



COUNCIL

The 436th meeting of the Council will be held at 9:15am on Friday 30 September 2016 in the R C Mills Room, Chancery. Any additional papers and briefing materials will be available in the Mills Room from 7.30am.

Apologies and enquiries to the Corporate Governance and Risk Office by telephone on (02) 6125 2113 or email at: head.governance@anu.edu.au

Kate Molloy
 Director
 Corporate Governance and Risk Office

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IMPORTANT INFORMATION FOR MEMBERS

CONFIDENTIALITY

Members of Council and others receiving the agenda are reminded of the need for careful discretion in the use and communication of Council business, referring to the Director, Corporate Governance and Risk Office, or other appropriate officer of the Council when in doubt.

Council business marked or declared to be confidential is not at any stage to be communicated to others without prior reference to the Chairperson or the Director, Corporate Governance and Risk Office. Only papers considered especially confidential are so marked.

All matters relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential.

CONDUCT OF COUNCIL MEMBERS

Members of Council are considered *officials* for the purposes of the *Public Governance, Performance & Accountability Act 2013*. The definition of *officials* includes all members of the ANU Council, as well as all officers, employees and members of the University.

Division 3, sections 25 to 29 of the *Public Governance, Performance & Accountability Act 2013*, sets out the general duties of officials. As an *official*, a member of the Council may be removed from their position if they breach those general duties.

Duty of Care & Diligence

A member of the Council must exercise their powers, perform their functions and discharge their duties with the degree of care and diligence that a reasonable person would exercise if they:

- were a member of the Council in the University's circumstances; and
- occupied the position held by, and had the same responsibilities within the University as, the member of the Council.

Duty to Act in Good Faith and for Proper Purpose

A member of the Council must exercise their powers, perform their functions, and discharge their duties in good faith and for a proper purpose.

Duty in Relation to Use of Position

A member of the Council must not improperly use their position to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth or to any other person.

Duty in Relation to Use of Information

A member of the Council who obtains information because of that position, must not improperly use that information to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth, or any other person.

Duty to Disclose Interests

A member of the Council who has a material personal interest that relates to the affairs of the University must disclose the details of the interest.

SUBMISSION OF ITEMS BY MEMBERS OF COUNCIL

Members of Council should communicate to the Vice-Chancellor matters which they wish to have included on the agenda for a meeting of Council. Full details and documentation relating to any items to be included in the agenda should be submitted at least 16 days before the meeting.

AGENDA ON THE INTERNET

The agenda and minutes for meetings of Council are available on the Internet at:

<http://www.anu.edu.au/about/governance/committees/council>

PART 1 – PROCEDURAL ITEMS*** 1 ANNOUNCEMENTS AND APOLOGIES**

There are no apologies for this meeting.

The Chair may speak.

*** 2 LEAVE OF ABSENCE**

Subsection 15(1)(d) of the *Australian National University Act 1991* provides that if a member of the Council (other than an ex officio member) is absent without leave of the Council from three consecutive meetings of the Council, the member's office becomes vacant.

The Chair invites members to seek leave from meetings of Council which they expect to be unable to attend.

*** 3 DISCLOSURE OF MATERIAL PERSONAL INTEREST**

In accordance with Division 3, section 29 of the *Public Governance, Performance and Accountability Act 2013*, members of Council are required to declare any direct or indirect material personal interest in matters on the agenda.

*** 4 ARRANGEMENT OF AGENDA**

1. The Chair will ask whether any further items should be considered confidential.
2. The Chair will ask whether any further items should be starred for discussion.
3. The Vice-Chancellor will move that the unstarred items be dealt with as proposed in the agenda.
4. The Chair will invite members to foreshadow matters to be raised under Agenda Item 40, Other Business.
5. The Chair will ask if there are any agenda items that need to be re-sequenced.

***C5. MINUTES**

RECOMMENDATION It is recommended that the Council confirm the minutes of meetings held on 13 July (754/2016) and 22 July 2016 (693/2016).
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THE AUSTRALIAN NATIONAL UNIVERSITY

COUNCIL MINUTES

The 434th meeting of the Council was held at 5:00pm on Wednesday 13 July 2016, in the R C Mills Room, Level 4, Chancelry Building, ANU campus, Canberra.

Confidential until confirmed by Council

THE AUSTRALIAN NATIONAL UNIVERSITY

COUNCIL MINUTES

The 435th meeting of the Council was held at 8:00am on Friday 22 July 2016, in the R C Mills Room, Level 4, Chancelry Building, ANU campus, Canberra.

Confidential until confirmed by Council

PART 2 – KEY BUSINESS ITEMS

***C6 - 11.** *Confidential to Council Members*

PART 3 – STRATEGIC ISSUES***12. 2016 ANU ADVANCEMENT PLAN: PROGRESS, CASE FOR SUPPORT AND FORWARD PLANNING**

PURPOSE	That Council note progress against the ANU Advancement Plan 2016 and the draft Case for Support for ANU, and participate in discussion on forward strategy for philanthropy at ANU.
PREPARED BY	Director, Alumni Relations and Philanthropy
REVIEWED BY	Director, Alumni Relations and Philanthropy
APPROVED BY	Vice-Chancellor
SPONSOR	Vice-Chancellor

RECOMMENDATION	That Council: <ol style="list-style-type: none"> 1. Note and discuss progress against the 2016 ANU Advancement Plan; 2. Note and discuss the draft Case for Support for ANU; and 3. Consider the forward strategy for philanthropy at ANU
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ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

Senior Executive has been consulted on both the Case for Support and presentation.

BACKGROUND

Over the course of the year, Alumni Relations and Philanthropy (AR&P) has been executing the ANU Advancement Plan 2016. Solid progress has been made against the six plan aims.

The attached presentation gives an update on progress against the plan, the state of the major gift pipeline, and a number of key considerations for forward planning will be presented which are based on work conducted during 2016.

Also presented, and linked to strategic plan discussions, is a preliminary Case for Support for ANU. Drafting of the Case is an essential outcome of the 2016 plan. 'A University for Australia's Future is the working title of a document that has been formed following processes which collated and addressed outcomes and findings from the University's strategic planning process. Coupled with this was a College-based information gathering exercise which has captured College fundable priorities and narrative into a 'warehouse' of projects, which will be discussed in overview in the presentation.

The presentation and the draft case for support is intended to form the basis for a strategic discussion of Council.

ATTACHMENTS

[12.1 Presentation: Philanthropy \(776/2016\).](#)

[12.2 A University for Australia's Future: A preliminary Case for Support \(812/2016\).](#)

COMMUNICATION

For public release For internal release Not for release

PART 4 – OTHER MATTERS FOR DECISION

C13. *Confidential to Council Members*

14. 2017 COUNCIL FORWARD AGENDA

PURPOSE	For Council to consider the proposed meeting dates and forward agenda plan for 2017.
PREPARED BY	Manager, Corporate Governance and Policy
REVIEWED BY	Director, Corporate Governance and Risk Office
APPROVED BY	Chancellor
SPONSOR	Chancellor

RECOMMENDATION	That Council: <ol style="list-style-type: none"> 1. approve the proposed meeting dates and forward agenda plan for 2017 (774/2017); and 2. endorse the acquittal of governance responsibilities for 2017 (775/2016).
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ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

BACKGROUND

Each year Council is asked to approve the proposed meeting dates and forward agenda plan.

In December 2014, Emeritus Professor Sally Walker presented her report on the Australian National University Act 1991 and Governance Arrangements to the Minister for Education and Training. At Council's meeting on 19 February 2015, Professor Walker discussed her review findings with members. The following day, Council considered the review recommendations and discussed, in broad terms, its response to the recommendations.

The Walker Review, which was released publically by the Minister for Education and Training on 24 June 2015, made the following suggestion:

We suggest that ... the responsibilities referred to in paragraph 4.1 ... should be mapped against ... [the rolling agenda program for Council meetings] to ensure that the responsibilities of Council are covered.

SUMMARY OF ISSUES

The proposed Council meeting dates for 2017 are:

- 16 and 17 February 2017 (ANU House, Melbourne)
- 7 April 2017
- 26 May 2017
- 21 July 2017
- 29 September 2017
- 1 December 2017

For 2017, the following strategic discussion topics are proposed:

- Delivering the ANU Strategic Plan 2025: Enabling Excellence (Council Planning Day)
- Transforming Society
- Indigenous Reconciliation
- Education
- Strong foundations for Leadership

- Research, Innovation & Research Training

In addition, site visits which complement the strategic discussion topics are proposed, as follows:

- Visit hosted by new policy incubator unit, briefings on their initial work (or alternatively, College public policy briefings)
- An activity to coincide with National Reconciliation Week
- CBE Connect – new student learning space
- School of Music
- Early career researcher engagement event

Being mindful of Professor Walker's suggestion, the Corporate Governance and Risk Office have prepared the attached '2017 Acquittal of governance responsibilities', which seeks to demonstrate that the proposed forward agenda plan for 2017 meet the Council's responsibilities as the governing authority of the University.

The acquittal maps the Council's activities against the following framework:

- Strategic Oversight
 - Approving the mission and strategic direction of the University
 - Ensuring that the vision and goals are turned into effective management systems
 - Monitoring the implementation of the strategic plan
 - Ensure the effective operation of Council including the induction and professional development of Council members and the evaluation of the performance of Council and its committees
- Ensuring effective overall management
 - Appointing the Vice-Chancellor and monitoring his or her performance
 - Overseeing and reviewing overall management performance
 - Monitoring the academic activities and performance of the University
- Ensuring responsible financial and risk management
 - Approving the annual budget
 - Approving and monitoring systems of control and accountability
 - Overseeing and monitoring the assessment and management of risk
 - Ensuring the University's compliance with legal requirements

ATTACHMENTS

[14.1 2017 Council forward agenda plan \(774/2017\).](#)

[14.2 2017 Acquittal of governance responsibilities 2016 \(775/2016\).](#)

COMMUNICATION

For public release For internal release Not for release

15. FINANCIAL AUTHORITY FOR TRANSACTIONS OVER \$10 MILLION

PURPOSE	To consider further refinement of delegation arrangements to authorise the Vice-Chancellor, or his nominee, to approve, on behalf of Council, matters ancillary to Council approval of expenditures greater than \$10M; and to consider the basis for such authorisation.
PREPARED BY	Senior Governance Officer, Corporate Governance and Risk Office Director, Corporate Governance and Risk Office
REVIEWED BY	Director, Corporate Governance and Risk Office Executive Director (Administration and Planning) Chief Finance Officer General Counsel
APPROVED BY	Vice-Chancellor
SPONSOR	Vice-Chancellor

RECOMMENDATIONS	<ol style="list-style-type: none"> 1. That, further to its resolution of 4 December 2015 placing a financial limit of \$10M on the Vice-Chancellor's delegated authority, Council resolve that: <ol style="list-style-type: none"> a. the Vice-Chancellor, or his nominee, be authorised to approve, on behalf of Council, ancillary matters that relate to the implementation and management of already approved budgets and involve expenditures of greater than \$10M on the basis that: <ol style="list-style-type: none"> i. the scope of matters authorised for such approval include procurement processes, execution of contracts and payment of contractual amounts over \$10M; ii. the scope of budgetary matters include the Capital Management Plan and projects, both capital and non-capital, which have been considered and approved by Council; iii. all such approvals relating to matters over the \$10M limit will be reported at least twice a year to Council. 2. That the University's Capital Management Plan be submitted for Council approval each year.
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ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

In preparing this agenda paper, input has been sought from the Chief Financial Officer, the General Counsel, the Executive Director, Administration and Planning and the Vice-Chancellor. The proposed changes will primarily affect matters managed by the Divisions of Finance & Business Services, Facilities and Services, and Information Technology Services.

BACKGROUND

During 2015, an issue was raised at both Finance Committee and Council, regarding the lack of financial limits placed on delegations held by the Vice-Chancellor. The Corporate Governance and Risk Office

(CGRO) was tasked with responsibility to review current arrangements and put forward recommendations to Council on setting an appropriate limit.

At its meeting on 4 December 2015, Council considered recommendations in relation to the Vice-Chancellor's delegations, and resolved:

1. *The delegated authority of the Vice-Chancellor in respect of financial matters (on a single transaction or related series of transactions, and not exceeding budget) be subject to the following limits, with effect from 1 January 2016:*
2. *Approval of recurrent expenditure up to \$10,000,000;*
3. *Approval of capital expenditure up to \$10,000,000;*
4. *Approval of investment portfolio related transactions within available portfolio funds;*
5. *All decisions of the Vice-Chancellor relating to financial matters remain subject to University policy and other resolutions of the Council;*
6. *All decisions on financial matters beyond these limits are reserved for the decision of the Council, acting with the advice of the Finance Committee or other appropriate Committee of Council;*
7. *The Vice-Chancellorship Statute 2013 be redrafted to reflect these limits (along with other amendments to the Statute, as recommended by the 2014 Review of the Australian National University Act 1991 and Governance Arrangements) and be presented to Council for consideration by no later than its 2 December 2016 meeting.*

In addition, and to support business continuity, Council gave approval at its 22 July 2016 meeting to authorise the Chancellor to approve payments of regular budgeted standing contracts for services exceeding \$10M, on the basis that such approvals be reported to Council at its next subsequent meeting. This authority is limited to contractual payments and does not include procurement processes or contract execution.

SUMMARY OF ISSUES

Since the 22 July 2016 meeting, and the implementation of these changes, it has become apparent that there are a number of consequential implications of the decision to limit the Vice-Chancellor's financial delegation to \$10M. These include:

- Who can approve the commencement of a procurement process which might lead to a >\$10M expenditure;
- Who can execute final contracts after Council has approved a >\$10M expenditure; and
- Who can authorise the actual payment of >\$10M contractual amounts.

Most applications of these questions are related to capital projects, including IT infrastructure. Most are incorporated in University budgetary processes and/or project budgets that have already been considered and approved by Council.

It is therefore recommended that Council authorise the Vice-Chancellor, or his nominee, to approve, on behalf of Council, any ancillary matters that relate to the implementation and management of already approved budgets such as the Capital Management Plan and projects, both capital and non-capital, which involve expenditures over the \$10M financial limit and which have been the subject of Council consideration. Council would then receive a report of such instances at least twice a year.

It is proposed that, from 2017, Council recommendations in relation to the approval of budgets pertaining to the Capital Management Plan and projects, both capital and non-capital, include standard wording as part of the resolution to make clear that the Vice-Chancellor is authorised to undertake any necessary actions to fully implement all aspects of a project, program or activity, from procurement to contract execution and payment of contractual amounts. Attachment 16.1 provides the suggested wording to be used in future Council resolutions.

In relation to matters which exceed the Vice-Chancellor's financial delegation of \$10M, he, or his nominee, is also authorised (after Council approval of the expenditure) to execute the necessary implementation actions, on behalf of Council, provided that Council is kept informed.

At present, the Capital Management Plan is approved by the Vice-Chancellor and noted by Council. In line with this proposal, it is recommended that, from 2017, Council approve the Capital Management Plan each year. The Vice-Chancellor would then be authorised to implement it, exercising his existing financial delegation and, where necessary, the additional authority for matters exceeding \$10M as proposed in this paper.

ATTACHMENT

[15.1 Proposed wording of future Council resolutions in relation to budget management \(785/2016\).](#)

COMMUNICATION

For public release For internal release Not for release

A series of internal communications will be undertaken to ensure that all staff who are directly affected, particularly those in management roles (and their support staff), are made aware of the business process and the need to advise all approvals which exceed \$10M to the Corporate Governance and Risk Office for reporting to Council at least twice a year.

16. ANU ELECTRICITY AND GAS SUPPLY CONTRACTS

PURPOSE	To provide an overview of the tendering process and results for the new Electricity and Gas Supply Contracts for the ANU and to seek approval for the expenditure on the contract.
PREPARED BY	Director, Facilities and Services
REVIEWED BY	Senior Legal Officer
APPROVED BY	Executive Director Administration and Planning
SPONSOR	Executive Director Administration and Planning

RECOMMENDATION That Council resolve to:

1. Approve expenditure on provision of electricity and gas; and
2. Delegate to the Vice-Chancellor, the execution of the Electricity and Gas Supply Contracts.

ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

BACKGROUND

On 26 February 2016 a tender seeking offers for the supply of electricity and gas for the ANU Acton campus was released to the market. The University of Canberra joined ANU in this process being part of the tender development and evaluation processes.

The contract does not have a specified budget rather is calculated on consumption. Historically the electricity costs on the Acton Campus are in excess of \$11M per annum, meaning that the 2 year value of the contract is likely to be over \$20M. Historically the gas costs on the Acton Campus are in the vicinity of \$6M per annum. The total value of these decisions will therefore be around \$32M.

Tenders for the supply of electricity were subsequently received from six retailers being:

- ActewAGL Retail (AAR)
- Energy Australia (EA)
- ERM
- Red Energy (RE) - the retail arm of Snowy Hydro
- Stanwell Retail (SR) - the retail arm of Stanwell Power station owned by the Queensland Government
- Origin Energy (OE)

Tenderers for the supply of gas were as follows:

- ActewAGL Retail (AAR);
- Origin Energy (OE).

The third large retailer licensed in the ACT, Energy Australia declined to bid due to insufficient pipeline capacity.

In the course of the tender evaluations particular attention was paid to volume flexibility, commercial risk, data provision, account management and relationship with the Local Network Service Provider – LNSP. The retailers were also required to substantially accept the ANU contract and a “Termination for Retailer Default” clause.

The tender evaluation for the electricity supply for the two-year contracted period listed the top three as:

- ActewAGL Retail 92%
- ERM 90%
- Red Energy 87%

Based on the evaluations AAR presents the best offer over the two year period. Moreover, their commercial terms are in line with the University's requirements. It is therefore recommended that the ANU execute a two year contract with AAR coupled with the option for three one-year extensions. Given the current hardening in the wholesale market we do not believe a three year contract would be in the University's best interest. The University of Canberra agreed with the tender evaluations and have moved to execute their contract with AAR.

The contract does not have a specified budget rather is calculated on consumption. Historically the electricity costs on the Acton Campus are in excess of \$11M per annum.

Despite the hardening electricity market, this contract results in total savings of about \$199K for ANU over the two year period (\$62K in year one, plus a further \$137K in year 2).

The tender evaluation for the gas supply for the two-year contracted period listed the top two as:

- ActewAGL Retail 92%
- Origin Energy set aside
(due to a number of significant risks being Limited volume flexibility, the tenderers right to vary the charge rate on review of consumption patterns, and supply reliability and quality uncertainty)

As a result of the current market rates the University will be subject to a steep increase from 1 January 2017. The contract does not have a specified budget rather, as with electricity, is calculated on consumption. Historically the gas costs on the Acton Campus are in the vicinity of \$6M per annum.

COMMUNICATION

For public release For internal release Not for release

Advice will be communicated to key areas of the University, following Council's consideration of this matter.

17. CHANGE OF SCHOOL NAME FROM REGNET TO SCHOOL OF REGULATION AND GLOBAL GOVERNANCE

PURPOSE	To approve the proposal to change the name of the Regulatory Institutions Network (RegNet) to the School of Regulation and Global Governance (RegNet).
PREPARED BY	Dean, ANU College of Asia and the Pacific
REVIEWED BY	Director, Regulatory Institutions Network
APPROVED BY	Dean, ANU College of Asia and the Pacific
SPONSOR	Vice-Chancellor

RECOMMENDATION	That Council approve the renaming of the Regulatory Institutions Network to the School of Regulation and Global Governance (RegNet), with effect from 30 September 2016.
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ACTION REQUIRED

For discussion
 For decision
 For information

CONSULTATION

Staff
 Students
 Alumni
 Government
 Other
 Not applicable

All staff and HDR students in the Regulatory Institutions Network were consulted about the proposed name change. The change was endorsed by the RegNet Governance Group, the College Executive Committee and the Dean of CAP. Following discussion and endorsement by the ANU Executive, the Vice-Chancellor has endorsed the name change, for Council's consideration and approval.

BACKGROUND

As part of the review of university's organizational chart and its *Centres and Institutes Policy*, the Deputy Vice-Chancellor (Research) suggested that the College of Asia and the Pacific (CAP) consider re-naming the Regulatory Institutions Network (RegNet), which is one of the four Schools and Centre that make up CAP. Colleagues within RegNet and the College considered this advice and agreed with it.

ISSUE

Like many units within ANU, the Regulatory Institutions Network is informally known by its acronym – 'RegNet' (usage consistent with the other informal 'brands' at ANU including CIW, SDSC, SSGM, APCD and NCEPH). However, neither 'Regulatory Institutions Network' nor 'RegNet' convey the organizational status of a School, the prestige that this research-intensive School commands within and outside ANU, or the breadth of the School's current intellectual mission.

Having a School name that better represents the School's expertise is particularly important in a competitive funding environment, where attracting new national and international partners is vital for research and education opportunities.

Whilst the informal acronym may apply, it is envisaged that, over time, and as the use of the formal School name becomes more familiar, the acronym, itself, is likely to dissipate.

REQUEST AND RATIONALE

Accordingly, the Regulatory Institutions Network (RegNet) seeks Council's approval to change its name to the School of Regulation and Global Governance, with (RegNet) as its informal acronym. So the styling would be: School of Regulation and Global Governance (RegNet).

In the view of the School and the College, the proposed name better encapsulates the work that the School is now undertaking in six thematic clusters: (a) Climate, Energy and the Environment; (b) Human rights; (c) Law and Justice; (d) Society, Safety and Health; and (e) Trade, Investment and Intellectual Property. Current projects on climate, energy and the environment include:

- [Building a green economy? The politics of green infrastructure stimulus in the wake of the global financial crisis](#)
- [Energy governance, energy security and climate change: Towards a low carbon economy](#)
- [Shrinking the food-print by creating consumer demand for sustainable and healthy eating](#)

By definition, most of these projects and all of the thematic areas are global in reach; the School's work is now taking place in 13 countries in Asia and the Pacific, as well as with projects and partners in Europe, North and South America and Africa. The School's current sweep of work demonstrates that both 'regulation' in its Australian and international definitions and 'global governance' are accurate descriptions of the School's intellectual agenda and reach.

Retaining (RegNet) as the informal acronym for the School mitigates any confusion for University or external stakeholders (such as UN agencies, DFAT, the AFP and the Attorney-General's Department) who are familiar with the existing School name.

PROCEDURAL HISTORY

The Regulatory Institutions Network (RegNet) was established in 2001 as a Tier 4 unit, following a successful competitive bid for funding from the ANU Institute Planning Committee to establish a high-performing, research intensive University Centre. Over the next 15 years RegNet delivered and expanded on this mandate, growing to host two ARC Federation Fellowships, an ARC Centre of Excellence, and an ARC Laureate project and five interdisciplinary thematic clusters.

RegNet became a foundational School for CAP when the College was formed in 2010, incorporating the Australia Pacific College of Diplomacy (APCD) to form the School of Regulation, Justice and Diplomacy. When APCD relocated to the (now) Coral Bell School of Asia Pacific Affairs in 2013-14 the School reverted to the name Regulatory Institutions Network (RegNet).

Note that the Business Case accompanying this brief to Council requested a name change to 'RegNet: School of Regulation and Global Governance'. That formulation was rejected by the university executive; the university executive and Vice Chancellor concurred in support of 'School of Regulation and Global Governance (RegNet)', with (RegNet) as the informal acronym.

SUMMARY OF ISSUES

No significant risks or negative consequences from the proposed move or change of name have been identified.

All relevant stakeholders endorse the proposed name change.

COMMENT

In addition to this paper, the Deputy Vice-Chancellor (Academic) has advised of the rolling program of review of Research Schools and advises that this matter can be further considered as part of the review scope. Attachments 17.2 and 17.3 provide details of the schedule of reviews for 2016 and 2017.

ATTACHMENTS

[17.1 Business Case – RegNet renaming plan 2015 \(786/2016\)](#)

[17.2 School Reviews Update, Brief to Senior Management Group, 15 August 2016 \(810/2016\)](#)

[17.3 Schedule of School Reviews 2016 and 2017 \(811/2016\)](#)

COMMUNICATION

For public release For internal release Not for release

The following text is proposed to be included in the “Council News” update released to staff and students through the On Campus e-newsletter and the Council website:

Renaming of Regulatory Institutions Network

Council has approved the renaming of the Regulatory Institutions Network to the School of Regulation and Global Governance (RegNet). The School of Regulation and Global Governance (RegNet) is a small, research-intensive interdisciplinary School within CAP. The proposed name change will enhance the School's capacity for national and international engagement make clearer its status as a School within the University's unit structure.

18. AMENDMENT OF ANU STUDENT ASSOCIATION INCORPORATED CONSTITUTION

PURPOSE	To consider changes to the ANU Students' Association (ANUSA) Constitution, as approved by their Ordinary General Meeting on 23 September 2016.
PREPARED BY	President, ANU Students' Association
REVIEWED BY	Manager, Corporate Governance and Policy
APPROVED BY	Deputy Vice-Chancellor (Academic)
SPONSOR	Vice-Chancellor

RECOMMENDATION	That Council approve the changes to the ANU Students' Association Constitution, as approved by their Ordinary General Meeting held on 23 September 2016.
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ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

BACKGROUND

As per section 22 of the ANUSA Constitution all constitutional amendments must be ratified by the University Council.

A range of changes were passed at an ANUSA Ordinary General Meeting (OGM) on 23 September 2016. The key changes include:

- Introduction of an Ethnocultural Department, a body charged with supporting and furthering the interests of students of colour.
- Clarification of the capacity of the Association to revoke membership rights.
- Separation of the election of the Undergraduate Member of Council and the ANUSA President as required by Council to achieve the recommendations of the 2014 Walker Review.
- Introduction of a Student Club Council to replace the existing Grants & Affiliation Committee (GAC).

In addition to the above, numerous changes have been passed pertaining to the formatting, expression and terminology of the Constitution. This includes capitalising of definitions, correcting spelling errors and grammar, as well reordering sections to reflect a more logical structure.

The proposed changes to the ANUSA Constitution have been developed by the ANUSA Executive in consultation with the student body. The changes to implement the recommendations of the 2014 Walker review have also been developed in consultation with the ANU Corporate Governance and Risk Office. They are endorsed by the Deputy Vice-Chancellor (Academic) for approval by the ANU Council.

SUMMARY OF ISSUES***Ethnocultural***

During 2015 a range of discussions were held within the student body regarding the lack of focus both from the University and ANUSA with respect to supporting students who identify as ethnically and

culturally diverse. As such, in Semester 2 2015 an Ethnocultural Committee (the Committee) was established by the ANUSA Student Representative Council to represent, runs events and provide support for these students. The establishment of the Committee was in part intended as a proof of concept and to allow those students involved time to consult and engage students with the support of ANUSA, before seeking to create an additional Department as part of the Constitution. The establishment of the Ethnocultural Department was passed at the OGM.

The amendments made to introduce the Ethnocultural Department are outlined in Motion 3 in Attachment 18.1.

Revoke Membership Rights

Following a grievance during the 2016 ANUSA Annual General Elections, a lack of clarity as to the capacity of the Association to revoke membership rights was identified. As such, amendments were passed at the OGM to:

- Overcome inconsistency identified between Election Regulations and the powers of the Disputes Committee.
- Emphasise the legislative requirement to follow due process.
- Ensure that only the Executive may refer an ordinary member to Disputes Committee, to avoid situations where members are referred without due cause.
- Amend the power of the Returning Officer under the Election Regulations to revoke membership to require consent of the Executive, to ensure multiple stakeholders are consulted on this decision.

The amendments made to clarify the capacity of the Association to revoke membership rights are outlined in Motion 2 in Attachment 18.1.

Student Members of Council

Following the recommendations of the 2014 Walker Review and subsequent endorsement from Council, ANUSA has passed changes to discontinue the practice of automatically having the ANUSA President as a member of the ANU Council.

To give effect to this, the ANU Council has enacted a new legislative instrument, the *Council (Elections) Statute 2016*. This Statute will be supported by related legislative instruments, namely, the *Council (Elections) Rule 2016* and the *Council (Elections) Order 2016*. Copies of these instruments can be found at: <http://www.anu.edu.au/about/governance/legislation>.

The following will be key features of the new arrangements:

- The new arrangements will come into practical effect for the 2017 elections of ANUSA.
- The President of ANUSA and PARSA for 2016/17 will not be affected by this change in arrangements, and will serve as Council members for the balance of their terms. However, from 1 January 2017, should a casual vacancy occur, a bi-election will be required.
- ANUSA has been empowered under the Statute to conduct the election of the undergraduate student member of the Council each year
- These elections are to occur at the same time as the annual elections held by ANUSA for their own office-bearers
- As long as they are elected separately to the Council positions, there is nothing to stop the students electing the ANUSA President to the Council. Equally, the students may elect a different student to the Council if that is your collective will.
- The Vice-Chancellor will retain a reserve power to temporarily suspend the right of ANUSA to conduct these elections, if s/he is satisfied that ANUSA has not, is not, or will not, comply with the Statute, including running an election process that cannot be regarded as being fair, reliable and without serious irregularity.

The amendments made to separate the election of the Undergraduate Member of Council and the ANUSA President are outlined in Motion 5 in Attachment 18.1. Note, these amendments were drafted in partnership with ANU Corporate Governance and Risk Office. Additionally, it is worth noting that the amendments to Student Members of Council were discussed at the Ordinary General Meeting on 9th

August 2016, with a motion being passed endorsing ANUSA to proceed with the drafting of constitutional changes to bring them into effect at the September OGM.

Student Club Council

Following a review of ANUSA's Clubs & Societies program during 2016, amendments were passed to replace the Grants & Affiliations Committee (GAC) with a newly established Student Clubs Council. The amendments were drafted in partnership with ANU Clubs from June to September 2016 as part of the Clubs and Societies Working Group. This Working Group and its meetings were open to all students and advertised via the ANUSA Facebook Page, Newsletter, OrgSync and ANU Clubs & Societies Facebook Group. Reports regarding the Working Group progress were also tabled at meetings of the ANUSA Student Representative Council.

The overall aims of the Student Clubs Council and its Executive are to:

- facilitate collaboration between Clubs;
- facilitate the training of Club executives;
- liaise between Clubs, the Association and the ANU;
- advocate on behalf of Clubs to the Association and the ANU;
- administer the Council budget, including grants;
- oversee the activities of Clubs, and ensure that Clubs act in accordance with their constitutions; and
- consider any allegation of misconduct on the part of a Club, or a Club's representatives, that is referred to it, and take such action as it deems appropriate, subject to the Regulations.

The establishment of the Student Clubs Council addresses issues identified within the existing GAC framework, including: confusion surrounding where policy decisions were to be made pertaining to Clubs, poor engagement with Clubs, unreasonable wait times for reimbursements, a lack of training and support to Clubs to manage their groups effectively and more.

It is important note that the Clubs program has not undergone any major structural review in the past 5 years and it was the opinion of the current GAC Committee, Social Officer and President that with the increasing amounts of students establishing Clubs issues were likely only to get worse without any substantive reform.

The amendments made establish the Student Clubs Council are outlined in Motion 4 in Attachment 18.1.

Formatting, expression and terminology

The amendments made pertaining to formatting, expression and are outlined in Motion 1 in Attachment 18.1.

ATTACHMENTS

[18.1 Overview of Constitutional Amendments By Section and Motion \(787/2016\).](#)

[18.2 ANU Students' Association Constitution – Mark Up \(788/2016\).](#)

[18.3 ANU Students' Association Election Regulations – Mark Up \(789/2016\).](#)

COMMUNICATION

For public release For internal release Not for release

ANUSA will be notified of the decision by Council and will be advised that they may communicate the decision to their membership.

19. DISSOLUTION OF THE JOHN CURTIN MEDICAL RESEARCH FOUNDATION**PURPOSE** Dissolution of the John Curtin Medical Research Foundation**PREPARED BY** Director Alumni Relations and Philanthropy**APPROVED BY** Vice Chancellor**SPONSOR** Board of Governors, ANU Endowment for Excellence.**RECOMMENDATION** That Council approve the dissolution of the John Curtin Medical Research Foundation, a Foundation of the ANU Endowment for Excellence.**ACTION REQUIRED** For discussion For decision For information**CONSULTATION** Staff Students Alumni Government Other Not applicable

The Board of Governors of the ANU Endowment for Excellence were consulted in preparation of this paper. The result of this consultation is the recommendation for approval of the dissolution of the John Curtin Medical Research Foundation.

BACKGROUND

Foundations are established and dissolved by ANU Council under The Australian National University Endowment for Excellence Statute 2012, section 14:

Foundations

14. (1) A Foundation may be established by the Council approving a charter that sets out:
- (a) the name of the Foundation; and
 - (b) the objects and functions of the Foundation.
- (2) A Foundation comes into existence when the Council resolves to approve its charter.
- (3) The Council may, on the advice of the Board, approve the dissolution of a Foundation.
- (4) If a Foundation dissolved in accordance with subsection (3) has at the time of its dissolution, any surplus assets, those assets are to be dispersed at the direction of the Vice-Chancellor to an area or body most closely related to the objects of the Foundation.

The John Curtin Medical Research Foundation was established by Council in March 2012 with the support of then Director of the John Curtin School of Medical Research, Prof Julio Licinio.

Since its inception, both members of the Foundation and staff of the University have reported ongoing conflict and this has affected the operation of the Foundation. In order to understand and improve this

situation, Alumni Relations & Philanthropy funded an external consultant to review the University's capability for fundraising for health and medical research, of which the Foundation is part.

The consultant found that the origins of the conflict lay in the way in which the Foundation was established, specifically a lack of definition of clear purpose for the Foundation, unclear expectations of members of the Foundation by the University, and unclear expectations of the University by the members of the Foundation. The consultant recommended that the provision of volunteer support of fundraising for health and medical research be provided by a different structure, responsible to the Dean of the College of Medicine, Biology and Environment, and operating under defined terms of reference with agreed administrative and fundraising support.

This perspective was further borne out by the work of More Partnership, consultants providing philanthropic counsel to the University, who pointed out that the Foundations of the Endowment structure is unusual in university fundraising, and should be reviewed for fitness for purpose. This work is currently underway by the Governors of the Endowment with a discussion paper and draft terms of reference for volunteer committees which support fundraising considered at their July meeting seeing broad support. The work is expected to be complete at the November meeting of the Governors with the recommendation of a policy for volunteer support of fundraising.

Terms of members of Foundations formed under the Endowment have fixed terms, and all terms for former members of the John Curtin Medical Research Foundation expired in March 2016. There are therefore no current members of the Foundation.

In August, the Vice Chancellor wrote to former members of the Foundation to inform them that it was his intention to replace the existing Foundation structure with a committee formed under the terms of reference considered by the Governors. He invited the participation of all former members of the Foundation. Two of the former members have expressed interest in joining the new committee, and a deadline for correspondence on this matter has now passed.

It is anticipated that the new committee will be constituted within the College of Medicine, Biology and Environment and will hold its first meeting before the end of 2016.

The Governors of the Endowment therefore recommend that Council approves the dissolution the John Curtin Medical Research Foundation.

COMMUNICATION

For public release For internal release Not for release

20. STUDENT SERVICES AND AMENITIES FEE (SSAF) - APPROVAL OF PROCESS FOR CONSULTATION WITH STUDENTS

PURPOSE	To approve the process for consulting students on the expenditure of Student Services and Amenities Fee (SSAF) funds.
PREPARED BY	Pro-Vice-Chancellor, University Experience
REVIEWED BY	Deputy Vice-Chancellor (Academic)
APPROVED BY	Vice-Chancellor

RECOMMENDATION	That Council approve the process for consulting students on the expenditure of Student Services and Amenities Fee (SSAF) funds.
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ACTION REQUIRED

For discussion For decision For information

BACKGROUND

The *Higher Education Legislation Amendment (Student Services and Amenities) Act 2011* (the Act) allows the universities to charge students a fee to support the provision to students of amenities and services not of an academic nature. This fee, known as the Student Services Amenities Fee or SSAF, may be spent on items such as sporting and recreational activities, employment and career advice, child care, financial and legal advice and food services.

The Student Services, Amenities, Representation and Advocacy Guidelines (the Guidelines) govern the administration of SSAF revenue. These Guidelines were revised in March 2014 and Item 3.2.5 of the revised Guidelines requires that

In relation to decisions regarding the specific uses of the proceeds from any compulsory SSAF, HEPs [Higher Education Providers] must establish and maintain a clearly defined and effective process by which students enrolled at the HEP are consulted that is reviewed and approved annually by the governing body of the HEP.

Council's approval of the process outlined at attachment 1 and approved by the Deputy Vice-Chancellor (Academic) is now sought.

Item 3.2.2 of the previous version of the Guidelines required HEPs to '... publish the details of the mechanisms approved by the governing body of the HEP by which enrolled students are consulted and able to participate in the decision making processes of the HEP.' At its meeting of 2 December 2011, Council was advised by the Vice-Chancellor that consultations were being undertaken with student organisations as required by the legislation.

The Guidelines were reviewed in 2013 and the requirement for a consultation process with students approved annually by the HEP's governing body, was included following the review. Advice from the Department of Education indicates that the Guidelines were amended with the overall intention of ensuring that they operate in 'a manner that is clear in intent and purpose, transparent in process; visible; and consultative.'

Since the passage of the Act in 2011 the University has undertaken extensive annual negotiations with the four student associations (the ANU Students' Association, the Postgraduate and Research Students' Association, the ANU Sport and Recreation Association and ANU Student Media) on their budgets and expenditure on services and works which benefit all students. Draft expenditure is posted annually on the University's website and all students invited by e-mail to comment on the draft before funding is finalised. We believe this has been a sound process of consultation which gives all students an opportunity to express their views on how SSAF revenue should be expended.

ATTACHMENT

[20.1 SSAF 2017 Allocations Timetable \(790/2016\).](#)

PART 5 – OTHER MATTERS FOR NOTING

***C21.** *Confidential to Council Members*

22. WORKPLACE GENDER EQUALITY AGENCY (WGEA) ANNUAL REPORT

PURPOSE	To note the 2016 Workplace Gender Equality Agency Annual Report; and acknowledge the Notice of Compliance issued to the University by WGEA.
PREPARED BY	Employee Relations Branch, Human Resources Division
REVIEWED BY	Director, Human Resources
APPROVED BY	Executive Director, Administration & Planning
SPONSOR	Executive Director, Administration & Planning

RECOMMENDATION That Council:

1. Note the University's report submitted to the Workplace Gender Equality Agency (WGEA); and
2. Acknowledge the Notice of Compliance with the *Workplace Gender Equality Act 2012* issued to the University by WGEA.

ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

The WGEA Report is legislative requirement and therefore consultation was not required.

BACKGROUND

In accordance with the reporting requirements of the *Workplace Gender Equality Act 2012* (Act), the Australian National University lodged its annual report with the Workplace Gender Equality Agency (WGEA) on 27 July 2016. The University's report covers the 12 month reporting period from 1 April 2015 to 31 March 2016.

On 11 August 2016, the public version of the report was made available to all employees and employee organisations with members in the workplace under the notification and access requirements of the Act. A copy of the University's 2016 WGEA public report is in *Appendix 1*.

The WGEA issues reporting organisations with a Notice of Compliance with the Act twenty eight (28) days after the report submission. Reporting organisations require this Notice of Compliance if they wish to buy goods and services from, or enter into a contract with, the Australian Government. The University's Notice of Compliance issued on 24 August 2016 is attached in *Appendix 2*.

SUMMARY OF ISSUES

In February 2015 the Government announced the changes to the reporting requirements from the 2015-16 reporting period. This followed a public consultation which drew submissions from reporting organisations, employer representatives and gender equality advocates. New questions for the 2015-16 reporting period required provision of data on appointments, promotions, resignations and terminations by gender, employment status and manager/non-manager category. There were also minor amendments affecting how the annual salary for employees is calculated for the Workforce Profile.

Initial analysis of the University's results in the 2015-16 report indicates women occupy 47% of manager roles which is unchanged from the 2014-15 reporting period. In terms of staff turnover, a higher rate of female staff have been both appointed and promoted within this reporting period (53%

and 54% of appointments and promotions respectively), but this is offset by a higher rate of resignations in female staff members (58% of all resignations). Towards the end of 2016, WGEA will release a benchmarking report comparing the University results with other organisations.

At the time of reporting key University gender equity initiatives had not been launched, namely the Athena SWAN SAGE Pilot and the commitment to hire a 50:50 gender balance in leadership roles across the University, as was announced at the University 70th Anniversary event on 1st August 2016.

Information contained in the University's annual compliance report has been assessed by WGEA to determine whether the minimum standard has been met. **WGEA has determined that the ANU has met this minimum standard.**

Further information is available on the WGEA website at: <https://www.wgea.gov.au>.

ATTACHMENTS

[22.1 ANU 2016 Workplace Gender Equality Agency \(WGEA\) Annual Report \(779/2016\)](#)

[22.2 ANU Notice of Compliance \(issued on 24 August 2016\) \(780/2016\)](#)

COMMUNICATION

For public release For internal release Not for release

The public version of the report is to be made available to all employees, employee organisations with members in the workplace and an organisation's governing body under the notification and access requirements of the Act.

23. 2016 SECOND HALF YEAR STUDENT PERFORMANCE REPORT

PURPOSE	To provide a performance overview of student load (EFTSL) and enrolments for the second half of 2016.
PREPARED BY	Institutional Planning Team
REVIEWED BY	Director of Planning and Performance Measurement
APPROVED BY	Executive Director of Planning and Administration
SPONSOR	Executive Director of Planning and Administration

RECOMMENDATION	That Council note the student enrolment performance for the 2016 second half year.
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ACTION REQUIRED

For discussion For decision For information

BACKGROUND

This report provides information on student load and enrolments using data reported to the Department of Education for 2015 and preliminary information held in the Student System up to and including 5 September 2016.

Areas of Current Growth

Second half year shows overall growth at 5.8% when compared with final second half year for 2015. Postgraduate coursework grew by 8.3%. This growth is attributed to a 30.1% increase in international students rather than through domestic postgraduate coursework that shows a decline of 10.9%. This decline in domestic postgraduate coursework is largely due to reduced up-take of law programs, predominately the Graduate Diploma of Legal Practice (GDLP) and Masters of Law (LLM).

Domestic undergraduate grew by 4.1%, with international performing strongly again with 20.7% growth. Overall, international has grown year to date (as at 5 September) by 16.9%. This growth when compared with 2015 end result is predominately from China with a 33.3% increase, India with a 55.1% increase and Hong Kong with a 32.4% increase.

Higher degree research declined further in the second half by 8.5% and overall year to date (as at 5 September) has reduced by 6.2%. This is attributed to Colleges adopting strategies to improve the quality of commencing higher degree research students across domestic and international cohorts.

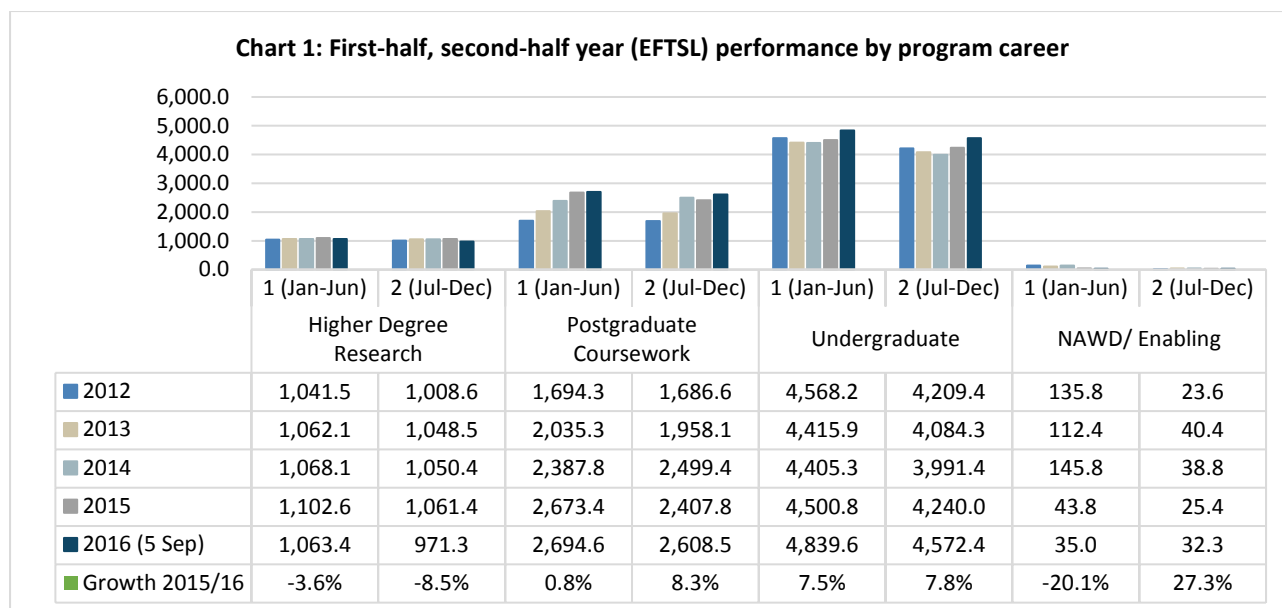
1. EFTSL Performance Summary by Program Career**First-half year (Jan-Jun)**

Total first half-year EFTSL for 2016 was 8,632.6, comprising 5,830.1 domestic EFTSL and 2,802.5 international EFTSL. This represented a growth rate of 3.8% on the final results for first-half year 2015. Postgraduate coursework grew marginally by 0.8% while undergraduate grew by 7.5% compared to 2015.

Second-half-year (Jul-Dec)

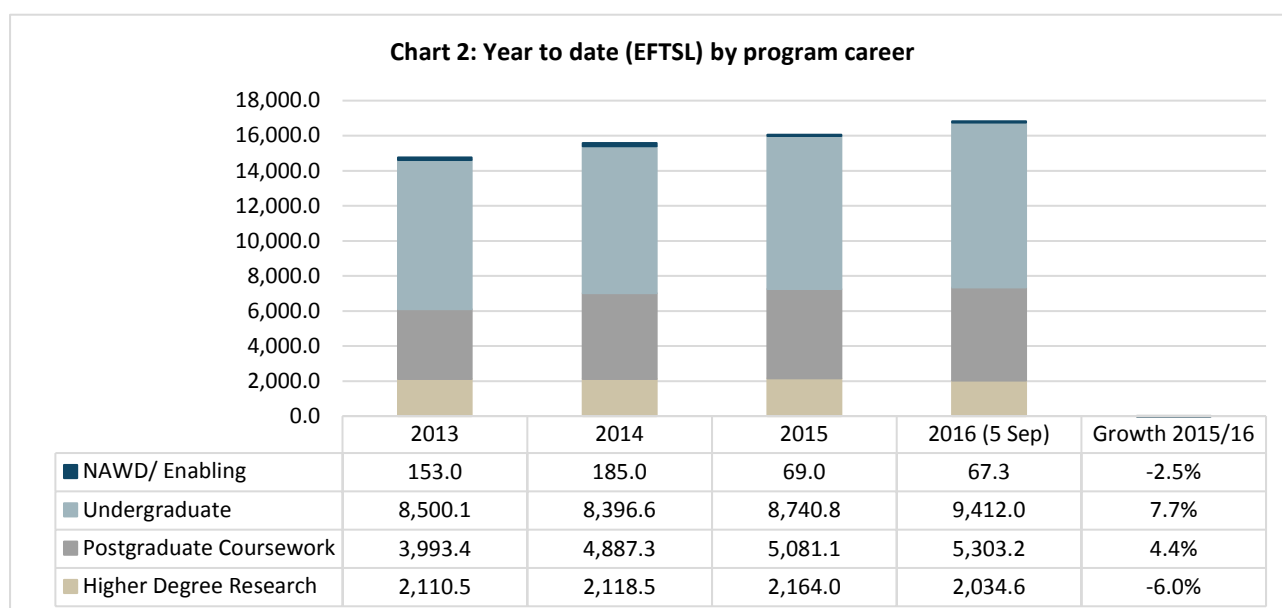
Total second half-year EFTSL for 2016 is 8,184.4, comprising 5,183.9 domestic EFTSL and 3,000.3 international EFTSL. This represents a 5.8% growth on the final result for second-half year 2015. Postgraduate coursework grew by 8.3% and undergraduate by 7.8% compared to 2015.

HDR is down by 8.5% for the second half year. This reduction is predominately from domestic students with a 26.7 EFTSL reduction in commencing students and 29.29 EFTSL reduction in continuing students. Also International commencing HDR students have reduced by 23.2 EFTSL.



Year to date performance (as at 5 September 2016)

Total for year to date 2016 is 16,817.0 EFTSL, comprising 11,014.0 domestic EFTSL and 5,802.9 international EFTSL. This represents a 4.7% growth on the final result for the full year 2015. Overall, postgraduate coursework grew by 4.4% and undergraduate by 7.7% compared to the final result in 2015. Overall, year to date HDR is down 6.0%.



Domestic postgraduate coursework EFTSL underperformed in both first half year with a 12.9% reduction and within second half year a 10.9% reduction on 2015 final results. The majority of this reduction is attributed to the College of Law for both first and second half years.

International EFTSL performed strongly within postgraduate coursework with an increase of 20.7% in first half year and increase of 30.1% in second half year. The majority of this growth in second half year is attributed to the College of Business and Economics with 40.0% increase in commencing EFTSL and a 22.7% increase in continuing EFTSL.

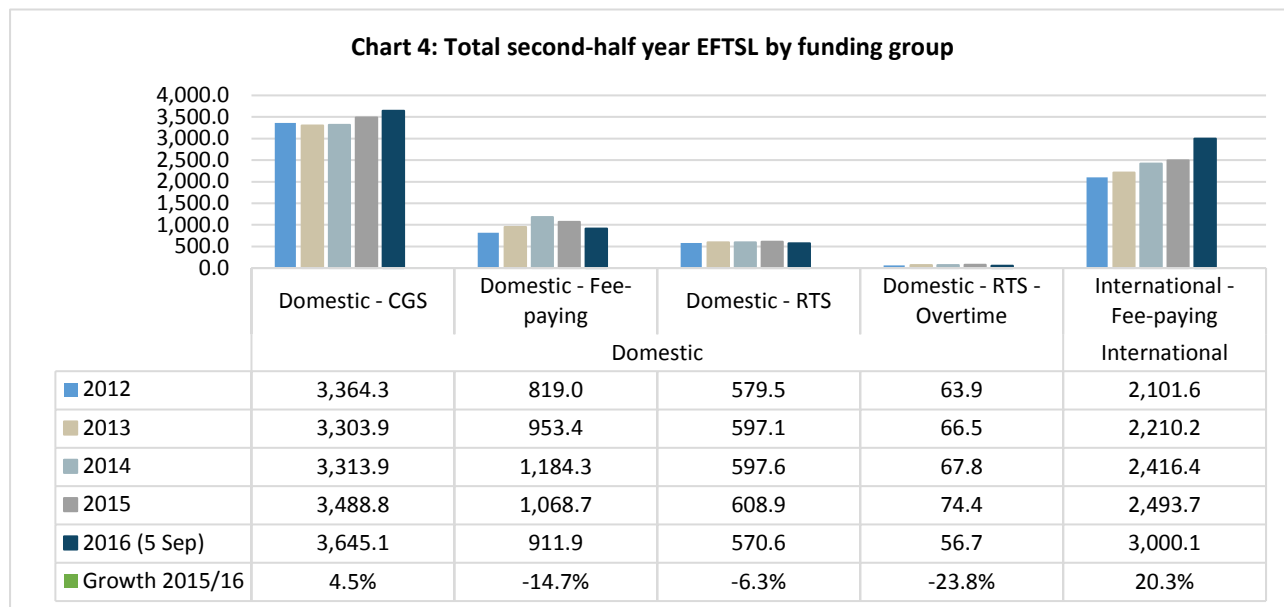
Domestic undergraduate EFTSL grew by 4.1% in first half year and by the same in second half year. This 4.1% increase in second half year is attributed to the College of Asia and the Pacific with a 9.5% increase, a 7.8% increase in the College of Arts and Social Sciences and the College of Physical and Mathematical Sciences with 7.5% increase.

International undergraduate experienced strong growth in both first and second half year with a 21.3% growth in first half year and 20.7% in second half year. The largest College growth was Physical and

Mathematical Sciences with a 41.1% increase followed by the College of Arts and Social Sciences with a 29.1% increase and the College of Engineering and Computer Science with a 25.0% increase.

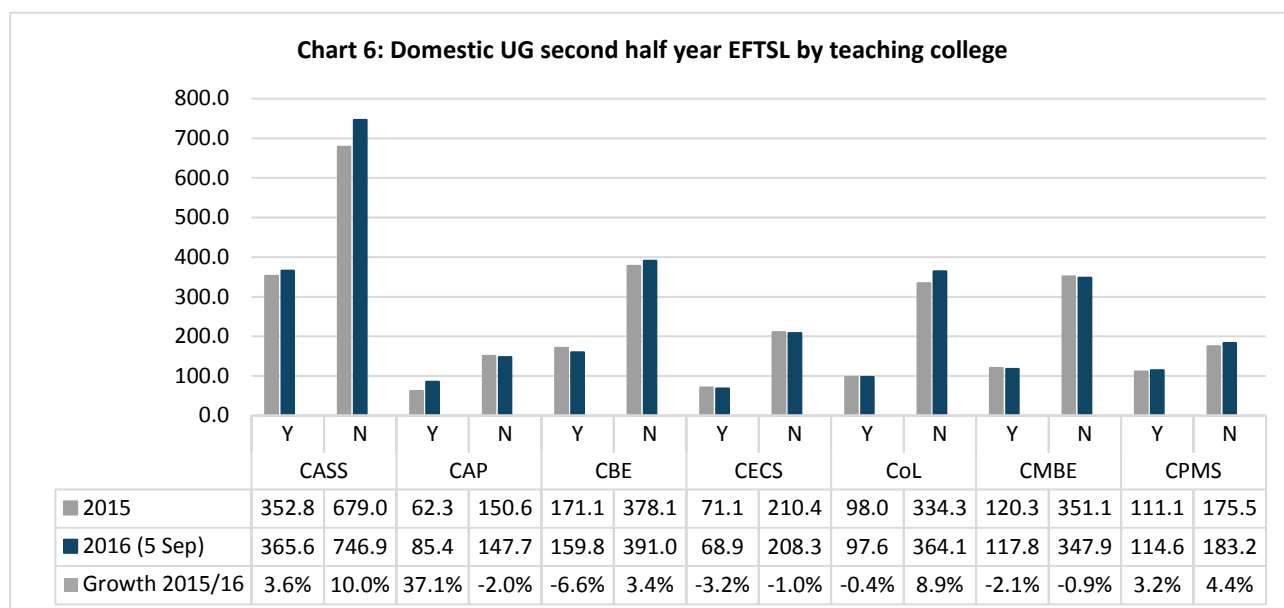
2. First-half, second half-year EFTSL performance by Funding Group

The Commonwealth Grant Scheme (CGS) is the largest student type with a 4.5% growth in second half year which is 44.5% of total EFTSL load. International Fee Paying students increased by 20.3% in second half and equates to 36.7% of total EFTSL load. Domestic Fee Paying decreased by 14.7% and attributed to a 36.4% reduction in postgraduate coursework students within the College of Law.

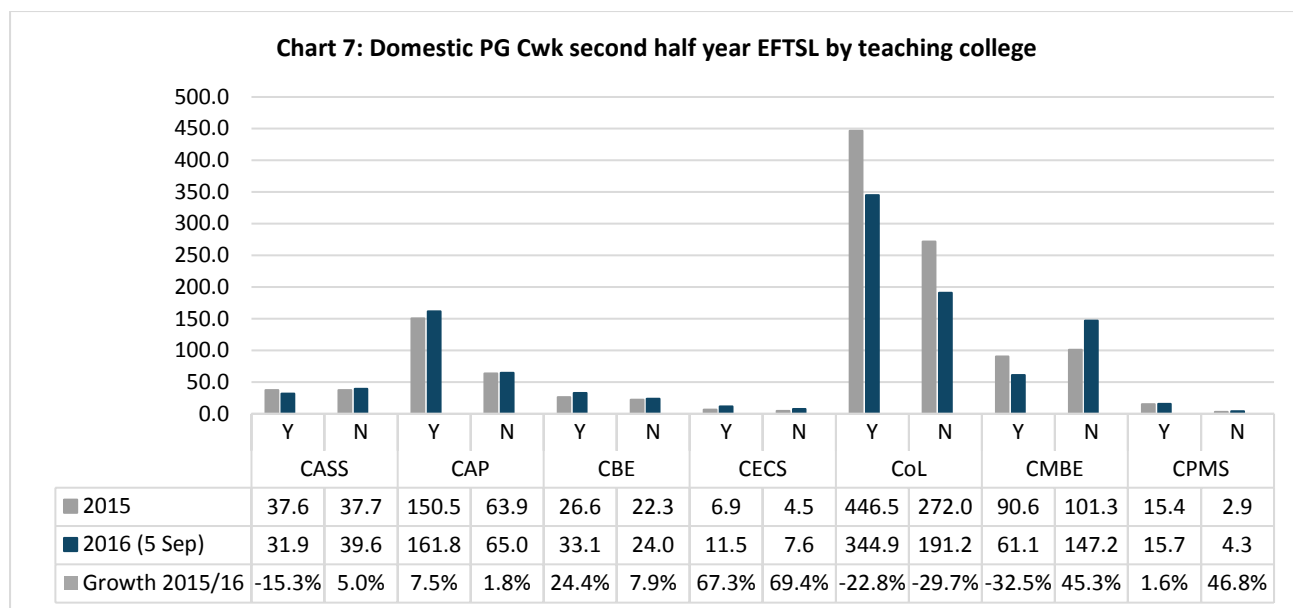


3. Domestic Load by Career and College

The College of Asia and the Pacific shows the largest growth with a 37.1% increase attributed to the success of the Bachelor of Asian Studies and Bachelor of International Security Studies. The College of Arts and Social Sciences shows a 3.6% increase with a strong continuing EFTSL increase of 10.0%. The College of Physical and Mathematical Sciences also shows an increase of 3.2% with the majority of EFTSL within the Bachelor of Science.



