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EMMANUEL SAEZ

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DATE OF BIRTH: 11-26-1972

CITIZENSHIP: France and US

EDUCATION:

1999 Ph.D. in Economics, MIT

1996 M.D. in Economics at DELTA, Paris, France

1994 B.A. in Mathematics at École Normale Supérieure, 45 Rue d'Ulm, Paris, France

PROFESSIONAL EXPERIENCE:

July 2005- present: Professor of Economics, University of California at Berkeley (Chancellor's chair in Tax Policy and Public Finance since July 2012, Cox chair July 2010-June 2012) July 2010- present: Director, Center for Equitable Growth, <u>http://ceg.berkeley.edu/</u>, UC Berkeley

July 2003- June 2005: Associate Professor of Economics, University of California at Berkeley July 2002- June 2003: Assistant Professor of Economics, University of California at Berkeley July 1999- June 2002: Assistant Professor of Economics, Harvard University

HONORS AND AWARDS:

- 2019 Honorary degree, Harvard University
- 2014 John von Neumann Award from the Rajk Laszlo College, Budapest
- 2014 H.C. Recktenwald Prize in Economics from the University of Erlangen-Nuremberg, Germany
- 2014 Fellow, Econometrics Society
- 2011 AEJ: Economic Policy best paper award for "Do Taxpayers Bunch at Kink Points?"
- 2010 MacArthur Foundation Fellow
- 2010 Fellow, American Academy of Arts and Sciences
- 2010 Best Young French Economist Prize, Le Monde, Cercle des Économistes
- 2009 John Bates Clark Medal from the American Economic Association
- 2006 Purvis Memorial Prize of the Canadian Economic Association (joint with Michael Veall)
- 2003 Alfred P. Sloan Research Fellowship
- 2002 National Science Foundation CAREER grant award
- 2002 Best Graduate Teacher Award (UC Berkeley Econ Graduate Students Association)
- 2002 CESifo prize in Public Economics for best paper by a young scholar
- 1999 National Tax Association dissertation prize
- 1999 Review of Economic Studies Tour
- 1998 Alfred P. Sloan Doctoral Dissertation Fellowship

INVITED LECTURES

- 2020 Harberger Lecture, UCLA
- 2019 Stone Lecture, Harvard Kennedy School
- 2019 Cowles Lecture, North American meetings of the Econometric Society, Seattle
- 2018 American Finance Association Lecture, AEA meetings, Philadelphia

- 2016 Western Economic Association International Conference keynote address, Portland, Oregon
- 2014 Rosenbluth Memorial Lecture, University of British Columbia, Vancouver
- 2014 Neubauer Collegium Lecture, University of Chicago
- 2013 Centraal Planbureau (CPB) Lecture, The Netherlands
- 2013 Arrow Lecture, Stanford University
- 2012 De Benedetti Lecture, Bocconi University
- 2012 Moses Lecture, University of California, Berkeley

VISITING POSITIONS:

September 2017- June 2018: Vic Trione Visiting Professor of Economics, SIEPR, Stanford University September 2009- December 2009: Visiting Professor, Department of Economics, MIT September 2007- June 2008: Fellow, Center for Advanced Study in the Behavioral Sciences, Stanford September 2004- June 2005: National Fellow, Hoover Institution, Stanford University July 2001- June 2002: Visiting Assistant Professor, University of California at Berkeley

PROFESSIONAL AFFILIATIONS:

2003-present: Research Associate, Public Economics Program, NBER 2004-present: Research Fellow, Public Policy Program, CEPR 2005-present: Research Fellow, CESifo 2009-present: Research Fellow, IZA

2009-2013: Co-Director of Public Policy Program, CEPR 1999-2003: Faculty Research Fellow, Public Economics Group, NBER 2001-2004: Research Affiliate, Public Policy Group, CEPR 2002-2005: Research Affiliate, CESifo

EDITORIAL RESPONSIBILITIES:

