25,602,507.          |                      |   |   |  |
|   | <b>g</b> Noncash contributions included in lines 1a-1f: \$   |  | 121,750.             |                      |   |   |  |
|   | <b>h Total.</b> Add lines 1a-1f .....  |  |                      | 50,508,454.          |   |   |  |
|   | Program Service<br>Revenue   | <b>Business Code</b>   |                      |                      |   |   |  |
| <b>2 a</b> .....  |  |  |                      |                      |   |   |  |
| <b>b</b> .....  |  |  |                      |                      |   |   |  |
| <b>c</b> .....  |  |  |                      |                      |   |   |  |
| <b>d</b> .....  |  |  |                      |                      |   |   |  |
| <b>e</b> .....  |  |  |                      |                      |   |   |  |
| <b>f</b> All other program service revenue .....                              |  |  |                      |                      |   |   |  |
| <b>g Total.</b> Add lines 2a-2f .....   |  |  |                      |                      |   |   |  |
| Other Revenue   | <b>3</b> Investment income (including dividends, interest, and<br>other similar amounts) .....   |  |                      | 18,789.              |   |   | 18,789.  |
|   | <b>4</b> Income from investment of tax-exempt bond proceeds .....  |  |                      |                      |   |   |  |
|   | <b>5</b> Royalties .....   |  |                      |                      |   |   |  |
|   | <b>6 a</b> Gross rents .....   | (i) Real   | (ii) Personal        |                      |   |   |  |
|   |  | <b>b</b> Less: rental expenses .....                           |                      |                      |   |   |  |
|   |  | <b>c</b> Rental income or (loss) .....                         |                      |                      |   |   |  |
|   |  | <b>d</b> Net rental income or (loss) .....                     |                      |                      |   |   |  |
|   | <b>7 a</b> Gross amount from sales of<br>assets other than inventory .....   | (i) Securities   | (ii) Other           |                      |   |   |  |
|   |  | 94.  |                      |                      |   |   |  |
|   |  | <b>b</b> Less: cost or other basis<br>and sales expenses ..... |                      | 205.                 |   |   |  |
|   |  | <b>c</b> Gain or (loss) .....                                  |                      | -111.                |   |   |  |
|   | <b>d</b> Net gain or (loss) .....  |  |                      | -111.                |   |   | -111.  |
|   | <b>8 a</b> Gross income from fundraising events (not<br>including \$ _____ of<br>contributions reported on line 1c). See<br>Part IV, line 18 ..... | <b>a</b>   |                      |                      |   |   |  |
|   |  | <b>b</b> Less: direct expenses .....                           | <b>b</b>             |                      |   |   |  |
|   |  | <b>c</b> Net income or (loss) from fundraising events .....    |                      |                      |   |   |  |
| <b>9 a</b> Gross income from gaming activities. See<br>Part IV, line 19 ..... | <b>a</b>   |  |                      |                      |   |   |  |
|   | <b>b</b> Less: direct expenses .....   | <b>b</b>   |                      |                      |   |   |  |
|   | <b>c</b> Net income or (loss) from gaming activities .....   |  |                      |                      |   |   |  |
| <b>10 a</b> Gross sales of inventory, less returns<br>and allowances .....    | <b>a</b>   |  |                      |                      |   |   |  |
|   | <b>b</b> Less: cost of goods sold .....  | <b>b</b>   |                      |                      |   |   |  |
|   | <b>c</b> Net income or (loss) from sales of inventory .....  |  |                      |                      |   |   |  |
| <b>Miscellaneous Revenue</b>  |  |  | <b>Business Code</b> |                      |   |   |  |
| <b>11 a</b> LIST RENTALS .....  |  | 532000   | 101,217.             |                      | 101,217.  |   |  |
| <b>b</b> MISC. INCOME .....   |  | 900099   | 619.                 |                      |   | 619.                                    |  |
| <b>c</b> .....  |  |  |                      |                      |   |   |  |
| <b>d</b> All other revenue .....  |  |  |                      |                      |   |   |  |
| <b>e Total.</b> Add lines 11a-11d .....                                       |  |  | 101,836.             |                      |   |   |  |
| <b>12 Total revenue.</b> See instructions. ....                               |  |  | 50,628,968.          | 0.                   | 101,217.  | 19,297.                                 |  |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

| <i>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</i>   | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21  | 4,827,749.            | 4,827,749.                      |  |                             |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22   |                       |                                 |  |                             |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16  |                       |                                 |  |                             |
| 4 Benefits paid to or for members   |                       |                                 |  |                             |
| 5 Compensation of current officers, directors, trustees, and key employees  | 689,106.              | 321,842.                        | 264,478.                               | 102,786.                    |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)   |                       |                                 |  |                             |
| 7 Other salaries and wages  | 5,008,915.            | 3,394,889.                      | 719,002.                               | 895,024.                    |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  | 796,990.              | 475,456.                        | 192,152.                               | 129,382.                    |
| 9 Other employee benefits   | 802,546.              | 478,658.                        | 194,586.                               | 129,302.                    |
| 10 Payroll taxes  | 418,694.              | 246,907.                        | 104,681.                               | 67,106.                     |
| 11 Fees for services (non-employees):   |                       |                                 |  |                             |
| a Management  |                       |                                 |  |                             |
| b Legal   | 115,786.              | 39,970.                         | 69,661.                                | 6,155.                      |
| c Accounting  | 210,936.              | 73,828.                         | 137,108.                               |                             |
| d Lobbying  |                       |                                 |  |                             |
| e Professional fundraising services. See Part IV, line 17   | 1,429,817.            |                                 |  | 1,429,817.                  |
| f Investment management fees  |                       |                                 |  |                             |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)   | 6,332,272.            | 5,605,927.                      | 249,153.                               | 477,192.                    |
| 12 Advertising and promotion  | 205,993.              | 183,787.                        | 21.                                    | 22,185.                     |
| 13 Office expenses  | 4,012,899.            | 3,549,594.                      | 35,285.                                | 428,020.                    |
| 14 Information technology   |                       |                                 |  |                             |
| 15 Royalties  |                       |                                 |  |                             |
| 16 Occupancy  | 980,817.              | 666,934.                        | 135,446.                               | 178,437.                    |
| 17 Travel   | 398,860.              | 274,575.                        | 68,782.                                | 55,503.                     |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials   |                       |                                 |  |                             |
| 19 Conferences, conventions, and meetings   | 98,852.               | 59,700.                         | 22,576.                                | 16,576.                     |
| 20 Interest   | 36,207.               | 20,946.                         | 6,738.                                 | 8,523.                      |
| 21 Payments to affiliates   |                       |                                 |  |                             |
| 22 Depreciation, depletion, and amortization  |                       |                                 |  |                             |
| 23 Insurance  | 17,831.               | 15,035.                         | 738.                                   | 2,058.                      |
| 24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) |                       |                                 |  |                             |
| a SHARED PORTION - CONTR.   | 8,815,270.            | 8,815,270.                      |  |                             |
| b PUBLISHING/PRINTING EXP   | 2,958,746.            | 2,639,992.                      | 293.                                   | 318,461.                    |
| c SHARED PORTION OF BEQUE   | 1,873,329.            | 1,367,415.                      | 169,651.                               | 336,263.                    |
| d SPECIAL AFFILIATE SUBSI   | 977,721.              | 977,721.                        |  |                             |
| e All other expenses  | 965,595.              | 792,831.                        | 55,807.                                | 116,957.                    |
| 25 Total functional expenses. Add lines 1 through 24e   | 41,974,931.           | 34,829,026.                     | 2,426,158.                             | 4,719,747.                  |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.                                     |                       |                                 |  |                             |

