

Introduction to
Integrability in AdS/CFT:
Lecture 4

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Introduction

Recall:

- 1-loop dilatation operator for all single-trace operators in $\mathcal{N}=4$ SYM is integrable



- 1-loop anomalous dimensions are given by a set of Bethe equations

Higher loops?

Plan

- Today:

- dilatation operator at higher loops

- **all-loop** asymptotic S-matrix

- **all-loop** asymptotic Bethe equations

- Final: bound states & their S-matrices

dilatation operator
at higher loops

Dilatation operator has been computed perturbatively
in various sectors at small ($\sim 2,3$) loop order

[Beisert, Kristjansen & Staudacher 03, ...]

$$\mathcal{D} = \sum_{n=0}^{\infty} \left(\frac{\lambda}{16\pi^2} \right)^n \mathcal{D}^{(n)} \quad \text{n: loop order}$$

SU(2) sector:

coupled
nearest neighbors

$$\mathcal{D}^{(1)} = 2 \sum_{k=1}^L (I - \mathcal{P}_{k,k+1}) \quad 2$$

$$\mathcal{D}^{(2)} = 2 \sum_{k=1}^L (-4I + 6\mathcal{P}_{k,k+1} - \mathcal{P}_{k,k+1}\mathcal{P}_{k+1,k+2} - \mathcal{P}_{k+1,k+2}\mathcal{P}_{k,k+1}) \quad 3$$

•
•
•

$$\mathcal{D}^{(n)} \quad n+1$$

Interaction range grows with loop order!

Seem to have "perturbative integrability":
higher charges also constructed perturbatively

$$Q_k = \sum_{n=1}^{\infty} \lambda^n Q_k^{(n)}$$

$$Q_1 = \mathcal{D} \quad [Q_k, Q_l] = 0$$

i.e.,

$$\begin{aligned} 0 &= \left[\left(\lambda Q_k^{(1)} + \lambda^2 Q_k^{(2)} + \dots \right), \left(\lambda Q_l^{(1)} + \lambda^2 Q_l^{(2)} + \dots \right) \right] \\ &= \lambda^2 \underbrace{\left[Q_k^{(1)}, Q_l^{(1)} \right]}_{\equiv 0} + \lambda^3 \underbrace{\left(\left[Q_k^{(1)}, Q_l^{(2)} \right] + \left[Q_k^{(2)}, Q_l^{(1)} \right] \right)}_{\equiv 0} + \dots \end{aligned}$$

- Does **not** seem to come from an R-matrix
- New kind of integrability !?
- Finding \mathcal{D} to all loops seems (at least for now) hopeless...

all-loop asymptotic
S-matrix

R-matrix does not seem to work... Try S-matrix approach!

long-range interaction \Rightarrow

S-matrix is "asymptotic":
valid only for widely-separated particles

$$\Psi^{(12)}(x_1, x_2) \sim e^{i(p_1 x_1 + p_2 x_2)} + S(p_2, p_1) e^{i(p_2 x_1 + p_1 x_2)} \quad x_1 \ll x_2$$

Can compute S-matrix perturbatively, from known \mathcal{D} ,
by introducing "fudge" functions: [Staudacher 04]

$$\Psi^{(12)}(x_1, x_2) = e^{i(p_1 x_1 + p_2 x_2)} f(x_2 - x_1, p_1, p_2) + S(p_2, p_1) e^{i(p_2 x_1 + p_1 x_2)} g(x_2 - x_1, p_1, p_2)$$

$$f(x, p_1, p_2) = 1 + \sum_{n=0}^{\infty} \lambda^{n+x} f^{(n)}(p_1, p_2)$$

$$\Rightarrow S(p_1, p_2) = \sum_{n=0}^{\infty} \lambda^n S^{(n)}(p_1, p_2)$$

But will not take us far,
since we hardly know \mathcal{D} ...

Audacious idea: "guess" the exact S-matrix!