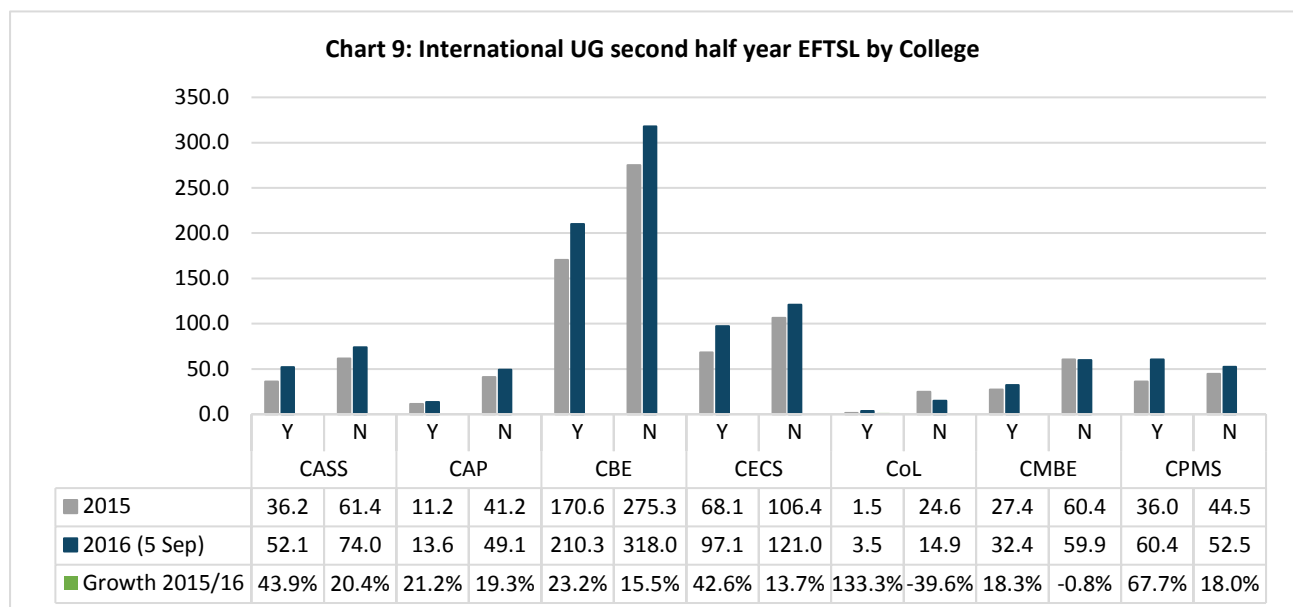
New programs within the College of Engineering and Computer Science, the Graduate Diploma in Applied Data Analytics and Master of Applied Data Analytics contributed towards this growth in the second half year. The College of Business and Economics shows a 24.4% increase. Within the College of Asia and the Pacific the Master of National Security Policy grew by 42.1% and Master of Military and Defence Studies grew by 24.9% when compared to 2015 final results.



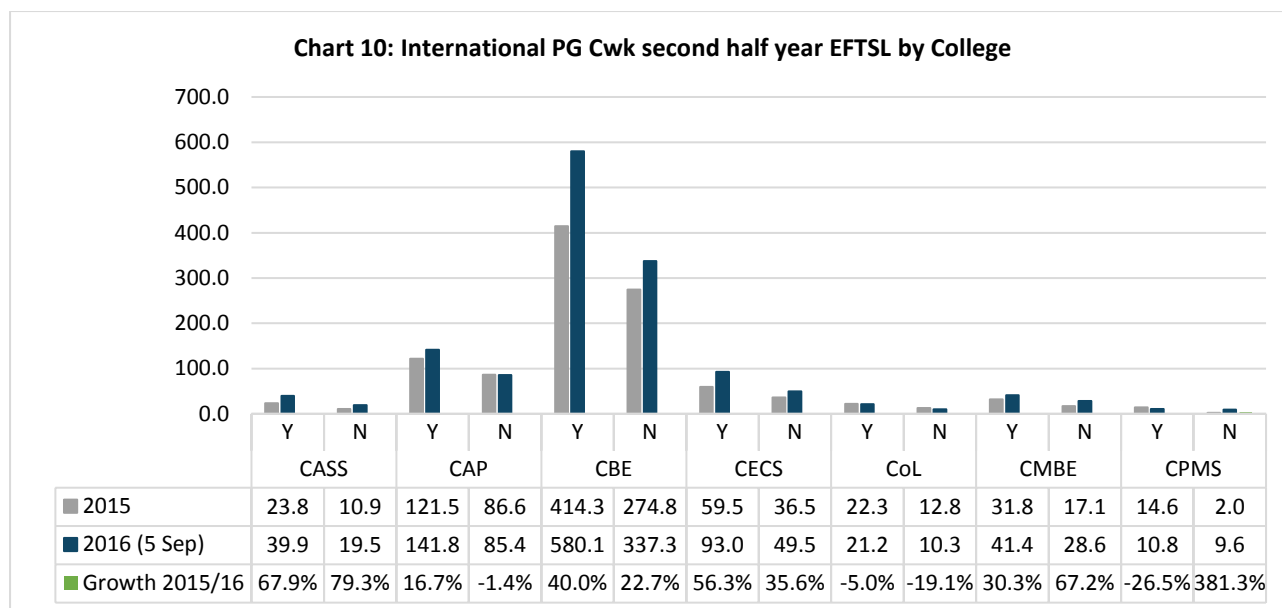
The College of Medicine, Biology and Environment shows in 9.6% increase in its commencing load. The College of Business and Economics is the only college to show an increase in both commencing and continuing load. The largest declines in commencing HDR EFTSL are within law, Asia and the Pacific and Arts and Social Sciences.

4. International Load

The College of Engineering and Computing shows a 42.6% increase within the Bachelor of Information Technology program doubling its load during second half year to 32.0 EFTSL when compared with 2015 final result. The Bachelor of Advanced Computing and Bachelor of Software Engineering also shows increased demand within the international cohort. Within the College of Business and Economics, the Bachelor of Finance and Bachelor of Accounting have collectively increased load by 56.0 EFTSL for this second half year when compared to 2015 final result. The College of Arts and Social Science also saw an increase of 43.9%.



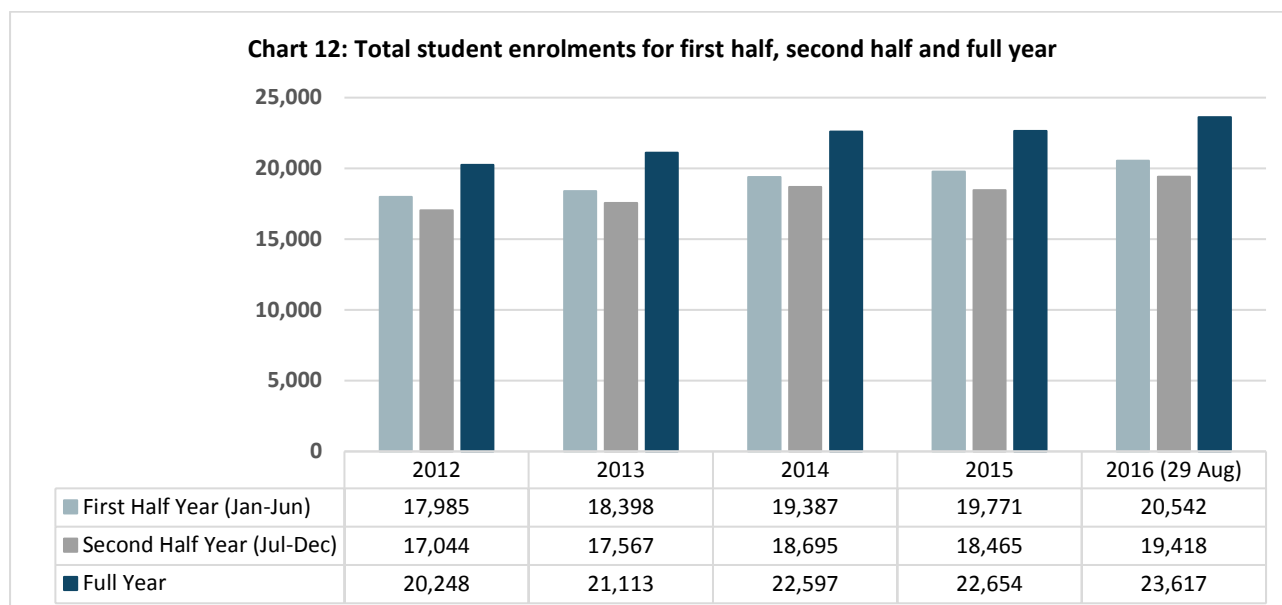
The College of Business and Economics shows the largest growth from 414.3 EFTSL during 2015 second half to 580.1 EFTSL in 2016 second half. The majority of this growth is attributed to the Master of Finance with a 55.8 load increase on 2015 final result, followed by the Master of Accounting with a 36.5 EFTSL increase. Within the College of Asia and the Pacific the Master of International Relations attributed 17.9 EFTSL towards this increase.



All Colleges experienced negative international growth for HDR apart from the College of Business and Economies with a marginal 0.2 load increase.

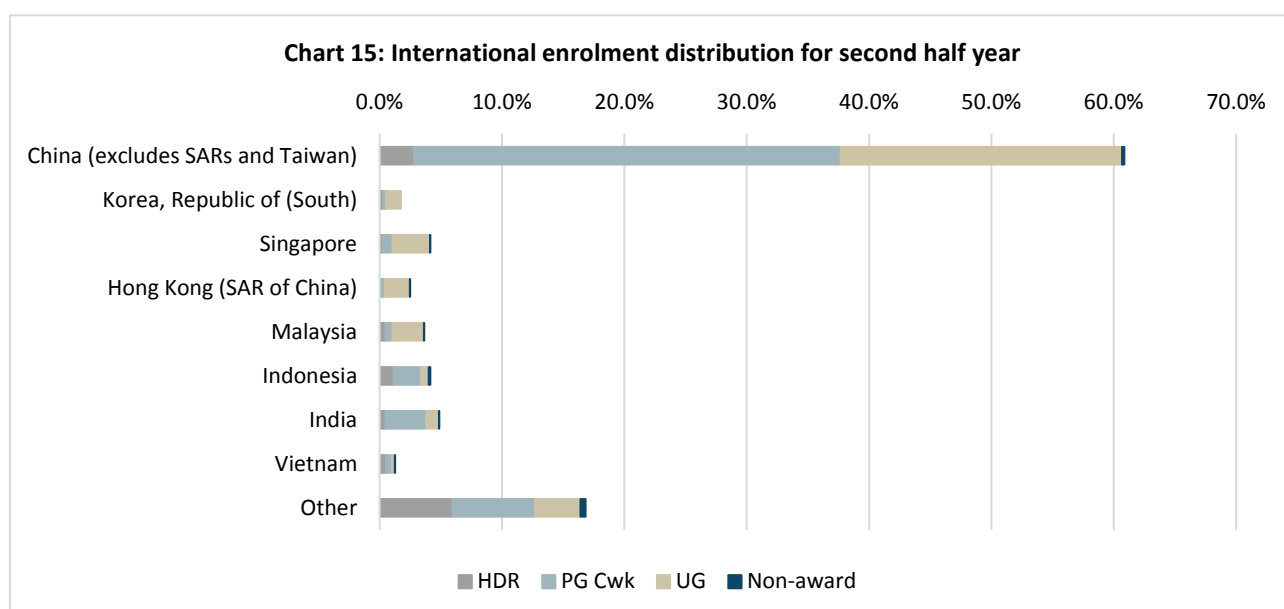
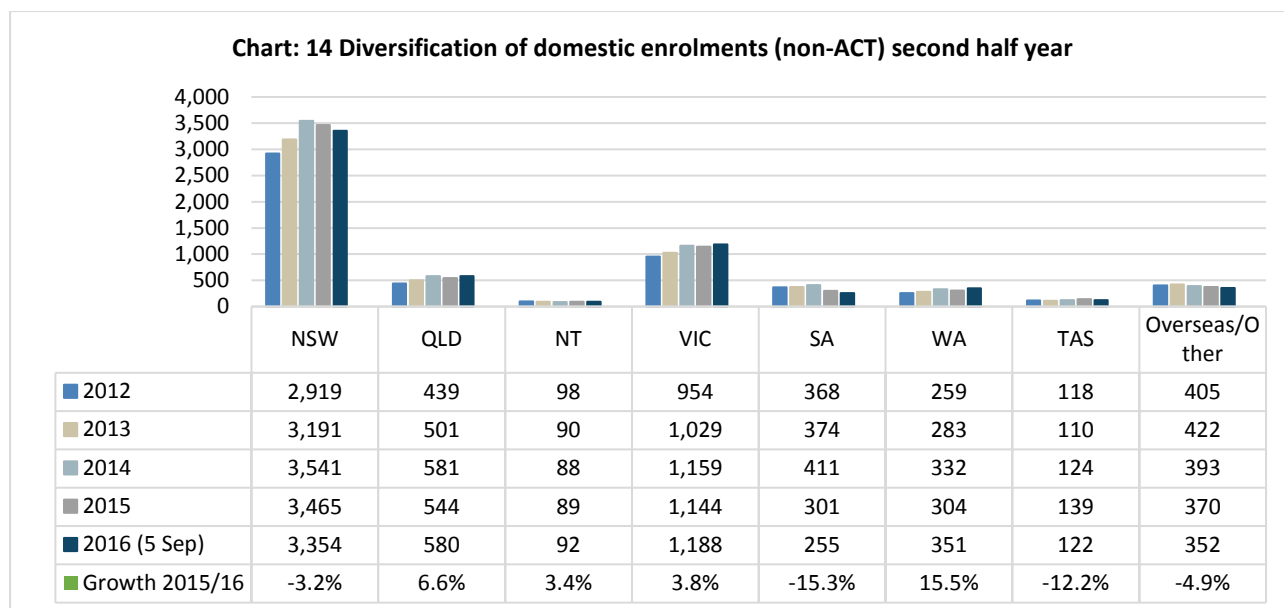
5. Enrolment Performance

The section of the report provides an overview of enrolment numbers (student head count) for the first half of 2016. Total student enrolments for second half of year reached 19,418. This represents a growth of 5.1% against the second half of 2015 and a 13.9% increase since 2012.



The domestic enrolment distribution by home state shows that ACT students constitute over 52.1% of domestic enrolments. While not charted this proportion has dropped from 55.7% in second half of 2012.

Non-ACT state based enrolments for domestic student's shows New South Wales is the second highest source of domestic students with 25.6% of enrolled students from NSW followed by Victoria with 9.1%. Since 2012, the largest growth is from Western Australia by 15.5% for second half year, followed by Queensland at 6.6%. The number of enrolments from South Australia has dropped by -30.7% since 2012.



Chinese students constitute 60.8% of the international student body. India has performed strongly in the first half with 66.9% growth and in second half with 43.9% growth in 2016. Hong Kong has shown promising growth this year with a 28.1% growth in first half and 33.9% growth in second half. Malaysia shows the largest decrease for 2016 with a reduction of -9.6% in first half and -11.9% decrease in the second half year.

COMMUNICATION

- For public release
 For internal release
 Not for release

C24 - 30. *Confidential to Council Members*

31. ACADEMIC BOARD REPORT

PURPOSE To note the report from the meeting of Academic Board held on 2 August 2016.

AUTHOR Chair, Academic Board

SPONSOR Vice-Chancellor

RECOMMENDATION That Council note the report from the meeting of Academic Board held on 2 August 2016 (542/2016).

ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

ATTACHMENT

[31.1 Academic Board report 4/2016, 2 August 2016 \(542/2016\)](#)

COMMUNICATION

For public release For internal release Not for release

32. POWER OF ATTORNEY

PURPOSE	To note a list of documents signed under Power of Attorney by the Investment Manager between 22 July 2016 and 6 September 2016.
PREPARED BY	Investment Manager
REVIEWED BY	Chief Financial Officer
APPROVED BY	Chief Financial Officer
SPONSOR	Vice-Chancellor

RECOMMENDATION That Council note the list of transactions over which the Investment Manager exercised Power of Attorney between 22 July 2016 and 6 September 2016.

ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

BACKGROUND AND SUMMARY OF ISSUES

This Power of Attorney was granted to the Investment Manager and executed under the Common Seal of the University on 4 September 2003.

Attachment 32.1 provides the list of transactions signed under Power of Attorney by the Investment Manager, between 22 July 2016 and 6 September 2016.

ATTACHMENT

[32.1 List of transactions signed under Power of Attorney between 22 July 2016 and 6 September 2016 \(809/2016\)](#)

COMMUNICATION

For public release For internal release Not for release

33. UNIVERSITY SEAL REPORT**PURPOSE** To inform Council of the uses of the University Seal.**PREPARED BY** Director, Office of the Vice-Chancellor**SPONSOR** Vice-Chancellor**RECOMMENDATION** That Council note the documents to which the University Seal has been affixed since the last meeting of Council held on 22 July 2016.**ACTION REQUIRED**For discussion For decision For information **CONSULTATION** Staff Students Alumni Government Other Not applicable**BACKGROUND AND SUMMARY OF ISSUES**

The *University Seal Statute 2002* came into effect on 11 June 2002. The *Statute* provides that the seal of the University must not be used except upon the order of the Council or as provided by the *Statute*. Section 5 of the *Statute* provides that:

1. **Affixing of seal to other documents**
 - a. If a document is required to be under the seal of the University but the affixing of the seal is not authorised by section 4, the Chancellor or the Vice-Chancellor may direct the custodian to affix the seal of the University to the document, and, at the first opportunity, the Chancellor or the Vice-Chancellor, as the case requires, must report to the Council the action so taken.

A list of documents to which the University Seal has been affixed since the last meeting of Council held on 22 July is as follows:

22 July 2016 member	Deed of Indemnity and Access – Deborah Veness, elected Council
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COMMUNICATION For public release For internal release Not for release

34. SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES

PURPOSE To consider a report of significant visits and events, grants and consultancies.

PREPARED BY Communications Officer (Special Events)
Office of Research Excellence

REVIEWED BY Vice-Chancellor

APPROVED BY Vice-Chancellor

SPONSOR Vice-Chancellor

RECOMMENDATION That Council note the report of significant visits and events, grants and consultancies.

ACTION REQUIRED

For discussion For decision For information

ATTACHMENT

[34.1 Report of significant visits and events, grants and consultancies \(791/2016\)](#)

35. LEGISLATION

PURPOSE	For Council to note legislation made by the Vice-Chancellor.
PREPARED BY	Manager, Corporate Governance and Policy
REVIEWED BY	Director, Corporate Governance and Risk Office
APPROVED BY	Vice-Chancellor
SPONSOR	Vice-Chancellor

RECOMMENDATION	That Council note the legislation as approved by the Vice-Chancellor, namely: <ul style="list-style-type: none"> ➤ <i>Council (Elections) Order 2016 (No. 2) and</i> ➤ <i>Academic Board and Committees Rule 2016.</i>
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ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

Consultation on some minor amendments to the *Council (Elections) Order 2016* occurred with the Deputy Vice-Chancellors. The *Academic Board and Committees Rule 2016* was made by the Vice-Chancellor following endorsement by the Academic Board.

BACKGROUND

The *Council (Elections) Order 2016 (No. 2)* is made under section 21 of the *Council (Elections) Statute 2016*. The Order prescribes, for paragraph 10(1)(k) of the *Australian National University Act 1991*, the bodies that are to be regarded as colleges and research schools and the persons who are to be regarded as the dean or head of such a body. The Order gives effect to some minor amendments to the previous *Council (Elections) Order 2016*, ensuring that the Directors all relevant academic organisational units were included within its scope.

The *Academic Board and Committees Rule 2016* is made under section 11 of the *Academic Board and Committees Statute 2014*. The Rule prescribes Academic Board meeting arrangements and the establishment, constitution, functions and powers of committees and subcommittees. This update of the Rule modifies the membership of University Education Committee to include '1 research intensive academic staff member appointed by the Chair of the Committee'. In the process of updating this piece of legislation the legislative drafter took the opportunity to make some minor amendments to the text to bring it in line with current Council legislation.

ATTACHMENTS

[35.1 - Council \(Elections\) Order 2016 \(No. 2\) \(772/2016\).](#)

[35.2 - Academic Board and Committees Rule 2016 \(792/2016\).](#)

COMMUNICATION

For public release For internal release Not for release

The new legislation has been published on the [ANU legislation webpage](#) and the [Federal Legislation website](#)

C36. *Confidential to Council Members*

37. DISESTABLISHMENT OF RESEARCH SCHOOL OF ASIA AND THE PACIFIC

PURPOSE	To note the disestablishment of the Research School of Asia and the Pacific (RSAP).
PREPARED BY	Senior Governance Officer, Governance and Risk Office
REVIEWED BY	Director, Corporate Governance and Risk Office
APPROVED BY	Vice-Chancellor
SPONSOR	Deputy Vice-Chancellor (Research)

RECOMMENDATION	That Council note the disestablishment of the Research School of Asia and the Pacific (RSAP), as approved by the Vice-Chancellor.
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ACTION REQUIRED

- For discussion For decision For information

CONSULTATION

- Staff Students Alumni Government Other Not applicable

A formal review was conducted earlier this year and included extensive consultation with staff of the College of Asia and the Pacific. The College Executive Committee formally endorsed the Review Report and all recommendations on 10 February, and it was approved at a meeting of the College Board on 16 March 2016.

COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION

- Finance Audit & Risk Management Campus Planning Remuneration
 Major Projects Honorary Degrees Nominations Academic Board

The proposal was considered and endorsed by the University Research Committee and the Deputy Vice-Chancellor (Research) before its approval by the Vice-Chancellor on 29 August 2016. It will be noted by Academic Board at its next meeting on 4 October.

BACKGROUND

Following the formation of the College of Asia and the Pacific, the Research School of Asia and the Pacific (RSAP) was established in 2009 to be the institutional focus of the College's collective research efforts, with a remit spanning:

- support for cross-College and cross-disciplinary research collaboration;
- support for research symposia, conferences and workshops;
- an integrated Early Career Development program;
- funding for research development, travel and visitors;
- a communication strategy for the College's research; and
- streamlined and efficient administration.

All academic staff members of the College who hold an academic appointment of 12 months' duration, or more, can apply to be an RSAP Fellow. As a minimum requirement, nominees must have completed the requirements for the award of a PhD degree, including the degree having been conferred.

Membership of RSAP entitles' academic staff to apply to the pool of RSAP funding set aside for research symposia, conferences and workshops, Early Career Researcher (ECR) support programs, and a series of research support grants.

In 2014 the College Board, with the endorsement of the College Executive, recommended a full review of RSAP to ensure that an efficient and effective support framework was in place for the College's researchers and that it served to increase the College's strategic returns and impact. A formal review was conducted earlier this year, led by the Associate Dean (Research).

SUMMARY OF ISSUES

The Review Committee's recommendations are set out in the *Review of the Research School of Asia and the Pacific* report (refer Attachment 36.1). In summary, the Committee found that over time, RSAP has come to be seen as fulfilling only certain aspects of its remit; specifically, that of a College-level funding program for research. Likewise, as RSAP has matured, administration of this funding program, as well as its membership model, has resulted in a transaction-heavy workload involving significant administrative processes for what is a relatively small funding base.

The Review Committee agreed that RSAP be disbanded, and be replaced by a new and more strategically-focussed funding program which does not duplicate other funding programs, but which supports CAP researchers in undertaking projects that are:

- Strategically relevant and of benefit to the College as a whole;
- Innovative;
- Supportive and able to deliver effective mentoring of Early Career Researchers;
- Collaborative and have potential to develop new networks outside of the College and University to strengthen its research capability, particularly with government and industry;
- Able to attract future funding by higher value external programs; and
- Able to showcase and disseminate the College's research achievements.

The Asia-Pacific Innovation Program was launched in June 2016 to fulfil these objectives.

The College has also committed to strengthening the role of the Regional Institutes to support the research endeavours of the College. These Institutes foster interdisciplinary and cross-unit collaborations, bring together academic staff with shared regional interests, and deliver functions that are not performed by the new funding program.

ATTACHMENT

[37.1 Approval Memorandum with Report of Review of Research School of Asia and Pacific \(784/2016\).](#)

COMMUNICATION

- For public release For internal release Not for release

C38. RESIDENTIAL FEE SETTING FOR 2017

PURPOSE	To note the ANU residential fees for 2017 that will be the subject of the Vice-Chancellor's approval.
PREPARED BY	Deputy Vice-Chancellor (Academic)
REVIEWED BY	Executive Director, Administration and Planning
APPROVED BY	Deputy Vice-Chancellor (Academic)
SPONSOR	Deputy Vice-Chancellor (Academic)

RECOMMENDATIONS That the ANU Council note the following residential fees increases for 2017:

1. Bruce Hall and SA5 – 2.5% increase for catering
2. Fenner Hall - CPI 1.3% plus 3.2% for maintenance.
3. PBSA - ANU Halls (Toad, Burton and Garron and Ursula), Lodges (Davey, Kinloch, Warrumbul, Lena Karmel) and Graduate House - 1.8% in accordance with the PBSA.

ACTION REQUIRED

For discussion For decision For information

CONSULTATION

Staff Students Alumni Government Other Not applicable

COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION

Finance Audit & Risk Management Campus Planning Remuneration
 Major Projects Honorary Degrees Nominations Academic Board

BACKGROUND

As a result of the PBSA agreement, the University is in a position to minimise the increase in weekly room tariffs. Over the past years, the ANU Halls' annual tariff increases have been based on the following:

- The March CPI (2016 1.3%) plus
- The % needed to increase revenue to have sufficient funds in the Trading Arm reserves to cover the extensive costs of the capital works program to maintain the buildings at a satisfactory safety level. It should be noted that the five ANU Halls are 'old stock' needing significant repair, alterations and upgrades and not simple 'cosmetic' work. The extra % was calculated each year based on the ten year capital works program.

Increases in tariffs 2012 to 2016 (excluding meals):

- 2013: an increase of 3.8% compared to 2012
- 2014: an increase of 4.3% compared to 2013
- 2015: an increase of 7% compared to 2014
- 2016: an increase of 4.4% compared to 2015 (2.5% was the actual increase in room tariff but 4.4% included the conversion of the Building Maintenance Levy (BML) from an annual lump sum to a weekly amount).

The proposed 2017 Tariffs:

Bruce Hall and the New Hall (SA5): The Bruce community will be moving into SA5 for 2017 and will stay there until the end of 2018. The weekly std. room tariff for SA5 will be \$272.00.

Catering costs per week will be \$161.35, which is a 2.5% increase from the previous year. As the community will be needing to move, the University will subsidise the difference between the Bruce standard room tariff (increased by 1.8% from last year) and the SA5 Standard room tariff.

Fenner Hall: It has been decided that Fenner Hall will be operating in its current location for another two years. Based on the work needed to achieve and maintain specific safety and compliance standards and the fact that Fenner Hall is not part of the PBSA, it is recommended that the tariff be increased by CPI 1.3% plus 3.2% to cover the capital works and maintenance required for the next two years. At this stage, further investigations regarding the fire compliance work listed in the IPSP report will need to take place so the tariff calculations are based on the maximum estimates for those works.

PBSA - ANU Halls (Toad, Burton and Garron and Ursula), Lodges (Davey, Kinloch, Warrumbul, Lena Karmel) and Graduate House: To ensure final bids were received on a certain and consistent basis, a standard tariff increase of 1.8% was applied to all in-scope residences. This allowed an increase marginally above prevailing CPI but also ensured that GST related obligations were adhered to. Increases of up to 1.8% were applied to NRAS residences to the extent that individual room types fell within the applicable market valuation metrics. The other changes as a result of the PBSA agreement compared to our 2016 tariff structure are that they have combined the BML into the Transaction Company Room total but deducted an amount for the utilities.

Therefore, as result of the PBSA agreement, the PBSA Halls, Lodges and Graduate House, will have a 1.8% increase in the room component and utilities. To cover the costs associated with the other components, it is recommended that the components added to the room tariff should increase by CPI 1.3%. In terms of Graduate House and the Grandfathering arrangement, the PBSA financial model assumed that the old tariff rooms are to be phased out across the first three years of the concession i.e. all Graduate House room assumed to return to new tariffs by 2020.

2017 Tariff Modelling

2017 Tariff (PBSA 1.8% room tariff increase plus 1.3% increase to ANU components):

·	PBSA Room rate plus 1.8% =	\$174.44	(This includes the BML)
·	Utilities	\$24.43	(matching the PBSA doc)
·	Total extra ANU components	\$16.75	(Separately listed in table below)
·	Total 2017 Standard Room tariff	\$215.62	This is an increase of \$3.77 per week over a 2016 Standard room rate.

Note: the above calculations shows the impact of a 1.8% PBSA increase for their component plus 1.8% for utilities and then the other ANU components at 1.3%.

The 2017 increase results in an overall average increase of about 1.78% but still shows as 1.8% rounded to 1 decimal. The reason the overall increase is not lower is that the 1.8% is added to the larger components and the 1.3% to much smaller components so there is a weighting effect which lessens the impact of the 1.3%.

Meal Component: due to wage and food cost increases it is proposed to increase the meal component by 2.5% to \$161.35. The meal component was increased by 2.5% for 2016. This is an increase of \$3.95 per week over 2016.

The total catered tariff for Ursula Hall catered residents would therefore be **\$376.97 per week** including the accommodation increases.

Table A demonstrates 2017 increases compared to the 2016 tariffs.

Table A: Tariff Model

Tariff Model		
Standard Room	2016	2017
Tariff Components	Weekly Tariff	Accommodation Components+Utils@1.8% PBSA CPI March Qtr; Extras 1.3% (components tariffs rounded to next 5c)
Room	\$167.65	\$170.67
Building Maintenance Levy	\$3.70	\$3.77
Accom Component (Proponent)	\$171.35	\$174.44
Utilities (covering gas, water, electricity)	\$24.00	\$24.43
Self-Catered tariff (Incl Utils excl ANU fees)	\$195.35	\$198.87
Internet/Phone Connection	\$6.85	\$6.95
Laundry charge	\$7.60	\$7.70
Personal Accident Insurance	\$0.30	\$0.30
Data Usage	\$1.75	\$1.80
Total Extras	\$16.50	\$16.75
Self-Catered Tariff	\$211.85	\$215.62
Meals Component (21 meals per week)	\$157.40	\$161.35
Catered Tariff	\$369.25	\$376.97

COMMUNICATION

For public release For internal release Not for release

Once approved, the tariff increase will be communicated to the Presidents of each residence explaining the reasons behind the tariff increase, which is higher than the CPI (1.3%) they were expecting.

PART 6 – OTHER BUSINESS

***39. QUESTION TIME**

PURPOSE For Council members to ask questions.

SPONSOR Chancellor

RECOMMENDATION That Council note any matters raised in question time.

ACTION REQUIRED

For discussion

For decision

For information

***40. OTHER BUSINESS**

PURPOSE For Council members to raise any other business for the consideration of the meeting.

SPONSOR Chancellor

RECOMMENDATION That the Council consider any other business raised.

ACTION REQUIRED

For discussion

For decision

For information

41. NEXT MEETING

PURPOSE For Council members to note the date of the next meeting of Council.

SPONSOR Chancellor

RECOMMENDATION That it be noted that the next meeting of Council will be held on 2 December 2016.

ACTION REQUIRED

For discussion

For decision

For information







ATTACHMENTS



Philanthropy 2017-

Colin Taylor
Director, AR&P

2016 Advancement Plan

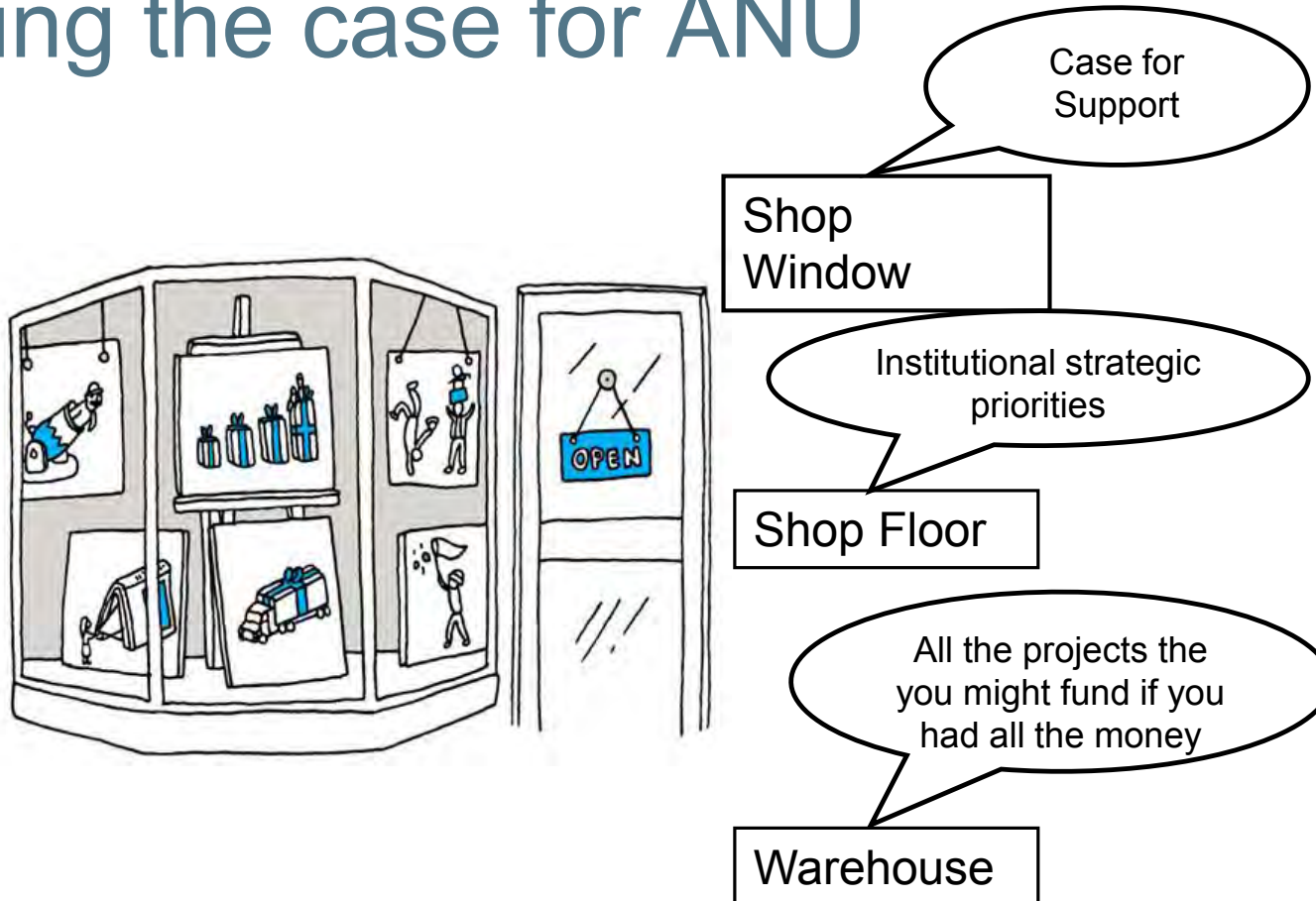
1	Develop a Compelling, Concise and Distinctive Case for Support for ANU	
2	Strengthen the Pipeline and Potential Major Donors	
3	Enhance Advancement Infrastructure, Expertise and Resource	
4	Cement Academic and Volunteer Confidence and Buy-In	
5	Enhance Alumni and Stakeholder Engagement	
6	Embedding the Philanthropic Voice	



Plan structural changes

Advancement Services CM	Complete and in recruitment.
Gift processing review	New team / new process / early days.
Fundraiser 'sales force'	3.25FTE -> 9.3FTE on task.
Fundraiser reporting line	College negotiation partially complete.
Donor Relations implementation	AR & DR merger. In recruitment.
Alumni Relations Planning	AR & DR merger. Bottom-up planning.
Endowment review	Underway.
Annual Giving	Substantially expanded. Long term pipeline strategy.

Making the case for ANU



The Case for Support

A single, simple narrative is critical to set ANU apart from other universities and to give coherence to the fundraising effort.

The Case for Support sets out the University's priorities for fundraising, aimed especially at potential major donors.

A tool for the whole organisation

which provides information for staff and volunteers to present the same messages to supporters

An engagement mechanism

which gives potential donors the chance to offer advice and become strategically involved

A resource

from which promotional material can be produced (brochures, video, tailored proposals, the script for a campus tour)

Major Gift Pipeline

Certain

John Love (Bq)	Scholarships	ANU-wide	2.5m
James Erskine	Artwork (GIK)	Drill Hall	0.5m
Lois Connor	Artwork (GIK)	CIW	0.81m
Sloan Fdn	Research	CBE	1m
Westpac	Scholarships	ANU-wide	0.6m
John Mitchell	Research	CBE	0.490m
G&L Tuckwell	Capital	ANU-wide	56m

Likely (12-18 months)

Russell Clark	Scholarship	CAP	2.4m
Russell Clark	Teaching support	CAP	0.25m
NAB	Research	CMBE	1m
Ian Potter Fdn	Research	CMBE	1-2m
McLeod	Untied	ANU-wide	1m
Poignant (Bq)	Indigenous	ANU-wide	11m
Gascoigne (Bq)	Scholarships		1m+

The Clark Gift



Russell Clark

- Applied for Alumni Volunteering Program in response to advertisement
- First contact: Jan '13, First meeting (Taylor/Sublett): Jun'13.
- Meetings ('13-'16): Taylor, Sublett, Young, Schmidt, Evans, Flutter, V.Taylor. Family meetings with and stewardship of mother, sister and brother also critically important.
- The current proposal:
 - \$120k cash received: Claire Clarke Scholarship
 - \$2.4m endowment pledge agreed in principle: Claire Clarke Scholarship
 - \$250k in discussion: Student managed fund.
- Value adding: Student Managed Fund
- The long term potential: Clark is 42 years old with estimated NW approx \$36m.
- Case for Support: Postgraduate Scholarships: Public Policy

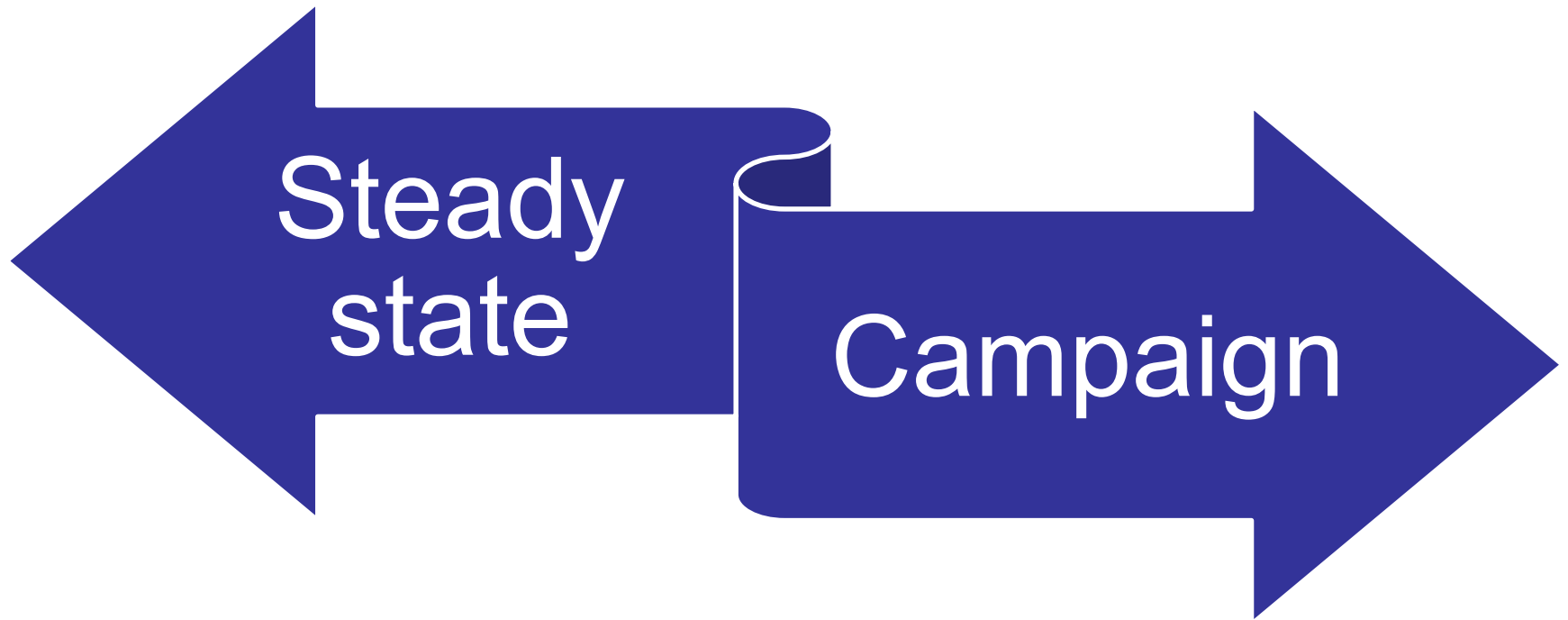


The Poignant Bequest



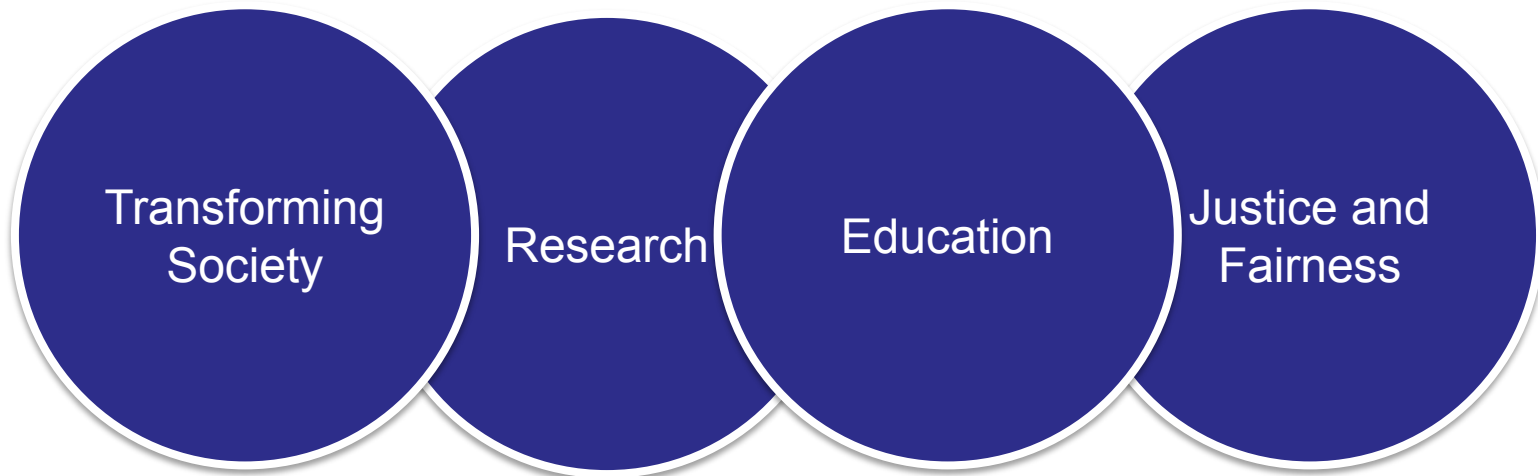
Roslyn Poignant

- Roslyn Poignant, widow of Axel Poignant.
- Basis of affinity is the hospitality shown by the SoA when visiting in 1994.
- First meeting in 2006.
- Original reason for formation of the ANU (UK) Foundation.
- Ongoing cultivation/stewardship, intensive since 2012.
- Relationship with SoA staff and VFs.
- Has met with Uhr, Taylor, Hughes-Warrington, Schmidt.
- Likely bequest AUD\$11m to support Indigenous Australians in Visual and Performing Arts.
- Case for Support: Justice & Fairness: Indigenous



Do we have a case?

Four underlying themes:



- This is a start to a living document.
- The draft can be finalised with the strategic plan
- Case must be tested: internal underway followed by external testing with trusted donors.

Do we have enough prospective donors?

Net Capacity	# Identified	Valuation	Est Value
2) \$50,000 - \$99,999	885	\$75,000	\$66,375,000
3) \$100,000 - \$499,999	348	\$300,000	\$104,400,000
4) \$500,000 - \$999,999	46	\$750,000	\$34,500,000
5) \$1,000,000+	112	\$1,000,000 / 3% ENW	\$150,180,000
TOTAL:	1391		\$355,455,000
Gift potential at 1 in 3			\$118,485,000
Gift potential at 1 in 4			\$88,863,750

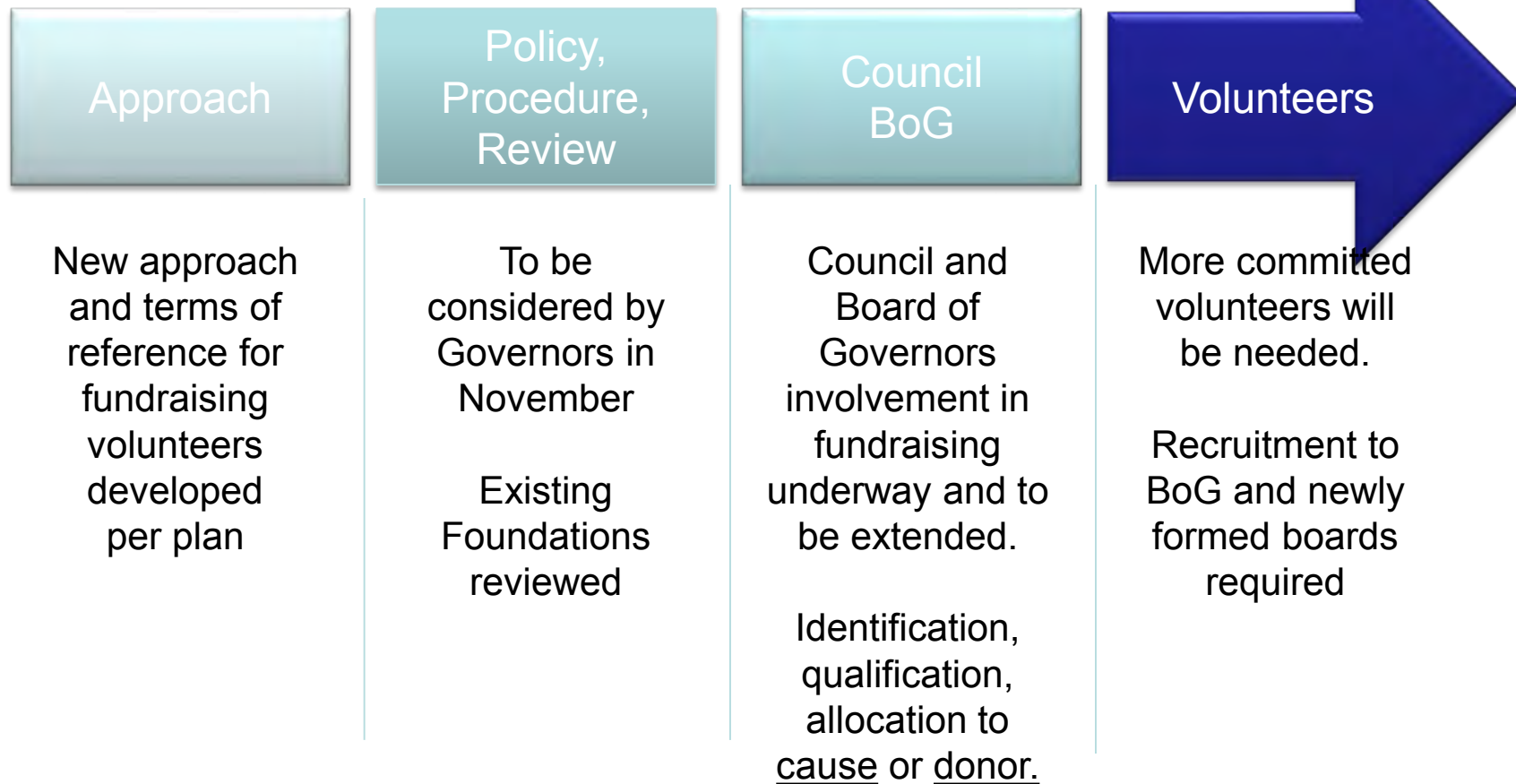


Do we have enough fundraisers?

	FTE (on f'raising task)
2016 Current	9.3 FTE
2016 To hire	1.2 FTE
2017 Full structure	10.5 FTE
Projected capacity	\$20m per annum from 2018
Comparisons	
Sydney	17FTE+
UNSW	Adding 37 posts.

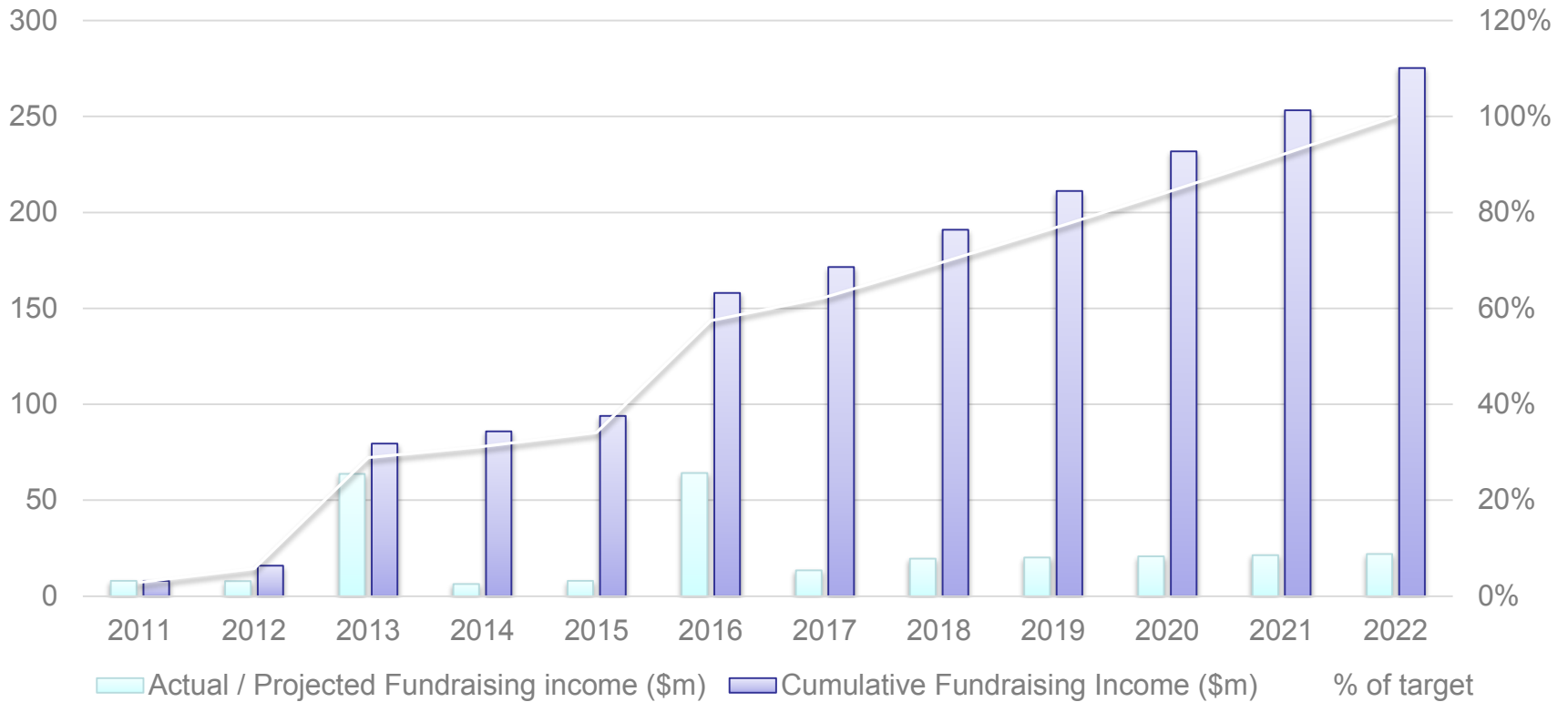


Do we have enough volunteers?



Present fundraising potential: \$275m from 2011-2022

Fundraising Income: Actuals to 2015 and Projection





Forward pathway





A University for Australia's future

ANU: A Preliminary Case for Support

Alumni Relations and Philanthropy

INTERNAL CASE DOCUMENT

DRAFT VERSION 5.3

“... the national university which this bill seeks to establish shall be established in such manner that it will bring credit to Australia, advance the cause of learning and research in general, and take its rightful place among the great universities of the world.”

19 June, 1946

Mr. John Dedman,

Minister for Post-war Reconstruction and Minister in charge of the Council for Scientific and Industrial Research

From the Vice-Chancellor

The Australian National University is one of the most remarkable universities in the world. It is about to become a whole lot more exciting.

ANU was designed by the visionaries who created it to give to Australia a great university, so the nation could stand alongside other great nations of the world as an intellectual force in its own right.

Seventy years on, Australia has much to be proud of, and the Australian National University shares that pride. It has grown into the confident, productive institution that its makers intended it to be, a global hub of knowledge and leadership with a sharp focus on excellence.

The University has been associated with six Nobel Prizes – more than all other universities in Australia combined.

The University was there to assist when the modern constitutions of Pacific nations were drafted.

An ANU academic critiqued, by invitation, the nuclear warfare plans of the United States at the height of the Cold War, and was credited by President Carter as having ‘made a great difference to our collective goal of avoiding nuclear war’.

ANU economists, in the early 70s, laid the ground work for a new treaty framework with Japan. In doing so, they also laid the foundations for what has become the Asia-Pacific Economic Cooperation process - APEC.

The mathematical representations of photosynthesis – the biological process at the heart of all plant, and therefore food, production – developed 35 years ago at ANU are still used by agricultural and environmental scientists today.

And the work of my team in discovering that the Universe’s expansion rate is accelerating, which led to the 2011 Nobel Prize in Physics which I share with Saul Permuter and Adam Reiss, was only possible because of the way that ANU nurtured my early career.

We live in challenging times, with many serious issues facing us. Our University is continuing to work hard to keep abreast of the challenges. We are seeking new ways to combat environmental problems, to develop the technologies that will lead to the industries of the future, to feed the world and to enrich public debate to better the universe in which we live.

We are building the future of ANU to stand upon its proud past as a resource for the nation and the region and reach for greater heights for the next 70 years and beyond.

We cannot achieve this alone. To ensure that we can create the next chapter, we are seeking partners on the journey. So I am inviting you to become part of the future of the University and to stake your place in the future of our world.

With best wishes

Professor Brian Schmidt AC

Vice-Chancellor and President

<IMAGE: Gravitational waves> ANU academics were foremost in the discovery of Gravitational Waves, which will have long-term benefits for humanity through a raft of new discoveries and Nobel Prize winning research.

< IMAGE: Droplet lens> The droplet lens will revolutionise microscopic lens capabilities and affordability.

<IMAGE: Bruce Chapman> Invention of demography.

<IMAGE: Joan Beaumont and Manning Clark> Making history for the nation.

Australia's National University

When the Australian National University (ANU) was formally established in 1946, it was not the first university in Australia. But it was the first to be founded as a research-based institution of higher learning. From the beginning, ANU was a little different.

An ANU education supports the development of talented people in an immersive environment of excellence. ANU attracts top students from Australia and abroad who encounter outstanding teachers and research leaders from around the world. They benefit from a personal approach to education which brings them close to world-leading teachers, in a way which is unique among Australian universities. ANU students also have unparalleled opportunities to live on campus. It makes for a university experience like no other in Australia, a traditional and valued approach, lost to a modern, mass market world looking for standardized education packages.

Real-world problems tend not to fall neatly under one academic discipline or another. Addressing sustainability challenges, for instance, requires not only a broad range of scientific expertise about the environment, climate and energy but also knowledge of engineering, economics, social systems and values, politics, and human behaviour. The same applies to developing evidence-based approaches for dealing with the systemic disadvantage Australia's Indigenous peoples face; or to understanding economic and security implications for Australia of geopolitical changes.

Here, ANU's approach to research collaboration across disciplinary and organizational boundaries comes into its own. In a University where the social sciences and humanities are as strong as the physical sciences, engineering and medical research, the whole of a collaborative effort is very much greater than the sum of its parts. ANU is uniquely qualified to make a leading contribution to national debates and addressing national priorities. We take this responsibility very seriously.

The University is built on the proven concepts of academic freedom and integrity, the bedrock of our culture. Our best outcomes have come from our staff and students speaking fearlessly. Our culture is underpinned by clearly stated values which include a commitment to excellence, original ideas, inclusivity and diversity. These reflect the wider values of Australian society and are best expressed as a commitment to Justice and Fairness for all. It is our intention that ANU will become a national and international role model for justice and fairness in the way that we educate, research and work - and most importantly in the impact and outcomes of these activities.

ANU has played a leading role in reconciliation with Indigenous Australians, since the work of one of our founders, Dr Herbert 'Nugget' Coombs, who became Chancellor in 1968. We will continue this commitment into the future. This is not a simple goal, and it will not be achieved through any single project. It will require constant vigilance to make sure that it is always considered and present in everything we do in order to be permanently woven into the fabric of life at ANU.

ANU plays a major role in educating leaders in politics, society, the law, the public service, business, the professions, the arts and culture. Our recognized national role and Canberra location also allow us access to the institutions that shape Australia's public life to enrich our education offer and deepen our research.

<IMAGE: Campus aerial view>

Philanthropy has always been part of ANU, however with the creation of the ANU Endowment for Excellence in 1995, it first really came into its own at this institution. The Endowment was established to mark the University's 50th anniversary, to acknowledge and support excellence in research and education by providing financial support to top academic achievers and world class researchers, attracting top talent and driving innovative and impactful research and policy development.