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

|  |  | (A)<br>Beginning of year |             | (B)<br>End of year |
|--|--|--------------------------|-------------|--------------------|
| <b>Assets</b>  | <b>1</b> Cash - non-interest-bearing .....   | 7,147,009.               | <b>1</b>    | 7,489,964.         |
|  | <b>2</b> Savings and temporary cash investments .....  |                          | <b>2</b>    |                    |
|  | <b>3</b> Pledges and grants receivable, net .....  | 1,882,410.               | <b>3</b>    | 11,449,459.        |
|  | <b>4</b> Accounts receivable, net .....  |                          | <b>4</b>    |                    |
|  | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....   |                          | <b>5</b>    |                    |
|  | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L ..... |                          | <b>6</b>    |                    |
|  | <b>7</b> Notes and loans receivable, net .....   |                          | <b>7</b>    |                    |
|  | <b>8</b> Inventories for sale or use .....   |                          | <b>8</b>    |                    |
|  | <b>9</b> Prepaid expenses and deferred charges .....   | 494,973.                 | <b>9</b>    | 1,014,149.         |
|  | <b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....   | <b>10a</b>               |             |                    |
|  | <b>b</b> Less: accumulated depreciation .....  | <b>10b</b>               |             |                    |
|  | <b>11</b> Investments - publicly traded securities .....   | 580,709.                 | <b>11</b>   | 3,730,715.         |
|  | <b>12</b> Investments - other securities. See Part IV, line 11 .....   |                          | <b>12</b>   |                    |
|  | <b>13</b> Investments - program-related. See Part IV, line 11 .....  |                          | <b>13</b>   |                    |
|  | <b>14</b> Intangible assets .....  |                          | <b>14</b>   |                    |
|  | <b>15</b> Other assets. See Part IV, line 11 .....   | 17,383,171.              | <b>15</b>   | 60,110,645.        |
| <b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) ..... | 27,488,272.  | <b>16</b>                | 83,794,932. |                    |
| <b>Liabilities</b>   | <b>17</b> Accounts payable and accrued expenses .....  | 2,315,276.               | <b>17</b>   | 2,736,203.         |
|  | <b>18</b> Grants payable .....   |                          | <b>18</b>   |                    |
|  | <b>19</b> Deferred revenue .....   |                          | <b>19</b>   |                    |
|  | <b>20</b> Tax-exempt bond liabilities .....  |                          | <b>20</b>   |                    |
|  | <b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....  |                          | <b>21</b>   |                    |
|  | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....   |                          | <b>22</b>   |                    |
|  | <b>23</b> Secured mortgages and notes payable to unrelated third parties .....   |                          | <b>23</b>   |                    |
|  | <b>24</b> Unsecured notes and loans payable to unrelated third parties .....   |                          | <b>24</b>   |                    |
|  | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....  | 36,151,248.              | <b>25</b>   | 58,016,275.        |
|  | <b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 .....  | 38,466,524.              | <b>26</b>   | 60,752,478.        |
| <b>Net Assets or Fund Balances</b>   | <b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>  |                          |             |                    |
|  | <b>27</b> Unrestricted net assets .....  | -14,341,162.             | <b>27</b>   | 9,852,861.         |
|  | <b>28</b> Temporarily restricted net assets .....  | 3,362,910.               | <b>28</b>   | 13,189,593.        |
|  | <b>29</b> Permanently restricted net assets .....  |                          | <b>29</b>   |                    |
|  | <b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>   |                          |             |                    |
|  | <b>30</b> Capital stock or trust principal, or current funds .....   |                          | <b>30</b>   |                    |
|  | <b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....   |                          | <b>31</b>   |                    |
|  | <b>32</b> Retained earnings, endowment, accumulated income, or other funds .....   |                          | <b>32</b>   |                    |
| <b>33</b> <b>Total net assets or fund balances</b> .....                         | -10,978,252.   | <b>33</b>                | 23,042,454. |                    |
| <b>34</b> <b>Total liabilities and net assets/fund balances</b> .....            | 27,488,272.  | <b>34</b>                | 83,794,932. |                    |

**Part X Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part X

|    |  |    |              |
|----|--|----|--------------|
| 1  | Total revenue (must equal Part VIII, column (A), line 12)  | 1  | 50,628,968.  |
| 2  | Total expenses (must equal Part IX, column (A), line 25)   | 2  | 41,974,931.  |
| 3  | Revenue less expenses. Subtract line 2 from line 1   | 3  | 8,654,037.   |
| 4  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                      | 4  | -10,978,252. |
| 5  | Net unrealized gains (losses) on investments   | 5  | -19,941.     |
| 6  | Donated services and use of facilities   | 6  |              |
| 7  | Investment expenses  | 7  |              |
| 8  | Prior period adjustments   | 8  |              |
| 9  | Other changes in net assets or fund balances (explain in Schedule O)   | 9  | 25,386,610.  |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 23,042,454.  |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

|  | Yes | No |
|--|-----|----|
| 1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other   |     |    |
| If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.  |     |    |
| 2a Were the organization's financial statements compiled or reviewed by an independent accountant?   |     | X  |
| If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  |     |    |
| <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis  |     |    |
| b Were the organization's financial statements audited by an independent accountant?   | X   |    |
| If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:   |     |    |
| <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis   |     |    |
| c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | X   |    |
| If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.  |     |    |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?  |     | X  |
| b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits     |     |    |

Form 990 (2014)



**Schedule B**  
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No. 1545-0047

**2014**

Name of the organization

Employer identification number

AMERICAN CIVIL LIBERTIES UNION, INC.

13-3871360

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 4 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)**

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| <u>1</u>   |                                   | \$ <u>16,000,000.</u>      | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>2</u>   |                                   | \$ <u>2,054,399.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>3</u>   |                                   | \$ <u>1,000,000.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>4</u>   |                                   | \$ <u>850,000.</u>         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>5</u>   |                                   | \$ <u>346,882.</u>         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| <u>6</u>   |                                   | \$ <u>252,276.</u>         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 7          |                                   | \$ 250,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 8          |                                   | \$ 247,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 9          |                                   | \$ 224,648.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 10         |                                   | \$ 181,325.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 11         |                                   | \$ 180,535.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 12         |                                   | \$ 177,419.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 13         | <br><hr/> <hr/> <hr/>             | \$ 150,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 14         | <br><hr/> <hr/> <hr/>             | \$ 131,707.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 15         | <br><hr/> <hr/> <hr/>             | \$ 109,585.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 16         | <br><hr/> <hr/> <hr/>             | \$ 103,683.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 17         | <br><hr/> <hr/> <hr/>             | \$ 102,792.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 18         | <br><hr/> <hr/> <hr/>             | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 19         |                                   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 20         |                                   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 21         |                                   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 22         |                                   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 23         |                                   | \$ 89,166.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 24         |                                   | \$ 85,647.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 25         |                                   | \$ <u>72,627.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 26         |                                   | \$ <u>69,965.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 27         |                                   | \$ <u>51,855.</u>          | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 28         |                                   | \$ <u>39,644.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 29         |                                   | \$ <u>38,805.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 30         |                                   | \$ <u>36,202.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 31         |                                   | \$ 30,094.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 32         |                                   | \$ 30,000.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 33         |                                   | \$ 27,759.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 34         |                                   | \$ 25,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 35         |                                   | \$ 21,931.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 36         |                                   | \$ 21,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 37         |                                   | \$ <u>20,859.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 38         |                                   | \$ <u>20,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 39         |                                   | \$ <u>18,750.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 40         |                                   | \$ <u>17,489.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 41         |                                   | \$ <u>16,911.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 42         |                                   | \$ <u>12,430.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |



|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 43         |                                   | \$ 10,500.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 44         |                                   | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 45         |                                   | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 46         |                                   | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 47         |                                   | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 48         |                                   | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 49         | <br><hr/><br><hr/><br><hr/>       | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 50         | <br><hr/><br><hr/><br><hr/>       | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 51         | <br><hr/><br><hr/><br><hr/>       | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 52         | <br><hr/><br><hr/><br><hr/>       | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 53         | <br><hr/><br><hr/><br><hr/>       | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 54         | <br><hr/><br><hr/><br><hr/>       | \$ <u>9,986.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 55         | <hr/> <hr/> <hr/>                 | \$ <u>9,800.</u>           | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 56         | <hr/> <hr/> <hr/>                 | \$ <u>9,211.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 57         | <hr/> <hr/> <hr/>                 | \$ <u>7,500.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 58         | <hr/> <hr/> <hr/>                 | \$ <u>6,421.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 59         | <hr/> <hr/> <hr/>                 | \$ <u>6,000.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 60         | <hr/> <hr/> <hr/>                 | \$ <u>5,500.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 61         |                                   | \$ 5,149.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 62         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 63         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 64         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 65         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 66         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 67         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 68         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 69         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 70         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 71         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 72         | <br><hr/><br><hr/><br><hr/>       | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

**AMERICAN CIVIL LIBERTIES UNION, INC.**

**13-3871360**

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 73         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 74         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 75         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 76         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 77         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 78         |                                   | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 79         | <hr/> <hr/> <hr/>                 | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 80         | <hr/> <hr/> <hr/>                 | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 81         | <hr/> <hr/> <hr/>                 | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 82         | <hr/> <hr/> <hr/>                 | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 83         | <hr/> <hr/> <hr/>                 | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            | <hr/> <hr/> <hr/>                 | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

Name of organization

Employer identification number

AMERICAN CIVIL LIBERTIES UNION, INC.

13-3871360

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|--|--|----------------------|
| 27                           | STOCK GIFT                                   | \$ 51,855.                                     | 12/19/14             |
| 31                           | STOCK GIFT                                   | \$ 30,094.                                     | 12/10/14             |
| 32                           | PARTNERSHIP INTEREST                         | \$ 30,000.                                     | 08/21/14             |
| 55                           | PARTNERSHIP INTEREST                         | \$ 9,800.                                      | 07/28/14             |
|                              |  | \$   |                      |
|                              |  | \$   |                      |



Name of organization  
**AMERICAN CIVIL LIBERTIES UNION, INC.**

Employer identification number  
**13-3871360**

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) **\$**  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
 ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
 ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

|   |   |
|---|---|
| Name of organization<br><b>AMERICAN CIVIL LIBERTIES UNION, INC.</b> | Employer identification number<br><b>13-3871360</b> |
|---|---|

**Part I-A** Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ..... ▶ \$ \_\_\_\_\_
- 3 Volunteer hours ..... \_\_\_\_\_

**Part I-B** Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_
  - 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_
  - 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No
  - 4a Was a correction made? .....  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C** Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file Form 1120-POL for this year? .....  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2014

