

# Introduction to Integrability in AdS/CFT

Changrim Ahn  
Institute for the Early Universe  
Ewha Womans univ.  
Seoul, S. Korea



# Plan

- Lecture 1. Introduction and overview
- Lecture 2. Classical string solutions
- Lecture 3. S-matrix
- Lecture 4. Finite-size effects

Ref: N. Beisert et.al. “Review of AdS/CFT Integrability” arXiv:1012.3982-4005

# Lecture 1. Introduction and Overview

# AdS / CFT duality

- Type IIB superstrings on  $AdS_5 \times S^5$

dual to

$\mathcal{N} = 4$   $SU(N_c)$  super-Yang-Mills theory

[Maldacena (1997)]

# AdS / CFT duality

- Parameter relations:

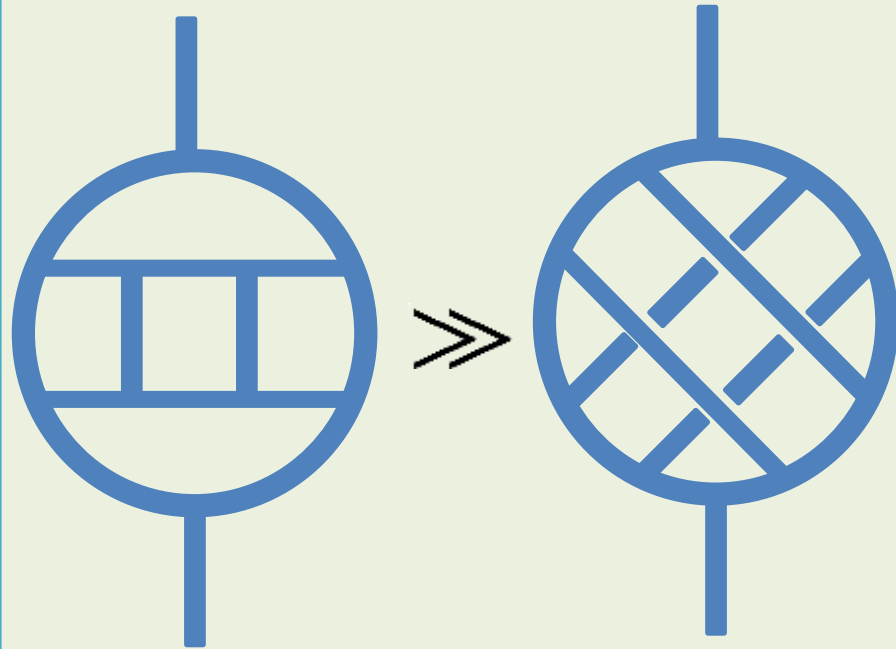
$$g_s = \frac{4\pi\lambda}{N_c} \quad \& \quad \frac{R^2}{\alpha'} = \sqrt{\lambda}$$

't Hooft coupling constant  $\lambda = N_c g_{\text{YM}}^2$

- Free superstring theory corresponds to a planar limit of SYM  
 $g_s \rightarrow 0 \equiv N_c \rightarrow \infty$  with fixed  $\lambda$
- Quantitative check is tricky since it is a strong-weak duality
  - SYM perturbation for  $\lambda \ll 1$
  - String perturbation for  $\alpha' \ll 1 \Rightarrow \lambda \gg 1$