[Beisert 05]

(analogy: sine-Gordon [Zamolodchikov² 79])

Guiding principle: **symmetry**

Global symmetry algebra is $psu(2,2|4)$

But it is partially broken by the vacuum $|0\rangle = |Z^L\rangle$:

$$so(6) \rightarrow so(4) = su(2) \times su(2)$$

$$psu(2, 2|4) \rightarrow psu(2|2) \times psu(2|2) \ltimes \mathbb{R}$$

↑

common central charge

$$\mathbb{H} \equiv \mathcal{D} - R_{56}$$

$$R_{56}|0\rangle = L|0\rangle \Rightarrow \mathbb{H}|0\rangle = 0 \quad \checkmark$$

Elementary excitations:

$$\sum_{x=1}^L e^{ipx} | \overset{1}{\downarrow} Z \cdots \overset{x}{\downarrow} \chi \cdots \overset{L}{\downarrow} Z \rangle$$

$$\chi \in \{ \phi_{a\dot{a}}, D_{\alpha\dot{\alpha}} Z ; \psi_{\alpha\dot{a}}, \bar{\psi}_{a\dot{\alpha}} \}$$

$$a = 1, 2, \quad \dot{a} = \dot{1}, \dot{2}$$

$$\alpha = 3, 4, \quad \dot{\alpha} = \dot{3}, \dot{4}$$



$$(2|2) \times (2|2) = (4 + 4|4 + 4) = (8|8)$$

Fundamental reps $su(2|2) \times su(2|2)$

ZF operators: $A_{k\dot{k}}^\dagger(p) = A_k^\dagger(p) \otimes \dot{A}_{\dot{k}}^\dagger(p)$

$$k = 1, \dots, 4, \quad \dot{k} = \dot{1}, \dots, \dot{4}$$

Focus on single copy of $su(2|2)$

Generators:

bosonic

$$\mathbb{L}_a^b, \quad \mathbb{R}_\alpha^\beta$$

$$a, b = 1, 2, \quad \alpha, \beta = 3, 4$$

SUSY

$$Q_\alpha^a, \quad Q_a^{\dagger\alpha}$$

central charges

$$\mathbb{H}, \quad \mathbb{C}, \quad \mathbb{C}^\dagger$$

Algebra:

$$[\mathbb{L}_a^b, \mathbb{J}_c] = \delta_c^b \mathbb{J}_a - \frac{1}{2} \delta_a^b \mathbb{J}_c, \quad [\mathbb{R}_\alpha^\beta, \mathbb{J}_\gamma] = \delta_\gamma^\beta \mathbb{J}_\alpha - \frac{1}{2} \delta_\alpha^\beta \mathbb{J}_\gamma$$

$$[\mathbb{L}_a^b, \mathbb{J}^c] = -\delta_a^c \mathbb{J}^b + \frac{1}{2} \delta_a^b \mathbb{J}^c, \quad [\mathbb{R}_\alpha^\beta, \mathbb{J}^\gamma] = -\delta_\alpha^\gamma \mathbb{J}^\beta + \frac{1}{2} \delta_\alpha^\beta \mathbb{J}^\gamma$$

$$\left\{ Q_\alpha^a, Q_\beta^b \right\} = \epsilon_{\alpha\beta} \epsilon^{ab} \mathbb{C}, \quad \left\{ Q_a^{\dagger\alpha}, Q_b^{\dagger\beta} \right\} = \epsilon^{\alpha\beta} \epsilon_{ab} \mathbb{C}^\dagger$$

$$\left\{ Q_\alpha^a, Q_b^{\dagger\beta} \right\} = \delta_b^a \mathbb{R}_\alpha^\beta + \delta_\alpha^\beta \mathbb{L}_b^a + \frac{1}{2} \delta_b^a \delta_\alpha^\beta \mathbb{H}$$

Action of symmetry generators on ZF operators:

bosonic:

$$[\mathbb{L}_a^b, A_c^\dagger(p)] = (\delta_c^b \delta_a^d - \frac{1}{2} \delta_a^b \delta_c^d) A_d^\dagger(p), \quad [\mathbb{L}_a^b, A_\gamma^\dagger(p)] = 0$$

$$[\mathbb{R}_\alpha^\beta, A_\gamma^\dagger(p)] = (\delta_\gamma^\beta \delta_\alpha^\delta - \frac{1}{2} \delta_\alpha^\beta \delta_\gamma^\delta) A_\delta^\dagger(p), \quad [\mathbb{R}_\alpha^\beta, A_c^\dagger(p)] = 0$$