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**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

| <b>Limits on Lobbying Expenditures</b><br>(The term "expenditures" means amounts paid or incurred.)  | (a) Filing organization's totals                   | (b) Affiliated group totals        |                    |                               |   |  |   |  |  |   |                   |              |  |  |
|--|--|------------------------------------|--------------------|-------------------------------|---|--|---|--|--|---|-------------------|--------------|--|--|
| <b>1 a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....  |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>d</b> Other exempt purpose expenditures .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.  |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> | If the amount on line 1e, column (a) or (b) is:    | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. |  |  |
| If the amount on line 1e, column (a) or (b) is:  | The lobbying nontaxable amount is:                 |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Not over \$500,000   | 20% of the amount on line 1e.                      |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$500,000 but not over \$1,000,000  | \$100,000 plus 15% of the excess over \$500,000.   |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,000,000 but not over \$1,500,000  | \$175,000 plus 10% of the excess over \$1,000,000. |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,500,000 but not over \$17,000,000   | \$225,000 plus 5% of the excess over \$1,500,000.  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$17,000,000  | \$1,000,000.                                       |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....   | <input type="checkbox"/> Yes                       | <input type="checkbox"/> No        |                    |                               |   |  |   |  |  |   |                   |              |  |  |

**4-Year Averaging Period Under section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.  
See the separate instructions for lines 2a through 2f.)

| <b>Lobbying Expenditures During 4-Year Averaging Period</b>         |          |          |          |          |           |
|---|----------|----------|----------|----------|-----------|
| Calendar year<br>(or fiscal year beginning in)                      | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) Total |
| <b>2a</b> Lobbying nontaxable amount                                |          |          |          |          |           |
| <b>b</b> Lobbying ceiling amount<br>(150% of line 2a, column(e))    |          |          |          |          |           |
| <b>c</b> Total lobbying expenditures                                |          |          |          |          |           |
| <b>d</b> Grassroots nontaxable amount                               |          |          |          |          |           |
| <b>e</b> Grassroots ceiling amount<br>(150% of line 2d, column (e)) |          |          |          |          |           |
| <b>f</b> Grassroots lobbying expenditures                           |          |          |          |          |           |

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

|  | (a) |    | (b)    |
|--|-----|----|--------|
|  | Yes | No | Amount |
| <b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: |     |    |        |
| <b>a</b> Volunteers? .....   |     |    |        |
| <b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? ..   |     |    |        |
| <b>c</b> Media advertisements? .....   |     |    |        |
| <b>d</b> Mailings to members, legislators, or the public? .....  |     |    |        |
| <b>e</b> Publications, or published or broadcast statements? .....   |     |    |        |
| <b>f</b> Grants to other organizations for lobbying purposes? .....  |     |    |        |
| <b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body? .....   |     |    |        |
| <b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? .....   |     |    |        |
| <b>i</b> Other activities? .....   |     |    |        |
| <b>j</b> Total. Add lines 1c through 1i .....  |     |    |        |
| <b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? .....  |     |    |        |
| <b>b</b> If "Yes," enter the amount of any tax incurred under section 4912 .....   |     |    |        |
| <b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912 .....  |     |    |        |
| <b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? .....  |     |    |        |

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Were substantially all (90% or more) dues received nondeductible by members? .....                      | X   |    |
| <b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less? .....                 |     | X  |
| <b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year? ..... |     | X  |

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

|   |           |  |
|---|-----------|--|
| <b>1</b> Dues, assessments and similar amounts from members .....   | <b>1</b>  |  |
| <b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).   |           |  |
| <b>a</b> Current year .....   | <b>2a</b> |  |
| <b>b</b> Carryover from last year .....   | <b>2b</b> |  |
| <b>c</b> Total .....  | <b>2c</b> |  |
| <b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .....  | <b>3</b>  |  |
| <b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? ..... | <b>4</b>  |  |
| <b>5</b> Taxable amount of lobbying and political expenditures (see instructions) .....   | <b>5</b>  |  |

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

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**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public Inspection

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number

13-3871360

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

|   | (a) Donor advised funds | (b) Funds and other accounts                             |
|---|-------------------------|--|
| 1 Total number at end of year .....   |                         |  |
| 2 Aggregate value of contributions to (during year) .....   |                         |  |
| 3 Aggregate value of grants from (during year) .....  |                         |  |
| 4 Aggregate value at end of year .....  |                         |  |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....  |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ..... |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).
- Preservation of land for public use (e.g., recreation or education)       Preservation of a historically important land area
- Protection of natural habitat       Preservation of a certified historic structure
- Preservation of open space
- 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
- |  | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements .....   | 2a                              |
| b Total acreage restricted by conservation easements .....   | 2b                              |
| c Number of conservation easements on a certified historic structure included in (a) .....   | 2c                              |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register ..... | 2d                              |
- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....
- 4 Number of states where property subject to conservation easement is located ▶ .....
- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....
- Yes  No
- 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ .....
- 7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ .....
- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....
- Yes  No
- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenue included in Form 990, Part VIII, line 1 .....
- (ii) Assets included in Form 990, Part X .....
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
- a Revenue included in Form 990, Part VIII, line 1 .....
- b Assets included in Form 990, Part X .....

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

|                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

|  | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance                     |                  |                |                    |                      |                     |
| b Contributions                                  |                  |                |                    |                      |                     |
| c Net investment earnings, gains, and losses     |                  |                |                    |                      |                     |
| d Grants or scholarships                         |                  |                |                    |                      |                     |
| e Other expenditures for facilities and programs |                  |                |                    |                      |                     |
| f Administrative expenses                        |                  |                |                    |                      |                     |
| g End of year balance                            |                  |                |                    |                      |                     |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  \_\_\_\_\_ %
- c Temporarily restricted endowment  \_\_\_\_\_ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

|   | Yes    | No |
|---|--------|----|
| (i) unrelated organizations   | 3a(i)  |    |
| (ii) related organizations  | 3a(ii) |    |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b     |    |

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property  | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--------------------------|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land                  |                                      |                                 |                              |                |
| b Buildings              |                                      |                                 |                              |                |
| c Leasehold improvements |                                      |                                 |                              |                |
| d Equipment              |                                      |                                 |                              |                |
| e Other                  |                                      |                                 |                              |                |

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) 0.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security)      | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1) Financial derivatives .....   |                |   |
| (2) Closely-held equity interests .....                                   |                |   |
| (3) Other .....   |                |   |
| (A) .....   |                |   |
| (B) .....   |                |   |
| (C) .....   |                |   |
| (D) .....   |                |   |
| (E) .....   |                |   |
| (F) .....   |                |   |
| (G) .....   |                |   |
| (H) .....   |                |   |
| <b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶ |                |   |

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment   | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1) .....   |                |   |
| (2) .....   |                |   |
| (3) .....   |                |   |
| (4) .....   |                |   |
| (5) .....   |                |   |
| (6) .....   |                |   |
| (7) .....   |                |   |
| (8) .....   |                |   |
| (9) .....   |                |   |
| <b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶ |                |   |

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description   | (b) Book value |
|---|----------------|
| (1) DUE FROM AFFILIATES   | 6,806,510.     |
| (2) DUE FROM RELATED PARTY (ACLU FDTN - 501(C)(3))                          | 4,904,044.     |
| (3) DUE FROM AFFILIATES - ALLOCATED SHARE OF PENSION                        |                |
| (4) LIABILITY   | 34,559,117.    |
| (5) DUE FROM ACLUF - ALLOCATED SHARE OF PENSION LIABILITY                   | 13,840,974.    |
| (6) .....   |                |
| (7) .....   |                |
| (8) .....   |                |
| (9) .....   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶ | 60,110,645.    |

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability   | (b) Book value |
|---|----------------|
| (1) Federal income taxes  |                |
| (2) ACCRUED PENSION LIABILITY   | 56,244,657.    |
| (3) DUE TO AFFILIATES   | 1,771,618.     |
| (4) .....   |                |
| (5) .....   |                |
| (6) .....   |                |
| (7) .....   |                |
| (8) .....   |                |
| (9) .....   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶ | 58,016,275.    |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

|   |   |    |              |              |
|---|---|----|--------------|--------------|
| 1 | Total revenue, gains, and other support per audited financial statements        |    | 1            | 160,683,099. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12:             |    |              |              |
| a | Net unrealized gains (losses) on investments                                    | 2a | -19,941.     |              |
| b | Donated services and use of facilities  | 2b |              |              |
| c | Recoveries of prior year grants   | 2c |              |              |
| d | Other (Describe in Part XIII.)  | 2d | 110,074,072. |              |
| e | Add lines 2a through 2d   | 2e |              | 110,054,131. |
| 3 | Subtract line 2e from line 1  | 3  |              | 50,628,968.  |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1:            |    |              |              |
| a | Investment expenses not included on Form 990, Part VIII, line 7b                | 4a |              |              |
| b | Other (Describe in Part XIII.)  | 4b |              |              |
| c | Add lines 4a and 4b   | 4c |              | 0.           |
| 5 | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) | 5  |              | 50,628,968.  |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

|   |  |    |             |              |
|---|--|----|-------------|--------------|
| 1 | Total expenses and losses per audited financial statements                       |    | 1           | 133,556,930. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25:                |    |             |              |
| a | Donated services and use of facilities   | 2a |             |              |
| b | Prior year adjustments   | 2b |             |              |
| c | Other losses   | 2c |             |              |
| d | Other (Describe in Part XIII.)   | 2d | 91,581,999. |              |
| e | Add lines 2a through 2d  | 2e |             | 91,581,999.  |
| 3 | Subtract line 2e from line 1   | 3  |             | 41,974,931.  |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1:               |    |             |              |
| a | Investment expenses not included on Form 990, Part VIII, line 7b                 | 4a |             |              |
| b | Other (Describe in Part XIII.)   | 4b |             |              |
| c | Add lines 4a and 4b  | 4c |             | 0.           |
| 5 | Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | 5  |             | 41,974,931.  |

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

THE UNION IS A NOT-FOR-PROFIT ORGANIZATION EXEMPT FROM INCOME TAXES UNDER SECTION 501(C)(4) OF THE U.S. INTERNAL REVENUE CODE. THE UNION IS SUBJECT TO TAXES ON UNRELATED BUSINESS INCOME.