# Planar Limit

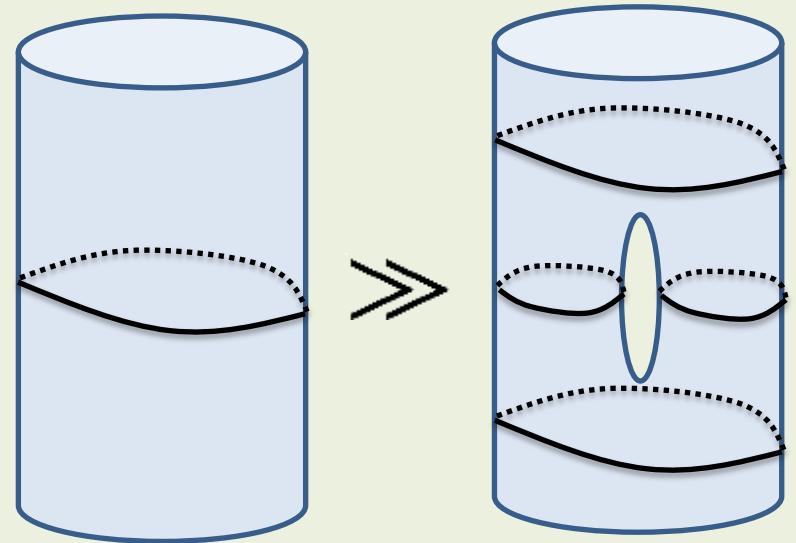
$$N_c \rightarrow \infty$$



$$g_{\text{YM}}^{10} N_c^5 = \lambda^5$$

$$g_{\text{YM}}^{10} N_c^3 = \frac{\lambda^5}{N_c^2}$$

$$g_s \rightarrow 0$$



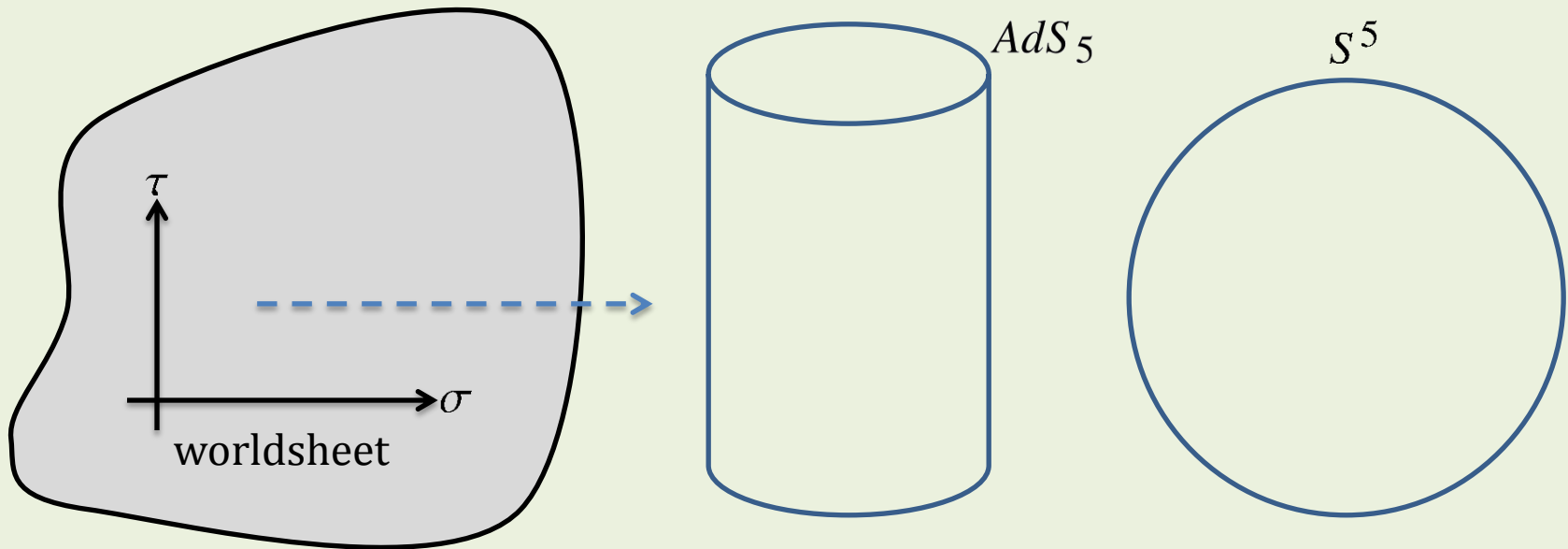
$$O(g_s^2)$$

# SYM Operator vs. string configuration

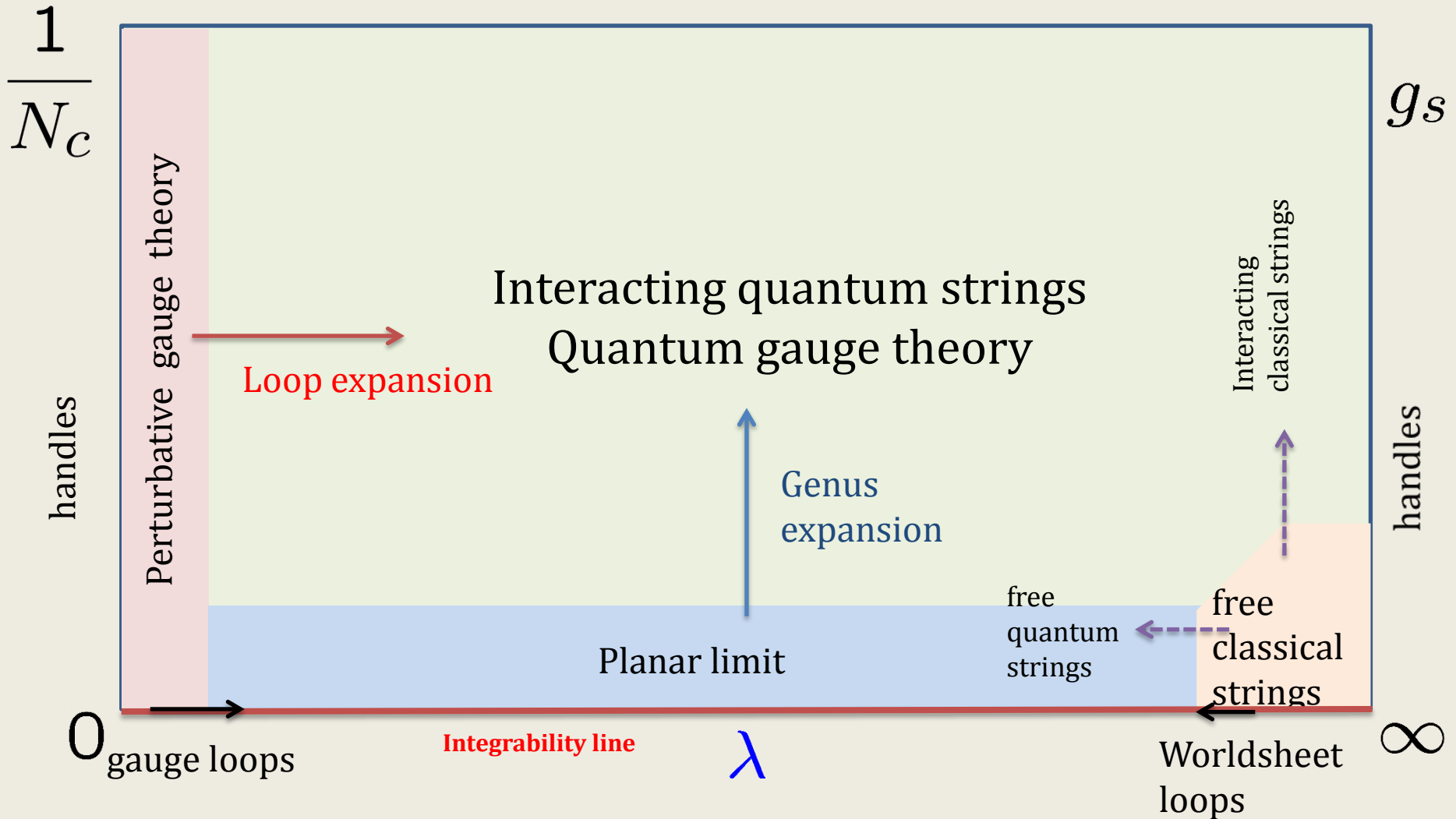
- Composite SYM operator

$$\mathcal{O}(x) = \text{Tr} \left[ XYZF_{\mu\nu}\chi^\alpha (D_\mu Y) \dots \right]$$

- String configuration in a target space