2012-present: Advisory Editor, <u>Journal of Public Economics</u> 2016-present: Advisory Editor, <u>International Tax and Public Finance</u> 2006-2010: Editor, <u>Journal of Public Economics</u> 2003-2006: Co-Editor, <u>Journal of Public Economics</u> 2004-2015: Associate Editor, <u>International Tax and Public Finance</u> 2009-2013: Associate Editor, <u>Quantitative Economics</u> 2004-2005: Associate Editor, <u>Economic Journal</u> 2002-2003: Associate Editor, <u>Journal of Public Economics</u>

RESEARCH GRANTS:

- 2020-2026 European Research Council (ERC) grant (co-PI, with Thomas Piketty PI).
- 2010-2019 Sandler Foundation annual research grants to fund the Berkeley Center for Equitable Growth <u>http://ceg.berkeley.edu/</u>
- 2016-2020 NSF grant SES-1559014: "Behavioral Responses to Taxation and Inequality: Evidence from Policy Variation using Administrative Data"
- 2016-2018 Russell Sage Foundation grant (with Gabriel Zucman)
- 2016-2017 Alfred P. Sloan Foundation grant (with Danny Yagan)
- 2015-2018 INET and Sandler Foundation grant (with Gabriel Zucman): "US Distributional National Accounts"
- 2015-2017 Arnold Foundation grant (with Danny Yagan and Gabriel Zucman): "Using Big Tax Data for Public Policy Research on Inequality and Opportunity"
- 2014-2016 INET and Sandler Foundation grant (with Pascal Michaillat): "Macroeconomic Policy over the Business Cycle"
- 2013-2015 Fondation Banque de France grant (with Pascal Michaillat):

	"Macroeconomic Fluctuations and Inequality"
2012-2015	NSF grant SES-1156240:
	"Inequality and Taxation: Evidence from Data, Experiments, and Tax Policy Variation"
2012-2013	Smith Richardson Foundation Grant (with Raj Chetty, John Friedman, and Danny Yagan)
	"New Policy Evidence from U.S. Tax Data"
2010-2012	International Growth Center grant (with Henrik Kleven and Claus Kreiner):
	"Understanding Fiscal Capacity in Developing Countries"
2010-2011	Smith Richardson Foundation Grant (with Raj Chetty and John Friedman)
	"Policy Lessons from U.S. Tax Records"
2009-2012	NSF grant SES-0850631: "Behavioral Responses to Taxation: Evidence from Field
	Experiments and Tax Policy Variation"
2006-2009	NSF grant SES-0617737 (with Wojciech Kopczuk):
	"Earnings Inequality and Mobility in the United States"
2004-2005	NBER-SSA Retirement Research Center grant (with Jeffrey Liebman):
	"Earnings Responses to Raising the Social Security Taxable Maximum"
2002-2007	NSF CAREER grant SES-0134946:
	"The Evolution of Income Inequality during the 20th Century"
2000	NSF small research grant SES-0078535 (with Esther Duflo):
	"The Determinants of 401(k) Participation"

TEACHING:

Graduate Courses: Public Economics (1999-present) <u>http://eml.berkeley.edu/~saez/course/course.html</u>, Topics on Inequality (2009), Mathematics for Economists (2000)

Undergraduate Courses: Public Economics (2002-present) http://eml.berkeley.edu/~saez/course131/course131.html

AUTHORED BOOKS AND EDITED VOLUMES:

1. Landais, Camille, Thomas Piketty, and Emmanuel Saez Pour une révolution fiscale: Un impôt sur le revenu pour le XXIème siècle Paris: Le Seuil, 2011. <u>http://www.revolution-fiscale.fr/</u> [in french]

2. Auerbach, Alan, Raj Chetty, Martin Feldstein, and Emmanuel Saez (editors) <u>Handbook of Public</u> <u>Economics</u>, Volume 5, Amsterdam: Elsevier-North Holland, 2013.