THE UNION FILES TAX AND INFORMATION RETURNS WITH THE INTERNAL REVENUE SERVICE (THE "IRS") AND WITH VARIOUS STATES.

MANAGEMENT EVALUATED THE UNION'S TAX POSITIONS AND CONCLUDED THAT IT HAD TAKEN NO UNCERTAIN TAX POSITIONS THAT REQUIRE ADDITIONAL ADJUSTMENT OR DISCLOSURE TO THE ACCOMPANYING CONSOLIDATED FINANCIAL STATEMENTS.

GENERALLY, THE UNION IS NO LONGER SUBJECT TO INCOME TAX EXAMINATIONS BY U.S. FEDERAL, STATE OR LOCAL TAX AUTHORITIES FOR TAX YEARS BEFORE 2011,

WHICH IS THE STANDARD STATUTE OF LIMITATIONS LOOK-BACK PERIOD.



Part XIII Supplemental Information (continued)

PART XI, LINE 2D - OTHER ADJUSTMENTS:

|   |              |
|---|--------------|
| REVENUES AND ELIMINATIONS INCLUDED ON CONSOLIDATED FS | 84,687,462.  |
| MINIMUM PENSION LIABILITY ADJUSTMENT                  | -23,013,481. |
| RECOGNITION OF AFFILIATES SHARE OF PENSION LIABILITY  | 48,400,091.  |
| TOTAL TO SCHEDULE D, PART XI, LINE 2D                 | 110,074,072. |

PART XII, LINE 2D - OTHER ADJUSTMENTS:

|   |             |
|---|-------------|
| EXPENSES AND ELIMINATIONS INCLUDED ON CONSOLIDATED FS | 91,581,999. |
|---|-------------|

FORM 990, SCHEDULE D

PART V: A RELATED ORGANIZATION DOES HOLD AN ENDOWMENT, BUT NONE OF THE HOLDINGS ARE FOR THE BENEFIT OF THE ACLU.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

**Supplemental Information Regarding Fundraising or Gaming Activities**  
Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

**AMERICAN CIVIL LIBERTIES UNION, INC.**

Employer identification number

**13-3871360**

**Part I**

**Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Internet and email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

**2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No

**b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser)   | (ii) Activity          | (iii) Did fundraiser have custody or control of contributions? |    | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|---|------------------------|--|----|-----------------------------------|---|---|
|   |                        | Yes  | No |                                   |   |   |
| DSG, LLC - 6715 SUNSET BLVD, LOS ANGELES, CA 90028  | TELEMARKETING          |  | X  | 390,208.                          | 584,844.  | -194,636.   |
| GSI - 360 N SEPULVEDA BLVD, EL SEGUNDO, CA 90245  | TELEMARKETING          |  | X  | 332,127.                          | 161,458.  | 170,669.  |
| SD&A TELESERVICES INC - 5757 W CENTURY BLVD., LOS ANGELES, TELEFUND - PO BOX 2366, DENVER, CO 80201 | TELEMARKETING          |  | X  | 132,828.                          | 76,061.   | 56,767.   |
| SHARE GROUP - PO BOX 400600, CAMBRIDGE, MA 02140  | TELEMARKETING          |  | X  | 33,377.                           | 46,344.   | -12,967.  |
| PUBLIC INTEREST COMMUNICATIONS - 7700   | TELEMARKETING          |  | X  | 26,013.                           | 13,614.   | 12,399.   |
| HERITAGE CO. - PO BOX 16325, LITTLE ROCK, AR 72231  | TELEMARKETING          |  | X  | 21,580.                           | 39,570.   | -17,990.  |
| OMP - 1133 19TH STREET, NW, SUITE 300, WASHINGTON, DC   | TELEMARKETING STRATEGY |  | X  | 19,099.                           | 18,211.   | 888.  |
|   |                        |  |    | 0.                                | 489,715.  | -489,715.   |
| <b>Total</b>  |                        |  |    | <b>955,232.</b>                   | <b>1,429,817.</b>   | <b>-474,585.</b>                                  |

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AK, AL, AZ, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, MA, MD, ME, MI, MN, MO, NC, ND, NH, NJ, NM, NY, OH, OK, OR, PA, RI, SC, SD, TN, UT, VA, WA, WI, WV

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|                 |  | (a) Event #1 | (b) Event #2 | (c) Other events | (d) Total events                |
|-----------------|--|--------------|--------------|------------------|---------------------------------|
|                 |  | (event type) | (event type) | (total number)   | (add col. (a) through col. (c)) |
| Revenue         | <b>1</b> Gross receipts .....  |              |              |                  |                                 |
|                 | <b>2</b> Less: Contributions .....   |              |              |                  |                                 |
|                 | <b>3</b> Gross income (line 1 minus line 2) .....                            |              |              |                  |                                 |
| Direct Expenses | <b>4</b> Cash prizes .....   |              |              |                  |                                 |
|                 | <b>5</b> Noncash prizes .....  |              |              |                  |                                 |
|                 | <b>6</b> Rent/facility costs .....   |              |              |                  |                                 |
|                 | <b>7</b> Food and beverages .....  |              |              |                  |                                 |
|                 | <b>8</b> Entertainment .....   |              |              |                  |                                 |
|                 | <b>9</b> Other direct expenses .....   |              |              |                  |                                 |
|                 | <b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) .....  |              |              |                  |                                 |
|                 | <b>11</b> Net income summary. Subtract line 10 from line 3, column (d) ..... |              |              |                  |                                 |

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|   |                                      | (a) Bingo   | (b) Pull tabs/instant bingo/progressive bingo                       | (c) Other gaming  | (d) Total gaming (add col. (a) through col. (c)) |
|---|--------------------------------------|---|---|---|--|
|   |                                      | <b>1</b> Gross revenue .....  |   |   |  |
| Direct Expenses   | <b>2</b> Cash prizes .....           |   |   |   |  |
|   | <b>3</b> Noncash prizes .....        |   |   |   |  |
|   | <b>4</b> Rent/facility costs .....   |   |   |   |  |
|   | <b>5</b> Other direct expenses ..... |   |   |   |  |
|   | <b>6</b> Volunteer labor .....       | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |  |
| <b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) .....        |                                      |   |   |   |  |
| <b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) ..... |                                      |   |   |   |  |

**9** Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_  
**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

**b** If "No," explain: \_\_\_\_\_  
 \_\_\_\_\_

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

**b** If "Yes," explain: \_\_\_\_\_  
 \_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
- |                               |     |   |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility         | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer       Employee       Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

**SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:**

(I) NAME OF FUNDRAISER: SD&A TELESERVICES INC

(I) ADDRESS OF FUNDRAISER: 5757 W CENTURY BLVD., LOS ANGELES, CA 90028

(I) NAME OF FUNDRAISER: PUBLIC INTEREST COMMUNICATIONS

(I) ADDRESS OF FUNDRAISER: 7700 LEESBURG PIKE, FALLS CHURCH, VA 22043

(I) NAME OF FUNDRAISER: OMP

**Part IV** Supplemental Information (continued)

(I) ADDRESS OF FUNDRAISER:

1133 19TH STREET, NW, SUITE 300, WASHINGTON, DC 20036

**SCHEDULE I (Form 990)**  
**Grants and Other Assistance to Organizations, Governments, and Individuals in the United States**  
 Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.  
 ▶ Attach to Form 990.  
 ▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization: **AMERICAN CIVIL LIBERTIES UNION, INC.**  
 Employer identification number: **13-3871360**

**Part I General Information on Grants and Assistance**  
 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government                     | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ACLU OF ARIZONA<br>P.O. BOX 17148<br>PHOENIX, AZ 85011                   | 86-0205157 | 501(C)(4)                     | 142,500.                 | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF ARKANSAS<br>904 W. SECOND ST., STE. 1<br>LITTLE ROCK, AR 72201   | 71-0467186 | 501(C)(4)                     | 20,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF COLORADO<br>303 EAST 17TH AVENUE, ROOM 350<br>DENVER, CO 80203   | 84-0437750 | 501(C)(4)                     | 10,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF DELAWARE<br>100 W 10TH STREET, SUITE 603<br>WILMINGTON, DE 19801 | 51-0240032 | 501(C)(4)                     | 13,333.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF FLORIDA<br>4500 BISCAYNE BLVD., STE. 340<br>MIAMI, FL 33137      | 59-0888331 | 501(C)(4)                     | 100,000.                 | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF GEORGIA<br>1900 THE EXCHANGE, ROOM 425<br>ATLANTA, GA 30339      | 58-0951433 | 501(C)(4)                     | 37,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table: **2.**  
 3 Enter total number of other organizations listed in the line 1 table: **30.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. **Schedule I (Form 990) (2014)**

AMERICAN CIVIL LIBERTIES UNION, INC.