# Parameter space

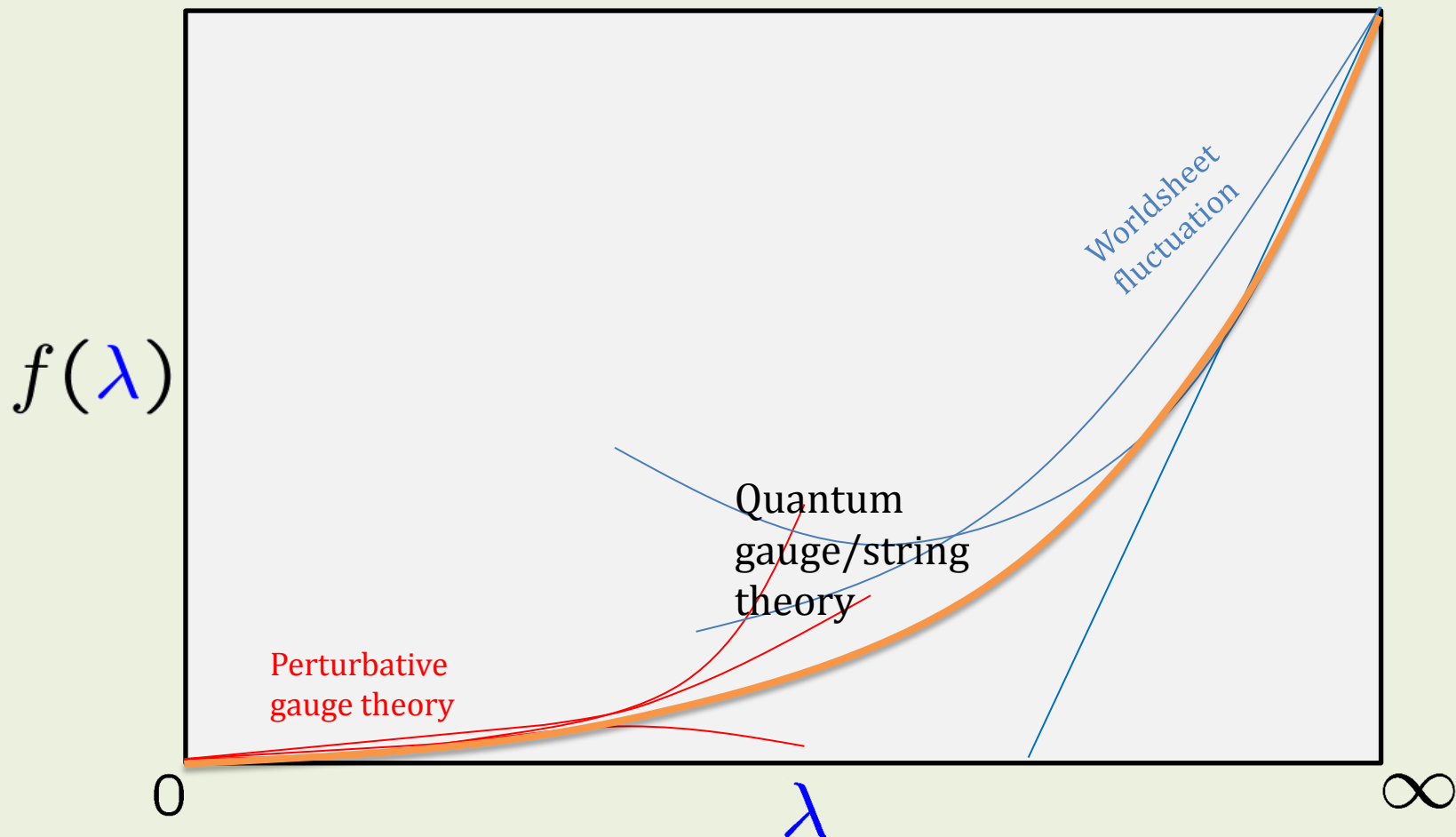




# Integrability

- Appears in the planar limit
- Perturbative integrability
  - Certain integrable models appear in perturbative computations
  - Classical string solutions from some classical integrable systems
- Nonperturbative integrability
  - Exact results for any value of  $\lambda$
- Only a few physical quantities are exactly computable so far
  - Anomalous dimensions
  - Worldsheet S-matrix

