



## COUNCIL

The 433<sup>rd</sup> meeting of the Council will be held at 9:15am on Friday 27 May 2016 in the R C Mills Room, Chancelry. Any additional papers and briefing materials will be available in the Mills Room from 8.30am.

Apologies and enquiries to the Corporate Governance and Risk Office by telephone on (02) 6125 2113 or email at: [Head.governance@anu.edu.au](mailto:Head.governance@anu.edu.au)

Kate Molloy  
 Director  
 Corporate Governance and Risk Office

---

### AGENDA SUMMARY

#### Part 1 - Procedural Items

* 1.	Announcements and Apologies .....	4
* 2.	Leave of Absence .....	4
* 3.	Disclosure of Material Personal Interest .....	4
* 4.	Arrangement of Agenda .....	4
*C 5.	Minutes .....	4

#### Part 2 – Key Business Items

*C 6-9	<i>Confidential to Council Members</i>	
--------	--	--

#### Part 3 – Strategic Issues

* 10.	ANU Indigenous Engagement .....	7
	*10.1. Unpacking Reconciliation – Emerging Thoughts from the ANU Postgraduate Community .....	9

#### Part 4 – Other Matters for Decision

*C 11-12	<i>Confidential to Council Members</i>	
13.	Amendments to Burgmann College Incorporated Rules 2004.....	12
C 14.	<i>Confidential to Council Members</i>	

#### Part 5 – Other Matters for Noting

* 15.	Melbourne Engagement Strategy 2015 Report.....	14
16.	Australian National Audit Office – Closing Letter on the 2015 Annual Financial Statements .....	15
17.	Report on Research Grant Applications 2016.....	16
*C 18-24	<i>Confidential to Council Members</i>	
25.	Capital Building Financial Summary Report.....	19
*C 26.	<i>Confidential to Council Members</i>	
27.	Power of Attorney .....	25
28.	University Seal Report.....	26
29.	Report of Significant Visits and Events, Grants and Consultancies.....	27
30.	Legislation .....	28
31.	2015 Endowment for Excellence Report to Donors .....	31
*C 32.	<i>Confidential to Council Members</i>	

---

**Part 6 – Other Business**

* 33. Question Time.....	33
* 34. Other Business.....	34
35. Next Meeting.....	35

<b>ATTACHMENTS .....</b>	<b>36</b>
--------------------------	-----------

## **IMPORTANT INFORMATION FOR MEMBERS**

### **CONFIDENTIALITY**

Members of Council and others receiving the agenda are reminded of the need for careful discretion in the use and communication of Council business, referring to the Director, Corporate Governance and Risk Office, or other appropriate officer of the Council when in doubt.

Council business marked or declared to be confidential is not at any stage to be communicated to others without prior reference to the Chairperson or the Director, Corporate Governance and Risk Office. Only papers considered especially confidential are so marked.

All matters relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential.

### **CONDUCT OF COUNCIL MEMBERS**

Members of Council are considered *officials* for the purposes of the *Public Governance, Performance & Accountability Act 2013*. The definition of *officials* includes all members of the ANU Council, as well as all officers, employees and members of the University.

Division 3, sections 25 to 29 of the *Public Governance, Performance & Accountability Act 2013*, sets out the general duties of officials. As an *official*, a member of the Council may be removed from their position if they breach those general duties.

#### **Duty of Care & Diligence**

A member of the Council must exercise their powers, perform their functions and discharge their duties with the degree of care and diligence that a reasonable person would exercise if they:

- were a member of the Council in the University's circumstances; and
- occupied the position held by, and had the same responsibilities within the University as, the member of the Council.

#### **Duty to Act in Good Faith and for Proper Purpose**

A member of the Council must exercise their powers, perform their functions, and discharge their duties in good faith and for a proper purpose.

#### **Duty in Relation to Use of Position**

A member of the Council must not improperly use their position to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth or to any other person.

#### **Duty in Relation to Use of Information**

A member of the Council who obtains information because of that position, must not improperly use that information to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth, or any other person.

#### **Duty to Disclose Interests**

A member of the Council who has a material personal interest that relates to the affairs of the University must disclose the details of the interest.

### **SUBMISSION OF ITEMS BY MEMBERS OF COUNCIL**

Members of Council should communicate to the Vice-Chancellor matters which they wish to have included on the agenda for a meeting of Council. Full details and documentation relating to any items to be included in the agenda should be submitted at least 16 days before the meeting.

### **AGENDA ON THE INTERNET**

The agenda and minutes for meetings of Council are available on the Internet at the URL:

<http://www.anu.edu.au/about/governance/committees/council>

**PART 1 – PROCEDURAL ITEMS****\* 1 ANNOUNCEMENTS AND APOLOGIES**

There are no apologies for this meeting.

The Chair may speak.

**\* 2 LEAVE OF ABSENCE**

Subsection 15(1)(d) of the Australian National University Act 1991 provides that if a member of the Council (other than an ex officio member) is absent without leave of the Council from three consecutive meetings of the Council, the member's office becomes vacant.

The Chair invites members to seek leave from meetings of Council which they expect to be unable to attend.

**\* 3 DISCLOSURE OF MATERIAL PERSONAL INTEREST**

In accordance with Division 3, sections 25 to 29 of the *Public Governance, Performance and Accountability Act 2013*, members of Council are required to declare any direct or indirect material personal interest in matters on the agenda.

**\* 4 ARRANGEMENT OF AGENDA**

1. The Chair will ask whether any further items should be considered confidential.
2. The Chair will ask whether any further items should be starred for discussion.
3. The Vice-Chancellor will move that the unstarred items be dealt with as proposed in the agenda.
4. The Chair will invite members to foreshadow matters to be raised under Agenda Item 34, Other Business.
5. The Chair will ask if there are any agenda items that need to be re-sequenced.

**\*C5. MINUTES**

<b>RECOMMENDATION</b>	It is recommended that the Council confirm the minutes of the meeting held on 1 April 2016 (185/2016).
-----------------------	--

**THE AUSTRALIAN NATIONAL UNIVERSITY**

**COUNCIL MINUTES**

The 432<sup>nd</sup> meeting of the Council was held at 9:15am on Friday 1 April 2016, in the R C Mills Room, Level 3, Chancelry Building, ANU campus, Canberra.

*Confidential until confirmed by Council*

**PART 2 – KEY BUSINESS ITEMS**

**\*C6 - 9**     *Confidential to Council Members*

**PART 3 – STRATEGIC ISSUES****\*10. ANU INDIGENOUS ENGAGEMENT**

<b>PURPOSE</b>	To consider proposals about enhancing the University's Indigenous engagement.
<b>PREPARED BY</b>	Pro Vice-Chancellor (Student Experience)
<b>REVIEWED BY</b>	Senior Executive
<b>APPROVED BY</b>	Vice-Chancellor
<b>SPONSOR</b>	Vice-Chancellor

**RECOMMENDATION** That Council consider the accompanying proposals aimed at enhancing ANU Indigenous engagement.

**ACTION REQUIRED**

For discussion     For decision     For information

**CONSULTATION**

Staff     Students     Alumni     Government     Other     Not applicable

**BACKGROUND**

At its meeting of 11 April 2016 the Senior Executive considered proposals to enhance ANU Indigenous engagement. These were:

- a proposal to boost Indigenous student and staff numbers over time through recruiting outstanding Indigenous students and providing a pathway through undergraduate, Honours, PhD and postdoctoral study leading to permanent academic employment; and
- other of initiatives designed to build the University's capability and expertise in academic areas relevant to Indigenous people and culture. These initiatives would augment and develop the core academic capability.

**SUMMARY OF ISSUES**Philanthropy opportunity

The proposals in the attachment have varying costs and provide an opportunity to seek philanthropic sponsorship to support one or more of the initiatives. Mining companies, banks and individuals with an interest in Indigenous education would be the most obvious sponsors.

The Reconciliation Action Plan (RAP) and the RAP committee

The Reconciliation Action plan program was launched nation-wide by Reconciliation Australia in July 2006. The ANU committed to the program which is committed to closing unacceptable gaps in socio-economic outcomes between Indigenous and non-Indigenous Australians. The ANU RAP was launched in July 2009 with some of the plan's initiatives coming into effect then, while others came into effect in January 2011.

The University established a RAP committee, which including senior staff of the University, to oversee the implementation of the RAP. The University RAP committee has not met now for several years. Colleges have also established RAP committees. Some of these remain active while others are waiting for a lead on how to proceed from the University committee.

There was a meeting in mid-2015 to regenerate the governance structure to support Indigenous initiative and the final outcome will be determined through the strategic planning process.

### Indigenous Higher Degree Research Students

To build an indigenous research workforce for the university sector as well as external research locations, a cohort of PhD students who are well supported for timely completions is critical. We do reasonably well on the completion rate for indigenous HDR students so, while we can do more to support them while they are here, the key is to attract more in to the PhD. This is also the essential pipeline into the PostDoc scheme.

Key barriers to entry are i) understanding the opportunities available at the end of the PhD and ii) the availability of flexible financing at appropriate levels for candidates with family obligations and attractive outside options.

We need to revise the conditions of our current scholarships and create more that provide variable stipend support over the lifecycle of the candidacy for example, allowing more stipend in some years than others if students are in their home regions at some times and in Canberra at others (flexible moving between full and part-time is already possible). Higher levels of stipends, or support for dependents, is also important for students with family obligations who have to give up work to study and manage family. Completion scholarships are needed for those willing to give up work for the final completion period. To attract more HDR candidates we should also provide targeted sessions on *Why do a PhD?* for our own indigenous UG Hons and Masters students, involving successful alumni, current PhD students and employers of indigenous researchers.

### Indigenous postdoctoral proposal

A key plank of the package is a post doc scheme where a nationally competitive process gives the highest ranked Indigenous PhD completer a 3 year Post Doc at ANU. Hopefully of course this person will often be an ANU graduate. The scheme would involve central funding for 3 years and subject to agreed performance outcomes the Academic College they go to would be obliged to create an ongoing academic position. With one Post Doc each year this scheme would by year 4 create a pathway of a new continuing ANU Indigenous academic each year. We could advertise the scheme in the first year as in any academic area, in the 2nd year in any area bar the successful area the year before, in the 3rd year any area bar the previous 2 successful areas and 4th year into perpetuity any area bar the 3 previous successful areas.

## **ATTACHMENT**

[10.1 Building core indigenous capability \(651/2016\).](#)

## **COMMUNICATION**

For public release       For internal release       Not for release

These proposals will be socialised with stakeholders as part of the upcoming ANU strategic planning process.