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

| (a) Name and address of organization or government                        | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ACLU OF INDIANA<br>1031 E WASHINGTON STREET<br>INDIANAPOLIS, IN 46202     | 35-0930337 | 501(C)(4)                     | 45,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF KANSAS<br>3601 MAIN STREET<br>KANSAS CITY, MO 64111               | 91-2090691 | 501(C)(4)                     | 27,970.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF KENTUCKY<br>315 GUTHRIE ST., STE. 300<br>LOUISVILLE, KY 40202     | 61-0597514 | 501(C)(4)                     | 22,500.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF MICHIGAN<br>2966 WOODWARD AVENUE<br>DETROIT, MI 48201             | 38-1643182 | 501(C)(4)                     | 23,611.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF MISSOURI<br>454 WHITTIER STREET<br>ST. LOUIS, MO 63108            | 32-0295491 | 501(C)(4)                     | 12,500.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF MONTANA<br>P.O. BOX 1317<br>HELENA, MT 59624                      | 81-0431527 | 501(C)(4)                     | 51,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF NEW JERSEY<br>P.O. BOX 32159<br>NEWARK, NJ 07102                  | 22-1758950 | 501(C)(4)                     | 22,667.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF NORTH CAROLINA<br>P.O. BOX 28004<br>RALEIGH, NC 27611             | 56-0863265 | 501(C)(4)                     | 60,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU OF NORTHERN CALIFORNIA<br>39 DRUMM STREET<br>SAN FRANCISCO, CA 94111 | 94-2151925 | 501(C)(4)                     | 85,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |

AMERICAN CIVIL LIBERTIES UNION, INC.

| Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) |            |                               |                          |                                   |   |  |  |
|---|------------|-------------------------------|--------------------------|-----------------------------------|---|--|--|
| (a) Name and address of organization or government  | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance                               |
| ACLU OF OHIO<br>4506 CHESTER AVE.<br>CLEVELAND, OH 44103  | 34-0700606 | 501(C)(4)                     | 75,000.                  | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF OKLAHOMA<br>PO BOX 1626<br>OKLAHOMA CITY, OK 73101  | 73-0780616 | 501(C)(4)                     | 10,000.                  | 0.                                |   |  | AFFILIATE PROGRAM<br>LOBBYING ACTIVITIES INC<br>IN 501H ELECTION |
| ACLU OF PENNSYLVANIA<br>P. O. BOX 40008<br>PHILADELPHIA, PA 19106   | 23-7184439 | 501(C)(4)                     | 100,000.                 | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF SOUTH CAROLINA<br>40 CALHOUN ST., SUITE 210<br>CHARLESTON, SC 29401   | 27-1942885 | 501(C)(4)                     | 51,518.                  | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF TENNESSEE<br>P.O. BOX 120160<br>NASHVILLE, TN 37212   | 62-0790133 | 501(C)(4)                     | 10,000.                  | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF TEXAS<br>P.O. BOX 8306<br>HOUSTON, TX 77288   | 76-0343140 | 501(C)(4)                     | 100,000.                 | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF THE NATIONAL CAPITAL AREA<br>4301 CONNECTICUT AVE. NW, SUITE 434<br>WASHINGTON, DC 20008  | 52-0749684 | 501(C)(4)                     | 25,000.                  | 0.                                |   |  | AFFILIATE PROGRAM  |
| ACLU OF UTAH<br>355 N. 300 W., SUITE 1<br>SALT LAKE CITY, UT 84103  | 27-1307106 | 501(C)(4)                     | 7,500.                   | 0.                                |   |  | AFFILIATE PROGRAM<br>LOBBYING ACTIVITIES INC<br>IN 501H ELECTION |
| ACLU OF VIRGINIA<br>701 E. FRANKLIN STREET, STE. 1412<br>RICHMOND, VA 23219   | 54-0845509 | 501(C)(4)                     | 18,000.                  | 0.                                |   |  | AFFILIATE PROGRAM  |



AMERICAN CIVIL LIBERTIES UNION, INC.

Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

| (a) Name and address of organization or government   | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ACLU OF WEST VIRGINIA<br>P.O. BOX 3952<br>CHARLESTON, WV 25339   | 23-7095474 | 501(C)(4)                     | 30,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| ACLU FOUNDATION OF MISSISSIPPI<br>P.O. BOX 2242<br>JACKSON, MS 39225                                       | 64-0694013 | 501(C)(3)                     | 25,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| CALIFORNIANS FOR SAFE<br>NEIGHBORHOODS & SCHOOLS - 1787<br>TRIBUTE ROAD, SUITE K -<br>SACRAMENTO, CA 95815 | 46-5434158 | 501(C)(4)                     | 3,500,000.               | 0.                                |   |  | RELATED ORGANIZATION<br>PROGRAM    |
| COMPETE NORTH CAROLINA<br>PO BOX 28004<br>RALEIGH, NC 27611  | 47-3360482 | 501(C)(4)                     | 30,000.                  | 0.                                |   |  | RELATED ORGANIZATION<br>PROGRAM    |
| FAIR FOCATELLO<br>PO BOX 184<br>POCATELLO, ID 83204  | 46-5025455 | 501(C)(4)                     | 8,500.                   | 0.                                |   |  | RELATED ORGANIZATION<br>PROGRAM    |
| NEW YORK CIVIL LIBERTIES UNION<br>125 BROAD STREET, 19TH FLOOR<br>NEW YORK, NY 10004                       | 13-5628799 | 501(C)(4)                     | 10,000.                  | 0.                                |   |  | AFFILIATE PROGRAM                  |
| THE REFORMATION PROJECT, INC.<br>250 S. PERSHING<br>WICHITA, KS 67218                                      | 46-1012806 | 501(C)(3)                     | 13,500.                  | 0.                                |   |  | RELATED ORGANIZATION<br>PROGRAM    |
| VOTE NO ON ONE TENNESSEE<br>1900 CHURCH ST, SUITE 305<br>NASHVILLE, TN 37203                               | 46-4730513 | 501(C)(4)                     | 150,000.                 | 0.                                |   |  | RELATED ORGANIZATION<br>PROGRAM    |

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
|                                 |                          |                          |                                   |   |  |
|                                 |                          |                          |                                   |   |  |
|                                 |                          |                          |                                   |   |  |
|                                 |                          |                          |                                   |   |  |
|                                 |                          |                          |                                   |   |  |
|                                 |                          |                          |                                   |   |  |

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

**PART I, LINE 2:**

THE ACLU HAS ESTABLISHED PROCEDURES FOR THE RELEASE OF GRANTS TO ITS AFFILIATES, AS WELL AS FOR MONITORING OF OUTCOMES TO DETERMINE WHETHER THE GOALS OF A PARTICULAR GRANT AWARD HAVE BEEN MET. GRANT AWARDS ARE CONFIRMED IN WRITING AND SUPPORTED BY A WRITTEN AGREEMENT THAT SPECIFIES THE PURPOSE OF THE GRANT, THE SPECIFIC OUTCOMES TO BE ACHIEVED, AND, IF APPLICABLE, THE INDICATORS THAT THE PARTIES AGREE WILL BE USED TO MEASURE PROGRESS TOWARDS AGREED UPON GOALS. WRITTEN AGREEMENTS DETAIL THE SPECIFIC ACTIVITIES FOR WHICH FUNDING IS TO BE PROVIDED AND DOCUMENT THE AFFILIATE'S

**Part IV Supplemental Information**

COMMITMENT TO USING THE FUNDS PROVIDED TO PURSUE SPECIFIC STRATEGIES IN ADDRESSING PROGRAM GOALS AND TARGET OUTCOMES. AFFILIATES AND OTHER ORGANIZATIONS WHO RECEIVE GRANT AWARDS MAY BE REQUIRED TO PROVIDE QUANTITATIVE AND QUALITATIVE REPORTS, AND THESE REPORTS MAY BE USED TO DETERMINE WHETHER ADDITIONAL FUNDING MAY BE REQUIRED AND/OR TO ENHANCE FUTURE GRANT PROGRAMS.

(This area contains horizontal lines for supplemental information.)