# Nonperturbative



# Perturbative integrability in $N=4$ SYM

# $\mathcal{N}=4$ Super Yang-Mills theory

- $\mathcal{N} = 4$   $SU(N_c)$  SYM

$$S = \frac{\text{Tr}}{g_{\text{YM}}^2} \int d^4x \left\{ -\frac{1}{4} F_{\mu\nu}^2 + (D_\mu \Phi^a)^2 + [\Phi^a, \Phi^b]^2 + \bar{\chi} \not{D} \chi - i \bar{\chi} \Gamma_a [\Phi^a, \chi] \right\}$$

- R-symmetry :  $\mathcal{N}=4$  SUSY  $\mathfrak{so}(6) \cong \mathfrak{su}(4)$
- Scalar fields :  $\Phi^a$ ,  $a = 1, \dots, 6$   $\square$
- Gauginos :  $\chi$ ,  $\bar{\chi}$  fundamental in  $\mathfrak{su}(4)$   $\square$
- All in adjoint rep. in  $SU(N_c)$

		R-charge
$A_\mu$		<b>1</b>
$\chi_\alpha^A$	$\bar{\chi}_{\bar{\alpha}}^{\bar{A}}$	<b><math>4 \oplus \bar{4}</math></b>
$\Phi^a$		<b>6</b>

# 4d conformal field theory

- One-loop  $\beta$ -function

$$\beta \equiv \mu \frac{\partial g_{\text{YM}}}{\partial \mu} = -\frac{g_{\text{YM}}^3}{16\pi^2} \left( \frac{11}{3} N_c - \frac{1}{6} \sum_i^{6N_c} C_i - \frac{1}{3} \sum_j^{8N_c} \tilde{C}_j \right) = 0$$

- $\beta=0$  at all orders of perturbation
  - Three loops in superspace formulation
  - All loops in light-cone gauge
- No scale dependence

# $N=4$ superconformal algebra

- Lorentz generators :  $L_{\mu\nu}$
- Translations :  $P_\mu$
- Conformal boosts :  $K_\mu$
- Dilatation :  $D$
- Supercharges :
- Superconformal boosts :  $S_\alpha^a, \bar{S}_{\dot{\alpha}a}$
- R-symmetry :  $su(4)$

$su(2,2) \cong so(2,4)$

$psu(2,2|4)$

$$Q_{a\alpha}, \bar{Q}_{\dot{\alpha}}^a$$

$$S_\alpha^a, \bar{S}_{\dot{\alpha}a}$$

32 super charges

$L$	$Q$	$P$
$S$	$R$	$\bar{Q}$
$K$	$\bar{S}$	$\bar{L}$

- psu(2,2|4) commutation relations

$$\begin{aligned}
 [D, P_\mu] &= -iP_\mu, & [D, L_{\mu\nu}] &= 0, & [D, K_\mu] &= iK_\mu \\
 [D, Q_{\alpha a}] &= -\frac{i}{2}Q_{\alpha a}, & [D, \bar{Q}_{\dot{\alpha}}^a] &= -\frac{i}{2}\bar{Q}_{\dot{\alpha}}^a, & [D, S_\alpha^a] &= \frac{i}{2}S_\alpha^a, & [D, \bar{S}_{\dot{\alpha} a}] &= \frac{i}{2}\bar{S}_{\dot{\alpha} a}
 \end{aligned}$$

$$[L_{\mu\nu}, P_\lambda] = -i(\eta_{\mu\lambda}P_\nu - \eta_{\lambda\nu}P_\mu), \quad [L_{\mu\nu}, K_\lambda] = -i(\eta_{\mu\lambda}K_\nu - \eta_{\lambda\nu}K_\mu)$$

$$[P_\mu, K_\nu] = 2i(L_{\mu\nu} - \eta_{\mu\nu}D)$$

$$\{Q_{\alpha a}, \bar{Q}_{\dot{\alpha}}^b\} = \gamma_{\alpha\dot{\alpha}}^\mu \delta_a^b P_\mu, \quad \{Q_{\alpha a}, Q_{\alpha b}\} = \{\bar{Q}_{\dot{\alpha}}^a, \bar{Q}_{\dot{\alpha}}^b\} = 0$$

$$[P_\mu, Q_{\alpha a}] = [P_\mu, \bar{Q}_{\dot{\alpha}}^a] = 0, \quad [L^{\mu\nu}, Q_{\alpha a}] = i\gamma_{\alpha\beta}^{\mu\nu} \epsilon^{\beta\gamma} Q_{\gamma a}, \quad [L^{\mu\nu}, \bar{Q}_{\dot{\alpha}}^a] = i\gamma_{\dot{\alpha}\dot{\beta}}^{\mu\nu} \epsilon^{\dot{\beta}\dot{\gamma}} \bar{Q}_{\dot{\gamma}}^a$$

$$[K^\mu, Q_{\alpha a}] = \gamma_{\alpha\dot{\alpha}}^\mu \epsilon^{\dot{\alpha}\dot{\beta}} \bar{S}_{\dot{\beta} a}, \quad [K^\mu, \bar{Q}_{\dot{\alpha}}^a] = \gamma_{\alpha\dot{\alpha}}^\mu \epsilon^{\alpha\beta} S_\beta^a$$