**\*10. ANU INDIGENOUS ENGAGEMENT****\*10.1. UNPACKING RECONCILIATION: EMERGING THOUGHTS FROM THE ANU POSTGRADUATE COMMUNITY**

<b>PURPOSE</b>	To provide the ANU Council with event details and recommendations developed by the ANU post-graduate Indigenous Studies community to enhance the ANU's capacity to be a national leader in contributing to reconciliation for staff (professional and academic), students, research and the broader Australian society.
<b>PREPARED BY</b>	ANU Reconciliation Action Week Committee Talia Avrahamzon, Annie Te One, Annick Thomassin
<b>REVIEWED BY</b>	Professor Richard Baker, Pro Vice-Chancellor (Student Experience)
<b>APPROVED BY</b>	Professor Richard Baker, Pro Vice-Chancellor (Student Experience)
<b>SPONSORS</b>	Professor Richard Baker, Pro Vice-Chancellor (Student Experience) Professor Jenny Corbett, Pro Vice-Chancellor (Research and Research Training)

<b>RECOMMENDATIONS</b>	<ol style="list-style-type: none"> <li>1. That Council consider the provided recommendations from the post-graduate Indigenous Studies community to enhance the ANU's capacity to be a national leader in contributing to reconciliation for staff (professional and academic), students, research and the broader Australian society.</li> <li>2. That individual Council members ask questions of the students related to the presentations and recommendations at the <i>Unpacking Reconciliation: Emerging Thoughts from the ANU Postgraduate Community</i> event on 27<sup>th</sup> May, 2016.</li> </ol>
------------------------	--

**ACTION REQUIRED**

For discussion     For decision     For information

**CONSULTATION**

Staff     Students     Alumni     Government     Other     Not applicable

**BACKGROUND**

- National Reconciliation Action Week will be occurring 27<sup>th</sup> May to 3<sup>rd</sup> June (RAW).
- A small highly committed group of Indigenous Studies post-graduate students have formed a committee to develop public events throughout the week.
- The aim of all events have been to enhance the 'reconciliation' dialogue and identify ways for the ANU can increase its capacity to be a national leader in contributing to reconciliation for staff, students, research and the broader Australian society.
- Key academic and professional staff have been consulted and engaged throughout the process, including Ms Anne Martin, Professor Michael Dodson, Professor Richard Baker and Professor Jenny Corbett.
- One of the key events is a public event: "**Unpacking Reconciliation: Emerging thoughts from the ANU post-graduate community**".
- This event is being held on 27<sup>th</sup> May 14:00-17:00. Professor Brian Schmidt, VC, will be opening RAW at this event.
- Council will be attending this event.
- During this event twelve post-graduate students from across the ANU undertaking research in Australian Indigenous Studies will showcase their research.
- A number of the presenters will be in attendance at lunch with the ANU Council.

- Ideas generated from the day will inform the work of the strategic planning working group on Indigenous issues, with no decisions to be made on any ideas and suggestions at this time.

### SUMMARY OF ISSUES

- The RAW post-graduate committee have drafted key recommendations they see as significant to enhancing the ANU's capacity to be a national leader in contributing to reconciliation for staff (professional and academic), students, research and the broader Australian society.
- Recommendations were sought from all post-graduate Indigenous studies students and discussed with key academic and professional staff prior to this submission.
- The recommendations will be presented at the event "**Unpacking Reconciliation: Emerging thoughts from the ANU post-graduate community**".

### ATTACHMENTS

[10.1.1 Enhancing the ANU's capacity to be a national leader in contributing to reconciliation for staff \(professional and academic\), students, research and the broader Australian society - Recommendations to the ANU Council from the ANU post-graduate Indigenous Studies Community \(647/2016\).](#)

[10.1.2 List of scholars and presentations \(653/2016\).](#)

### COMMUNICATION

- For public release       For internal release       Not for release

**PART 4 – OTHER MATTERS FOR DECISION**

**\*C11 - 12**      *Confidential to Council Members*

**13. AMENDMENT OF BURGMANN COLLEGE INCORPORATED RULES 2004**

<b>PURPOSE</b>	To consider recent amendments to the <i>Burgmann College Inc. Rules 2004</i>
<b>PREPARED BY</b>	Bettina Söderbaum, Secretary, Burgmann College Inc.
<b>SPONSOR</b>	Vice-Chancellor

**RECOMMENDATION** That Council approve the amendments to the *Burgmann College Inc Rules 2004* contained in the attached Special Resolution dated 31 March 2016, this resolution having been adopted by the Council of Burgmann College.

**ACTION REQUIRED**

For discussion     For decision     For information

**BACKGROUND****1. Burgmann College Rules**

- 1.1. Burgmann College (“the College”) is an independent residential college affiliated with the ANU.
- 1.2. The activities of the College are subject to and authorised by the *Burgmann College Incorporated Rules 2004* (“the Rules”).
- 1.3. The Rules may be amended by special resolution of a general meeting of the Council of Burgmann College. Amendments may only become effective upon approval by the Council of the Australian National University.

**2. Special Resolution dated 31 March 2016**

On 31 March 2016, the annual general meeting of the Council of Burgmann College passed a special resolution to amend the Rules. The special resolution appears at **Attachment 13.1**. A marked-up version of the Rules appears at **Attachment 13.2**, and is provided for the purpose of placing the amendments in their context.

**SUMMARY OF ISSUES**

These amendments change our Rules to:

1. Reconcile the composition of the Council subsequent to the resignation of one of the participating churches;

and

2. Allow for participation in meetings by telephone and video and to allow for out of session decisions.

**ATTACHMENTS**

[13.1: Special Resolution of the Council of Burgmann College dated 31 March 2016 \(669/2016\).](#)

13.2: *Burgmann College Incorporated Rules 2004*, marked up to reflect proposed operation of Special Resolution dated 31 March 2016 (304/2016).

**COMMUNICATION**

For public release     For internal release     Not for release

**C14.** *Confidential to Council Members*

**PART 5 – OTHER MATTERS FOR NOTING****\*15. MELBOURNE ENGAGEMENT STRATEGY 2015 ANNUAL REPORT**

<b>PURPOSE</b>	To consider the Chancellor's 2015 report on the Melbourne Engagement Strategy.
<b>PREPARED BY</b>	Executive Officer to the Chancellor
<b>REVIEWED BY</b>	Chancellor
<b>APPROVED BY</b>	Chancellor
<b>SPONSOR</b>	Chancellor

<b>RECOMMENDATION</b>	That Council consider the Chancellor's 2015 report on the Melbourne Engagement Strategy (306/2016).
-----------------------	---

**ACTION REQUIRED**

For discussion     For decision     For information

**CONSULTATION**

Staff     Students     Alumni     Government     Other     Not applicable

**BACKGROUND**

On 25 May 2012, Council resolved:

- (i) that the proposed Melbourne engagement strategy be approved in principle;
- (ii) that the Vice-Chancellor work with the Chancellor in its detailed implementation so far as is practicable and affordable;
- (iii) that the Chancellor submit a written report to Council at least annually on the work of the Melbourne Office.

A Melbourne Office has been established at ANU House to actively grow and support recruitment, alumni, philanthropic and business and industry engagement in Melbourne and Victoria.

**SUMMARY OF ISSUES**

ANU Melbourne Office continues to support the Chancellor and provide the University with a base for engaging with Victoria-based alumni, recruiting the state's most talented school leavers, and providing opportunities for ANU academics to raise profile and contribute to public policy debate in Melbourne. Growth in the number of engaged alumni continues to be strong thanks to regular events put on by the Melbourne Alumni Network, while a new program of public policy events has been established to raise awareness of ANU's talent. The inaugural Chancellor's Scholar's Program commenced in 2015, and will continue in July 2016, and is making a strong contribution to the ANU's engagement with high-achieving Victorian high school students.

**ATTACHMENT**

[15.1 2015 Report of the Chancellor – Melbourne Engagement Strategy \(306/2016\).](#)

**COMMUNICATION**

For public release     For internal release     Not for release

## 16. AUSTRALIAN NATIONAL AUDIT OFFICE - CLOSING LETTER ON THE 2015 ANNUAL FINANCIAL STATEMENTS

<b>PURPOSE</b>	To note the closing letter on the 2015 Annual Financial Statements issued by the Australian National Audit Office.
<b>AUTHOR</b>	Group Executive Director ANAO
<b>SPONSOR</b>	Chair, Audit and Risk Management Committee

<b>RECOMMENDATION</b>	That Council note the closing letter on the 2015 Annual Financial Statements (307/2016).
-----------------------	--

### ACTION REQUIRED

For discussion  For decision  For information

### CONSULTATION

Staff  Students  Alumni  Government  Other  Not applicable

### COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION

Finance  Audit & Risk Management  Campus Planning  Remuneration  
 Major Projects  Honorary Degrees  Nominations  Academic Board

### BACKGROUND AND SUMMARY

The report provided by the Australian National Audit Office was considered by Finance Committee and the Audit and Risk Management Committee at their meetings held on 17 March 2016 and Council, at its meeting on 1 April 2016. Of note, the Office has issued an unmodified opinion on the financial statements for 2015.

The ANAO's closing letter, issued to the University on 1 April 2016, is provided for Council's noting.

### ATTACHMENT

16.1 Australian National Audit Office 2015 Financial Statement Audit Closing Letter (307/2016).

### COMMUNICATION

For public release  For internal release  Not for release

**17. REPORT ON RESEARCH GRANT APPLICATIONS 2016**

<b>PURPOSE</b>	To consider updates on major competitive grant applications, particularly Australian Research Council and National Health and Medical Research Council grant application numbers
<b>PREPARED BY</b>	Executive Officer to the Deputy Vice-Chancellor (Research)
<b>REVIEWED BY</b>	Deputy Vice-Chancellor (Research)
<b>APPROVED BY</b>	Deputy Vice-Chancellor (Research)
<b>SPONSOR</b>	Vice-Chancellor

<b>RECOMMENDATION</b>	That Council note the updates on application trends for Australian Research Council and National Health and Medical Research Council (650/2016).
-----------------------	--

**ACTION REQUIRED**

For discussion  For decision  For information

**CONSULTATION**

Staff  Students  Alumni  Government  Other  Not applicable

The paper relates to reporting of ANU internal data for Council's information, and does not require consultation.

**COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION**

Finance  Audit & Risk Management  Campus Planning  Remuneration  
 Major Projects  Honorary Degrees  Nominations  Academic Board

Elements of the paper have previously been considered by Academic Board's University Research Committee and the Senior Management Group, however no committees of Council have considered the paper.