3. Alvaredo, Facundo, Lucas Chancel, Thomas Piketty, Emmanuel Saez, and Gabriel Zucman <u>The World</u> <u>Inequality Report 2018</u>, Cambridge: Harvard University Press, 2018. <u>http://wir2018.wid.world/</u>

4. Saez, Emmanuel and Gabriel Zucman <u>The Triumph of Injustice: How the Rich Dodge Taxes and How</u> to <u>Make them Pay</u>, New York: W.W. Norton, 2019. <u>www.taxjusticenow.org</u>

DATA WEBSITES:

World Inequality Database <u>www.wid.world/</u> joint with Facundo Alvaredo, Lucas Chancel, Thomas Piketty, and Gabriel Zucman since January 2017 (succeeds and subsumes the earlier World Top Income Database, 2011-2016).

Tax Justice Now <u>www.taxjusticenow.org</u> joint with Gabriel Zucman since October 2019

REFEREED JOURNAL PUBLICATIONS:

1. Saez, Emmanuel "Using Elasticities to Derive Optimal Income Tax Rates", <u>Review of Economic Studies</u>, 68, 2001, 205-229.

2. Saez, Emmanuel "The Desirability of Commodity Taxation under Nonlinear Income Taxation and Heterogeneous Tastes", Journal of Public Economics, 83, 2002, 217-230.

3. Gruber, Jonathan and Emmanuel Saez "The Elasticity of Taxable Income: Evidence and Implications", <u>Journal of Public Economics</u>, 84, 2002, 1-32 (longer version: NBER Working Paper No. 7512, January 2000).

4. Saez, Emmanuel "Optimal Income Transfer Programs: Intensive Versus Extensive Labor Supply Responses", <u>Quarterly Journal of Economics</u>, 117(3), 2002, 1039-1073. **CESifo young scholar prize in Public Economics 2002**

5. Duflo, Esther, and Emmanuel Saez "Participation and Investment Decisions in a Retirement Plan: The Influence of Colleagues' Choices", Journal of Public Economics, 85, 2002, 121-148.

6. Piketty, Thomas and Emmanuel Saez "Income Inequality in the United States, 1913-1998", <u>Quarterly</u> Journal of Economics, 118(1), 2003, 1-39, series updated to 2017 in October 2018

7. Saez, Emmanuel "The Effect of Marginal Tax Rates on Income: A Panel Study of Bracket Creep", Journal of Public Economics, 87(5-6), 2003, 1231-1258.

8. Duflo, Esther, and Emmanuel Saez "The Role of Information and Social Interactions in Retirement Plans Decisions: Evidence from a Randomized Experiment", <u>Quarterly Journal of Economics</u>, 118(3), 2003, 815-842.

9. Saez, Emmanuel "Direct or Indirect Tax Instruments for Redistribution: Short-Run versus Long-Run", Journal of Public Economics, 88(3-4), 2004, 503-518.

10. Kopczuk, Wojciech and Emmanuel Saez "Top Wealth Shares in the United States, 1916-2000: Evidence from Estate Tax Returns", <u>National Tax Journal</u>, 57(2), Part 2, June 2004, 445-487. (Longer version: NBER Working Paper No. 10399, March 2004).

Reprinted in The Economics of Wealth Distribution, James B. Davis (ed.), Edward Elgar Publishing, 2013, Volume I.

11. Saez, Emmanuel "The Optimal Treatment of Tax Expenditures", <u>Journal of Public Economics</u>, 88(12), 2004, 2657-2684.

12. Saez, Emmanuel and Michael Veall "The Evolution of High Incomes in Northern America: Lessons from Canadian Evidence", <u>American Economic Review</u>, 95(3), 2005, 831-849. **Purvis Memorial Prize of the Canadian Economic Association 2006**

13. Chetty, Raj and Emmanuel Saez "Dividend Taxes and Corporate Behavior: Evidence from the 2003 Dividend Tax Cut", <u>Quarterly Journal of Economics</u>, 120(3), 2005, 791-833 (longer version NBER Working Paper No. 10841, October 2004; preliminary version NBER Working Paper No. 10572, June 2004).

14. Duflo, Esther, William Gale, Jeffrey Liebman, Peter Orszag, and Emmanuel Saez "Saving Incentives for Low- and Middle-Income Families: Evidence from a Field Experiment with H&R Block", <u>Quarterly</u> Journal of Economics, 121(4), 2006, 1311-1346. **TIAA-CREF Paul A. Samuelson Certificate of Excellence 2007**

15. Immerwoll, Herwig, Henrik Kleven, Claus Kreiner, and Emmanuel Saez "Welfare Reform in European Countries: A Micro-Simulation Analysis", <u>Economic Journal</u>, 117(1), 2007, 1-44.