$$\{Q_{\alpha a}, \bar{Q}_{\dot{\alpha}}^b\} = \gamma_{\alpha\dot{\alpha}}^\mu \delta_a^b P_\mu, \quad \{S_\alpha^a, \bar{S}_{\dot{\alpha} b}\} = \gamma_{\alpha\dot{\alpha}}^\mu \delta_b^a K_\mu, \quad \{S_\alpha^a, S_\alpha^a\} = \{\bar{S}_{\dot{\alpha} a}, \bar{S}_{\dot{\alpha} b}\} = 0$$

$$[K_\mu, S_\alpha^a] = [K_\mu, \bar{S}_{\dot{\alpha} a}] = 0$$

$$\{Q_{\alpha a}, S_\beta^b\} = -i\epsilon_{\alpha\beta} \sigma^{IJ}{}_a^b R_{IJ} + \gamma_{\alpha\beta}^{\mu\nu} \delta_a^b L_{\mu\nu} - \frac{1}{2}\epsilon_{\alpha\beta} \delta_a^b D$$

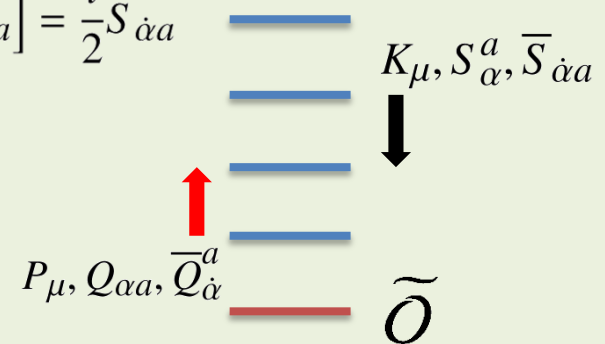
$$\{\bar{Q}_{\dot{\alpha}}^a, \bar{S}_{\dot{\beta} b}\} = i\epsilon_{\dot{\alpha}\dot{\beta}} \sigma^{IJa}{}_b R_{IJ} + \gamma_{\dot{\alpha}\dot{\beta}}^{\mu\nu} \delta_b^a L_{\mu\nu} - \frac{1}{2}\epsilon_{\dot{\alpha}\dot{\beta}} \delta_b^a D$$

- Conformal symmetry  $\rightarrow$  No mass spectrum
- Conformal dimension spectrum for a local operator  $[D, \mathcal{O}(0)] = -i \Delta \mathcal{O}(0)$
- Lowered by K :  $\mathcal{O}'(0) \equiv [K_\mu, \mathcal{O}(0)] \rightarrow [D, \mathcal{O}'(0)] = -i (\Delta - 1) \mathcal{O}'(0)$
- Primary operator :  $[K_\mu, \tilde{\mathcal{O}}(0)] = 0$   $[D, K_\mu] = +i K_\mu$
- Descendent operators : (ex)  $[P_\mu, \tilde{\mathcal{O}}] = -i \partial_\mu \tilde{\mathcal{O}}$   $[D, P_\mu] = -i P_\mu$   
 $[D, \partial_\mu \tilde{\mathcal{O}}] = -i (\Delta + 1) \partial_\mu \tilde{\mathcal{O}}$
- Superconformal raising and lowering ops.

$$[D, Q_{\alpha a}] = -\frac{i}{2} Q_{\alpha a}, \quad [D, \bar{Q}_{\dot{\alpha}}^a] = -\frac{i}{2} \bar{Q}_{\dot{\alpha}}^a, \quad [D, S_\alpha^a] = \frac{i}{2} S_\alpha^a, \quad [D, \bar{S}_{\dot{\alpha} a}] = \frac{i}{2} \bar{S}_{\dot{\alpha} a}$$

- Superconformal primary :

$$[S_\alpha^a, \tilde{\mathcal{O}}(0)] = [\bar{S}_{\dot{\alpha} a}, \tilde{\mathcal{O}}(0)] = 0$$





- Cartan subalgebra  $[D, R] = [L_{\mu\nu}, R] = [D, L_{\mu\nu}] = 0$

- Irreducible rep. are given by eigenvalues of these operators

$$\left( \overbrace{\Delta}^D, \overbrace{S_1, S_2}^{L_{\mu\nu}} \mid \overbrace{J_1, J_2, J_3}^R \right)$$

- Scalar fields

$$Z \equiv \Phi_1 + i\Phi_2, \quad Y \equiv \Phi_3 + i\Phi_4, \quad X \equiv \Phi_5 + i\Phi_6$$

$$\bar{Z} \equiv \Phi_1 - i\Phi_2, \quad \bar{Y} \equiv \Phi_3 - i\Phi_4, \quad \bar{X} \equiv \Phi_5 - i\Phi_6$$

$$(1, 0, 0 \mid \pm 1, 0, 0), (1, 0, 0 \mid 0, \pm 1, 0), (1, 0, 0 \mid 0, 0, \pm 1)$$

- Gauginos and gauge fields

$$\chi_\alpha^A \quad F_+ \quad \mathcal{D}$$

$$\left( \frac{3}{2}, \pm \frac{1}{2}, 0 \mid \pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2} \right), (2, m, 0 \mid 0, 0, 0), \left( 1, \pm \frac{1}{2}, \pm \frac{1}{2} \mid 0, 0, 0 \right)$$