**BACKGROUND**

The Australian Research Council (ARC) and the National Health and Medical Research Council (NHMRC) are Australia's two most important funders of competitive research grants.

In the annual grant cycle, January – April is the peak period for development and submission of key grant applications, including the majority of NHMRC project grant and fellowship proposals, and ARC flagship programs Discovery Projects, and Discovery Early Career Researcher Awards.

Some ARC outcomes were also released in early May and are also reported below.

**SUMMARY OF ISSUES**

The overall pool of funding to traditional competitive grant sources such as the Australian Research Council and National Health and Medical Research Council is stagnant, particularly in programmes not aligned to targeted industries or health issues.

ANU is maintaining a strong position in the sector for ARC grants and fellowships; however in the period January-April 2016, ANU submitted 309 applications, below the Go8 average of 371.



ANU is well behind Group of Eight competitors for NHMRC grants and fellowships. In the period January-April, ANU submitted 104 applications, compared to a Group of Eight average of 380.

ANU health and medical research is small relative to competitors. Strategic initiatives have been put in place since 2014 to increase application numbers and support quality applications, including structured peer review of proposals, grant writing workshops targeted to early-career researchers, staff mentoring, and offers of temporary safety-net positions at the completion of fellowships.

Increasingly, the university is targeting alternative sources of funding such as industry-led schemes and direct collaboration with government and business.

#### **ATTACHMENT**

[17.1 ARC and NHMRC Outcomes and Submission Trends 2016 \(650/2016\)](#).

#### **COMMUNICATION**

For public release  For internal release  Not for release

**\*C18 - 24**      *Confidential to Council Members*

**25. CAPITAL BUILDING FINANCIAL SUMMARY REPORT**

**PURPOSE** To summarise the status of capital building works in progress on campus and the associated financial position of these work as at 27 March 2016.

**PREPARED BY** Acting Associate Director, Projects, Facilities and Services

**REVIEWED BY** Chief Financial Officer

**APPROVED BY** Director, Facilities and Services

**SPONSOR** Executive Director (Administration and Planning)

**RECOMMENDATION** That Council note the information contained in the Capital Building Financial Summary Report as at 27 March 2016.

**ACTION REQUIRED**

For discussion  For decision  For information

**CONSULTATION**

Staff  Students  Alumni  Government  Other  Not applicable

**COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION**

Finance  Audit & Risk Management  Campus Planning  Remuneration  
 Major Projects  Honorary Degrees  Nominations  Academic Board

**SUMMARY**

The recurring Capital Building Financial Summary Report is at Attachment 25.1.

Key points to note are as follows:

**Active Projects:**

- **Acton Underhill Carpark** - The project is in its final stages. Completion was previously notified as end of April. Current completion due for end of May 2016. Issues with installation of the lift have caused this change to the construction program. Requirements for truck access has delayed the removal of site fences.
- Principal contractor: AMA Projects Pty Ltd
- Principal consultant: Tanner Kibble Denton Architects Pty Ltd
- **Siding Springs Observatory Fire Recovery Works Program** - Construction commenced in December 2015. The program has been extended due to trade delays on site. Completion is expected late in 2016. Discussions are progressing with the insurer to establish the scope of external works.
- Principal contractor: AMA Projects Pty Ltd
- Principal consultant: Lahz Nimmo Architects Pty Ltd
- Quantity surveyor: Rider Levett Bucknall ACT Pty Ltd
- **College of Business and Economics Student Hub & program of works** - Construction work for the Student Hub is progressing and will be handed over in a few weeks. The revised budget is \$4.3m. The original budget was \$3.5m which was increased by \$450k to refurbish the Research School of Management and Deanery areas. A further \$361k was provided to refurbish the Academic and PhD student areas in the Research School of Finance & Actuarial Studies. All funding has been provided by the College with evidence provided of project

- approval, scope increase approval and funding source. The program of works will continue through 2016.
- Principal contractor: FM Projects Australia
  - Principal consultant: Melinda Dodson Architects Pty Ltd
  - Quantity surveyor: WT Partnership Aust. Pty Ltd
  - **Research School of Physics and Engineering (RSPE) Stage 1** – This project was approved in the 2014 Capital Works round. Funding of \$50m for stage 1 works has been allocated. Master planning of the precinct is well underway. A scope to best suit RSPE with the funding limitations is currently being determined. Design documentation is to commence in late 2016 for commencement of construction during 2017. Consultation with statutory authorities has commenced and general risk management of the project has progressed well. A contribution of \$50k has been received from Hindmarsh Construction Australia Pty Ltd. This contribution was originally offered when the 2013 RSPE Master Plan was being developed by Metier3 Architects. No conflict of interest, real or perceived exists at this point given that no contracting activities have been procured. The matter will need to be reviewed at time of contractor engagement.
  - Principal consultant: Hassell Ltd
  - Quantity surveyor: Rider Levett Bucknall ACT Pty Ltd
  - **Computer Sciences and Mathematical Sciences Institute (MSI) – Co-location to new building** – This project was funded in the 2014 Capital Works round. The EDAP approved an additional \$2.5m to complete demolition works prior to the delivery of the new building. The project is subject of a redesign due to an approach by the Australian Signals Directorate (ASD). ASD are considering partnering in the building development which will result in the addition of an extra level (the completed project will result in a five storey building). The current negotiations suggest a capital contribution of \$12.1m plus annual operating costs. The negotiations are still to be completed. Consequently completion has been moved to mid-2018. National Capital Authority (NCA) approval for demolition has been received.
  - Construction manager: Hindmarsh Construction Australia Pty Ltd
  - Principal consultant: Clarke Keller Pty Ltd
  - Quantity surveyor: MBMPL Pty Ltd
  - **Research School of Social Sciences (RSSS) Building** – The project was funded in the 2014 Capital Works round. This project is for the construction of a new building to co-locate the RSSS staff and students in one facility. The NCA Works Approval Application is due to be submitted in early May following incorporation of information from the Social Values survey and the finalisation of the Heritage Impact Assessment. The tender evaluation for the construction company will be completed by early May. If Works Approval is not delayed by prolonged consultation related to heritage issues, demolition of the Pauline Griffin building will commence in the third quarter of 2016 with a target construction completion date at the end of 2017. The budget was increased by \$6.0m with a contribution provided by the College from a building fund previously set aside by the CASS Executive for this project. The additional funds were provided to ensure the scope and design of the new building meet the key outcomes of the College and School.
  - Principal consultant: Hassell Ltd
  - Quantity surveyor: Turner & Townsend Pty Ltd
  - **Wayfinding and Signage** – Funding of \$880,000 for the first stage of the Wayfinding project has been approved. The first stage involves two elements:
    - Replacement of the Campus “Entry Markers”. Following completion of the construction documentation, the ACT Government has approved the location of the two of the five entry markers that are under their jurisdiction. NCA Works Approval has been received for the project and procurement has commenced with the tender process underway; and
    - Installation of new signage in the Crawford Precinct, the nominated “exemplar” site. The required documentation has now been finalised with stakeholders. NCA Works Approval has been received and procurement has commenced with tender documents prepared ready to go to the market.
  - Principal consultant: Dotdash Australia Pty Ltd
  - **New Student Accommodation** – Works on the 500 bed student accommodation residence on the corner of Clunies Ross Street and Dickson Road has commenced. The project remains on track for completion to meet the 2017 resident student intake schedule.
  - Principal contractor: Cockram Construction Ltd
  - Project manager: Integrated Property Services Group Pty Ltd

- Quantity Surveyor: Rider Levett Bucknall ACT Pty Ltd
- **Coombs Building Refurbishment** – This project was funded in the 2015 Capital Works round. Funding is allocated for the refurbishment of the Coombs building for College of Asia and the Pacific. Planning and design activities have commenced. The master planning process will begin in May 2016. The works will proceed over 3 to 4 years.
- Construction manager: Shaw Building Group
- Principal consultant: Clarke Keller Pty Ltd
- Currently the possum proofing works are being carried out by Cobul Constructions and are on time for handover in September 2016. This will be followed by a project to replace shutters with the expectation to be complete by 1 December 2016.
- **Research School of Biology Robertson Building Refurbishment (including refurbish part Level 1)** – The Robertson Building, level 1 refurbishment project for Bioinformatics and Ecogenomics is complete. The building refurbishment project has progressed with a functional design brief and block and stack plans finalised. A construction manager and design team have been engaged. The detailed design is progressing with preliminary sketch plans forecast to be complete in June 2016. A decanting plan is currently being finalised which will affect how the construction works are staged. A tentative commencement date for construction to commence is set for late July/August 2016. An additional \$12m funding has been committed to allow for the refurbishment of the East Wing (currently identified to be delivered as part of 'Stage 1').
- Project manager: Construction Control Holdings Pty Ltd
- Principal consultant: Collard Clarke Jackson Canberra Pty Ltd
- Quantity surveyor: Rider Levett Bucknall ACT Pty Ltd
- **Physics Link Refurbishment (Building 38/38a)** – A construction manager has been engaged to deliver the Physics Link (38A) Level 1 refurbishment project on a fixed lump sum. The project will convert space previously occupied by the Centre for Public Awareness of Science into open plan teaching space for Physics. The works have commenced with the internal strip out of lightweight partitions and ceilings. The funding was increased by \$100k by Physics to include allowances for AV, window furnishings and a modest contingency. The completion date has been adjusted to 30 June 2016.
- Construction manager: AMA Projects Pty Ltd
- Principal consultant: Captivate
- **College of Law Buildings Refurbishment** – This project was funded in the 2015 Capital Works round. The project will incorporate refurbishment of buildings 5, 6 and 7. Planning and design activities have commenced. A Project/Construction Manager has been engaged. The works will proceed over 3 to 4 years.
  - Master Planning exercise has concluded.
  - Budget options have been developed.
  - Decisions on the final scope are pending and will be based on the outcome of discussions between the Dean, College of Law, Director, Facilities and Services and the Executive Director (Administration and Planning).
- Construction manager: Shaw Building Group
- Principal consultant: Daryl Jackson; Alistair Swayne Pty Ltd
- **Drill Hall Gallery Mechanical Upgrade** – This project is established for the replacement of the air conditioning system. The project will deliver accepted gallery quality temperature and humidity conditions appropriate for the display of fragile artworks. Requisite works to the building fabric are also included. Work has commenced and completion is scheduled for May 2016.
  - The project is now 50% complete. Demolition is complete, associated building works have commenced, mechanical equipment has been purchased and is on site ready for installation.
  - Additional funding of \$90k has been allocated for unexpected asbestos removal which is now complete.
  - Additional funding of \$260k has been allocated for waterproofing the roof. Works are yet to be commenced.
- Construction manager: Shaw Building Group
- **Old Administration Area (OAA) Demolition** – Removal of buildings has been completed. The site will now be set up to support the redevelopment of Law and Coombs buildings with the installation of site sheds and other support facilities to be contained in this area. This will include contractor car parking so as to not disadvantage existing student and staff parking on campus. The project has uncovered significant issues with hazardous materials including an

underground fuel tank that was full (despite ground penetrating radar surveys) and asbestos waste from the original construction of the OAA buildings. The remaining cost risk to the project is with the removal of the underground services that are also clad in asbestos lagging. It has not been possible to complete any investigation of the extent of services as they have been located under buildings and pavements. The current budget is set at \$860,000. There remains a considerable hazardous materials cost risk estimated to be a further \$500,000 above the budget figure.