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public Inspection

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number

13-3871360

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |   |  |
|---|--|
| <input type="checkbox"/> First-class or charter travel                        | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                                | <input type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account                       | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

|    | Yes | No |
|----|-----|----|
| 1b | X   |    |
| 2  | X   |    |
| 4a |     | X  |
| 4b |     | X  |
| 4c |     | X  |
| 5a |     | X  |
| 5b |     | X  |
| 6a |     | X  |
| 6b |     | X  |
| 7  |     | X  |
| 8  |     | X  |
| 9  |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2014

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title  | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred in prior Form 990 |
|---|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
|   | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |   |
| (1) ANTHONY D. ROMERO<br>EXECUTIVE DIRECTOR/CEO                   | (i) 460,125.<br>(ii) 0.                            | (ii) 0.                             | (iii) 8,439.                        | 18,059.  | 18,758.                 | 505,381.                        | 0.  |
| (2) TERENCE DOUGHERTY<br>COO & GENERAL COUNSEL/ASST TREAS         | (i) 340,492.<br>(ii) 0.                            | (ii) 0.                             | (iii) 12,637.                       | 13,844.  | 26,270.                 | 393,243.                        | 0.  |
| (3) DOROTHY M. EHRLICH<br>DEPUTY EXEC. DIRECTOR/ASST SECRETARY    | (i) 386,505.<br>(ii) 0.                            | (ii) 0.                             | (iii) 3,356.                        | 53,382.  | 31,502.                 | 474,745.                        | 0.  |
| (4) LAURA W. MURPHY (THRU 2/2015)<br>DIR WASHINGTON LEGIS OFFIC   | (i) 331,842.<br>(ii) 0.                            | (ii) 0.                             | (iii) 4,452.                        | 15,352.  | 4,280.                  | 355,926.                        | 0.  |
| (5) MARK WIER<br>CHIEF DEVELOPMENT OFFICER                        | (i) 284,357.<br>(ii) 0.                            | (ii) 0.                             | (iii) -6,962.                       | 13,448.  | 19,757.                 | 310,600.                        | 0.  |
| (6) GRI ROZANSKI<br>DIR AFFILIATE SUPPORT & ADVOCACY              | (i) 310,329.<br>(ii) 0.                            | (ii) 0.                             | (iii) -206.                         | 46,524.  | 28,040.                 | 384,687.                        | 0.  |
| (7) STEVEN SHAPIRO<br>LEGAL DIRECTOR                              | (i) 347,650.<br>(ii) 0.                            | (ii) 0.                             | (iii) 4,818.                        | 47,594.  | 25,280.                 | 425,342.                        | 0.  |
| (8) EMILY TYNES<br>DIRECTOR OF COMMUNICATIONS                     | (i) 310,328.<br>(ii) 146,454.                      | (ii) 0.                             | (iii) 16,547.                       | 56,206.  | 30,378.                 | 413,459.                        | 0.  |
| (9) DAVID S. BAIRD<br>DIRECTOR OF OPERATIONS                      | (i) 161,714.<br>(ii) 157,501.                      | (ii) 0.                             | (iii) -2,542.                       | 21,902.  | 25,309.                 | 206,865.                        | 0.  |
| (10) GERALDINE LYNN ENGEL<br>DEPUTY DIRECTOR OF DEVELOPMENT       | (i) 167,434.<br>(ii) 195,259.                      | (ii) 0.                             | (iii) -2,301.                       | 26,208.  | 25,701.                 | 217,042.                        | 0.  |
| (11) HENRY ARMBRUSTER<br>CONTROLLER/DIR ACCOUNTING                | (i) 157,501.<br>(ii) 167,434.                      | (ii) 0.                             | (iii) -1,467.                       | 8,662.   | 12,868.                 | 177,564.                        | 0.  |
| (12) MICHAEL W. MACLEOD-BALL<br>CHIEF OF STAFF/1ST AMEND. COUNSEL | (i) 167,434.<br>(ii) 195,259.                      | (ii) 0.                             | (iii) -2,301.                       | 26,208.  | 25,701.                 | 217,042.                        | 0.  |
| (13) ERIC J. VIELAND<br>DEPUTY GENERAL COUNSEL/DIR RISK MGMT      | (i) 195,259.<br>(ii) 172,500.                      | (ii) 0.                             | (iii) -888.                         | 10,721.  | 13,088.                 | 218,180.                        | 0.  |
| (14) ALMA MONTCLAIR<br>FORMER DIR OF ADMIN & FIN/ASST TR          | (i) 172,500.<br>(ii) 0.                            | (ii) 0.                             | (iii) 0.                            | 0.   | 0.                      | 172,500.                        | 0.  |
|   | (i) 0.   | (ii) 0.                             | (iii) 0.                            | 0.   | 0.                      | 0.                              | 0.  |
|   | (i) 0.   | (ii) 0.                             | (iii) 0.                            | 0.   | 0.                      | 0.                              | 0.  |
|   | (i) 0.   | (ii) 0.                             | (iii) 0.                            | 0.   | 0.                      | 0.                              | 0.  |
|   | (i) 0.   | (ii) 0.                             | (iii) 0.                            | 0.   | 0.                      | 0.                              | 0.  |

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

GROSS-UP PAYMENTS TO EMPLOYEES WITH DOMESTIC PARTNER COVERAGE THROUGH APRIL

30TH; ALL TREATED AS TAXABLE.

FORM 990, SCHEDULE J, PART II

PART II: COLUMN B(I) INCLUDES BASE COMPENSATION, COLUMN B(II) INCLUDES BONUS PAYMENTS (THERE WERE NONE IN 2014) AND COLUMN B(III) INCLUDES ALL

OTHER REPORTABLE COMPENSATION, INCLUDING ANY "GROSS UPS" FOR DOMESTIC

PARTNER HEALTH COVERAGE, AND REDUCTIONS TO TAXABLE COMPENSATION RELATED

TO PARTICIPATION IN HEALTH OR DEPENDENT SPENDING ACCOUNTS, IF/AS

APPLICABLE. NEGATIVE NUMBERS IN COLUMN B(III) OCCUR WHEN THE AMOUNTS

DEDUCTED FROM REPORTABLE COMPENSATION ARE GREATER THAN THE COSTS OF

OTHER TAXABLE BENEFITS REPORTED IN THIS COLUMN. COLUMN C INCLUDES

EMPLOYER CONTRIBUTIONS TO THE DEFINED BENEFIT PENSION PLAN OR, FOR

EMPLOYEES HIRED ON OR AFTER APRIL 1, 2009, TO THE DEFINED CONTRIBUTION

401(K) PLAN, AND CONTRIBUTIONS, IF ANY, TO THE 457(B) PLAN; THE TOTALS

SHOWN REFLECT AMOUNTS EARNED DURING THE YEAR, WHETHER OR NOT THE

EMPLOYEE IS FULLY VESTED. COLUMN D INCLUDES NON-TAXABLE BENEFITS,

SUCH AS HEALTH AND OTHER INSURANCE, AS WELL AS AMOUNTS SET ASIDE BY

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

EMPLOYEES IN THE HEALTH AND/OR DEPENDENT CARE FLEXIBLE SPENDING PLANS,

WHICH HAVE BEEN ADDED BACK TO PROVIDE THE FULLEST PICTURE POSSIBLE OF

TOTAL COMPENSATION.

Multiple horizontal lines for supplemental information.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2014**

Open To Public Inspection

Department of the Treasury  
Internal Revenue Service

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **AMERICAN CIVIL LIBERTIES UNION, INC.** Employer identification number **13-3871360**

**Part I Types of Property**

|  | (a)<br>Check if applicable | (b)<br>Number of contributions or items contributed | (c)<br>Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d)<br>Method of determining noncash contribution amounts |
|--|----------------------------|---|--|---|
| 1 Art - Works of art   |                            |   |  |   |
| 2 Art - Historical treasures                                 |                            |   |  |   |
| 3 Art - Fractional interests                                 |                            |   |  |   |
| 4 Books and publications                                     |                            |   |  |   |
| 5 Clothing and household goods                               |                            |   |  |   |
| 6 Cars and other vehicles                                    |                            |   |  |   |
| 7 Boats and planes   |                            |   |  |   |
| 8 Intellectual property                                      |                            |   |  |   |
| 9 Securities - Publicly traded                               | X                          | 2   | 81,950.  | SALES PRICE   |
| 10 Securities - Closely held stock                           |                            |   |  |   |
| 11 Securities - Partnership, LLC, or trust interests         | X                          | 2   | 39,800.  | SALES PRICE   |
| 12 Securities - Miscellaneous                                |                            |   |  |   |
| 13 Qualified conservation contribution - Historic structures |                            |   |  |   |
| 14 Qualified conservation contribution - Other               |                            |   |  |   |
| 15 Real estate - Residential                                 |                            |   |  |   |
| 16 Real estate - Commercial                                  |                            |   |  |   |
| 17 Real estate - Other                                       |                            |   |  |   |
| 18 Collectibles  |                            |   |  |   |
| 19 Food inventory  |                            |   |  |   |
| 20 Drugs and medical supplies                                |                            |   |  |   |
| 21 Taxidermy   |                            |   |  |   |
| 22 Historical artifacts                                      |                            |   |  |   |
| 23 Scientific specimens                                      |                            |   |  |   |
| 24 Archeological artifacts                                   |                            |   |  |   |
| 25 Other ( )   |                            |   |  |   |
| 26 Other ( )   |                            |   |  |   |
| 27 Other ( )   |                            |   |  |   |
| 28 Other ( )   |                            |   |  |   |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29**

|  | Yes | No |
|--|-----|----|
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? |     | X  |
| b If "Yes," describe the arrangement in Part II.   |     |    |
| 31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?   | X   |    |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?   | X   |    |
| b If "Yes," describe in Part II.   |     |    |
| 33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.   |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) (2014)



**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

THE NUMBER OF CONTRIBUTIONS SHOWN ABOVE REPRESENTS THE TOTAL NUMBER OF DONORS OF NON-CASH CONTRIBUTIONS DURING THE YEAR.