SUSY:

$$Q_\alpha^a A_b^\dagger(p) = e^{-ip/2} \left[a(p) \delta_b^a A_\alpha^\dagger(p) + A_b^\dagger(p) Q_\alpha^a \right]$$

$$Q_\alpha^a A_\beta^\dagger(p) = e^{-ip/2} \left[b(p) \epsilon_{\alpha\beta} \epsilon^{ab} A_b^\dagger(p) - A_\beta^\dagger(p) Q_\alpha^a \right]$$

$$Q_a^{\dagger\alpha} A_b^\dagger(p) = e^{ip/2} \left[c(p) \epsilon_{ab} \epsilon^{\alpha\beta} A_\beta^\dagger(p) + A_b^\dagger(p) Q_a^{\dagger\alpha} \right]$$

$$Q_a^{\dagger\alpha} A_\beta^\dagger(p) = e^{ip/2} \left[d(p) \delta_\beta^\alpha A_a^\dagger(p) - A_\beta^\dagger(p) Q_a^{\dagger\alpha} \right]$$

central:

$$\mathbb{C} A_i^\dagger(p) = e^{-ip} \left[a(p)b(p) A_i^\dagger(p) + A_i^\dagger(p) \mathbb{C} \right]$$

$$\mathbb{C}^\dagger A_i^\dagger(p) = e^{ip} \left[c(p)d(p) A_i^\dagger(p) + A_i^\dagger(p) \mathbb{C}^\dagger \right]$$

$$\mathbb{H} A_i^\dagger(p) = [a(p)d(p) + b(p)c(p)] A_i^\dagger(p) + A_i^\dagger(p) \mathbb{H}$$

Determination of a, b, c, d :

• $A_i^\dagger(p)|0\rangle$ form a rep of algebra $\implies ad - bc = 1$

• rep unitary $\implies d = a^*, c = b^*$

• \mathbb{C} on 2-particle states $\implies ab = ig(e^{ip} - 1)$

g constant

Consistent with

$$a = \sqrt{g}\eta, \quad b = \sqrt{g}\frac{i}{\eta} \left(\frac{x^+}{x^-} - 1 \right), \quad c = -\sqrt{g}\frac{\eta}{x^+}, \quad d = \sqrt{g}\frac{x^+}{i\eta} \left(1 - \frac{x^-}{x^+} \right)$$

where

$$\left. x^+ + \frac{1}{x^+} - x^- - \frac{1}{x^-} = \frac{i}{g}, \quad \frac{x^+}{x^-} = e^{ip} \right\} \eta = e^{i\frac{p}{4}} \sqrt{i(x^- - x^+)}$$

For 1-particle states:

$$\mathbb{H} = ig \left(x^- - \frac{1}{x^-} - x^+ + \frac{1}{x^+} \right) = \sqrt{1 + 16g^2 \sin^2 \frac{p}{2}}$$

Compare with 1 loop to determine g:

$$\Delta - J = (\Delta_0 + \gamma) - J$$

$$= 1 + \gamma$$

$$= 1 + \frac{\lambda}{2\pi^2} \sin^2 \frac{p}{2} + \dots$$

$$g = \frac{\sqrt{\lambda}}{4\pi}$$

Consistent with

$$a = \sqrt{g}\eta, \quad b = \sqrt{g}\frac{i}{\eta} \left(\frac{x^+}{x^-} - 1 \right), \quad c = -\sqrt{g}\frac{\eta}{x^+}, \quad d = \sqrt{g}\frac{x^+}{i\eta} \left(1 - \frac{x^-}{x^+} \right)$$

where

$$\left. x^+ + \frac{1}{x^+} - x^- - \frac{1}{x^-} = \frac{i}{g}, \quad \frac{x^+}{x^-} = e^{ip} \right\} \quad \eta = e^{i\frac{p}{4}} \sqrt{i(x^- - x^+)}$$

For 1-particle states:

$$\mathbb{H} = ig \left(x^- - \frac{1}{x^-} - x^+ + \frac{1}{x^+} \right) = \sqrt{1 + 16g^2 \sin^2 \frac{p}{2}}$$

• exact!