- Principal contractor: Cobul Constructions
- Principal consultant: Philip Leeson Architects Pty Ltd
- **CECS Buildings Foyer Refurbishments** – The College funded cosmetic refurbishments in the foyers of Buildings 31, 32 and 100. Whilst the project has now entered the defects liability period the College has decided to include additional works and space. As a result a variation to the contract is required, with changes to the overall scope and budget to be developed. This cannot be quantified until the works have been scoped.
- **Relocation of RSD/SIG/WEG** – This project requires a number of staged refurbishments and relocations across several campus buildings. Procurement activities are complete and the works are in progress. Three of the five relocations are now complete and the final relocations are expected to be undertaken in May 2016.
- **HVAC** – Current projects are progressing well.
  - Building #115 RSISE chiller replacement contractor on site undertaking enabling works, chiller ordered 4 weeks ago - has 12 week lead time.
  - Building #61 Jaeger 1 chiller tender closed, contractor signing contract, chiller has 12 week lead time.
- The original \$1.0m was allocated from the 2015 maintenance levy thereby reducing funds allocated across the University building stock for Corrective Maintenance and Capital Renewal. Funding of \$130k was allocated to fund additional engineering resources. An additional \$1.1m in funding was allocated as approved by the Executive Director (Administration and Planning) funded from the Carbon Reduction Fund. Next phase of HVAC replacement is being identified and technical specifications developed.
- **NARU** – All hydraulic works are completed with civil works about to commence. This entails re-contouring overland flow, new curb and gutter, installation of 5 new car parks and new asphalt on site road. An additional funding of \$32k was required due to in ground services not being installed previously according to Australian Standards (i.e. depth of conduits in ground) some services had to be relocated or replaced. The project was due for completion 23 May 2016 but has been delayed due to relocation of in ground services and bad weather.
- **Surface car parks x4 Upgrades - Ellery Crescent (School of Art), South Oval (Creek side), Garran Road (NCI) and Garran Road (Boatshed)** – Approvals for carparks have been accepted by NCA. Tender for this project will be released in May 2016 with works to be undertaken during the Semester 1, 2016 break.
- **Completed Projects in Defects Liability Period (DLP):**
- **Hancock West (ITS Refurbishment)** – The Managing Contractor has completed the works within the budget of \$11.0m and the building is now occupied. To be financially closed once the DLP is complete (July 2016).
- **CECS (Craig Building Refurbishment)** – The works are complete and the building is now occupied. An additional \$200,000 contribution from the College, which funded additional mechanical services works, is required to financially close the project. To be financially closed once the DLP is complete (August 2016).
- **College of Law Level 1 Refurbishment** – This project is complete, costs remain within budget, and final claims have been processed. To be financially closed once the DLP is complete (November 2016).
- **School of Music Foyer Refurbishment** – External works were completed in late 2015, this project is now complete. To be financially closed once the DLP is complete (October 2016).
- **Chancelry Annex – Building 10B and 10C Refurbishment** – The project to refurbish the Finance and Business Services Division - part of Building 10C and the link to 10B - is complete. To be financially closed once the DLP is complete (December 2016).
- **Refurbishment of buildings 42 and 42A (Peter Baume Building)** – The refurbishment of the Peter Baume Buildings 42 & 42A is complete with some minor post occupancy works and defects currently being closed out. Additional funding had been approved in 2015 to allow for a new, energy efficient mechanical system to Building 42, identified as a priority project as part of the Electricity Reduction Program (\$400k). Additional funding (\$297k) was also committed

to replace the consumer mains to Building 42 which did not occur as part of the Colleges of Science redevelopment, works included a new fire panel and main switchboard (both identified for replacement as part of the maintenance portfolio). The former College of Science project made a contribution of \$100k to bring the budget into line with the projected cost plan. Final claims are expected to be closed out in April/early May with some savings expected. To be financially closed once the DLP is complete (March 2017).

- **CBE Summer Refresh** – The final area for refurbishment has been completed. An additional \$200k was provided to upgrade Ambassador Lounge with new furniture. To be financially closed once the DLP is complete (March 2017).

**Closed Projects:**

- **Electricity Reduction Initiative – electricity metering** – The project team continue to resolve metering connectivity and other technical issues, down from previously reported 50 meters to 40 meters. The Project Team is working with ITS to resolve the remaining issues by 30 May 2016. The Project Team is currently developing business rules for metering data substation estimation when data is unavailable due to faulty meters.

**Other Projects**

- Pauline Griffin Building Repurpose – Currently nil activity – demolition pending.

**ATTACHMENT**

25.1 Summary of Approved Capital Works over \$500k as at 27 March 2016 (658/2016).

**COMMUNICATION**

For public release  For internal release  Not for release

**\*C26.** *Confidential to Council Members*



**27. POWER OF ATTORNEY**

**PURPOSE** To note a list of documents signed under Power of Attorney by the Investment Manager, and Acting Investment Manager, between 7 April 2016 and 3 May 2016.

**PREPARED BY** Investment Manager

**REVIEWED BY** Chief Financial Officer

**APPROVED BY** Chief Financial Officer

**SPONSOR** Vice-Chancellor

**RECOMMENDATION** That Council note the list of transactions over which the Investment Manager, and Acting Investment Manager, exercised Power of Attorney between 7 April 2016 and 3 May 2016.

**ACTION REQUIRED**

For discussion  For decision  For information

**CONSULTATION**

Staff  Students  Alumni  Government  Other  Not applicable

**BACKGROUND AND SUMMARY OF ISSUES**

This Power of Attorney was granted to the Investment Manager and executed under the Common Seal of the University on 4 September 2003.

Attachment 27.1 provides the list of transactions signed under Power of Attorney by the Investment Manager, between 7 April 2016 and 3 May 2016.

**ATTACHMENT**

[27.1 List of transactions signed under Power of Attorney between 7 April 2016 and 3 May 2016 \(313/2016\).](#)

**COMMUNICATION**

For public release  For internal release  Not for release

**28. UNIVERSITY SEAL REPORT****PURPOSE** To inform Council of the uses of the University Seal.**PREPARED BY** Manager, Vice-Chancellor's Office**SPONSOR** Vice-Chancellor

<b>RECOMMENDATION</b>	That Council note that there have been no documents to which the University Seal has been affixed since the meeting of Council held on 1 April 2016.
-----------------------	--

**ACTION REQUIRED**For discussion  For decision  For information **CONSULTATION**Staff  Students  Alumni  Government  Other  Not applicable **BACKGROUND AND SUMMARY OF ISSUES**

The *University Seal Statute 2002* came into effect on 11 June 2002. The *Statute* provides that the seal of the University must not be used except upon the order of the Council or as provided by the *Statute*. Section 5 of the *Statute* provides that:

1. **Affixing of seal to other documents**
  - a. If a document is required to be under the seal of the University but the affixing of the seal is not authorised by section 4, the Chancellor or the Vice-Chancellor may direct the custodian to affix the seal of the University to the document, and, at the first opportunity, the Chancellor or the Vice-Chancellor, as the case requires, must report to the Council the action so taken.

There have been no documents to which the University Seal has been affixed since the meeting of Council held on 1 April 2016.

**COMMUNICATION**For public release  For internal release  Not for release

**29. SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES**

**PURPOSE** To consider a report of significant visits and events, grants and consultancies.

**PREPARED BY** Communications Officer (Special Events)  
Office of Research Excellence

**REVIEWED BY** Vice-Chancellor

**APPROVED BY** Vice-Chancellor

**SPONSOR** Vice-Chancellor

---

**RECOMMENDATION** That Council note the report of significant visits and events, grants and consultancies.

---

**ACTION REQUIRED**

For discussion  For decision  For information

**ATTACHMENT**

29.1 Report of significant visits and events, grants and consultancies (309/2016).

**\*30. LEGISLATION****\*30.1 COUNCIL (ELECTIONS) STATUTE 2016**

<b>PURPOSE</b>	For Council to consider a new statute for the regulation of elections to Council, including the application of the <i>ad personam</i> principle for the election of student members.
<b>PREPARED BY</b>	Manager, Corporate Governance and Policy
<b>REVIEWED BY</b>	Director, Corporate Governance and Risk Office
<b>APPROVED BY</b>	Chancellor
<b>SPONSOR</b>	Chancellor

---

**RECOMMENDATION** That Council approve the *Council (Elections) Statute 2016*.

---

**ACTION REQUIRED**

For discussion     For decision     For information

**CONSULTATION**

Staff     Students     Alumni     Government     Other     Not applicable

The Presidents of the ANU Students' Association (ANUSA) and ANU Postgraduate and Research Student Association (PARSA) have been consulted on the policy underpinnings of the Statute, and the related Rule and Order, and have endorsed their enactment.

**BACKGROUND**

In 2014 the Federal Government commissioned the Walker Review of the Australian National University Act 1991 (ANU Act) and governance arrangements of the University. The review report, which was received by the Federal Government and ANU Council in early 2015, recognised that there is much about the governance of ANU that is exemplary, and that its 29 recommendations were intended to ensure that already good governance arrangements are made even better. The Council has endorsed the report's recommendations, accepting all of them, with only the minor qualifications.

The review determined that the University's practice in relation to the student membership on Council is not in keeping with the principle of Council members being elected *ad personam* (ie personally and not by virtue of an office they hold). Presently the student members of Council are the elected Presidents of the two Student Associations, who have been elected to those offices by the student body. Compliance with the *ad personam* principle is also expected under the *Voluntary Code of Best Practice for the Governance of Australian Universities*. It is noted that the staff members of the Council are elected *ad personam*.

Later this year, and subject to the outcome of the Federal election, the Federal Government will introduce a Bill into the Australian Parliament to establish the new ANU Act. In the course of negotiating the detail of the Bill, there remains the expectation by government that the University adopt the *ad personam* principle for its student membership, as recommended by Walker.

