

SMITHSONIAN DIRECTIVE 401, MARCH 1, 2019,

ATTACHMENT A

RENTAL OF THE ' NATIONAL MUSEUM OF THE AMERICAN INDIAN | NEW YORK ' at the ALEXANDER HAMILTON U.S. CUSTOM HOUSE ' Special Events Policy '

1. 'PURPOSE

This document establishes rental use and special event policies that are applicable to use of event space at The National Museum of the American Indian in New York (NMAI-NY) in the Alexander Hamilton U.S. Custom House.

NMAI-NY is a bureau of the Smithsonian Institution (SI); this policy supplements Smithsonian directive SD 401. The NMAI-NY is operated as outlined in the Lease Agreement between SI and the General Services Administration (GSA) and this policy reflects the role of the NMAI-NY as a tenant in a GSA-owned building.

2. TYPES OF EVENTS

The following types of rental events are authorized at the National Museum of the American Indian in New York:

- Private corporations, non-profit, and foundation receptions;
- Fundraising galas for organizations with 501(c)(3) tax-exempt status (including religious organizations);
- Personal social events for adults such as wedding receptions, memorials, anniversary celebrations, and birthday parties.
- Personal youth events, such as proms, dances, and birthday parties for anyone age 13 or over.
- Business gatherings in non-public spaces.

No events hosted on Thanksgiving Day or Christmas Day. There will be blackout weekends in May, September, October, and November that will not be available for any social events, including weddings.

3. RULES

The National Museum of the American Indian celebrates the culture, art, and history of the Native peoples of the Americas. The National Museum of the American Indian in New York is housed in the exquisite Beaux Arts landmark, The Alexander Hamilton U.S. Custom House. Adjacent to the newly restored Battery Park, which overlooks the bustling Lower Manhattan Harbor, this museum is a spectacular place for all types of special events and a place where art, history, and culture, comes together in unique and extraordinary ways. The following policies have been instituted to preserve and protect the museum spaces and its collections. The NMAI-NY Office of Special Events is responsible for ensuring these rules are followed.

Prohibited Activities

The following activities are prohibited at the National Museum of the American Indian:

- a.) Political activities, including solicitations for candidates; campaign kickoffs, or other activities directed toward the success or failure of a partisan candidate, political party, or partisan political group;
- b.) Violations of any federal or local law regarding the sale of alcoholic beverages or other restricted substances:
- c.) Sales of non-Smithsonian products or services;
- d.) Gambling;
- e.) Religious or civil ceremonies (other than those incidental to a wedding rental)
- f.) Solicitations by for-profit entities (for example, ticketed events advertised to the general public).

Use of the Smithsonian Name

The use of the Smithsonian name and the National Museum of the American Indian name is prohibited, except to indicate the address of the rental event. Licensees are prohibited from using the Smithsonian or National Museum of the American Indian logo.

Hours of Events

The NMAI-NY museum is open to the public every day except Christmas Day -- December 25th, from 10:00 a.m. - 5:00 p.m. and open until 8:00 p.m. on Thursday evenings. Most evening events may begin at 6 p.m.

Events held in the Rotunda on Thursday evenings can only be held beginning at 6:00 p.m., upon special request and dependent on programming in the East Gallery and its accessibility to the general public.

Events must end by 11:30 p.m. For inquiries regarding early morning or daytime events, please call 212-514-3750.

Site Visits and Walk-Throughs '

Site visit appointments can be scheduled and accommodated Monday through Thursday, from 9:00 a.m. to 5:00 p.m. based on space and staff availability.

Once an event is confirmed, a final walk-through with the NMAI-NY Special Events Coordinator, all vendors, event planners, and the Licensee must be scheduled at least two weeks prior to the event. Licensees must submit a list of vendors, a timeline, and a seating and floor plan for approval at minimum one week prior to the final walk-through.

Reservations

Inquiries for space availability can be sent via email to nmainy-specialevents@si.edu or by phone call to 212-514-3750. The NMAI-NY Office of Special Events will provide the licensee with an event request form. Upon review and approval of the event request form a site visit will be arranged for the prospective licensee.