16. Moriguchi, Chiaki and Emmanuel Saez "The Evolution of Income Concentration in Japan, 1885-2005: Evidence from Income Tax Statistics", <u>Review of Economics and Statistics</u> 90(4), 2008, 713-734. **Reprinted** in Chinese in Journal of Comparative Studies, 50(5), 2010, 105-134.

17. Saez, Emmanuel "Details Matter: The impact of Presentation and Information in the Take-up of Financial Incentives for Retirement Savings", <u>American Economic Journal: Economic Policy</u> 1(1), 2009, 204-228. (Earlier version CEPR Discussion Paper No. 6386, July 2007).

18. Kleven, Henrik, Claus Kreiner, and Emmanuel Saez "The Optimal Income Taxation of Couples," <u>Econometrica</u> 77(2), 2009, 537-560 (longer version CESifo Working Paper, No. 2092, September 2007, older version NBER Working Paper No. 12685, November 2006).

19. Alvaredo, Facundo and Emmanuel Saez "Income and Wealth Concentration in Spain in a Historical and Fiscal Perspective", <u>Journal of the European Economic Association</u> 7(5), 2009, 1140–1167.

20. Chetty, Raj and Emmanuel Saez "Optimal Taxation and Social Insurance with Endogenous Private Insurance", <u>American Economic Journal: Economic Policy</u> 2(2), 2010, 85-116.

21. Kopczuk, Wojciech, Emmanuel Saez, and Jae Song "Earnings Inequality and Mobility in the United States: Evidence from Social Security Data since 1937", <u>Quarterly Journal of Economics</u> 125(1), 2010, 91-128 (older and longer version NBER Working Paper No. 13345, August 2007).

22. Chetty, Raj and Emmanuel Saez "Dividend and Corporate Taxation in an Agency Model of the Firm", <u>American Economic Journal: Economic Policy</u>, 2(3), 2010, 1-31 (older version "An Agency Theory of Dividend Taxation", NBER Working Paper No. 13538, October 2007).

23. Saez, Emmanuel "Do Taxpayers Bunch at Kink Points?" <u>American Economic Journal: Economic Policy</u> 2(3), 2010, 180-212 (older versions: NBER Working Paper, No. 7366, September 1999 and NBER-TAPES Conference draft June 2002) **AEJ-Economic Policy Best Paper Award 2011**

24. Kleven, Henrik, Martin Knudsen, Claus Kreiner, Soren Pedersen, and Emmanuel Saez "Unwilling or Unable to Cheat? Evidence from a Tax Audit Experiment in Denmark", <u>Econometrica</u> 79(3), 2011, 651-692.

25. Chetty, Raj, John Friedman, Nathaniel Hilger, Emmanuel Saez, Diane Schanzenbach, and Danny Yagan, "How Does Your Kindergarten Classroom Affect Your Earnings? Evidence from Project STAR", <u>Quarterly Journal of Economics</u> 126(4), 2011, 1593-1660.

26. Lee, David and Emmanuel Saez "Optimal Minimum Wage Policy in Competitive Labor Markets", Journal of Public Economics 96(9-10), 2012, 739-749.

27. Saez, Emmanuel, Manos Matsaganis and Panos Tsakloglou "Earnings Determination and Taxes: Evidence from a Cohort Based Payroll Tax Reform in Greece", <u>Quarterly Journal of Economics</u> 127(1), 2012, 493-533.

28. Card, David, Alex Mas, Enrico Moretti, and Emmanuel Saez "Inequality at Work: The Effect of Peers' Salary on Job Satisfaction", <u>American Economic Review</u> 102(6), 2012, 2981-3003.

29. Saez, Emmanuel "Optimal Progressive Capital Income Taxes in the Infinite Horizon Model", <u>Journal of</u> <u>Public Economics</u> 97(1), 2013, 61-74.