Philanthropic gifts, large and small, working together have made it possible for students to take maximum advantage from the ANU education, created innovative opportunities to support teaching and research staff to achieve their highest potential, and supported new and innovative research - particularly research that is risky or uncertain in its outcome.

Our largest gifts have opened doors to ANU to students who would otherwise have struggled to reach us. The largest of these to date is the Tuckwell Gift. The remarkable and far-sighted philanthropy of Graham and Louise Tuckwell has created Australia's most prestigious undergraduate scholarships. They are awarded to students across Australia who show both academic merit and a commitment to give back to the Australian community. The program was designed specifically to provide opportunity and to inspire role models and leaders who can make a difference to Australia and the world, and to do so in perpetuity.

Donors to the ANU Fund make smaller gifts which collectively bring great change to the lives of our students which increase scholarships, strengthen teaching facilities, fund community activities and promote innovative research. Every gift, whatever its size is valuable.

Ultimately the beneficiaries of philanthropy go beyond the University to the people of Australia and the world. They are the end users of our research; it is their lives which are improved by our results and findings. They are the thousands of students who will go on to productive careers underpinned by an ANU education.

<IMAGE: Buckingham Prize> The Buckingham Prize, which honours well known Canberra surgeon John Buckingham's commitment to both medical student teaching and research, is awarded annually to the most outstanding research project by a first or second year medical student

<IMAGE: JCSMR Gender Equity Prize> The JCSMR Gender Equity Prize is a unique award which assists researchers experiencing career disruption as the result of caring for a child.

<IMAGE: Social Science Survey Endowment> The Social Sciences Survey Endowment, which supports research based collaborations linked to large and long term survey collections.

<IMAGE: Tuckwell Scholarship> Graham and Louise Tuckwell established the Tuckwell Scholarship Program, it is the largest and most prestigious university scholarship in Australia.

Transformation of our society and our world

ANU has had a historically profound impact on Australian and world society, for instance the University’s role in the eradication of smallpox, announced by ANU microbiologist Prof Frank Fenner to the World Health Organisation in 1980. Or the development of income-contingent loans for higher education, designed by ANU Economist Prof Bruce Chapman, introduced in Australia in 1989 and now used in the UK, New Zealand and Ethiopia amongst other countries.

Building on this experience, ANU wants to now explore bringing together corporate, government and academic leaders to solve problems, and to incubate new blue-sky developments.

There is no shortage of questions. The world needs answers, and it needs them quickly.

Take an issue such as water security. It’s a subject central to geology, climate science and civil engineering, but will increasingly involve also economics, computer science and statistical modelling, chemistry, politics, urban planning.

It is of importance to Governments regionally, nationally and internationally, to business and to the research community. Our vision is space to allow these disciplines to combine, with distinguished visitors from outside, to gather evidence and conduct high quality research, but also to help deliver, without delay, a policy program that will underpin real change in the world.

Small interdisciplinary groups will come together for five to seven years, working on a problem from multiple angles. This will integrate overarching research without regard for the boundaries of traditional subjects and disciplines, delivering research goals, and unique opportunities for education. The concept of bringing groups like this together so that they can share ideas and be driven by collective energy is known in commercial circles as an ‘accelerator’. Our concept is a Public Policy Accelerator.

This approach will be our distinctive difference and will find application in topics as diverse as climate change and wearable technology.

<IMAGE: Crawford Australia Leadership Forum> The Crawford Australian Leadership Forum brings together 150 business leaders, political and public service leaders, academics and analysts to focus on the major public policy issues confronting Australian policymakers, and the way they need to respond.

Flagship priority

<p>Public Policy Accelerator: A fresh approach to public policy</p>	<p>We live in a new age of uncertainty. Established orthodoxies and conventional wisdom in public policy are under siege. As trust in major leaders erodes, governments collapse and voter polarisation increases all around the world. The need for innovative public policy thinking and education is becoming more pressing than ever. A fresh approach requires leadership, capability, commitment and follow through. The approach set forth by ANU encompasses each of these elements.</p> <p>ANU will create a new Public Policy Accelerator to bring together its considerable strengths in public policy, research and education and to hasten their impact on society. The Accelerator will build and leverage partnerships with the Australian Government, other regional governments, business and civil society.</p>
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Research as the foundation of all that we do

Our best research ideas have come from researchers who have found a supportive, interdisciplinary and collaborative environment at ANU.

We now want to extend this idea, attracting the world's best early-career researchers, provide them the springboard that only ANU can provide, free them from grant-to-grant thinking, and see where they will take us.

An example of this is the *Next Generation Farm Management project* which builds on one of the largest long-term studies of its kind in the world, testing and implementing practical farming and conservation practices over 20 years on farms covering more than 200,000 square kilometres of land. The project assists farmers in making good decisions on the balance between biodiversity conservation and agricultural production to achieve the best outcomes for farm management, farmers, their customers and the environment. The project extends naturally to economics and even to mental health and wellbeing of farmers and farming communities. The world-leading research team behind this project have set out a 5-year plan which, with support, will roll out practical guidelines and tools to farmers to allow them to apply the consolidated wisdom gained over two decades of research.

We also want the most entrepreneurial researchers in the world to join us. They will provide the perfect context to guide and develop our best young students and researchers.

<IMAGE: Next Generation Farm Management Project> Building on 20 years of work across 200,000 square kilometres of Australian Landscape, the Next Generation Farm Management Project balances biodiversity conservation, economics and health.

<IMAGE: Energy Change Institute> The Energy Change Institute cuts across traditional academic disciplines to combine teaching and research on moving society to a sustainable future.

Flagship priorities

Future Research Leaders	We will invest in researchers early in their career, at the peak of their productivity and creativity. These Future Research Leaders will show us new ways of thinking and combining research disciplines and fields to solve society's most pressing problems.
Global Research Entrepreneurs	To bring a new approach to innovation in research, we will invite a small number of globally-proven research entrepreneurs to join the academic staff of the University for short periods of time. With their extensive experience, they will seed new ways of approaching innovation and the commercialisation of our extensive research.
Indigenous Education and Employment program	We believe that as the national university, we have a responsibility to lead the sector in the development and implementation of innovative strategies in reconciliation and indigenous engagement. Building on the Garrurru Strategy of the ANU College of Asia and the Pacific, and the work of the Tjabal Centre, we will attract and support Aboriginal and Torres Strait Islanders to study at ANU at both undergraduate and postgraduate levels. We will couple this with a focus on increasing the participation of Indigenous academic and professional staff in the University at all levels.

Education of a kind unique within Australia

Education is the best investment you can make to create a better world. While the number of people attending university around the world has grown dramatically in the past seventy years, the number of people with university degrees from low income backgrounds has remained proportionately stable.

Demand for places at ANU has grown by over 25% in the last three years, and the University now has the sector’s highest median entry score. We boast the smallest classes of any Australian university, we design degrees that allow students to create their own subject combinations, and pride ourselves on activities, residential opportunities and pastoral care that extend learning well beyond the classroom. All of these factors have delivered ANU the best graduate employment ranking for an Australian university: 32 in the world. As demand has grown, however, income background diversity has declined. It frustrates us that ANU now has the lowest proportion of low income students in Australia.

ANU is leading the national change needed to reconnect university admissions with social mobility.

Four years ago, the University was given a major opportunity to reconnect university education with social mobility in the form of the Tuckwell Scholarships gift. The Tuckwell gift, and the subsequent Love gift, have provided ANU with the means to pilot a new, integrated approach to university admissions, scholarships and accommodation that looks at more than the Australian Tertiary Admission Rank. This integrated approach ensures that students do not miss out on the chance to come to ANU, and that donors can be assured that their gifts translate into life changing support for young people of outstanding potential.

The overwhelming success of this pilot has inspired us to extend the change to all undergraduate students who seek admission to ANU, and to make sure that outstanding international students who have limited financial means do not miss out on the benefits of an ANU education.

We have a big dream for change, and we need support to ensure that if students are offered a place at ANU, that they can relocate and support themselves without working full time during their studies. We want to ensure that one in five of our students came to ANU because of scholarship support. That’s ambitious, but we expect no less if we are to serve the nation.

<IMAGE: Love Scholars> Established by the late Prof John Love, the Love Scholarship helps students who have faced life circumstances that pose a serious and sustained challenge to their ability to participate in university studies.

<IMAGE: Tjabal Centre> The Tjabal Indigenous Higher Education Centre provides a meeting place for Aboriginal and Torres Strait Islander students studying at the Australian National University.

Flagship priorities

<p>Undergraduate Scholarships</p> <p>400 new scholarships per year</p>	<p>To be the nation’s university, no barriers must exist which prevent the best students from seeking to study at ANU. ANU will create a comprehensive Undergraduate scholarship scheme which allows students to travel to ANU from their homes, wherever these might be in Australia or around the world, allowing them to live and study on campus. Building on an already substantial scholarship</p>
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	program, the University has set its initial target as 20% of undergraduate students supported.
Postgraduate Scholarships 50 new scholarships per year	Postgraduate scholarships which allow the most promising students to undertake the unique research and coursework opportunities available at ANU are essential. We will address this through a broad postgraduate scholarship program which address the unique barriers that these students face, many of whom are already advanced in their careers.
Refugee Scholarship Fund 10 new scholarships per year	Education has a transformative effect not only on an individual's life, but also on the lives of his or her family and community. The refugee experience, by definition, disrupts education. ANU has much to contribute through its academic strengths to legal, policy and economic debates surrounding the refugee issue. This fund, however, will be a contribution of a different kind: a practical offer of assistance for individual refugees to help them get a fair chance of reclaiming their lives, empowering them to fulfil their potential and in the process help improve the lives of their families and communities.

Naturam Primum Cognoscere Rerum

“First to learn the nature of things” is the English rendition of the Australian National University’s Latin motto.

It was a prescient choice of motto for Australia’s first research-based university.

‘First to learn’ speaks of a hunger for knowledge, of curiosity, inquiry and discovery, while learning about ‘the nature of things’ is a profound kind of learning that seeks to gain knowledge and understanding.

Curiosity. Discovery. Understanding. Looking for answers to big questions and for solutions to big challenges. That is what Australia’s National University does and why it matters.

Seventy years after its founding, ANU is committing to a new strategic vision for its future. A future that is inextricably linked to its role as a leading contributor to the public discourse on the big challenges facing Australia and humanity at large.

In addition to its enduring commitment to excellence in Research and Education, ANU will dedicate its formidable intellectual resources to a quest for Justice and Fairness and the pursuit of Societal Transformation as strategic priorities that we believe are of urgent national and international importance.

No other university in Australia is as prepared as ANU to step up to this responsibility and help shape public debate, policy and action for a fairer society for all Australians in the 21st century and beyond.

This special quality of ANU is rare, and it is precious.

And it needs your support.

With more than 23,000 students and 4000 staff working for a better future, there are huge possibilities for success, leading to a transformation of society.

From the development of anti-cancer drugs, to the preservation of rare Pacific languages, from the development of new clean energy technologies to feeding the world, research and education done at ANU leads to real world impacts.

With Australian Government support, income from student fees, donations from the community and funding from grants and consultancies, we have built a world-class reputation.

Your gifts, large or small, will help build on these foundations and make a real difference to our community.

**ANU COUNCIL
2017 FORWARD AGENDA PLAN**

Council meeting date	Key business items
16 and 17 February 2017, ANU House Melbourne	<ul style="list-style-type: none"> • Vice-Chancellor's report, including public policy progress report • New ANU Act progress report • ANU Strategic Plan - Interim Performance Report • Chancellor's report - Annual Council self-evaluation 2016 • Remuneration Committee annual report 2016 • Student admissions progress report • Union Court Redevelopment Project update • Work health and safety performance report • Honorary degrees nomination • Pro-Chancellorship • Council membership – Noting nominations to the Minister • Council Committee membership 2017 • Directorships and other interests - Annual disclosures • Academic Board annual report 2016 • Finance Committee – Executive Summary • Audit and Risk Management Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary • ANU Emeritus Faculty annual report 2015 • Prizes and scholarships approvals 2016 <p>Possible evening event: Drinks with Melbourne business and philanthropic community (16 February)</p> <p>Planning day: Delivering the <i>ANU Strategic Plan 2025: Enabling Excellence</i> – Council discussions with presentations from Vice-Chancellor and the Executive</p>
7 April 2017 (post Easter break)	<ul style="list-style-type: none"> • Vice-Chancellor's report • New ANU Act progress report (if applicable) • 2016 Annual Financial Statements, including subsidiaries and ANAO Audit Clearance Statement • 2016 Annual report of Audit and Risk Management Committee activities and acquittal of Internal Audit Charter responsibilities • 2016 ANU annual report, including annual performance statement • Health and Medical Sciences review implementation update • Student enrolments progress report • Work health and safety performance report • Workers Compensation Self-insurance Project update • Emeritus Professors and Emeritus Fellows appointments report • Council Committee membership re/appointments – CPC, FC, MPJSC and NC (expire progressively from 26 May) • Academic Board report • Finance Committee – Executive Summary • Audit and Risk Management Committee – Executive Summary • Campus Planning Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary <p>Strategic topic: Transforming Society – Vice-Chancellor</p>

Council meeting date	Key business items
	<p>Site visit: Visit hosted by new policy incubator unit, briefings on their initial work, or alternatively, college public policy briefings</p>
26 May 2017	<ul style="list-style-type: none"> • Vice-Chancellor's report • New ANU Act progress report • Projected 2017 Financial Results • Subsidiary entities' performance reports • CAP-CASS Governance progress report (or July) • Administrative reform update report • Student accommodation development update report • Report on research grant applications 2017 • Melbourne engagement strategy annual report • Endowment for Excellence annual report to donors • Honorary degrees nomination • Academic Board report • Finance Committee – Executive Summary • Audit and Risk Management Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary • Work health and safety performance report • Alumni Relations and Philanthropy progress report <p>Strategic topic: Indigenous Reconciliation – Professor Richard Baker, Pro Vice-Chancellor (University Experience)</p> <p>Site visit: An activity to coincide with National Reconciliation Week</p>
21 July 2017	<ul style="list-style-type: none"> • Vice-Chancellor's report, including public policy progress report • New ANU Act progress report • ANU Strategic Plan - Mid-Year Performance Report • National Institutes Grant report to the Minister • Report on ANU performance in research grant applications • Staff performance and development progress report • Union Court Redevelopment Project progress report • Academic capital projects report • Work health and safety performance report • Workers Compensation Self-insurance Project progress report • ANU Coursework fee setting for 2018 and 2019 • ANU Higher Degrees by Research fee setting for 2018 and 2019 • ANU College Coursework fee setting for 2018 • Ethics Committees annual report 2015 • Academic Board report • Finance Committee – Executive Summary • Audit and Risk Management Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary • Campus Planning Committee – Executive Summary <p>Strategic topic: Education – Professor Marnie Hughes-Warrington, Deputy Vice-Chancellor (Academic)</p>

Council meeting date	Key business items
	<p>Site visit: College of Business and Economics, CBE Connect – new student learning space</p>
29 September 2017	<ul style="list-style-type: none"> • Vice-Chancellor’s report • 2017 University financial projection • 2018 University budget • Alumni Relations and Philanthropy progress report • Student enrolments progress report • Standard & Poor’s Rating of the ANU • Workplace Gender Equality Agency annual report • Work health and safety performance report • Residential fee setting for 2019 • Student Services Amenities Fees – (SSAF) – Approval of Consultation Process • Honorary degrees nominations • 2018 Council meeting dates, strategic topics and site visits • Academic Board report • Finance Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary • Campus Planning Committee – Executive Summary • Audit and Risk Management Committee – Executive Summary <p>Strategic topic: Strong foundations for Leadership – Vice-Chancellor, Mr Chris Grange (Executive Director, Administration and Planning) and Professor Richard Baker, Pro Vice-Chancellor (University Experience)</p> <p>Site visit: School of Music (TBC)</p>
1 December 2017	<ul style="list-style-type: none"> • Vice-Chancellor’s report • New ANU Act progress report • International rankings reports and strategic implications for ANU – update report • Investment performance report • Investment Strategy and Socially Responsible Investment Policy compliance report • Capital building financial summary report • Entity-wide Risk Management Framework, profile and strategic risk appetite statements – annual update • Annual Internal Audit Work Plan 2018 • 2017 Control self-assessment report • CAP-CASS Governance progress report • Student enrolments end of year results • Administrative reform update report • Infrastructure and maintenance update report • Student accommodation development update report • Subsidiary entities’ performance reports • Privacy report • Public interest disclosure report • Freedom of information report • Work health and safety performance report • Workers Compensation Self-insurance Project progress report

Council meeting date	Key business items
	<ul style="list-style-type: none"> • Statement of Compliance - ANU Act, PGPA Act, Voluntary Code of Best Practice in University Governance and TEQSA Threshold Standards (Corporate & Academic Governance) • Council Committee annual reports 2015, including charter reviews and performance reports (as appropriate) • Academic Board report • Audit and Risk Management Committee – Executive Summary • Finance Committee – Executive Summary • Major Projects Joint Sub-Committee – Executive Summary • Campus Planning Committee – Executive Summary <p>Strategic topic: Research, Innovation & Research Training – Professor Margaret Harding, Deputy Vice-Chancellor (Research)</p> <p>Site visit: Early career researcher engagement event</p>

Proposed 2018 Council meeting dates:

Thursday 15 and Friday 16 February 2018
Friday 23 March 2018
Friday 25 May 2018
Friday 20 July 2018
Friday 5 October 2018
Friday 7 December 2018

ANU COUNCIL**2017 ACQUITTAL OF GOVERNANCE RESPONSIBILITIES**

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
Strategic Oversight	1. Approving the mission and strategic direction of the University								
	1.1	Strategic planning day: Delivering the ANU Strategic Plan 2025: Enabling Excellence	Vice-Chancellor	X					
	1.2	Strategic topic: Transforming Society	Vice-Chancellor		X				
	1.3	Strategic topic: Indigenous Reconciliation	Pro Vice-Chancellor (University Experience)			X			
	1.4	Strategic topic: Education	Deputy Vice-Chancellor (Academic)				X		
	1.5	Strategic topic: Strong foundations for Leadership	EDAP / VC / PVC(UE)					X	
	1.6	Strategic topic: Research, Innovation & Research Training	Deputy Vice-Chancellor (Research)						X

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	2. Ensuring that the vision and goals are turned into effective management systems								
	2.1	Strategic Topic: Transforming Society	Vice-Chancellor		X				
	2.2	Strategic Topic: Indigenous Reconciliation	Pro Vice-Chancellor (University Experience)			X			
	2.3	Strategic Topic: Education	Deputy Vice-Chancellor (Academic)				X		
	2.4	Strategic Topic: Strong foundations for Leadership	Vice-Chancellor, EDAP or PVC (UE)					X	
	2.5	Strategic Topic: Research, Innovation & Research Training	Deputy Vice-Chancellor (Research)						X
	2.6								
	3. Monitoring the implementation of the strategic plan								
	3.1	Strategic Plan 2025 – 2016 Interim Performance Report	Vice-Chancellor	X					
	3.2	Strategic Plan 2025 – 2017 Mid-Year Performance Report	Vice-Chancellor				X		

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
		4. Ensure the effective operation of Council including the induction and professional development of Council members and the evaluation of the performance of Council and its committees							
	4.1	New ANU Act progress report	Chancellor	X	X	X	X	X	X
	4.2	Pro-Chancellorship	Chancellor	X					
	4.3	Council membership – Noting nominations to the Minister	Chancellor	X					
	4.4	Chancellor's report - Annual Council self-evaluation 2016	Chancellor	X					
	4.5	Council Committee membership 2017	Chancellor	X					
	4.6	Directorships and other interests - Annual disclosures	Chancellor	X					
	4.7	Finance Committee – Executive Summary	Chair, Finance Committee	X	X	X	X	X	X
	4.8	Audit and Risk Management Committee – Executive Summary	Chair, Audit and Risk Management Committee	X	X	X	X	X	X

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	4.9	Major Projects Joint Sub-Committee – Executive Summary	Chancellor	X	X	X	X	X	X
	4.10	Campus Planning Committee – Executive Summary	Chancellor		X		X	X	X
	4.11	Council Committee membership re/appointments – CPC, FC, MPJSC and NC	Chancellor		X				
	4.12	2018 Council meeting dates, strategic topics and site visits	Chancellor					X	
	4.13	Council Committee annual reports 2015, including charter reviews and performance reports (as appropriate)	Chancellor						X

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
Ensuring effective overall management	5. Appointing the Vice-Chancellor and monitoring his or her performance								
	5.1	Vice-Chancellor's report	Vice-Chancellor	X	X	X	X	X	X
	5.2	Remuneration Committee annual report 2016	Chancellor	X					
	6. Overseeing and reviewing overall management performance								
	6.1	Union Court Redevelopment Project progress report	Executive Director, Administration and Planning	X			X		
	6.2	Work health and safety performance report	Executive Director, Administration and Planning	X	X	X	X	X	X
	6.3	Workers Compensation Self-insurance Project progress report	Executive Director, Administration and Planning			X			X
	6.4	Administrative reform update report	Executive Director, Administration and Planning			X			X
	6.5	Infrastructure and maintenance update report	Executive Director, Administration and Planning			X			X

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	6.6	Student accommodation development update report	Executive Director, Administration and Planning			X			X
	6.7	Melbourne engagement strategy annual report	Chancellor			X			
	6.8	Alumni Relations and Philanthropy progress report	Vice-Chancellor			X			X
	6.9	Staff performance and development progress report	Vice-Chancellor				X		
7. Monitoring the academic activities and performance of the University									
	7.1	Student admissions progress report	Deputy Vice-Chancellor (Academic)	X					
	7.2	Honorary degrees nomination	Chancellor	X		X		X	
	7.3	Academic Board annual report 2016	Chair, Academic Board	X					
	7.4	ANU Emeritus Faculty annual report 2015	Emeritus Faculty	X					
	7.5	Prizes and scholarships approvals 2016	Deputy Vice-Chancellor (Academic)	X					

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	7.6	Health and Medical Sciences review implementation update	Deputy Vice-Chancellor (Research)		X				
	7.7	Student enrolments progress report	Deputy Vice-Chancellor (Academic)		X			X	X
	7.8	Emeritus Professors and Emeritus Fellows appointments report	Vice-Chancellor		X				
	7.9	Academic Board report	Chair, Academic Board		X	X	X	X	X
	7.10	CAP-CASS Governance progress report	Vice-Chancellor			X	X		X
	7.11	Report on research grant applications 2017	Deputy Vice-Chancellor (Research)			X			
	7.12	Education portfolio progress report	Deputy Vice-Chancellor (Academic)				X		
	7.13	Ethics Committees annual report 2015	Deputy Vice-Chancellor (Research)				X		
	7.14	NHMRC grant applications report	Deputy Vice-Chancellor (Research)					X	
	7.15	International rankings reports and strategic implications for ANU – update report	Vice-Chancellor						X

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
Ensuring responsible financial and risk management	8. Approving the annual budget								
	8.1	Capital management plan approval	Executive Director, Administration and Planning				X		
	8.2	ANU Coursework fee setting for 2018 and 2019	Deputy Vice-Chancellor (Academic)				X		
	8.3	ANU Higher Degrees by Research fee setting for 2018 and 2019	Deputy Vice-Chancellor (Academic)				X		
	8.4	ANU College Coursework fee setting for 2018	Deputy Vice-Chancellor (Academic)				X		
	8.5	2018 Recurrent (allocation) budget	Chief Financial Officer					X	
	8.6	2018 Consolidated University budget	Chief Financial Officer					X	
	8.7	2018 Subsidiary Entities budget	Chief Financial Officer					X	
	8.8	Residential fee setting for 2019	Deputy Vice-Chancellor (Academic)					X	

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	9. Approving and monitoring systems of control and accountability								
	9.1	2016 Annual Financial Statements, including subsidiaries and ANAO Audit Clearance Statement	Chief Financial Officer		X				
	9.2	2016 Annual report of Audit and Risk Management Committee activities and acquittal of Internal Audit Charter responsibilities	Chair, Audit and Risk Management Committee		X				
	9.3	2016 ANU annual report, including annual performance statement	Vice-Chancellor		X				
	9.4	Projected 2017 Financial Results	Chief Financial Officer			X			
	9.5	Subsidiary entities' performance reports	Vice-Chancellor			X			X
	9.6	Endowment for Excellence annual report to donors	Vice-Chancellor			X			
	9.7	National Institutes Grant report to the Minister	Vice-Chancellor				X		
	9.8	2017 Consolidated University financial projections	Chief Financial Officer					X	
	9.9	Capital building financial summary report	Chief Financial Officer					X	

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	9.10	Investment performance report	Chief Financial Officer						X
	9.11	Investment Strategy and Socially Responsible Investment Policy compliance report							X
10. Overseeing and monitoring the assessment and management of risk									
	10.1	Standard & Poor's Rating of the ANU	Executive Director, Administration and Planning					X	
	10.2	Entity-wide Risk Management Framework, profile and strategic risk appetite statements – annual update	Chair, Audit and Risk Management Committee						X
	10.3	Annual Internal Audit Work Plan 2018	Chair, Audit and Risk Management Committee						X
	10.4	2017 Control self-assessment report	Chair, Audit and Risk Management Committee						X
11. Ensuring the University's compliance with legal requirements									
	11.1	Workplace Gender Equality Agency annual report	Executive Director, Administration and Planning					X	

Function	No.	Item	Report from	Feb	Apr	May	Jul	Sep	Dec
	11.2	Privacy report	Executive Director, Administration and Planning						X
	11.3	Public interest disclosure report	Vice-Chancellor						X
	11.4	Freedom of information report	Executive Director, Administration and Planning						X
	11.5	Statement of Compliance - ANU Act, PGPA Act, Voluntary Code of Best Practice in University Governance and TEQSA Threshold Standards (Corporate & Academic Governance)	Chancellor						X

C#. TEMPLATE FOR BUDGET APPROVAL AND MANAGEMENT*PURPOSE****PREPARED BY****REVIEWED BY****APPROVED BY****SPONSOR****RECOMMENDATIONS** That Council:

1. approve <the program, project or activity> with an allocated budget of <\$XXXX>;
2. authorise the Vice-Chancellor to fully implement all aspects of the <program, project or activity>, including all procurement, contract execution and payment of contractual amounts;
3. authorise the Vice-Chancellor, or his nominee, to approve, on behalf of Council, any matters in excess of the Vice-Chancellor's \$10M financial limit which are required as part of that implementation; and
4. require a report to be furnished, listing all approved transactions (or a related series of approved transactions) that exceed \$10M in value, at least every six months.



Australian
National
University

Renaming Plan – Regulatory Institutions Network (RegNet) Business Case

Endorsed by the Director
Regulatory Institutions Network (RegNet):



Professor Sharon Friel

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CRICOS Provider No. 00120C

Introduction

The Regulatory Institutions Network (RegNet), in the College of Asia and the Pacific is an ANU Tier 4 unit (School/Centre). RegNet is currently undergoing a period of growth and development and is also entering into a new five-year strategic planning period. To highlight this growth and maximise its competitive edge, RegNet is proposing a name change: ***RegNet: School of Regulation and Global Governance***.

The primary objectives of this proposal are to enhance RegNet's research, education and engagement programs by:

- Articulating RegNet as an international leader in research and education in regulation and governance.
- Harnessing the power of RegNet's existing reputation to deepen and extend engagement with current stakeholders.
- Clarifying RegNet's core business in order to expand engagement and collaboration in research and education with new stakeholders and audiences.

The proposed name change will also make clearer RegNet's existing status as a School within the University's unit structure and remove the confusion of its status identified in the recent Australian National University (ANU) review of the *Centres and Institutes Policy*.

Background – The RegNet Story

RegNet was established as a Tier 4 unit in 2001, following a successful competitive bid for funding from the ANU's Institute Planning Committee to establish a University Centre made up of networks of researchers, visiting practitioners and academics dedicated to research and education in the field of regulation and governance.

RegNet has over the past 14 years delivered and expanded on this mandate, earning a reputation as a dynamic community of scholars from different disciplines leading research in regulation and governance locally, nationally and globally. RegNet has also become a model for interdisciplinarity generally.

RegNet entered into a new phase in its leadership in mid-2014 that saw its narrative evolve to include clearly defined principles of justice, sustainability and human well-being that are pursued through rigorous interdisciplinary research in regulation and governance.

RegNet's research is now organised around five intersecting thematic Clusters: Climate, Energy & the Environment; Human Rights; Law & Justice; Society, Safety & Health; Trade, Investment & Intellectual Property.

RegNet's interdisciplinarity has been extended to include public health, criminology, economics, human geography, international relations, law, philosophy, psychology, public administration, and sociology. It also includes partnering between natural and social

scientists, and uses innovative forms of knowledge translation in collaboration with policy makers, practitioners and community groups internationally and within Australia to tackle complex social and environmental problems.

RegNet works across Asia-Pacific in countries such as Afghanistan, Bangladesh, Cambodia, China, East Timor, Indonesia, Japan, Mongolia, Myanmar, Pakistan, Papua New Guinea, South Korea, and Vanuatu, and with projects and partners also in Europe, North and South America and Africa.

RegNet's collaborators include international and UN agencies such as the World Health Organisation, International Committee of the Red Cross, International Court of Justice, Office of the High Commissioner for Human Rights, UN Permanent Forum on Indigenous Issues and other foreign and Australian government agencies including the Australian Federal Police, the Attorney-General's Department, the Department of Foreign Affairs and Trade (DFAT), Safe Work Australia, Rural Industries Research and Development Corporation (see attachment 1 for a list of funders and collaborators).

Proposal

Current name – catalyst for change

As higher education sector funding becomes more competitive, the need to attract new national and international audiences to RegNet for collaboration and engagement in research and education activities is essential. RegNet's revised vision and associated narrative, its expanded scope of research expertise and current recruitment round of mid and senior level academic staff, positions RegNet well to capitalise and build on its research excellence, knowledge translation expertise and diversify its education portfolio.

RegNet is a name well known within academia, government, business and community groups. Its longevity over the past decade and a half, its recognition as a go-to place for interdisciplinary study and expertise in evidence for policy and regulatory practice illustrates its relevance and place in the market.

However, as the growth and evolution of the past few years have widened the breadth of RegNet's vision, personnel, and activities, it is now apparent that the name 'RegNet' alone does not easily communicate its mission. Changing RegNet's title is a straightforward way to help address the issue. The challenge in this, however, is to ensure that the reputational capital of the name 'RegNet' is protected while enabling better definition of its focus and its capacity to evolve in new research and educational directions.

Proposed action

We propose to rename RegNet to **RegNet: School of Regulation and Global Governance**. The anticipated result of this change will:

1. **Articulate RegNet as an international leader in research and education in regulation and global governance:**

RegNet is already recognised as a leader in interdisciplinary research in regulation and global governance. The name change will convey this easily and immediately to new audiences and to remind existing collaborators.

2. **Harness the power of RegNet's existing reputation to deepen and extend engagement with current stakeholders:**

RegNet's current stakeholders identify with the original shorthand name (RegNet) and retaining this in the new name capitalises on the reputation built over the preceding 15 years. It also allows for these stakeholders to be engaged and participate in the evolution and building of the School into the future.

3. **Clarify RegNet's core business in order to expand engagement and collaboration in research and education to new stakeholders and audiences:**

The inclusion of *School of Regulation and Global Governance* into RegNet's name clearly defines its research, education and engagement agenda and readily identifies its scope and ambition to new audiences. The new name also clearly identifies the unique focus on regulation, which is not available elsewhere in the university. Another distinctive aspect of RegNet research is its attention to a variety of levels of governance: local, national, regional and global. This reach is captured in the proposed title.

The abbreviated reference for the School will remain 'RegNet'. The decision to retain the abbreviated form mitigates any confusion that may arise within the University and our wider stakeholders.

Strategic alignment within ANU

Strategic Plan	Goal	Proposal alignment to Goal
ANU by 2020	<p>Enhance the distinctive excellence of ANU</p> <p>Engagement with government and building critical mass of research and education excellence in public policy</p>	<p>Confirms and makes explicit RegNet's commitment to being a leader in the field of regulation and governance.</p> <p>Enables stronger relations and engagement with government and policy makers in Australian and international agencies – many of whom are already, and increasingly, reaching out to RegNet for support around issues of best practice regulation and optimal modes of governance for complex policy problems.</p>

Risk assessment

Risk	Likelihood	Impact	Rating	Mitigation
Resistance from personnel	Unlikely	Moderate	Low	Clear communication and inclusion of personnel in the renaming process which emphasises that the well-established and highly regarded name of RegNet will not be lost and that the new name will enhance this reputation.
Confusion from stakeholders	Unlikely	Moderate-high	Low	Clear communication strategy with networks to launch the new name emphasising that this will provide greater clarity to our stakeholders.

Timeline for implementation

The implementation of the change of name will develop as follows:

Date	Action
6 October 2015	Socialising with RegNet personnel at the Centre meeting and beyond
4 November 2015	Proposal submitted to College Executive for approval and for onward submission by CAP Dean to the ANU Vice Chancellor for approval
14 December 2015- 31 January 2016	Rebranding – signs, stationery, and internal ANU references
February/March 2016	Launch of RegNet: School of Regulation and Global Governance

RegNet's network of funders and non-academic collaborators (current and historic)

Category 1

- Australian Research Council
- Department of Family and Community Services
- Department of Foreign Affairs and Trade
- National Drug Law Enforcement Research Fund
- National Health and Medical Research Council
- Rural Industries Research and Development Corporation

Category 2-4

- ACT Gambling & Racing Commission
- ACT Police
- AIDS Trust
- Australian Aid
- Australian Civil Military Centre
- Australian Competition and Consumer Commission
- Australian Council for Safety and Quality in Health Care
- Australian Federal Police
- Australian Institute of Criminology
- Australian Taxation Office
- Attorney General's Department
- Biodiversity Fund of Hivos and Novib
- British Home office and Metropolitan Police
- Canadian International Development Agency
- Chiquita
- Deutsche Gesellschaft für Technische Zusammenarbeit GmbH
- EPA Victoria
- Esmaee Fairbairn Foundation
- Gambling Research Panel, Victoria
- Government of Finland
- Interchurch Organisation for Development Cooperation
- Japanese Department of Education
- John T and Catherine D MacArthur Foundation
- Menzies Foundation
- National Occupational Health and Safety Commission

- Netherlands Organization of Scientific Research
- Rockefeller Foundation
- Safework Australia
- Social Sciences and Research Council (Government of Canada)
- South African/Norwegian Programme for Research Cooperation
- Swedish International Development Agency
- UK Economic and Social Research Council
- UniTAB
- United Nations including World Health Organisation; UNESCO
- Victoria Police
- Worksafe Victoria

SENIOR MANAGEMENT GROUP

TITLE	School reviews update
PURPOSE	To provide SMG with an update on the progress of school reviews being undertaken in 2016.
DATE PREPARED	15 August 2016
AUTHOR	Deputy Vice-Chancellor (Academic)
SPONSOR	Deputy Vice-Chancellor (Academic)

RECOMMENDATIONS

That the Senior Management Group:

1. note the progress of school reviews being undertaken in 2016, especially those that have not yet commenced review arrangements.
2. endorse that deans contact Directors of Schools that have not finalised panel dates to encourage immediate action.

ACTION REQUIRED

For discussion For decision For information College response

Background

In 2015 it was agreed that schools would be reviewed on a five year rolling basis commencing in 2016.

Progress is underway for many of the 2016 reviews, with visits, terms of reference and panel members arranged. Full details of the arrangements made are outlined at **Appendix A** (as at 15 August 2016).

A summary of the dates for panel visits is:

Fenner School of Environment and Society – panel visit – 22-24 August 2016
 School of Archaeology and Anthropology – panel visit - 28-30 September 2016
 Research School of Earth Sciences – panel visit – 9-11 November 2016
 Research School of Astronomy & Astrophysics – panel visit - 14-17 November 2016
 Research School of Finance, Actuarial Studies and Applied Statistics October 2016 (exact dates tbc)
 Research School of Computer Science – October/November 2016 (exact dates tbc)
 Research School of Biology – panel visit – November 2016 (exact dates tbc)

For reference, reviews for 2017 are:

John Curtin School of Medical Research – week commencing 3 April 2017
 Crawford School of Public Policy - 2017 (exact dates tbc)
 ANU College of Law - 2017 (exact dates tbc)
 Australian Centre on China in the World - 2017 (exact dates tbc)
 Research School of Accounting and Business and Information Systems - 2017 (exact dates tbc)
 Research School of Engineering - 2017 (exact dates tbc)
 Research School of Psychology - 2017 (exact dates tbc)
 Mathematical Sciences Institute - 2017 (exact dates tbc)
 Research School of Chemistry - 2017 (exact dates tbc)
 School of Literature, Languages and Linguistics - 2017 (exact dates tbc)

The Executive Officer, Reviews has been advertised and the appointment process is in progress. The Division of Planning and Performance Measurement (PPM) have identified backup support options if

they are needed prior to the filling of the role. A document is also being prepared to clearly identify guidelines and role statements for all involved in reviews including the Executive support, Chair, panel members, DVCs, Deans and Directors to further support Schools with the review process.

School Directors of 2016 reviews will be invited later in the year to meet with the Deputy Vice-Chancellor's once several reviews are completed to share experiences and identify areas of improvement to be implemented for the 2017 reviews.

Deans, research school directors, Heads of Schools/Departments, GMs and EOs) of the 2017 reviews will be invited to an information session to be held on 2 November 2016 at 3:30pm, which will cover the following topics:

- mapping the standards outlined in the ANU policy to ToRs for your review
- interpreting the school health check data report
- tips for writing the self-review report (note a template for this report is currently being drafted)
- how to address ad hoc requests for further information from the review panel
- getting the best out of a review
- Q&A session

Consultation and discussion record

Senior Management Group (21 March 2016)

1. Approved the per annum contribution from colleges for annual school reviews.
2. Approved that SMG review in November 2016 the school review process to determine any changes required to the policy, procedure, review schedule or costing's for implementation in 2017.

Senior Management Group (4 February 2016) resolved that Mr Grange will provide a paper on support for reviews and apportioning of costs. The Vice-Chancellor noted he would like 2-3 international reviewers on each panel plus a local critic.

Senior Management Group (10 December 2015)

1. Colleges agreed in principle to supporting some pooled resources, but also noted that reviews will require considerable support from within Colleges.
2. Professor Marnie Hughes-Warrington will revisit the timetable of reviews as there is concern the 2016 workload is too ambitious.
3. Mr Grange will provide an estimate of costs, suggest a model for splitting those costs and provide an estimate of central in-kind contribution.

Senior Management Group (2 April 2015)

1. Colleges were asked to check the accompanying spreadsheet to the Centres and Institutes policy and confirm whether the centres / school / department classifications are correct. This will then provide a list of current units that will be an appendix to the policy.
2. Professor Hughes-Warrington was requested to circulate the spreadsheet that accompanies the Colleges, Schools and Departments policy with a request to Colleges to identify whether units have been reviewed recently or if there are plans to undertake a review.

Sponsor

Executive Director (Administration & Planning)

Author

Executive Director (Administration & Planning)

Appendices

Appendix A: school reviews update

Review process	Fenner School of Environment & Society	Research School of Biology	John Curtin School of Medical Research	Crawford School of Public Policy	Research School of Computer Science	School of Archaeology & Anthropology	Research School of Finance, Actuarial Studies & Applied Statistics	Research School of Astronomy & Astrophysics	Research School of Earth Sciences	College of Law
DVC	DVCA	DVCR	DVCR		DVCR	DVCA		DVCR	DVCA	
• Set the date	Done	Nov	Deferred to week commencing 3 April 2017	Deferred to 2017	Oct/Nov	Done		Done	Done	
• Develop Terms of Reference	Done	Done				In progress		In progress	In progress	
• Select reviewers*	Done	Done	Panelists contacted and still being confirmed.		Draft list	Done	In progress	Done	Done	
• Self-review report and data pack	Done	In progress				Draft Report approved and ready for panel.		Draft in August	In progress	
• Call for submissions										
• Review schedule finalized	Done	Done				Done		Done	Done. Site visit 9-11 November. Panel arrives on 8 Nov.	
• Review panel meets with stakeholders	22 - 24 August					28 - 30 September		14 - 17 November	9 - 11 November	
• Review report**	Sep-16					Nov-16		Nov/Dec - 16	Nov/Dec - 16	
• Response to the review report										
• Implementation plan	From Sep 16					From January 2017				
Update as of August 15, 2016	Facility set up to receive confidential, emailed submissions to the panel external to FSES. Central finance report still to be produced.	Terms of reference approved. Panelists selected, formal invitations to be issued in next day or so. Awaiting one panel member to respond to Doodle Poll before setting dates. Double checking International panel members.	Deferred to 2017	Deferred 2017	No contact	Facility set up to receive confidential, emailed submissions to the panel. Central finance report still to be produced.	No contact	Letters issued.	Accommodation booked, panel arrives on 8 November; staying in Liversidge Court Apartments.	No contact.
Update as of August 3, 2016	Self Eval'n Report finalised, awaiting central Finance Report; John S to be a "mailbox" for confidential communication to the panel.	ToR developed and sent to Allen for his approval before sending them to Margaret. ToR sent around for discussion amongst RSB staff. A Doodle Poll will be, or has been, sent to prospective panelists to find out their optimal dates for the site visit.	Deferred to 2017	Deferred 2017	No contact	Only three panelists available on the dates concerned. Site visit will proceed with the three. Self Eval'n Report draft seen by Marnie and she is happy with it.	No contact	Letters of invitation prepared by John and modified by Margaret to indicate acceptance to DVC(R) and Matthew and to give a point of contact in RSAA for logistics and travel etc.	Doodle Poll indicates best dates are 9 - 11 November, 2016. They were hoping for 5 reviewers but only four were available so they are going with four.	No contact.

<p>Principles and suggestions</p> <p>* Two international and at least one domestic. One reviewer needs to be able to act as a "critical friend". Consider who can chair the panel, preferably a domestic person as they have an understanding of the sector and higher education framework in Australia.</p>										
<p>** Consider having the panel deliver their summary findings to at least the Director and probably the Exec/Management Team at the end of the site visit.</p>										

OVERVIEW OF CONSTITUTIONAL AND REGULATORY AMENDMENTS BY SECTION

Note: in this overview, section numbers refer to provisions of the Constitution and Regulations as amended. Previous section numbers are included for convenience.

Throughout

- Reorder provisions to create a more logical structure
- Update cross-references throughout
- Remove gender pronoun and replace with “they” and “their”

Section 2 (“Definitions”)

- Capitalise the following defined terms in section 2 (“Definitions”) and throughout the Constitution
 - Academic Year
 - Undergraduate Student
 - Teaching Day
 - Teaching Period
 - Working Day
- Insert defined term “Club” into section 2 (“Definitions”)
- Insert defined term “Financial Year” into section 2 (“Definitions”)
- Amend defined term “Disability” in section 2 (“Definitions”) to reflect current practice
- Amend defined term “International Student” in section 2 (“Definitions”) to reflect current practice
- Insert defined term “Office Manager” in section 2 (“Definitions”) to reflect current practice and for clarity
- Amend defined term “Officer of the Association” in section 2 (“Definitions”) to emphasise current practice; namely, that Officer is broader than Representative and includes students elected to positions other than those in Schedule 1 (e.g. Probity Officer, member of Disputes Committee, etc.)
- Amend defined term “Officer of the Association” to read “...but does not include the University Council Member” – as required by the ANU
- Amend defined term “Representative of the Association” in section 2 (“Definitions”) to simplify definition
- Amend defined term “Special Resolution” in section 2 (“Definitions”) to clarify meaning and reference correct section of the Act
- Insert defined term “University Council Member” into section 2 (“Definitions”) as required by the ANU
- Delete references to Working Day and replace with Teaching Day (these terms had the same definition, making Working Day superfluous)

Section 3 (“Interpretation”) – previously, section 20

- Move provision forward for clarity
- Reformat provisions for clarity

Section 4 (“Objects”) – previously, section 3

- Reformat section 4 (“Objects”) to correct formatting error
- Remove references to he/she/his/her – replace with “they” throughout the Constitution

Section 7 (“Disciplining Members”)

- Insert subsections (2) and (3) to overcome inconsistency with the Election Regulations and the powers of the Disputes Committee
- Subsection (2) emphasises the legislative requirement to follow due process
- Subsection (3) ensures that only the Executive may refer an ordinary member to Disputes, to avoid situations where members are referred without due cause

Note: the power of the Returning Officer under the Election Regulations to revoke membership has been amended to require consent of the Executive, to ensure multiple stakeholders are consulted on this decision

Section 9 (“Representatives”) – composed of provisions previously throughout the document

- Create new section 9 to spell out the roles of Representatives, their election, removal and vacancy procedures.
- Subsection (3): insert subsection (g) “the Ethnocultural Department” – to create the Ethnocultural Department
- Subsection (9): insert subsection (b) “ceases to be a member of the Association” for completeness
- Reformat subsection (10) for clarity
- Subsection (13): insert “If a Department Officer is deemed under section 16(6) (“Meeting Attendance”) to have manifestly failed to fulfil their obligations under the Constitution and Regulations, the General Secretary must notify the relevant Department. The Department may, at its discretion, choose to debate a motion calling for the Department Officer’s removal in accordance with section 9(13)(a).” – on consultation with Department Officers, this is a better reflection of both current practice and ideal practice

Section 10 (“The Executive”) – previously, section 14

- Insert subsection (2) to clarify that no person can hold an Executive and Department Officer role in recognition that it is not possible to perform both roles to the level expected by members
- Subsection (8): delete former subsection (a) – now a duty of the University Council Member
- Subsection (8): amend subsection (a) to read “relevant bodies” – corrected expression now that former subsection (a) is deleted
- Subsection (8): amend subsection (g) to read “represent the views of the Association to the media and any other external body” – to emphasise that only the President’s may represent the views of the Association (as spokesperson) and to reflect current practice
- Subsection (8): insert subsection (k) – ensure cooperation between President and University Council Member where those roles are filled by different people
- Subsection (10): insert subsection (f) to read “be a member of the Student Clubs Council” – as required under the new Clubs Regulations
- Subsection (12): amend subsection (a) to read “Student Clubs Council” – replacing the Grants & Affiliations Committee

Section 11 (“Departments of the Association”) – previously, section 26

- Subsection (4): Insert “Ethnocultural Officer”
- Delete former subsection (9) (“Transitional Procedures”) – irrelevant since mid-2011
- Subsection (7): amend to read “Each Department” – the term ‘Collective’ is no longer used

Section 12 (“University Council Member”) – new provision

- Insert new section 15 as required under the ANU Statute – provisions drafted in consultation with and on the advice of the ANU

Section 13 (“General Meetings”) – previously, section 8

- Delete former subsection (3): administratively unworkable, not followed in practice
- Subsection (3): amend subsections (d) to clarify that Representatives can only be removed in accordance with the provisions on removing Representatives (i.e. from vote to Disputes Committee) – to clarify

Note: subsection (3)(e) does not require the removal of an Officer (the definition of which includes a Representative) to be ratified by the Disputes Committee – it is recognised that the 120-person quorum for this vote is sufficient to protect Officers (including Representatives) from undue processes against them. Subsection (3)(e) trumps subsection (3)(d). This accords with current practice regarding motions of no confidence against any elected person.

- Subsection (3): insert subsection (h) to reflect current practice

- Subsection (3): correct formatting – insert “and” after subsection (i); indent subsections (j) and (k) to read (i) and (ii)
- Subsection (4): correct formatting – indent subsections (c) and (d) to read (i) and (ii)
- Subsection (7) and (8): delete subsection (b) both times to remove requirement that notice be advertised in the Union Building – in recognition of Union Court Redevelopment
- Subsection (8): insert “Special Resolutions are subject to the requirements set out in section 29 (“Alteration of the Constitution”).” – for clarity
- Subsection (10): reformat for clarity
- Subsection (14): amend to read “within six (6) months after the end of each Financial Year, being no later than 30 May” – to overcome administrative impracticality
- Subsections (15) and (16): reformat and redraft to clarify that duly audited Annual Financial Statements must be circulated 10 Teaching Days in advance, but that other reports need only be circulated with the agenda – to reflect best practice while overcoming administrative impracticality
- Subsections (16): amend to read “ten (10) Teaching Days” rather than 14 to overcome administrative impracticality and align with timeframe for giving notice of AGM (both docs to now be circulated together)

Section 14 (“Student Representative Council”) – previously, section 9

- Subsection (1): amend subsection (c) to read “Queer*, Women’s and Ethnocultural Departments” – creating an Ethnocultural Department of the Association
- Subsection (1): amend subsection (d) to read “elected in accordance with the Election Regulations” – for consistency and to ensure College Reps elected to fill Casual Vacancy are not excluded from Schedule B
- Subsection (9): insert subsection (f)(vii) “the Ethnocultural Department” – to create Ethnocultural Department
- Subsection (9): amend subsection (f)(ix) to read “the Student Clubs Council” – replacing the Grants & Affiliations Committee
- Subsection (9): subsection (g): delete power to freeze assets of Department – not in accordance with practical powers, current practice, or payment regulations

Section 15 (“College Representative Council”) – previously, section 12

- Subsection (1): amend subsection (d) to read “elected in accordance with the Election Regulations” – for consistency and to ensure College Reps elected to fill Casual Vacancy are not excluded from Schedule B
- Subsection (8): delete “But when the quorum is not reached, the meeting may continue for administrative and communicative purposes” – this is covered in Standing Orders
- Insert relevant subsections from Section 14 (“SRC”) regarding right of non-Representative members to attend meetings, etc. – for consistency and clarity

Section 16 (previously, section 13)

- Amend section 16 (“Meeting Attendance”) to spell out attendance requirements and clarify ambiguity in existing section
- Insert subsections (4) and (5) with respect to submitting apologies to reflect current practice
- Subsection (6): remove requirement that failure to attend meetings means a Representative “must be removed”

Note: This amendment means Representatives who fall below their meeting attendance requirements are deemed to have manifestly failed to fulfil their obligations for the purposes of section 9(11)(b) (regarding the removal of Representatives) – this overcomes inconsistency between this provision and the provisions on the removal of Representatives, and clarifies that Representatives can only be removed through the formal Disputes Committee channel.

- Subsections (9) to (12): Amend provisions permitting Representatives to refer decisions of the General Secretary to the Disputes Committee – to provide clearer guidance to Representatives about their rights, and to the Disputes Committee in exercising their powers under that section

- Subsection (13): broaden the requirements of the General Secretary to communicate attendance requirements to representatives

Section 17 (“Student Clubs Council”) – previously, section 25 (“Grants and Affiliations Committee”)

- Completely amend provisions of section 17 to create a Student Clubs Council and Council Executive in accordance with the new Clubs Regulations
- Drafted by the GAC Policy working group led by Social Officer Helena Hu with input from the General Secretary and Office Manager
- Delete existing provisions of section 17

Section 19 (“Education Committee”) – previously, section 27

- Delete former subsection (6) – not required in practice as the Education Committee line item is reported to the General Meeting

Section 20 (“Disputes Committee”) – previously, section 28

- Amend formatting to clarify structure
- Insert subsection (2) to clarify that Disputes Committee members are Officers and subject to the provisions regarding their removal, etc.
- Subsection (5): delete “must” – typographical error
- Subsection (11): insert “(Schedules A and B)” – for clarity
- Subsection (13): subsection (d): insert “or the position of University Council Member” – to clarify
- Subsection (16): subsection (c): insert “for a period of five (5) years” – for clarity and consistent with current practice

Section 21 (“Financial Review Committee”) – previously, section 31

- Insert subsection (2) to clarify that Financial Review Committee members are Officers and subject to the provisions regarding their removal, etc.
- Subsection (10): subsection (d): insert “or the position of University Council Member” – to clarify

Section 22 (“Finance”) – previously, section 17

- Subsection (3): insert “or the incoming Treasurer as the Treasurer sees fit” – to reflect current practice, where incoming Treasurer has made the budget and presents it
- Subsection (3): amend to read “at a General Meeting held in the fourth Teaching Period” – recognising time constraints and ensuring flexibility around handover
- Subsection (5): amend to read “ten (10) Teaching Days” – to reflect the timeframes required under section 13 (“General Meeting”)
- Subsection (6): amend to read “income” not “sponsorship” – to broaden provision and reflect current practice
- Delete former subsection (11) – now covered in section 2 (“Definitions”)
- Delete former subsection (12) – no longer relevant
- Delete former subsection (15) – not required
- Delete former subsection (18) – too restrictive, administratively unworkable and covered in policies

Section 23 (“Auditor”) – previously, section 16

- Amend reference to Chartered Accountant – overly restrictive, not the only accredited accounting body

Section 24 (“Public Officer”) – previously, section 15

- Amend section drafting and formatting for clarity
- Subsection (1): Publicity Officer now Office Manager by default, unless SRC specifies otherwise – reflects current practice

Section 26 (“Freedom of Information”) – previously, section 18

- Subsection (1)(c): insert “(whether paper or electronic)” – to reflect current practice and reality of online voting

Section 28 (“Regulations and Policy”) – previously, section 21

- Subsection (2): amend for clarity and consistency

Section 29 (“Alteration of the Constitution”) – previously, section 22

- Subsection (2): insert “including the text of the proposed amendments” - for clarity, and to reflect current practice
- Subsection (3): amend as required by ANU to comply with ANU Statute

Section 30 (“Dissolution and Winding Up”) – previously, section 24

- Subsection (3): amend to read “...on trust for Clubs affiliated as at the date the Association is dissolved or wound up” – for clarity and to ensure consistency of expression

Election Regulations

- 1.1.5: replace “representative of the Association” with “Undergraduate Students” – as required by ANU Statute for purpose of University Council Member amendments
- 2.1.2: split this section into two sections (2.1.2 and 2.1.3) – for clarity and convenience for reference in section 4.2
- 2.1.5: insert subsection (b): “delegates to the National Union of Students’ National Conference” – to reflect current practice and correct oversight
- 2.1.5: insert subsection (c): “and the position of University Council Member” –as required under ANU Statute for purpose of University Council Member amendments
- 2.3.12: amend cross reference to section 4 (formerly section 3)
- 3.2.2: amend subsection (g) to read “revoke membership rights, but only with the consent of the Executive of the Association” in accordance with amendments to section 7 of the Constitution
- Insert section 4.2 (“University Council Member”) to deal with casual vacancies arising in the position of University Council Member

Note: this provision is intended to require the casual vacancy to be filled at an online election with the same notice requirements, nomination period and campaign regulations as apply during an Annual Election. All undergraduate students may vote to fill the vacancy. Section 4.2.2 provides that certain sections of the Election Regulations do not apply to an election to fill a casual vacancy in the position of University Council Member, because these sections are not relevant to the casual vacancy in that role, or are administratively unworkable given the short time-frames.

- 8.6.2: amend to finish incomplete sentence – amendment based on identical provision in relation to validity of votes cast in Annual Election

OVERVIEW OF CONSTITUTIONAL AND REGULATORY AMENDMENTS BY MOTION

MOTION 1: Motion to amend the Constitution to update formatting, expression, terminology, order of provisions and certain substantive updates to reflect current practice or to correct oversights

- Reorder provisions to create a more logical structure
- Update cross-references throughout
- Remove gender pronoun and replace with “they” and “their”

Section 2 (“Definitions”)

- Capitalise the following defined terms in section 2 (“Definitions”) and throughout the Constitution:
 - Teaching Day
 - Academic Year
 - Undergraduate Student
 - Teaching Day
 - Teaching Period
 - Working Day
- Insert defined term “Club” into section 2 (“Definitions”)
- Insert defined term “Financial Year” into section 2 (“Definitions”)
- Amend defined term “Disability” in section 2 (“Definitions”) to reflect current practice
- Amend defined term “International Student” in section 2 (“Definitions”) to reflect current practice
- Insert defined term “Office Manager” in section 2 (“Definitions”) to reflect current practice and for clarity
- Amend defined term “Officer of the Association” in section 2 (“Definitions”) to emphasise current practice; namely, that Officer is broader than Representative and includes students elected to positions other than those in Schedule 1 (e.g. Probity Officer, member of Disputes Committee, etc.)
- Amend defined term “Representative of the Association” in section 2 (“Definitions”) to simplify definition
- Amend defined term “Special Resolution” in section 2 (“Definitions”) to clarify meaning and reference correct section of the Act
- Delete references to Working Day and replace with Teaching Day (these terms had the same definition, making Working Day superfluous)

Section 3 (“Interpretation”) – previously, section 20

- Move provision forward for clarity
- Reformat provisions for clarity

Section 4 (“Objects”) – previously, section 3

- Reformat section 4 (“Objects”) to correct formatting error
- Remove references to he/she/his/her – replace with “they” throughout the Constitution

Section 9 (“Representatives”) – composed of provisions previously throughout the document

- Create new section 9 to spell out the roles of Representatives, their election, removal and vacancy procedures.
- Subsection (9): insert subsection (b) “ceases to be a member of the Association” for completeness
- Reformat subsection (10) for clarity
- Subsection (13): insert “If a Department Officer is deemed under section 16(6) (“Meeting Attendance”) to have manifestly failed to fulfil their obligations under the Constitution and Regulations, the General Secretary must notify the relevant Department. The Department may, at its discretion, choose to debate a motion calling for the Department Officer’s removal in accordance with section 9(13)(a).” – on

consultation with Department Officers, this is a better reflection of both current practice and ideal practice

Section 10 (“The Executive”) – previously, section 14

- Insert subsection (2) to clarify that no person can hold an Executive and Department Officer role in recognition that it is not possible to perform both roles to the level expected by members
- Subsection (8): amend subsection (a) to read “relevant bodies” – corrected expression now that former subsection (a) is deleted
- Subsection (8): amend subsection (g) to read “represent the views of the Association to the media and any other external body” – to emphasise that only the President’s may represent the views of the Association (as spokesperson) and to reflect current practice

Section 11 (“Departments of the Association”) – previously, section 26

- Delete former subsection (9) (“Transitional Procedures”) – irrelevant since mid-2011
- Subsection (7): amend to read “Each Department” – the term ‘Collective’ is no longer used

Section 13 (“General Meetings”) – previously, section 8

- Delete former subsection (3): administratively unworkable, not followed in practice
- Subsection (3): amend subsections (d) to clarify that Representatives can only be removed in accordance with the provisions on removing Representatives (i.e. from vote to Disputes Committee) – to clarify

Note: subsection (3)(e) does not require the removal of an Officer (the definition of which includes a Representative) to be ratified by the Disputes Committee – it is recognised that the 120-person quorum for this vote is sufficient to protect Officers (including Representatives) from undue processes against them. Subsection (3)(e) trumps subsection (3)(d). This accords with current practice regarding motions of no confidence against any elected person.