Upon notification that the prospective licensee would like to move forward with booking the space, the NMAI-NY Office of Special Events will send a contract. The prospective licensee has 20 business days to sign the contract and submit the necessary deposit. In the event we receive another inquiry for the same date(s) and we have not received a signed contract, the prospective licensee who has the first hold will be given first right of refusal and three (3) business days to return a signed contract with confirmation of deposit. After three business days, the secondary hold will be given the option to go to contract.

As a tenant in the Alexander Hamilton U.S. Custom House, NMAI-NY shares management of some of its event spaces in the building. Reserving space at NMAI-NY is arranged by coordination between the NMAI-NY Special Events Office and the Custom House landlord, GSA. Reservations requests of shared spaces can be made up to a year in advance and usually confirmed within two weeks of the request.

Payments & Cancellations

Charges for the event will be clearly outlined in the event contract. A 50% non-refundable deposit is required in order to confirm your event. The remaining balance is due 20 business days prior to the event.

In the event of a cancellation less than 20 business days prior to the Event, the Licensee is responsible for payment of 100% of the contracted price. NMAI-NY will endeavor to re-schedule the event based on the Museum's availability, but it is not guaranteed. An event can be rescheduled based on a mutually agreeable time within one year of the original date.

NMAI-NY will bear no financial responsibility for any disruptions that may occur due to mechanical difficulties, Museum emergencies, or unanticipated events beyond the Museum's control.

Payments can be made by check or credit card. Checks should be payable to:

National Museum of the American Indian-New York

and sent to: National Museum of the American Indian

Office of Special Events One Bowling Green New York, NY 10004

212-514-3820

Contracting

This attachment may be provided at the time of inquiry to inform prospective licensees of NMAI-NY's rules and requirements. This attachment will be incorporated into an Event Agreement that includes additional legal requirements. The event is not guaranteed until all parties sign an event contract, and payment has been received.

NMAI-NY Event Coordination & Management

The NMAI-NY Office of Special Events is an integral partner in all phases of the event process as well as a resource for assistance. A designated NMAI-NY Special Events Coordinator will be on-site for the duration of your event to ensure the safety of the building, collections, and the guests. At any time if safety and security of the building, collections, and guests are in question, the NMAI-NY Special Events coordinator will address it with the Licensee's designated day-of-event coordinator.

The NMAI-NY Special Events Manager or a designee has approval over all aspects of the event and will work directly with a single point of contact from the participating organization throughout the event planning process and on these issues in particular:

- Security measures will be determined by the Federal Protection Services (FPS) and SI
 Office of Protection Services (OPS), in consultation with the NMAI-NY Special Events
 Manager. Any questions, concerns, or issues related to security should be shared first
 with the NMAI-NY Special Events Coordinator.
- The NMAI-NY Special Events Coordinator must be notified prior to the event if dignitaries, including Members of Congress, Cabinet level, or other government officials, or high-profile VIP e.g. Fortune 500 CEO or celebrity, are expected to attend, so that appropriate security protocols can be put in place. The NMAI-NY Special Events Coordinator must also be told about any guest or attendee who has their own security (armed or unarmed) or they will not be allowed access to the building.
- For security purposes, the organization must provide the names of guests prior to the event. This list will not be used for solicitation or publicity purposes.

Press and Public Relations

Licensee must notify the NMAI-NY Special Events Coordinator in advance of all invited press and related filming or photography coverage.

The museum may be listed on any printed material as the site or venue for the event only. The names of the Smithsonian and the museum may not otherwise be used in any advertising, promotions or publications. Copies of all printed and electronic material containing this

reference (i.e., advertising, promotional, tickets, websites, emails, invitations, and menu cards) shall be submitted to the NMAI-NY Special Events Manager for approval before distribution. Announcements and invitations may not be issued until the Agreement has been signed and the deposit received.