Compare with 1 loop to determine g:

• $\mathbb{C}, \mathbb{C}^\dagger$

$$\Delta - J = (\Delta_0 + \gamma) - J$$

$$= 1 + \gamma$$

$$= 1 + \frac{\lambda}{2\pi^2} \sin^2 \frac{p}{2} + \dots$$

$$g = \frac{\sqrt{\lambda}}{4\pi}$$

essential

Useful parametrization in terms of Jacobi elliptic functions:

$$p = 2 \operatorname{am} z, \quad x^{\pm} = \frac{1}{4g} \left(\frac{\operatorname{cn} z}{\operatorname{sn} z} \pm i \right) (1 + \operatorname{dn} z)$$

elliptic modulus $k = -16g^2$

periods $2\omega_1$ (real), $2\omega_2$ (imaginary)

Finally, can determine exact S-matrix:

$$A_i^\dagger(p_1) A_j^\dagger(p_2) = S_{ij}^{i'j'}(p_1, p_2) A_{j'}^\dagger(p_2) A_{i'}^\dagger(p_1)$$

Demand that the symmetry generators \mathcal{J} commute with 2-particle scattering

i.e, consider $\mathcal{J} A_i^\dagger(p_1) A_j^\dagger(p_2) |0\rangle$

Can first exchange A^\dagger 's, then move \mathcal{J} to right $\mathcal{J}|0\rangle = 0$

Or first move \mathcal{J} to right, then exchange A^\dagger 's

Consistency \Rightarrow **linear** equations for S-matrix elements

bosonic generators \Rightarrow

$$S_{a a}^{a a} = A, \quad S_{\alpha \alpha}^{\alpha \alpha} = D,$$

$$S_{a b}^{a b} = \frac{1}{2}(A - B), \quad S_{a b}^{b a} = \frac{1}{2}(A + B),$$

$$S_{\alpha \beta}^{\alpha \beta} = \frac{1}{2}(D - E), \quad S_{\alpha \beta}^{\beta \alpha} = \frac{1}{2}(D + E),$$

$$S_{a b}^{\alpha \beta} = -\frac{1}{2}\epsilon_{ab}\epsilon^{\alpha\beta} C, \quad S_{\alpha \beta}^{a b} = -\frac{1}{2}\epsilon^{ab}\epsilon_{\alpha\beta} F,$$

$$S_{a \alpha}^{a \alpha} = G, \quad S_{a \alpha}^{\alpha a} = H, \quad S_{\alpha a}^{a \alpha} = K, \quad S_{\alpha a}^{\alpha a} = L,$$

$$a, b \in \{1, 2\}, \quad a \neq b$$

$$\alpha, \beta \in \{3, 4\}, \quad \alpha \neq \beta$$

SUSY generators \Rightarrow

$$A = S_0 \frac{x_2^- - x_1^+}{x_2^+ - x_1^-} \frac{\eta_1 \eta_2}{\tilde{\eta}_1 \tilde{\eta}_2},$$

$$B = -S_0 \left[\frac{x_2^- - x_1^+}{x_2^+ - x_1^-} + 2 \frac{(x_1^- - x_1^+)(x_2^- - x_2^+)(x_2^- + x_1^+)}{(x_1^- - x_2^+)(x_1^- x_2^- - x_1^+ x_2^+)} \right] \frac{\eta_1 \eta_2}{\tilde{\eta}_1 \tilde{\eta}_2},$$

$$C = S_0 \frac{2i x_1^- x_2^- (x_1^+ - x_2^+) \eta_1 \eta_2}{x_1^+ x_2^+ (x_1^- - x_2^+) (1 - x_1^- x_2^-)}, \quad D = -S_0,$$

$$E = S_0 \left[1 - 2 \frac{(x_1^- - x_1^+)(x_2^- - x_2^+)(x_1^- + x_2^+)}{(x_1^- - x_2^+)(x_1^- x_2^- - x_1^+ x_2^+)} \right],$$

$$F = S_0 \frac{2i(x_1^- - x_1^+)(x_2^- - x_2^+)(x_1^+ - x_2^+)}{(x_1^- - x_2^+)(1 - x_1^- x_2^-) \tilde{\eta}_1 \tilde{\eta}_2},$$