- Subsection (3): insert subsection (h) to reflect current practice
- Subsection (3): correct formatting – insert “and” after subsection (i); indent subsections (j) and (k) to read (i) and (ii)
- Subsection (4): correct formatting – indent subsections (c) and (d) to read (i) and (ii)
- Subsection (7) and (8): delete subsection (b) both times to remove requirement that notice be advertised in the Union Building – in recognition of Union Court Redevelopment
- Subsection (8): insert “Special Resolutions are subject to the requirements set out in section 29 (“Alteration of the Constitution”).” – for clarity
- Subsection (10): reformat for clarity
- Subsection (14): amend to read “within six (6) months after the end of each Financial Year, being no later than 30 May” – to overcome administrative impracticality
- Subsections (15) and (16): reformat and redraft to clarify that duly audited Annual Financial Statements must be circulated 10 Teaching Days in advance, but that other reports need only be circulated with the agenda – to reflect best practice while overcoming administrative impracticality
- Subsections (16): amend to read “ten (10) Teaching Days” rather than 14 to overcome administrative impracticality and align with timeframe for giving notice of AGM (both docs to now be circulated together)

Section 14 (“Student Representative Council”) – previously, section 9

- Subsection (1): amend subsection (d) to read “elected in accordance with the Election Regulations” – for consistency and to ensure College Reps elected to fill Casual Vacancy are not excluded from Schedule B
- Subsection (9): subsection (g): delete power to freeze assets of Department – not in accordance with practical powers, current practice, or payment regulations

Section 15 (“College Representative Council”) – previously, section 12

- Subsection (1): amend subsection (d) to read “elected in accordance with the Election Regulations” – for consistency and to ensure College Reps elected to fill Casual Vacancy are not excluded from Schedule B
- Subsection (8): delete “But when the quorum is not reached, the meeting may continue for administrative and communicative purposes” – this is covered in Standing Orders
- Insert relevant subsections from Section 14 (“SRC”) regarding right of non-Representative members to attend meetings, etc. – for consistency and clarity

Section 16 (previously, section 13)

- Amend section 16 (“Meeting Attendance”) to spell out attendance requirements and clarify ambiguity in existing section
- Insert subsections (4) and (5) with respect to submitting apologies to reflect current practice
- Subsection (6): remove requirement that failure to attend meetings means a Representative “must be removed”

Note: This amendment means Representatives who fall below their meeting attendance requirements are deemed to have manifestly failed to fulfil their obligations for the purposes of section 9(11)(b) (regarding the removal of Representatives) – this overcomes inconsistency between this provision and the provisions on the removal of Representatives, and clarifies that Representatives can only be removed through the formal Disputes Committee channel.

- Subsections (9) to (12): Amend provisions permitting Representatives to refer decisions of the General Secretary to the Disputes Committee – to provide clearer guidance to Representatives about their rights, and to the Disputes Committee in exercising their powers under that section
- Subsection (13): broaden the requirements of the General Secretary to communicate attendance requirements to representatives

Section 19 (“Education Committee”) – previously, section 27

- Delete former subsection (6) – not required in practice as the Education Committee line item is reported to the General Meeting

Section 20 (“Disputes Committee”) – previously, section 28

- Amend formatting to clarify structure
- Insert subsection (2) to clarify that Disputes Committee members are Officers and subject to the provisions regarding their removal, etc.
- Subsection (5): delete “must” – typographical error
- Subsection (11): insert “(Schedules A and B)” – for clarity
- Subsection (13): subsection (d): insert “or the position of University Council Member” – to clarify
- Subsection (16): subsection (c): insert “for a period of five (5) years” – for clarity and consistent with current practice

Section 21 (“Financial Review Committee”) – previously, section 31

- Insert subsection (2) to clarify that Financial Review Committee members are Officers and subject to the provisions regarding their removal, etc.
- Subsection (10): subsection (d): insert “or the position of University Council Member” – to clarify

Section 22 (“Finance”) – previously, section 17

- Subsection (3): insert “or the incoming Treasurer as the Treasurer sees fit” – to reflect current practice, where incoming Treasurer has made the budget and presents it
- Subsection (3): amend to read “at a General Meeting held in the fourth Teaching Period” – recognising time constraints and ensuring flexibility around handover
- Subsection (5): amend to read “ten (10) Teaching Days” – to reflect the timeframes required under section 13 (“General Meeting”)
- Subsection (6): amend to read “income” not “sponsorship” – to broaden provision and reflect current practice

- Delete former subsection (11) – now covered in section 2 (“Definitions”)
- Delete former subsection (12) – no longer relevant
- Delete former subsection (15) – not required
- Delete former subsection (18) – too restrictive, administratively unworkable and covered in policies

Section 23 (“Auditor”) – previously, section 16

- Amend reference to Chartered Accountant – overly restrictive, not the only accredited accounting body

Section 24 (“Public Officer”) – previously, section 15

- Amend section drafting and formatting for clarity
- Subsection (1): Publicity Officer now Office Manager by default, unless SRC specifies otherwise – reflects current practice

Section 26 (“Freedom of Information”) – previously, section 18

- Subsection (1)(c): insert “(whether paper or electronic)” – to reflect current practice and reality of online voting

Section 28 (“Regulations and Policy”) – previously, section 21

- Subsection (2): amend for clarity and consistency

Section 29 (“Alteration of the Constitution”) – previously, section 22

- Subsection (2): insert “including the text of the proposed amendments” - for clarity, and to reflect current practice

Section 30 (“Dissolution and Winding Up”) – previously, section 24

- Subsection (3): amend to read “...on trust for Clubs affiliated as at the date the Association is dissolved or wound up” – for clarity and to ensure consistency of expression

Former section 29 (“Publications”) and Publication Regulations

- Delete former section 29 (“Publications”) and corresponding Publications Regulations – these provisions were relevant when Woroni was part of ANUSA and are no longer required

Election Regulations

- 2.1.2: split this section into two sections (2.1.2 and 2.1.3) – for clarity and convenience for reference in section 4.2
- 2.1.5: insert subsection (b): “delegates to the National Union of Students’ National Conference” – to reflect current practice and correct oversight
- 2.3.2: amend cross reference to section 4 (formerly section 3)
- 3.2.2: amend subsection (g) to read “revoke membership rights, but only with the consent of the Executive of the Association” in accordance with amendments to section 7 of the Constitution
- 8.6.2: amend to finish incomplete sentence – amendment based on identical provision in relation to validity of votes cast in Annual Election

MOTION 2: Motion to amend section 7 of the Constitution to confirm the capacity of the Association to revoke membership rights.

Section 7 (“Disciplining Members”)

- Insert subsections (2) and (3) to overcome inconsistency with the Election Regulations and the powers of the Disputes Committee
- Subsection (2) emphasises the legislative requirement to follow due process
- Subsection (3) ensures that only the Executive may refer an ordinary member to Disputes, to avoid situations where members are referred without due cause

Note: the power of the Returning Officer under the Election Regulations to revoke membership has been amended to require consent of the Executive, to ensure multiple stakeholders are consulted on this decision

MOTION 3: Motion to amend the Constitution for the creation of an Ethnocultural Department

Section 9 (“Representatives”) – composed of provisions previously throughout the document

- Subsection (3): insert subsection (g) “the Ethnocultural Department” – to create the Ethnocultural Department

Section 11 (“Departments of the Association”) – previously, section 26

- Subsection (4): Insert “Ethnocultural Officer”

Section 14 (“Student Representative Council”) – previously, section 9

- Subsection (1): amend subsection (c) to read “Queer*, Women’s and Ethnocultural Departments” – creating an Ethnocultural Department of the Association
- Subsection (9): insert subsection (f)(vii) “the Ethnocultural Department” – to create Ethnocultural Department

MOTION 4: Motion to amend the Constitution for the creation of a Student Clubs Council to replace the Grants and Affiliations Committee (GAC) as the ANUSA committee responsible for processing payments to and affiliation requests from student groups on campus

Section 10 (“The Executive”) – previously, section 14

- Subsection (10): insert subsection (f) to read “be a member of the Student Clubs Council” – as required under the new Clubs Regulations
- Subsection (12): amend subsection (a) to read “Student Clubs Council” – replacing the Grants & Affiliations Committee

Section 14 (“Student Representative Council”) – previously, section 9

- Subsection (9): amend subsection (f)(ix) to read “the Student Clubs Council” – replacing the Grants & Affiliations Committee

Section 17 (“Student Clubs Council”) – previously, section 25 (“Grants and Affiliations Committee”)

- Completely amend provisions of section 17 to create a Student Clubs Council and Council Executive in accordance with the new Clubs Regulations
- Drafted by the GAC Policy working group led by Social Officer Helena Hu with input from the General Secretary and Office Manager
- Delete existing provisions of section 17

MOTION 5: Motion to amend the Constitution to create the position of University Council Member as separate from the President, and as required by the ANU to accord with changes to the University’s enabling Act

Section 2 (“Definitions”)

- Insert defined term “University Council Member” into section 2 (“Definitions”) – as required by the ANU
- Amend defined term “Officer of the Association” to read “...but does not include the University Council Member” – as required by the ANU

Section 10 (“The Executive”) – previously, section 14

- Subsection (8): delete former subsection (a) – now a duty of the University Council Member
- Subsection (8): insert subsection (k) – ensure cooperation between President and University Council Member where those roles are filled by different people

Section 12 (“University Council Member”) – new provision

- Insert new section 15 as required under the ANU Statute – provisions drafted in consultation with and on the advice of the ANU

Section 29 (“Alteration of the Constitution”) – previously, section 22

- Subsection (3): amend as required by ANU to comply with ANU Statute

Election Regulations

- 1.1.5: replace “representative of the Association” with “Undergraduate Students” – as required by ANU Statute for purpose of University Council Member amendments
- 2.1.5: insert subsection (c): “and the position of University Council Member” –as required under ANU Statute for purpose of University Council Member amendments
- Insert section 4.2 (“University Council Member”) to deal with casual vacancies arising in the position of University Council Member

Note: this provision is intended to require the casual vacancy to be filled at an online election with the same notice requirements, nomination period and campaign regulations as apply during an Annual Election. All undergraduate students may vote to fill the vacancy. Section 4.2.2 provides that certain sections of the Election Regulations do not apply to an election to fill a casual vacancy in the position of University Council Member, because these sections are not relevant to the casual vacancy in that role, or are administratively unworkable given the short time-frames.

[NOTE TO COUNCIL: RELEVANT AMENDMENTS MARKED IN RED]

The Australian National University Students' Association Incorporated

Constitution and Regulations



Passed by the Annual General Meeting of the Association on 10 May 2011
Ratified by the University Council on 15 February 2013
Submitted to the ACT Office of Regulatory Services on 21 February 2013

Last Updated:
Last updated at the ANUSA Ordinary General Meeting on 23 September 2016

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1. NAME

- (1) The name of the Association is "The Australian National University Students' Association Incorporated".

2. DEFINITIONS

- (1) In this Constitution, the Regulations and any Policy, unless the contrary intention appears:

Academic Week means any week of the calendar year that includes at least one (1) Teaching Day that falls during a Teaching Period of the Colleges.

Academic Year means the period between the first day of the first Teaching Period of the Colleges and the last day of the last Teaching Period of the Colleges in a calendar year.

Act means the Associations Incorporation Act 1991 (ACT).

ANU means The Australian National University.

ANUSA or the Association means The Australian National University Students' Association Incorporated.

Club means a student group that is affiliated to the Association in accordance with the Constitution and the Clubs Regulations.

College means an ANU College established by the University Council, which, at the date of the making of this Constitution, includes:

- (a) The ANU College of Arts and Social Sciences;
- (b) The ANU College of Asia and the Pacific;
- (c) The ANU College of Business and Economics;
- (d) The ANU College of Engineering and Computer Science;
- (e) The ANU College of Law;
- (f) The ANU College of Physical and Mathematical Sciences; and
- (g) The ANU College of Medicine, Biology and Environment.

CRC means the College Representative Council established pursuant to section 15 ("College Representative Council").

Disability has the meaning given by ANU Access & Inclusion.

Financial Year means the 12-month period beginning 1 December each year and ending 30 November in the following calendar year.

Indigenous Student has the meaning given by the Tjabal Indigenous Higher Education Centre.

International Student means an Undergraduate Student deemed to be an international student by the ANU Division of Student Administration.

Officer of the Association means a Representative of the Association, and any other member elected to a position under this Constitution, the Regulations or Policy, or any member stated to be an Officer of the Association in the Constitution, Regulations or Policy, **but does not include the University Council Member**.

Office Manager means the staff member responsible for overseeing the day-to-day management of the Association.

Regulations means Regulations made under this Constitution.

Representative of the Association or Representative means a person elected to a position set out in Schedule 1 to the Constitution.

Special Resolution means a resolution made under section 39 of the Act, and includes a motion to amend this Constitution in accordance with section 29 ("Alteration of the Constitution").

SRC means the Student Representative Council of the Association established pursuant to section 14 ("Student Representative Council").

Teaching Day means any weekday that falls during a Teaching Period of the Colleges, excluding public holidays.

Teaching Period means each of the four academic terms as set by the University.

Undergraduate Student means a student enrolled in a unit or program of study for a Bachelor's degree or any other undergraduate award of the Australian National University, other than the Bachelor of Medicine.

Union means the Australian National University Union.

University means The Australian National University.

University Council Member means the person elected in accordance with the University's enabling Act and this Constitution to be the undergraduate student member of the University Council.

3. INTERPRETATION

- (1) Whoever presides at a meeting of the Association may interpret this Constitution and the Regulations made under it for the purposes of the meeting, and the General Secretary may interpret them at any other time.
- (2) A motion of dissent from an interpretation of this Constitution or the Regulations moved at a meeting of the Association may only be carried in accordance with the relevant Standing Orders.
- (3) A motion of dissent from an interpretation of this Constitution or the Regulations moved at any other time may only be carried by five-sixths majority of the Executive.

4. OBJECTS

- (1) The objects of the Association are:
 - (a) to promote the welfare of, and further the interests of, Undergraduate Students;
 - (b) to work for quality and equity in higher education;
 - (c) to afford a recognised means of representation for Undergraduate Students within the University and the wider community; and
 - (d) to foster community, equity and diversity within the University.

5. MEMBERSHIP

- (1) The Association has one class of membership, being ordinary membership.
- (2) An ordinary member of the Association is any person who:
 - (a) is an Undergraduate Student; or
 - (b) is a student enrolled in an ANU Pathway Program (including ANU College and ANU Preparatory Program),
 and who has not written to the General Secretary of the Association specifically stating that they do not wish to be a member of the Association.

6. FEE, SUBSCRIPTIONS, ETC.

- (1) There is no fee for membership of the Association.

7. DISCIPLINING MEMBERS

- (1) There are no provisions in this Constitution for the disciplining of ordinary members, other than those which relate to the disciplining of Officers of the Association.
- (2) Notwithstanding section 7(1), an ordinary member may have their membership revoked either:
 - (a) in accordance with the Election Regulations; or
 - (b) by a resolution of the Disputes Committee,
 provided due process is followed pursuant to section 50 of the Act.
- (3) For the purposes of section 7(2)(b), only the Executive of the Association may refer an ordinary member to the Disputes Committee for removal in accordance with that section.

8. AFFILIATION TO A NATIONAL ORGANISATION

- (1) For the Association to affiliate to a national organisation, or for the Association to disaffiliate from such an organisation, a proposition calling for the Association to take such action must be carried at a Referendum (as those terms are defined in the Election Regulations).

9. REPRESENTATIVES

The Executive

- (1) The Association has an Executive consisting of:
 - (a) the President of the Association;
 - (b) the Vice President of the Association;
 - (c) the Treasurer of the Association;
 - (d) the General Secretary of the Association;
 - (e) the Social Officer of the Association; and

- (f) the Education Officer of the Association.
- (2) The Executive is to function in accordance with section 10 ("The Executive").

Departments of the Association

- (3) There are to be the following Departments of the Association:
- (a) Women's Department;
 - (b) Queer* Department;
 - (c) Environment Department;
 - (d) Indigenous Department;
 - (e) International Students' Department;
 - (f) Disabilities Department; and
 - (g) Ethnocultural Department.
- (4) The Departments are to function in accordance with section 11 ("Departments of the Association").

College Representatives

- (5) College Representatives are required to sit on College-designated boards or committees of the College or school(s) which they represent.
- (6) When appropriate, the President must consult with the relevant College Representatives on issues specifically related to the individual Colleges, and before appointing members to committees dealing specifically with such issues.

General Representatives

- (7) General Representatives shall provide general assistance to the activities of the Association and in consultation with the Executive, or as determined by the SRC, undertake specific projects and advocacy during their term.

Electing Representatives

- (8) Representatives are to be elected in accordance with the Election Regulations.

Vacancies

- (9) The position of a Representative of the Association becomes vacant if they:
- (a) cease to be an Undergraduate Student;
 - (b) cease to be a member of the Association;
 - (c) resign their position in writing to the General Secretary;
 - (d) in the case of a College Representative, cease to be enrolled in the College that they represent;
 - (e) become an insolvent under administration as defined in the *Corporations Act 2001* (Cth); or
 - (f) are disqualified from office pursuant to any relevant section of the Act.
- (10) Upon a member becoming a Representative, and for as long as that member holds a Representative position, the Office Manager is entitled to seek and obtain information from the University concerning the member's enrolment status as an Undergraduate Student. If the Office Manager is satisfied that a member is ineligible to be, or to continue to be, a Representative:
- (a) the Office Manager must inform the General Secretary of the Representative's ineligibility; and
 - (b) the General Secretary must declare any Representative position held by that member to be vacant in accordance with section 9(9)(a).

Removal from Office

- (11) A Representative of the Association may only be removed from office if:
- (a) they have willfully acted in a fashion contrary to the Constitution, the Regulations or a Policy of the Association; or
 - (b) they have manifestly failed to fulfil their obligations under the Constitution and Regulations.
- (12) A Representative of the Association, other than a Department Officer, must be removed from office if:
- (a) a resolution is carried by a two-thirds majority of those present and voting at a meeting of the SRC or a General Meeting of the Association calling for their removal under section 9(11); and
 - (b) the Disputes Committee accepts that the Representative has acted in the fashion alleged by that resolution.
- (13) A Department Officer must be removed from office if:

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- (c) a resolution is carried by a two-thirds majority of those present and voting at a meeting of the relevant Department calling for their removal; and
- (d) the Disputes Committee accepts that the Department Officer has acted in the fashion alleged by that resolution.

If a Department Officer is deemed under section 16(6) ("Meeting Attendance") to have manifestly failed to fulfil their obligations under the Constitution and Regulations, the General Secretary must notify the relevant Department. The Department may, at its discretion, choose to debate a motion calling for the Department Officer's removal in accordance with section 9(13)(a).

- (14) If the SRC or a General Meeting passes a resolution pursuant to section 9(12), or a Department passes a resolution pursuant to section 9(13), the General Secretary must, within five (5) Teaching Days:
 - (a) notify the Representative of the resolution and the ground on which it was based; and
 - (b) notify the Disputes Committee.
- (15) The Disputes Committee must provide an opportunity for the Representative to make oral representations, and/or submit to the committee written representations at a meeting of the Disputes Committee to be held no earlier than ten (10) Teaching Days and no later than twenty-one (21) Teaching Days after the Disputes Committee is notified under section 9(14).

10. THE EXECUTIVE

- (1) The Executive must:
 - (a) manage the affairs of the Association;
 - (b) oversee employees of the Association; and
 - (c) oversee the implementation of the Policies of the Association.
- (2) No member of the Executive shall hold office as a Department Officer during the tenure of their position as a member of the Executive.
- (3) For the Executive to direct a member of the Executive to execute their duties in a particular fashion, a five-sixths majority of the Executive is required.
- (4) Where possible, the Executive shall reach decisions through consensus. In the event that consensus cannot be reached, decisions shall be made by the majority of the Executive present and voting. In the event of a tie, such a vote shall be decided by the majority out of the President, Vice President and the Treasurer.
- (5) Each member of the Executive must, at every SRC meeting, report to the meeting on their activities as a member of the Executive.
- (6) A member of the Executive may, by written communication and with the consent of the person receiving the delegation, delegate one or more of their functions to another member of the Executive for the period specified in the written communication, so long as the delegation does not represent a substantial and ongoing delegation of responsibilities of the delegating officer.
- (7) A delegation under section 10(6) may be revoked at any time by a subsequent decision of the delegating officer communicated in writing, or by a decision of the Executive.

President

- (8) The President must, subject to the Constitution, the Regulations and Policy of the Association:
 - ~~(a) represent Undergraduate Students on University Council;~~
 - (a) represent Undergraduate Students on relevant bodies, including committees of the University, or after consultation with the SRC, appoint members of the Association to such bodies;
 - (b) be the spokesperson of the Association on matters of concern to members of the Association;
 - (c) make every effort to inform members of the Association of issues relevant to their welfare, and of decisions made or actions undertaken by the Association on their behalf;
 - (d) be available to Undergraduate Students to provide advice and advocacy;
 - (e) make a report of the activities of the Association to the Annual General Meeting of the Association;
 - (f) take such other action as they consider necessary in the interests of members of the Association;
 - (g) represent the views of the Association to the media and any other external body;
 - (h) be primarily responsible for the Association's employees, in conjunction with the Executive;
 - (i) consult any relevant Department Officer when making appointments to various University committees;
 - (j) consult with the CRC before appointing a student to any College board or committee; and
 - (k) **liaise with the University Council Member on issues relevant to Undergraduate Students.**

Vice President

- (9) The Vice President must, subject to the Constitution, the Regulations and Policy of the Association:
- (a) together with the President, represent Undergraduate Students on other bodies, including committees of the University;
 - (b) be available to Undergraduate Students to provide advice and advocacy;
 - (c) liaise with students and relevant organisations about education and welfare issues;
 - (d) assist the President in researching education and welfare issues;
 - (e) assist with the development and implementation of the education and welfare policy of the Association;
 - (f) assist with campaigns organised by the Association that address education and welfare issues; and
 - (g) maintain a comprehensive knowledge of the workings of the Association in order to substitute for the President should the need arise.

Treasurer

- (10) The Treasurer must, subject to the Constitution, the Regulations and Policy of the Association:
- (a) oversee the financial affairs of the Association;
 - (b) arrange for the books and accounts of the Association to be audited and presented in accordance with section 22 ("Finance");
 - (c) prepare and present such reports as are required under this Constitution;
 - (d) be responsible for assisting Representatives of the Association in organising the finances of the Association for which they have responsibility;
 - (e) be responsible for obtaining sponsorship of the Association and its activities, and for maintaining relations with those sponsors; and
 - (f) be a member of the Student Clubs Council.

General Secretary

- (11) The General Secretary must, subject to the Constitution, the Regulations and Policy of the Association:
- (a) convene and chair meetings of the Association as required under this Constitution;
 - (b) ensure that the minutes of meetings of the Association, including a basic summary of discussion, are recorded and retained;
 - (c) prepare, authorise and release all notices of the Association;
 - (d) pursuant to the section 3 ("Interpretation"), have the power to interpret the Constitution, Regulations and Policy of the Association; and
 - (e) be responsible for informing Officers of the Association about their responsibilities under this Constitution.

Social Officer

- (12) The Social Officer must, subject to the Constitution, the Regulations and Policy of the Association:
- (a) be a member of the Student Clubs Council;
 - (b) be a Director of Orientation Week and Bush Week and provide support for the Director(s) of Orientation Week and Bush Week;
 - (c) facilitate other social activities on campus;
 - (d) liaise with the University Union and other relevant organisations regarding social issues; and
 - (e) organise the production of the following year's ANUSA student diary.

Education Officer

- (13) The Education Officer must, subject to the Constitution, the Regulations and Policy of the Association:
- (a) oversee the implementation of the higher education and related policy of the Association;
 - (b) organise such campaigns and actions as the SRC and Executive deem appropriate to give effect to the Constitution, Regulations and Policy of the Association;
 - (c) collate information on higher education issues which affect the Association and its members;
 - (d) convene the Association's Education Committee in accordance with section 19 ("Education Committee");
 - (e) on behalf of the Association, liaise with national and local bodies on relevant campaigns relating to higher education;
 - (f) prepare submissions on behalf of the Association to Government inquiries and reviews on higher education matters; and
 - (g) petition the university administration and government on higher education issues.

11. DEPARTMENTS OF THE ASSOCIATION

- (1) The Departments of the Association are to be governed by their individual Constitutions and Regulations. A copy of each Department's Constitution will be held by the General Secretary.
- (2) The Constitution of each Department must include clauses covering the following areas:
 - (a) membership;
 - (b) quorum;
 - (c) the role of the relevant Department Officer;
 - (d) procedures for changing the constitution;
 - (e) notice requirements;
 - (f) frequency of meetings;
 - (g) annual general meetings;
 - (h) the election or appointment of trustees;
 - (i) provisions governing the expenditure of money;
 - (j) provisions for the removal of the relevant Department Officer and vacancy of office; and
 - (k) dissolution procedures,

and must not be inconsistent with the Constitution or Regulations of the Association. Any part of the Constitution of a Department which is inconsistent shall be invalid, but only to the extent of the inconsistency.

- (3) The Women's Officer, Queer* Officer, Environment Officer, Indigenous Officer, International Students' Officer, Disabilities Officer, and Ethnocultural Officer are responsible for the management of the affairs of their respective Department.
- (4) Department Officers are to be elected in accordance with the Election Regulations.
- (5) Each Department Officer must:
 - (a) submit a report to each SRC meeting detailing recent activities of the Department, together with the income and expenditure of the Department to date;
 - (b) submit a written report of their Department's income and expenditure to the Treasurer at the end of each Financial Year; and
 - (c) submit to the General Secretary changes to their Department's constitution with seven (7) Teaching Days of the change occurring.
- (6) Each year the Association must give each Department an amount of no less than \$5,000 for use in respect of the activities of the Department. Each Department will be audited each Financial Year as part of the independent audit of the Association.
- (7) In the event that a Department is dissolved pursuant to this Constitution, the excess of assets, property, funds or money remaining after all debts and liabilities are paid shall not be distributed amongst the Association's members, but shall be given or transferred to the Association.

12. UNIVERSITY COUNCIL MEMBER

- (1) **The University Council Member is the person elected according to the Election Regulations, provided they are consistent with the requirements of the University's enabling Act, and any relevant statutes of the University.**
- (2) **The University Council Member must:**
 - (a) **attend meetings of the University Council; and**
 - (b) **comply with the University's enabling Act and any statutes of the University as they apply to members of the University Council.**
- (3) **The General Secretary must invite the University Council Member to attend meetings of the SRC.**
- (4) **The University Council Member is neither a Representative of the Association, nor an Officer of the Association for the purposes of the Constitution and Regulations.**
- (5) **The University Council Member may not be removed from their position otherwise than in accordance with the University's enabling Act, any relevant statutes of the University.**

13. GENERAL MEETINGS

- (1) General Meetings of the Association are open to all members. All members are entitled to vote at General Meetings.
- (2) General Meetings of the Association may be convened at the discretion of the General Secretary, but the General Secretary must convene at least one (1) Ordinary General Meeting of the Association during each Teaching Period, except that Teaching Period in which the Annual General Meeting is held.

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- (3) A General Meeting of the Association may, subject to this Constitution and the Regulations:
- (a) pass a resolution that determines the Policy of the Association with respect to any matter within the Objects of the Association;
 - (b) pass a resolution that directs the Executive as to how it should act to give effect to the Policy of the Association;
 - (c) by a resolution carried by not less than a two-thirds majority of those present and voting, make Regulations, or amend or repeal Regulations;
 - (d) pass a resolution recommending the dismissal of a Representative pursuant to sections 9(11) to 9(15);
 - (e) notwithstanding any other section of this Constitution, by resolution carried by not less than a two-thirds majority of those present and voting, recommend the dismissal of any Officer of the Association, provided that at least one hundred and twenty (120) members of the Association are present;
 - (f) by a resolution carried by a not less than two-thirds majority of those present and voting, remove a member of the Disputes Committee, provided that eighty (80) or more members of the Association are present;
 - (g) pass a resolution approving the budget or provisional budget of the Association, subject to section 22 ("Finance");
 - (h) pass any other resolution permitted under this Constitution or the Regulations; and
 - (i) receive at each of its meetings a report detailing recent activities and expenditure from:
 - (i) the SRC; and
 - (ii) the CRC.

Entrenchment of Motions

- (4) If any motion put to a General Meeting is voted on by eighty (80) or more members of the Association in accordance with the provisions in Standing Orders concerning the entrenchment of motions, then:
- (a) that motion overrides any motion passed by the SRC or the CRC which is inconsistent with it; and
 - (b) that motion may not be overridden by:
 - (i) any subsequent motion of the SRC or the CRC; or
 - (ii) a motion at a subsequent General Meeting, unless eighty (80) or more ordinary members of the Association are present and voting.
- (5) Any resolution carried by a General Meeting that is not entrenched under section 13(4) that purports to:
- (a) determine the Policy of the Association; or
 - (b) direct the Executive as to how it should act to give effect to the Policy of the Association,
- is subordinate to any future resolution carried by a simple majority of the SRC or, in the case of motions relating to higher education within the ANU or its constituent Colleges, the CRC.
- (6) Any resolution carried by a General Meeting that is not entrenched under section 13(4) that purports to:
- (a) enact, amend or repeal Regulations;
 - (b) amend this Constitution; or
 - (c) dissolve the Association,
- may be overturned by a resolution carried by a two-thirds majority of those present and voting at a meeting of the SRC, if that resolution is carried within ten (10) Teaching Days of the General Meeting at which the original resolution was carried.

Notice of General Meetings, etc.

- (7) Subject to section 13(9), whoever convenes a General Meeting of the Association must give at least ten (10) Teaching Days' notice of the time, date and venue of such a meeting, and at least three (3) Teaching Days' notice of the agenda of such a meeting, by prominently displaying these details:
- (a) on the front door of the Association offices; and
 - (b) on the website of the Association.
- (8) When the nature of the business proposed to be dealt with at a General Meeting requires a Special Resolution, whoever convenes such a General Meeting must give at least twenty-one (21) days' notice of the time, date and venue of such a meeting, and at least three (3) Teaching Days' notice of the agenda of such a meeting, by prominently displaying these details:
- (a) on the front door of the Association offices; and
 - (b) on the website of the Association.

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Special Resolutions are subject to the requirements set out in section 29 ("Alteration of the Constitution").

- (9) Any General Meeting of the Association that is convened without satisfying the notice requirements is to be regarded as unconstitutional, and any resolution passed at the meeting has no effect.
- (10) Whoever convenes a General Meeting of the Association in accordance with this Constitution must give an opportunity at that meeting for:
 - (a) any motion to be put to the meeting that was submitted by an ordinary member of the Association to the General Secretary at least five (5) Teaching Days before the date of the meeting; and
 - (b) ordinary members of the Association to ask questions, and place motions on notice for the next General Meeting of the Association.
- (11) Whoever convenes a General Meeting of the Association in accordance with this Constitution may determine the order of business on the agenda.
- (12) The quorum for a General Meeting of the Association is forty (40) members of the Association present in person.
- (13) Despite section 13(12), the Association may maintain Regulations allowing specified business to be dealt with by an inquorate General Meeting after thirty (30) minutes have lapsed, provided that proper notice of the meeting has been given.

Annual General Meetings

- (14) The Annual General Meeting ("**AGM**") of the Association shall be convened by the General Secretary within six (6) months after the end of each Financial Year, being no later than 30 May.
- (15) At each AGM:
 - (a) the President's report on the activities of the Association since the previous AGM;
 - (b) the Treasurer's Report on the financial position of the Association at the end of the previous Financial Year;
 - (c) the duly audited Annual Financial Statements; and
 - (d) such other reports as the SRC determines,
 shall be presented for approval by members.
- (16) The duly audited Annual Financial Statements presented under section 13(16)(c) must be published on the Association's website no later than ten (10) Teaching Days before the AGM. All other documents presented under section 13(16) must be circulated together with the agenda in accordance with section 13(8).

Special General Meetings

- (17) The General Secretary must convene a Special General Meeting of the Association within seven (7) Teaching Days of being presented with a petition signed by at least eighty (80) members of the Association calling for such a meeting, or a resolution passed by a simple majority of the SRC calling for such a meeting.
- (18) Whoever convenes a Special General Meeting of the Association in accordance with this Constitution must give at least five (5) Teaching Days' notice of the time, date, place and agenda of such a meeting by prominently displaying these details:
 - (a) on the front door of the Association offices; and
 - (b) on the website of the Association.
- (19) Whoever convenes a Special General Meeting of the Association in accordance with this Constitution must discuss only those matters specified in the request to the General Secretary, and they must be dealt with in the order set out in that request.

14. STUDENT REPRESENTATIVE COUNCIL

- (1) The Student Representative Council (SRC) shall be the committee of the Association in accordance with the Act and shall consist of:

Schedule A:

- (a) the Executive;
- (b) fourteen (14) General Representatives;
- (c) the Department Officers from the Disabilities, Environment, Indigenous, International Students', Queer*, Women's and Ethnocultural Departments; and

Schedule B:

- (d) two (2) Representatives from each College elected in accordance with the Election Regulations, except in respect of the ANU College of Physical and Mathematical Sciences and the ANU College of Medicine, Biology and Environment which together shall be considered one electorate for the purposes of the election and from which two (2) Representatives shall be elected in total.
- (2) Meetings of the SRC may be convened at the discretion of the General Secretary, or at the discretion of a member of the SRC appointed by the General Secretary for that purpose, but must be convened:
 - (a) at least once in each calendar month of the Academic Year, except where there are less than seven (7) Teaching Days in that calendar month; and
 - (b) within seven (7) Teaching Days of the General Secretary being presented with a petition signed by at least half of the current General Representatives to the SRC calling for such a meeting.
- (3) The SRC may, subject to this Constitution and the Regulations:
 - (a) pass resolutions that determine the Policy of the Association, except for Policy relating to matters of higher education within the ANU or its constituent Colleges, subject to section 13(4) and 13(5) ("General Meetings");
 - (b) pass resolutions electing members of the Association as Officers and to committees to perform a particular function (notwithstanding that the Officers and committees remain subordinate to the SRC at all times, and may have their functions changed or terminated by the SRC at any time);
 - (c) by a resolution carried by not less than a two-thirds majority of those present and voting, overturn an original resolution of a General Meeting purporting to make, amend or repeal Regulations (if such a resolution is carried within ten (10) Teaching Days of the original resolution), subject to section 13(4) ("General Meetings");
 - (d) by a resolution carried by not less than a two-thirds majority of those present and voting, overturn an original resolution of a General Meeting purporting to amend this Constitution (if such a resolution is carried within ten (10) Teaching Days of the original resolution), subject to section 13(4) ("General Meetings");
 - (e) by a resolution carried by a not less than two-thirds majority of those present and voting overturn an original resolution of a General Meeting purporting to dissolve the Association (if such a resolution is carried within ten (10) Teaching Days of the original resolution), subject to section 13(4) ("General Meetings");
 - (f) receive at each of its meetings a report detailing recent activities and expenditure from:
 - (i) the Women's Department;
 - (ii) the Queer* Department;
 - (iii) the Environment Department;
 - (iv) the International Students' Department;
 - (v) the Indigenous Department;
 - (vi) the Disabilities Department;
 - (vii) the Ethnocultural Department;
 - (viii) the Education Committee; and
 - (ix) the Student Clubs Council;
 - (g) by a resolution carried by not less than a simple majority of those present and voting, respond to an unsatisfactory report by calling for the convening of a Special General Meeting of the Association to discuss the report, or a meeting of the relevant Department of the Association to discuss the report; and
 - (h) by a resolution carried by not less than a two-thirds majority of those present and voting, appoint a member of the Association to the Disputes Committee in accordance with the requirements set out under section 20(9) ("Disputes Committee").

Notice of SRC Meetings, etc.

- (4) Whoever convenes a meeting of the SRC must:
 - (a) give at least five (5) Teaching Days' notice of the time, date and venue of such a meeting;
 - (b) give at least one (1) Teaching Days' notice of the agenda of such a meeting; and
 - (c) include on the agenda any motion proposed by an ordinary member of the Association and submitted to them at least three (3) Teaching Days before the date of the meeting.
- (5) Notice must be given by:
 - (a) prominently displaying the details required on the front door of the Association offices; and
 - (b) attempting to convey the details required to each member of the relevant committee directly through a means agreed to between that Representative and the General Secretary.
- (6) The quorum for meetings of the SRC is half of the current total of Schedule A of the membership of the SRC, rounded up to the nearest whole person.
- (7) When a Representative on the SRC:

- (a) has a direct or indirect pecuniary interest in a contract or other arrangement which has been made, or is proposed to be made, with the Association; and
 - (b) is present at a meeting of the SRC at which that contract or other arrangement is considered, that Representative shall disclose the nature of the pecuniary interest to the meeting, and may participate in the discussion, but may not vote on the matter.
- (8) A disclosure of pecuniary interest made under section 14(7) shall be recorded in the minutes of the meeting.
 - (9) Unless this Constitution provides otherwise, a resolution of the SRC may be carried by a simple majority of those present and voting.
 - (10) Except for discussion of agenda items that include confidential information, any ordinary member of the Association may be present at a meeting of the SRC, and may speak and put or second motions.
 - (11) If an individual holds more than one position on the SRC, they have the voting entitlement of only one (1) position, and may not appoint a nominee to exercise the voting entitlement of any other position that they hold.

15. COLLEGE REPRESENTATIVE COUNCIL

- (1) There is established a College Representative Council (CRC) that must, subject to the Constitution, the Regulations and Policies of the Association, analyse academic issues of relevance to the Colleges, and formulate methods to ensure that the academic interests of students within the Colleges are protected.
- (2) The CRC is comprised of:

Schedule A

- (a) the President, Vice President, General Secretary and Education Officer of the Association;
- (b) two (2) College Representatives from each College elected in accordance with the Election Regulations, except in respect of the ANU College of Physical Sciences and the ANU College of Medicine, Biology and Environment which together shall be considered one electorate for the purposes of the election and from which two (2) Representatives shall be elected in total;
- (c) such student Representatives of classes or departments within the Colleges as the CRC may choose to co-opt; and

Schedule B

- (d) fourteen (14) General Representatives.
- (3) Meetings of the CRC may be convened at the discretion of the General Secretary, or at the discretion of a member of the CRC appointed by the General Secretary for that purpose, but must be convened:
 - (a) at least once in each calendar month of the Academic Year, except where there are less than seven (7) Teaching Days in that calendar month; and
 - (b) within seven (7) Teaching Days of the General Secretary being presented with a petition requesting such a meeting which has been signed by at least five (5) of those students elected to College Representative positions at the annual elections of the Association.
- (4) The CRC, subject to this Constitution and the Regulations, may pass resolutions that determine the Policy of the Association in relation to matters of higher education within the ANU and its constituent Colleges, subject to section 13(4) ("General Meetings").

Notice of CRC Meetings, etc.

- (5) Whoever convenes a meeting of the CRC must:
 - (a) give at least five (5) Teaching Days' notice of the time, date and venue of such a meeting;
 - (b) give at least one (1) Teaching Day of notice of the agenda of such a meeting; and
 - (c) include on the agenda any motion proposed by an ordinary member of the Association and submitted to them at least three (3) Teaching Days before the date of the meeting.
- (6) Notice must be given by:
 - (a) prominently displaying the details required on the front door of the Association offices; and
 - (b) attempting to convey the details required to each member of the relevant committee directly through a means agreed to between that Representative and the General Secretary.
- (7) The quorum for CRC is half the current total of Schedule A of the membership of the CRC, rounded up to the nearest whole person.

- (8) Unless this Constitution provides otherwise, a resolution of the CRC may be carried by a simple majority of those present and voting.
- (9) Except for discussion of agenda items that include confidential information, any member of the Association may be present at a meeting of the CRC, and may speak and put or second motions.
- (10) If an individual holds more than one position on the CRC, they have the voting entitlement of only one (1) position, and may not appoint a nominee to exercise the voting entitlement of any other position that they hold.

16. MEETING ATTENDANCE

- (1) For the avoidance of doubt, this section 16 does not apply to the University Council Member, who cannot be compelled to attend meetings of the Association.
- (2) All Representatives are required to attend General Meetings and meetings of the SRC.
- (3) All Representatives are required to attend meetings of the CRC, except for the Treasurer, the Social Officer, and the Department Officers.
- (4) If a Representative cannot attend a meeting as required under section 16(2) or 16(3), the Representative must submit their apologies in writing to the General Secretary at least twenty-four (24) hours before the meeting.
- (5) Despite section 16(4), the General Secretary may, at their discretion:
 - (a) accept apologies received less than twenty-four (24) hours before the meeting; or
 - (b) accept apologies received after the meeting,
 if they deem that exceptional circumstances warrant the exercise of such discretion.
- (6) Any elected Representative who:
 - (a) fails to attend three (3) meetings which are either General Meetings or council meetings for which they are included on Schedule A of the council membership; or
 - (b) fails to attend four (4) meetings for which they are listed on Schedule B of the council membership,
 is deemed to have manifestly failed to fulfil their obligations under the Constitution and Regulations for the purposes of section 9(11)(b) ("Representatives").
- (7) For the purposes of section 16(6), if:
 - (a) a Representative submits their apologies in accordance with section 16(4); or
 - (b) the General Secretary exercises their discretion to accept apologies received in accordance with section 16(5),
 the Representative's absence is not to be counted as a failure to attend a meeting.
- (8) For the purposes of section 16(6), a failure to attend a meeting includes attending a meeting for less than one hour if that meeting is longer than one hour in duration.
- (9) If the General Secretary:
 - (a) refuses to accept apologies received in accordance with section 16(4); or
 - (b) refuses to exercise their discretion to accept apologies received in accordance with section 16(5),
 the Representative may refer the decision of the General Secretary to the Disputes Committee for reconsideration.
- (10) In reviewing a decision of the General Secretary referred to it under section 16(9), the Disputes Committee must consider:
 - (a) whether the Representative satisfied the requirements of section 16(4); or
 - (b) whether, in the circumstances, the General Secretary should have exercised their discretion to accept apologies under section 16(5).
- (11) For the purposes of section 16(10)(b), relevant considerations include, but are not limited to:
 - (a) instances of personal emergency;
 - (b) consistent poor communication of meeting times; and
 - (c) insufficient notice of meetings.
- (12) In reviewing a decision of the General Secretary referred to it under section 16(9), the Disputes Committee must:
 - (a) affirm the decision of the General Secretary; or

- (b) overturn the decision of the General Secretary and determine that a meeting missed by the Representative is not to be counted for the purposes of section 16(6).
- (13) The General Secretary must take reasonable steps to notify Representatives of the attendance requirements under this section 16:
 - (a) before the first meeting of the SRC for the Academic Year; and
 - (b) after each absence by a Representative that is counted under section 16(6).
- (14) The Association must maintain Regulations providing for the filling of casual vacancies in any of the positions specified in Schedule 1 and the position of University Council Member.

17. STUDENT CLUBS COUNCIL

- (1) There is to be a Student Clubs Council (the “**Council**”) which will support, fund and govern student Clubs.
- (2) The Council:
 - (a) is the representative body of Clubs at the ANU;
 - (b) must act in accordance with the Club Regulations and any Council Policies; and
 - (c) sets policy for the funding and affiliation of Clubs.
- (3) Decisions of the Council may be overturned (but not so as to create any debt) by a motion passed by two-thirds of members present and voting at a General Meeting of the Association.

Membership

- (4) The Council consists of:
 - (a) one delegate from each Club affiliated to the Association (a “**Delegate**”); and
 - (b) the voting members of the Council Executive, as defined in the Club Regulations.
- (5) If no Delegate is nominated under section 17(4)(a), the president of a Club will be the Delegate.
- (6) A student who is nominated as the Delegate for multiple Clubs carries only one vote on the Council.

Council Executive

- (7) There is to be a Council Executive which will govern the Council and manage the day-to-day affairs of the Council in accordance with the Regulations.
- (8) At least one position on the Council Executive must be held by:
 - (a) an Executive of the Association; and
 - (b) a representative of PARSA.
- (9) The Officer Manager may, in accordance with the Clubs Regulations, appoint staff members of the Association as members of the Council Executive to:
 - (a) assist in the administration of grants and affiliations;
 - (b) assist in other business of the Council; and
 - (c) process the affiliation and funding requests of Clubs in accordance with policies determined by the Council from time to time.
- (10) The Council Executive shall:
 - (a) facilitate collaboration between Clubs;
 - (b) facilitate the training of Club executives;
 - (c) liaise between Clubs, the Association and the ANU;
 - (d) advocate on behalf of Clubs to the Association and the ANU;
 - (e) administer the Council budget, including grants;
 - (f) oversee the activities of Clubs, and ensure that Clubs act in accordance with their constitutions; and
 - (g) consider any allegation of misconduct on the part of a Club, or a Club’s representatives, that is referred to it, and take such action as it deems appropriate, subject to the Regulations.

Council Executive Elections

- (11) The Council will from time to time elect members of the Council Executive.
- (12) Election of the Council Executive must be held at least once per Academic Year at a meeting of the Council.
- (13) Elections of the Council Executive will be conducted in accordance with the Club Regulations and not in accordance with the Election Regulations of the Association.

18. ORIENTATION WEEK AND BUSH WEEK DIRECTORS

- (1) There are to be Orientation Week and Bush Week Directors, who will report to the Social Officer.
- (2) The Directors must:
 - (a) organise a variety of daytime and nighttime social events for members of the association;
 - (b) organise a Market Day where affiliated Clubs are able to promote themselves; and
 - (c) develop sponsorship relationships with organisations.
- (3) Orientation Week and Bush Week Directors must be available to the Social Officer during the teaching break in the direct lead up to either Orientation Week or Bush Week.

19. EDUCATION COMMITTEE

- (1) There is to be an Education Committee to promote awareness on campus of education issues, and to facilitate action and discussion on issues of particular concern to the education sector as it relates to the ANU.
- (2) All members of the Association are members of the Education Committee, unless they submit their resignation in writing to the General Secretary.
- (3) The Education Officer must:
 - (a) manage the administrative affairs of the Committee;
 - (b) create the Committee with not less than three (3) additional students to help manage the Committee;
 - (c) convene a meeting of the Education Committee at least twice in every Teaching Period;
 - (d) convene the Education Committee within seven (7) Teaching Days of being presented with a petition signed by twenty-five (25) members of the Association; and
 - (e) provide notice for convening the Education Committee of no less than three (3) Teaching Days. Notice should be posted on the front door of the Association offices, on the website of the Association.
- (4) Subject to the Constitution, the Regulations and Policies of the Association, policy proposals of the Committee must be determined by meetings of the Committee, at which all members of the Committee may vote.
- (5) The Education Officer is bound to present this policy proposals to the Executive or the next meeting of the SRC or CRC, whichever is first, which may then choose to ratify or reject the proposed policy.
- (6) Each year the Association must give the Education Committee a budget allocation amounting to not less than \$1,000 for that year for use in respect of the activities of the Education Committee.

20. DISPUTES COMMITTEE

- (1) There is to be a Disputes Committee, which must operate under the provisions set out in the Constitution and any Regulations governing its operation.
- (2) Members of the Disputes Committee are Officers of the Association for the purposes of the Constitution and Regulations.

Operation

- (3) The Disputes Committee is established to consider the validity of resolutions calling for the dismissal of Representatives of the Association, and to consider allegations of breaches of this Constitution and the Regulations, and such other matters as are provided for in this Constitution, the Regulations or the Policy of the Association.
- (4) The Disputes Committee must undertake a thorough investigation into any matter referred to it under the Constitution, the Regulations or any Policy.
- (5) If a resolution calling for the dismissal of a Representative of the Association is referred to the Disputes Committee as set out in this Constitution, that Representative of the Association will only be dismissed if a simple majority of those members of the Disputes Committee present and voting believe that the burden of proof required under that section for a Representative to be dismissed has been satisfied.
- (6) If an allegation of a breach of this Constitution or the Regulations is referred to the Disputes Committee as set out in this Constitution, such a breach will only be established if a simple majority of those members of the Disputes Committee present and voting reasonably believe that the requirements of the section(s) in dispute have been breached.
- (7) The Disputes Committee may, by a simple majority of those present and voting, impose a fine, revoke membership rights or impose such other penalty as is prescribed by the Regulations upon a member or former member of the Association in accordance with the Constitution and Regulations.

- (8) Despite section 26 ("Freedom of Information"), in the course of their investigations a member of the Disputes Committee may view any document in the possession of the Association which is relevant to those investigations, including a ballot (whether paper or electronic).

Election and Tenure

- (9) The Disputes Committee must consist of not more than five (5) members of the Association.
- (10) No member of the Association who is a Representative of the Association or the University Council Member may be appointed to the Disputes Committee.
- (11) The appointment of members of the Association to the Disputes Committee is to be made by a two-thirds majority of those present and voting at a meeting of the first SRC of the second term of each Academic Year. No such appointment shall be valid unless one quarter of the total votes available to the current membership of the SRC (Schedules A and B) are cast in favour of the appointment.
- (12) The members of the Disputes Committee must from time to time elect one of their number as Chair of the Committee.
- (13) Members of the Disputes Committee hold tenure until:
- (a) they cease to be a member of the Association;
 - (b) they resign, in writing, to the General Secretary of the Association;
 - (c) they are removed by a resolution carried by a two-thirds majority of those present and voting at a General Meeting, subject to the requirements under section 13(3)(f) ("General Meetings");
 - (d) they are elected to, appointed to, or nominate for any position listed in Schedule 1 of this Constitution or the position of University Council Member; or
 - (e) a new Disputes Committee is elected at the first SRC meeting of the second term of each Academic Year.
- (14) A member of the Disputes Committee dismissed pursuant to section 20(13)(c) is entitled to consider any matter referred to the Committee before their removal, even where that matter is heard after their removal, and any member appointed to fill a vacancy created under section 20(13)(c) must not be involved in the consideration of any such matter.
- (15) Any member of the Disputes Committee who has a real or perceived conflict of interest in any matter referred to the Committee must not take part in the Committee's consideration of that matter.
- (16) All decisions of the Disputes Committee must:
- (a) be published;
 - (b) set out the reasons for the Disputes Committee's decision; and
 - (c) be retained by the Association for a period of five (5) years.

21. FINANCIAL REVIEW COMMITTEE

- (1) There is to be a Financial Review Committee, which must operate under the provisions set out in the Constitution and any Regulations governing its operation.
- (2) Members of the Financial Review Committee are Officers of the Association for the purposes of the Constitution and Regulations.

Operation

- (3) The Financial Review Committee is responsible for:
- (a) considering the Association's financial controls and the Association's compliance with financial controls;
 - (b) considering allegations of financial breaches of this Constitution and the Regulations, and such other matters as are provided for in this Constitution, the Regulations or the Policy of the Association; and
 - (c) undertaking a thorough investigation into any matter referred to it under the Constitution, the Regulations or any Policy.
- (4) Despite section 26 ("Freedom of Information"), the Financial Review Committee may, by a simple majority of those present and voting:
- (a) view any document in the possession of the Association which is relevant to the objects of the Financial Review Committee, including any financial or accounting software of the Association accessible by an Officer or staff member of the Association;
 - (b) seek advice from or interview any Representative or staff member of the Association; and
 - (c) refer any enquiry to the Legal Officer or the Executive of the Association for further investigation.
- (5) The Financial Review Committee must present a report at each Annual General Meeting detailing:
- (a) the Association's compliance with its financial protocols; and

- (b) the suitability of the Association's financial protocols.

Election and Tenure

- (6) The Financial Review Committee must consist of not more than three (3) members of the Association.
- (7) No member of the Association who is a Representative of the Association or the University Council Member may be appointed to the Financial Review Committee.
- (8) The appointment of members of the Association to the Financial Review Committee is to be made by a two-thirds majority of those present and voting at a meeting of the first General Meeting of the second term of each Academic Year. The term of appointment will be a maximum of one year.
- (9) The members of the Financial Review Committee must elect one of their number as Chair of the Committee.
- (10) Members of the Financial Review Committee hold tenure until:
- (a) they cease to be a member of the Association;
 - (b) they resign, in writing, to the General Secretary of the Association;
 - (c) they are removed by a resolution carried by a two-thirds majority of those present and voting at a General Meeting of the Association;
 - (d) they are elected to, appointed to, or nominate for any position listed in Schedule 1 of this Constitution or the position of University Council Member; or
 - (e) a new Financial Review Committee is elected at the first OGM meeting of the second term of each Academic Year.
- (11) Despite section 21(10)(c), any resolution carried by a General Meeting that is voted on by fewer than eighty (80) members that purports to remove a member of the Financial Review Committee shall have no effect.
- (12) A member of the Financial Review Committee dismissed pursuant to section 21(10)(c) is entitled to consider any matter referred to the Committee before their removal, even where that matter is heard after their removal, and any member appointed to fill a vacancy created under section 21(10)(c) must not be involved in the consideration of any such matter.
- (13) Any member of the Financial Review Committee who has a real or perceived conflict of interest in any matter referred to the Committee must not take part in the Committee's consideration of that matter.
- (14) The Financial Review Committee and members of the Financial Review Committee must, whenever possible, protect the anonymity of all individuals associated with any activity conducted by the Committee.

22. FINANCE

- (1) Expenditure of the Association's funds is governed by the Finance Regulations and all Officers must comply with those Regulations.

Budget

- (2) The budget of the Association must be presented by the Treasurer to the first General Meeting held in the first Teaching Period of the Academic Year.
- (3) The provisional budget of the Association for the next Financial Year must be presented by the Treasurer, or the incoming Treasurer as the Treasurer sees fit, at a General Meeting held in the fourth Teaching Period of the Academic Year.
- (4) The budget or provisional Budget may only be amended by:
- (a) a simple majority of those present and voting at a General Meeting; or
 - (b) a simple majority of those present and voting at a meeting of the SRC, but by no more than one per cent (1%) of the Annual Budget of expected expenses in any calendar month.

Audited Statements

- (5) The Treasurer shall prepare an Annual Report and Annual Financial Statement and Balance Sheet for audit and presentation to the AGM. The Treasurer shall ensure that the audit is completed at least ten (10) Teaching Days before the AGM. In accordance with the Act, the Public Officer shall lodge the annual return of the Association within six (6) months of the end of each Financial Year.

Other Financial Provisions

ANUSA Constitution

- (6) The funds of the Association may be derived annually from The Australian National University, in addition to any external income which may be obtained. The Treasurer is responsible for making the annual funding submission to the relevant Finance Committee of the University.
- (7) The income and property of the Association are to be applied in promotion of the Objects of the Association.
- (8) The Association must not distribute any income, profit or gains to its members in any form including cash, property or otherwise, unless it is reasonable payment for services rendered to the Association.
- (9) No money is to be drawn from the Association's bank accounts, except by a cheque or order, including Internet order, signed by two of the President, the Treasurer and the Vice-President, or by a debit/credit card pursuant to sections 22(15) to 22(19).
- (10) The Association may make Regulations allowing Departments, other bodies, or projects to administer their own Budgets.
- (11) The Association must not enter into any bank liabilities, guarantees or indemnities incurring contingent liabilities, other than in the normal course of business.
- (12) The Association must maintain adequate insurance cover as may reasonably be appropriate on items not covered by policies undertaken through the University.

Association Books

- (13) Subject to the provisions of the Act, the Regulations and the provisions of this Constitution, the Office Manager shall keep in their custody or under their control all records, books and other documents relating to the Association.
- (14) The records, books and other documents of the Association shall be open to inspection by the members in the office of the Association at any reasonable hour, subject to the limitations contained in section 26 ("Freedom of Information").

Credit/Debit Card

- (15) The Executive may approve the issuing of a debit/credit card to one or more of the President, Vice-President or Treasurer (the "cardholders") to enable payment of ANUSA expenses and liabilities where payment by cheque or money order is impractical.
- (16) All purchases must have formal approval by two of either the President, Vice-President or Treasurer beforehand.
- (17) The debit/credit card cannot be used for the following under any circumstances;
 - (a) the purchase of firearms, fireworks, pornography or tobacco products;
 - (b) cash advances, over-the-counter cash withdrawals, ATM transactions or the purchase of bank cheques, travellers' cheques or foreign currency;
 - (c) personal expenditure; or
 - (d) the payment of fines, payroll, reimbursement or grants.
- (18) If the Executive approve the issuing of a debit card rather than credit card, the account linked to the debit card must never have a balance of over \$1,000 for a period of time more than 2 business days.
- (19) Cardholders must collect tax receipts and send them to the Finance Officer within one (1) week of payment being made.

Members Benefits

- (20) The assets and income of the Association shall be applied solely in furtherance of the Objects of the Association, and no portion shall be distributed directly or indirectly to the members of the organisation, except as bona fide compensation for services rendered or reasonable expenses incurred on behalf of the organisation in accordance with any relevant Regulations.

23. AUDITOR

- (1) Each year the Executive must engage a professional, qualified accountant, not being a member of the Association, to carry out an audit of the books and accounts of the Association for the previous Financial Year.

24. PUBLIC OFFICER

- (1) Unless the SRC declares otherwise, the Office Manager shall be the Public Officer of the Association.

- (2) The Public Officer is an Officer of the Association for the purposes of the Constitution and Regulations.

Duties

- (3) The Public Officer shall, within fourteen (14) days of their appointment, notify the relevant authority in writing of the appointment and supply their full name and address. The Public Officer shall also supply any and all documents required by the relevant authority and perform any other duties as required by the Act and any other relevant legislation.