30. Kleven, Henrik, Camille Landais, and Emmanuel Saez "Taxation and International Mobility of Superstars: Evidence from the European Football Market", <u>American Economic Review</u> 103(5), 2013, 1892-1924.

31. Chetty, Raj and Emmanuel Saez "Teaching the Tax Code: Earnings Responses to an Experiment with EITC Recipients", <u>American Economic Journal: Applied Economics</u> 5(1), 2013, 1-31.

32. Chetty, Raj, John Friedman, and Emmanuel Saez "Using Differences in Knowledge across Neighborhoods to Uncover the Impacts of the EITC on Earnings", <u>American Economic Review</u> 103(7), 2013, 2683-2721.

33. Piketty, Thomas and Emmanuel Saez "A Theory of Optimal Inheritance Taxation", <u>Econometrica</u> 81(5), 2013, 1851-1886 (older and longer version "A Theory of Optimal Capital Taxation", NBER Working Paper No. 17989, April 2012).

34. Piketty, Thomas, Emmanuel Saez, and Stefanie Stantcheva "Optimal Taxation of Top Labor Incomes: A Tale of Three Elasticities", <u>American Economic Journal: Economic Policy</u> 6(1), 2014, 230-271.

35. Kleven, Henrik, Camille Landais, Emmanuel Saez, and Esben Schultz "Migration and Wage Effects of Taxing Top Earners: Evidence from the Foreigners' Tax Scheme in Denmark", <u>Quarterly Journal of Economics</u> 129(1), 2014, 333-378.

36. Chetty, Raj, Nathan Hendren, Patrick Kline, and Emmanuel Saez, "Where is the Land of Opportunity? The Geography of Intergenerational Mobility in the United States", <u>Quarterly Journal of Economics</u> 129(4), 2014, 1553-1623. Data online at <u>http://www.equality-of-opportunity.org/data/</u>

37. Kuziemko, Ilyana, Michael I. Norton, Emmanuel Saez, and Stefanie Stantcheva "How Elastic are Preferences for Redistribution? Evidence from Randomized Survey Experiments", <u>American Economic Review</u>, 105(4), 2015, 1478-1508.

38. Michaillat, Pascal and Emmanuel Saez, "Aggregate Demand, Idle Time, and Unemployment", <u>Quarterly Journal of Economics</u> 130(2), 2015, 507-569.

39. Saez, Emmanuel and Stefanie Stantcheva "Generalized Social Marginal Welfare Weights for Optimal Tax Theory", <u>American Economic Review</u> 106(1), 2016, 24-45.

40. Kleven, Henrik, Claus Kreiner, and Emmanuel Saez "Why Can Modern Governments Tax So Much? An Agency Model of Firms as Fiscal Intermediaries", <u>Economica</u> 83(330), 2016, 219-246.

41. Saez, Emmanuel and Gabriel Zucman "Wealth Inequality in the United States since 1913: Evidence from Capitalized Income Tax Data", <u>Quarterly Journal of Economics</u> 131(2), 2016, 519-578.

42. Landais, Camille, Pascal Michaillat, and Emmanuel Saez "A Macroeconomic Approach to Optimal Unemployment Insurance: Theory", <u>American Economic Journal: Economic Policy</u> 10(2), 2018, 152-181.

43. Landais, Camille, Pascal Michaillat, and Emmanuel Saez "A Macroeconomic Approach to Optimal Unemployment Insurance: Applications", <u>American Economic Journal: Economic Policy</u> 10(2), 2018, 182-216.

44. Piketty, Thomas, Emmanuel Saez, and Gabriel Zucman "Distributional National Accounts: Methods and Estimates for the United States", <u>Quarterly Journal of Economics</u> 133(2), 2018, 553-609. Data online at <u>http://gabriel-zucman.eu/usdina/</u>

45. Saez, Emmanuel and Stefanie Stantcheva "A Simpler Theory of Optimal Capital Taxation", <u>Journal of</u> <u>Public Economics</u> 162, 2018, 120-142, special issue <u>In honor of Sir Tony Atkinson (1944-2017)</u>.