SCHEDULE M, LINE 32B:

WE ENGAGE BROKERS, WITH EXPERTISE SELLING PROPERTY CONTRIBUTED TO THE ORGANIZATION, TO FACILITATE SALES OF NONCASH PROPERTY ON OUR BEHALF.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2014**

Open to Public  
Inspection

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number

13-3871360

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PROTECTION OF THE LAWS FOR ALL PEOPLE THROUGHOUT THE UNITED STATES AND

ITS JURISDICTIONS. THE ACLU'S OBJECTS SHALL BE SOUGHT WHOLLY WITHOUT

POLITICAL PARTISANSHIP." THE ACLU TODAY REMAINS FOCUSED ON THE

OVERARCHING GOALS SET BY ITS FOUNDERS MORE THAN 90 YRS AGO, SERVING AS

THE NATION'S GUARDIAN OF LIBERTY, WORKING DAILY IN COURTS, LEGISLATURES

AND COMMUNITIES TO DEFEND AND PRESERVE THE INDIVIDUAL RIGHTS AND

LIBERTIES THAT THE CONSTITUTION AND LAWS OF THE US GUARANTEE. THE ACLU

ALSO WORKS TO EXTEND RIGHTS TO SEGMENTS OF THE POPULATION THAT HAVE

TRADITIONALLY BEEN DENIED THEIR RIGHTS, INCLUDING PEOPLE OF COLOR;

WOMEN; LESBIANS, GAY MEN, BISEXUALS AND TRANSGENDER PEOPLE; PRISONERS;

AND PERSONS WITH DISABILITIES.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

AFFILIATES, BEYOND THE \$12,000 GRANT, TO SUPPORT LEGISLATIVE

INITIATIVES.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

CIVIL LIBERTIES POLICY FORMULATION - THE BOARD OF DIRECTORS OF THE ACLU

WORKS THROUGH ITS STANDING AND SPECIAL COMMITTEES TO ANALYZE CIVIL

LIBERTIES ISSUES AND, WHERE APPROPRIATE, TO DEVELOP POLICIES THAT WILL

SERVE AS THE FRAME OF REFERENCE FOR LEGISLATIVE, EDUCATIONAL AND

CASE-SPECIFIC WORK AT THE NATIONAL LEVEL, AND THE ORGANIZATION

IMPLEMENTS AND ASSISTS IN THE DEVELOPMENT OF POLICY GOALS THROUGH ITS

AFFILIATES.

EXPENSES \$ 350,378. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2014)

432211  
08-27-14

|  |  |
|--|--|
| Name of the organization<br>AMERICAN CIVIL LIBERTIES UNION, INC. | Employer identification number<br>13-3871360 |
|--|--|

FORM 990, PART VI, SECTION A, LINE 6:

THE BOARD MEMBERS OF THE ORGANIZATION'S 50 AFFILIATES, THE "AFFILIATE VOTING MEMBERS," ARE ELECTORS, ALONG WITH THE ORGANIZATION'S BOARD MEMBERS, IN THE ELECTION OF CERTAIN MEMBERS TO THE ORGANIZATION'S BOARD, AND EACH AFFILIATE APPOINTS ONE BOARD MEMBER TO THE NATIONAL ORGANIZATION'S BOARD. THE NATIONAL ORGANIZATION'S GENERAL MEMBERS PARTICIPATE IN THE ELECTION OF THE BOARD MEMBERS OF THE ORGANIZATION'S AFFILIATES. FIFTY GENERAL MEMBERS MAY ALSO (I) PETITION THE BOARD TO AMEND ITS BYLAWS, WHICH PETITION MUST BE CONSIDERED BY THE ORGANIZATION'S BOARD AND (II) NOMINATE INDIVIDUALS TO RUN FOR THE ORGANIZATION'S BOARD.

FORM 990, PART VI, SECTION A, LINE 7A:

SEE RESPONSE TO # 6 ABOVE.

FORM 990, PART VI, SECTION A, LINE 7B:

SEE RESPONSE TO # 6 ABOVE. THE ORGANIZATION'S AFFILIATE VOTING MEMBERS HAVE THE RIGHT TO VOTE, PUT MATTERS ON THE BOARD'S AGENDA FOR CONSIDERATION, AND TO APPROVE CERTAIN CHANGES TO THE ORGANIZATION'S BYLAWS AND VOTE TO OVERTURN A DECISION OF THE ORGANIZATION'S BOARD THAT IS SUBMITTED TO THE AFFILIATE VOTING MEMBERS FOR A VOTE BY PETITION OF THE ORGANIZATION'S AFFILIATES. UNDER D.C. LAW, THE AFFILIATE VOTING MEMBERS HAVE THE RIGHT TO APPROVE A DECISION BY THE BOARD TO DISSOLVE, MERGE/CONSOLIDATE WITH ANOTHER ORGANIZATION OR DISPOSE OR MORTGAGE ALL OR SUBSTANTIALLY ALL OF THE ORGANIZATION'S ASSETS.

FORM 990, PART VI, SECTION B, LINE 11:

THE FORM 990 WAS PREPARED BY MANAGEMENT IN CONSULTATION WITH THE

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number  
13-3871360

ORGANIZATION'S AUDITORS. THE ORGANIZATION'S AUDIT COMMITTEE AND ITS  
TREASURER REVIEWED A DRAFT OF THE 990 AND PROVIDED COMMENTS. A COMPLETE  
COPY OF THE FORM 990 WAS PROVIDED TO THE ORGANIZATION'S GOVERNING BODY  
BEFORE IT WAS FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

THE ORGANIZATION DISTRIBUTES ITS CONFLICT OF INTEREST POLICY ON AN ANNUAL  
BASIS TO EVERY KEY EMPLOYEE, OFFICER, BOARD DIRECTOR AND STANDING COMMITTEE  
MEMBER AND REQUESTS DISCLOSURE OF ANY POTENTIAL CONFLICTS OF INTEREST. THE  
CHIEF OPERATING OFFICER/IN-HOUSE GENERAL COUNSEL/ASSISTANT TREASURER OF THE  
ORGANIZATION REVIEWS ANY DISCLOSURES MADE DURING THIS ANNUAL REVIEW. IF A  
MATTER IS RAISED THAT MAY BE A CONFLICT OF INTEREST INVOLVING A BOARD  
MEMBER, AN OFFICER OR A STANDING COMMITTEE MEMBER, HE REFERS THE MATTER TO  
THE BOARD PRESIDENT AND APPROPRIATE FOLLOW UP IS UNDERTAKEN AS SET FORTH IN  
THE POLICY. IF A MATTER IS RAISED THAT MAY BE A CONFLICT OF INTEREST  
INVOLVING A KEY EMPLOYEE, HE REFERS THE MATTER TO THE EXECUTIVE DIRECTOR OR  
HIS DESIGNEE AND APPROPRIATE FOLLOW UP IS UNDERTAKEN AS SET FORTH IN THE  
POLICY. BOARD DIRECTORS, OFFICERS, STANDING COMMITTEE MEMBERS AND KEY  
EMPLOYEES ALSO MAY REPORT TO THE BOARD ANY POTENTIAL CONFLICTS OF INTEREST  
THAT ARISE DURING THE YEAR. THE ORGANIZATION'S CONFLICT OF INTEREST POLICY  
REQUIRES, AMONG OTHER THINGS, THAT INDIVIDUALS WITH CONFLICTS OF INTEREST  
WITH RESPECT TO A TRANSACTION OR ACTION MAY NOT PARTICIPATE IN THE  
DECISION-MAKING WITH RESPECT TO THAT TRANSACTION OR ACTION AND IN SOME  
CIRCUMSTANCES MAY NOT PARTICIPATE IN THE DISCUSSION.

FORM 990, PART VI, SECTION B, LINE 15A:

ON AN ANNUAL BASIS, A COMMITTEE OF THE BOARD OF THE ORGANIZATION REVIEWS  
THE EXECUTIVE DIRECTOR'S COMPENSATION. NO MEMBER OF THE COMMITTEE HAS A

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number  
13-3871360

CONFLICT OF INTEREST WITH RESPECT TO THE COMPENSATION ARRANGEMENT. THE COMMITTEE REVIEWS COMPENSATION STUDIES AND COMPARABLE COMPENSATION DATA FOR OTHER EXECUTIVE DIRECTORS AT SIMILARLY SITUATED ORGANIZATIONS. THE COMMITTEE CONTEMPORANEOUSLY DOCUMENTS AND RECORDS, IN ITS MINUTES, ITS DELIBERATIONS AND DECISIONS. NO ACLU OFFICER RECEIVES COMPENSATION IN HIS/HER CAPACITY AS OFFICER. COMPENSATION OF KEY EMPLOYEES, OTHER THAN THE EXECUTIVE DIRECTOR, IS SET BY THE ORGANIZATION'S EXECUTIVE DIRECTOR OR THE KEY EMPLOYEE'S MANAGER IF THE MANAGER IS NOT THE EXECUTIVE DIRECTOR. COMPENSATION DATA FOR FUNCTIONALLY COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS IS CONSIDERED, AND DECISIONS ARE CONTEMPORANEOUSLY DOCUMENTED. IN LIGHT OF RECENT CHANGES IN STATE LAW, GOING FORWARD, THE COMPENSATION OF KEY EMPLOYEES, OTHER THAN THE EXECUTIVE DIRECTOR, WILL BE APPROVED BY THE AUDIT COMMITTEE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AK, AR, AZ, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, MA, MD, ME, MN, MS, MO, NC, ND, NH, NJ, NM  
NY, OH, OK, OR, PA, RI, SC, TN, UT, WA, WI, WV

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION'S FORM 990 FOR THE PAST THREE OR MORE YEARS, EXCLUDING SCHEDULE B, IS AVAILABLE ON THE ORGANIZATION'S WEBSITE. THE ORGANIZATION'S FORM 990-T IS AVAILABLE UPON REQUEST. COPIES OF THE ORGANIZATION'S FORM 990 ARE ALSO AVAILABLE ON THE GUIDESTAR WEBSITE. THE ORGANIZATION'S IRS FORM 1024, BYLAWS, CONFLICT OF INTEREST POLICY AND ITS FINANCIAL STATEMENTS FOR THE PRIOR THREE YEARS ARE AVAILABLE ON ITS WEBSITE. THE ORGANIZATION'S ARTICLES OF INCORPORATION ARE AVAILABLE UPON REQUEST OR THROUGH THE DISTRICT OF COLUMBIA OFFICE OF THE SECRETARY, DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS.