Vacancy

- (4) The office of Public Officer becomes vacant if the person holding that office:
- (a) dies;
 - (b) becomes an insolvent under administration as defined in the *Corporations Act 2001* (Cth);
 - (c) becomes of unsound mind;
 - (d) resigns their office by writing to the President; or
 - (e) ceases to be resident in the ACT.
- (5) If the office of Public Officer becomes vacant, the SRC shall appoint another person to fill the vacancy within fourteen (14) days of the vacancy arising.

25. INDEMNITY

- (1) All members and employees of the Association shall be and are hereby indemnified by the Association against losses or expenses incurred by them in or about the discharge of their respective duties, except, in compliance with the Companies Code, against any liability that by law would otherwise attach to them in respect of any negligence, default, breach of duty or breach of trust of which they may be liable in relation to the Association.
- (2) Members shall not be liable for the payment of the debts and liabilities of the Association or the costs, charges and expenses associated with the winding up of the Association.

26. FREEDOM OF INFORMATION

- (1) A member of the Association may, at any reasonable time, view any document in the possession of the Association, with the exception of:
- (a) a document that contains personal and confidential information, except to the extent that the personal and confidential information relates to the member making the request;
 - (b) a document received from the University that the University has declared to be confidential;
 - (c) a ballot (whether paper or electronic); and
 - (d) the electoral roll for the position of Disabilities Officer.

27. COMMON SEAL

- (1) The common seal of the Association shall be kept by the Office Manager. It shall be affixed by authority of the SRC, and any two of the President, Vice-President and Treasurer shall sign below the seal.

28. REGULATIONS AND POLICIES

Regulations

- (1) The Association may from time to time make Regulations (under, and not inconsistent with, this Constitution) to govern the operation of particular aspects of the Association's affairs and to give effect to policies.
- (2) Regulations may only be made, amended or repealed in accordance with section 13(3)(c) and subject to section 13(6) ("General Meetings").
- (3) No Regulations made under this Constitution may contain language or terminology that is in any way racist, sexist or homophobic.

Policies

- (4) The Association may make Policies (under, and not inconsistent with, this Constitution and the Regulations) as a plan or course of action relating to decisions, actions and other matters, or as a guiding principle.
- (5) Policies may only be made, amended or repealed as governed by this Constitution and the Regulations.

- (6) No Policy made under this Constitution may contain language or terminology that is in any way racist, sexist or homophobic.

29. ALTERATION OF THE CONSTITUTION

- (1) This Constitution may be amended by Special Resolution at any General Meeting (including an AGM), except that:
- (a) at least twenty-one (21) days' notice of the Special Resolution shall be given to members; and
 - (b) a decision to amend the Constitution shall require the support of seventy-five per cent (75%), to the nearest whole number, of ordinary members present and voting.
- (2) Full notice of proposed amendments to the Constitution, including the text of the proposed amendments, shall be given to members at the same time and in the same way as notice of the General Meeting at which the amendments are to be proposed.
- (3) The President must submit to the University all constitutional amendments passed to be presented to the next possible meeting of the University Council for ratification.**
- (4) No amendment to the Constitution shall have any effect until ratified by the Council and, if necessary, by the relevant local authority.
- (5) No resolution to amend this Constitution may contain language or terminology that is in any way racist, sexist or homophobic.

30. DISSOLUTION OR WINDING UP

- (1) A motion to dissolve or wind up the Association may be considered at any General Meeting (including an AGM), except that at least twenty one (21) Teaching Days' notice of such a General Meeting must be given to members, accompanied by a notice of intention to propose a motion to dissolve or wind up the Association.
- (2) A motion to dissolve or wind up the Association must be:
- (a) passed by a majority of at least seventy-five per cent (75%) of the votes of those members of the Association who, being entitled to vote, vote in person at the General Meeting, provided that one hundred and twenty (120) or more members of the Association are present; and
 - (b) approved by the University Council.
- (3) The excess of assets, property, funds or money remaining after all debts and liabilities are paid shall not be distributed amongst the Association's members. Such excess shall be given or transferred to a new representative body formed with the same or similar objectives to the Association, and which represents the Undergraduate Students of the University, or, if no such body exists, all liquidated proceeds and funds shall be transferred to the University on the condition that the entire amount is deposited in a suitable undergraduate scholarship fund. Remaining property shall be held by the University on trust for Clubs affiliated as at the date the Association is dissolved or wound up.

SCHEDULE 1 TO THE CONSTITUTION

The following are Representatives of the Association:

1. President of the Association;
2. Vice President of the Association;
3. General Secretary of the Association;
4. Social Officer of the Association;
5. Treasurer of the Association;
6. Queer* Officer;
7. Environment Officer;
8. Women's Officer;
9. Education Officer;
10. International Students' Officer;
11. Indigenous Officer;
12. Disabilities Officer (which may be held individually or jointly);
13. fourteen (14) General Representative Positions to the SRC;
14. two (2) College Representative Positions to the CRC from each College of the University; and
15. any other position declared to be a Representative under the Constitution or Regulations.

[AMEND EXISTING REGULATIONS – AMENDMENTS IN RED]**ELECTION REGULATIONS****1. GENERAL****1.1 Overview**

- 1.1.1 These Regulations are the Election Regulations of the Australian National University Students' Association Incorporated.
- 1.1.2 These Regulations are formed in accordance with section 28 of the Constitution to govern the conduct of elections and Referenda required under the Constitution.
- 1.1.3 All terms used in these Regulations have the meaning given in the Constitution, unless the contrary intention appears.
- 1.1.4 Unless these Regulations provide otherwise, any dispute arising during an election or Referendum of the Association is to be decided by the Returning Officer, whose decision will be final.
- 1.1.5 Unless the Constitution provides otherwise, **any Undergraduate Student** elected under the Constitution or these Regulations holds office for 12 months commencing on 1 December in the year of their election.
- 1.1.6 Despite section 1.1.5, if a person is elected to fill a casual vacancy in an office, that person must serve so much of the term of that office as remains unexpired.
- 1.1.7 Only Undergraduate Students of the University (including non-award students) are eligible to vote for, or stand for election to, a representative position within the Association.
- 1.1.8 Only Undergraduate Students of the University (including non-award students) are eligible to vote in a Referendum of the Association.
- 1.1.9 If these Regulations are amended after nominations for any position have opened, or a Referendum has been initiated in accordance with the Constitution, that election or Referendum must be conducted as if such amendment had not occurred.

1.2 1.2 Electoral Systems

- 1.2.1 If the Constitution or these Regulations requires one or more positions to be filled by an election of the Association, the election is to be conducted according to Schedule A of these Regulations.
- 1.2.2 Section 1.2.1 does not apply to an election that these Regulations require to be conducted by show of hands.
- 1.2.3 In the case of electing Delegates to the National Union of Students National Conference, the Constitution, Regulations and By-Laws of the National Union of Students must be followed.

1.3 Counting of Votes

- 1.3.1 The Returning Officer must, as soon as is practicable after the close of polling, arrange for the counting of votes to commence.
- 1.3.2 Where applicable, each candidate in an election may appoint up to 5 scrutineers (who may be students and who may be candidates) to attend the counting of votes. No candidate may attend the counting of votes for the election for which they are standing and no candidate may appoint themselves as a scrutineer.
- 1.3.3 For the purposes of 1.3.2, the Returning Officer or their nominee may admit other members of the Association to attend the counting of votes at their discretion.
- 1.3.4 In the case of a Referendum, and where applicable, each member of the SRC may nominate up to 5 scrutineers (who may be students) to attend the counting of votes.
- 1.3.5 The Returning Officer or their nominee must determine whether or not each vote is valid in accordance with these Regulations.
- 1.3.6 Votes may be recounted at the discretion of the Returning Officer or their nominee. In determining whether to exercise their discretion to order a recount, the Returning Officer or their nominee should consider:
 - (a) any requests made by candidates or scrutineers for a recount;
 - (b) the likelihood that a recount would result in a different outcome; and
 - (c) any costs associated with ordering the recount.

- 1.3.7 A record of all votes cast in an election or Referendum, valid and informal, must be securely retained by the Returning Officer for a period of 12 months after the election or Referendum in which they are cast.

2. ANNUAL ELECTIONS

2.1 General

- 2.1.1 The Annual Elections of the Association must be conducted over four consecutive Teaching Days, and must include at least 75 hours of online polling.
- 2.1.2 The Returning Officer shall be appointed by the Vice-Chancellor on the advice of the SRC.
- 2.1.3 The Returning Officer must not:
- (a) have been eligible to vote in an Election of the Association; or
 - (b) have been an Officer of the Association; in the current Academic Year.
- 2.1.4 An independent person and/or body is to be engaged to conduct the Annual Elections, and no persons who:
- (a) have been eligible to vote in an Election of the Association; or
 - (b) have been an Officer of the Association, in the current Academic Year may be involved in their conduct.
- 2.1.5 The elections must be conducted using an optional preferential system and in accordance with this Constitution and the Regulations.
- 2.1.6 The positions to be filled at the Annual Elections are:
- (a) those listed under Schedule 1 to the Constitution;
 - (b) delegates to the National Union of Students' National Conference; and
 - (c) the position of University Council Member.**
- 2.1.7 A member is only entitled to stand for election to a College Representative position of a College if they are enrolled in that College.
- 2.1.8 A student is entitled to vote for a College Representative position of a College if they are enrolled in that College.
- 2.1.9 The Returning Officer's report must be tabled by the President at the first Ordinary General Meeting after the elections.
- 2.1.10 The General Meeting may
- (a) declare the poll; or
 - (b) in the event of allegations of irregularities, refer the Report to the Disputes Committee for resolution.
- 2.1.11 If the General Meeting fails to consider the Report, or fails to act under section 2.1.10, then the Disputes Committee shall deal with the Report as if it had been referred to the Committee under 2.1.10(b).

2.2 Call for Nominations

- 2.2.1 The Returning Officer must call for nominations at least 4 weeks before the commencement of polling, and nominations must remain open for at least 2 weeks.
- 2.2.2 The period of ticket registration must end two days before the end of the period during which nominations can be accepted.
- 2.2.3 The call for nominations must state:
- (a) the positions to be contested;
 - (b) the eligibility criteria for members wishing to stand;
 - (c) details of how nominations may be lodged;
 - (d) the date of the close of nominations;
 - (e) the date of the close of ticket registration; and
 - (f) the days, times and places of polling.
- 2.2.4 The call for nominations must be prominently displayed:

- (a) on the front door of the Association offices;
 - (b) on at least one notice board relevant to each Academic College;
 - (c) on at least one notice board at both the School of Music and the School of Art;
 - (d) on at least one notice board in the Union Building or equivalent space;
 - (e) on at least one notice board in each of the Tjabal Indigenous Higher Education Centre, the Access and Inclusion Office and the Chifley Disabilities Resource room;
 - (f) on the Students' Association website; and
 - (g) via any other relevant social media account maintained by the Association, at the discretion of the General Secretary.
- 2.2.5 The General Secretary must prepare an information booklet or equivalent resource containing an overview of:
- (a) the election process;
 - (b) the positions to be contested;
 - (c) the rights and obligations of candidates and students; and
 - (d) the process by which individuals may lodge complaints around potential breaches of the Constitution or these Regulations.
- 2.2.6 The General Secretary must make reasonable efforts to communicate this material to all ordinary members of the Association before the call for nominations is made under section 2.2.1.

2.3 Nominations

- 2.3.1 Nominations for any position contested at the Annual Elections must:
- (a) be signed by two ordinary members of the Association (in addition to the nominee);
 - (b) contain a signed undertaking by the nominee that they will act in the position if elected; and
 - (c) contain a signed undertaking by the nominee that they will abide by these Regulations.
- 2.3.2 A nominee may indicate on their nomination form:
- (a) if they are running as a candidate endorsed by a registered ticket; or
 - (b) if they wish to run as an Independent.
- 2.3.3 Where a nominee indicates on their nomination form that they are running as a candidate endorsed by a registered ticket, the Returning Officer or their nominee shall verify the endorsement only if:
- (a) at least one of the nominators is a signatory to the application to register the ticket name; or
 - (b) the Returning Officer or their nominee receives notice of the endorsement from a signatory to the application to register the ticket name.
- 2.3.4 Nominees must provide their full name on their nomination form. If a nominee wishes for an alternative name to be recorded on the ballot, they may specify their 'Preferred Name' on their nomination form. The Returning Officer must record the 'Preferred Name' on the ballot if it is a commonly accepted variation of the candidate's name, or a truncation of that name, or an alternative form of that name, or an alternative name by which the candidate is commonly known.
- 2.3.5 Nominations must be collected in a locked ballot box, to be positioned by the Returning Officer at a venue easily accessible to nominees between the hours of 10am and 4pm throughout the period nominations remain open.
- 2.3.6 No nomination may be accepted unless it is submitted during the period that nominations remain open.
- 2.3.6A No nomination of a candidate for election to a position may be accepted where either of the nominators listed on that nomination under section 2.3.1(a) has nominated more candidates for election to that position than there are vacancies to be filled.
- 2.3.7 No nomination of a candidate for election to a position may be accepted where:
- (a) the candidate is verified as endorsed by a registered ticket under section 2.3.3; and
 - (b) there are more candidates for election to that position verified as endorsed by that registered ticket than there are vacancies to be filled.

- 2.3.8 At the close of nominations, the Returning Officer or their nominee must, in the presence of at least two independent witnesses (who may be students), open the ballot box and determine the validity of the nominations.
- 2.3.7A A candidate may appoint one person (who may be a student, but who must not be a candidate) to observe the Returning Officer or their nominee determining the validity of the nominations under sections 2.3.7 and 2.3.7C.
- 2.3.7B Where the Returning Officer or their nominee determines that a nomination that is submitted during the period that nominations remain open is not valid under section 2.3.7 the Returning Officer or their nominee must:
- (a) make a reasonable attempt to contact the candidate to inform her/him that the nomination is invalid; and
 - (b) inform the candidate that the invalidity may be remedied and the nomination may be resubmitted to the Returning Officer or their nominee at any time up until 2 Working days after the date of the close of nominations.
- 2.3.7C Where a nomination is resubmitted in accordance with section 2.3.7B:
- (c) the nomination must name the same candidate as the original nomination, but it may name one or more different nominations; and
 - (d) the Returning Officer must determine the validity of the nomination.
- 2.3.7D At the close of nominations the Returning Officer or their nominee must make a reasonable attempt to contact each candidate for whom a valid nomination is received under section 2.3.7 to confirm their nomination.
- 2.3.9 A nominee can withdraw their nomination at any time up until two Working Days after the date the Returning Officer confirms the nomination under section 2.3.7D.
- 2.3.10 The Returning Officer or their nominee must, as soon as is practicable after the close of nominations, prominently display a list of nominations for all positions on the front door of the Association offices and at other locations on campus.
- 2.3.11 If the number of candidates nominated for any position is equal to the number required to be elected, an election for that position is not required.
- 2.3.12 If the number of candidates nominated for any position is below the number required to be elected, an election for that position is not required, and any unfilled position is to be considered a casual vacancy, and must be filled in accordance with section 4 of the Election Regulations.
- 2.3.13 If the number of candidates nominated for any position is greater than the number required to be elected, the Returning Officer must conduct an election for that position.
- 2.3.14 All nominations, valid and invalid, must be kept by the Returning Officer in a secure place until after the poll is declared.

2.4 Ballot

- 2.4.1 The Returning Officer must arrange for the preparation of the ballot.
- 2.4.2 Candidates' names must be annotated on the ballot as follows:
- (a) except where section 2.4.5(c) applies, if a candidate has indicated on their nomination form that they are running as a candidate endorsed by a registered ticket and the Returning Officer or their nominee has verified this endorsement in accordance with section 2.3.3, that ticket name must appear on the ballot in brackets next to or beneath the name of the candidate;
 - (b) if a candidate has indicated on their nomination form that they wish to run as an Independent, the word "Independent" must appear on the ballot in brackets next to or beneath the name of the candidate; or
 - (c) if (a) and (b) do not apply, "Group Unspecified" must appear in brackets next to or beneath the name of the candidate.
- 2.4.3 Except as provided for in section 2.4.5, the position of candidates on the ballot must be determined by the drawing of lots by the Returning Officer or their nominee.
- 2.4.4 A candidate may appoint one person (who may be a student) to observe the Returning Officer or their nominee determining the position of candidates on the ballot as under section 2.4.3.
- 2.4.5 The ballot for the election of General Representatives to the SRC:

- (a) must group candidates according to the ticket or party to which they are aligned, and group together all "Independent" and "Group Unspecified" candidates in a single group titled "Not Grouped";
 - (b) must display these groups in an order determined by the drawing of lots by the Returning Officer or their nominee;
 - (c) must list the registered ticket name at the top of each group endorsed by each registered ticket;
 - (d) must list candidates within these groups:
 - (i) in the order requested by that group, if that request is received in writing by the Returning Officer or their nominee before the date of the drawing of lots; or
 - (ii) if no order is requested, in an order determined by the drawing of lots by the Returning Officer or their nominee in accordance with section 2.4.3; and
 - (e) must display the group entitled "Not Grouped" to the right of the groups endorsed by each registered ticket; and
 - (f) must list candidates within the group entitled "Not Grouped" in an order determined by the drawing of lots by the Returning Officer or their nominee in accordance with section 2.4.3.
- 2.4.6 The ballot for all positions must contain the following words or an appropriate variation of the following words as determined by the Returning Officer: "Write the number 1 in the box beside the candidate of your first choice."
- 2.4.7 For elections where 3 or more candidates have nominated, the words indicated in section 2.4.6 must be succeeded on the ballot by the following words or an appropriate variation of the following words as determined by the Returning Officer: "You may then show as many further preferences as you wish by writing numbers from 2 onwards in the boxes beside the candidates of your choice."

2.5 Polling Procedure

- 2.5.1 On each of the four Teaching Days upon which the Annual Elections are held, at least 5 hours of polling must be conducted in the Union Court precinct. The Returning Officer or their nominee must ensure procedures are in place to enable ordinary members of the Association to cast their vote in the Union Court precinct at these times.
- 2.5.2 The Returning Officer must ensure that procedures are in place to:
- (a) limit each ordinary member of the Association to voting no more than once; and
 - (b) ensure that the way in which any voter casts their vote is not revealed, either when that member votes or at any later stage, including by ensuring that information capable of identifying a voter is decoupled from their ballot and stored in a way that preserves anonymity.
- 2.5.3 The Returning Officer or their nominee must prepare a list of ordinary members of the Association eligible to vote at the Annual Elections. The list will indicate in which election each member is entitled to vote.
- (a) The list of ordinary members eligible to vote for the position of Indigenous Officer will be provided to the Returning Officer by the Tjabal Indigenous Higher Education Centre.
 - (b) The list of ordinary members eligible to vote for the position of Women's Officer will be ascertained according to ANU enrollment data.
 - (c) Where the position of any other Department Officer is contested, eligibility must be determined in accordance with section 7.3.
- 2.5.4 The Returning Officer must ensure that procedures are in place to allow students to opt-out of an election campaign. This may include, at the discretion of the Returning Officer, the dissemination of easily-recognisable badges or lanyards (the "opt-out item") available to all students from the time nominations close and throughout the duration election.
- 2.5.5 The Returning Officer must ensure that procedures are in place to allow students to make a declaration vote in circumstances where they claim they are eligible to vote in an election for which they do not automatically receive the relevant ballot.

2.6 Casting of Votes

- 2.6.1 When casting a ballot, the voter must indicate the order of their preference by placing the numeral 1 against the name of the candidate of their first choice, 2 against the name of the candidate of their second choice, 3 against the name of the candidate of their third choice and so on, in an unbroken sequence of numbers against the names of candidates.

- 2.6.2 A ballot will not be valid if:
- (a) the voter has not indicated their preferences for at least 1 candidate;
 - (b) the voter has indicated their first preference for 2 or more candidates; or
 - (c) in the opinion of the Returning Officer or their nominee, the ballot has been modified or in any other way manipulated after it was cast.

2.6.3 Preferences will be counted while the voter's preferences are indicated in an unbroken sequence.

2.7 Registration of ticket names

- 2.7.1 The Returning Officer or their nominee must maintain a Register of Ticket Names for each year's Annual Elections.
- 2.7.2 Between the day on which nominations open and the day on which ticket registration closes, 5 or more ordinary members of the Association may apply to the Returning Officer or their nominee for registration of a ticket name.
- 2.7.3 No person is entitled to apply to the Returning Officer or their nominee for registration of a ticket name under section 2.7.2 if that person was a signatory to an application to register a ticket name for another ticket already entered in the register.
- 2.7.4 The Returning Officer or their nominee must deal with each application for registration of a ticket name in order of receipt; if 2 or more applications are received at the same time, the Returning Officer or their nominee shall determine the order in which they are dealt with by lot.
- 2.7.5 The Returning Officer or their nominee must enter a ticket name in the Register of Ticket Names if:
- (a) the proposed ticket name:
 - (i) is not more than 90 characters long (including spaces);
 - (ii) is not the same as the name of another registered ticket name;
 - (iii) is not so nearly the same as the name of another registered ticket name that it is likely to be confused or mistaken for that name; and
 - (iv) does not including the word "independent"; and
 - (b) is, in the opinion of the Returning Officer or their nominee, not obscene, defamatory, sexist, racist, homophobic or otherwise discriminatory; and the application for registration of the ticket name is signed by at least 5 ordinary members of the Association who have not been signatories to the application for registration of another registered ticket name; and
 - (c) the application is received between the day on which nominations open and the day on which ticket registration closes or is an application to which section 2.7.9 applies.
- 2.7.6 The Returning Officer or their nominee must reject an application to register a ticket name in the Register of Ticket Names if the application does not satisfy section 2.7.5.
- 2.7.7 Where an application to register a ticket name is rejected only because the proposed name is the same as the name of another registered ticket name or so nearly the same as the name of another registered ticket name that it is likely to be confused or mistaken for that name, one or more of the applicants to register the rejected name may apply to the Returning Officer or their nominee for a review of the decision to register the registered ticket, at any time up until 2 Working days after the date of the close of nominations.
- 2.7.8 Where the Returning Officer or their nominee receives a request under section 2.7.7, the Returning Officer or their nominee must cancel the registration of the already registered ticket name and must register the previously rejected ticket name if, in their opinion, the applicants for the rejected ticket name have a greater claim to the name.
- 2.7.9 Where the Returning Officer or their nominee rejects an application to register a ticket name or cancels the registration of a ticket name, the Returning Officer or their nominee shall permit the applicants to reapply to register a ticket name at any time up until 2 Working Days after the date of the close of ticket name registration.

2.8 Electoral Publications

- 2.8.1 An Electoral Publication is any material used by a candidate or ticket in campaigning for election, including online and hardcopy materials, and including but not limited to how-to-vote cards, policy statements, flyers, websites and Facebook pages.
- 2.8.2 Electoral Publications:

- (a) must be published by or with the permission of a candidate;
 - (b) must not constitute an electoral offence; and
 - (c) must not, in the opinion of the Returning Officer, contain material which is defamatory, sexist, racist, homophobic or otherwise discriminatory.
- 2.8.3 Material of any candidate and/or ticket must not, in the opinion of the Returning Officer, significantly resemble in style or appearance the material of any other contesting candidate or ticket.
- 2.8.4 If an Electoral Publication violates 2.8.2, the Returning Officer must direct that the Electoral Publication be removed from public display.
- 2.8.5 It is an offence not to comply with a direction of the Returning Officer in 2.8.4.
- 2.8.6 Each independent candidate running for election in the annual ANUSA elections will be provided with 100 photocopy points.
- 2.8.7 Each ticket running for election in the annual ANUSA elections will be provided with 300 photocopy points.

2.9 Limits on Campaign-Related Expenditure

- 2.9.1 The campaign-related expenditure of an independent candidate must not exceed \$150.
- 2.9.2 The maximum campaign-related expenditure of a registered ticket shall be determined as follows:
 For the first 10 positions which the registered ticket is contesting: \$50 per candidate;
 For all positions thereafter: \$25 per candidate.
 For example, if a registered ticket is contesting 15 positions, the maximum campaign-related expenditure of that ticket is \$625 (being 10 x \$50 plus 5 x \$25).
- 2.9.3 It is a breach of the Regulations to falsify evidence of campaign-related expenditure.

2.10 Disclosure Requirements

- 2.10.1 Upon nomination, each ticket convener and independent candidate must complete and lodge with the Returning Officer or their nominee a statement of intention identifying anticipated sources of campaign funding. This statement must include all financial and non-financial contributions to the campaign. Non-financial contributions include but are not limited to the use of printing facilities, paper, paint, glue, T-shirts, advertising including online advertising, and other sundry items.
- 2.10.2 At 9am on each of the days that the election polls are open, the ticket convener or independent candidate will lodge with the Probity Officer a signed statement including:
- (a) completed expenditure to date;
 - (b) copies of receipts and/or tax invoices corresponding to the items, services, materials and any other campaign-related expenditure which together account for the completed expenditure to date; and
 - (c) where items, services or materials are acquired for less than market value, evidence of the market value of each such item, service, or material; and
 - (d) an itemised list of all financial and non-financial contributions to the campaign, including but not limited to printing facilities, paper, paint, glue, T-shirts, advertising including online advertising, and other sundry items.
- 2.10.3 All items, services or materials attributable to the campaign must be at the candidate's or ticket's own expense, except where those items, materials or services are provided by:
- (a) the Australian National University; or
 - (b) the Australian National University Students' Association.
- 2.10.4 Any ordinary member of the Association may request information in relation to the campaign expenditure of any candidate or ticket that is disclosed under 2.11.1 or 2.11.2. The Probity Officer(s) must provide such information as soon as reasonably practicable.

2.11 Probity Officer

- 2.11.1 Three or more Probity Officer(s) shall be elected at the first SRC of the second teaching period.
- (a) Candidates for the position of Probity Officer must receive two-thirds of the vote in order to be elected.
 - (b) No member of the Association who will be contesting a position at the next Annual Elections may nominate for the position of Probity Officer.

- (c) If, subsequent to their election under 2.11.1, a Probity Officer nominates for any position under Schedule 1 to this Constitution at any time, they are taken to have resigned from their position as Probity Officer immediately on tendering their nomination.
- 2.11.2 The Probity Officer(s) will sign a declaration of conflict of interest upon the close of nominations.
- 2.11.3 Conflicts of interest that may be declared include, but are not limited to, the following:
- (a) membership of a political party, registered with the Australian Electoral Commission in any Australian State or Territory;
 - (b) membership of any Political Club or Society; and
 - (c) contesting, being elected to or otherwise holding, at any time any office or position in ANUSA or the ANU Union Board.
- 2.11.4 All declarations of conflict of interest will be made publically available in the minutes of the SRC meeting in which the Probity Officers are elected, and on the ANUSA website.
- 2.11.5 The Probity Officers shall have powers of investigation and inquiry for the purposes of:
- (a) determining whether any disclosures relating to campaign financing are complete and accurate in accordance with section 2.11;
 - (b) responding to complaints made under these Regulations; and
 - (c) ascertaining whether a breach of these Regulations has occurred.
- 2.11.6 Candidates and/or members of their campaign team must cooperate with the Probity Officers in the exercise of their powers under 2.11.5.
- 2.11.7 For the purposes of 2.11.6, any person who campaigns for or on behalf of a candidate and/or registered ticket is considered to be a member of a campaign team.
- 2.11.8 A failure to provide a satisfactory response to any inquiry, investigation or request made by a Probity Officer in the exercise of their powers under 2.11.5 shall be deemed to give rise to a reasonable belief on the part of the Probity Officers that there has been a breach of the Constitution or Regulations for the purposes of 2.11.9.
- 2.11.9 If the Probity Officers reasonably believe that a candidate has committed an Electoral Offence or in any other way breached the Constitution or these Regulations, they may refer the matter to the Returning Officer and recommend that the Returning Officer takes certain action under section 3.2.3.
- 2.11.10 Where the Probity Officers make a finding under 2.11.9, they may inform the candidate of their finding and the recommendation made to the Returning Officer, and give the candidate an opportunity to rectify the breach.
- 2.11.11 All decisions made by the Probity Officer(s) must be made by simple majority.
- 2.11.12 The Probity Officer(s) shall:
- (a) prepare a report attaching candidates' statements of spending and detailing a summary of the difference between anticipated and actual expenditure for each candidate and ticket. This report must be presented to the General Secretary within seven (7) days from the date of the close of polling; and
 - (b) prepare a report detailing the conduct of elections, complaints received, and actions taken, and any disputes arising. This report must be presented to the General Secretary within two (2) weeks from the date of the close of polling.
- 2.11.13 The Probity Officers are Officers of the Association for the purposes of the Constitution and Regulations.

3. ELECTORAL OFFENCES

3.1 Electoral Offences

- 3.1.1 It is an offence to place on any notice board an election notice larger than A3 size.
- 3.1.2 It is an offence to publish any material that contains untrue statements or misrepresentations likely to mislead a voter in the casting of their vote.
- 3.1.2A It is an offence to remove from any place any Electoral Publication. No offence is committed by:
- (a) a person removing a reasonable quantity of the publication for their own or another's information;
 - (b) a member of the group in whose name or on whose behalf the publication is posted;
 - (c) a person authorised by a member of the group in whose name or on whose behalf the publication is posted; or

- (d) a person who has the right to control the entrance to the place where the publication lies or that person's agent.
- 3.1.3 It is an offence to actively canvass votes within the areas specified in Schedule B to these Regulations.
- 3.1.4 It is an offence to attempt to ascertain the way a person votes. For the purposes of this section, it is an offence to attempt to watch how a person casts their vote while they are voting.
- 3.1.5 It is an offence to cast or attempt to cast a vote to which the person is not entitled.
- 3.1.6 It is an offence to offer gifts, bribes, money, food, drink, or any other enticement, or to otherwise exert undue influence, over electoral officials or voters at any time. For the purposes of this section, animals are not an enticement to vote.
- 3.1.7 It is an offence for an individual who is not currently a student of the university to campaign for a candidate in the election. For the purposes of this section, it is an offence for candidates and members of their campaign team to not carry their student cards while campaigning.
- 3.1.8 It is an offence to engage in negative discrimination, harassment or intimidation. This includes any repeat unwanted contact to incite somebody to vote, or any other coercive conduct, either online or in person.
- 3.1.9 It is an offence to actively canvass votes from a person displaying the opt-out item in accordance with section 2.5.4, provided the opt-out item is easily observable by candidates and members of their campaign team.
- 3.1.10 It is an offence to incite any other person to commit any of the Electoral Offences detailed in this section.
- 3.1.11 If, in the opinion of the Returning Officer, a candidate or a member of their campaign team behaves in a way that violates the spirit of the Constitution or these Regulations, the Returning Officer may deem that behaviour to constitute an Electoral Offence.

3.2 Action by the Returning Officer During an Election of the Association

- 3.2.1 The Returning Officer must undertake a thorough investigation into any matter referred to it during an Election of the Association under the Constitution, Regulations or Policy.
- 3.2.2 The possible commission of an electoral offence is taken to be referred to the Returning Officer if:
 - (a) it is referred to the Returning Officer by the Probity Officers;
 - (b) an allegation of that offence is made in writing addressed to the Returning Officer by any member of the Association; or
 - (c) the Returning Officer of their own motion decides that an electoral offence may have been committed.
- 3.2.2A Where a matter is referred to the Returning Officer under section 3.2.2(a), the Returning Officer must consider any information provided by and recommendation made by the Probity Officers under section 2.11.9. For the purposes of this section, a recommendation by Probity Officers is not binding on the Returning Officer and does not limit their discretion under section 3.2.3.
- 3.2.3 In response to any matter referred to the Returning Officer under 7.2.2, the Returning Officer may:
 - (a) do nothing;
 - (b) issue a warning;
 - (c) impose a fine;
 - (d) impose a campaign ban on one or more candidates, prohibiting them from actively canvassing votes online and/or in person for the time period specified;
 - (e) disqualify a candidate and direct that any votes for that candidate flow according to ballot preferences;
 - (f) require that a specified Electoral Publication or other campaign material be taken down or otherwise removed from public display;
 - (g) revoke membership rights, **but only with the consent of the Executive of the Association**; or
 - (h) impose any other such penalty, or any combination of penalties, as they see fit.

For the purposes of this section, any fine or other penalty imposed by the Returning Officer should be proportionate to the seriousness of the offence committed. Any fine imposed must not exceed \$100 for each offender.
- 3.2.4 Any member with a fine outstanding to the Association is to have their membership privileges suspended, and may not hold any Representative position within the Association.

- 3.2.5 If, after thoroughly investigating the conduct of an election, the Returning Officer accepts that there has been a breach of the Constitution or these Regulations that undermines the legitimacy of the election, then the Returning Officer may, at their discretion:
- (a) affirm the result and declare the poll; or
 - (b) declare the poll null and void and order a new election.
- 3.2.6 In determining whether a candidate or ticket has breached the provisions of section 2.9, the Returning Officer may take into account factors including, but not limited to, the presence or absence of intent on the part of the impugned individual(s), the extent of any breach, and the extent to which the breach had the potential to undermine the integrity of the electoral process. Any willful breach of section 2.9 is to be considered a serious breach.

4. CASUAL VACANCIES

4.1 President

- 4.1.1 If a vacancy occurs in the position of President:
- (a) the Vice President must become interim President and has all the powers and responsibilities of that office;
 - (b) the Education Officer must become the interim Vice President who has all the powers and responsibilities of the Vice President until the position of President has been filled, at which point the Vice President resumes all powers and responsibilities;
 - (c) the General Secretary must call for nominations to fill the vacancy within two (2) Teaching Days of the vacancy occurring; and
 - (d) the General Secretary must convene a meeting of the SRC within seven (7) Teaching Days of the vacancy occurring, at which:
 - (i) any ordinary member of the Association may nominate to fill the vacancy; and
 - (ii) the SRC must elect one of the nominees to fill the position in accordance with the Regulations.

4.2 University Council Member

- 4.2.1 **If a vacancy occurs in the position of University Council Member:**
- (a) the General Secretary must call for nominations to fill the vacancy within two (2) Teaching Days of the vacancy occurring; and**
 - (b) the General Secretary must appoint a Returning Officer to convene an election for the position of University Council Member as if it were an Annual Election for that position.**
- 4.2.2 **For the purposes of this section 4.2, the following sections of the Election Regulations do not apply as they would at an Annual Election:**
- (a) section 2.1.2;**
 - (b) section 2.1.7;**
 - (c) section 2.1.8;**
 - (d) section 2.4.5;**
 - (e) section 2.4.7; and**
 - (f) sections 2.5.3(a), (b) and (c).**

4.3 Other Executive

- 4.3.1 If a vacancy occurs in the position of Vice President, General Secretary, Treasurer, Education Officer or Social Officer:
- (a) the President must appoint, in consultation with the remaining Executive, an interim Vice President, interim General Secretary, interim Treasurer, interim Education Officer or interim Social Officer, as the case requires, and that appointee has all the powers and responsibilities of that office;
 - (b) the General Secretary must call for nominations to fill the vacancy within two (2) Teaching Days of the vacancy occurring; and
 - (c) the General Secretary must convene a meeting of the SRC within seven (7) Teaching Days of the vacancy occurring, at which:

- (i) any ordinary member of the Association may nominate to fill the vacancy; and
- (ii) the SRC must elect one of the nominees to fill the position in accordance with the Regulations.

4.4 General Representative

- 4.4.1 If a vacancy occurs in a position of General Representative to the SRC and the General Representative was elected as a member of a particular party or group, that party or group may appoint an ordinary member of the Association to fill the vacancy.
- 4.4.2 Otherwise:
- (a) the SRC may co-opt an ordinary member of the Association to be an interim General Representative until the next Ordinary or Annual General Meeting of the Association;
 - (b) whoever convenes the next Ordinary General Meeting or Annual General Meeting of the Association in accordance with this Constitution must include on the agenda a call for nominations to fill the vacancy; and
 - (c) at that general meeting any ordinary member of the Association may nominate to fill the vacancy in accordance with the Regulations.

4.5 College Representative

- 4.5.1 If a vacancy occurs in a position of College Representative, the President, after consulting with the CRC, must nominate an ordinary member of the Association enrolled in the relevant College to fill the vacancy.

4.6 Departmental Officers

- 4.6.1 If a vacancy occurs in a position of Departmental Officer:
- (a) the President must appoint, in consultation with the relevant Department, an interim Women's Officer, Queer* Officer, Environment Officer, Indigenous Officer, Disabilities Officer or International Students' Officer, as the case requires, and that appointee has all the powers and responsibilities of that office;
 - (b) the General Secretary must call for nominations to fill the vacancy within two (2) Teaching Days of the vacancy occurring; and
 - (c) the General Secretary or their nominee must convene a meeting of the Department within seven (7) Teaching Days of the vacancy occurring, at which:
 - (i) any ordinary member of the Department may nominate, in accordance with the Constitution and the Regulations, to fill the vacancy;
 - (ii) the Department must choose one of the nominees to fill the position in accordance with the Regulations; and
 - (iii) the SRC must then ratify this appointment at its next meeting.
- 4.6.2 If the vacancy occurs in the position of Disabilities Officer, the call for nominations made under 4.5.1(b) must be posted on the Association door, and at Access and Inclusion, and the Chifley Disabilities Resource room.

4.7 Any Other

- 4.7.1 If a vacancy occurs in any other position required to be elected under this Constitution, either by the SRC acting in accordance with this Constitution or at a general meeting of the Association, the SRC must elect an ordinary member of the Association to fill the vacancy.

5. ELECTION OF REPRESENTATIVES AT GENERAL MEETINGS

5.1 General

- 5.1.1 The election of a Representative (or Representatives) at a general meeting, provided for under the Constitution, must be conducted in a fashion consistent with section 10 of the Constitution.
- 5.1.2 If this Constitution, or the SRC acting in accordance with this Constitution, requires a Representative to be elected at a general meeting of the Association:
- (a) the agenda of the general meeting must include a call for nominations for that position;
 - (b) the general meeting must elect a Returning Officer in accordance with these Regulations; and
 - (c) the Returning Officer must receive nominations and, if required, conduct a ballot using the optional preferential system in accordance with these Regulations.

5.1.3 The electoral system to be used in such an election, and the method of counting votes, is detailed in section 1 of these Regulations.

5.2 Call for Nominations

5.2.1 Any member of the Association who, in accordance with the Constitution, convenes a general meeting of the Association, must include a call for nominations for any position to be filled at that general meeting on all notices advertising that meeting.

5.3 Conduct of the Election

5.3.1 Those ordinary members of the Association present in person must elect one of their number to act in the position of Returning Officer by a first past the post election conducted by show of hands.

5.3.2 If more than 1 person nominates for the position of Returning Officer, the candidate with the second largest number of votes is to act as Deputy Returning Officer, otherwise another ordinary member of the Association must be elected to that position by show of hands.

5.3.3 No person may act as Returning Officer or Deputy Returning Officer if they have a direct interest in the result of the election.

5.3.4 The Returning Officer must, in the presence of the Deputy Returning Officer, receive nominations from any ordinary member of the Association eligible to stand for election who wishes to nominate.

5.3.5 If the number of nominations exceeds the number of positions to be filled, the Returning Officer must, in the presence of the Deputy Returning Officer, issue a signed voting paper to each ordinary member of the Association who is present in person.

5.3.6 Under the supervision of the Returning Officer and the Deputy Returning Officer, each voting paper must be placed in a locked ballot box by the ordinary member of the Association exercising that vote.

5.3.7 The Returning Officer must ensure that procedures are in place to:

- (a) limit each ordinary member of the Association to voting once; and
- (b) ensure that the way in which any voter marks their voting paper is not revealed, either when that member votes or at any later stage.

5.3.8 The voter must indicate the order of their preference on the voting paper by writing the numeral 1 against the name of the candidate of their first choice, 2 against the name of the candidate of their second choice, 3 against the name of the candidate of their third choice and so on, in an unbroken sequence of numbers against the names of candidates.

5.3.9 A vote will not be valid unless the voter indicates their preferences for at least 1 candidate.

5.3.10 Preferences will be counted while the voter's preferences are indicated in an unbroken sequence.

6. ELECTION OF REPRESENTATIVES AT SRC MEETINGS

6.1 General

6.1.1 The election of a Representative (or Representatives) at a meeting of the SRC, provided for by the SRC in accordance with the Constitution, must be conducted in a fashion consistent with the resolution of the SRC establishing such a position (or positions).

6.1.2 The electoral system to be used in such an election, and the method of counting votes, is detailed in section 1 of these Regulations.

6.2 Call for Nominations

6.2.1 Any member of the Association who, in accordance with the Constitution, convenes a meeting of the SRC, must include a call for nominations for any position to be filled at that meeting on all notices advertising that meeting.

6.3 Conduct of the Election

6.3.1 Those members of the SRC present in person must elect an ordinary member of the Association to act in the position of Returning Officer by a first past the post election conducted by show of hands.

6.3.2 If more than 1 person nominates for the position of Returning Officer, the candidate with the second largest number of votes is to act as Deputy Returning Officer, otherwise another ordinary member of the Association must be elected to that position by a show of hands.

- 6.3.3 No person may act as Returning Officer or Deputy Returning Officer if they have a direct interest in the result of the election.
- 6.3.4 The Returning Officer must, in the presence of the Deputy Returning Officer, receive nominations from any person who is eligible to stand for election in accordance with the relevant SRC resolution(s), and who wishes to nominate.
- 6.3.5 If the number of nominations exceeds the number of positions to be filled, the Returning Officer must, in the presence of the Deputy Returning Officer, issue a signed voting paper to each member of the SRC who is present in person.
- 6.3.6 Under the supervision of the Returning Officer and the Deputy Returning Officer, each voting paper must be placed in a ballot box by the member of the SRC exercising that vote.
- 6.3.7 The Returning Officer must ensure that procedures are in place to:
 - (a) limit each member of the SRC to voting once; and
 - (b) ensure that the way in which any voter marks their voting paper is not revealed, either when that member votes or at any later stage.
- 6.3.8 The voter must indicate the order of their preferences on the voting paper by writing the numeral 1 against the name of the candidate of their first choice, 2 against the name of the candidate of their second choice, 3 against the name of the candidate of their third choice and so on, in an unbroken sequence of numbers against the names of candidates.
- 6.3.9 A vote will not be valid unless the voter indicates their preferences for at least 1 candidate.
- 6.3.10 Preferences will be counted while the voter's preferences are indicated in an unbroken sequence.

7. ELECTION OF DEPARTMENT OFFICERS

7.1 Eligibility to vote or nominate

- 7.1.1 In respect to the position of:
 - (a) Environment Officer – any undergraduate student may vote or nominate.
 - (b) Disabilities Officer – only students with disability may vote or nominate.
 - (c) Indigenous Officer – only indigenous students may vote or nominate.
 - (d) International Students Officer – only international students may vote or nominate.
 - (e) Queer* Officer – only gay, lesbian, bisexual, transgender, intersex or queer identifying students may vote, and only those who openly identify may nominate.
 - (f) Women's Officer – only people identifying as women shall vote or nominate.
 - (g) [Ethnocultural Officer – only people identifying as ethnically or culturally diverse shall vote or nominate.]**

7.2 Active member requirements

- 7.2.1 For the returning officer to accept a nomination for the position of Environment, Disabilities, Indigenous, Women's, or Queer* Officer, the nominee must be an active member of the Department they are nominating for.
- 7.2.2 An active member of a Department is defined as a member who has attended three meetings of that Department in that Academic year, with the exception of Disabilities where the requirement is two meetings.
- 7.2.3 The active member requirement does not apply to a Department where there is only one nominee for the position of Officer of that Department.

7.3 Electoral voting procedure

- 7.3.1 Except where eligibility is determined in accordance with sections 2.5.3(a) and 2.5.3(b), where the position of an autonomous Department Officer is contested, the ballot must contain an opt-in tick box that requires students to confirm they identify as a member of the relevant Department.
- 7.3.2 Except where section 7.3.3 applies, the General Secretary must determine the wording of the opt-in tick box on the advice of the relevant Department.
- 7.3.3 For the purposes of section 7.3.1, the opt-in tick box for the Queer* Officer election will read: "I identify as gay, lesbian, bisexual, transgender or gender-diverse, intersex, queer* or outside of a heteronormative sexuality, sex or gender identity."

- 7.3.4 Votes for the election of a Department Officer under section 7.3.1 will only be counted if the tick box has been checked.

7.4 Electoral disputes

- 7.4.1 In a case where a candidate does not satisfy the active member requirement, the Department may vote to allow the candidate to run to represent them, taking into account apologies and evidence of exceptional circumstances (sickness, exchange, work commitments, timetable clash, mid-year commencement, etc.). Only active members of the Department may partake in this vote.
- 7.4.2 The decision made under 7.4.1 may be contested by way of appeal to the ANUSA Disputes Committee who will consider the circumstances surrounding non-attendance and evidence of apologies for non-attendance. The committee will make a recommendation to the Returning Officer, whose decision is final.
- 7.4.3 Where a dispute does not concern attendance requirements:
- (a) the returning Officer will have the final say in relevant disputes concerning the Environment, International Students, Queer*, Women's, and Ethnocultural Departments.
 - (b) the Access & Inclusion unit will have the final say in relevant disputes concerning the Disabilities Department.
 - (c) the Tjabal Indigenous Higher Education Centre will have the final say in relevant disputes concerning the Indigenous Department.

8. REFERENDA

8.1 General

- 8.1.1 A Referendum must be conducted in accordance with the Constitution and these Regulations.
- 8.1.2 The method of counting votes cast in a Referendum is detailed in section 1.3 of these Regulations.

8.2 Conducting a Referendum

- 8.2.1 A Referendum must be conducted in accordance with the polling procedure under section.
- 8.2.2 A person appointed by the Vice-Chancellor, must act as Returning Officer for a Referendum.
- 8.2.3 An independent person or body is to be engaged to conduct a Referendum, and no Undergraduate student enrolled at the University may be involved in its conduct.
- 8.2.4 The Returning Officer must prepare a report that details:
- (a) the result of the Referendum;
 - (b) the number of valid votes that were cast both for and against the proposition;
 - (c) any allegations of breaches of this Constitution, or the Regulations, that may have occurred;
 - (d) any abnormalities that the Returning Officer observed during the Referendum; and
 - (e) any other information that the Returning Officer believes may assist the Association.
- 8.2.5 The Returning Officer's report must be tabled by the President at the first Ordinary General Meeting after the Referendum.
- 8.2.6 The General Meeting may:
- (a) declare the poll; or
 - (b) in the event of allegations of irregularities refer the Report to the Disputes Committee for resolution.
- 8.2.7 If the General Meeting fails to consider the Report, or fail to act under section 8.2.6 then the Disputes Committee shall deal with the Report as if it had been referred to the Committee under 8.2.6 (b).

8.3 Effect of a Referendum

- 8.3.1 Any proposition that is carried by a simple majority of those ordinary members who cast a valid vote in a Referendum is binding on the Association.
- 8.3.2 However, at least 10% of ordinary members of the Association must cast a valid vote in a Referendum for it to have any effect.

8.4 Form of the Question and Electoral System to be Used

- 8.4.1 The Returning Officer must arrange for the preparation of voting papers for the Referendum, where the question to be posed in a Referendum may be either

- (a) a question seeking a yes/no answer; or
 - (b) a question presenting a range of options.
- 8.4.2 If a Referendum question is seeking a yes/no answer, the Returning Officer or their nominee must arrange to count the number of yes and no votes, and subject to section 6.5 has the discretion to rule any vote as formal if the voter's intention is clear.
- 8.4.3 If a Referendum presents a range of options:
- (a) the election is to be conducted according to Schedule A of these Regulations, with each option treated as if it were a candidate;
 - (b) the option to vote for "None of the options presented" must be included;
 - (c) the voting paper must contain the words "write the number 1 in the box beside the option of your first choice"; and
 - (d) for referenda where 3 or more options are presented, the words indicated in (c) above must be succeeded by the words "You may then show as many further preferences as you wish by writing numbers from 2 onwards in the boxes beside the options of your choice".

8.5 Polling Procedure

- 8.5.1 On each of the days upon which polling in a Referendum is held, at least 3 hours of polling must be conducted in the Union Court precinct.
- 8.5.2 Further polling may be conducted at locations on campus to be determined by the Returning Officer- however no polling may take place at any Hall of Residence or Affiliated College.
- 8.5.3 In order to cast a valid vote, an ordinary member of the Association must be present in person at the polling place.
- 8.5.4 Ballot papers for the Referendum must be printed or otherwise marked with a security device, to be determined by the Returning Officer or their nominee.
- 8.5.5 The Returning Officer or their nominee must ensure that procedures are in place to:
- (a) limit each ordinary member of the Association to voting no more than once; and
 - (b) ensure that the way in which any voter marks their voting paper is not revealed, either when that member votes or at any later stage.
- 8.5.6 The Returning Officer or their nominee must prepare a list of ordinary members of the Association eligible to vote at the Referendum.
- 8.5.7 Where an ordinary member of the Association applies in person to vote at a polling place, and the member's name is included on the list of members prepared under section 8.3.5, the Returning Officer or their nominee must subject to section 8.5.5(a) provide to the member a ballot paper for the Referendum.
- 8.5.8 Where a person claiming to be an ordinary member of the Association applies in person to vote at a polling place, and the person's name is not included on the list of members prepared under section 8.3.5, the Returning Officer or their nominee must provide to the person a declaration vote envelope and a ballot paper for the Referendum, with the ballot paper bearing the word "declaration".
- 8.5.9 Where an ordinary member of the Association is issued a ballot papers under section 8.5.8, the member shall mark their ballot papers with a yes or no or where a Referendum presents a range of options in accordance with section 8.2.3, enclose the ballot papers in the declaration vote envelope provided, sign the declaration on the envelope, and return the envelope to the Returning Officer or their nominee who issued the ballot papers to the member.
- 8.5.10 Where the Returning Officer or their nominee receives a declaration vote envelope containing ballot papers under section 8.5.9, the Returning Officer or their nominee must after the close of the poll determine subject to section 8.5.5(a) whether the member is entitled to vote at the elections and:
- (a) if the declaration is signed and they decide the member is entitled to vote, the envelope is to be opened in such a way as to ensure that the way in which the voter marked their ballot paper is not revealed and the ballot paper therein must be included in the count of votes; or
 - (b) if the declaration is unsigned or they decide the member is not entitled to vote, the declaration envelope must be set aside unopened.

8.6 Formality of votes

- 8.6.1 For a Referendum described at 8.4.1(b) a ballot paper will not be valid if:

- (a) the voter has not indicated their preferences for at least 1 option; or
 - (b) the voter has indicated their first preference for 2 or more options.
- 8.6.2 For any Referendum a ballot paper will not be valid if, in the opinion of the Returning Officer or their nominee, the ballot has been modified or in any other way manipulated after it was cast.



MEMO

SUBJECT Allocations of Student Services Amenities Fee funds to Student Associations in 2017

TO Professor Marnie Hughes-Warrington, Deputy Vice-Chancellor (Academic)

FROM Dr Andrew Smith, Executive Officer (Education)

cc Professor Richard Baker, Pro Vice-Chancellor (University Experience)

DATE 8 September 2016

Deputy Vice-Chancellor

Item 3.2.5 of the Student Services, Amenities, Representation and Advocacy Guidelines requires that

In relation to decisions regarding the specific uses of the proceeds from any compulsory SSAF, HEPs must establish and maintain a clearly defined and effective process by which students enrolled at the HEP are consulted that is reviewed and approved annually by the governing body of the HEP.

Our new funding agreements with the student associations set out a timeline and process for consulting students on the use of the proceeds raised from compulsory SSAF at ANU. This is as follows.

Indicative Date	Event	Responsibility
9 September, 10am-midday, Ross Hohnen	Meeting to consider the allocations process and association/ DSL priorities for 2017	PVCUE, associations
22 September	Notice of meeting of associations given; call for agenda items	EO
29 September	Student Associations, DSL submit budgets in Alliance	Student associations
30 September	Agenda for 6 October meeting available in Alliance	EO
7 October, 9am to 1pm, Ross Hohnen	Meeting with all associations & DSL - 4 hour meeting time to be scheduled. Each association/ DSL to give a 20-30 minute presentation with 30 minute Q&A. Items taken on notice included as part of 14 October meeting.	PVCUE/Associations
14 October, 10am to 12 midday, Ross Hohnen	Questions and follow up from meeting of 6 October. Each association/ DSL to report back on items taken on notice and present any adjustments made at the request of the Student Associations Committee.	PVCUE/associations
Week of 17 October	One on one discussions with associations/DSL	PVCUE/Associations
26 October	Associations/DSL advised of draft allocations	PVCUE/Associations
28 October	Students invited to comment on draft allocations	EO/DSA
25 November	Closing date for student comments	
5 December	Associations/DSL respond to comments	Associations
12 December	Allocations approved by delegate	EO/PVCUE/DVCA

Recommendation:

That you approve these arrangements for determining SSAF recommended allocations in 2017.

Consistent with the requirements of the Student Services, Amenities, Representation and Advocacy Guidelines, I will ask Ms Molloy to include your approval in the Council papers for noting.

Andrew W. Smith





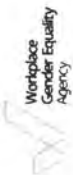
Date submitted: 27-Jul-2016 15:00:00
 Unique report number: mreks0e2d

2015-16 public report form submitted by Australian National University to the Workplace Gender Equality Agency

Organisation and contact details

Organisation registration	Legal name ABN ANZSIC	Australian National University 52234063906 8102 Higher Education
Organisation details	Trading name/s ASX code (if relevant) Postal address Organisation phone number	The Australian National University ANU ACT 2601 AUSTRALIA (02) 6125 5111
Reporting structure	Ultimate parent Number of employees covered in this report submission Other organisations reported on in this report	Australian National University 5,271

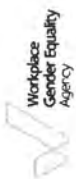
Date submitted: 27-Jul-2016 15:00:00
 Unique report number: mreksps0e2d



Workplace profile Manager

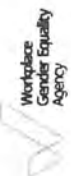
Manager occupational categories	Reporting level to CEO	Employment status	No. of employees			Total employees
			F	M		
CEO/Head of Business in Australia	0	Full-time permanent	0	0	0	
		Full-time contract	0	1	1	
		Part-time permanent	0	0	0	
		Part-time contract	0	0	0	
Key management personnel	-1	Casual	0	0	0	
		Full-time permanent	1	5	6	
		Full-time contract	3	1	4	
		Part-time permanent	0	0	0	
		Part-time contract	0	0	0	
		Casual	0	0	0	
		Full-time permanent	2	3	5	
		Full-time contract	8	5	13	
		Part-time permanent	0	0	0	
		Part-time contract	0	0	0	
		Casual	0	0	0	
		Other executives/General managers	-3	Full-time permanent	2	0
Full-time contract	0			0	0	
Part-time permanent	0			0	0	
Part-time contract	0			0	0	
Casual	0			0	0	
Full-time permanent	0			0	0	
Full-time contract	0			0	0	
Part-time permanent	0			1	1	
Part-time contract	0			0	0	
Casual	0			0	0	
Full-time permanent	1			9	10	
Full-time contract	2			7	9	
Part-time permanent	0	0	0			
Part-time contract	0	0	0			
Casual	0	0	0			
Other executives/General managers	-3	Full-time permanent	1	2	3	
		Full-time contract	1	0	1	
		Part-time permanent	0	0	0	
		Part-time contract	0	0	0	
Other executives/General managers	-3	Casual	0	0	0	
		Full-time permanent	0	0	0	
		Full-time contract	0	0	0	
		Part-time permanent	0	0	0	
Other executives/General managers	-3	Part-time contract	0	0	0	
		Casual	0	0	0	
		Full-time permanent	0	0	0	
		Full-time contract	0	0	0	

Date submitted: 27-Jul-2016 15:00:00
 Unique report number: mreks0e2d



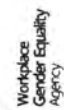
Manager occupational categories	Reporting level to CEO	Employment status	No. of employees		
			F	M	Total employees
Senior Managers	-2	Full-time permanent	6	2	8
		Full-time contract	1	0	1
		Part-time permanent	0	0	0
		Part-time contract	0	0	0
	-3	Casual	0	0	0
		Full-time permanent	21	15	36
		Full-time contract	6	8	14
		Part-time permanent	1	0	1
	-4	Part-time contract	1	0	1
		Casual	0	0	0
		Full-time permanent	2	2	4
		Full-time contract	0	0	0
		Part-time permanent	0	0	0
		Part-time contract	1	0	1
-5	Casual	0	0	0	
	Full-time permanent	0	1	1	
	Full-time contract	0	0	0	
	Part-time permanent	0	0	0	
Other managers	-2	Part-time contract	0	0	0
		Casual	0	0	0
	-3	Full-time permanent	2	3	5
		Full-time contract	2	0	2
		Part-time permanent	0	0	0
		Part-time contract	0	0	0
	-4	Casual	0	0	0
		Full-time permanent	42	30	72
		Full-time contract	7	5	12
		Part-time permanent	6	1	7
Part-time contract		8	1	9	
Casual		0	0	0	
-5	Full-time permanent	34	52	86	
	Full-time contract	4	11	15	
	Part-time permanent	1	1	2	
	Part-time contract	4	1	5	
		Casual	0	0	0
		Full-time permanent	5	31	36
		Full-time contract	0	1	1
		Part-time permanent	4	2	6
		Part-time contract	7	4	11
		Casual	0	0	0

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Manager occupational categories	Reporting level to CEO	Employment status	No. of employees
Grand total: all managers			Total employees 391

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Non-manager

Non-manager occupational categories	Employment status	No. of employees (excluding graduates and apprentices)		No. of graduates (if applicable)		No. of apprentices (if applicable)		Total employees
		F	M	F	M	F	M	
Professionals	Full-time permanent	818	1,097	0	0	0	0	1,915
	Full-time contract	226	350	0	0	0	0	576
	Part-time permanent	189	77	0	0	0	0	266
	Part-time contract	110	55	0	0	0	0	165
Technicians and trade	Casual	382	418	0	0	0	0	800
	Full-time permanent	31	93	0	0	0	0	124
	Full-time contract	15	12	0	0	0	0	27
	Part-time permanent	14	9	0	0	0	0	23
	Part-time contract	5	2	0	0	0	0	7
	Casual	23	31	0	0	0	0	54
Community and personal service	Full-time permanent	12	25	0	0	0	0	37
	Full-time contract	1	0	0	0	0	0	1
	Part-time permanent	15	3	0	0	0	0	18
	Part-time contract	0	0	0	0	0	0	0
Clerical and administrative	Casual	47	47	0	0	0	0	94
	Full-time permanent	222	84	0	0	0	0	306
	Full-time contract	53	13	0	0	0	0	66
	Part-time permanent	116	17	0	0	0	0	133
	Part-time contract	30	7	0	0	0	0	37
	Casual	125	85	0	0	0	0	210
Sales	Full-time permanent	0	0	0	0	0	0	0
	Full-time contract	0	0	0	0	0	0	0
	Part-time permanent	0	0	0	0	0	0	0

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Non-manager occupational categories	Employment status	No. of employees (excluding graduates and apprentices)		No. of graduates (if applicable)		No. of apprentices (if applicable)			Total employees
		F	M	F	M	F	M		
	Part-time contract	0	0	0	0	0	0	0	0
	Casual	0	0	0	0	0	0	0	0
	Full-time permanent	0	0	0	0	0	0	0	0
	Full-time contract	0	0	0	0	0	0	0	0
	Part-time permanent	0	0	0	0	0	0	0	0
	Part-time contract	0	0	0	0	0	0	0	0
	Casual	0	0	0	0	0	0	0	0
	Full-time permanent	1	15	0	0	0	0	0	16
	Full-time contract	1	0	0	0	0	0	0	1
	Part-time permanent	3	0	0	0	0	0	0	3
	Part-time contract	0	0	0	0	0	0	0	0
	Casual	0	1	0	0	0	0	0	1
	Full-time permanent	0	0	0	0	0	0	0	0
	Full-time contract	0	0	0	0	0	0	0	0
	Part-time permanent	0	0	0	0	0	0	0	0
	Part-time contract	0	0	0	0	0	0	0	0
	Casual	0	0	0	0	0	0	0	0
		2,439	2,441	0	0	0	0	0	4,880
Grand total: all non-managers									



Reporting questionnaire

Gender equality indicator 1: Gender composition of workforce

1 Do you have formal policies and/or formal strategies in place that SPECIFICALLY SUPPORT GENDER EQUALITY in relation to:

1.1 Recruitment?

- Yes (you can select policy and/or strategy options)
- Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
 - No, insufficient human resources staff
 - No, don't have expertise
 - No, not a priority

1.2 Retention?

- Yes (you can select policy and/or strategy options)
- Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
 - No, insufficient human resources staff
 - No, don't have expertise
 - No, not a priority

1.3 Performance management processes?

- Yes (you can select policy and/or strategy options)
- Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
 - No, insufficient human resources staff
 - No, don't have expertise
 - No, not a priority

1.4 Promotions?

- Yes (you can select policy and/or strategy options)
- Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
 - No, insufficient human resources staff
 - No, don't have expertise
 - No, not a priority

1.5 Talent identification/identification of high potentials?

- Yes (you can select policy and/or strategy options)



- Standalone policy
- Policy is contained within another policy
- Standalone strategy
- Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.6 Succession planning?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.7 Training and development?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.8 Resignations?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.9 Key performance indicators for managers relating to gender equality?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.10 Gender equality overall?