$$G = S_0 \frac{(x_2^- - x_1^-) \eta_1}{(x_2^+ - x_1^-) \tilde{\eta}_1}, \quad H = S_0 \frac{(x_2^+ - x_2^-) \eta_1}{(x_1^- - x_2^+) \tilde{\eta}_2},$$

$$K = S_0 \frac{(x_1^+ - x_1^-) \eta_2}{(x_1^- - x_2^+) \tilde{\eta}_1}, \quad L = S_0 \frac{(x_1^+ - x_2^+) \eta_2}{(x_1^- - x_2^+) \tilde{\eta}_2}$$

$$\eta_1 = \eta(p_1) e^{ip_2/2}, \quad \eta_2 = \eta(p_2), \quad \tilde{\eta}_1 = \eta(p_1), \quad \tilde{\eta}_2 = \eta(p_2) e^{ip_1/2}$$

- Satisfies YBE

- Does not have “difference” property; can be mapped to R-matrix for Hubbard model

[Shastry 86]

- Has **Yangian** symmetry [more next lecture]

[Beisert 07]

- $su(2|2)$ symmetry determines S-matrix only up to overall scalar factor S_0

- Full S-matrix: two copies of $su(2|2)$ S-matrix

$$\mathbb{S} = S \otimes \dot{S}$$

Determination of scalar factor:

- Assume unitarity

$$S_{12}(p_1, p_2) S_{21}(p_2, p_1) = \mathbb{I}$$

\Rightarrow

$$S_0(p_1, p_2) S_0(p_2, p_1) = 1$$

- Assume crossing symmetry

[Janik 06, ...]

$$C_1^{-1} S_{12}^{t_1}(z_1, z_2) C_1 S_{12}(z_1 + \omega_2, z_2) = \mathbb{I}$$

\Rightarrow

$$S_0(z_1, z_2) S_0(z_1, z_2 - \omega_2) = \frac{\left(\frac{1}{x_1^-} - x_2^-\right) (x_1^- - x_2^+)}{\left(\frac{1}{x_1^+} - x_2^-\right) (x_1^+ - x_2^+)}$$

• Can solve under some additional physical assumptions

$$S_0(p_1, p_2)^2 = \frac{x_1^- - x_2^+}{x_1^+ - x_2^-} \frac{1 - \frac{1}{x_1^+ x_2^-}}{1 - \frac{1}{x_1^- x_2^+}} \sigma(p_1, p_2)^2$$

“dressing phase”:

$$\sigma(x_1^\pm, x_2^\pm) = \frac{R(x_1^+, x_2^+) R(x_1^-, x_2^-)}{R(x_1^+, x_2^-) R(x_1^-, x_2^+)}, \quad R(x_1, x_2) = e^{i[\chi(x_1, x_2) - \chi(x_2, x_1)]}$$

$$\chi(x_1, x_2) = -i \oint_{|z_1|=1} \frac{dz_1}{2\pi} \oint_{|z_2|=1} \frac{dz_2}{2\pi} \frac{\ln \Gamma \left(1 + ig \left(z_1 + \frac{1}{z_1} - z_2 - \frac{1}{z_2} \right) \right)}{(x_1 - z_1)(x_2 - z_2)}$$

[BES, BHL, DHM;
review Vieira & Volin 10]



Changrim Ahn

all-loop asymptotic
Bethe equations

Recall: Bethe–Yang equations

$$e^{ip_k L} = -\Lambda(p_k; p_1, \dots, p_M), \quad k = 1, \dots, M$$

$\Lambda(p; p_1, \dots, p_M)$ eigenvalues of (inhomogeneous) transfer matrix

$$t(p; p_1, \dots, p_M) = \text{tr}_0 S_{01}(p, p_1) \cdots S_{0M}(p, p_M)$$

Can determine using (nested) algebraic Bethe ansatz, etc.

$$U_j(x_{j,k}) \prod_{\substack{j'=1 \\ (j',k') \neq (j,k)}}^7 \prod_{k'=1}^{K_{j'}} \frac{u_{j,k} - u_{j',k'} + \frac{i}{2} A_{j,j'}}{u_{j,k} - u_{j',k'} - \frac{i}{2} A_{j,j'}} = 1, \quad j = 1, \dots, 7$$

$$u_{j,k} = g\left(x_{j,k} + \frac{1}{x_{j,k}}\right), \quad u_{j,k} \pm \frac{i}{2} = g\left(x_{j,k}^{\pm} + \frac{1}{x_{j,k}^{\pm}}\right)$$