- General gauge invariant composite operators

$$\tilde{\mathcal{O}}(x) = \text{Tr} [O_1(x) O_2(x) \dots O_L(x)]$$

- $\frac{1}{2}$ -BPS operator  $\text{Tr} [Z^L] \rightarrow (L, 0, 0 \mid L, 0, 0)$

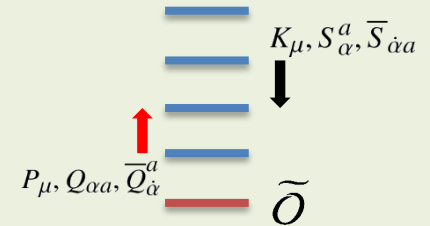
# Chiral primary or BPS operator

- Impose further condition  $[Q_{a\alpha}, \tilde{\mathcal{O}}(0)] = 0$ , for some  $\alpha, a$

- Jacobi identity  $[\{Q_{a\alpha}, S_{\beta}^b\}, \tilde{\mathcal{O}}(0)] = [-i\varepsilon_{\alpha\beta}(\sigma^{IJ})_a^b R_{IJ} - \varepsilon_{\alpha\beta}\delta_a^b D + \sigma_{\alpha\beta}^{\mu\nu}\delta_a^b L_{\mu\nu}, \tilde{\mathcal{O}}(0)] = 0$

- For the Lorentz scalar operator :  $[L_{\mu\nu}, \tilde{\mathcal{O}}(0)] = 0$

$$(\sigma^{IJ})_a^b [R_{IJ}, \tilde{\mathcal{O}}(0)] = \Delta \delta_a^b \tilde{\mathcal{O}}(0) \quad \sigma^{12} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$



- Satisfied if R-charge = conformal dimension  $\Delta = J_1$

$$\text{Tr}[Z^L] \rightarrow (L, 0, 0 | L, 0, 0)$$

- This commutes with half SUSY charges and conformal dimension is protected and gets no quantum corrections

# Anomalous Dimension

- Conformal dimensions of composite operators :

$$\langle O_n(x)O_m(0) \rangle = \frac{\delta_{mn}}{|x|^{2\Delta_n}}$$

- Anomalous dimension is defined by  $\Delta = \Delta_0 + \gamma$
- can be calculated by
  - Direct perturbation theory
  - Renormalization group under dilatation
- Operator mixing by RG dilatation

# Perturbative computation

- (ex) Konishi operator  $O(x) = \text{Tr} \left( \sum_a \Phi_a(x)^2 \right)$   $\langle O(x)O(y) \rangle = \frac{1}{|x-y|^{2\Delta}}$

- Tree-level

$$\langle : \Phi_a(x)_B^A \Phi_a(x)_A^B :: \Phi_b(y)_D^C \Phi_b(y)_C^D : \rangle_0 = \left( \frac{g_{\text{YM}}^2}{8\pi^2} \right)^2 \frac{N_c^2 \cdot 6 \cdot 2}{|x-y|^4}$$

$$\frac{g_{\text{YM}}^2 \delta_C^A \delta_B^D \delta_{ab}}{8\pi^2 |x-y|^2}$$

$$\int \frac{d^4 z}{|z-x|^4 |z-y|^4} \approx \frac{2i}{|x-y|^4} \int_{\Lambda^{-1}}^{|x-y|} \frac{d\xi d\Omega_3}{\xi} = \frac{2\pi^2 i}{|x-y|^4} \ln(\Lambda^2 |x-y|^2)$$

- One-loop

$$\left\langle : \Phi_a(x)_B^A \Phi_a(x)_A^B :: \Phi_b(y)_D^C \Phi_b(y)_C^D : \left( \frac{g_{\text{YM}}^2}{4} \int d^4 z \text{Tr}(\Phi_c \Phi_c \Phi_d \Phi_d)(z) \right) \right\rangle_0 + \dots$$

$$\langle O_R(x)O_R(y) \rangle = \left( \frac{\lambda}{8\pi^2} \right)^2 \frac{12}{|x-y|^4} \left[ 1 - \frac{3\lambda}{4\pi^2} \ln(|x-y|^2) \right] \sim \frac{1}{|x-y|^{2(2 + \frac{3\lambda}{4\pi^2})}}$$

$\gamma$



# RG method

- Under dilatation  $x \rightarrow \alpha x$

$$O(x) = \alpha^{-\Delta} O(\alpha x)$$

- One-loop corrections



- Operator mixing (ex) su(2) sector

$$\left\{ \text{Tr} [ZZZZXX], \text{Tr} [ZZZXZX], \text{Tr} [ZZXZZX], \text{Tr} [ZXZZZX] \right\}$$

$$O_a = \mathcal{Z}_a^b(\Lambda) O_b$$

- Dilatation matrix

$$\Gamma = \frac{d\mathcal{Z}}{d \ln \Lambda} \cdot \mathcal{Z}^{-1}$$

# Mapping to integrable spin chain

- Finding the eigenvalues of the dilatation matrix is very difficult problem but fortunately ...
- Mapping the matrix to a Hamiltonian of integrable spin chain has been discovered [(ex) so(6), su(2) spin chains]
- (ex) su(2) sector  $\{\text{Tr}[Z^L], \text{Tr}[Z^{L-1}X], \text{Tr}[Z^{L-n-1}XZ^{n-1}X], \dots, \text{Tr}[X^L]\}$
- One-loop dilatation  $\rightarrow$  Heisenberg spin chain model
  - Map:  $|\uparrow\rangle \equiv |Z\rangle, |\downarrow\rangle \equiv |X\rangle$
  - Vacuum state: Ferromagnetic vac.  $\leftrightarrow$  BPS  $|\uparrow \dots \uparrow\rangle \equiv \text{Tr}[Z^L]$
  - Excited states:
 
$$|\uparrow \downarrow \uparrow \downarrow \uparrow \uparrow \dots\rangle + \dots \equiv \text{Tr}[Z X Z X Z Z + \dots] + \dots$$
  - Dilatation  $\Gamma = \frac{\lambda}{8\pi^2} \sum_{l=1}^L [1 - \vec{\sigma}_l \cdot \vec{\sigma}_{l+1}]$