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number  
13-3871360

FORM 990, PART VII, SECTION A, LINE 1A, COLUMN B

THE NUMBER OF HOURS REPORTED FOR THOSE INDIVIDUALS RECEIVING  
COMPENSATION IS BASED ON WEEKLY HOURS FOR PAYROLL PURPOSES. THE ACTUAL  
NUMBER OF HOURS WORKED IS CONSIDERABLY HIGHER.

FORM 990, PART IX, LINE 11G, OTHER FEES:

CANVASSING:

|                                 |            |
|---------------------------------|------------|
| PROGRAM SERVICE EXPENSES        | 2,274,930. |
| MANAGEMENT AND GENERAL EXPENSES | 0.         |
| FUNDRAISING EXPENSES            | 252,770.   |
| TOTAL EXPENSES                  | 2,527,700. |

TELEMARKETING:

|                                 |            |
|---------------------------------|------------|
| PROGRAM SERVICE EXPENSES        | 867,869.   |
| MANAGEMENT AND GENERAL EXPENSES | 0.         |
| FUNDRAISING EXPENSES            | 132,464.   |
| TOTAL EXPENSES                  | 1,000,333. |

OTHER PROFESSIONAL FEES:

|  |            |
|--|------------|
| PROGRAM SERVICE EXPENSES                               | 2,463,128. |
| MANAGEMENT AND GENERAL EXPENSES                        | 249,153.   |
| FUNDRAISING EXPENSES                                   | 91,958.    |
| TOTAL EXPENSES   | 2,804,239. |
| TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A | 6,332,272. |

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

Name of the organization

AMERICAN CIVIL LIBERTIES UNION, INC.

Employer identification number

13-3871360

MINIMUM PENSION LIABILITY ADJUSTMENT -23,013,481.

RECOGNITION OF AFFILIATES SHARE OF PENSION LIABILITY 48,400,091.

TOTAL TO FORM 990, PART XI, LINE 9 25,386,610.

Multiple horizontal lines for additional entries.

**SCHEDULE R**  
**(Form 990)**

**Related Organizations and Unrelated Partnerships**  
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
▶ Attach to Form 990.

**2014**  
Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **AMERICAN CIVIL LIBERTIES UNION, INC.** Employer identification number **13-3871360**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
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|   |                         |  |                     |                           |                                  |

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity                                  | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity     | (g)<br>Section 512(b)(13) controlled entity? |    |
|--|--|--|----------------------------|---|--------------------------------------|--|----|
|  |  |  |                            |   |                                      | Yes  | No |
| AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC. - 13-6213516, 125 BROAD STREET, 18TH FLOOR, NEW YORK, NY 10004 | PRESERVATION AND PROMOTION OF CIVIL RIGHTS AND LIBERTIES | NEW YORK   | 501(C)(3)                  | LINE 7  | AMERICAN CIVIL LIBERTIES UNION, INC. |  | X  |
|  |  |  |                            |   |                                      |  |    |
|  |  |  |                            |   |                                      |  |    |
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|  |  |  |                            |   |                                      |  |    |
|  |  |  |                            |   |                                      |  |    |



**Part III** Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a)<br>Name, address, and EIN<br>of related organization | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state or<br>foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Predominant income<br>(related, unrelated,<br>excluded from tax under<br>sections 512-514) | (f)<br>Share of total<br>income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Disproportionate<br>allocations? |    | (i)<br>Code V-UBI<br>amount in box<br>20 of Schedule<br>K-1 (Form 1065) | (j)<br>General or<br>managing<br>partner? | (k)<br>Percentage<br>ownership |
|--|-------------------------|---|-------------------------------------|---|---------------------------------|--|---|----|---|---|--------------------------------|
|  |                         |   |                                     |   |                                 |  | Yes                                     | No |   |   |                                |
|  |                         |   |                                     |   |                                 |  |   |    |   |   |                                |
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**Part IV** Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)<br>Name, address, and EIN<br>of related organization | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state or<br>foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Type of entity<br>(C corp, S corp,<br>or trust) | (f)<br>Share of total<br>income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Percentage<br>ownership | (i)<br>Section<br>512(b)(13)<br>controlled<br>entity? |    |
|--|-------------------------|---|-------------------------------------|--|---------------------------------|--|--------------------------------|---|----|
|  |                         |   |                                     |  |                                 |  |                                | Yes   | No |
|  |                         |   |                                     |  |                                 |  |                                |   |    |
|  |                         |   |                                     |  |                                 |  |                                |   |    |
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|  |                         |   |                                     |  |                                 |  |                                |   |    |
|  |                         |   |                                     |  |                                 |  |                                |   |    |

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

|  | Yes | No |
|--|-----|----|
| <b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity |     | X  |
| <b>b</b> Gift, grant, or capital contribution to related organization(s)                                 |     | X  |
| <b>c</b> Gift, grant, or capital contribution from related organization(s)                               | X   |    |
| <b>d</b> Loans or loan guarantees to or for related organization(s)                                      |     | X  |
| <b>e</b> Loans or loan guarantees by related organization(s)   |     | X  |
| <b>f</b> Dividends from related organization(s)  |     | X  |
| <b>g</b> Sale of assets to related organization(s)   |     | X  |
| <b>h</b> Purchase of assets from related organization(s)   |     | X  |
| <b>i</b> Exchange of assets with related organization(s)   |     | X  |
| <b>j</b> Lease of facilities, equipment, or other assets to related organization(s)                      |     | X  |
| <b>k</b> Lease of facilities, equipment, or other assets from related organization(s)                    |     | X  |
| <b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)  |     | X  |
| <b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)   |     | X  |
| <b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)   | X   |    |
| <b>o</b> Sharing of paid employees with related organization(s)  | X   |    |
| <b>p</b> Reimbursement paid to related organization(s) for expenses                                      | X   |    |
| <b>q</b> Reimbursement paid by related organization(s) for expenses                                      | X   |    |
| <b>r</b> Other transfer of cash or property to related organization(s)                                   |     | X  |
| <b>s</b> Other transfer of cash or property from related organization(s)                                 |     | X  |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

| (a)<br>Name of related organization | (b)<br>Transaction type (a-s) | (c)<br>Amount involved | (d)<br>Method of determining amount involved |
|-------------------------------------|-------------------------------|------------------------|--|
| (1) ACLU FOUNDATION, INC.           | N                             | 5,349,049              | FTE BASED ALLOCATION METHODOLOGY             |
| (2) ACLU FOUNDATION, INC.           | O                             | 2,788,115              | REVENUE BASED ALLOCATION METHOD              |
| (3) ACLU FOUNDATION, INC.           | P                             | 5,349,049              | FTE BASED ALLOCATION METHODOLOGY             |
| (4) ACLU FOUNDATION, INC.           | Q                             | 2,788,115              | REVENUE BASED ALLOCATION METHOD              |
| (5) ACLU FOUNDATION, INC.           | C                             | 250,000                | ACTUAL                                       |

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

Table with 10 columns: (a) Name, address, and EIN of entity; (b) Primary activity; (c) Legal domicile (state or foreign country); (d) Predominant income (related, unrelated, excluded from tax under sections 512-514); (e) Are all partners sec. 501(c)(3) orgs.? Yes/No; (f) Share of total income; (g) Share of end-of-year assets; (h) Disproportionate allocations? Yes/No; (i) Code V-UBI amount in box 20 of Schedule K-1 of Form 1065; (j) General or managing partner? Yes/No; (k) Percentage ownership.



# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|  | Enter filer's identifying number   |   |
|--|--|---|
| <b>Type or print</b>   | Name of exempt organization or other filer, see instructions.                            | Employer identification number (EIN) or |
|  | AMERICAN CIVIL LIBERTIES UNION, INC.   | 13-3871360                              |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions.                   | Social security number (SSN)            |
|  | 125 BROAD STREET, 18TH FLOOR   |   |
|  | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |   |
|  | NEW YORK, NY 10004   |   |

Enter the Return code for the return that this application is for (file a separate application for each return) 01

| Application Is For                       | Return Code | Application Is For                | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

TERENCE DOUGHERTY

- The books are in the care of ▶ 125 BROAD STREET, 18TH FLOOR - NEW YORK, NY 10004  
Telephone No. ▶ 212-549-2500 Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 16, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶  calendar year \_\_\_\_\_ or

▶  tax year beginning APR 1, 2014, and ending MAR 31, 2015.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

|   |           |    |    |
|---|-----------|----|----|
| <b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | <b>3a</b> | \$ | 0. |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ | 0. |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | <b>3c</b> | \$ | 0. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.