At its 1 April 2016 meeting, Council resolved to accept the recommendation of the Walker review that staff or student members be appointed or elected on an *ad personam* basis.

## SUMMARY OF ISSUES

Presently the election of members of the Council is governed by two separate sources. For the staff members it is under the *Membership of the Council Statute 2010* and its related Rules. For the students there is no legislative instrument in place. Instead, provisions have been inserted into the respective constitutions of the student associations to govern the current practice whereby their Presidents are the elected student members of the Council.

It is proposed to repeal the existing legislative instruments, and put in place a new Statute (with an associated Rule and Order to regulate finer operational details) to govern the election process for staff and student members of the Council.

The key features and requirements of the new Statute are:

- Staff members of the Council will continue to be elected using the same processes as currently (with the current provisions transferred into the new Statute).
- The Statute reinforces the existing provisions that no elected member of the Council may serve for more than 8 consecutive years, without the prior consent of the Council.
- The Statute will “outsource” the election of the student members by empowering ANUSA and PARSA to elect an undergraduate student member and a postgraduate student member to the Council respectively. Such elections must occur under provisions included in their constitutions and election regulations. It should be noted that constitutional change can only occur with the approval of the Council.
- Student members must be elected from, and directly by, the entire relevant student body (*ad personam*). This does not prevent the person elected from also being elected (or not) to any other office-bearer position (including President) of their student association.
- The elections must be held to coincide with the annual elections of office-bearers for the student associations.
- The student associations must appoint a Returning Office to conduct the elections.
- The Returning Officer must conduct a fair election, giving eligible students reasonable opportunity to participate.
- The student associations must take all steps necessary to ensure that the elections are conducted fairly and in compliance with the Statute, assisting the Returning Officer as required.
- Elections must be conducted by secret ballot and using a method of preferential voting.
- Reserve powers are granted to the Vice-Chancellor to temporarily suspend the authority of a student association to conduct the election, if s/he is satisfied that a student association has not, is not, or will not, comply with the Statute, including running an election process that cannot be regarded as being fair, reliable and without serious irregularity. The Vice-Chancellor may suspend the authority to run the election for a period not exceeding 12 months. If such a decision was ever taken, the Statute provides a mechanism for the University to conduct the election, and the decision must be reported to the Council and the student association concerned.
- The provisions will come into effect and will apply to the 2017 annual student elections (or from 1 January 2017 if a casual vacancy occurs). It will not apply for 2016, as there is now insufficient time before the commencement of this year’s election process to finalise the necessary reforms to the student association constitutions.
- To accommodate some existing arrangements in the constitutions of the student associations, the term of office for the student members of Council has been set as being 12 months, but can extend for up to 15 months, where their constitution provides flexibility for their ‘annual’ term of office.
- The new Statute (and associated Rule and Order) also tidy up some minor drafting weaknesses and technical election process issues in the existing legislative instruments.

The Corporate Governance and Risk Office is currently working with the student associations on the necessary reforms to their constitutions and election regulations. It is expected that the student associations will put the proposed changes to their respective annual general meetings over May – July 2016. The constitutional changes will then be presented to Council for approval at its 22 July 2016 meeting.

The associated *Council (Elections) Rule 2016* and *Council (Elections) Order 2016*, which are attached for Council member's reference, regulate the fine detail of election procedures, and will be presented to the Vice-Chancellor for approval subsequent to the registration of the Statute.

**ATTACHMENTS**

30.1 Council (Elections) Statute 2016 (659/2016).

30.2 Council (Elections) Rule 2016 (660/2016).

30.3 Council (Elections) Order 2016 (661/2016).

**COMMUNICATION**

For public release     For internal release     Not for release

The new Statute, Rule and Order will be published on the ANU legislation webpage and the Federal Legislation website. An announcement of the changes will also be made on the ANU Services website.

**31. 2015 ENDOWMENT FOR EXCELLENCE REPORT TO DONORS**

<b>PURPOSE</b>	To approve the 2015 Endowment for Excellence Report to Donors
<b>PREPARED BY</b>	Director, Alumni Relations and Philanthropy
<b>REVIEWED BY</b>	Endowment Board Of Governors
<b>APPROVED BY</b>	Vice - Chancellor
<b>SPONSOR</b>	Vice - Chancellor

**RECOMMENDATION** Council approve the 2015 Endowment for Excellence Report to Donors (662/2016).

**ACTION REQUIRED**

For discussion     For decision     For information

**CONSULTATION**

Staff     Students     Alumni     Government     Other     Not applicable

**BACKGROUND**

Attached is the 2015 Endowment for Excellence Report to Donors. The Report has been prepared by Alumni Relations and Philanthropy on behalf of the Endowment for Excellence Board of Governors. The attached Report has been reviewed and approved by the Board and by Dr Colin Taylor, Director, Alumni Relations and Philanthropy.

**ATTACHMENT**

31.1 2015 Endowment for Excellence Report to Donors (662/2016).

**COMMUNICATION**

For public release     For internal release     Not for release

**\*C32** *Confidential to Council Members*



**PART 6 – OTHER BUSINESS**

**\*33. QUESTION TIME**

**PURPOSE** For Members of Council to ask questions.

**SPONSOR** Chancellor

---

**RECOMMENDATION** That Council note any matters raised in question time.

---

**ACTION REQUIRED**

For discussion  For decision  For information

**\*34. OTHER BUSINESS**

**PURPOSE** For Council Members to raise any other business for the consideration of the meeting.

**SPONSOR** Chancellor

---

**RECOMMENDATION** That the Council consider any other business raised.

---

**ACTION REQUIRED**

For discussion  For decision  For information

**35. NEXT MEETING**

**PURPOSE** For Council Members to note the date of the next meeting of Council.

**SPONSOR** Chancellor

---

**RECOMMENDATION** That it be noted that the next meeting of Council will be held on 22 July 2016.

---

**ACTION REQUIRED**

For discussion  For decision  For information

# **COUNCIL AGENDA 27 MAY 2016**

## **ATTACHMENTS**

## Building core Indigenous capability

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Outreach/engagement to Indigenous student cohorts and communities</b>	<ul style="list-style-type: none"> <li>Poor advice at schools setting low aspirations</li> <li>First in family to study</li> <li>Financial support for accommodation</li> <li>Cultural support</li> <li>Indigenous content</li> </ul>	<ul style="list-style-type: none"> <li>Continual cycle of engagement with schools and communities through community outreach program</li> <li>Provide motivation</li> <li>Break down the barriers</li> </ul>	<ul style="list-style-type: none"> <li>Prospective students, families and career advisors are aware of the benefits of studying at ANU, and know where and how to find information on study options and pathways, and consider it a viable post-school option</li> <li>Numbers going on to tertiary study</li> </ul>



Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Attract more undergraduates</b>	<ul style="list-style-type: none"> <li>ANU not first choice for interstate Indigenous students</li> </ul>	<ul style="list-style-type: none"> <li>Tuckwell like scholarship</li> </ul>	<ul style="list-style-type: none"> <li>Attracting sponsorship from business/alumni</li> <li>Scheme attracting high quality Indigenous students nationally</li> <li>Scheme producing ANU Honours and PhD students</li> </ul>



Level of difficulty	Priority	Impact
High – resource intensive	High	High

Level of difficulty	Priority	Impact
High – resource intensive	Medium	Medium

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Pre-arrival students</b>	<ul style="list-style-type: none"> <li>• First in family to study</li> <li>• Financial support for accommodation</li> <li>• Leaving communities</li> </ul>	<ul style="list-style-type: none"> <li>• Engagement continually through their final year of secondary school</li> <li>• Provide pathways to chosen study fields</li> </ul>	<ul style="list-style-type: none"> <li>• Applicants and commencing students are aware of the range of support available through the Centre and the ANU and access it</li> <li>• Students feel confident and excited about commencing their study program</li> </ul>

Level of difficulty	Priority	Impact
Medium	High	High

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Early-stage undergraduates (years 1&amp;2)</b>	<ul style="list-style-type: none"> <li>• Understanding support and services available</li> <li>• Homesickness</li> <li>• Reservations about choice of the right degree program</li> <li>• Feelings that they don't 'belong'</li> </ul>	<ul style="list-style-type: none"> <li>• Help clarify aspirations/ career pathways</li> <li>• Wrap-around tailored services (tuition, academic advice)</li> <li>• Mentor-matching with later-year Indigenous students</li> <li>• ITAS tutoring</li> </ul>	<ul style="list-style-type: none"> <li>• Students have a broad perspective of the returns to them from their continued efforts and sacrifices</li> <li>• Students feel supported through their first years and feel positive about completing their degree</li> <li>• Students are fast-tracked to post-study jobs through gaining workplace experience whilst still studying.</li> </ul>

Level of difficulty	Priority	Impact
Medium	High	High

Stage of the journey	Barriers to participation	Solutions	Success indicators
Later-stage undergraduates (3&4)	<ul style="list-style-type: none"> <li>Distractions (family/friends/work)</li> <li>Lured to a job (&amp; financial benefits)</li> </ul>	<ul style="list-style-type: none"> <li>Create pathways to employment/further education that complement study program (incl. at ANU)</li> <li>Help access financial support (scholarships etc.) which help keep them focused on completing studies</li> </ul>	<ul style="list-style-type: none"> <li>Students are positive about graduating and gain leadership experience with contributing to the learning and guiding of early-stage undergraduates</li> </ul>

Level of difficulty	Priority	Impact
Low – we just need to build upon existing initiatives	High	Medium

Stage of the journey	Barriers to participation	Solutions	Success indicators
Indigenous ANU professional staff	<ul style="list-style-type: none"> <li>Highly devolved operating environment</li> <li>Lack of clarity and visibility around Indigenous staff support services</li> <li>The Government’s Indigenous Wage Subsidy programme is no longer available</li> <li>Direct APS competition for Indigenous trainees/staff</li> </ul>	<ul style="list-style-type: none"> <li>A network of Indigenous traineeships across ANU embedded within Schools, Colleges and Divisions</li> <li>A job pathway guarantee for successful trainees</li> <li>Partnerships with specialist Indigenous Employment service provider/s</li> <li>Internal mentoring and support frameworks for Indigenous staff</li> <li>University wage subsidy scheme for first-year transition of Indigenous trainees to continuing positions</li> </ul>	<ul style="list-style-type: none"> <li>Achieving high retention rate of our Indigenous staff members</li> <li>Pipeline of Indigenous staff progressing from traineeships to continuing positions</li> <li>Provides effective option for students not interested in academic careers</li> </ul>