- Yes (you can select policy and/or strategy options)



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- Standalone policy
- Policy is contained within another policy
- Standalone strategy
- Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority

1.11 You may provide details of other formal policies or formal strategies that specifically support gender equality that may be in place:
 The University strategic plan, 'ANU by 2020', contains strategic objectives specifically related to increasing gender representation in senior academic staff and senior general staff. Implementation of these goals are supported by University-wide and College specific key performance indicators related to gender participation in the workforce, subject to quarterly review and action planning. Committees established by the Vice Chancellor provide advice on gender equality issues including the University Gender Equity Oversight Committee, and University Access and Equity Committee. The ANU supports the employment and retention of women and gender diverse people at all levels, in all disciplines, across the University. The University Enterprise Agreement includes provisions for flexible work to enable staff to balance family and caring responsibilities. This includes paid parental leave as well as career re-entry, which is 6 weeks additional leave for primary carers returning from parental leave. In addition policies and procedures that promote gender equality include: i) Equal opportunity, ii) Procedure for the prevention of discrimination, harassment and bullying, iii) Protocol for responding to domestic violence iv) Carers' Career Development Assistance Fund. The University provides additional support for female academics seeking promotion including providing mentors who assist applicants to prepare for the interview with the assessment committee, as well as formal and informal panel discussions targeting female academics to coincide with the promotion round. The University's female staff are also supported through ensuring gender representation on selections and promotions committees, access to senior women leadership program, and NECTOR, the ANU Mentors for new ANU women in leadership program.

1.12 In the table below, please provide the NUMBER of new appointments made during the reporting period (by gender and manager/non-manager categories). This should include appointments from both external and internal sources such that if an existing employee is appointed to another role within the organisation (promotion or not), they would need to be included.

All appointments need to be included regardless of how they were made, for example through recruitment exercises, cold canvassing, previously-submitted resumes.

	Managers		Non-managers	
	Female	Male	Female	Male
NUMBER of appointments made	60	51	1929	1720

1.13 In the table below, please provide the NUMBER of employees who were awarded promotions during the reporting period (by gender, employment status and manager/non-manager categories).

('Promotion' means where a person has advanced or been raised to a higher office or rank on an ongoing basis. Temporary higher duties are not considered a promotion. This does not typically include movement within a salary band unless it involves a move to higher office or rank.)

No cell should be left blank, please enter '0' (zero) where there is no data.

	Managers		Non-managers	
	Female	Male	Female	Male



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	Managers		Non-managers	
	Female	Male	Female	Male
Permanent/ongoing full-time employees	11	10	110	107
Permanent/ongoing part-time employees	1	0	11	4
Fixed-term contract full-time employees	2	1	20	14
Fixed-term contract part-time employees	0	0	7	0
Casual employees	0	0	1	1

1.14 In the table below, please provide the NUMBER of employees who have resigned during the reporting period (by gender, employment status, and manager/non-manager categories).

('Resigned' refers to employees who have given up their employment voluntarily, not those who are subject to employer-initiated terminations or redundancies.)

No cell should be left blank, please enter '0' (zero) where there is no data.

	Managers		Non-managers	
	Female	Male	Female	Male
Permanent/ongoing full-time employees	10	7	86	66
Permanent/ongoing part-time employees	3	0	27	6
Fixed-term contract full-time employees	7	11	50	44
Fixed-term contract part-time employees	0	1	19	11
Casual employees	1	0	4	1

1.15 Should you wish to provide additional information on any of your responses under gender equality indicator 1, please do so below:

Gender equality indicator 2: Gender composition of governing bodies

2 Your organisation, or organisations you are reporting on, will have a governing body/board as defined in the Workplace Gender Equality Act 2012 (Act). (In the Act, a governing body is defined as "the board of directors, trustees, committee of management, council or other governing authority of the employer".) For the purposes of reporting under the Act, this question relates to the ultimate or 'highest' governing body for your organisation. NB: if your governing body/board is located overseas, it still needs to be included.

For private or publicly listed companies, you will have one or more directors or a board of directors.

For trusts, the trustee is the governing body/board.

For partnerships, the governing body/board is likely to comprise all or some (if elected) partners.

For organisations whose governing body/board is the same as their parent entity's governing body/board, it is still deemed to have a governing body/board.



o For religious structures, you may have a canonical advisor, bishop or archbishop.

o For other structures that do not fall into any of the above categories, your committee of management would be considered your governing body/board.

2.1 Please complete the table below, ensuring data entered is based on the instructions in each column header. For each organisation, enter the number of women and men on that governing body/board (not percentage). If a target has been set to increase the representation of women on any of the governing bodies listed, please indicate the % target and the year it is to be reached.

If your organisation's governing body/board is the same as your parent entity's governing body/board, you will need to enter your organisation's name but the details of your parent entity's governing body/board in the table below.

	Organisation name	Gender and NUMBER of chairperson/s (NOT percentage)		Gender and NUMBER of other governing body/board members (NOT percentage)		% target for representation of women on each governing body/board <small>(enter 0 if no target has been set, or enter a % between 1-100)</small>	Year to be reached <small>(in YYYY format; if no target has been set, leave blank)</small>
		F (Chair)	M (Chair)	F	M		
01	ANU	0	1	6	8	0	
02							
03							
04							
05							
06							
07							
08							
09							
10							
11							
12							
13							
14							
15							
16							



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	Organisation name	Gender and NUMBER of chairperson/s (NOT percentage)		Gender and NUMBER of other governing body/board members (NOT percentage)		% target for representation of women on each governing body/board <small>(enter 0 if no target has been set, or enter a % between 1-100)</small>	Year to be reached <small>(in YYYY format. If no target has been set, leave blank)</small>
		F (Chair)	M (Chair)	F	M		
17							
18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							

2.2 If a target relating to the representation of women has not been set for any of the governing bodies listed above, you may specify why below:

- Governing body/board has gender balance (e.g. 40% women/40% men/20% either)
- Currently under development
- Insufficient human resources staff
- Don't have expertise

Do not have control over governing body/board appointments (provide details why):
 The ANU Council membership is prescribed under the Australian National University Act 1991 and comprises the Chancellor, Vice-Chancellor, one elected Dean or head of a research school, three members elected by staff (from research schools, faculties and general staff), one post graduate and one undergraduate student member elected by the post graduate and undergraduate students and seven members appointed by the Minister on recommendation of the Council's Nominations Committee. The Nominations Committee, which consists of the Chancellor and six other persons appointed by the Chancellor, has a succession plan for appointed members of Council that recognises the importance of achieving gender equity in Council's composition. The University has limited control of the gender balance of elected members.



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- Not a priority
- Other (provide details):

2.3 Do you have a formal selection policy and/or formal selection strategy for governing body/board members for ALL organisations covered in this report?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
 - No, in place for some governing bodies/boards
 - No, currently under development
 - No, insufficient human resources staff
 - No, do not have control over governing body/board appointments (provide details why):
- No, don't have expertise
- No, not a priority
- No, other (provide details):

2.4 Partnership structures only: (only answer this question if your organisation operates under a partnership structure, ie is NOT an incorporated entity (ie Pty Ltd, Ltd or Inc), or an unincorporated entity).

Please enter the total number of female and male equity partners (excluding the managing partner) in the following table against the relevant WGEA standardised manager definitions. Non-equity (salaried) partners need to be included in your workplace profile.

Details of your managing partner should be included separately in the CEO row of your workplace profile.

NB: Please ensure that the composition of your governing body/board (which may include all or some of your equity partners below) is also entered in question 2.1.

	Full-time females	Part-time females	Full-time males	Part-time males
Equity partners who ARE key management personnel (KMPs) (excluding your managing partner)				
Equity partners who are "Other executives/General managers"				
Equity partners who are "Senior managers"				
Equity partners who are "Other managers"				

2.5 Should you wish to provide additional information on any of your responses under gender equality indicator 2, please do so below:

Gender equality indicator 3: Equal remuneration between women and men

- 3 Do you have a formal policy and/or formal strategy on remuneration generally?
- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy



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- Standalone strategy
- Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, included in workplace agreement
- No, don't have expertise
- No, salaries set by awards or industrial agreements
- No, non-award employees paid market rate
- No, not a priority
- No, other (provide details):

4 Has a gender remuneration gap analysis been undertaken? (This is a payroll analysis to determine whether there are any gaps between what women and men are paid.)

- Yes. When was the most recent gender remuneration gap analysis undertaken?
 - Within last 12 months
 - Within last 1-2 years
 - More than 2 years ago but less than 4 years ago
 - Other (provide details):

- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, salaries for ALL employees (including managers) are set by awards or industrial agreements, AND there is no room for discretion in pay changes (for example because pay increases occur only when there is a change in tenure or qualifications)
- No, salaries for SOME or ALL employees (including managers) are set by awards or industrial agreements and there IS room for discretion in pay changes (because pay increases can occur with some discretion such as performance assessments)
- No, non-award employees are paid market rate
- No, not a priority
- No, other (provide details):

4.01 Should you wish to provide details on the type of gender remuneration gap analysis that has been undertaken (for example like-for-like, organisation-wide), please do so below:
Analysis of remuneration packages for senior managers was undertaken in 2015

4.1 Were any actions taken as a result of your gender remuneration gap analysis?

- Yes - please indicate what actions were taken (more than one option can be selected):
 - Created a pay equity strategy or action plan
 - Identified cause/s of the gaps
 - Reviewed remuneration decision-making processes
 - Analysed commencement salaries by gender to ensure there are no pay gaps
 - Analysed performance ratings to ensure there is no gender bias (including unconscious bias)
 - Analysed performance pay to ensure there is no gender bias (including unconscious bias)
 - Trained people-managers in addressing gender bias (including unconscious bias)
 - Set targets to reduce any like-for-like gaps
 - Set targets to reduce any organisation-wide gaps
 - Reported pay equity metrics (including gender pay gaps) to the governing body/board
 - Reported pay equity metrics (including gender pay gaps) to the executive
 - Reported pay equity metrics (including gender pay gaps) to all employees
 - Reported pay equity metrics (including gender pay gaps) externally
 - Corrected like-for-like gaps
 - Conducted a gender-based job evaluation process



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Implemented other changes (provide details):

- No
- No unexplainable or unjustifiable gaps identified
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, salaries set by awards or industrial agreements
- No, non-award employees are paid market rate
- No, unable to address cause/s of gaps (provide details why):
- No, not a priority
- No, other (provide details):

4.2 Should you wish to provide additional information on any of your responses under gender equality indicator 3, please do so below:

Gender equality indicator 4: Flexible working and support for employees with family and caring responsibilities

5 Do you provide employer funded paid parental leave for PRIMARY CARERS, in addition to any government funded parental leave scheme for primary carers?
 Yes. (Please indicate how employer funded paid parental leave is provided to the primary carer):

- By paying the gap between the employee's salary and the government's paid parental leave scheme
- By paying the employee's full salary (in addition to the government's paid scheme), regardless of the period of time over which it is paid. For example, full pay for 12 weeks or half pay for 24 weeks
- As a lump sum payment (paid pre- or post- parental leave, or a combination)
- No
- No, currently being considered
- No, insufficient human resources staff
- No, government scheme is sufficient
- No, don't know how to implement
- No, not a priority
- No, other (provide details):

5.1 Please indicate the MINIMUM number of weeks of EMPLOYER FUNDED paid parental leave that is provided for primary carers.
20

Optional: If you wish to provide additional details on the eligibility period/s and the maximum number of paid parental leave offered to primary carers, please do so below:

5.1a What is the eligibility period for employees to access the MINIMUM amount of employer funded paid parental leave (ie how long do employees need to be employed to access this MINIMUM amount - in months)?
12

5.1b If you offer different amounts of employer funded paid parental leave, what is the MAXIMUM number of weeks of employer funded paid parental leave that is provided for primary carers (in weeks)?
26



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5.1c What is the eligibility period for employees to access the MAXIMUM amount of employer funded paid parental leave (ie how long do employees need to be employed to access this MAXIMUM amount – in months)?

12

5.2 What proportion of your total workforce has access to employer funded paid parental leave for PRIMARY CARERS? In your calculation, you must include casuals when working out the proportion. For example, if ALL employees have access to employer funded paid parental leave for PRIMARY CARERS, including casuals, you would enter 100%. If casuals do not have access to this leave, your figure would always be less than 100%.

You may enter a number that represents the actual percentage of employees, or round the number to the nearest 10th percentile, e.g. if 23.4% enter 20; if 45.7% enter 50).

	Primary carer's leave
Percentage:	80

6 Do you provide employer funded paid parental leave for SECONDARY CARERS, in addition to any government funded parental leave scheme for secondary carers?

- Yes, one week or greater (please go to 6.1)
- Yes, less than one week (please go to 6.2)
- No
- No, currently being considered
- No, insufficient human resources staff
- No, government scheme is sufficient
- No, don't know how to implement
- No, not a priority
- No, other (provide details):

6.1 Please indicate the number of weeks of employer funded paid parental leave that is provided for secondary carers.

2

6.3 What proportion of your total workforce has access to employer funded paid parental leave for SECONDARY CARERS? In your calculation, you must include casuals when working out the proportion. For example, if ALL employees have access to employer funded paid parental leave for SECONDARY CARERS, including casuals, you would enter 100%. If casuals do not have access to this leave, your figure would always be less than 100%.

Please enter a whole number that represents the percentage of employees to the nearest 10th percentile, (e.g. if 23.4% enter 20; if 45.7% enter 50).

	Secondary carer's leave
Percentage:	80

7 How many female and male managers, and female and male non-managers, have utilised parental leave (paid and/or unpaid) during the past reporting period (this is to include employees still on parental leave who commenced this leave in another reporting period)?

No cell should be left blank, please enter '0' (zero) where there is no data.

	Primary carer's leave		Secondary carer's leave	
	Female	Male	Female	Male
Managers	7	0	0	5
Non-managers	151	0	0	51



8 Provide the NUMBER of employees who, during the reporting period, ceased employment during, or at the end of, parental leave (by gender and manager/non-manager categories).

This includes employees on parental leave that had commenced in another reporting period. Include situations where the parental leave was taken continuously with any other leave type. For example, a person may have utilised paid/unpaid parental leave, annual leave or other unpaid leave during a single block of 'parental leave'.

'Ceased employment' means anyone who has exited the organisation for whatever reason, including resignations, redundancies and dismissals.

No cell should be left blank, please enter '0' (zero) where there is no data.

	Female	Male
Managers	1	0
Non-managers	13	2

9 Do you have a formal policy and/or formal strategy on flexible working arrangements?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, included in workplace agreement
- No, don't have expertise
- No, don't offer flexible arrangements
- No, not a priority
- No, other (provide details):

10 Do you have a formal policy and/or formal strategy to support employees with family or caring responsibilities?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, included in workplace agreement
- No, don't have expertise
- No, not a priority
- No, other (provide details):

11 Do you have any non-leave based measures to support employees with family or caring responsibilities (e.g. employer-subsidised childcare, breastfeeding facilities, referral services)?

- Yes
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority



No, other (provide details):

11.1 Please indicate what measures are in place and if they are available at all worksites (where only one worksite exists, for example a head-office, please select "Available at all worksites"):

- Employer subsidised childcare
 Available at some worksites only
 Available at all worksites
- On-site childcare
 Available at some worksites only
 Available at all worksites
- Breastfeeding facilities
 Available at some worksites only
 Available at all worksites
- Childcare referral services
 Available at some worksites only
 Available at all worksites
- Internal support networks for parents
 Available at some worksites only
 Available at all worksites
- Return to work bonus (only select this option if the return to work bonus is NOT the balance of paid parental leave when an employee returns from leave).
 Available at some worksites only
 Available at all worksites
- Information packs to support new parents and/or those with elder care responsibilities
 Available at some worksites only
 Available at all worksites
- Referral services to support employees with family and/or caring responsibilities
 Available at some worksites only
 Available at all worksites
- Targeted communication mechanisms, for example intranet/ forums
 Available at some worksites only
 Available at all worksites
- Support in securing school holiday care
 Available at some worksites only
 Available at all worksites
- Coaching for employees on returning to work from parental leave
 Available at some worksites only
 Available at all worksites
- Parenting workshops targeting mothers
 Available at some worksites only
 Available at all worksites
- Parenting workshops targeting fathers
 Available at some worksites only
 Available at all worksites
- None of the above, please complete question 11.2 below

11.2 Please provide details of any other non-leave based measures that are in place and whether they are available at all worksites.

12 Do you have a formal policy and/or formal strategy to support employees who are experiencing family or domestic violence?

- Yes (you can select policy and/or strategy options)
 Standalone policy
 Policy is contained within another policy
 Standalone strategy
 Strategy is contained within another strategy
- No



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- No, currently under development
- No, insufficient human resources staff
- No, included in workplace agreement
- No, not aware of the need
- No, don't have expertise
- No, not a priority
- No, other (please provide details):

13 Other than a policy and/or strategy, do you have any measures to support employees who are experiencing family or domestic violence?

- Yes - please indicate the type of measures in place (more than one option can be selected):
 - Employee assistance program (including access to a psychologist, chaplain or counsellor)
 - Training of key personnel
 - A domestic violence clause is in an enterprise agreement or workplace agreement
 - Workplace safety planning
 - Access to paid domestic violence leave (contained in an enterprise/workplace agreement)
 - Access to unpaid domestic violence leave (contained in an enterprise/workplace agreement)
 - Access to paid domestic violence leave (not contained in an enterprise/workplace agreement)
 - Access to unpaid leave
 - Confidentiality of matters disclosed
 - Referral of employees to appropriate domestic violence support services for expert advice
 - Protection from any adverse action or discrimination based on the disclosure of domestic violence
 - Flexible working arrangements
 - Provide financial support (e.g. advance bonus payment or advanced pay)
 - Offer change of office location
 - Emergency accommodation assistance
 - Access to medical services (e.g. doctor or nurse)
 - Other (provide details):

- No
- No, currently under development
- No, insufficient human resources staff
- No, not aware of the need
- No, don't have expertise
- No, not a priority
- No, other (provide details):

14 Please tick the checkboxes in the table below to indicate which employment terms, conditions or practices are available to your employees (please note that not ticking a box indicates that a particular employment term, condition or practice is not in place):

	Managers				Non-managers			
	Female		Male		Female		Male	
	Formal	Informal	Formal	Informal	Formal	Informal	Formal	Informal
Flexible hours of work	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Compressed working weeks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Time-in-lieu	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Telecommuting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>



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	Managers				Non-managers			
	Female		Male		Female		Male	
	Formal	Informal	Formal	Informal	Formal	Informal	Formal	Informal
Part-time work	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Job sharing	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Carer's leave	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Purchased leave	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Unpaid leave	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

14.1 If there are any other employment terms, conditions or practices that are available to your employees, you may provide details of those below:
 Career re-entry assistance (6 weeks additional leave) for primary carers returning from parental leave. This initiative supports staff's transition back to work and accommodates carers responsibilities.

14.2 Where employment terms, conditions or practices are not available to your employees for any of the categories listed above, you may specify why below:
 Currently under development
 Insufficient human resources staff
 Don't have expertise
 Not a priority
 Other (provide details):

14.3 Should you wish to provide additional information on any of your responses under gender equality indicator 4, please do so below:

Gender equality indicator 5: Consultation with employees on issues concerning gender equality in the workplace

15 Have you consulted with employees on issues concerning gender equality in your workplace?
 Yes
 No
 No, not needed (provide details why):
 No, insufficient human resources staff
 No, don't have expertise
 No, not a priority
 No, other (provide details):

15.1 How did you consult with employees on issues concerning gender equality in your workplace (more than one option can be selected)?
 Survey
 Consultative committee or group
 Focus groups
 Exit interviews
 Performance discussions
 Other (provide details):
 Employee Engagement Survey which included a section on Diversity, measuring staff's satisfaction with measures and initiatives that the University put in place to:



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- prevent and discourage sexual harassment, discrimination, bullying and abusive behaviours; and
- ensure that there is equal opportunity for all staff.

15.2 Please indicate what categories of employees you consulted.

- All staff
- Women only
- Men only
- Human resources managers
- Management
- Employee representative group(s)
- Diversity committee or equivalent
- Women and men who have resigned while on parental leave
- Other (provide details):

15.3 Should you wish to provide additional information on any of your responses under gender equality indicator 5, please do so below:

Gender equality indicator 6: Sex-based harassment and discrimination

16 Do you have a formal policy and/ or formal strategy on sex-based harassment and discrimination prevention?

- Yes (you can select policy and/or strategy options)
 - Standalone policy
 - Policy is contained within another policy
 - Standalone strategy
 - Strategy is contained within another strategy
- No
- No, currently under development
- No, insufficient human resources staff
- No, included in workplace agreement
- No, don't have expertise
- No, not a priority
- No, other (provide details):

16.1 Do you include a grievance process in any sex-based harassment and discrimination prevention policy and/or strategy?

- Yes
- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority
- No, other (provide details):

17 Does your workplace provide training for all managers on sex-based harassment and discrimination prevention?

- Yes - please indicate how often this training is provided ('At induction' AND one of the other options can be selected):
 - At induction
 - At least annually
 - Every one-to-two years
 - Every three years or more
 - Varies across business units



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Other (provide details):
Training and briefings for members of Selection Panels or Promotions Committees.

- No
- No, currently under development
- No, insufficient human resources staff
- No, don't have expertise
- No, not a priority
- No, other (provide details):

17.1 Should you wish to provide additional information on any of your responses under gender equality indicator 6, please do so below:

Other

18 Should you wish to provide details of any initiatives that you feel are particularly outstanding, or that have resulted in improved gender equality outcomes in your workplace, please enter this information below. (As with all of the questions in this questionnaire, any information you provide here will appear in your public report.)



Date submitted: 27-Jul-2016 15:00:00
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Gender composition proportions in your workplace

Important notes:

1. Proportions are based on the data contained in your workplace profile and reporting questionnaire.
2. Some proportion calculations will not display until you press **Submit** at step 6 on the reporting page in the portal. When your CEO signs off the report prior to it being submitted, it is on the basis that the proportions will only reflect the data contained in the report.
3. If any changes are made to your report after it has been submitted, the proportions calculations will be refreshed and reflect the changes **after** you have pressed **Re-submit** at step 6 on the reporting page.

Based upon your workplace profile and reporting questionnaire responses:

Gender composition of workforce

1. the gender composition of your workforce overall is 49.8% females and 50.2% males.

Promotions

2. 54.3% of employees awarded promotions were women and 45.7% were men
 - i. 56.0% of all manager promotions were awarded to women
 - ii. 54.2% of all non-manager promotions were awarded to women.
3. 13.2% of your workforce was part-time and 7.7% of promotions were awarded to part-time employees.

Resignations

4. 58.5% of employees who resigned were women and 41.5% were men
 - i. 52.5% of all managers who resigned were women
 - ii. 59.2% of all non-managers who resigned were women.
5. 13.2% of your workforce was part-time and 18.9% of resignations were part-time employees.

Employees who ceased employment before returning to work from parental leave

- i. 8.9% of all women who utilised parental leave and ceased employment before returning to work
- ii. 3.6% of all men who utilised parental leave and ceased employment before returning to work
- iii. 8.3% of all managers who utilised parental leave and ceased employment before returning to work were women
- iv. 6.4% of all non-managers who utilised parental leave and ceased employment before returning to work were women.



Date submitted:
Unique report number: hosqxn9r
Report User: Nicole Thompson

Notification and access

List of employee organisations	NTEU United Voice AMWU MEAA
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CEO sign off confirmation

Name of CEO or equivalent	M Harding (Acting-VC)
Confirmation CEO has signed the report	Yes

CEO Signature:

Date:

27/7/16

Submitted to WGEA by Nicole Thompson on



GPO Box 4917
Sydney NSW 2001
www.wgea.gov.au
ABN 47 641 643 874

24 August 2016
Reference: 52234063906

Professor Brian Schmidt
Vice Chancellor
Australian National University
The Australian National University
ANU ACT 2601

Dear Professor Schmidt

Workplace Gender Equality Agency (Agency) notice of compliance

Thank you for submitting your annual compliance report for the reporting period 1 April 2015 to 31 March 2016. Following an assessment of your report please be advised that your organisation (and any subsidiaries listed on your 2015-16 confidential report form cover sheet) is compliant with the *Workplace Gender Equality Act 2012 (Act)*. This letter forms your notice of compliance with the Act until replaced with a new notice of compliance after the 2016-2017 reporting period.

A significant benefit of standardised reporting under the Act is that the Agency is able to provide employers with customised, confidential Competitor Analysis Benchmark Reports based on the data submitted each year. I trust you have found these Reports valuable in comparing your organisation's gender equality performance to the performance of others in your industry. Your 2015-16 Competitor Analysis Benchmark Reports will be available towards the end of this year.

WGEA is committed to supporting organisations to maximise the full potential of female and male employees. We have a range of innovative tools and resources for employers that are freely available on our website, www.wgea.gov.au. Alternatively, you can phone us on (02) 9432 7000 or 1800 730 233 and ask to speak with one of our senior advisors.

Yours sincerely

A handwritten signature in black ink, appearing to be "Libby Lyons".

Libby Lyons
Director



ACADEMIC BOARD

Meeting No. 4/2016 of the Academic Board was held on Tuesday 2 August 2016 at 9.30 am in the Mills Rm Room, Chancelry.

Present: Professor Lo (Chair), Professor Schmidt, Professor Harding, Professor Hughes-Warrington, Prof Cardew-Hall, Professor Baker, Ms Mathey, Ms Angel, Professor Pickering, Professor McKibbin (for Professor Taylor), Associate Professor Whitford, Professor Bottomley, Professor Kirk, Dr Cowan, Professor Glasgow, Associate Professor Newitt, Professor Huntington, Ms Missingham, Professor Clements, Professor Abhayapala, Dr Whiting, Dr Craig, Dr Kennedy, Dr Schmidt, Dr Lahiri-Dutt, Dr Blaxland, Professor Goh, Associate Professor Sault, Professor Pitchford, Dr Lu, Dr Hijazi, Dr Tricoli, Associate Professor Gani, Dr Banwell, Dr van Kerkhoff, Dr Rajendran, Professor Close, Dr Robins, Ms Nott, Ms Snowball, Mr Wilson, Mr Gill, Ms Martin.

Observers: Ms Gouldthorp, Dr Wilde, Mr Evans, Ms Sidhu, Ms Hawkins, Ms Buckley, Associate Professor Fforde.

Apologies: Dr Gustavson, Dr Rajendran, Professor Taylor, Professor Corbett, Professor Leitch, Dr Saunders, Professor Dodson.

In Attendance: Ms Easton.

Unconfirmed Minutes

Part 1 – Procedural Items

Item 1 Apologies and Announcements

Item 1.1 Apologies

The apologies recorded above were noted.

Item 1.2 Announcements

The Chair, on behalf of the Academic Board made the following announcement:

- Associate Professor Gary Tamsitt from ANU College of Law retired from ANU on 15 July. Gary was a Board member since the Board was re-constituted in 2012. On behalf of the Board I would like to thank Gary for his commitment and service to the Board.
- Professor Stephen Bottomley has nominated Dr Skye Saunders to replace Gary on the Board. Skye sends her apologies for this meeting but will join us for the next Board meeting.
- Thank you to Professor Nicholas Glasgow, former Chair of Academic Board who joins us for his last meeting as Dean, ANU Medical School. You've made an enormous contribution to the Board and ANU more broadly and on behalf of the Board we thank you.

Item 2 Conduct of Academic Board Members

Resolution

The Academic Board resolved to note the information on conduct of members under the Public Governance, Performance & Accountability Act 2013

Item 3 Starred items

The starred items were: 1-10, 12-16, 19, 20, 22, 25, 26, 28, 36, 40, 41, 42, and from the Supplementary Agenda item S1.

Resolution

The Academic Board resolved to approve unstarred items.

Item 4 Minutes

Following a minor amendment (typo) the Minutes were approved.

Resolution

The Academic Board accepted the minutes (536/2016) of meeting 3/2016 of the Academic Board held on 21 June 2016 as a true and accurate record.

Item 5 Matters Arising from the Minutes & Action Items**Resolution**

The Academic Board noted the current action items list (492a/2016).

Item 6 Confidential items

There were no confidential items at the meeting.

Part 2 – Information Flow**Item 7 Report from the Vice-Chancellor and ‘Hot Topic’ ANU Vision and Strategic Plan**

The Vice-Chancellor provided a written report in the Agenda papers and highlighted the following in the meeting:

- Yesterday at the ANU 70th Birthday celebrations, new initiatives were announced that are part of the larger strategic planning priorities. <http://www.anu.edu.au/news/all-news/vcs-update-happy-birthday-anu>
- The strategic planning process is well underway with workshops and forums conducted across all twelve themes. The VC Office over next month will be putting together a strategic plan that is a culmination of all these ideas.
- Union Court redevelopment, stage 1 is underway. Regular updates are available by signing up to the [newsletter](#).
- Post-election brief. At this stage it is expected that very little is to happen in higher education. The Government has no clear mandate and at this stage it appears the status quo will be maintained.
- The CAP / CASS Governance Project was presented to Council recently. Collegiality remains at the centre of everything that we do in these areas and the project is progressing very well.
- Senior appointments with international searches are underway for the Dean positions in ANU College of Asia & the Pacific, ANU College of Arts & Social Sciences and ANU College of Business & Economics. There has been changes to the senior appointment process but we remain committed to an open and transparent process.

The Board discussed the Vice-Chancellor’s Report noting:

- Timeframe for the strategic plan – the Vice-Chancellor has committed to a draft by the end of this month. Council are expecting the finalised version by the end of September (challenging time frame).
- Selection processes for Deans – will they include a consultative process in Colleges. The aim is to attract a very high quality field. The selection process has been tightened up. ANU will no longer be holding open forums of inquisition of applicants. In Colleges there will still be consultation with Heads of Schools and Departments, but the process will be handled by a representative committee that will make a decision on behalf of the university. As part of constituting the selection panel it is worth having a discussion in the College Executive about who the most appropriate representatives for that panel might be. Confidentiality issues for the applicants are also important. We want to attract high calibre applicants and we have to respect and protect those that don’t succeed. ANU has been told by head hunters that our selection processes are out of date and we are working to address this.

- The CAP / CASS Governance Project highlighted discussion that students do not need to be aware of the College boundaries. Board members have still encountered blockages working across College. The Vice-Chancellor advised that areas need to catalogue and then address the issues as they arise.
- The Board noted that the stage one building work for Union Court was well received across campus and the new pool and parking will be great additions.

The Vice-Chancellor then provided an update in relation to the ANU Vision and Strategic Plan and led a discussion of the Board as per the Hot Topic Agenda item. This discussion covered:

- Academic appointments, ANU is looking at how to recruit new people. The Vice-Chancellor wants to see ANU competing with the best institutions in the world for the best staff. We will attract some of the very best researchers in the world by providing substantial start-up grants for high-potential early and mid-career researchers, enabling us to compete against any institution in the world. Deans will drive this process and make decisions about access to funds. Salaries costs will need to be covered within the areas.
- ANU will lead the country in changing the way that universities admit students. Students applying to ANU will be considered on the whole person, not just their ATAR score. Students applying to ANU will have co-curriculum and community contributions recognised as part of their entrance criteria. Planning is already underway about how to approach this and UAC already has some established ranking schedules that may be of assistance. ANU will endeavour to automate processes where possible in order to avoid adding to workloads as much as possible.
- ANU will celebrate the achievements of our most outstanding teachers through the award of Distinguished Educator. This award will recognise great teaching and provide funds for these educators to further extend their teaching and share their knowledge across the University.
- ANU will launch an Entrepreneurial Academics Program that will bring people who excel in research and in business to ANU so that we can all learn from them.
- ANU will launch a Post-Doctoral Fellowship program for Indigenous PhD graduates that is designed to develop academic careers and lead to faculty positions. This program will build a substantial Indigenous research and education community that is essential for us to fulfil our mission, including generating Indigenous-led research which informs and influences government policy.
- ANU commits across the entirety of the University to the Athena SWANN diversity program pioneered in the United Kingdom. ANU have the ambition of achieving what only a small handful of universities have managed - a Silver Award within 5 years for STEM disciplines, and an equivalent level of achievement in non-STEM areas over the same period.
- Diverse leadership is good leadership. Over the next 5 years, ANU will hire a 50:50 gender balance in leadership roles. Diversity should also be embraced more broadly than just gender and include other nationalities.

Resolution

The Academic Board noted the Report and comments made in discussion.

Item 8 Report from the Deputy Vice-Chancellor (Academic)

The Deputy Vice-Chancellor (Academic) provided a written report in the Agenda papers and the following updates:

- NAIDOC Week barbecue. This was a very successful event last week and thanks should go to all the Colleges that assisted, especially ANU College of Arts & Social Sciences.
- Digital Student Data Project. ANU has provided services via Digitary for close to two years and is now playing a key role in a sector wide project.

Resolution

The Academic Board noted the Report.

Item 9 Report from the Deputy Vice-Chancellor (Research)

The Deputy Vice Chancellor (Research) provided a written report in the Agenda papers.

Resolution

The Academic Board noted the Report.

Item 10 Report from the Chair

The Chair provided a written report in the Agenda papers and highlighted the following:

- Working Party: Role and Function of the Board. I want to thank Ben Gill, Roxanne Missingham and Lynda Mathey for agreeing to join our Deputy Chair, John Close and I on the role and function of the Academic Board. I would still like a few more volunteers before we start this discussion. Action: Volunteers for the working party please contact Megan.Easton@anu.edu.au by Monday 8 August.
- Communication. In an effort to improve communications we have set up a subscription email list and will be releasing to interested staff a brief email digest after each Board meeting.
- Academic Integrity. As Chair of the Board I am involved in a nation-wide network for the Chairs of Academic Boards/Senates. The Chairs are working together to discuss academic integrity issues, and ideas for solutions. I will be working with the Chair of the Board from UC to provide input back to the sector-wide AB Chairs' group. An ANU working party on Academic Integrity will be constituted shortly to produce a report on how this matter is managed and resourced. Members of the Board interested in participating please contact Megan.Easton@anu.edu.au asap.

Resolution

The Academic Board noted the report from the Chair.

Item 11 Approvals by Executive Action

Approvals by Executive Action;

- Executive Action by the Chair 6/2016: ANU College of Arts and Social Sciences out of session approval for the new Design programs
- Executive Action by the Chair 7/2016: ANU College of Law out of session approval for change to ATAR for Bachelor of Law

Resolution

The Academic Board noted the report on approvals by Executive Action by the Chair and endorsed the decisions taken.

Item 12 General Question Time

There were no question raised.

Part 3 – Strategy & Planning

Item 13 Professional Recognition Consortium of Australian Universities

Dr Glen O'Grady, Director, Centre for Higher Education, Learning & Teaching gave an overview of the proposal that ANU initiate the formation of a Consortium of Australian Universities committed to Professional Recognition.

Resolution

The Academic Board endorsed the following recommendation:

1. That ANU initiate and support the formation of a Professional Recognition Consortium of Australian Universities for the purpose of promoting professional recognition of teaching at a national level.

Item 14 Review of ANU Press

The University Librarian provided Academic Board members with an update on the Review of ANU Press. The review panel was on campus last week and spoke to many different stakeholders. The report from the panel is due back to the University Librarian in September.

Resolution

The Academic Board noted the update on the Review of ANU Press.

Part 4 – Academic Standards & Quality

Item 15 Credit and Status for Massive Open Online Courses (MOOCs)

The Deputy Vice-Chancellor (Academic) provided an outline of the need to establish principles for credit transfer for online course and MOOCs taken with partner institutions and noted thanks to ANU Online and the Academic Standards & Quality Office for their assistance in developing these principles.

Resolution

The Academic Board:

1. Endorsed ANU joining an alliance of international universities which will utilise a credit transfer system for students undertaking online courses and MOOCs at partner universities. The identified universities are:
 - Australian National University (ANU)
 - University of Edinburgh
 - École Polytechnique Fédérale de Lausanne (EFTL)
 - Hong Kong University (HKU)
 - Rice University
 - Delft University of Technology (TU Delft)
 - University of British Columbia (UBC)
 - University of Queensland (UQ)
2. Endorsed the proposed format of credit transfer – that nominated students who complete an approved MOOC, or MOOCs (with edX verification) and successfully pass (at a pre-selected percentage) a proctored assessment, demonstrating they have met the learning outcomes of the course, are eligible to receive either unspecified or specified credit for one or more ANU courses.
3. Discuss possible MOOC assessment processes.
4. Endorsed delivery of a report on exam and e-invigilation to UEC1-2017 and Academic Board1-2017, noting that only in-person, proctored examinations, rather than e-examinations will be used at this stage.
5. Endorsed ANU Astrophysics MOOCs as a pilot study for credit transfer.

Item 16 Scholarships Working Party Report One: Creating a domestic undergraduate scholarship and admissions round

The Deputy Vice-Chancellor (Academic) provided information on the principles and process proposed to underpin the creation of an integrated, institution-wide scholarships and admissions round, commencing in 2018 with undergraduate domestic students. Noting the second working party report will focus on graduate coursework, and the third on higher degree research scholarships.

The Board noted:

- Student representative on the working party is welcomed.
- If areas (such as the Medical School) see a surge in indigenous applicants the DVC-A will work with areas to ensure scholarships for all successful applicants.

Resolution

The Academic Board noted the Scholarships working party report on the governance, admissions, integration of admissions and scholarships and financial management of scholarships principles for the future treatment of undergraduate domestic scholarships.

Item 17 Online Courses and Programs Framework

Resolution

The Academic Board endorsed the proposed online courses and programs framework.

Item 18 Academic Integrity Items

Resolution

The Academic Board noted the academic integrity matters.

Item 19 Ghost writing

The Deputy Vice-Chancellor (Academic) requested that members note the methods Colleges are, or will be, employing to detect and address ghost writing. Colleges are encouraged to provide feedback on innovations in these areas, they can be tricky to navigate but collectively we can come up with some good practices and advice.

Resolution

The Academic Board noted the methods colleges are, or will be, employing to detect and address ghost writing.

Item 20 Benchmarking of Results

The Deputy Vice-Chancellor (Academic) noted the purpose of this item is to review the University's position with regards to national failure rates. University Education Committee has also asked Planning & Performance Measurement to investigate if benchmarking of HD rates is possible.

Resolution

The Academic Board noted the national data on benchmarking of failure rates.

Item 21 Graduation publications

Resolution

The Academic Board noted the graduation program reprint and QR Code initiatives.

Item 22 Cases for English language equivalency received for admission in 2015 Report

The Deputy Vice-Chancellor (Academic) provided an overview of the report on Cases for English Language Equivalency (CELE) received for admission in 2015. It was noted that acceptance rate is high but this is a result of a number of applications being send back to areas earlier in the process for more information to be provided.

Resolution

The Academic Board noted the Case for English Language Equivalency (CELE) report and endorsed the following recommendation:

1. Allow international applicants completing the ACT Year 12 Certificate with a result less than 170 but greater than 155 in English as a Second Language (ESL) T major, with an ATAR of 90 or above, the opportunity for a CELE to be submitted on their behalf, provided they complete ESEN1101 in their first semester of studies.

Item 23 National Access Scheme (Bonus Points) analysis for entry in 2016

Resolution

The Academic Board noted the report on the National Access Scheme (Bonus Points) for entry in 2016 and endorsed the following recommendations:

1. Endorse the expansion of equity points to include ANU College of Law programs for entry in 2017.
2. Recommend a review of the National Access Scheme, including the bonus points schedule, by CAAC3-2017 for entry from 2018.
3. That UEC5/2016 look further at the data before determining an outcome for all programs and commence a discussion on how to support students in programs with high performance thresholds.

Item 24 Hong Kong Diploma of Secondary Education (HKDSE) Schedule

Resolution

The Academic Board endorsed the following recommendations:

1. The current HKDSE schedule (**Appendix 24A**) be approved for use for a further 2 years with a review date of no later than CAAC 3/2018
2. That the use of predicted grades for mid-year entry be approved for a further 2 years with a review date of no later than CAAC 3/2018.

Item 25 Change to ATAR Requirement for Bachelor of Laws (Honours)

The Dean ANU College of Law informed Academic Board of a change to the guaranteed cut-off for Bachelor of Laws (Honours) and the Flexible Double Degree Law and requested the Board to endorse the continued use of the admission level currently used for internal transfers.

Resolution

The Academic Board endorsed the following:

1. Note the change to the guaranteed cut-off for the Bachelor of Laws (Honours) and the Flexible Double Degree Law to 98 for 2017 admission, with the inclusion of EAS bonus points.
2. the continued use of a GPA of 5.2 (equivalent to an ATAR of 92) for internal transfer applications from other ANU programs with a review date of no later than CAAC 2/2017.

Item 26 “In-Principle” Approval for Offshore Teaching Proposal with Nankai University (China)

The Deputy Vice-Chancellor (Academic) advised this proposal is to seek ‘in-principle’ approval for a proposed offshore teaching program (Master of International Development Economics) with Nankai University (China) and that the official approval process may happen out of session by Executive Action.

Resolution

The Academic Board gave ‘In-principle’ approval for the offshore teaching proposal with Nankai University in the Master of International Development Economics program.

Item 27 Double Degree Program Proposal**Resolution**

The Academic Board approved the double degree proposal for Master of Public Policy / Master of Public Administration (ANU) and Master of Public Policy (University of Tokyo, Japan).

Item 28 Review of Admission Requirements for Masters Advanced Programs**Resolution**

The Academic Board endorsed the default admission standard for Masters Advanced programs at ANU be set as, completion of a recognised qualification for academic entry with a GPA (on a 7 point scale) of 6 or equivalent.

Item 29 International Baccalaureate (IB) Early Entry Scheme report**Resolution**

The Academic Board:

1. Noted the report on the International Baccalaureate Early Entry Scheme (IBEES) for entry in 2016.
2. Noted that a review of the IBEES is to be presented by CAAC3-2017 for entry from 2018, including an investigation into the approval of schedule (S9002) IB Predicted Grades, recognising applicants attending IB Schools internationally.

Item 30 ANU College of Engineering and Computer Science Examination Incident – Semester 2, 2015**Resolution**

The Academic Board noted the report provided by the ANU College of Engineering and Computer Science relating to an examination incident in Semester 2, 2015.

Item 31 ANU College of Engineering and Computer Science Final Grade Incident Report - COMP1730**Resolution**

The Academic Board noted the report provided by the ANU College of Engineering and Computer Science relating to a Final Grade Incident that occurred in Semester 2, 2015.

Item 32 ANU College of Engineering and Computer Science Final Grade Incident Report - COMP2600/6260

Resolution

The Academic Board noted the report provided by the ANU College of Engineering and Computer Science relating to a Final Grade Incident that occurred in Semester 2.

Supplementary Agenda

Item S1 Early Offer Framework

Resolution

The Academic Board approved:

1. That offers are made to students based on Year 11 results equivalent to 5 points below the current ATAR requirement and a total (maximum) of 5 points from completion of items in Schedule A: Achievements and Awards. Programs with an ATAR cut-off 98 and above will not be included in this scheme.
2. Applicants via this program who are determined to be eligible under the Educational Access Scheme will still be eligible for up to 5 Equity Bonus Points according to the existing schedule. Academic Bonus points will not apply in addition to this framework.
3. That all points awarded from items from Schedule A: Achievements and Awards are verified with documentation consistent with current ANU requirements and will be subject to back to source audits as per current process.
4. A communication strategy be developed to consult with and report back to participating schools on approval of the framework
5. That offers be issued to year 12 students through UAC in the next available offer round following completion of applicants Year 12 final exams in their home state and that the SRS scheme be expanded to allow for the functionality to operate for this cohort.
6. That conditions are included in the offer that students who fall below the threshold for offers to a Bachelor degree at ANU (raw ATAR of 70) will be placed into the diploma or associate degree program most suited to their program preference.
7. That a review be conducted following the first intake of students under this framework including conversion rate of offered students and audit of final ATARs.

Part 5 – Policy

Item 33 Student Assessment (Coursework) Policy and Procedure

Resolution

The Academic Board endorsed the proposed revisions to Student Assessment (Coursework) policy and procedure for approval by the Vice-Chancellor.

Item 34 Disclosure of Information by Students with Disability or Illness Policy and Procedure

Resolution

The Academic Board endorsed the proposed revisions to the Disclosure of Information by Students with Disability or Illness policy and procedure for approval by the Vice-Chancellor.

Item 35 Code of Practice for Teaching and Learning Guideline

Resolution

The Academic Board endorsed the proposed revisions to the Code of Practice for Teaching and Learning guideline.

Item 36 ANU Education Agent Management and Engagement Policy and

Resolution

The Academic Board endorsed the proposed ANU Education Agent Management and Engagement policy and procedure.

Item 37 Credit Policy and Procedure Review

Resolution

The Academic Board endorsed the proposed revisions to the Credit policy and procedure for approval by the Vice-Chancellor.

Item 38 Course outline template

Resolution

The Academic Board endorsed the proposed revisions to the Course outline template.

Part 6 – Reports from Committees

Item 39 Report from University Education Committee Resolution

The Academic Board noted the report.

Part 7 – Accreditation

Item 40 Undergraduate Awards

Item 40.1 Amendments to Undergraduate Awards

Resolution

That the Board approved the amendments to undergraduate coursework Awards as detailed below.

ANUCECS

Bachelor of Engineering (Research and Development) (Honours)

ANUCMBE

Bachelor of Genetics

Bachelor of Medical Science

Bachelor of Mathematical Science

ANUCASS

Bachelor of Development Studies

Item 40.2 Review of Undergraduate Programs

Resolution

The Board:

1. approved the following undergraduate programs are reaccredited as detailed below.
2. note that further reviews scheduled for 2016 will be provided to later meetings due to availability of key data.

College	Code	Award	Recommendation to Academic Board
CASS	3112 BAPRC	Bachelor of Archaeological Practice	Reaccredit with no conditions until 31 December 2021
CASS	3112 HAPRC	Bachelor of Archaeological Practice (Honours)	Reaccredit with no conditions until 31 December 2021
CASS	3114 BCLAS	Bachelor of Classical Studies	Reaccredit with no conditions until 31 December 2021
CASS	3114 HCLAS	Bachelor of Classical Studies (Honours)	Reaccredit with no conditions until 31 December 2021
CASS	3118 BIR	Bachelor of International Relations	Reaccredit with no conditions until 31 December 2021
CASS	3118 HIR	Bachelor of International Relations (Honours)	Reaccredit with no conditions until 31 December 2021
CASS	3119 BLANG	Bachelor of Languages	Reaccredit with no conditions until 31 December 2021
CASS	3119 HLANG	Bachelor of Languages (Honours)	Reaccredit with no conditions until 31 December 2021
CASS	4141 APNAR	Bachelor of Philosophy (Honours) / Bachelor of Arts (Honours) – ANU-NUS	Reaccredit with no conditions until 31 December 2021
CASS	4140 APHAR	Bachelor of Philosophy (Honours) Arts and Social Sciences	Reaccredit with conditions until 31 December 2021: Distribution of ASC and ASE courses to be clarified on Programs and Courses.

Item 40.3 College-approved changes for noting**Resolution**

The Board noted that routine amendments to the following undergraduate Awards, majors, minors and specialisations have been approved by the relevant ANU College.

College	Code	Name	Amendment
CBE	BFINN	Bachelor of Finance	Addition of core courses

Item 41 Graduate Coursework Awards**Item 41.1 Amendments to Graduate Coursework Awards****Resolution**

The Board approved the amendments to graduate coursework Awards as detailed below.

ANUCASS

Master of Demography

Item 41.2 Review of Graduate Coursework Programs**Resolution**

The Board:

- approved following graduate coursework programs are reaccredited as detailed below.
- noted that further reviews scheduled for 2016 will be provided to later meetings due to availability of key data.

College	Code	Award	Recommendation to Academic Board
CASS	MARSC	Master of Archaeological Science	Reaccredit with no conditions until 31 December 2021
CASS	VARSC	Master of Archaeological Science (Advanced)	Reaccredit with no conditions until 31 December 2021
CASS	MLING	Master of General and Applied Linguistics	Reaccredit with no conditions until 31 December 2021
CASS	VLING	Master of General and Applied Linguistics (Advanced)	Reaccredit with no conditions until 31 December 2021
CASS	MTRAN	Master of Translation	Reaccredit until 31 December 2018 with conditions: 1. All proposed actions completed by end 2017. 2. Market research undertaken by end 2016. To include impact of accreditation by National Accreditation Authority for Translators and Interpreters
CASS	VTRAN	Master of Translation (Advanced)	Reaccredit until 31 December 2018 with conditions: 1. All proposed actions completed by end 2017. 2. Market research undertaken by end 2016. To include impact of accreditation by National Accreditation Authority for Translators and Interpreters
CBE	CFAS	Graduate Certificate of Finance and Actuarial Statistics	Reaccredit with no conditions until 31 December 2021

Part 8 – Items of other Business**Item 42 Any other business**

Professor Glasgow as his parting words asked the Board to always remember that Medicine is different!

MTE
04/08/16

**LIST OF TRANSACTIONS BY POWER OF ATTORNEY
BETWEEN 22 JULY 2016 AND 6 SEPTEMBER 2016**

No.	Date	Description
1274	22/07/2016	Account Nomination Form Westpac Convertible Notes (WBCPC) on behalf of the Long Term Investment Pool
1275	31/08/2016	Request for Direct Credit of Payments re holding in Vicinity Centres on behalf of the Long Term Investment Pool
1276	31/08/2016	Request for Direct Credit of Payments re holding in Dexus Property Group on behalf of the Long Term Investment Pool
1277	31/08/2016	Request for Direct Credit of Payments re holding in Iron Mountain Inc on behalf of the Long Term Investment Pool
1278	31/08/2016	Request for Direct Credit of Payments re holding in Investa Office Fund on behalf of the Long Term Investment Pool
1279	31/08/2016	ISDA (International Swaps and Derivatives Association) Schedule to the 2002 Master Agreement (in duplicate) between Commonwealth Bank of Australia and Australian National University on behalf of the Long Term Investment Pool
1280	06/09/2016	ISDA (International Swaps and Derivatives Association) 2002 Master Agreement (in duplicate) between Commonwealth Bank of Australia and Australian National University on behalf of the Long Term Investment Pool

Significant Events from 29 June – 5 September 2016

What constitutes a Significant Event?

A public lecture, event or seminar which attracts over 200 guests, a named lecture of historical significance,

a lecture by a significant overseas guest, a visit by an international delegation, a visit or talk by a local or

federal member, building opening, or awarding of major prize

VIP Event

ANU Research School of Astronomy and Astrophysics

Wednesday 29 June 2016

A Northrup Grumman delegation, headed by CEO Mr Wes Bush visited the Mount Stromlo Observatory and Advanced Instrumentation and Technology Centre. Also in attendance was Meeghan Fitzharris MLA, ACT Minister for Higher Education.

VIP Event

ANU College of Asia and the Pacific

Thursday 30 June 2016

Asia Pacific Week (APW) Conference

The conference included a panel event attended by the High Commissioners for Papua New Guinea and Sri Lanka as well as the Ambassador for Indonesia. The conference cohort included students from ANU, IARU partner universities, other Go8 universities and 50 international students from every continent.

VIP Visit

Office of the Chancellor

Thursday 30 June 2016

The Chinese Ambassador, His Excellency Mr Jingye Cheng, made an introductory visit to the Chancellor. The Ambassador commenced his appointment on 22 June 2016.

VIP Event

ANU Research School of Social Sciences

Thursday 30 June 2016

Deputy Vice-Chancellor (Academic) represented the Vice-Chancellor at the 50th anniversary of the Australian Dictionary of Biography. The Hon Justice Michael Kirby (Rdt) delivered the keynote address.

JULY

VIP Visit

Office of the Chancellor

Friday 1 July 2016

The High Commissioner for Canada, His Excellency Mr Paul Maddison, met with the Chancellor.

VIP Event**Canberra City Bus Loop Launch***Monday 4 July 2016*

Deputy Vice-Chancellor (Academic) spoke at the launch of the city bus loop. ACT Chief Minister Andrew Barr and ACT Minister for Transport Meegan Fitzharris MLA also attended and spoke.

VIP Lecture**Centre for Arab and Islamic Centre***Monday 4 July 2016*

Dr Yaqoob Khan Bangash, Information Technology University Pakistan, presented the lecture 'Pakistan: Emerging democracy or veiled dictatorship?' which was attended by His Excellency Mr Al-Omarj, Ambassador for Jordan.

VIP Event**ANU Gender Institute***Wednesday 6 July 2016*

Book launch: *The public law of gender and presentation of the annual Gender Institute prizes*
Deputy Vice-Chancellor (Academic) as Acting Vice-Chancellor met Ms Kate Jenkins, Commonwealth Sex Discrimination Commissioner, and thanked the Commissioner after launching the book.

VIP Event**ANU Centre for European Studies***Monday 11 July 2016***EuroScience at Questacon**

The Vice-Chancellor welcomed guests, thanked event partners and spoke on the scientific collaborations between Australia and Europe.

VIP Meeting**Office of the Vice-Chancellor***Monday 11 July 2016*

The Vice-Chancellor accompanied by Pro Vice-Chancellor (International and Ourteach) and Ms Anne Baley (Director, International Strategy and Partnerships) met with the Ambassador for the Royal Thai Embassy who requested a courtesy call.

VIP Event**Office of the Vice-Chancellor***Tuesday 12 July 2016***Tuckwell Philanthropic Announcement**

The Vice-Chancellor, Chancellor and Mr Graham Tuckwell announced a major Philanthropic to fund an expansion of the prized Tuckwell Scholarship Program.

VIP Event**Drill Hall Gallery***Tuesday 12 July 2016*

The Vice-Chancellor launched the refurbished Gallery and thanked Mr James Erskine for his generous donation of contemporary Australian art to the Gallery. Mr Erskine was also welcomed as a Life Patron of the Drill Hall Gallery

VIP Event**Global Shapers Community Canberra***Tuesday 12 July 2016***Dinner with members of the Global Shapers Community (WEF)**

Pro Vice-Chancellor (Student Experience) represented the Vice-Chancellor at the dinner.

Conference**ANU Student Research Conference Committee***Thursday 14 July 2016*

Pro Vice-Chancellor (Research and Research Training), on behalf of the Vice-Chancellor, welcomed participants and delivered the opening address.

VIP Conference**ANU Student Research Conference Committee***Friday 15 July 2016*

The Vice-Chancellor congratulated participants, presented prizes to the conference presentation winners and concluded the conference.