Insurance

Licensee and each of its vendors must maintain adequate liability insurance coverage and indemnify and hold harmless the United States and the Smithsonian, its agents and employees, from any and all claims, damages, or other liability arising out of its use of the Smithsonian facilities. Certificates of Insurance should disclose the full limits of coverage maintained by the organization as listed below and must be received by the NMAI-NY Office of Special Events at least one week prior to the event. Proposed events will be cancelled if insurance certification is not received prior to the event.

Licensee and each of its vendors and other service providers shall obtain and carry the following:

- General liability insurance pertaining to the event in the minimum amount of two million (\$2,000,000) dollars per occurrence, with the Smithsonian Institution and the United States Government named as additionally insured. For events with an expected attendance over 2,000 people, the limit of liability shall \$5,000,000 dollars per occurrence.
- All vendors and other service providers (excluding florists, musicians, and photographers using hand-held cameras) must have general liability insurance providing coverage of not less than \$2,000,000 per occurrence for injury and general liability, with the Smithsonian Institution and the United States named as additional insured. Florists, musicians, and photographers using hand-held cameras must maintain and provide proof of current insurance.
- Vendors providing or serving alcohol (e.g., caterers) must have not less than an additional \$2,000,000 in specific general liability insurance pertaining to their service of alcohol, with the Smithsonian Institution and the United States Government named as additional insured.
- Auto Liability Coverage. If Vendor uses auto(s) on Smithsonian premises, Auto Liability in the minimum amount of \$1,000,000.00 dollars per occurrence, combined single limit.
- All vendors must carry workers compensation coverage as required by the jurisdiction where the work will be performed.
- Licensee and its vendors may meet the insurance requirements through separate, combination, or package policies if they meet the required limits and scope of coverage. Umbrella and/or excess policy limits may be accepted to meet the required limits and scope of insurance.

Vendors '

The National Museum of the American Indian in New York maintains a list of approved vendors who have successfully operated in our spaces and are knowledgeable about our requirements. A copy of the approved vendors list is attached. If any of your proposed vendors are not on the list, they must be approved by NMAI-NY Office of Special Events first.

All proposed vendors must abide by the insurance requirements listed above and they must meet the building requirements and demonstrate substantial experience working in historic buildings, museums, or similar locations in the past year in order to provide services at events in the Alexander Hamilton U.S. Custom House. Food providers who are not on vendor list must be approved by the NMAI-NY Office of Special Events or they must partner with a licensed caterer from the list.

All Social Events hosted at the museum are required to contract with an approved day-of-event planner. The event planner is responsible for overseeing all vendors, load-in, load-out, and ensure that vendors and attendees are following the rules set out in the agreement and vendor policies provided by the museum.

Specific vendor guidelines are as follows:

1.) CATERING

Catering firms and personnel are responsible for operating under the following NMAI-NY Event Guidelines. Failure to do so may result in the discontinuation of their services at the museum.

a. Liquor

Alcohol may only be brought into the building with the permission of the Special Events Coordinator and then only at pre-arranged times. The caterer is responsible for liquor from the time of delivery until it is returned to the distributor. All liquor must be removed from the building immediately following the event. **Liquor may only be served by professional bartenders.**

b. Kitchen Areas / Stoves

The floors of the kitchen prep areas in the building must be completely covered with brown paper to protect the floor's surfaces. Hook-up for electric stoves should be arranged in advance. The 2nd floor Education Workshop and the Staff Lounge are equipped with outlets (220 and 250 watts). **Propane may not be used**. Sterno is allowed in warming ovens only, and only in designated areas of the building. Caterers must make events staff aware of any other types of ovens that will be used prior to the event. The Rotunda is equipped with outlets (110 watts). All equipment and decorations must be removed immediately following the event.

c. Caterers should carefully review the sections on Set Up, Deliveries, and Facilities Regulations in this document for other important guidelines related to catering. Please ask an NMAI-NY Special Events Coordinator if you have questions or concerns.

2. AUDIO VISUAL '

For events held in the Diker Pavilion or the Auditorium arrangements for audio visual support may be made by utilizing the in-house resources of the NMAI. If other spaces are use, an outside company is required, but all arrangements must be approved and coordinated through the NMAI-NY Special Events Coordinator and/or the NMAI-NY A/V & IT Manager.