Level of difficulty	Priority	Impact
High – resource intensive but past experience from CAP shows modest investment can have ongoing impact	High	High

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Honours</b>	<ul style="list-style-type: none"> <li>Distractions (family/friends/work)</li> <li>Lured to financial benefits of a job</li> </ul>	<ul style="list-style-type: none"> <li>Develop a transition pathway from UG to Honours</li> <li>Monitor grades, and inform potential candidates for the benefits of an Honours Program</li> </ul>	<ul style="list-style-type: none"> <li>Increased Student enrolment in Honour/s programs either at ANU or elsewhere</li> </ul>

Level of difficulty	Priority	Impact
Low - we just need to build upon existing initiatives	High – to ensure strong ANU pool doing PhDs at ANU	Medium

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Post-Grads</b>	<ul style="list-style-type: none"> <li>Financial barriers</li> <li>Limited scholarships available</li> <li>Providing for the family becomes the priority</li> <li>understanding the value and career options of PG and HDR degrees</li> </ul>	<ul style="list-style-type: none"> <li>Employ whole-of-campus strategy to implement a scheme to produce one Indigenous ANU academic per year</li> </ul>	<ul style="list-style-type: none"> <li>Increased number of students entering into PG/PD programs either directly from the ANU UG student cohort or from elsewhere</li> </ul>

Level of difficulty	Priority	Impact
Low – just need to build upon existing initiatives	High	<b>Very High – with Post Doc scheme will be a game changer</b>

Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Post-Docs</b>	<ul style="list-style-type: none"> <li>Very small numbers</li> </ul>	<ul style="list-style-type: none"> <li>Create scheme funded by OVC for a 3-year placement (1 per year) to be converted into permanent positions paid by the college post doc is placed in once they meet standard performance requirements after 3 yrs</li> </ul>	<ul style="list-style-type: none"> <li>Scheme potential would create one new Indigenous academic every year from year 4 – and 10 by end of year 14</li> </ul>

Level of difficulty	Priority	Impact
High – resource intensive	High	<b>Very High – will be a game changer</b>



Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Indigenous ANU academics</b>	<ul style="list-style-type: none"> <li>Low number now</li> </ul>	<ul style="list-style-type: none"> <li>Creating more positions through above Post-Doc scheme and support and networking opportunities for ANU Indigenous academics</li> </ul>	<ul style="list-style-type: none"> <li>Achieving high retention rate of our Indigenous staff members</li> <li>15 year plan to have a “home-grown” Indigenous professor in every academic college</li> </ul>



Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Network of Indigenous Professors at ANU</b>	<ul style="list-style-type: none"> <li>Attracting and retaining distinguished Indigenous scholars</li> </ul>	<ul style="list-style-type: none"> <li>Above outlined schemes to create</li> </ul>	<ul style="list-style-type: none"> <li>Indigenous professoriate to become the new NCIS and provides high level leadership</li> </ul>



Stage of the journey	Barriers to participation	Solutions	Success indicators
<b>Indigenous Alumni</b>	<ul style="list-style-type: none"> <li>Difficulty keeping in touch with them</li> </ul>	<ul style="list-style-type: none"> <li>Use existing networks with Indigenous alumni to locate “lost” alumni</li> <li>Have annual ANU Indigenous Alumni event on key topic chosen by Alumni</li> </ul>	<ul style="list-style-type: none"> <li>Locate as many as possible of existing Indigenous alumni and create incentive for current students to stay in touch with ANU on graduation through existing Indigenous alumni assisting them while they are at ANU.</li> </ul>

Level of difficulty	Priority	Impact
High – requires above two steps to work and retention of good Indigenous scholars will always be difficult	High	<b>Very High – will be a game changer</b>

Level of difficulty	Priority	Impact
Low once we have critical mass of Indigenous academics	High	High

Level of difficulty	Priority	Impact
Low – need to just build on existing networks	High	High

## **Recommendations to the ANU Council from the ANU post-graduate Indigenous Studies Community**

### **Enhancing the ANU's capacity to be a national leader in contributing to reconciliation for staff (professional and academic), students, research and the broader Australian society:**

The purpose of these recommendations is to provide measurable, achievable actions for the ANU Council to endorse and be undertaken by Reconciliation Action Week 2017. We note that the ANU have already recently taken steps to increase its engagement in reconciliation and we are keen to be engaged in this process.

In developing these recommendations, our goal has been to identify ways for the ANU to work towards a reconciliation vision "That the ANU be recognised as a national leader in contributing to reconciliation for staff, students, research and the broader Australian society". The development of an ANU definition of 'reconciliation' is one action recommended below.

5 key objectives have been identified:

- That the ANU is chosen by Indigenous students throughout Australia as the preferred university to undertake and successfully complete undergraduate and post-graduate degrees
- That the ANU is an employer of choice by Indigenous academic and administrative staff
- That staff and students engage in respectful research and relationships with diverse Indigenous peoples and their knowledges, histories, cultures and traditions, within the ANU and external to the ANU
- That all research at ANU being undertaken on country contribute to the social, economic, cultural and environmental wellbeing of Indigenous peoples and their knowledges, histories, cultures and traditions.
- That the ANU's reconciliation vision, commitments and actions be well articulated and communicated.

Key objective	Outcome	Actions	By When
That the ANU is chosen by Indigenous students throughout Australia as the preferred university to undertake and successfully complete undergraduate and post-graduate degrees.	Increased number of Indigenous students in enrolment and completion of undergraduate and post-graduate degrees.	Provide additional resources to further enhance Tjabal's existing role to undertake an ANU Indigenous student engagement strategy that includes (but not limited to) targeted community engagement, school engagement, Indigenous scholarships and promotion of scholarships. This may be able to build on the Government's budget announcement for Higher Education in the 2016-17 Budget.	By RAW 2017
		Documentation and promotion of available identified scholarships at schools, at undergraduate and postgraduate events, on website and through Indigenous organisations with an interest in promoting higher education. Note the current website approach lists all scholarships.	By August 2016
That the ANU is an employer of choice by Indigenous academic and administrative staff.	Increased number of academic and non-academic Indigenous staff throughout the ANU	That a pipeline strategy be implemented to support more Indigenous students to undertake: - undergraduate studies - post-graduate studies - post-doctoral studies  Successful Indigenous post-doctoral scholars would then be offered a permanent academic appointment	By RAW 2017
		That a team be established or an external agency be funded to review the structural and governance impediments that prevent ANU being an employer of choice for academic and non-academic Indigenous staff	By RAW 2017
That staff and students engage in respectful research, teaching and relationships with diverse Indigenous peoples and their knowledges, histories, cultures and traditions, within the ANU and external to the ANU	Increased capacity of all staff and students to engage in respectful research, teaching and relationships with diverse Indigenous peoples and their knowledges, histories, cultures and traditions.	That the National Centre for Indigenous Studies, as a multi-disciplinary centre, be funded to develop a Guide to Indigenous Terminology for use throughout ANU.	By RAW 2017
		The VC to provide a directive that this guide be used at the ANU.	
		One of the terms that should be included in the Guide to Indigenous Terminology is 'reconciliation'. As part of defining the term, a team be established to undertake a process (such as a survey, focus groups, a summit) to identify what reconciliation at ANU means to staff (academic and professional) and students.	
		All undergraduate students to undertake Indigenous Studies unit.	
		This unit would consider including field visits to local and national institutes such as the Tent Embassy, as well as to urban, regional and or remote communities. Where possible, Indigenous lecturers and/or guest lecturers deliver the course.	

Key objective	Outcome	Actions	By When
		A dedicated research team to identify an existing and/or develop a university specific training that not only focusses on cultural competency, but also on explicit and implicit bias, and on current debates in Indigenous Studies. That an evaluation be undertaken on the success of the intended outcomes of the course.	By RAW 2017
		All panel members of students undertaking Indigenous Studies be required to undertake the above training	
		Review the ethics process involving Indigenous peoples and studies to be more respectful and responsive to individual projects rather than a risk-averse approach	By RAW 2017
		Review the Intellectual Property and ethics process involving Indigenous studies to be more respectful	By RAW 2017
		Committed annual funds for an Indigenous Studies retreat for staff and students	Initially by October 2016, then annual
		That grants be made available to support fieldwork in regional and remote Australia, which could include supporting local community researchers.	By RAW 2017
That all research at ANU being undertaken on country contribute to the social, economic, cultural and environmental wellbeing of Indigenous peoples and their knowledges.	Increased engagement and contribution to the social, economic, cultural and environmental wellbeing of Indigenous peoples and their knowledges of research being undertaken on country.	To include an additional requirement in the ethics process that requires all research involving fieldwork on country be required to undertake an impact assessment for its contribution to Indigenous knowledges and culture and the social, economic, cultural and environmental wellbeing of Indigenous peoples, (country being all classifications under the ARIA index).	By RAW 2017
		To include an additional requirement in the ethics process and research integrity training that project team's undertaking fieldwork on country demonstrate their understanding and awareness of Indigenous Cultural and Intellectual Property	By RAW 2017
That the ANU's reconciliation vision, commitments and action be well articulated and communicated	Increased public presence regarding ANU's commitment and approach to respecting and engaging with Indigenous knowledges, perspectives, people, cultures and a shared history.	The current Reconciliation Action Plan be reviewed by a dedicated team, and the process and findings be shared within the ANU community.	Immediately

**List of Scholars and Presentations at Unpacking Reconciliation: Emerging Thoughts from the ANU Post-graduate Community**

Scholar	Topic
Diana Anderssen National Centre for Indigenous Studies	The Construct of 'Traditional Laws and Customs' in Australian Native Title Law
Talia Avrahamzon Centre for Social Research and Methods (CSR) and Centre for Aboriginal Economic Policy Research (CAEPR)	Ethnic-racial socialisation of Australian primary school children towards Aboriginal and Torres Strait Islander peoples, cultures, histories and reconciliation.
Helen Fraser Centre for Aboriginal Economic Policy Research (CAEPR)	The Popularity of the Indigenous Protected Areas Program: Foundations and Threats.
Jacqui Lavis ANU College of Medicine, Biology and Environment	Riots, Racism and Resistance – why Reconciliation is failing North Queensland
Alycia Nevalainen Australian Centre for Indigenous History (ACIH)	Reconciliation and the Murdering Gully Massacre
Mae Noble Fenner School of Environment and Society	Culturally significant fisheries: keystones for management of freshwater social ecological systems
Jessa Rogers Australian Centre for Indigenous History (ACIH)	Boarding School Business: The Experiences of Indigenous Girls Attending Boarding Schools
Alice Rumble School of History	Making claims: Indigenous appeals to justice during the nineteenth century in WA, SA and Qld
Gary Toone National Centre for Indigenous Studies (NCIS)	Diverse and competing meanings in Aboriginal cultural resource management in intensive farming contexts
Priya Vaughvan Research School of Humanities and the Arts	Pay Attention: Aboriginal Artists in NSW
Ed Wensing National Centre for Indigenous Studies (NCIS)	Land Justice for Indigenous Australians: 'Two different timelines, two different cultures and two different laws. Can the two systems of land ownership and tenure co-exist with each other?
Kaely Woods Northern Research Futures Collaborative Research Network Scholar Centre for Aboriginal Economic Policy Research, Australian National University & The Northern Institute, Charles Darwin University	Reconciliation in Action: Bridging the gap in understanding of the total value of Aboriginal cultural activity

## SPECIAL RESOLUTION TO AMEND BURGMANN COLLEGE INC. RULES 2004

Passed by the Council of Burgmann College at its annual general meeting, 31 March 2016

- With respect to the resignation of the Presbyterian Church:

The Council RESOLVED to amend rules 5.1 and 7.1(a).