Cartan matrix



$$A = \begin{pmatrix} & & & & & & \\ & 1 & & & & & \\ 1 & -2 & 1 & & & & \\ & 1 & & -1 & & & \\ & & -1 & 2 & -1 & & \\ & & & -1 & & 1 & \\ & & & & 1 & -2 & 1 \\ & & & & & 1 & \end{pmatrix}$$

$$U_2 = U_6 = 1, \quad U_1(x) = U_3^{-1}(x) = U_5^{-1}(x) = U_7(x) = \prod_{k=1}^{K_4} S_{\text{aux}}(x_{4,k}, x)$$

$$U_4(x) = U_s(x) \left(\frac{x^-}{x^+} \right)^L \prod_{k=1}^{K_1} S_{\text{aux}}^{-1}(x, x_{1,k}) \prod_{k=1}^{K_3} S_{\text{aux}}(x, x_{3,k}) \prod_{k=1}^{K_5} S_{\text{aux}}(x, x_{5,k}) \prod_{k=1}^{K_7} S_{\text{aux}}^{-1}(x, x_{7,k})$$

$$S_{\text{aux}}(x_1, x_2) = \frac{1 - 1/x_1^+ x_2}{1 - 1/x_1^- x_2}, \quad U_s(x) = \prod_{k=1}^{K_4} \sigma(x, x_{4,k})^2$$

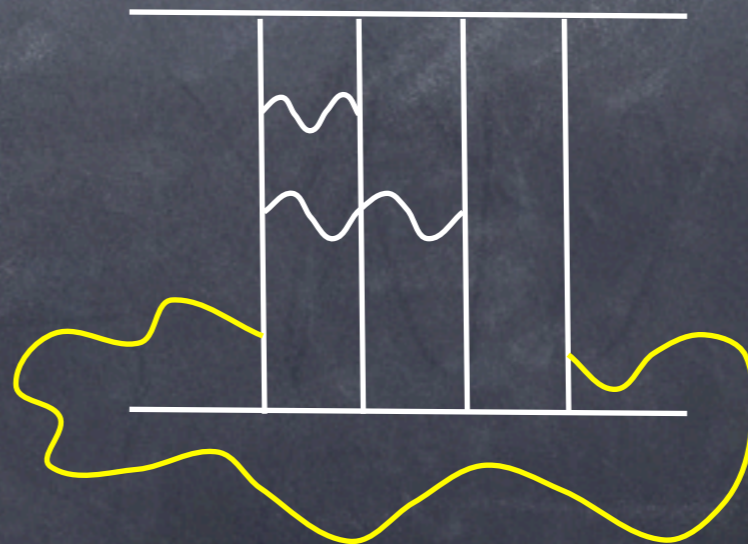
$$\gamma = 2ig \sum_{k=1}^{K_4} \left(\frac{1}{x_{4,k}^+} - \frac{1}{x_{4,k}^-} \right)$$

👁 First conjectured! [Beisert & Staudacher 05]

👁 Then derived

[Beisert 05,
Martins & Mello 07]

- In weak-coupling limit $\lambda \rightarrow 0$,
reduce to 1-loop Bethe equations ✓
- In thermodynamic limit $L, \lambda \rightarrow \infty$,
reduce to eqs from algebraic curve
- Valid only for "long" operators
- The problem with "short" operators: for length L ,
"wrapping" corrections (to the anomalous dimensions)
appear at loop-order L , due to interactions of range $L+1$



Epilogue

- We don't know the all-loop dilatation operator for single-trace operators in $\mathcal{N}=4$ SYM
- Nevertheless, we know that the (all-loop) anomalous dimensions of "long" operators are given by a set of BEs!
- Key: all-loop S-matrix
- Based on $su(2|2)$ symmetry
- To compute "finite-size" corrections for "short" operators, need also all-loop S-matrices for **bound states**
- $su(2|2)$ symmetry is not enough; need also **Yangian symmetry**

- final lecture!