46. Michaillat, Pascal and Emmanuel Saez "Optimal Public Expenditure with Inefficient Unemployment", <u>Review of Economic Studies</u> 86(3), 2019, 1301-1331.

47. Saez, Emmanuel, Benjamin Schoefer, and David Seim "Payroll Taxes, Firm Behavior, and Rent Sharing: Evidence from a Young Workers' Tax Cut in Sweden", <u>American Economic Review</u> 109(5), 2019, 1717-1763.

48. Chetty, Raj, John Friedman, Emmanuel Saez, Nicholas Turner, Danny Yagan "Income Segregation and Intergenerational Mobility Across Colleges in the United States", <u>Quarterly Journal of Economics</u> 135(3), 2020, 1567-1633. Data online at <u>https://opportunityinsights.org/data/</u>

49. Michaillat, Pascal and Emmanuel Saez "Resolving New Keynesian Anomalies with Wealth in the Utility Function", revised October 2019, forthcoming <u>Review of Economics and Statistics</u>.

50. Martinez, Isabel, Emmanuel Saez, and Michael Siegenthaler. "Intertemporal Labor Supply Substitution? Evidence from the Swiss Income Tax Holidays", NBER Working Paper No. 24634, revised July 2020, forthcoming <u>American Economic Review</u>.

OVERVIEW AND SURVEY ARTICLES:

1. Piketty, Thomas and Emmanuel Saez "How Progressive is the U.S. Federal Tax System? A Historical and International Perspective", Journal of Economic Perspectives 21(1), Winter 2007, 3-24.

2. Atkinson, Anthony, Thomas Piketty, and Emmanuel Saez "Top Incomes in the Long Run of History", <u>Journal of Economic Literature</u> 49(1), 2011, 3-71, **data series maintained in World Inequality Database** <u>http://wid.world/</u> (joint with Facundo Alvaredo, Lucas Chancel, and Gabriel Zucman).

3. Diamond, Peter and Emmanuel Saez "The Case for a Progressive Tax: From Basic Research to Policy Recommendations", <u>Journal of Economic Perspectives</u> 25(4), Fall 2011, 165-190.

4. Saez, Emmanuel, Joel Slemrod, and Seth Giertz "The Elasticity of Taxable Income with Respect to Marginal Tax Rates: A Critical Review", <u>Journal of Economic Literature</u> 50(1), 2012, 3-50.

5. Piketty, Thomas and Emmanuel Saez "Optimal Labor Income Taxation", Chapter 7, in A. Auerbach, R. Chetty, M. Feldstein, and E. Saez (eds.) <u>Handbook of Public Economics</u>, Volume 5, (Amsterdam: Elsevier-North Holland), 2013, 391-474.

6. Alvaredo, Facundo, Anthony Atkinson, Thomas Piketty, and Emmanuel Saez "The Top 1% in International and Historical Perspective," Journal of Economic Perspectives 27(3), Summer 2013, 3-20.

7. Piketty, Thomas and Emmanuel Saez "Inequality in the Long-Run", <u>Science</u> 344, 2014, 838-843.

8. Saez, Emmanuel and Gabriel Zucman "Progressive Wealth Taxation." <u>Brookings Papers of Economic</u> <u>Activity</u>, Fall 2019.

OTHER ARTICLES:

1. Saez, Emmanuel "Optimal Income Tax Rates and Elasticities: A Summary", <u>National Tax Association</u> <u>Proceedings</u>, 92nd Annual Conference on Taxation, 2000, 64-71.

2. Piketty, Thomas and Emmanuel Saez "Inkomstskillnader i USA, 1913-1998", <u>Ekonomisk Debatt</u>, 30, 1, 2002, 15-31 (summary in Swedish of refereed publication 6).

3. Duflo Esther, and Emmanuel Saez "Implications of Information and Social Interactions for Retirement Saving Decisions", in O. Mitchell and S. Utkus, eds., <u>Pension Design and Structure: New Lessons from</u> <u>Behavioral Finance</u>. Pension Research Council. (Oxford, UK: Oxford University Press), 2004.