VIP Visit**Australian National Internships Program (ANIP)***Tuesday 19 July 2016*

Latin-American Ambassadors were invited on campus to be briefed on the ANIP Program. In attendance were:

- His Excellency Mr Raul Gangotena Rivadeneira, Ambassador for the Embassy of the Republic of Ecuador
- His Excellency Dr Hugo Javier Gobbi, Ambassador for the Embassy of the Argentine Republic
- His Excellency Dr Ricardo Javier Varela Fernandez, Ambassador for the Embassy of Uruguay
- His Excellency Mr Armando Alvarez Reina, Ambassador for the Embassy of Mexico
- His Excellency Mr Jose Manuel Galego Montano, Ambassador for the Embassy of the Republic of Cuba
- Her Excellency Mrs Clemencia Forero Uros, Ambassador for the Embassy of the Republic of Colombia
- His Excellency Mr Luis Jose Menendez Castro, Ambassador for the Embassy of the Republic of El Salvador
- Mr Gmo Edmundo Arcniega Lucas, Deputy Head of Mission for the Embassy of the Republic of Peru
- His Excellency Mr Manuel Innocencio Lacerda Santos Jr Ambassador for the Embassy of the Federal Republic of Brazil

Partner visit**Thammasat University, Thailand***Tuesday 19 July 2016*

A delegation from Thammasat University, led by Sociology and Anthropology Vice-Dean Ms Songsiri Putthongchai, visited ANU to learn how ANU improves the teaching process and enhances students' academic skills. They met with Professor Jacqueline Lo, Mr Glen O'Grady,

and representatives of International Strategy & Partnerships the Academic Skills and Learning Centre, and Student Experience and Career Development.

VIP Event

Strategic Defence Studies Centre

Wednesday 20 July 2016

Book Launch: *War Strategy and History: essays in Honour of Professor Robert O'Neill*

The Chancellor welcomed guests and officially launched the book. VIP's in attendance included:

- Air Chief Marshal Mark Binskin, Chief of Army
- Mr Hamzeh Al-Omar, Deputy Chief of Mission, Embassy of Jordan
- Her Excellency Mrs Minda Calaguian-Cruz, Embassy of the Philippines
- His Excellency Mr Miguel Alomino De La Gala, Embassy of Peru
- Captain Chetan Chandegave, Defence Adviser, High Commission of India
- Minister Osau Ozawa, Minister, Embassy of Japan
- Mr Greg Moriaty, Deputy Secretary CT Coordinator, DPMC
- Ambassador for Embassy of Lebanon

VIP Meeting

Office of the Vice-Chancellor

Wednesday 20 July 2016

The Vice-Chancellor met with His Excellency Mr Pawel Milewski, Ambassador for the Embassy of Poland.

VIP Event

ANU Medical School

Wednesday 20 July 2016

The Vice-Chancellor spoke at the opening the Refurbished Florey Building. Also in attendance were ACT MLA Mr Mick Gentleman and Leader of the ACT Opposition Jeremy Hanson.

Diplomatic Visit

Australian Deputy Head of Mission to Korea

Wednesday 20 July 2016

Mr Ravi Kewalram, Australian Deputy Head of Mission to Korea visited ANU to discuss the potential for ANU involvement in Australia Day 2017 in Seoul. He met with Ms Anne Baly and Dr Brooke Thomas from International Strategy and Partnerships.

VIP Event

ANU Centre for Nuclear Non-Proliferation and Disarmament

Thursday 21 July 2016

Hiroshima Tree Planting Ceremony

The Chancellor, His Excellency Mr Sumio Kusaka, Ambassador for Japan and Deputy Chief of Mission for USA, Mr James Carouso spoke at the planting of a memorial ginkgo biloba tree, a descendant of one of the few trees that survived the bombing of Hiroshima, Japan, in 1945.

VIP Event

Strategic and Defence Studies Centre (SDSC)*Thursday 21 July - Friday 22 July 2016***50th Anniversary of SDSC conference**

VIP's attending the opening and various other sessions included:

- His Excellency Mr Lepani, High Commissioner, Papua New Guinea
- Mr Mike Ketchen Counsellor, New Zealand High Commission
- His Excellency Mr Sumio Kusaka, Ambassador, Embassy of Japan
- His Excellency Mr William Lin, Ambassador Taiwanese
- Ms Ida Bagus Made Bimantara, Embassy of the Republic of Indonesia
- Mr Qi Wei, Embassy of the People's Republic of China
- His Excellency Mr Navdeep Suri, High Commissioner, India
- His Excellency Mr Kyoung-ha Wood, Ambassador of the Republic of Korea
- Captain Chetan Chandegave, Defence Adviser, High Commission of India
- Mr Llewellyn Roberts, Deputy High Commissioner, New Zealand
- Mr Allan McKinnon, Deputy Secretary, National Security
- Air Commodore Stephen Osborne, on behalf of Chief of Airforce
- Brigadier Wade Stothard, Director-General, Army
- Mr Peter Ting, Executive Director, Taipei Economic and Cultural Office in Australia
- Mr Tuo Liu, Director Taipei Economic and Cultural Office in Australia

VIP Event**Strategic and Defence Studies Centre (SDSC)***Thursday 21 July -, Friday 22 July 2016***50th Anniversary of SDSC dinner celebration**

Deputy Vice-Chancellor (Academic) welcomed guests to the conference and congratulated the Centre on its work. VIP's in attendance included:

- His Excellency Mr Charles Watson Lepani, Papua New Guinea High Commissioner
- His Excellency Mr Kyoung-ha Woo, Ambassador of the Republic of Korea
- Mr Llewellyn Roberts, Deputy High Commissioner, New Zealand
- Mrs Trinh Thi Tam DCM, Embassy of Viet Nam
- First Secretary, Ida Bagus Made Bimantara, The Embassy of The Republic of Indonesia
- Mr Qi Wei, Director of Policy Office, Embassy of China
- Mr Mike Pezzullo, Secretary, Department of Immigration and Border Protection
- Air Commodore Stephen Osborne, Representative of the Chief of Air Force, Department of Defence
- Brigadier Wade Stothard, Director-General, Career Management – Army, Department of Defence

VIP Conference**ANU Crawford School of Public Policy***Friday 22 July 2016*

Chinese Ambassador and Secretary of the Department of Communications and the Arts attended the China Update 2016.

VIP Event**ANU College of Engineering and Computer Science***Friday 22 July 2016*

The Acting Vice-Chancellor Professor Margaret Harding spoke at the renaming of the Research School of Information Sciences Building to the Brian Anderson Building.

VIP Event

ANU College of Arts and Social Sciences

Thursday 28 July 2016

Deputy Vice-Chancellor (Academic) spoke at the NAIDOC celebrations acknowledging the achievements of Aboriginal and Torres Strait Islander people at the University.

Major Event

Eat, drink and be literary

Thursday 28 July

Richard Fidler, ABC Radio National, joined Alex Sloan, ABC 666 Canberra, in conversation to discuss his new book, *Ghost Empire*.

190 paying members of the public were in attendance.

VIP Workshop

Chifley Research Centre program

Thursday 28 July – Friday 29 July 2016

The Chifley Research Centre hosted a two-day program with eight Labor Members of Parliament which included ANU academics delivering research sessions. In attendance were:

- Ms Gai Brodtmann MP, Member for Canberra
- Ms Prue Car, Member for Londonderry, NSW
- Ms Sharon Claydon MP, Member for Newcastle
- Mr Pat Conroy MP, Member for Charlton
- Mr Andrew Giles MP, Member for Scullin
- Ms Jo Haylen, Member for Summer Hill
- Senator Jenny McAllister, NSW
- Mr Tim Watts MP, Member for Gellibrand

VIP Event

Centre for Genomics, Metabolomics and Bioinformatics

Friday 29 July 2016

The Vice-Chancellor and CSIRO Chief Executive Larry Marshall officially launched the Centre for Genomics, Metabolomics and Bioinformatics – a joint collaboration.

VIP Event

ANU Colleges of Science

Friday 29 July 2016

The Vice-Chancellor welcomed guests to the Science Joint Colleges Prizes, Awards and Scholarships ceremony.

AUGUST

Major Event

70th Anniversary Celebrations

1 August 2016

The Chancellor and Vice-Chancellor led the University in celebrating our 70th anniversary, with more than 2,000 staff, students and alumni coming together for the birthday celebrations.

VIP Announcement

ACT Government

Tuesday 2 August 2016

Pro Vice-Chancellor (Innovation) welcomed guests and introduced the ACT Assistant Minister for Health, Meegan Fitzharris MLA, who announced the ACT Government Membership to the Medical Research Commercialisation Funds.

VIP Meeting

ANU International Relations Society

Wednesday 2 August 2016

The Philippine's Ambassador participated in the regularly held event 'An Evening with Ambassadors'.

VIP Event

UniLodge

Saturday 6 August 2016

The Vice-Chancellor welcomed students as they arrived at the UniLodge Ball and spoke at the commencement of the evening.

Public Lecture

ANU Research School of Astronomy & Astrophysics

Tuesday 9 August 2016

Representatives from NASA's Jet Propulsion Laboratory spoke about the latest robotic exploration of the solar system focussing on the JUNO and DAWN missions that are currently exploring Jupiter and Ceres.

VIP Lecture

Coral Bell School of Asia Pacific Studies

Tuesday 9 August 2016

The Chancellor hosted the annual 2016 John Gee Memorial Lecture presented by Yukiya Amano, Director General of the International Atomic Energy Agency. The following VIP's were in attendance:

- His Excellency Mr Ahmet Üzümcü, Director General, Organisation for the Prohibition of Chemical Weapons
- His Excellency Dr Helmut Böck, Ambassador, Embassy of Austria
- Mr Petar Nikolaev, Charge d'Affaires, Embassy of the Republic of Bulgaria
- Her Excellency Naela Chohan, High Commissioner for Pakistan
- His Excellency Kulinich Mykola, Ambassador for Ukraine
- Mr Ghollam Abbas Farasoo, Chargé d'Affaires a.i. Islamic Republic of Afghanistan

VIP Launch

ACT Government

Wednesday 10 August 2016

Pro Vice-Chancellor (Innovation) welcomed ACT Minister Meegan Fitzharris MLA to the InnovationACT launch – a joint project between the ACT Government and the Technology Transfer Office, Innovation ANU.

VIP Event

ANU Film Group

Wednesday 10 August 2016

The Israeli Embassy hosted a reception prior to the film of *A Tale of love and darkness*. The Hon Dr Mike Kelly, MP and other members of the diplomatic community were also in attendance.

Institutional visit

University of Padova, Italy

Friday 12 August 2016

ANU hosted a delegation from the University of Padova including Deputy Vice-Chancellor for International Relations, Professor Alessandro Paccagnella; Deputy Vice-Chancellor Technology Transfer, Professor Fabrizio Dughiero; and Advisor for Oceania and South Asia, International Relations, Associate Professor Mara Thiene. The delegation's visit was to explore further collaborations between ANU and the University of Padua and to have ANU sign a new MOU. They met with the Pro Vice-Chancellor (International & Outreach), Pro Vice-Chancellor (Innovation) and Katerina Tan, Acting Manager, Global Programs.

VIP Event

East Asian Bureau of Economic Research

Monday 15 August 2016

The Pro Vice-Chancellor (International) welcomed His Excellency Cheng Jingpe, Ambassador for China and the Hon Dr Andrew Leigh MP to the launch of the Australia-China Joint Economic Report which had been presented to the Prime Minister earlier in the day.

Public Lecture

ANU/The Canberra Times Meet the Author Event

Tuesday 16 August 2016

Peter Stefanovic, from Weekend Today, joined Jack Waterford, former Editor-at-large at *The Canberra Times*, to discuss Stefanovic's new book *Hack in a Flak Jacket*.

More than 260 members of the public were in attendance.

VIP Event

East Asian Bureau of Economic Research

Tuesday 16 August 2016

The Vice-Chancellor welcomed guests at the dinner celebrating the launch Australia-China Joint Economic Report. VIP guests included:

- Senator the Hon Penny Wong
- The Hon Tim Palias Treasurer of Victoria
- He Fan, Chief Economist of Chongyang Institute of Finance, Renmin University
- Mr John Denton, Partner and Chief Executive Officer, Corrs Chambers Westgarth, and
- Ms Jenny McGregor, Group CEO, Asialink

VIP Lecture**Coral Bell School of Asia Pacific Studies***Tuesday 16 August 2016*

His Excellency Mr Sem Fabrizi delivered the lecture 'Who Shapes the EU's China Policy?' as part of the ANU China Seminar Series.

Diplomatic visit**Pakistan Deputy High Commissioner***Tuesday 16 August 2016*

Dr Muhammad Khalid Ejaz, Pakistan Deputy High Commissioner, visited ANU for a meet and greet. He met with Ms Anne Baly and Dr Brooke Thomas from International Strategy and Partnerships.

VIP Event**Alumni Relations and Philanthropy and Office of the Vice-Chancellor***Wednesday 17 August 2016*

The Vice-Chancellor hosted the memorial service for Professor John Love which celebrated Professor Love's contribution to ANU and his passion for research, teaching and mentoring.

VIP Event**Bruce Hall***Wednesday 17 August 2016*

The Vice-Chancellor attended the Bruce Hall Academic Dinner and presented achievement awards.

VIP Event**Research School of Astronomy and Astrophysics***Friday 19 August 2016*

The Vice-Chancellor spoke at two sessions during the public Mount Stromo Stargazing night.

VIP Conference**Office of the Vice-Chancellor***Saturday 20 August 2016*

The Vice-Chancellor delivered the opening address at the Model United Nations Assembly (MUNA).

VIP Launch**Australia National Dictionary Centre and Oxford University Press***Tuesday 23 August 2016*

The Deputy Vice-Chancellor (Research) greeted guests and welcome the Hon Dr Andrew Leigh MP to launch the 2nd Edition of Australian National Dictionary held at Australian Parliament House.

VIP Event**Centre for Personalised Immunology (CPI)***Tuesday 23 August 2016*

The Vice-Chancellor and Chair of the CPI Advisory Board, Mr Peter Yates, hosted a dinner with 31 guests in Melbourne to celebrate the CPI success as a NHMRC Centre of Research Excellence.

Public Lecture

ANU Research School of Biology

Tuesday 23 August 2016

Four internationally recognised researchers presented their own research on different aspects of Australian biodiversity. More than 150 member of the public were in attendance.

VIP Event

ANU Film Group

Tuesday 23 August 2016

His Excellency Mr Chris Seed, High Commissioner for New Zealand, hosted a reception prior to the viewing of the film *Hunt for the Wilderpeople*.

VIP Conference

Humanities Research Centre

Wednesday 24 August 2016

ANU Russian Conference: Putin's Russia in the Wake of the Cold War – Reception

Pro Vice-Chancellor (Innovation) attended and spoke at the reception welcoming guests from overseas and interstate to the University for the conference.

Public Lecture

Humanities Research Centre

Thursday 25 August 2016

Dr Andrey Kortunov and Professor Richard Sakwa discussed Russia's new directions under the government of President Vladimir Putin. More than 200 members of the public were in attendance.

VIP Conference

Coral Bell School of Asia Pacific Studies

Friday 26 August 2016

The High Commissioner of Malaysia, Deputy Head of Mission Republic of Slovenia, Deputy Head of Mission Morocco and representatives from the Norwegian and United States Embassy attended the 2016 Malaysia Update Conference.

VIP Event

ANU College of Arts and Social Sciences

Friday 26 August 2016

The Vice-Chancellor spoke and officially opened the inaugural Inaugural PhB Symposium. A PhB is the undergraduate equivalent of PhD. Students are required to complete research projects throughout with one-on-one guidance.

VIP Roundtable

Office of the Vice-Chancellor

Friday 26 August 2016

The Vice-Chancellor spoke at the Climate Action Roundtable of Premiers and Lord Mayors, a forum some of the Mayor's and Members of Parliament of NSW, VIC, QLD, SA, TAS and ACT come together to discuss effective climate action.

VIP Conference

Coral Bell School of Asia Pacific Studies

Friday 26 August 2016

The Indian High Commissioner, New Zealand High Commissioner, Papua New Guinea High Commissioner and the Indonesian Ambassador participated in a panel titled 'Australia 360: How is Australia traveling in today's world.'

Major Event

Canberra Writers Festival (CWF)

Friday 26 August 2016

The Vice-Chancellor welcomed the audience to the CWF event with *Life of Pi* author, Yann Martel. More than 500 members of the public were in attendance.

Major Event

Canberra Writers Festival (CWF)

Saturday 27 August 2016

The Vice-Chancellor welcomed the audience to the CWF event with philosopher Professor A C Grayling. After the A C Grayling spoke he joined the Vice-Chancellor in conversation. More than 200 members of the public were in attendance.

Public Lecture

ANU/The Canberra Times Meet the Author Series

Tuesday 30 August 2016

Bestselling American author Justin Cronin - in his only Canberra appearance between the Melbourne and Brisbane Writers Festival – joined ANU Emeritus Fellow in conversation. More than 200 members of the public were in attendance.

SEPTEMBER

VIP Meeting

Office of the Vice-Chancellor

Thursday 1 September 2016

Latin American Ambassadors meeting with the Vice-Chancellor

Ambassadors of Ecuador, Colombia, Uruguay, Paraguay and Argentina discussed the work of Dr John Minns and the Centre for Latin American Studies.

VIP Visits

Office of the Vice-Chancellor

Thursday 1 September 2016

Mr Hartzler, CEO Westpac, visited ANU and met with the Vice-Chancellor as well as undertaking a lab tour of Westpac Scholarship recipient Dr Tricoli, the Science Precinct and Union Court where the proposed redevelopment was discussed.

VIP Lecture

Research School of Psychology*Friday 2 September 2016*

The Vice-Chancellor introduced the guest lecturer Dr Tim Soutphommasane, Race Discrimination Commissioner, at the Psychology Annual Lecture.

VIP Workshop**Centre for Mathematics and its Applications***Monday 5 September 2016*

The Vice-Chancellor provided opening comments for the workshop that was held to recognise the work and contribution of Professor Peter Hall, Centre for Mathematics and its Applications.

Australian National University - Research Services Division

Grants and Consultancies

Awarded between 29 June and 7 September 2016

College of Arts and Social Science..... 2

College of Asia and the Pacific..... 3

College of Business and Economics 4

College of Engineering and Computer Science 5

College of Medicine, Biology and Environment 6

College of Physical and Mathematical Sciences..... 8

Caveats:

1. The amount shown reflects the funds that were awarded for the entire grant/consultancy, grouped against the primary funds provider.
2. Although many grants/consultancies are collaborative efforts involving more than one area of the ANU, they are reported under the college of the primary department.
3. All amounts reported are in Australian dollars.
4. In a few cases the amount reported is nil. This can be for a variety of reasons, such as the contract is still under negotiation, or that the project is a non-monetary agreement.

**College of Arts and
Social Science**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
Commonwealth Attorney-Generals Department	Dr Raihan Ismail	Political Islam Seminars 6-8 September 2016	\$32,975
Commonwealth Department of Employment	Dr Nicholas Biddle	Methodology for evaluating value for money and deadweight cost of employment programmes	
NT Chief Minister's Department	Dr Marisa Fogarty	Development and pilot of health promotion initiative addressing gambling in Indigenous communities in the Northern Territory.	\$732,083

College of Asia and the Pacific

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
Commonwealth Department of Foreign Affairs and Trade, Australia-Japan Foundation	Dr Shiro Armstrong	Japan Update 2016	\$16,000
Commonwealth Department of Foreign Affairs and Trade, Australia-Japan Foundation	Prof Peter Drysdale	National Parliamentary Fellowships Program - Japan	\$27,000
Commonwealth Department of Industry, Innovation and Science	Dr Robert Costanza	Young Australians Plan for the Planet	\$50,000
Commonwealth Dept of Foreign Affairs & Trade, Australian Cnt for International Agricultural Res(ACIAR)	Prof R Quentin Grafton	Improving policies and institutions for sustainable intensification of agriculture and resilient food systems in the Eastern Indo-Gangetic Plains	\$269,955
Japan Foundation, The	Dr Mark Gibeau	ACT Japanese Language Speech Contest 2016	\$1,500
Japan Foundation, The	A/Prof Simon Avenell	Japan Update 2016	\$11,320
Japan Foundation, The	Dr Shiro Armstrong	Japanese Policy in Global Context	\$200,000
Wenner-Gren Foundation	Dr Julien Louys	First Contact: Impact Of Pleistocene Hominins On Island Ecosystems	

**College of Business and
Economics**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
Accounting and Finance Association of Australia and New Zealand	Dr Stanley Choi	Deterrent effects of disclosure regulation enforcements under the responsive enforcement regime	\$5,000

**College of Engineering
and Computer Science**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
ACT Chief Minister, Treasury and Economic Development Directorate	Dr Shayne Flint	TechBroker - Increasing Collaboration across the ACT Innovation Eco-system by Simplifying access to ANU Facilities and Intellectual Assets	

**College of Medicine,
Biology and
Environment**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
ACT Environment and Planning Directorate	Dr Danswell Starrs	Data of water quality analytes (elemental abundances)	
ANU Connect Ventures Pty Ltd	A/Prof Peter Solomon	Novel bio-herbicides: Induction of plant defence responses via application of CAPE1 peptides	
ANU Connect Ventures Pty Ltd	Dr Charani Ranasinghe	Novel HIV vaccine late pre-clinical evaluation (DTF230)	\$50,000
Australian Academy of Science	Dr Andrew Ross	Managing the impacts of climate change on groundwater by means of managed aquifer recharge and storage	\$3,198
Australian Network for Art and Technology	Dr Ted Maddess	2016 Synapse Art and Science Residency program - Jessica Herrington	
Australian Sports Commission	Dr Ted Maddess	Concussion in Sport	
Bioplatforms Australia Ltd	Ms Stephanie Palmer	Oz Mammals Genome Project	\$200,000
Commonwealth Scientific and Industrial Research Organisation (CSIRO)	Ms Kara Nicole Youngentob	TERN AusCover Collaboration Agreement	
Cotton Research and Development Corporation	Ms Kate McPherson	Attend 18th Cotton Conference: Stoichiometric ratios of cotton soils under different land management practices: consequences for carbon storage and cropping strategies	\$1,555

**College of Medicine,
Biology and
Environment**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
Cotton Research and Development Corporation	Dr Nilantha Hulugalle	Using Saline and Sodic Soils Research	\$3,000
European Commission	Mr Philip Batterham	Towards evidence-based tailored implementation strategies for eHealth - ImpleMentAll	
Natural Environment Research Council (UK)	Prof Loeske Kruuk	Modelling red deer and elephant behaviour using satellite data to estimate food availability	
nuCoria Pty Ltd	Dr Ted Maddess	nuCoria Work Order 2016-1	\$93,590
Pimera Inc.	Dr Katherine Hannan	Evaluation of PMR-116 in transgenic models of multiple myeloma (MM)	\$16,903
Royal Australian College of General Practitioners	Dr Elizabeth Sturgiss	Development and validation of the “Working Alliance Inventory” to measure therapeutic alliance in general practice	\$15,000
Royal Australian College of General Practitioners	Ms Madeline Thomson	Indigenous Wellbeing and Climate Change on Country: Ltyentye Apurte community member’s perspectives	\$2,270
The Lowitja Institute Aboriginal and Torres Strait Islander Health CRC	Mr Raymond Lovett	An international review of the defining of Indigenous cultural determinants of wellbeing & an evidence review of the relationship of Indigenous cultural factors and wellbeing outcomes	\$138,447
The Task Force for Global Health	Dr Colleen Lau	TAS Strengthening in American Samoa	\$124,745

**College of Physical and
Mathematical Sciences**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
ACT Chief Minister, Treasury and Economic Development Directorate	Dr Karmen Condic-Jurkic	CBR16/1700032 MD Box: A Could based repository and analysis toolkit for molecular dynamics simulations	
ACT Chief Minister, Treasury and Economic Development Directorate	Dr Naomi Mathers	From Sensors to Solutions: Leveraging Existing Earth Observation from Space Capability and Infrastructure in the ACT to grow the Space Economy	
ACT Chief Minister, Treasury and Economic Development Directorate	Dr Joseph Hope	MeriSTEM: Modular educational resources in Science, Technology, Engineering and Mathematics	\$55,000
ACT Environment and Sustainable Development Directorate	Prof Kenneth Baldwin	Proposal for a new research program on Battery Storage and Integration at the Australian National University Energy Change Institute	
Australian IODP Office	Dr Stewart Fallon	Addressing the critical need for radiocarbon- based stratigraphic control on the Gulf of Alaska Margin: IODP Exp 341	\$19,100
Australian National Low Emissions Coal Research & Development	Dr Adrian Sheppard	Multiscale static and dynamic modelling of Precipice Facies	
Australian Synchrotron	Dr Patrick Kluth	SAXS study of ion track etched nanopore membranes in polymer films	\$1,720
Commonwealth Department of Defence, Defence Science and Technology Organisation (DSTO)	Prof John Close	The development and demonstration of a capability and technology demonstrator - Precision inertial navigation with cold atom system	

**College of Physical and
Mathematical Sciences**

Primary Funds Provider	Primary Investigator	Title	Total Amount Awarded
Commonwealth Department of Education and Training	Prof Timothy Senden	Physical Data Project Plexus - ANDS NCRIS	\$160,000
Evonik Degussa GmbH	Prof Michelle Coote	Reversible Diels Alder reactions	\$7,318
Giant Magellan Telescope Organization	Dr Matthew Colless	GMTO Master Task Order Agreement - Instrumentation/AO and system engineering support	
Questacon	Dr Graham Walker	Science Centre Impact Research Project	\$30,000

THE AUSTRALIAN NATIONAL UNIVERSITY

Council (Elections) Order 2016 (No. 2)

I, Professor Brian P. Schmidt, Vice-Chancellor of the Australian National University, make the following order.

Dated 8 JULY 2016

Professor Brian P. Schmidt AC FAA FRS
Vice-Chancellor

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1 Name

This is the *Council (Elections) Order 2016 (No. 2)*.

2 Commencement

This instrument commences on the day after it is registered.

3 Authority

This instrument is made under section 21 of the *Council (Elections) Statute 2016*.

4 Definitions

In this instrument:

the Act means the *Australian National University Act 1991*.

the rule means the *Council (Elections) Rule 2016*.

the statute means the *Council (Elections) Statute 2016*.

5 Deans and research school heads

- (1) This section specifies, under section 5(2) of the statute, the bodies that are to be regarded as colleges and research schools and the persons who are to be regarded as a dean or head of such a body.
- (2) For section 10(1)(k) of the Act, the statute and the rule:
 - (a) the bodies mentioned in column 2 of the table in Schedule 1 are the bodies that are to be regarded as colleges and research schools; and
 - (b) the person holding the office mentioned in column 1 of an item in the table is to be regarded as the dean or head of the body specified in column 2 of the item.
- (3) If the name of a body or office mentioned in the table in Schedule 1 changes, a reference in the table to the body or office by its previous name is taken, after the change, to be a reference to the body or office by its new name.

6 Repeal

The *Council (Elections) Order 2016* is repealed:

Schedule 1—Deans and research school heads

1 Table of deans and research school heads

The following table specifies colleges and research schools and their deans or heads.

Table—deans and research school heads		
Item	Column 1 specified offices	Column 2 specified bodies
1	Dean	ANU College of Arts and Social Sciences
2	Director	Research School of Humanities and the Arts
3	Director	Research School of Social Sciences
4	Dean	ANU College of Asia and the Pacific
5	Director	ANU Crawford School of Public Policy
6	Director	Australian Centre on China in the World
7	Director	Coral Bell School of Asia Pacific Affairs
8	Director	Regulatory Institutions Network
9	Director	School of Culture, History and Language
10	Dean	ANU College of Business and Economics
11	Director	Research School of Accounting
12	Director	Research School of Economics
13	Director	Research School of Finance, Actuarial Studies and Statistics
14	Director	Research School of Management
15	Dean	ANU College of Engineering and Computing Science
16	Director	Research School of Computer Science
17	Director	Research School of Engineering
18	Dean	ANU College of Law
19	Head	School of Law
20	Dean	ANU College of Medicine, Biology and Environment
21	Dean	ANU Medical School
22	Director	Fenner School of Environment and Society
23	Director	John Curtin School of Medical Research
24	Director	Research School of Biology
25	Director	Research School of Population Health
26	Director	Research School of Psychology
27	Dean	ANU College of Physical and Mathematical Sciences
28	Director	Australian National Centre for the Public Awareness of Science
29	Director	Mathematical Sciences Institute
30	Director	Research School of Astronomy and Astrophysics
31	Director	Research School of Chemistry
32	Director	Research School of Earth Sciences

Table—deans and research school heads		
Item	Column 1 specified offices	Column 2 specified bodies
33	Director	Research School of Physics and Engineering
34	Director	National Centre for Indigenous Studies

THE AUSTRALIAN NATIONAL UNIVERSITY

Academic Board and Committees Rule 2016

I, Professor Brian P. Schmidt, Vice-Chancellor of the Australian National University, make the following rule.

Dated 30 August 2016

Professor Brian P. Schmidt AC FAA FRS
Vice-Chancellor

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Part 1—Preliminary

1 Name

This is the *Academic Board and Committees Rule 2016*.

2 Commencement

This instrument commences on the day after it is registered.

3 Authority

This instrument is made under section 11 of the *Academic Board and Committees Statute 2014*.

4 Definitions

In this instrument:

postgraduate student association means the Australian National University Postgraduate and Research Students' Association Incorporated, an association incorporated under the *Associations Incorporation Act 1991* (ACT).

returning officer, for an election of members of the Academic Board, means the person who is appointed under section 8 of the statute as the Returning Officer for the election.

student association means the postgraduate student association or undergraduate student association.

the statute means the *Academic Board and Committees Statute 2014*.

undergraduate student association means the Australian National University Students' Association Incorporated, an association incorporated under the *Associations Incorporation Act 1991* (ACT).

working day means a day that is not a Saturday, a Sunday, a public holiday in the Australian Capital Territory, or a University holiday.

[Note: The statute defines terms relevant to this instrument, including the following:

- academic staff
- Board
- College Executive Committee.]

Part 2—Academic Board: meetings and vacancies

5 Academic Board: meetings

- (1) The Chair of the Academic Board is to call meetings of the Board and presides at all meetings at which the Chair is present.

[Note: It is expected that the Board will meet at least 6 times a year.]

- (2) The Chair must call a meeting if asked by at least the required number of members.
- (3) Business may be carried out at a meeting only if at least the required number of members are present.
- (4) At a meeting, the Chair has a deliberative vote and a casting vote.
- (5) If the Chair is for any reason unable to call or preside at a meeting or otherwise perform the functions or duties of the Chair, the Deputy Chair is to act as the Chair.

[Note: The *Acts Interpretation Act 1901* has provisions applying to the acting (see s 33A(2) and (3)).]

- (6) The Board is to conduct its proceedings (including its meetings) as otherwise decided by the Chair taking into account the advice of the members.

[Note: Under the *Acts Interpretation Act 1901*, s 33B the Board may permit members to participate in meetings by telephone, close-circuit television or any other means of communication.]

- (7) The Vice-Chancellor must nominate officers of the University to provide secretariat and other support to the Board.
- (8) In this section:

required number, of members of the Board, means:

- (a) 50% of the number of the members of the Board for the time being; or
- (b) if that number is not a whole number—the next higher whole number.

6 Academic Board: alternates

- (1) This section applies to meetings of the Academic Board.
 - (2) If a Registrar is unable to attend a meeting, the Registrar may appoint, as an alternate to attend that meeting, a person who holds a senior management role in a Division for which the Registrar has direct responsibility.
 - (3) If a Dean of an ANU College is unable to attend a meeting, the Dean may appoint, as an alternate to attend that meeting, an academic staff member who is a member of the College Executive Committee of that College.
 - (4) If the Dean, ANU Medical School is unable to attend a meeting, the Dean may appoint, as an alternate to attend that meeting, a senior member of the academic staff of the ANU Medical School.
 - (5) If the University Librarian is unable to attend a meeting, the University Librarian may appoint, as an alternate to attend that meeting, a person who holds a senior management role in the University Library and reports directly to the University Librarian.
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- (6) If an elected member of the College Executive Committees of the ANU Colleges is unable to attend a meeting, the member may appoint, as an alternate to attend that meeting, an academic staff member who is a member of the College Executive Committee (other than a Dean) of any ANU College.
 - (7) If an elected academic staff member from an ANU College is unable to attend a meeting, the Dean of the College may appoint a person as an alternate to attend that meeting.
 - (8) If a member mentioned in section 6.1(q) of the statute is unable to attend a meeting, the member may appoint, as an alternate to attend that meeting, another member of the professional staff of the University approved by the Chair.

[Note: The 'general' staff of the University are now usually referred to as the 'professional' staff.]

- (9) If a student member is unable to attend a meeting, the student member may appoint, as an alternate to attend that meeting, a student member of the governing body of the relevant student association.
- (10) If the member nominated under section 6.1(t) of the statute is unable to attend a meeting, the member may appoint, as an alternate to attend that meeting, a member of the academic staff who is an Indigenous person.

[Note: Section 6.1(t) of the statute provides for the nomination of a member of the academic staff who is an Indigenous person as a member if there is not an Indigenous person holding a position on the Board.]

7 Academic Board: rights of alternates

An alternate for a member of the Academic Board:

- (a) counts towards the Board's quorum; and
- (b) may speak, move and vote on matters before the Board; but
- (c) may not be appointed as Deputy Chair or otherwise preside at a meeting of the Board.

8 Academic Board: vacancies etc.

- (1) If an elected, appointed or nominated member of the Academic Board is absent from 3 meetings of the Board without the written approval of the Chair, or an elected or appointed member ceases to be eligible for election or appointment, the Chair may:
 - (a) by written notice given to the member, remove the member from the Board; and
 - (b) in writing, appoint a person who is eligible for election, appointment or nomination to the position to complete the remainder of the former member's term.
 - (2) If an elected, appointed or nominated member dies or resigns by written notice given to the Chair, the Chair may, in writing, appoint a person who is eligible for election, appointment or nomination to the position to complete the remainder of the former member's term.
 - (3) If there is a casual vacancy in the position of an elected member because of a declaration under section 30(3) (Nominations not exceeding positions to be filled), the Chair may, in writing, appoint a person who is eligible to be elected to the position to fill the casual vacancy.
 - (4) Before making an appointment under this section in relation to a position mentioned in section 6.1(j) to (p), (r), (s) or (t) of the statute, the Chair must consult with:
 - (a) for a position mentioned in section 6.1(j) to (p) of the statute—the Dean of the relevant ANU College; or
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- (b) for a position mentioned in section 6.1(r) or (s) of the statute—the President of the relevant student association; or
 - (c) for the position mentioned in section 6.1(t) of the statute—the Tjabal Indigenous Higher Education Centre.

[Note: The positions mentioned in section 6.1(j) to (p) of the statute are the academic staff positions elected from the ANU Colleges. The positions mentioned in section 6.1(r) and (s) are the student member positions. The position mentioned in section 6.1(t) is the Indigenous academic staff member position.]

9 Academic Board: subcommittees

The Academic Board may establish subcommittees to assist it to perform its functions.

Part 3—University Research Committee

10 University Research Committee: membership etc.

- (1) There is to be a committee known as the University Research Committee.
- (2) The Committee consists of the following members:
 - (a) the Deputy Vice-Chancellor (Research);
 - (b) the Dean, Higher Degree Research;
 - (c) the Registrar (Student Administration);
 - (d) the Dean, ANU Medical School;
 - (e) the Director of the Division of Research Services;
 - (f) the Chair of each of the following committees of the University:
 - (i) the Human Research Ethics Committee;
 - (ii) the Animal Experimentation Ethics Committee;
 - (iii) the Recombinant DNA Monitoring Committee;
 - (g) the Dean of each ANU College or the Dean's nominee;
 - (h) 1 academic staff member from each ANU College nominated by the Dean of the College and appointed by the Chair of the Committee;
 - (i) the Director of the National Centre for Indigenous Studies;
 - (j) 1 senior academic researcher appointed by the Chair of the Committee;
 - (k) 1 early career academic researcher appointed by the Chair of the Committee;
 - (l) the University Librarian;
 - (m) 1 postgraduate student appointed by the Chair of the Committee after consultation with the President of the postgraduate student association;
 - (n) 1 undergraduate student appointed by the Chair of the Committee after consultation with the President of the undergraduate student association;
 - (o) 1 member of the professional staff of the University appointed by the Chair of the Committee;
 - (p) not more than 2 additional members appointed by the Chair of the Committee to provide a diversity of views if the Chair considers that the current membership of the Committee does not reflect the academic and cultural diversity of the University.
- (3) The Committee is to have a Chair and a Deputy Chair.
- (4) The Deputy Vice-Chancellor (Research) is the Chair.
- (5) The Committee is to elect a member of the Committee as the Deputy Chair.
- (6) An appointment or nomination under this section must be made in writing.
- (7) An appointed member of the Committee is appointed for a term of:
 - (a) for the postgraduate and undergraduate student members mentioned in subsection (2)(m) and (n)—1 year; and
 - (b) for any other appointed member—2 years.

[Note: An appointed member may be reappointed (see *Acts Interpretation Act 1901*, s 33AA).]

11 University Research Committee: terms of reference

- (1) The University Research Committee may advise the Academic Board or the Vice-Chancellor:
 - (a) on matters relating to research and research training at the University; and
 - (b) on major issues relevant to the University's strategic plans and overarching policy; and
 - (c) on any matter referred to the Committee by the Academic Board, the Vice-Chancellor, the Chair of the Committee, or a member of the University Executive with portfolio responsibility for research.
- (2) In carrying out its role, the Committee is to:
 - (a) monitor the development of the University's policies and plans in relation to research; and
 - (b) advise on the coordination of the University's research effort; and
 - (c) monitor the quality of the University's research activities.

12 University Research Committee: meetings

- (1) The Chair of the University Research Committee is to call meetings of the Committee and presides at all meetings at which the Chair is present.

[Note: It is expected that the Committee will meet at least 5 times a year.]

- (2) The Chair must call a meeting if asked by at least the required number of members.
- (3) Business may be carried out at a meeting only if at least the required number of members are present.
- (4) At a meeting, the Chair has a deliberative vote and a casting vote.
- (5) If the Chair is for any reason unable to call or preside at a meeting or otherwise perform the functions or duties of the Chair, the Deputy Chair is to act as the Chair.

[Note: The *Acts Interpretation Act 1901* has provisions applying to the acting (see s 33A(2) and (3)).]

- (6) The Committee is to conduct its proceedings (including its meetings) as otherwise decided by the Chair taking into account the advice of the members.

[Note: Under the *Acts Interpretation Act 1901*, s 33B the Committee may permit members to participate in meetings by telephone, close-circuit television or any other means of communication.]

- (7) The Vice-Chancellor must nominate officers of the University to provide secretariat and other support to the Committee.

- (8) In this section:

required number, of members of the Committee, means:

- (a) 50% of the number of the members of the Committee for the time being; or
- (b) if that number is not a whole number—the next higher whole number.

13 University Research Committee: alternates

- (1) This section applies to meetings of the University Research Committee.
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- (2) If the Registrar (Student Administration) is unable to attend a meeting, the Registrar may appoint, as an alternate to attend that meeting, a person who holds a senior management role in a Division for which the Registrar has direct responsibility.
 - (3) If the Dean, ANU Medical School is unable to attend a meeting, the Dean may appoint, as an alternate to attend that meeting, a senior member of the academic staff of the ANU Medical School.
 - (4) If the Director of the Division of Research Services is unable to attend a meeting, the Director may appoint, as an alternate to attend that meeting, a person who holds a senior management role in that Division and reports directly to the Director.
 - (5) If the Chair of the University's Human Research Ethics Committee, Animal Experimentation Ethics Committee, or Recombinant DNA Monitoring Committee, is unable to attend a meeting, the Chair of that Committee may appoint, as an alternate to attend that meeting, the Deputy Chair of that Committee.
 - (6) If the Director of the National Centre for Indigenous Studies is unable to attend a meeting, the Director may appoint, as an alternate to attend that meeting, a member of the academic staff who is an Indigenous person.
 - (7) If the University Librarian is unable to attend a meeting, the University Librarian may appoint, as an alternate to attend that meeting, a person who holds a senior management role in the University Library and reports directly to the University Librarian.
 - (8) If an appointed member of the Committee is unable to attend a meeting, the member may appoint, as an alternate to attend that meeting, a person eligible for appointment to the member's position.
 - (9) An appointment under this section must be in writing.

14 University Research Committee: rights of alternates

An alternate for a member of the University Research Committee:

- (a) counts towards the Committee's quorum; and
- (b) may speak, move and vote on matters before the Committee; but
- (c) may not be appointed as Deputy Chair or otherwise preside at a meeting of the Committee.

15 University Research Committee: vacancies etc.

- (1) If an appointed member of the University Research Committee is absent from 3 meetings of the Committee without the written approval of the Chair, or an appointed member ceases to be eligible for appointment, the Chair may:
 - (a) by written notice given to the member, remove the member from the Committee; and
 - (b) in writing, appoint a person who is eligible for appointment to the position to complete the remainder of the former member's term.
 - (2) If an appointed member dies or resigns by written notice given to the Chair, the Chair may, in writing, appoint a person who is eligible for appointment to the position to complete the remainder of the former member's term.
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16 University Research Committee: subcommittees

The University Research Committee may establish subcommittees to assist it to perform its functions.

Part 4—University Education Committee

17 University Education Committee: membership etc.

- (1) There is to be a committee known as the University Education Committee.
- (2) The Committee consists of the following members:
 - (a) the Deputy Vice-Chancellor (Academic);
 - (b) the Pro Vice-Chancellor (University Experience);
 - (c) the Pro Vice-Chancellor (Education and Global Engagement);
 - (d) the Dean, ANU Medical School;
 - (e) the Dean of each ANU College or the Dean's nominee;
 - (f) 1 academic staff member from each ANU College nominated by the Dean of the College and appointed by the Chair of the Committee;
 - (g) the Dean of Students;
 - (h) 1 research-intensive academic staff member appointed by the Chair of the Committee;
 - (i) the Director of the Tjabal Indigenous Higher Education Centre;
 - (j) the Registrars;
 - (k) the University Librarian;
 - (l) 1 postgraduate student appointed by the Chair of the Committee after consultation with the President of the postgraduate student association;
 - (m) 1 undergraduate student appointed by the Chair of the Committee after consultation with the President of the undergraduate student association;
 - (n) 1 General Manager of an ANU College appointed by the Chair of the Committee;
 - (o) 1 member of the professional staff of the University appointed by the Chair of the Committee;
 - (p) not more than 2 additional members appointed by the Chair of the Committee to provide a diversity of views if the Chair considers that the current membership of the Committee does not reflect the academic and cultural diversity of the University.
- (3) The Committee is to have a Chair and a Deputy Chair.
- (4) The Deputy Vice-Chancellor (Academic) is the Chair.
- (5) The Committee is to elect a member of the Committee as the Deputy Chair.
- (6) An appointment or nomination under this section must be made in writing.
- (7) An appointed member of the Committee is appointed for a term of:
 - (a) for the postgraduate and undergraduate student members mentioned in subsection (2)(l) and (m)—1 year; and
 - (b) for any other appointed member—2 years.

[Note: An appointed member may be reappointed (see *Acts Interpretation Act 1901*, s 33AA).]

18 University Education Committee: terms of reference

- (1) The University Education Committee may advise the Academic Board or the Vice-Chancellor:
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- (a) on matters relating to the University's educational programs, including postgraduate coursework and activities; and
 - (b) on major issues relevant to the University's strategic plans and overarching policy; and
 - (c) on any matter referred to the Committee by the Academic Board, the Vice-Chancellor, the Chair of the Committee, or a member of the University Executive with portfolio responsibility for education.
- (2) In carrying out its role, the Committee is to:
- (a) monitor the development of the University's policies and plans in relation to education; and
 - (b) advise on the coordination of the University's educational activities; and
 - (c) monitor the quality of the University's educational programs and activities.

19 University Education Committee: meetings

- (1) The Chair of the University Education Committee is to call meetings of the Committee and presides at all meetings at which the Chair is present.

[Note: It is expected that the Committee will meet at least 6 times a year.]

- (2) The Chair must call a meeting if asked by at least the required number of members.
- (3) Business may be carried out at a meeting only if at least the required number of members are present.
- (4) At a meeting, the Chair has a deliberative vote and a casting vote.
- (5) If the Chair is for any reason unable to call or preside at a meeting or otherwise perform the functions or duties of the Chair, the Deputy Chair is to act as the Chair.

[Note: The *Acts Interpretation Act 1901* has provisions applying to the acting (see s 33A(2) and (3)).]

- (6) The Committee is to conduct its proceedings (including its meetings) as otherwise decided by the Chair taking into account the advice of the members.

[Note: Under the *Acts Interpretation Act 1901*, s 33B the Committee may permit members to participate in meetings by telephone, close-circuit television or any other means of communication.]

- (7) The Vice-Chancellor must nominate officers of the University to provide secretariat and other support to the Committee.

- (8) In this section:

required number, of members of the Committee, means:

- (a) 50% of the number of the members of the Committee for the time being; or
- (b) if that number is not a whole number—the next higher whole number.

20 University Education Committee: alternates

- (1) This section applies to meetings of the University Education Committee.
- (2) If the Dean, ANU Medical School is unable to attend a meeting, the Dean may appoint, as an alternate to attend that meeting, a senior member of the academic staff of the ANU Medical School.
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- (3) If the Director of the Tjabal Indigenous Higher Education Centre is unable to attend a meeting, the Director may appoint, as an alternate to attend that meeting, a member of the academic staff who is an Indigenous person.
 - (4) If a Registrar is unable to attend a meeting, the Registrar may appoint, as an alternate to attend that meeting, a person who holds a senior management role in a Division for which the Registrar has direct responsibility.
 - (5) If the University Librarian is unable to attend a meeting, the University Librarian may appoint, as an alternate to attend that meeting, a person who holds a senior management role in the University Library and reports directly to the University Librarian.
 - (6) If an appointed member of the Committee is unable to attend a meeting, the member may appoint, as an alternate to attend that meeting, a person eligible for appointment to the member's position.
 - (7) An appointment under this section must be in writing.

21 University Education Committee: rights of alternates

An alternate for a member of the University Education Committee:

- (a) counts towards the Committee's quorum; and
- (b) may speak, move and vote on matters before the Committee; but
- (c) may not be appointed as Deputy Chair or otherwise preside at a meeting of the Committee.

22 University Education Committee: vacancies etc.

- (1) If an appointed member of the University Education Committee is absent from 3 meetings of the Committee without the written approval of the Chair, or an appointed member ceases to be eligible for appointment, the Chair may:
 - (a) by written notice given to the member, remove the member from the Committee; and
 - (b) in writing, appoint a person who is eligible for appointment to the position to complete the remainder of the former member's term.
- (2) If an appointed member dies or resigns by written notice given to the Chair, the Chair may, in writing, appoint a person who is eligible for appointment to the position to complete the remainder of the former member's term.

23 University Education Committee: subcommittees

The University Education Committee may establish subcommittees to assist it to perform its functions.

Part 5—Academic Board: general election provisions

24 Provisions applying to Academic Board elections

- (1) An election for members of the Academic Board must be conducted in accordance with this Part, any provisions of Part 4 (Academic Board: provisions for particular elections) applying to the election, and any other provisions of this instrument applying to the election.
- (2) However, for section 6(1)(j) to (p) of the statute, a College Dean may adopt an alternative election procedure (in whole or part) for the election of academic staff members from the Dean's college.

[Note: Section 6.1(j) to (p) of the statute relates to the academic staff positions elected from the ANU Colleges.]

25 Giving notices etc.

- (1) If this instrument requires or permits a notice, voting paper, publication or anything else to be given to a person by the returning officer, the returning officer may send it to the person:
 - (a) by messenger to a place in the University that the returning officer considers appropriate; or
 - (b) by post to an address that the returning officer considers appropriate; or
 - (c) by electronic means to an email or internet address that the returning officer considers appropriate.
- (2) However, if a person entitled to vote in the election applies to the returning officer personally for a voting paper after voting papers have been sent in the election and before the close of the poll, the returning officer may give a voting paper to the person personally or send a voting paper electronically to the person at the person's email or internet address.

26 Elections conducted electronically

- (1) The returning officer may conduct the election using the internet.
 - (2) If the election is conducted using the internet:
 - (a) a reference in this instrument to a *notice board* includes a reference to the appropriate page of the University's internet website or to an email sent to an appropriate email address, or to the email address of each person eligible to vote the election; and
 - (b) if a standard official email address within the University's email system is provided to a person, that email address may be regarded as the person's address; and
 - (c) voting papers for the election may be given by email or on the internet; and
 - (d) the provisions of this instrument (apart from this section) apply to the election with the modifications the returning officer considers necessary or appropriate for the election to be conducted using the internet.
 - (3) Despite subsection (2)(d), if the election is conducted using the internet, the returning officer must ensure that the ballot is secret and that the identity of each person voting is kept separately from the person's vote.
 - (4) This section has effect despite any other provision of this instrument.
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27 Intervals to be allowed by returning officer

In the conduct of the election, the returning officer must allow the following intervals:

- (a) between the publication of the fact that the election is necessary and the day by which nominations must reach the returning officer, not shorter than 10 and not longer than 20 working days;
- (b) between the day by which nominations must reach the returning officer and the issue of voting papers, not longer than 20 working days;
- (c) between the issue of voting papers and the day by which voting papers must reach the returning officer, not shorter than 10 and not longer than 40 working days.

28 Nominations to be made to returning officer

Nominations of candidates in the election must be made to the returning officer.

29 Nomination requirements

A nomination must be signed by 2 persons eligible to vote in the election (other than the candidate) and must contain the signed consent of the candidate to the nomination.

30 Nominations not exceeding positions to be filled

- (1) If, for the election, the number of nominations does not exceed the number of positions to be filled, the returning officer must declare the persons nominated to be elected.
- (2) If, after all nominees have been declared elected, not all positions have been filled, the returning officer must re-open nominations for a further period not longer than 10 working days.
- (3) If, at the end of the further period, there are still insufficient nominations to fill all of the positions, the returning officer must, in writing, declare that there is a casual vacancy in each of the unfilled positions.

31 Envelopes to be issued with voting paper

The returning officer must issue the following envelopes with every voting paper:

- (a) an envelope marked 'Voting Paper';
- (b) another envelope addressed to the returning officer on which a form of declaration is endorsed.

32 Voting papers to contain candidate names etc.

Every voting paper must contain the names of the candidates, in the order decided by the returning officer in public by lot, and indicate any retiring candidate.

33 Lost or destroyed voting papers

If a person's voting paper is lost or destroyed, the returning officer must give the person a duplicate on the person's written application.

34 Instructions on voting papers

The following instructions must be set out at the head of every voting paper:

INSTRUCTIONS TO VOTER

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1. Indicate your preference, or the order of your preference, on this voting paper by writing the number 1 against the name of the candidate for whom you wish to vote or by writing a series of consecutive numbers, beginning with the number 1, against the names of the candidates for whom you wish to vote, one number being written against the name of each such candidate. You are not required to write a number against the name of every candidate.
 2. Place this voting paper in the envelope marked 'Voting Paper'.
 3. Seal that envelope and place it in the envelope addressed to the returning officer.
 4. Sign the declaration on the envelope addressed to the returning officer and post or deliver the envelope to the returning officer.

35 Voting must comply with instructions

A voter must vote in accordance with the instructions mentioned in section 34 (Instructions on voting papers).

36 Disclosure of vote prohibited

The returning officer, a deputy of the returning officer or a scrutineer must not disclose, or assist in disclosing, how any voter has voted.

37 Acceptance or rejection of voting papers

- (1) The returning officer must decide whether any voting paper is to be accepted or rejected.
- (2) The returning officer must accept a voting paper if it is received by the returning officer before the close of the poll.

38 Opening of envelopes at close of poll etc.

- (1) In this section:

returning officer envelope means the envelope, addressed to the returning officer, issued by the returning officer under section 31(b) (Envelopes to be issued with voting paper).

voting paper envelope means the envelope, marked 'Voting Paper', issued by the returning officer under section 31(a).

[Note: Both envelopes are issued with every voting paper. The completed voting paper is placed inside the voting paper envelope, which in turn is placed inside the returning officer envelope (see s 34 (Instructions on voting papers).]

- (2) All returning officer envelopes received by the returning officer must remain unopened until the close of the poll.
- (3) At the close of the poll, the returning officer or a deputy of the returning officer must:
 - (a) open each returning officer envelope on which the declaration has been signed by a voter entitled to vote in the election and take out the voting paper envelope; and
 - (b) place the voting paper envelopes together; and
 - (c) after all the voting paper envelopes have been placed together, open the envelopes and ascertain the result of the election.

39 Nomination of scrutineers

Each candidate is entitled to nominate a scrutineer to represent the candidate at the scrutiny.

40 Ineligible votes not to be accepted

The returning officer must not accept a vote unless the returning officer is satisfied that:

- (a) it has been cast by a person eligible to vote in the election; and
- (b) the person has voted only once in the election.

41 Deciding result of election

- (1) The result of the election must be decided in accordance with this section.
 - (2) The first preference votes given for each candidate on all unrejected voting papers must be counted.
 - (3) The total number of the first preference votes counted must be divided by 1 more than the number of candidates to be elected. The result of the division (the quotient) increased by 1, and disregarding any remainder, is the *quota* for the election, and (except as provided in subsection (10)) no candidate is to be elected until the candidate obtains a number of votes equal to or more than the quota.
 - (4) If a candidate has, on the count of the first preference votes, a number of first preference votes equal to or more than the quota, the candidate must be declared elected.
 - (5) If the elected candidate has, on the count of the first preference votes, a number of first preference votes equal to the quota, all of the voting papers on which a first preference vote is recorded for the candidate must be set aside as finally dealt with.
 - (6) If the elected candidate has, on the count of the first preference votes, a number of first preference votes more than the quota, the proportion of votes in excess of the quota is transferred to the other candidates not yet declared elected, next in the order of the voter's preference, in the following way:
 - (a) all the voting papers on which a first preference vote is recorded for the elected candidate must be re-examined, and:
 - (i) the number of second preference votes recorded for each unelected candidate must be counted; or
 - (ii) if section 43 (Deciding order of voter's preference) applies—the number of third or next consecutive preferences recorded for each unelected candidate must be counted;
 - (b) the surplus of the elected candidate must be divided by the total number of votes obtained by the elected candidate on the counting of the first preference votes, and the resulting fraction, reduced to 6 decimal places, is the *transfer value*;
 - (c) the number of second or other preference votes counted under paragraph (a) for each unelected candidate must be multiplied by the transfer value and the calculated product expressed as a whole number (ignoring any fraction);
 - (d) the resulting number must be credited to each unelected candidate and added to the number of votes obtained by each unelected candidate on the counting of the first preference votes.
 - (7) On the counting of the first preference votes or on any transfer:
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- (a) if more than 1 candidate has a surplus—the largest surplus must be dealt with first; and
 - (b) if, after paragraph (a) has been complied with, more than 1 candidate has a surplus—the then largest surplus must be dealt with, and so on; and
 - (c) however, if a candidate obtained a surplus at a count or transfer previous to that at which another candidate obtained a surplus, the surplus of the former candidate must be dealt with first; and
 - (d) if more than 1 candidate has a surplus of the same number of votes—the highest on the poll at the count or transfer at which they last had an unequal number of votes must be dealt with first and, if they had an equal number of votes at all previous counts or transfers, the returning officer must decide in public by lot which candidate's surplus must be dealt with first
- (8) If the number of votes obtained by a candidate is raised up to or above the quota by a transfer under subsection (7):
- (a) the candidate must be declared elected and, even though the candidate may have reached the quota, the transfer must be completed and all the votes to which the candidate is entitled from the transfer must be transferred to the candidate, but no votes of any other candidate are to be transferred; and then
 - (b) all of the voting papers on which the transferred votes are recorded must be set aside as finally dealt with; and then
 - (c) the candidate's surplus must be transferred to the candidates next in the order of the voters' respective preferences in the following way:
 - (i) the voting papers on which are recorded the votes obtained by the elected candidate in the last transfer must be re-examined, and the number of third preferences (or, if section 43 applies, next consecutive preferences) recorded for each unelected candidate must be counted;
 - (ii) the surplus of the elected candidate must be divided by the total number of voting papers mentioned in subparagraph (i), and the resulting fraction, reduced to 6 decimal places, is the *transfer value*;
 - (iii) the number of preferences recorded for each unelected candidate under subparagraph (i) must be multiplied by the transfer value and the calculated product expressed as a whole number (ignoring any fraction);
 - (iv) the resulting number must be credited to each unelected candidate, and added to the number of votes previously obtained by the candidate.
- (9) If, after the first preference votes have been counted and all surpluses (if any) have been transferred in accordance with this Part, no candidate, or less than the number of candidates required to be elected, have obtained the quota:
- (a) the candidate who is lowest on the poll must be excluded, and all the votes obtained by the excluded candidate must be transferred to the candidates next in the order of the voters' respective preferences, in the same way as is provided under subsection (6); and then
 - (b) the votes obtained by the excluded candidate as first preference votes must first be transferred, with the transfer value of each vote in this case being 1; and then
 - (c) the other votes of the excluded candidate must be dealt with in order of the transfers in which, and at the transfer value at which, the candidate obtained them; and
 - (d) each of the transfers under paragraphs (b) and (c) must be taken for all purposes to be a separate transfer; and
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- (e) if a number of votes obtained by a candidate is raised up to or above the quota by any transfer:
 - (i) the candidate must be declared elected and, even though the candidate may have reached the quota, the transfer must be completed and all the votes to which the candidate is entitled from the transfer must be transferred to the candidate, but no other votes are to be transferred; and then
 - (ii) all of the voting papers on which the transferred votes are recorded must be set aside as finally dealt with; and then
 - (iii) the candidate's surplus must be transferred to the candidates next in the order of the voters' respective preferences in the same way as is provided under subsection (8)(c), but the surplus must not be dealt with until all the votes of the excluded candidate have been transferred; and then
 - (iv) any surplus must be dealt with before any other candidate is excluded.
 - (10) The same process of excluding the candidate lowest on the poll and transferring that candidate's votes to other candidates must be repeated until all the candidates, except the number required to be elected, have been excluded, and the unexcluded candidates who have not already been declared to be elected must then be declared elected.