3.) FLORIST

Delivery of flowers should be coordinated through the NMAI-NY Special Events Coordinator and/or Facilities Manager. All flowers and equipment must be removed immediately following the event.

Due to the sensitive nature of the artifacts in the Museum, all floral and decorative items must be approved by the NMAI-NY Special Events Coordinator prior to the event. All potted plants and/or field grown cuttings will be treated prior to being brought into the NMAI-NY building with Safer O.H.P soap (written certification of plant treatment will need to be provided on company letterhead one week prior to the event.) **NMAI has the right to refuse entrance in building if certificate of plant treatment is not presented prior to event.**

4.) **DECOR/LIGHTING**

All decorating, sound, lighting and staging companies must obtain prior approval from the NMAI-NY Special Events Coordinator and/or Facilities Manager.

No decoration, structure, equipment, or banner may be affixed to any portion of the building. Artifacts or displays may not be moved, draped, or covered.

All power, sound cables, and other cords must be securely taped to the floor/carpet with gaffer's tape. All tape must be removed immediately following the event. All sound, light and power must be installed in compliance with existing local codes.

5.) **ENTERTAINMENT**

Most forms of entertainment are permissible in the NMAI-NY with prior approval from the Special Events Coordinator. If a Native cultural presentation is desired, the Special Events Coordinator can help identify an appropriate group.

6.) VIDEOTAPING/PHOTOGRAPHY

Licensee must notify the NMAI-NY Special Events Coordinator in writing at least 2 weeks in advance of any plans to use professional photographers or videographers at the event.

During the event, the Licensee may photograph and record within the interior of NMAI-NY at locations specified by Museum, so long as (1) the images and recordings will be used only for personal, non-commercial, or internal corporate use, and (2) any appearance of Smithsonian names, logos, staff, collections, iconic Smithsonian locations, or other identifiable Smithsonian content in the images or recordings are background and incidental. In no case shall the images or recordings be used in a way that it suggests the Smithsonian or NMAI-NY endorses the Licensee or its activity.

All vendors and Licensee should carefully review the sections below in this document on Set Up, Deliveries, and Facilities Regulations for other important guidelines related to event use in the building. Please speak directly with an NMAI-NY Special Events Coordinator if you have any questions or concerns.

Set-Up

- Timing: Delivery and set-up times must be arranged with the NMAI-NY Special Events
 Coordinator at least two weeks prior to the event. Generally, most deliveries should be
 made before 10:00 a.m. when the museum opens to the public. If, due to the complexity
 of the event, set up or delivery MUST take place during public hours, it should be done
 in such a manner so as not to disturb the general public visitation of the Museum and
 discussed with the NMAI-NY Special Events Coordinator.
- Protection of Floors/Walls: The floors of prep areas used in the building should be
 covered with brown industrial paper by the vendors. The areas in the building used for
 bars must be completely covered with plastic/bar runners to protect the marble, slate,
 carpeting, painted surfaces and artifacts. A generous number of drop tables and service
 staff should be incorporated into the plan for each event, in order to protect the floors
 and artifacts in the Museum.

Deliveries

- Hours: The NMAI-NY is open to the public seven days a week beginning at 10:00 a.m.
 Arrangements for deliveries should be made through the Special Events Coordinator and/or Facilities Manager prior to the event date. Deliveries must be made prior to public hours unless you receive special permission from the museum events staff.
- Loading Dock: The loading dock is located on Bridge Street, between Whitehall and State Street and is open Monday—Friday from 8:00 a.m. to 4:00 p.m. Use of the loading dock at other times must be pre-arranged. Prior arrangements for use of the loading dock must be made with the NMAI-NY Special Events Coordinator and/or Facilities Manager. The following details must be provided to the Special Events Coordinator no later than 1 business day prior to the delivery:
 - a.) Type of vehicle
 - b.) Vehicle license plate number
 - c.) Driver's name
 - d.) Driver's license plate number
- Parking: Parking is not available at the Alexander Hamilton U.S. Custom House.
 Temporary parking to unload and pick up equipment only is available.
 Delivery personnel should be notified in advance of the parking situation and should make alternative arrangements.
- Elevators: A freight elevator is located in the rear of the building for use by caterers and
 other vendors. From the loading dock all vendors should contact our facilities
 department for an escort in the building. Prior arrangements for use of the freight
 elevator should be made with the NMAI-NY Special Events Coordinator and/or Facilities
 Manager.