4. Saez, Emmanuel "Reported Incomes and Marginal Income Tax Rates, 1960-2000: Evidence and Policy Implications", <u>Tax Policy and the Economy</u>, ed. James Poterba, (Cambridge: MIT Press), Volume 18, 2004.

5. Saez, Emmanuel "Top Incomes in the United States and Canada over the Twentieth Century", <u>Journal</u> of the European Economic Association, Paper and Proceedings, 3(2-3), 2005, 402-411.

6. Saez, Emmanuel "Income Concentration in a Historical and International Perspective", in eds. Alan Auerbach, David Card, John Quigley, <u>Public Policy and Income Distribution</u>, (New York: Russell Sage Foundation), 2006, 221-258.

7. Saez, Emmanuel "Redistribution Toward Low Incomes in Richer Countries", in A. Banerjee, R. Benabou, and D. Mookherjee eds. <u>Understanding Poverty</u>, (Oxford: Oxford University Press), 2006, 187-201.

8. Piketty, Thomas and Emmanuel Saez "The Evolution of Top Incomes: A Historical and International Perspective", <u>American Economic Review: Papers and Proceedings</u>, 96(2), May 2006, 200-205. **Reprinted** in <u>Inequalities and Societies Reader</u>, First Edition, W.W. Norton & Company, New York, February 2009.

9. Chetty, Raj and Emmanuel Saez "The Effect of the 2003 Dividend Tax Cut on Corporate Behavior: Interpreting the Evidence", <u>American Economic Review: Papers and Proceedings</u>, 96(2), May 2006, 124-129.

10. Chetty, Raj, Joseph Rosenberg, and Emmanuel Saez "The Effects of Taxes on Market Responses to Dividend Announcements and Payments: What Can we Learn from the 2003 Dividend Tax Cut?", in A. Auerbach and J. Slemrod, eds. <u>Taxing Corporate Income in the 21st Century</u>, Cambridge: Cambridge University Press, 2007, 1-32.

11. Piketty, Thomas and Emmanuel Saez "Income Inequality in the United States, 1913-2002", Chapter 5 in A. B. Atkinson and T. Piketty, eds. <u>Top Incomes over the Twentieth Century: A Contrast Between</u> <u>European and English Speaking Countries</u>, Oxford: Oxford University Press, 2007, 141-225 (longer and updated version of refereed publication 6).

12. Saez, Emmanuel and Michael Veall "The Evolution of High Incomes in Canada, 1920-2000", Chapter 6 in A. B. Atkinson and T. Piketty, eds. <u>Top Incomes over the Twentieth Century: A Contrast Between</u> <u>European and English Speaking Countries</u>, Oxford: Oxford University Press, 2007, 226-308 (longer version of refereed publication 12).

13. Dell, Fabien, Thomas Piketty, and Emmanuel Saez "The Evolution of Income and Wealth Concentration in Switzerland over the 20th Century", Chapter 11 in A. B. Atkinson and T. Piketty, eds. <u>Top</u> <u>Incomes over the Twentieth Century: A Contrast Between European and English Speaking Countries</u>, Oxford: Oxford University Press, 2007, 472-500.

14. Duflo, Esther, William Gale, Jeffrey Liebman, Peter Orszag, and Emmanuel Saez "Savings Incentives for Low- and Moderate-Income Families in the United States: Why is the Saver's Credit Not More Effective?", Journal of the European Economic Association, Paper and Proceedings, 5(2-3), 2007, 647-661.

15. Brewer, Michael, Emmanuel Saez, and Andrew Shephard "Means Testing and Tax Rates on Earnings", Chapter 2 in <u>Dimension of Tax Design: The Mirrlees Review</u>, Institute for Fiscal Studies, Oxford University Press, 2010, 90-173.

16. Moriguchi, Chiaki and Emmanuel Saez "The Evolution of Income Concentration in Japan, 1885-2005: Evidence from Income Tax Statistics", Chapter 3 in A. B. Atkinson and T. Piketty, eds. <u>Top Incomes: A</u> <u>Global Perspective</u>, Oxford: Oxford University Press, 2010, 76-170 (longer version of refereed publication 16).