42 Equal numbers of votes: exclusion of candidate

- (1) This section applies if 2 or more candidates have the same number of votes and it becomes necessary to exclude one of them.
- (2) Whichever of the candidates was lowest on the poll at the last count at which they had an unequal number of votes must be excluded and, if the candidates had an equal number of votes at all previous counts or there was no previous count, the returning officer must decide in public by lot which candidate must be excluded.

43 Deciding order of voter's preference

In deciding which candidate is next in the order of the voter's preference, any candidates who have been excluded must not be considered, and the order of the voter's preference must be decided as if the names of those candidates had not been on the voting paper.

44 Exhausted voting papers

If on any count there is no candidate next in the order of the voter's preference on any voting paper, the voting paper must be set aside as exhausted.

45 Recount

- (1) The returning officer may recount the voting papers received in the election, at a candidate's request or on the returning officer's own initiative.
- (2) A request for a recount must be in writing and set out reasons in support of the request.

46 Declaration that election void

- (1) If, before the poll is declared in the election, the returning officer is satisfied that the election is, or will be, void because of an irregularity in the course or conduct of the election, the returning officer may, in writing, declare that the election is void from:
 - (a) the commencement of the election: or

-
- (b) a specified point in the proceedings of the election that is after the notification of the fact that the election was necessary but before the irregularity happened.
- (2) If the returning officer declares the election void from the commencement of the election, the returning officer must, as soon as practicable after making the declaration, conduct a fresh election in accordance with this instrument.
- (3) If the returning officer declares the election is void from a point in the proceedings of the election, the returning officer must, in writing, determine what proceedings in the election are necessary to ensure that the election will be regularly conducted and must conduct those proceedings in accordance with this instrument.
- (4) However, in the conduct of any proceedings in the election under subsection (3), the returning officer may, despite anything in section 27 (Intervals to be allowed by returning officer), determine, in writing, the intervals to be allowed between any events in the course of the further proceedings.

Part 6—Academic Board: provisions for particular elections

Division 6.1—Members elected by College Executive Committee members

47 Application of Division 6.1

This Division applies to an election for members of the Academic Board from among the membership of the College Executive Committees of the ANU Colleges.

48 Notice of election: College Executive Committees member election

- (1) If an election for members from the College Executive Committees is necessary, the returning officer for the election must:
 - (a) give a notice about the election to each person eligible to vote in the election; and
 - (b) publish a notice about the election on appropriate notice boards at the University.
- (2) A notice must:
 - (a) state that the election is necessary; and
 - (b) invite nominations of persons eligible for election; and
 - (c) specify the form in which nominations must be made; and
 - (d) specify the date and time by which nominations must reach the returning officer.
- (3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.

49 Voting papers etc.: College Executive Committees member election

- (1) If there are more valid nominations in an election for members from the College Executive Committees than there are positions to be filled, the returning officer for the election must give each person eligible to vote in the election:
 - (a) a voting paper; and
 - (b) a notice about voting in the election.
- (2) The notice must:
 - (a) set out how the voter's preference must be shown on the voting paper; and
 - (b) specify the date and time by which voting papers must reach the returning officer.

50 Eligibility to be elected and vote: College Executive Committees member election

- (1) A person is eligible to be elected and vote in an election for members from the College Executive Committees if the person holds an academic staff position mentioned in an order in force for section 6.1(i) of the statute.
 - (2) However, if a person is acting in an academic staff position to which subsection (1) applies, the person is eligible to be elected and vote in the election because of that position if, but only if, the person produces documentary evidence to the returning officer from the substantive holder of the position (or a more senior academic staff member) confirming that the person has been formally appointed to act in the position.
 - (3) Also, if a person is eligible to be elected or vote in the election because subsection (2) applies in relation to a position in which the person is acting, the substantive holder of the position is not also eligible to be elected or vote in the election because of that position.
-

(4) Despite subsection (1), a College Dean may not be nominated or elected in the election, but may nominate a person eligible for election and may vote in the election.

(5) Subsection (4) does not apply to a person acting as a College Dean.

Division 6.2—Members elected by academic staff

51 Application of Division 6.2

This Division applies to an election for members of the Academic Board from among the academic staff of an ANU College.

52 Notice of election: academic staff member election

- (1) If an election for members from the academic staff of an ANU College is necessary, the returning officer for the election must:
 - (a) give a notice about the election to each person eligible to vote in the election; and
 - (b) publish a notice about the election on appropriate notice boards at the University.
- (2) A notice must:
 - (a) state that the election is necessary; and
 - (b) invite nominations of persons eligible for election; and
 - (c) specify the form in which nominations must be made; and
 - (d) specify the date and time by which nominations must reach the returning officer.
- (3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.

53 Voting papers etc.: academic staff member election

- (1) If there are more valid nominations in an election for members from the academic staff of an ANU College than there are positions to be filled, the returning officer for the election must give each person eligible to vote in the election:
 - (a) a voting paper; and
 - (b) a notice about voting in the election.
- (2) The notice must:
 - (a) set out how the voter's preference must be shown on the voting paper; and
 - (b) specify the date and time by which voting papers must reach the returning officer.

54 Eligibility to be elected and vote: academic staff member election

- (1) A person is eligible to be elected and vote in an election for members from the academic staff of an ANU College if, on the payday immediately before the day nominations for the election were invited by notice under section 52 (Notice of election: academic staff member election), the person was:
 - (a) a member of the academic staff of the College; and
 - (b) paid salary by the University.

[Note: *Academic staff* is defined in the statute.]

(2) However, subsection (1)(b) does not apply to person if the person holds an academic appointment in an ANU College and is nominated, in writing, as an academic staff member for the statute by the Dean of that College.

(3) In this section:

payday means a day on which the salary of the majority of the persons to whom subsection (1) applies is paid.

Division 6.3—Members elected by general staff

55 Application of Division 6.3

This Division applies to an election for members of the Academic board from among members of the general staff.

56 Notice of election: general staff member election

(1) If an election for members from among the general staff is necessary, the returning officer for the election must:

- (a) give a notice about the election to each person eligible to vote in the election; and
- (b) publish a notice about the election on appropriate notice boards at the University.

(2) A notice must:

- (a) state that the election is necessary; and
- (b) invite nominations of persons eligible for election; and
- (c) specify the form in which nominations must be made; and
- (d) specify the date and time by which nominations must reach the returning officer.

(3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.

57 Voting papers etc.: general staff member election

(1) If there are more valid nominations in an election for members from the general staff than there are positions to be filled, the returning officer for the election must give each person eligible to vote in the election:

- (a) a voting paper; and
- (b) a notice about voting in the election.

(2) The notice must:

- (a) set out how the voter's preference must be shown on the voting paper; and
- (b) specify the date and time by which voting papers must reach the returning officer.

58 Eligibility to be elected and vote: general staff member election

(1) A person is eligible to be elected and vote in an election for members from the general staff if, on the payday immediately before the day nominations for the election were invited by notice under section 56 (Notice of election: general staff member election), the person was:

- (a) employed as a full-time or part-time standard or fixed term member of the professional staff of the University; and
 - (b) paid salary by the University.
-

(2) In this section:

payday means a day on which the salary of the majority of the persons to whom subsection (1) applies is paid.

Part 7—Miscellaneous

59 Approved forms

- (1) The Vice-Chancellor may, in writing, approve forms for this instrument.
- (2) If the Vice-Chancellor approves a form for a particular purpose, the form must be used for that purpose.
- (3) The Vice-Chancellor must ensure that approved forms are publicly available on the University's website or any other way that the Vice-Chancellor considers appropriate.

60 Delegation by Vice-Chancellor

The Vice-Chancellor may, in writing, delegate all or any of the Vice-Chancellor's functions or powers under this instrument to a member of the staff of the University.

61 Repeal etc.

- (1) The *Academic Board and Committees Rules 2014* are repealed.
 - (2) To remove any doubt, a reference in a rule, order or other document of the University to the *Academic Board and Committees Rules* (whether with or without the year of its making or the year and number of the year of its making) includes a reference to this instrument.
-



MEMO

Proposal for the Disestablishment of the Research School of Asia and the Pacific

SUBJECT **Proposal for the Disestablishment of the Research School of Asia and the Pacific**

TO Vice-Chancellor

VIA Deputy Vice-Chancellor (Research) for endorsement

FROM Leslie McDonald, Secretary to the University Research Committee
Corporate Governance and Risk Office
T 52113

DATE 29 August 2016

Background

Following the formation of the College of Asia and the Pacific, the Research School of Asia and the Pacific (RSAP) was established in 2009 to be the institutional focus of the College's collective research efforts, with a remit spanning:

- support for cross-College and cross-disciplinary research collaboration;
- support for research symposia, conferences and workshops;
- an integrated Early Career Development program;
- funding for research development, travel and visitors;
- a communication strategy for the College's research; and
- streamlined and efficient administration.

All academic staff members of the College who hold an academic appointment of 12 months duration or more can apply to be an RSAP Fellow. As a minimum requirement, nominees must have completed the requirements for the award of a PhD degree, including the degree having been conferred.

Membership of RSAP entitles academic staff to apply to the pool of RSAP funding set aside for research symposia, conferences and workshops, Early Career Researcher (ECR) support programs, and a series of research support grants.

In 2014 the College Board, endorsed by the College Executive, recommended a full review of RSAP to ensure that there is an efficient and effective support framework in place for the College's researchers, which increases the College's strategic returns and impact. A formal review was conducted earlier this year, led by the Associate Dean (Research).

Review

The Review Committee's recommendations are set out in the *Review of the Research School of Asia and the Pacific* report (attached). In summary, the Committee found that over time, RSAP has come to be seen as fulfilling only certain aspects of its remit; specifically, that of a College-level funding program for research. Likewise, as RSAP has matured, administration of this funding program as well as its membership model has resulted in a transaction-heavy workload involving significant administrative processes for what is a relatively small funding base.

The Review Committee agreed that RSAP be disbanded, and replaced by a new and more strategically focussed funding program which does not duplicate other funding programs, but which supports CAP researchers in undertaking projects which:

- are of strategic relevance and benefit to the College as a whole;
- are innovative;

MEMO | Proposed Changes to the Delegations Framework – Financial Limits

- support and mentor Early Career Researchers;
- are collaborative and have potential to develop new networks outside of the College and University to strengthen its research capability, particularly with government and industry;
- have the potential to lead to further funding by higher value external programs; and
- showcase and disseminate the College's research achievements.

The Asia-Pacific Innovation Program was launched in June 2016 to fulfil these objectives.

The College has also committed to strengthening the role of the Regional Institutes in supporting the research endeavours of the College. These Institutes foster interdisciplinary and cross-unit collaborations and bring together academic staff with shared regional interests, which are functions not performed by the new funding program.

Approval Process

The College Executive Committee formally endorsed the Review Report and all recommendations on February 10, 2016. The report was tabled and approved at the College Board meeting on March 16, 2016. In addition, the proposal was noted by the University Research Committee at its meeting on 24 August 2016.

Under the ANU's Academic School or Department Accreditation Procedure, applications for the disestablishment of a School or Department must be recommended by the relevant College Executive and endorsed by the relevant Dean and the Deputy Vice-Chancellor (Research). The proposal then requires approval by the Vice-Chancellor and is noted by Council.

Please find attached the *Review of the Research School of Asia and the Pacific* report and the endorsement of the Dean of the College of Asia and the Pacific. The Deputy Vice-Chancellor's endorsement is provided below.

I would be grateful for your consideration and approval for the disestablishment of the Research School of Asia and the Pacific within the College of Asia and the Pacific.

Leslie McDonald
Senior Governance Officer
Secretary to the University Research Committee
Corporate Governance and Risk Office

Endorsed / Not Endorsed

Professor Margaret Harding
Deputy Vice-Chancellor (Research)

Approved / Not Approved

Professor Brian P. Schmidt AC
Vice-Chancellor

Attachments: Review of the Research School of Asia and the Pacific report
Endorsement of the Dean, College of Asia and the Pacific



ANU College of Asia and the Pacific

REVIEW OF THE RESEARCH SCHOOL OF ASIA AND THE PACIFIC

March 2016

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EXECUTIVE SUMMARY

Background

The Research School of Asia and the Pacific (RSAP) has been in existence for five years. Following the creation of the College of Asia and the Pacific (CAP) in 2009, RSAP was established to be the institutional focus of the College's collective research efforts, with a remit spanning:

- support for cross-College and cross-disciplinary research collaboration;
- support for research symposia, conferences and workshops;
- an integrated Early Career Development program;
- funding for research development, travel and visitors;
- a communication strategy for the College's research; and
- streamlined and efficient administration.

It was envisaged that RSAP would assist in building coherence for the diverse elements of the newly-formed College and to ensure that its prestigious 'research' focus continued to be seen as a central element of its purpose through a range of institutional-building activities and the provision of a central pool of funds which could be drawn on by academic staff members of the College.

Funding for RSAP was secured through a levy on School and Centre allocations of the University's National Institutes Grant (NIG). The NIG is a unique grant provided by the Commonwealth Government, to enable ANU to focus on long-term basic and applied research to address issues critical to the nation, and provide sustained critical mass in areas of national importance.

Changing Institutional and National Context

Since its establishment, the College structure has matured and its constituent Schools and Centres have changed considerably. RSAP has moved from its initial development phase into functioning as an established structure within the College. Concurrent to internal changes, the national policy landscape is shifting, as the sector is experiencing rapid transformation. The December 2015 release of the Watt Review of Research Policy and Funding Arrangements, and the Federal Government's National Innovation Statement, have indicated that further changes are to come that will increase the competitiveness of external research funding schemes, and there will be much a stronger focus on measurement of high quality research.

Need for a Review

Over time, RSAP has come to be seen as fulfilling only certain aspects of its remit; specifically, that of a College-level funding program for research. Likewise, as RSAP has matured, administration of this funding program as well as its membership model has resulted in a transaction-heavy workload involving significant administrative processes for what is a relatively small funding base. There is also some stakeholder concern about the range and type of activities funded, for what is seen as complicated administrative processes required, and a lack of College-wide awareness about the program.

In 2013 the CAP Research Committee responded to feedback by refocussing the program with a greater emphasis on the funding of cross-College research support projects.

This refocussing of the RSAP's funding programs was reinforced in 2014 by a College Board decision, endorsed by the College Executive, to undertake a full review of RSAP to ensure that there is an efficient and effective support framework in place for the College's researchers, which increases

the College's strategic returns and impact. The ANU's strategic plan, *ANU by 2020*¹, with one of its long-term goals being to enhance the university's Excellence in Research profile, and with its research objectives focussed on international recognition as well as greater engagement with the private sector, provided the College with overarching directions for the review.

Review Outcomes

Over three months the RSAP Review Committee sought and considered the views and opinions of a range of stakeholders including academic staff across the College, applicants to the RSAP grant programs, those who have never applied for RSAP funding, School and Centre Managers, and communications and marketing specialists.

During this time, a diverse range of views and experiences about the effectiveness and value of the RSAP funding program were expressed. While people largely have not supported the retention of RSAP, there has been strong support expressed for it to be replaced by a College-level funding program which focusses on the 'bigger picture' with projects having a clear and strategic focus. Not surprisingly, the strongest support for such a funding program came from the ECR cohort who said they valued the program for its cross-College focus and the access it gave them to seed and travel funding preparing them for applying for higher value, and external, funding programs.

The Committee's detailed consideration of these views, together with the outcomes of its own discussions, are set out in the Report below. In summary, the Committee is agreed that RSAP has partially met its original purpose of assisting to build research coherence for the diverse elements of the newly-formed College. It has achieved this primarily through the provision of a central pool of funds which could be drawn on by academic staff members of the College to support research.

The Committee are agreed that it is time for the Research School and Asia and the Pacific to be replaced by a new and more strategically focussed funding program which does not duplicate other funding programs, but which supports CAP researchers in undertaking projects which:

- are of strategic relevance and benefit to the College as a whole;
- are innovative;
- support and mentor Early Career Researchers;
- are collaborative and have potential to develop new networks outside of the College and University to strengthen its research capability, particularly with government and industry;
- have the potential to lead to further funding by higher value external programs; and
- showcase and disseminate the College's research achievements.

The Committee have considered the elements of what a more relevant and strategically focussed funding program may compose of, and have set out details in this Report of how we believe this could be achieved.

I would like to thank the Review Committee for their time, as well as their considered deliberations and input into the Review. The Review Committee would like to thank the academic and professional staff in the College who shared their views by responding to the survey, preparing submissions and attending meetings regarding the future of RSAP.

The Review Committee is especially grateful to the Review Committee Secretariat Susan Skermer and Dr Marie-Helene Rousseau.

Professor Renée McKibbin
Chair of the Review Committee and Associate Dean (Research)
ANU College of Asia and the Pacific

¹ Office of the Vice-Chancellor, *ANU by 2020*, August 2011.

RECOMMENDATIONS

1. The College of Asia and the Pacific, together with its constituent Schools and Centres, should provide the centrepiece for the research efforts of staff rather than a strategy of having a virtual Research School which duplicates the structure of the College.
2. RSAP should be abolished as an (informal) organisational unit within the College, and replaced by a new and strategically focussed research funding program under the auspices of the College, of the type detailed in **Appendix A**. A summary of the proposed new program is as follows:

Asia-Pacific Innovation Program		
Research Collaboration and Development		
1. Strategic Research Collaboration	1 grant per year	\$80,000
2. Research Development	Maximum \$15,000 per grant	\$220,000
Early Career Researcher Programs		
3. ECR Research Development	Maximum \$3,000 per grant	\$80,000
4. ECR Research Excellence	Two grants maximum \$10,000 each	\$20,000
TOTAL		\$400,000

The new funding program should not duplicate other funding programs. It should support researchers to undertake projects which:

- are of strategic relevance and benefit to the College as a whole;
 - are innovative;
 - support and mentor Early Career Researchers;
 - are collaborative and have potential to develop new networks outside of the College and University to strengthen its research capability, particularly with government and industry;
 - have the potential to lead to further funding by higher value external programs; and
 - showcase and disseminate the College's research achievements.
3. The College should continue to focus specific support for Early Career Researchers into the future, through the provision of funding for research activities and an integrated seminar series focussed on issues pertinent to the development of ECRs.
 4. The membership process for accessing College-level funding should be abolished, with the new program open to all academic staff employed in the College.
 5. Internal promotion of a new funding program should be undertaken to optimise its potential as a College identifier.
 6. Promotion of funded research should be carried out in accordance with ANU, College, School and Centre marketing strategies.
 7. A new funding program should ensure wide visibility of the outcomes of funded research. Some possible methods for research promotion include:
 - a regular internal bulletin; and
 - demonstrated concrete publication plans in applications for program funding.

8. A new funding program should specifically encourage inter-disciplinary work and achieve strategic impact. The program should focus on themes and activities in response to College and School strategic priorities.
9. The process for reporting against grant funding should be as streamlined as possible, with final reporting carried out as part of applications for new funding.
10. The quantum of research funding provided through a College-level funding program (approximately \$600,000 in total in 2015) should be maintained.

N.B. The approved College budget for research funding in 2016 is \$400,000. Program allocations above have been proposed on this basis.

11. The RSAP symposium should not be retained as a specifically funded activity, but rather could be part of a broader outreach program which has strategic collaboration and partnerships as its main focus, and which may or may not include a symposium as part of the outreach activities (as proposed in the program detailed in Appendix A)..
12. An Implementation and Communications Strategy should be developed for the new funding program to ensure that it meets the College's objectives.
13. A new funding program should ensure administrative processes are as streamlined as possible. Significant administrative efficiencies can be achieved through:
 - removing membership requirements for access to funding;
 - reducing reporting requirements, with final reporting carried out in applications for new funding;
 - clearer guidelines for when variations are required;
 - streamlining of institutional record keeping;
 - School and Centre accounts being used for transfer of funds; and
 - centralised administration for management of the Program.

INTRODUCTION

Review Committee Membership

CHAIR

Professor Renee McKibbin
Associate Dean, Research

MEMBERS

Dr Matt Allen (Early Career Researcher)
Coral Bell School of Asia Pacific Affairs

Dr Meera Ashar (Early Career Researcher)
School of Culture, History and Language

A/Professor Sharon Bessell
Crawford School of Public Policy

Professor Carsten Daugbjerg
Crawford School of Public Policy

A/Professor Sinclair Dinnen
Coral Bell School of Asia Pacific Affairs

Professor Tessa Morris-Suzuki
School of Culture, History and Language

Dr Elisa Nesossi (Early Career Researcher)
Australian Centre on China in the World

A/Professor Julie Smith
Regulatory Institutions Network

Dr Philip Taylor
School of Culture, History and Language

Dr Jeroen van der Heijden (Early Career Researcher)
Regulatory Institutions Network

Ms Karen Warnes
Manager, CAP Research Services

REVIEW SECRETARIAT

Ms Sue Skermer and Dr Marie-Helene Rousseau
CAP Research Services

Review Terms of Reference

The RSAP Review Committee is invited to provide a holistic assessment of the performance and impact of RSAP for the College of the period 2011-15. This is a College-wide review and the Review Committee is expected to seek input and comment from RSAP Fellows and other stakeholders.

In particular, the College Executive seeks guidance on:

College identity

1. The extent to which RSAP has fulfilled its original purpose, by reference to
 - a. the CAP Operational Plan 2015-2017
 - b. the CAP Research Plan 2010-2015
 - c. and ANU by 2020
 - d. the RSAP Business Plan 2012
 - e. the RSAP 2011-2014 Review and 2015-2016 Business Plan;
2. Whether RSAP itself is useful as an (informal) organisational unit within the College;
3. How RSAP is regarded by Early Career Researchers in the College;

School and Centre identity

4. How Schools and Centres view themselves in relation to RSAP in terms of
 - a. management,
 - b. resourcing and
 - c. promotion of academic research;

RSAP identity

5. How RSAP is regarded by RSAP Fellows;
6. If RSAP is retained as an (informal) organisational unit within the College, whether a revised and updated mission is needed;
7. If RSAP is retained as an (informal) organisational unit within the College, whether the name is appropriate and the degree to which this – or another – name should be promoted as a College identifier or 'brand';
8. Whether RSAP is an effective framework for promoting the research efforts of the College – and what additional or alternative methods can be identified;

Research Investments to date

9. How we should assess the history of RSAP funding for 2011-15, with reference to:
 - a. the output performance of RSAP in terms of geographic, disciplinary and thematic distribution;
 - b. the impact of the RSAP funding to date and the quantum allocated to individual projects and project types;
10. Whether the current funding categories for RSAP research investment are optimal or require refinement;
11. Whether the quantum of research funding provided through RSAP (approximately \$600,000 in total in 2015) is optimal or should be adjusted;
12. Whether, if the RSAP symposium is retained as a funded activity, the mechanisms through which the themes are chosen are optimal; and

Administration

13. If RSAP is retained, where should it sit in the College organisation and how should it be supported administratively?

BACKGROUND

The College of Asia and the Pacific

The College of Asia and the Pacific (CAP) has its roots in the University's Research School of Pacific Studies (RSPAS) and the School of Oriental Languages, established in 1946 and 1952 respectively. Ten years later the School of Oriental Languages became the Faculty of Asian Studies (FAS), the first and only faculty at an Australian university dedicated to a regional specialisation

In 2010 RSPAS and FAS merged to form the College of Asia and the Pacific.

Since then CAP has become the largest grouping of scholars dedicated to work on this geographic region in a major research university in the English-speaking world and prides itself on its academic excellence and teaching expertise. As such, it has become one of the most distinctive elements of Australia's national university.

CAP consists of three schools and two centres:

- Crawford School of Public Policy (CSPP);
- School of Culture, History and Language (CHL);
- Coral Bell School of Asia Pacific Affairs (Coral Bell);
- Australian Centre on China in the World (CIW); and
- Regulatory Institutions Network (RegNet).

In addition, there are eight regional and thematic Institutes within the College which support research, education and outreach.

The College set its overarching vision on becoming recognised as the foremost centre of learning on Asia and the Pacific worldwide. Productive partnerships among academics, professional staff and doctoral students are seen as essential to achievement of this goal.

The Research School of Asia and the Pacific

The Research School of Asia and the Pacific (RSAP) was established in 2011 in order to focus the collective research efforts of the Schools and Centres within CAP and to support the overarching vision of the College to be recognised globally as the foremost centre of learning on Asia and the Pacific.

RSAP was constructed to respond to the then internal and external strategic challenges facing CAP. The main internal challenges identified at the time were to:

- i. to more effectively manage existing resources and have a way of avoiding collective action problems if all resources were completely devolved to Schools and Centres within CAP;
- ii. to build coherence within a newly-formed College, amidst great disciplinary and organisational diversity;
- iii. to ensure that CAP had a 'Research School' in an environment where considerable prestige attached to ANU Research Schools, even after the university restructure, and in the belief that this was required for the parts of the ANU receiving (what was then known as) 'block grant' – what we now call the National Institutes Funding.

The external challenges identified at the time were:

- i. the continuing intellectual struggle between area studies and discipline-oriented scholarship;
- ii. the rise of think tanks focused on Asia and the Pacific, outside the university sector;
- iii. the rise of Asian universities and the increase in primary research conducted and published in Asian languages.

CAP set three main funding objectives for RSAP in the RSAP 2012-2014 Business Plan (**Appendix B**):

1. foster outstanding research work on Asia and the Pacific;
2. nurture the next generation of scholars; and
3. showcase and disseminate RSAP Fellows' research nationally and internationally.²

It was envisaged that RSAP would assist in building coherence for the diverse elements of the newly-formed College and to ensure that its prestigious 'research' focus continued to be seen as a central element of its purpose. To support this, a part of the operational priorities of RSAP was to provide a central pool of funds which could be drawn on by academic staff members of the College.³

Membership

All academic staff members of the College who hold an academic appointment of 12 months duration or more can apply to be an RSAP Fellow. As a minimum requirement, nominees must have completed the requirements for the award of a PhD degree, including the degree having been conferred. Membership of RSAP entitles academic staff to apply to the pool of RSAP funding set aside for funding for research symposia, conferences and workshops, Early Career Researcher (ECR) support programs, incentive grants, research development grants, travel grants and visitor grants.

At the time of its creation, there was considerable debate by the College Executive about what level of research activity and/or what kind of research profile would entitle an academic staff member to become an RSAP Fellow. The decision was to make the research threshold low enough to enable most colleagues to become Fellows. Although a few applications were denied in its establishment phase, the practice in recent years has been for all academic staff employed in the College for more than 12 months to be inducted into RSAP.

Governance and Administration

RSAP has minimal formal governance. RSAP was structured as a virtual entity within the College of Asia and the Pacific. It does not exist as an academic organisational unit in its own right; it was, rather, imagined as a shared space, a resource pool, and an umbrella for College research activity. Because RSAP is not an academic organisational unit, it does not appear on any formal organisational chart for the ANU.

RSAP is headed by a Director who is also the Dean of the College, and supported by the Associate Dean (Research) who has worked closely with the RSAP Director to implement and monitor activity against the RSAP Business Plan. The College's Research Committee, chaired by the Associate Dean (Research), reports to the Dean on RSAP activities and on the allocation of RSAP funding. Administrative support for the Research Committee has been provided by staff located within the CAP Research Services office.

Until 2015, RSAP had a dedicated administrative staff member, responsible for handling the transactional aspects of the grant process. As a result of discussions across the College and University around increasing organisational effectiveness and improving and streamlining administrative processes, in 2015 a proposal was considered by the College Executive to absorb some of the RSAP administrative functions into existing positions in the College Executive Office. Administrative support for RSAP was restructured in Semester 2, 2015 to disestablish that dedicated

² Research School of Asia and the Pacific Business Plan 2012-2014. A decision was made to put planning for the RSAP Business Plan 2015-2016 on hold until the outcome of this Review has been finalised.

³ RSAP Review, Terms of Reference June 2015.

position and return the budget savings realised from that restructure to the RSAP research funding pool.

Name and Resonance

Some colleagues have suggested, anecdotally, that RSAP does not have much currency as a name beyond the College, and that as an acronym it is confused with its predecessor, the Research School of Pacific and Asian Studies (RSPAS). This may have been deliberate -- RSAP might have been intended to evoke the history and profile of RSPAS, which -- along with the Faculty of Asian Studies and several other academic units -- was displaced by the creation of the College of Asia and the Pacific. Because the restructuring of the university into Colleges was intended in part to dissolve the teaching Faculty/Research School divide, the retention of RSPAS as an entity was probably not politically feasible, even though it was internationally renowned.

Considerable prestige was attached to the 'old' Research Schools within ANU and many ANU colleagues are understandably still drawn to that model. As a practical matter, however, RSAP's membership overlaps to a very high degree with employment in a College, School or Centre, and so it is not clear that RSAP membership confers any additional prestige upon an individual, either within or outside the College.

This raises the question of whether RSAP resonates outside ANU and whether it resonates within the College. It also implicates the question of how we 'brand' the College and its parts, and what set of 'brands' we want to promote unequivocally in our marketing and communication.

Resourcing

RSAP was initially funded through disbursing an accumulated surplus at College level that had been carried over from the time of the College's creation. This was supplemented by a levy on the National Institutes Grant (NIG) funding that is distributed to the Schools and Centres through the College for research purposes (effectively the College retained some NIG to redistribute through the RSAP mechanism). That NIG contribution to RSAP was indexed until 2014, when the level of funding was paused, pending a review of RSAP. The 2015 review will help determine what the appropriate level of College investment in research should be in 2016 and beyond.

RSAP Grant Programs

RSAP funding has been disbursed to CAP academic staff under four broad categories outlined in the 2012 – 2014 RSAP Business Plan (**Appendix B**). Types of funded activities have included research symposia, conferences and workshops, Early Career Researcher (ECR) support programs, incentive grants, master classes, research development grants, travel grants and distinguished visitor grants.

In 2013 the RSAP Grant Programs were reviewed by the then Dean, Associate Dean (Research) and Research Committee and a new thematic approach was formulated to foster research collaboration according to a 'transdisciplinary-transregional theme' headed by a senior program leader. However, changes in College leadership and Research Committee membership put implementation of the new programs on hold and it was subsequently decided to pause all program changes until a review of RSAP had been completed.

THE RSAP REVIEW

In the years since its establishment, questions about the role of and effectiveness of RSAP have been raised in various forums, including the College Executive and at the meeting of the College Board on September 3, 2014. College Board endorsed the proposal to form a working committee to review RSAP's functions and performance, as well as the broader question of how to most effectively manage and support College-level strategic research investments and the research profiles of the College's Schools and Centres.

Given the directive from the College Board, the College established a Committee to review RSAP and to make recommendations about options for the most positive and profitable research investment strategy for the College in its next stage of development.

In June 2015 the Terms of Reference for the review of RSAP were confirmed by the College Executive, and a Review Committee was established to report to the College Board and Executive by November 2015. The Committee comprises 13 members of the College Research Committee and the College Board, including four Early Career Researchers. A list of Review Committee members is on pg. 8.

In summary, the Review covers:

1. Purpose and role, including:
 - a. the extent to which RSAP has fulfilled its original purpose, including reference to *ANU by 2020* and College and RSAP planning documents;
 - b. how staff within the Schools and Centres within CAP view RSAP; and
 - c. whether it is an effective framework for promoting College research;
2. Research investments 2011-15, including:
 - a. The output performance in terms of geographic, disciplinary and thematic distribution;
 - b. The impact of RSAP funding and the quantum allocated to individual projects and project types;
 - c. Whether the current funding categories are optimal or require refinement;
 - d. Whether the quantum of research funding should be adjusted;
 - e. Whether the RSAP symposium should be retained, and if so, in what form; and
3. Administration
 - a. If RSAP is retained, where should it sit in the College organisation and how should it be supported administratively.

Stakeholder Consultation

The Review Committee consulted as broadly as possible within the College to gather feedback, input and comments from a wide range of stakeholders on their personal experience with RSAP or more broadly on the role of RSAP within the College.

This consultation took the form of:

1. An online survey to all College academic staff seeking comment on how RSAP is viewed as an institution, as well as feedback on the grants received, the impact they had on their research, and suggestions on how RSAP could be improved. The survey also sought comment from those who had not applied for an RSAP grant, asking why and seeking suggestions for improvement;
2. Formal submissions from School and Centre Executive teams;
3. Meetings and written correspondence between Review Committee members and School and Centre staff; and
4. Meetings held with CAP School Centre/Managers, CAP Finances and CAP Marketing and Communications.

The Review Committee met four times on 8 September, 1 and 13 October, and 3 November 2015 to discuss the feedback received and to consider the way forward. The full survey results can be found at **Appendix C**.

THE REVIEW FINDINGS

Addressing the Terms of Reference

Set out below are the Committee's responses to each of the Review's Terms of Reference.

Purpose and Role

College identity

1. The extent to which RSAP has fulfilled its original purpose, by reference to:
 - CAP Operational Plan 2015-2017
 - CAP Research Plan 2010-2015
 - ANU by 2020
 - RSAP Business Plan 2012 – 2014
 - RSAP 2011-2014 Review and 2015-2016 Business Plan

The Committee notes that apart from the CAP Research Plan and the RSAP Business Plan mentioned above, RSAP had not been established at the time the other key planning documents had been formulated setting high level aspirational roles for good quality research.

That said, the release of the ANU's strategic plan, *ANU by 2020*⁴ in 2011 provides overarching research objectives for Excellence in Research across the university. The objectives include:

- ANU will aim for the majority of academic staff to be highly research-active, producing scholarly outputs recognised internationally;
- research groupings will undertake research of distinction and international impact, with more than 90% in areas which rate 4 or 5 in ERA assessment;
- a culture will be further developed which supports and encourages staff to win external research funding as an essential element of their activities;
- the size and standard of research training will continue to be a core part of ANU; and
- academic research will continue to engage with industry and other organisations to ensure that knowledge is transferred.

Using these research objectives as its benchmark, the College included the following priority in its 2015 Operational Plan⁵:

- a review of RSAP to ensure that CAP has an efficient and effective support framework for internationally competitive research across the College and to increase strategic returns and impact.

Comments relevant to this TOR ranged from a majority view that RSAP funding support had met their objectives to a questioning of RSAP's rationale and role.

⁴ Office of the Vice-Chancellor, *ANU by 2020*, August 2011.

⁵ ANU College of Asia and the Pacific, 2015 College Operational Plan.

The Committee is agreed that:

- RSAP has been highly successful in nurturing the next generation of scholars through its support of ECRs in CAP, and, through its seed funding programs, has demonstrated that it has met its other funding objective of fostering outstanding research work on Asia and the Pacific.
- RSAP has partially met its original purpose of assisting in building research coherence for the diverse elements of the newly-formed College through the provision of a central pool of funds which could be drawn on by academic staff members of the College;
- RSAP has not provided an effective platform for showcasing and disseminating RSAP Fellows' research nationally and internationally.

Recommendation/s

R1. The College of Asia and the Pacific, together with its constituent Schools and Centres, should provide the centrepiece for the research efforts of staff rather than a strategy of having a virtual Research School which duplicates the structure of the College.

2. Whether RSAP itself is useful as an (informal) organisational unit within the College

The Committee notes the main value of RSAP, as seen by its stakeholders, has been as a funding provider, particularly for ECRs, as it assists them to build their careers, network and seek external funding. Of particular note is that 91% of survey respondents stated that being a RSAP Fellow had been beneficial to them, 53% said that funding had assisted them in preparing for external funding, and 58% supported funding which supported major cross-College, cross-disciplinary research initiatives.

Individual stakeholder comments included:

- *RSAP is no longer useful as it was created partly because CAP was seen as needing a 'Research School' at a time when this was the understanding of the ANU's legislative requirements;*
- *RSAP is hardly known outside CAP, now consumes time and resources, offers little in the way of benefits and dilutes the main research and education drivers in the Schools/Centres; and*
- *RSAP is seen as important because it provides small in house grants for non-ARC activities, travel, seed funding, research development and ECRs, and the opportunity for interdisciplinary collaborations, thematic conferences, grants for visiting academics, workshops, and master classes by distinguished visitors.*

The Committee is agreed that:

- In the context of the rationale for why RSAP was originally created, RSAP has served a purpose. It has been particularly successful as a funding provider. However, as a Research School it is not useful and creates confusion within the College and University.

Recommendation/s

R2. RSAP should be abolished as an (informal) organisational unit within the College, and replaced by a new and strategically focussed research funding program under the auspices of the College, of the type detailed in Appendix A. A summary of the proposed new program is as follows:

Asia-Pacific Innovation Program		
Research Collaboration and Development		
14. Strategic Research Collaboration	1 grant per year	\$80,000
15. Research Development	Maximum \$15,000 per grant	\$220,000
Early Career Researcher Programs		
16. ECR Research Development	Maximum \$3,000 per grant	\$80,000
17. ECR Research Excellence	Two grants maximum \$10,000 each	\$20,000
TOTAL		\$400,000

The new funding program should not duplicate other funding programs. It should support researchers to undertake projects which:

- *are of strategic relevance and benefit to the College as a whole;*
- *are innovative;*
- *support and mentor Early Career Researchers;*
- *are collaborative and have potential to develop new networks outside of the College and University to strengthen its research capability, particularly with government and industry;*
- *have the potential to lead to further funding by higher value external programs; and*
- *showcase and disseminate the College’s research achievements.*

3. How RSAP is regarded by Early Career Researchers in the College

The Committee noted that ECRs value RSAP more than other academic staff as a means of receiving small grants that assist them in their career development. However, they were clearly concerned about what they saw as over complicated administrative processes and a lack of information about unsuccessful applications.

While noting that only 29% of the survey respondents identified themselves as ECRs, 52% of respondents were Level A to Level C staff, 40% of applications were for travel for ECRs, and 31 respondents thought ECR travel grants were the most beneficial aspect of RSAP. ECR grants were reported as being very beneficial for research and publications, added weight to CVs, conferences, expanded networks particularly with leading scholars, and led to ARC applications.

The Committee is agreed that:

- RSAP is strongly regarded by ECRs in the College as providing a secure base of funding for small grants which assist them to strengthen their academic careers.

Recommendation/s

R3. The College should continue to focus specific support for Early Career Researchers into the future, through the provision of funding for research activities and an integrated seminar series focussed on issues pertinent to the development of ECRs.

4. How Schools and Centres view themselves in relation to RSAP in terms of:

- Management,
- Resourcing; and
- Promotion of academic research

The Committee acknowledges that there was a strong depth of feeling from those School and Centres Executive that responded during the consultation period. There was a view that Schools and Centres were a more appropriate unit to manage and resource research activities and make funding decisions, particularly in relation to smaller travel grants. Further, the RSAP funding program is not seen by these Schools/Centres as contributing to a culture of interdisciplinarity in the College.

There was support for a central program within CAP which provided targeted funds for cross-College activities, such as competitive fellowships for distinguished visitors, a conference fund for interdisciplinary events, support for ECRs, and promotion of CAP's academic research activities. A single consistent program for all staff was seen as providing a safety net in the event that a single School or Centre was no longer in a position to offer significant research support, and a neutral and competitive grants assessment process.

The Committee is agreed that:

- There is concern over the high administrative costs of resourcing RSAP
- There is support in Schools and Centres for a central program within CAP which provides targeted funds for cross-College activities
- Efficiencies may be made by having some programs administered at School and Centre level, with a consistent approach to standard funding amounts per academic.

5. How RSAP is regarded by RSAP Fellows

The Committee notes that 92% of the survey respondents identified themselves as RSAP Fellows and that in response to the question, "do you think that being a Fellow of RSAP is beneficial?", 75% of respondents rated a score of three or more where the score of five was extremely beneficial. Further, 43% of respondents reported that their RSAP grant had resulted in one publication and 46% of respondents reported their grant had resulted in two or more publications.

The survey identified the areas where Fellows valued RSAP. These included:

- Small grants to finance activities not covered by external agencies;
- Greater flexibility in conditions of use;
- Seed funding;
- ECR funding;
- Inter-Schools collaborations;
- Distinguished visitor program; and
- Travel grants.

The Committee is agreed that:

- the majority of RSAP Fellows valued the funding program for providing support which was not necessarily available elsewhere.

Recommendation/s

R4. The membership process for accessing College-level funding should be abolished, with the new program open to all academic staff employed in the College.

6. If RSAP is retained as an (informal) organisational unit within the College, whether a revised and updated mission is needed
7. If RSAP is retained as an (informal) organisational unit within the College, whether the name is appropriate and the degree to which this – or another- name should be promoted as a College identifier or 'brand'

The Committee notes that the survey results showed that ECR identification with RSAP was strong, perceived benefits were clear, and one or more publications was a common outcome, but that RSAP had not told its story effectively.

The Committee notes the concern by some stakeholders about a lack of visibility and awareness of RSAP's role, including confusion over the meaning of 'RSAP', with suggestions such as rebranding, retitling and improved marketing of the program being put forward. Other comments included:

- *RSAP's name should change so that its role is better understood;*
- *It would be more useful to have a College grants scheme without the RSAP label; and*
- *The funding program should focus on branding which attracts scholars who engage in cutting edge work on Asia and the Pacific.*

The Committee notes 62% of the survey respondents said that the RSAP mission should be revised and updated. A consistent theme from stakeholders was that there should be a central funding program and this required a different mission and a refocussing of its objectives such as:

- *research collaboration between the different CAP units should be central to the program as should be collegiality and excellence, and leveraging of funds.*
- *There is little value in the RSAP 'brand' in promoting the research activities of the College*
- *The existence of RSAP creates confusion within the University and is seen to be in competition with the role of the College*

Recommendation/s

R5. Internal promotion of a new funding program should be undertaken to optimise its potential as a College identifier.

8. Whether RSAP is an effective framework for promoting the research efforts of the College – and what additional or alternative methods can be identified

The Committee notes that there was support from academic staff for the existence of a framework for the promotion of their research efforts, with 53% of survey respondents claiming that RSAP had assisted them to prepare for external funding. Many of the respondents attributed their RSAP grant to leading to publications, collaborations, further research projects, and stronger academic networks.

However, the Committee notes the outcomes of RSAP grants are not widely known outside of the project groups, despite the reporting requirements currently in place.

The Committee is agreed that:

- RSAP funding has enabled the research efforts of individual academic staff to be publicised through academic channels.
- RSAP has not provided an effective framework for promoting the efforts of the College as a whole.

Recommendation/s

R6. Promotion of funded research should be carried out in accordance with School and Centre marketing strategies.

R7. A new funding program should ensure wide visibility of the outcomes of funded research. Some possible methods for research promotion include:

- **a regular internal bulletin; and**
- **concrete publication plans in applications for program funding.**

Research Investments

9. How we should assess the history of RSAP funding for 2011-15, with reference to:
- a. The output performance of RSAP in terms of geographic, disciplinary and thematic distribution; and
 - b. The impact of the RSAP funding to date and the quantum allocated to individual projects and project types.

Program statistics show:

- The disciplines of Political Science, Applied Economics, Archaeology, Historical Studies, Anthropology and Policy and Administration accounted for 72.8% of applications for RSAP funding;
- The top 4 geographic areas for applications for research funded by RSAP were China (21), Indonesia (16), Southeast Asia (8), and Philippines (6). The least 5 favoured areas for applications for research funded by RSAP were Laos, North Korea, Australasia, Middle East and North Africa, and South Asia (0).

Further statistics show:

Total applications and success rates by School/Centre

School/Centres	Total applications	Awarded	Unsuccessful	Success rate
BELL	40	21	19	53%
CHL	57	29	28	51%
CIW	9	4	5	44%
CSPP	34	16	18	47%
REGNET	18	12	6	67%
Total	158	82	76	52%

Total successful applications involving cross-College collaboration

Schools/Centres	Awarded	Unsuccessful
Bell/CHL	2	1
Bell/CHL/CIW/CSPP	1	
Bell/CHL/CSPP		1
Bell/CHL/RegNet		2

Bell/CIW	1	
Bell/CSPP	5	
Bell/CSPP/RegNet	4	1
Bell/RegNet	3	
CHL/RegNet	2	
CHL/CSPP		2
TOTAL	18	7

On the impact of RSAP funding to date, the Committee notes that stakeholders:

- responded on a range of positive outcomes from their RSAP funding, such as publications in high impact journals, media coverage, further collaborations, collegiality building, exposure to great researchers and networks nationally and internationally, and adding weight to CVs;
- expressed strong support for continued ECR funding, research development and new research directions, although less for the annual conference, travel grants for senior researchers, and the relevance of senior travel grants to RSAP was questioned.

The Committee is agreed that:

- a. reviews of RSAP project outcomes have not been well managed to date due to resource decisions made about the monitoring of program outcomes for what is a relatively small grant program; and
- b. stakeholder responses to the Review show that there have been positive outcomes from RSAP funding, and there is a continued need for support of this kind to ECRs in particular.

Recommendation/s

R8. A new funding program should specifically encourage inter-disciplinary work and fund research that is likely to achieve strategic impact. The program should focus on themes and activities in response to College and School strategic priorities.

R9. The process for reporting against grant funding should be as streamlined as possible, with final reporting carried out as part of applications for new funding.

10. Whether the current funding categories for RSAP research investment are optimal or require refinement

The Committee notes that stakeholders identified the categories of ECR travel grants, the distinguished visitors program, workshops/conferences and development grants as the most often applied for, with those categories seen as most optimal as ECR travel grants, workshops/conferences, research development and ECR research grants.

There was some questioning by stakeholders of whether some categories needed to be funded by RSAP given there were other funding sources available within the College, and more broadly across the ANU for:

- c. conferences and workshops;
- d. senior researchers; and
- e. travel.

Some of the categories were underused, such as RSAP Debating Ideas, International postdoctoral leverage scheme, RSAP master classes for research students, and Publication workshops for research students.

Looking to the future, there was support for a refocussed and more targeted program which distributed funds to ECRs, new research directions/promotion, and inter-disciplinary events. Other suggestions included:

- cross-School workshops to attract new talent;
- cross-campus initiatives with matching funding, with an increase in focus on building networks;
- strengthening intellectual space across the College and with other universities;
- more concentrated funding for activities with higher impact or multiplier effect, or activities on specific themes;
- a small set of targeted grants of greater value; and
- cross-campus collaborations and collaborations outside ANU weighted favourably.

The Committee is agreed that:

- the current RSAP funding categories require refinement or are not necessary as some are underutilised given there are funding sources available elsewhere in the College or ANU;

11. Whether the quantum of research funding provided through RSAP (approximately \$600,000 in total in 2015) is optimal or should be adjusted

Recommendation/s

R10. The quantum of research funding provided through a College-level funding program (approximately \$600,000 in total in 2015) should be maintained.

N.B. The approved College budget for research funding in 2016 is \$400,000. Program allocations above have been proposed on this basis.

12. Whether, if the RSAP symposium is retained as a funded activity, the mechanisms through which the themes are chosen are optimal

The Committee has noted a commonly expressed concern amongst stakeholders that the RSAP annual symposium has not been effective nor has it appeared to lead to any obvious outcomes or justified RSAP's mission, and diverted resources away from what should be higher priorities. The Committee supports this view.

Recommendation/s

R11. The RSAP symposium should not be retained as a specifically funded activity, but rather could be part of a broader outreach program which has strategic collaboration and partnerships as its main focus, and which may or may not include a symposium as part of the outreach activities (as proposed in the program detailed in Appendix A).

Administration

13. If RSAP is retained, where should it sit in the College organisation and how should it be supported administratively?

There was some stakeholder concern expressed about what is seen as a complicated administrative process and a lack of College-wide awareness about RSAP funding programs.

The Committee discussed:

- the pros and cons of moving administration of ECR grants to the Schools/Centres;
- the cumbersome nature of the current requirement for membership of RSAP;
- whether application processes should have stronger and clearer criteria; and
- whether program marketing has been successful.

With regard to the future location of ECR grant administration, the Committee noted that ECRs (taken to mean Levels A to C) are spread across all the Schools/Centres with wide-ranging diversity in their numbers and levels. This is demonstrated by the current distribution of ECRs, seen below:

School/Centre	Level A	Level B	Level C	TOTAL
Bell School	6	25	14	45
CAP Admin	0	0	0	0
CHL	11	16	23	50
CIW	9	0	1	10
Crawford/NSC	7	23	15	45
RegNet	6	8	3	17
TOTAL	39	72	56	167

The question of how administration of the new ECR funding program could best be managed was discussed at some length by the Committee. Four options were considered:

- a. every Level A to Level C staff in the College would automatically receive \$3,000. However using the figures above, this would cost the College nearly \$500,000 and would be significantly more than the \$80,000 available; OR
- b. each School/Centre would be provided with a % of the \$80,000 allocated for the ECR Development Program equivalent to the % each School/Centre provides to CAP for administrative purposes. It would then be the decision of each School/Centre to determine those ECRs who would receive the grant of \$3,000; OR
- c. distribute the \$80,000 available equally to each of the five School/Centres, ie \$13,300 each and it would then be the decision of each School/Centre to decide which ECRs receive the grant of \$3,000; OR
- d. provide the \$80,000 available to ECRs in 2016 on application to the Program Administrator on a first-come, first-served basis. The funds would then be disbursed through the relevant School/Centres.

The Review Committee agreed that on balance the most equitable method for delivering this program to ECRs in 2016 would be to provide the funds available on a first-come, first-served basis, ie option d. While it was recognised that Schools/Centres should be responsible for funding delivery and supervision of their ECRs, it was also essential that overall management be centralised at the College level to ensure that the program delivery and results are monitored and supported.

Given that the complexities described in the options above would also apply broadly to all schemes within the Program, the Review Committee agreed that management should be centralised for the whole Program.

Recommendation/s

R12. An Implementation and Communication Strategy should be developed for the new funding program to ensure that it meets the College's objectives.

R13. A new funding program should ensure administrative processes are as streamlined as possible. Significant administrative efficiencies can be achieved through:

- ***Removing membership requirements for access to funding;***
- ***Reducing reporting requirements, with final reporting carried out in applications for new funding;***
- ***Clearer guidelines for when variations are required;***
- ***Streamlining of institutional record keeping;***
- ***School and Centre accounts being used for transfer of funds; and***
- ***centralised administration for management of the Program.***

Appendix A – The Asia-Pacific Innovation Program

The aim of this new Program is to foster and highlight excellence in research activities across the College of Asia and the Pacific. It will do this by supporting academic researchers in undertaking projects which are innovative and of strategic relevance and benefit to the College as a whole, and with the potential to lead to high value external funding programs.

FUNDING CATEGORIES	BUDGET
RESEARCH COLLABORATION AND DEVELOPMENT	
<p>1. STRATEGIC RESEARCH COLLABORATION</p> <p>The purpose of the Strategic Research Collaboration funding program is to provide support to researchers in CAP to substantially develop strategic and innovative research projects with themes relevant to the College. No restrictions are placed on the types of activities funded but are expected to be substantial, commensurate with the scale of the funding available and the program duration, and to provide strategic benefits to all Schools and Centres of CAP. Activities funded could lead to the development of applications for high value funding programs such as, but not limited to, the ARC Centres of Excellence, Cooperative Research Centres, and Gates Foundation grants. The program will be designed around an 18 month time span. It is expected that the program will work closely with the OVC to access Strategic Research Funds in the development of major funding applications.</p> <p>Selection Criteria:</p> <ul style="list-style-type: none"> - Highly innovative, collaborative and potentially transformational research themes of strategic relevance and benefit to the majority of CAP Schools/Centres (25%) - Potential for significant advancement of capabilities and knowledge (25%) - Potential for development of new research networks within and outside of CAP to strengthen research, achieve global competitiveness and gain recognition for CAP researchers (25%) - Opportunity for ECRs to participate in the project to enable development of ECR research capability (15%) - Potential for the project to garner future external support (in kind support is also included) (10%). <p>Application:</p> <ul style="list-style-type: none"> - Program Description (up to 10 pages) <ul style="list-style-type: none"> o Title o Aims including innovation themes, and background o Research program description o Role of personnel o Details of collaboration across the College o Governance, leadership and mentoring o Expected outcomes, impact and linkages o Communication of results o References - CVs of lead applicant/s and participants - Program budget - Program budget justification. <p>Instructions:</p> <ul style="list-style-type: none"> - The program description may be up to 10 pages in length - There is no page limit for the CVs of the participants 	<p>1 grant of \$80,000 pa Or multiple grants worth a total of \$80,000 pa</p>

<ul style="list-style-type: none"> - The application must be collaborative and include participation by the majority of CAP Schools/Centres - Prior to assessment of the application by the College Research Committee, the theme of the applications will need to be endorsed by the College Executive which will be arranged by the Associate Dean, Research - To demonstrate the strategic importance of the funding for use in the NIG acquittal to the Government, reporting will be required in Q1 of each year of the project to identify achievements against its objectives. 	
<p>2. RESEARCH DEVELOPMENT</p> <p>The purpose is to support excellent and innovative research endeavours which have a cross-College emphasis. The scheme will be designed around an 18 month time span. The research development projects may include but are not limited to:</p> <ul style="list-style-type: none"> - Seed funding for promising new research topics - Development of research projects for high quality grant applications for both ARC and non-ARC sources, including but not limited to Discovery, Linkage and Future Fellowship Schemes - Workshops and conferences which have a cross-College emphasis - Master classes which have a cross-College emphasis - Support of high profile visits by academics with relevant interests in cross-College issues. <p>Selection Criteria:</p> <ul style="list-style-type: none"> - Project innovation and advancement of capabilities and knowledge (60%) - Potential for development of new research networks within and outside of CAP (30%) - Potential for the project to garner current or future external support (in kind support is also included) (10%). <p>Application:</p> <ul style="list-style-type: none"> - Program Description (up to 5 pages) <ul style="list-style-type: none"> o Title o Aims including innovation themes and background o Research program description o Role of personnel o Expected outcomes, impact and linkages o Communication of results o References - CVs of participants - Budget and budget justification - Prior to assessment of the application by the College Research Committee, endorsement of the application will be required by the applicant's School/Centre Director - Other supporting documentation such as a draft conference/workshop program, support for project by overseas academics, or documentation of matching funding commitments. <p>Instructions:</p> <ul style="list-style-type: none"> - The program description may be up to 5 pages in length - There is no page limit for the CVs of the applicants - Applications that encourage cross discipline or College collaboration are welcomed, particularly where it can be demonstrated that ECR researchers benefit - To demonstrate the strategic importance of the funding for use in the NIG acquittal to the Government, reporting will be required in Q1 of each year of the project to identify achievements against its objectives. - Once successful in this grant category, further funds in this category cannot be applied for a further 2 years unless it is evident that the outcomes outlined in the application have been achieved, or the funding has been returned. 	<p>\$220,000 pa Maximum 15,000 pa per grant</p>

<ul style="list-style-type: none"> - All members of the College, including ECRs, are eligible to apply for this grant. 	
<p>B. EARLY CAREER RESEARCHER PROGRAMS</p>	
<p>- ECR RESEARCH DEVELOPMENT</p> <p>The purpose of the ECR Development scheme is to support the career of CAP Early Career academic staff by providing support to carry out research activities that cannot be funded through other sources. The scheme is needs based and on application, with a view that the applicants use the funds to develop their research profile to ultimately become self-sustaining in generating their own research funding. The program will be designed around an 18 month time span.</p> <p>Note that there is a limited pool of funding to support this scheme in 2016. Applications will be assessed on a first-come, first-served basis.</p> <p>Selection Criteria:</p> <ul style="list-style-type: none"> - Non-competitive award provided to CAP ECRs on a first come, first served basis - Applicants must hold an appointment at Level A, B or C - CAP academic staff holding a PhD conferred up to 8 years ago (on or after 1 January 2008), excluding career interruptions such as parental leave - Applicants must have the support of their School/Centre supervisor to be eligible for the program - Applicants who are promoted to a Level D or above appointment during the application phase will no longer be eligible for the program - Applicants who win a DECRA or ARC Discovery project, ARC Linkage project or other large grant (>\$50,000) are no longer eligible for the ECR scheme while that grant is current, but may resume eligibility once their grant has been fulfilled - If the request for funding is to support travel, applicants must demonstrate they have applied first for the ANU ECR Travel Grant. <p>Instructions:</p> <ul style="list-style-type: none"> - Eligible ECRs will have the development award paid to their research account held in their School or Centre as the funds are approved. - CAP Finance will continue to administer. 	<p>Up to \$80,000 pa \$3,000 per grant</p>
<p>3. ECR RESEARCH EXCELLENCE</p> <p>The purpose of the ECR Research Excellence scheme is to identify and nurture a small number of outstanding CAP ECRs who have the potential to apply successfully for DECRA or similar awards. The selection criteria directly follows the structure of the DECRA applications requested by the ARC. The program will be designed around an 18 month time span.</p> <p>Selection Criteria:</p> <ul style="list-style-type: none"> - Project quality and innovation (40%) - ECR candidate (35%) - Project research environment (15%) - Feasibility and benefit (10%) <p>Application:</p> <ul style="list-style-type: none"> - Project Description <ul style="list-style-type: none"> o Title o Aims and background o Project quality and innovation o Research environment o Expected outcomes, impact and linkages o Feasibility and benefit o ECR candidate 	<p>\$20,000 pa for up to 2 ECRs, ie up to \$10,000 each</p> <p>The funding may be spread over 18 months if required.</p>

<ul style="list-style-type: none"> ○ Management of data ○ References - CV of applicant - Project budget and budget justification <p>Instructions:</p> <ul style="list-style-type: none"> - The project description may be up to 8 pages in length. - There is no page limit for the CVs of the applicant. - Applicants must have gained a PhD within the past 3 years and have the intention of applying for a DECRA or similar award in year 5. - To demonstrate the strategic importance of the funding for use in the NiG acquittal to the Government, reporting will be required in Q1 of each year of the project to identify achievements against its objectives. - Applicants must have the support of their School/Centre supervisor to be eligible for the program. - Once successful in this category, further funds in this category cannot be applied for. 	
TOTAL	\$400,000