- Equipment: Caterers and other vendors should provide their own hand trucks and flatbeds for transporting equipment and food. Equipment should be fitted with rubber wheels to prevent floor damage.
- Storage: Due to lack of storage space at NMAI-NY, arrangements should be made in advance for the storage of equipment prior to an event. The NMAI-NY does not guarantee the security of stored goods. All equipment must be removed from the building immediately following the event.
- Event Representation: A designated representative of Licensee must be on site during all deliveries, set-up and load-outs.

Facility Regulations

- Alcoholic Beverages: Prior written permission must be obtained to serve alcoholic beverages. Requests should be received by the NMAI-NY Special Events Coordinator no less than 30 days prior to the event. Alcohol may only be brought into the building with the permission of the Special Events Coordinator and then only at pre-arranged times. Any alcohol brought into the building at other times by anyone other than an approved vendor is subject to confiscation by in-house security.
- Behavior: Individuals must be fully clothed at all times and shoes must be worn at all times. Any guests refusing to adhere to this policy will be escorted from the facility by security.
- *Clean-Up:* The caterer is responsible for cleaning up all debris from events including vacuuming carpeted areas.
- *Closing:* All events must end by the contracted end time. A fee will be incurred for events that extend beyond the contracted time.
- Contraband: Any items that are imported or exported illegally such as drugs or guns will
 not be permitted in the facility. Individuals attempting to bring contraband into the
 building will be escorted from the facility by security.
- *Decorations:* NMAI-NY does not permit fountains, hazers, fog, balloons, and confetti at any event.
- Flames: Candles (including votive) and open flames are not allowed. Sterno may only be used in warming ovens in designated prep areas only; chafing dishes with open flame sternos are not allowed anywhere in the building.
- Food and Beverage: Please note that no red beverages such as red wine, cranberry
 juice, tomato juice, etc. can be served in the white marbled areas of the museum
 (Rotunda, Great Hall and Pavilion Lobby). All food and beverages must be served by a
 licensed caterer.
- Garbage Removal: All garbage must be removed from the site immediately following the
 event. Vendors are responsible for removing their own debris. All catering debris,
 flowers, décor elements, equipment, and other miscellaneous event debris must be
 removed by the vendors at the conclusion of the event unless other arrangements have
 been specifically arranged beforehand with the NMAI-NY Office of Special Events. The
 loading dock must be left clean following events. Liquid or solid refuse should not be
 dumped into restroom sinks; large quantities of ice may not be left in sinks.

- Parking: Parking is not available at the Alexander Hamilton U.S. Custom House.
 However, there are several local parking garages that are convenient to the museum's location. Information on these parking facilities can be provided by the NMAI-NY Office of Special Events.
- Press: In the event of press coverage at the event the NMAI-NY Press Policy will be shared with the Licensee prior to the event. Please review the policies and procedures and direct any questions or concerns to the NMAI-NY Special Events Coordinator.
- Security: All security measures at the Custom House are governed by the Building Security Committee and The Office of Federal Protection Services (FPS). During the event, FPS guards all entrances and exits as well as shared spaces. Security in museum spaces are governed by the SI Office of Protection Services (OPS). Any questions, concerns, or issues related to security should be shared with the NMAI-NY Special Events Coordinator.
- *Smoking:* Smoking, including electronic cigarettes, is NOT permitted in the Alexander Hamilton U.S. Custom House at any time (this includes all GSA and NMAI spaces).
- Signage: No signage or displays of any kind may be displayed inside of, outside of, or on the building without prior permission from the NMAI-NY Special Events Coordinator.
- Storage: Space at the museum is limited therefore delivery of event materials prior to the event is only permitted on a case by case basis and must have written approval from the NMAI-NY Special Events Coordinator beforehand.