# (ex) SO(6) sector

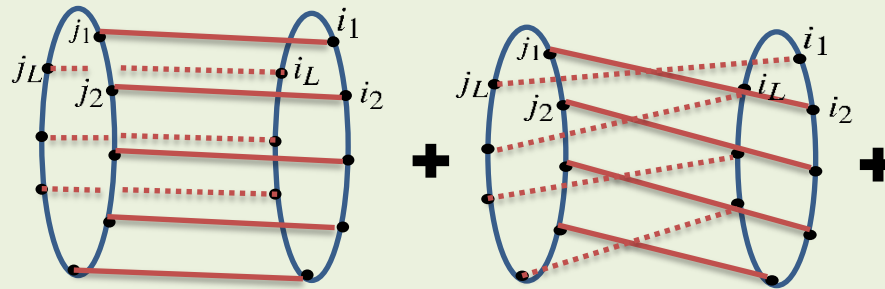
- Scalar fields  $\{Z, Y, X, \bar{Z}, \bar{Y}, \bar{X}\}$

- Composite operators

$$\{\text{Tr}[XYZ\bar{X}YZX\bar{Z}\dots], \dots\} = \text{Tr}[\Phi_{i_1} \dots \Phi_{i_L}] \equiv O_{i_1 \dots i_L}(x)$$

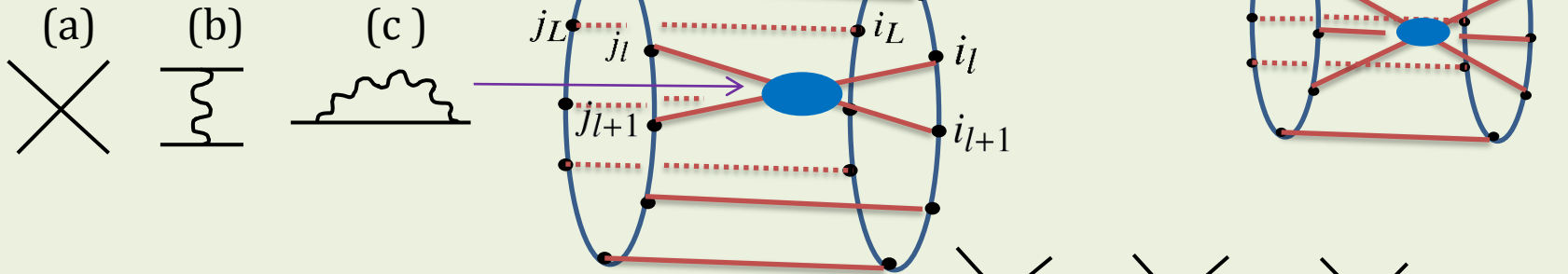
- Two-point function  $\langle \bar{O}^{j_1 \dots j_L}(x) O_{i_1 \dots i_L}(y) \rangle$

- Tree level



$$\left(\frac{\lambda}{8\pi^2}\right)^L \frac{1}{|x-y|^{2L}} \left[ \delta_{i_1}^{j_1} \dots \delta_{i_L}^{j_L} + \delta_{i_2}^{j_1} \dots \delta_{i_1}^{j_L} + \dots \right] \quad \text{cyclic permutations}$$

- One-loop level : nearest neighbor only



- Wave-function renormalization

$$Z^{(a)} = 1 - \frac{\lambda}{16\pi^2} \ln \Lambda \cdot \left( 2\delta_{i_l}^{j_{l+1}} \delta_{i_{l+1}}^{j_l} - \delta_{i_l}^{j_l} \delta_{i_{l+1}}^{j_{l+1}} - \delta_{i_l, i_{l+1}} \delta^{j_l j_{l+1}} \right)$$

$$Z^{(b)} = 1 - \frac{\lambda}{16\pi^2} \ln \Lambda \cdot \delta_{i_l}^{j_l} \delta_{i_{l+1}}^{j_{l+1}}$$

$$Z^{(c)} = 1 + \frac{\lambda}{8\pi^2} \ln \Lambda \cdot \delta_{i_l}^{j_l} \delta_{i_{l+1}}^{j_{l+1}}$$

$$Z = 1 + \frac{\lambda}{16\pi^2} \ln \Lambda \cdot \left( \delta_{i_l, i_{l+1}} \delta^{j_l j_{l+1}} - 2\delta_{i_l}^{j_{l+1}} \delta_{i_{l+1}}^{j_l} + 2\delta_{i_l}^{j_l} \delta_{i_{l+1}}^{j_{l+1}} \right)$$

- Dilatation matrix

$$\Gamma = \frac{\lambda}{8\pi^2} \sum_{l=1}^L \left( 1 - \mathbf{P}_{l, l+1} + \frac{1}{2} \mathbf{K}_{l, l+1} \right) \quad \text{Minahan, Zarembo (2003)}$$