In 5.1 by deleting former subsection (c) and renumbering (d) and (e), as (c) and (d) as follows:

5.1 Each of the following is a **participating church** for these Rules:

- (a) the Anglican Church of Australia;
- (b) the Uniting Church of Australia;
- (c) the Baptist Union of Australia;
- (d) the Churches of Christ in Australia.

In 7.1(a) by reducing the number of Councillors allocated to the participating churches from 12 to 10.

7.1 Burgmann Council consists of the following Councillors:

- (a) 10 Councillors chosen from among the participating churches;
- (b).....(i)

- With respect to telephone and video participation in meetings and out of session decision making:

The Council RESOLVED to amend 40.1 and 42.

In 40.1 to remove the requirement to be personally present.

40.1 No item of business may be transacted at a meeting unless a quorum of Councillors or other members entitled under these Rules to vote at the meeting is present in person or, if the Chair so permits, participating by any means of telecommunication during the time when the meeting is considering that item.

In 42 to allow vocal assent or dissent, and to allow out of session decisions:

42.1 A question arising at a meeting is to be determined on a show of hands or vocal assent/dissent or (at the request of any Councillor, before the show of hands or on the declaration of the result of the show of hands or vocal assent/dissent) by the taking of a poll.

42.2 After a show of hands or vocal assent/dissent, or the making of a poll, the Chair must declare that the resolution has been carried or carried unanimously, or carried by a particular majority, or lost.

42.3 At the discretion of the Chair a question may be decided out of session provided that notice periods applying to meetings are observed.

**BURGMANN COLLEGE INCORPORATED  
RULES 2004**

**Part 1: Preliminary ..... 3**

    1   *Citation* ..... 3

    2   *Commencement*..... 3

    3   *Interpretation*..... 3

    4   *Notice*..... 4

    5   *Participating churches* ..... 5

**Part 2: Burgmann Council ..... 5**

    6   *Objects of Burgmann Council* ..... 5

    7   *Membership of Burgmann Council* ..... 7

    8   *Officers of Burgmann Council*..... 8

    9   *The Principal* ..... 8

   10   *The Deputy Principal* ..... 9

   11   *The Public Officer* ..... 9

   12   *The Visitor* ..... 9

   13   *Burgmann Council’s office*..... 9

   14   *Seal of Burgmann Council*..... 9

   15   *Annual general meeting*..... 10

   16   *General meetings and special general meetings* ..... 10

**Part 3: The College ..... 11**

    17   *Affiliation with the University* ..... 11

    18   *Compliance with Statute*..... 11

    19   *Expulsion of members of the College* ..... 11

**Part 4: The Board of Management ..... 12**

    20   *Powers of the Board of Management* ..... 12

    21   *Constitution of the Board of Management* ..... 13

    22   *Meetings of the Board of Management* ..... 13

**Part 5: The Executive Committee ..... 14**

23	<i>Constitution of the Executive Committee</i> .....	14
24	<i>Powers of the Executive Committee</i> .....	14
<b>Part 6: Committees</b> .....		<b>14</b>
25	<i>Committees</i> .....	14
26	<i>Finance and Audit and Risk Committees</i> .....	15
<b>Part 7: Finances etc.</b> .....		<b>15</b>
27	<i>Financial year</i> .....	15
28	<i>Income and property of Burgmann Council</i> .....	15
29	<i>Winding up</i> .....	16
30	<i>Accounts of receipts, expenditure, etc.</i> .....	16
31	<i>Banking and finance</i> .....	16
32	<i>Disclosure of interest in contracts etc.</i> .....	17
33	<i>Auditor</i> .....	17
34	<i>Audit of accounts</i> .....	18
35	<i>Custody of documents</i> .....	18
36	<i>Inspection of documents</i> .....	19
<b>Part 8: Meetings</b> .....		<b>19</b>
37	<i>Application of this Part</i> .....	19
38	<i>Chair</i> .....	19
39	<i>Notice</i> .....	19
40	<i>Quorum</i> .....	20
41	<i>Adjournment</i> .....	20
42	<i>Determination of questions arising</i> .....	20
43	<i>Votes</i> .....	21
44	<i>Taking of poll</i> .....	21
45	<i>When poll to be taken</i> .....	21
<b>Part 9: Vacancies</b> .....		<b>21</b>
46	<i>Vacancies</i> .....	21
47	<i>Removal of persons from office</i> .....	22
48	<i>Filling casual vacancies</i> .....	22
<b>Part 10: Orders</b> .....		<b>23</b>
49	<i>Orders</i> .....	23



<b>Part 11: Amendment of Rules .....</b>	<b>23</b>
50 <i>Amendment of rules .....</i>	<i>23</i>

---

## PART 1: PRELIMINARY

### 1 Citation

- 1.1 These Rules are the Burgmann College Incorporated Rules 2004.

### 2 Commencement

- 2.1 These Rules commence on 1 January 2005.

### 3 Interpretation

- 3.1 In these Rules, unless the contrary intention appears:

**academic staff of the College** means the Principal, Deputy Principal and tutorial staff of the College;

**Act** means the *Associations Incorporation Act 1991* of the Australian Capital Territory;

**annual general meeting** means an annual general meeting of Burgmann Council convened in accordance with rule 15;

**Board of Management** means the Board of Management of Burgmann Council constituted under rule 21;

[NOTE: For the Act, the Board of Management is the Committee of the Association.]

**BRA** means the Burgmann Residents' Association Incorporated or, if that body ceases to exist, an Association with similar objects nominated by the Board of Management;

**Burgmann Council** means the Council of Burgmann College Incorporated, an association incorporated under the Act, being the Council for the College;

[NOTE: For the Act, Burgmann Council is the Association.]

**College** means the residential interdenominational college affiliated with the University, known as *Burgmann College*;

**Convocation** includes:

- (a) the academic staff of the University who, after invitation by the Council, elect to become members of the Convocation of the College by notification to the Principal; and
- (b) Alumni, being present and former resident student members of the College who have resided in the College for at least one year; and

- (c) present and former full-time staff of the College who have been employed by the College for at least 1 year; and
- (d) present and former members of Burgmann Council; and
- (e) such other persons as a general meeting of Burgmann Council or a meeting of the Board of Management decides to invite, and who agree, to become members of the Convocation;

**Councillor** means a member of Burgmann Council under subrule 7.1;

**executive staff** means the Principal, Deputy Principal and such other executive staff as Burgmann Council or the Board of Management may from time to time nominate;

**finance committee** means the committee constituted under rule 26;

**general meeting** means an annual general meeting, a meeting mentioned in subrule 16.2 or a special general meeting, as the case requires;

**guest** means a person permitted by the Principal to lodge temporarily within the College;

**member of Burgmann Council** means a person referred to in subrule 7.1;

**member of the College** includes resident and non-resident members of the College;

**non-resident member of the College** includes those persons admitted to membership of the College in accordance with section 7 of the Statute;

**officer of Burgmann Council** means the officers referred to in subrule 8.1.

**ordinary Board of Management member** means a member of the Board of Management, other than an *ex officio* member;

**ordinary member of staff** means an employee of the College, except a member of the academic or executive staff;

**postgraduate facilities** means the residential accommodation constructed at the College in 2003 and 2004;

**resident member of the College** includes a resident of the College admitted to membership in a manner that does not contravene subsection 6(1) of the Statute or who is admitted to resident membership under subsection 6(2) of the Statute;

**special general meeting** means a special general meeting of Burgmann Council convened in accordance with rule 16;

**special resolution** means a resolution passed by three quarters of those personally present and voting;

**Statute** means the *Residential Colleges (Affiliation) Statute*;

**student** includes a member of the College proceeding to an award within the University;

**University** means the Australian National University.

3.2 If the context so requires, a reference to Burgmann Council includes a reference to the Association under the Burgmann College Incorporated Rules 1971 as amended and in force immediately before the commencement of these Rules

#### 4 Notice

4.1 Notice, including notice of a meeting, may be given either personally or by sending it through the post in a pre-paid letter addressed to the intended recipient at his

or her usual or last-known residential address, or by sending it by facsimile to his or her usual or last-known facsimile number, or by sending it by e-mail to his or her usual or last-known e-mail address.

## 5 Participating churches

5.1 Each of the following is a *participating church* for these Rules:

- (a) the Anglican Church of Australia;
- (b) the Uniting Church in Australia;
- ~~(c) the Presbyterian Church of Australia;~~
- ~~(d)~~ the Baptist Union of Australia;
- ~~(e)~~ the Churches of Christ in Australia.

5.2 A participating church may withdraw from participation after giving at least 12 months' notice to Burgmann Council, and Burgmann Council may, from time to time, admit another Church into participation by resolution at a general meeting.

## PART 2: BURGMANN COUNCIL

### 6 Objects of Burgmann Council

6.1 The objects of Burgmann Council are the provision, through the sponsorship of the participating Churches, of a residential College within and affiliated with the University, for undergraduate students, postgraduate students, University staff and guests, drawn from all over Australia and overseas, emphasising:

- (a) a vital community; and
- (b) pastoral care, including a chapel and chaplaincy service for the College community and, if possible, a wider University population; and
- (c) tuition; and
- (d) supervision of students, of a kind that involves maximum co-operation with student bodies and is flexible in meeting modern needs; and
- (e) theological work at an advanced academic level; and
- (f) the meeting of University persons with persons from outside the University world.