17. Alvaredo, Facundo and Emmanuel Saez "Income and Wealth Concentration in Spain in a Historical and Fiscal Perspective", Chapter 10 in A. B. Atkinson and T. Piketty, eds. <u>Top Incomes: A Global Perspective</u>, Oxford: Oxford University Press, 2010, 482-559 (longer version of refereed publication 19).

18. Atkinson, Anthony, Thomas Piketty, and Emmanuel Saez "Top Incomes in the Long Run of History", Chapter 13 in A. B. Atkinson and T. Piketty, eds. <u>Top Incomes: A Global Perspective</u>, Oxford: Oxford University Press, 2010, 664-759 (shorter version of overview publication 2).

19. Piketty, Thomas and Emmanuel Saez "Penser la Fiscalité du XXIe Siècle" in <u>Repenser l'Economie</u>, <u>Regards Croisés sur l'Economie</u>, 10, 2012, 159-170. [in French]

20. Diamond, Peter, and Emmanuel Saez, "Taxing High Earnings", in <u>The Occupy Handbook</u>, Ed. Janet Byrne, Little and Brown, 2012, 317-329.

21. Piketty, Thomas and Emmanuel Saez, "Top Incomes and the Great Recession: Recent Evolutions and Policy Implications", <u>International Monetary Fund Economic Review</u>, 61(3), 2013, 456-478.

22. Chetty, Raj, Nathan Hendren, Patrick Kline, Emmanuel Saez, and Nicholas Turner, "Is the United States Still a Land of Opportunity? Recent Trends in Intergenerational Mobility", <u>American Economic Review: Papers and Proceedings</u>, 104(5), May 2014, 141-147 (longer version NBER Working Paper No. 19844, 2014). Data online at <u>https://opportunityinsights.org/data/</u>

23. Chetty, Raj, Emmanuel Saez, and Laszlo Sandor "What Policies Increase Prosocial Behavior? An Experiment with Referees at the Journal of Public Economics", <u>Journal of Economic Perspectives</u> 28(3), 2014, 169-188.

24. Murphy, Kevin and Emmanuel Saez "Income and Wealth in America", Chapter 6 in T. Church, C. Miller, and J. B. Taylor, eds. <u>Inequality and Economic Policy: Essays in Memory of Gary Becker</u>, Stanford: Hoover Institution Press, 2015, 111-146.

25. Alvaredo, Facundo, Anthony B. Atkinson, Lucas Chancel, Thomas Piketty, Emmanuel Saez, and Gabriel Zucman. 2016. "Distributional National Accounts (DINA) Guidelines: Concepts and Methods used in WID.world." WID.world Working Paper 2016/1, December 2016.

26. Alvaredo, Facundo, Thomas Breda, Barra Roantree, and Emmanuel Saez "Contribution Ceilings and the Incidence of Payroll Taxes", <u>De Economist</u> (special issue), 165(2), 2017, 129-140.

27. Saez, Emmanuel "The Research Agenda after Capital in the 21st Century", Chapter 13 in H. Boushey, B. DeLong, M. Steinbaum, eds. <u>After Piketty: The Agenda for Economics and Inequality</u>, Cambridge: Harvard University Press, 2017, 304-321.

28. Saez, Emmanuel "Income and Wealth Inequality: Evidence and Policy Implications" (transcription of Western Economic Association International Conference keynote address) <u>Contemporary Economic Policy</u>, 35(1), 2017, 7-25 and 26-28.

29. Alvaredo, Facundo, Lucas Chancel, Thomas Piketty, Emmanuel Saez, and Gabriel Zucman "Global Inequality Dynamics: New Findings from WID.world" <u>American Economic Review: Papers and Proceedings</u>, 107(5), May 2017, 404-409 (longer version NBER Working Paper No. 23119, 2017).

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Co-organizer (with V.V. Chari) of NBER Summer Institute Conference on Taxation, August 2004

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Co-organizer (with O. Bandiera, H. Kleven and T. Piketty) of CEPR PP Symposium, London, June 2010

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