# Heisenberg model

- 1D spin chain, XXX model

$$H = \sum_{l=1}^L \left( 1 - \vec{\sigma}_l \cdot \vec{\sigma}_{l+1} \right)$$

$$\sigma_j^a = \mathbf{1} \otimes \cdots \otimes \mathbf{1} \otimes \sigma^a \otimes \mathbf{1} \otimes \cdots \otimes \mathbf{1} : \quad 2^L \times 2^L \text{ Matrix}$$

$$\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Exactly solved by H. Bethe
- Bethe ansatz equations for real Bethe roots
- Lectures by Rafael Nepomechie

$$\left( \frac{u_j + i/2}{u_j - i/2} \right)^L = \prod_{\substack{k=1 \\ k \neq j}}^M \frac{u_j - u_k + i}{u_j - u_k - i} \quad \gamma = \frac{\lambda}{2\pi^2} \sum_{j=1}^M \frac{1}{u_j^2 + \frac{1}{4}}$$

# Bethe Strings

- Bethe roots so far were real but complex roots can exist

- $L \rightarrow \infty$  limit:

- Introduce a complex pair of root with imaginary parts  $u_j = u^R \pm i\alpha$

- For positive imaginary root: LHS of BAE  $\left(\frac{u_j + i/2 + i\alpha}{u_j - i/2 + i\alpha}\right)^L \rightarrow \infty$

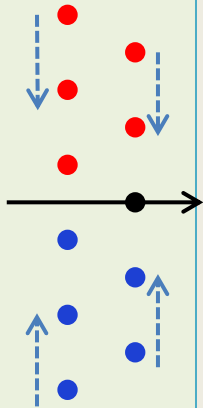
- RHS of BAE : there should be another Bethe root which makes a denominator vanish :  $u_k = u^R + i(\alpha - 1)$

- Repeat the process until the imaginary part is still positive

- For negative imaginary root: LHS of BAE  $\left(\frac{u_j + i/2 - i\alpha}{u_j - i/2 - i\alpha}\right)^L \rightarrow 0$

- RHS should vanish by adding  $u_l = u^R + i(-\alpha + 1)$

- For finite # of roots,  $\alpha$  should be an integer or a half-integer



- Bethe string

$$u_j^{(n)} = u^R + \frac{n+1-2j}{2}i, \quad j = 1, \dots, n$$

- Low lying states are given by “long strings” rather than real roots

$$E^{(n)} = \sum_{j=1}^n \frac{1}{\left(u_j^{(n)}\right)^2 + \frac{1}{4}} = \frac{n}{(u^R)^2 + \frac{n^2}{4}} \leq \frac{n}{(u^R)^2 + \frac{1}{4}} = nE^{(1)}$$

- BAE for the strings can be obtained by multiplying elementary BAE for each component of a string

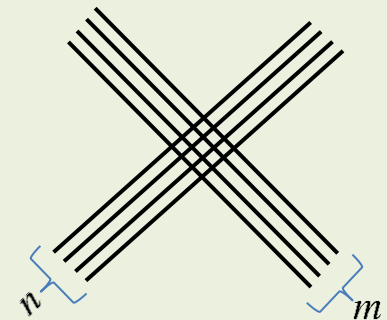
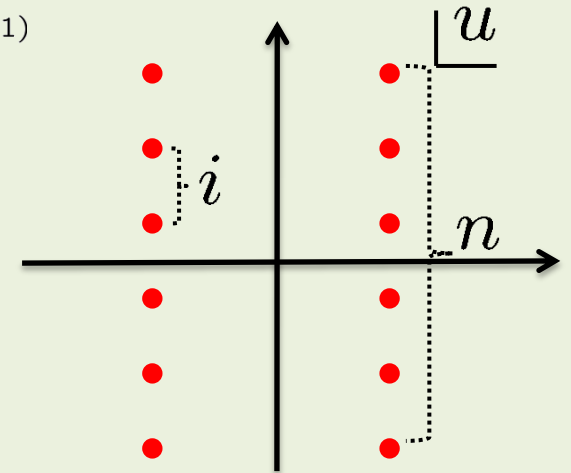
- Elementary BAE

$$e_1(u_j)^L = \prod_{k \neq j}^M e_2(u_j - u_k) \quad e_n(u) \equiv \frac{u + in/2}{u - in/2}$$

- BAE for strings

$$\prod_{j=1}^n \frac{u^R + i(n+1-2j)/2 + i/2}{u^R + i(n+1-2j)/2 - i/2} = \frac{u^R + in/2}{u^R - in/2} = e_n(u^R)$$

$$e_{n_J}(u_J^R)^L = \prod_{K=1}^M E_{n_J, n_K}(u_J^R - u_K^R) \quad E_{n,m} = e_{|n-m|} e_{|n-m|+2}^2 \cdots e_{n+m-2}^2 e_{n+m}$$



- For finite L : strings are deformed

# Summary

- AdS/CFT duality: string theory and SYM theory
- Today: Focused on perturbative SYM side
  - Planar limit: Integrability in computing anomalous dimensions
  - Operator mixing is solved by integrable spin chains in one-loop
  - New states (“Bethe strings”) appear
- Tomorrow: String theory side as a strong coupling limit
  - Classical string states
  - Strong coupling limit of all-loop conjectures