6.2 The powers of Burgmann Council in relation to the objects mentioned in subrule 6.1 include:

- (a) the purchase, taking on lease or in exchange, and the hiring or otherwise acquiring of any real or personal property; and
- (b) the buying, selling and supplying of, and dealing in, goods and services of all kinds; and
- (c) the construction, maintenance, and alteration of buildings or works; and
- (d) the accepting of any gift, whether subject to a special trust or not, for any one or more of those objects; and

- (e) the taking of such steps from time to time as the Board of Management finds expedient for the purpose of procuring contributions to the funds of Burgmann Council, whether by way of donation, subscriptions, or otherwise; and
- (f) the printing and publishing of such newspapers, periodicals, books, leaflets, or other documents as the Board of Management thinks desirable for the promotion of the objects of Burgmann Council; and
- (g) the borrowing and raising of money in such manner and on such terms as the Board of Management thinks fit or as may be approved or directed by resolution passed at a general meeting; and
- (h) subject to the provisions of the *Trustee Act 1925* of the Australian Capital Territory, the investment of any moneys of Burgmann Council not immediately required for any of its objects or purposes in such a manner as the Board of Management may from time to time determine; and
- (i) the making of gifts, subscriptions, or donations to any of the funds, authorities, or institutions to which Division 30 of the *Income Tax Assessment Act 1997* of the Commonwealth relates; and
- (j) the establishment and support, or aiding in the establishment and support, of associations, institutions, funds, trusts, schemes, and conveniences calculated to benefit employees or past employees of Burgmann Council and their dependants, and the granting of pensions, allowances, or other benefits to employees or past employees of Burgmann Council and their dependants, and the making of payments towards insurance in relation to any of those purposes; and
- (k) the establishment and support, or aiding in the establishment or support of any other association formed for any of those objects; and
- (l) the absorption of, affiliation with, amalgamation with or entering any other relation, whether formal or informal, with any company, institute, society, association or other body having similar objects or purposes and subscribing to become a member of or to cooperate with any such body, provided that:
  - (i) Burgmann Council must not absorb or amalgamate with any company, institute, society, association or other body unless the body prohibits the distribution of its income and property among its members; and
  - (ii) unless the prior consent of the Council of the University is obtained; and
- (m) the purchase or acquisition, and undertaking, of all or any part of the property, assets, liabilities, and engagements of any association with which Burgmann Council may at any time become amalgamated in accordance with the provisions of the Act and these Rules;
- (n) the doing of all such other lawful things, including the employment or retaining of persons, as are incidental or conducive to the attainment of those objects; and

- (o) the entrance into derivative transactions (including without limitation any interest rate or currency swap or any "derivative" as defined in the Corporations Act 2001 (Cth)) for risk management purposes and any hedging agreement or derivative master agreement governing such derivative transactions .

6.3 However, the powers of Burgmann Council mentioned in subrule 6.2 are to be exercised:

- (a) by the Board of Management; and
- (b) in accordance with any strategic parameters or directions determined by Burgmann Council.

## 7 Membership of Burgmann Council

7.1 Burgmann Council consists of the following Councillors:

- (a) ~~12~~10 Councillors chosen from among the participating churches;
- (b) 2 Councillors appointed by the Vice-Chancellor of the University;
- (c) the Principal of the College (*ex officio*);
- (d) the Deputy Principal of the College (*ex officio*);
- (e) 3 Councillors elected from the academic staff of the College by the academic and executive staff of the College, each of whom must be a resident member of the College;
- (f) 4 Councillors chosen by and from Convocation, at least 2 of whom must be former residents of the College;
- (g) the President of the BRA (*ex officio*);
- (h) 5 Councillors (not being members of the academic and executive staff of the College), elected, in a manner approved by Burgmann Council, by the resident members of the College (including the academic and executive staff of the College) from among their number, provided that at least 1 of these Councillors shall be resident in the postgraduate facilities and at least 1 of these Councillors shall be resident elsewhere in the College;
- (i) 5 additional persons elected by Burgmann Council.

7.2 Each participating church must nominate a person or body in the church to appoint Councillors for paragraph 7.1(a).

7.3 For paragraph 7.1(a), Burgmann Council may determine the number of persons that a person or body mentioned in subrule 7.2 may, for a participating church, appoint as Councillors.

7.4 Councillors, other than those who hold *ex officio* appointments and persons elected under paragraphs 7.1(e) and 7.1(h), hold office for 4 years.

7.5 Councillors elected under paragraphs 7.1(e) and 7.1(h) hold office for 1 year.

7.6 A Councillor's term of office commences on 1 January next following his or her appointment.

7.7 Councillors are eligible for reappointment.

7.8 A person elected under paragraph 7.1(e) or 7.1(h) ceases to be a Councillor on ceasing to be a resident member of the College.

7.9 A right, privilege, or obligation of a person obtained by virtue of holding a position as a Councillor:

- (a) is not capable of being transferred or transmitted to another person; and
- (b) terminates upon the person ceasing to be a Councillor.

## **8 Officers of Burgmann Council**

8.1 The following officers of Burgmann Council must be elected by and from the Councillors at a general meeting mentioned in subrule 16.2:

- (a) a Chair;
- (b) a Secretary;
- (c) a Treasurer.

8.2 Each officer of Burgmann Council holds office for 2 years commencing on 1 January next following the officer's election (or until he or she ceases to be a Councillor, whichever first occurs), and may be eligible for re-election.

## **9 The Principal**

9.1 The Principal of the College is to be appointed by a general meeting of Burgmann Council and must have qualifications for participating, where appropriate, in the academic life of the University.

9.2 The Principal is the chief executive officer, and a member, of the College.

9.3 The staff of the College and those occupied in the work and conduct of the College are under the general control of the Principal.

9.4 Subject to the Orders, the Principal may, on behalf of the Board of Management, appoint and dismiss members of the staff and is responsible to the Council for the good government of the College.

9.5 The Principal, in the conduct of his or her office, is subject to the general control and authority of the Board of Management.

## **10 The Deputy Principal**

10.1 For paragraph 7.1(d), if there is no Deputy Principal, the Staff Tutor, Dean or person holding an equivalent office or if there is more than one person holding such an office, the most senior appointee, is to be regarded as the Deputy Principal.

## **11 The Public Officer**

11.1 For the purposes of the Act, the Secretary, or another Councillor determined by the Board of Management, is the Public Officer of Burgmann Council.

## **12 The Visitor**

12.1 Burgmann Council must, from time to time, appoint a suitable person to act as the Visitor to the College for the term and for the purposes determined by Burgmann Council.

12.2 The Visitor may perform such functions as the Council of the University from time to time approves.

[See paragraph 10(1)(b) of the *Residential Colleges (Affiliation) Statute 2007*.]

## **13 Burgmann Council's office**

13.1 The registered office of Burgmann Council is to be at the College or at such other place as the Board of Management from time to time determines.

## **14 Seal of Burgmann Council**

14.1 The Seal of Burgmann Council is to be in the form of a rubber stamp, inscribed with the name of Burgmann Council encircling the word 'seal'.

14.2 The Seal of Burgmann Council is not to be affixed to any instrument except by the authority of the Board of Management.

14.3 The affixing of the Seal to any document must be attested by 2 members of the Board of Management or 1 member of the Board of Management and the Public Officer or such other person as the Board of Management may appoint for that purpose

14.4 The attestation of the application of the Seal is sufficient evidence for all purposes that the Seal was affixed by the authority of the Board of Management.

14.5 The Seal must remain in the custody of the Secretary.

14.6 The Secretary must keep a record of each affixing of the Seal and the authority by which the Seal was affixed.

## **15 Annual general meeting**

15.1 Burgmann Council must hold an annual general meeting in March in each year on a day determined by the Board of Management.

15.2 The annual general meeting must be specified as such in the notice convening it.

15.3 The ordinary business of the annual general meeting is:

- (a) to confirm the minutes of the last preceding general meeting;
- (b) to receive from the Board of Management, Auditor, and employees of Burgmann Council reports upon the transactions of Burgmann Council during the last preceding financial year; and
- (c) to appoint the Auditor.

15.4 The annual general meeting may transact ordinary business and other business of which notice is given with the notice of the meeting.

## **16 General meetings and special general meetings**

16.1 All general meetings other than the annual general meeting and the meeting mentioned in subrule 16.2 are to be called *special general meetings*.

16.2 Burgmann Council must hold a general meeting in September in each year on a day determined by the Board of Management.

16.3 The ordinary business of a general meeting held in September in a year includes the election of the officers of Burgmann Council (if their terms are to expire before 1 January next following the general meeting) and the election of ordinary Board of Management members.

16.4 The Board of Management may convene a special general meeting whenever it thinks fit.

16.5 The Board of Management must, on the request of the Principal or on the request in writing of not less than 6 Councillors, convene a special general meeting.

16.6 A request for a special general meeting must state the objects of the meeting and be signed by the persons requesting it and must be lodged at the office of Burgmann Council.

16.7 If the Board of Management does not convene a special general meeting within 21 days from the date on which a request is lodged at the office of Burgmann Council, the persons making the request, or any of them, may convene the meeting; but any meeting so convened must not be held after 3 months from the date of the lodging of the request.

16.8 A special general meeting convened under subrule 16.7 must be convened in a manner similar to that in which those meetings are convened by the Board of



Management, and all reasonable expenses incurred in convening the meeting are to be refunded by Burgmann Council to the persons incurring them.

16.9 A general meeting and a special general meeting may transact ordinary business and other business of which notice is given with the notice of the meeting.

16.10 A special general meeting may not be convened without the concurrence of the Principal unless the meeting is convened when the Principal is unable to attend through death, incapacity or absence overseas, or when the terms and conditions of his or her dismissal are to be discussed.

16.11 The notice of a general meeting is to include a call for nominations for any positions to be filled by election at that meeting.

### **PART 3: THE COLLEGE**

#### **17 Affiliation with the University**

17.1 The College must seek to remain affiliated to the University under the Statute.

#### **18 Compliance with Statute**

18.1 The Board of Management must ensure that the College complies with sections 6 to 10 of the Statute.

[NOTE: Sections 6 to 10 of the Statute provide variously for membership of the College, that a religious test may not be imposed on members, the establishment of a tutorial system and the constitution of the College and the appointment of a Visitor.]

#### **19 Expulsion of members of the College**

19.1 The Principal may terminate a person's membership of the College for reasonable cause.

19.2 In subrule 19.1, a reference to reasonable cause includes, but is not limited to, a reference to:

- (a) unsatisfactory academic progress; or
- (b) the failure by a member to pay any fee or charge payable by that member within 30 days after the due date for payment; or
- (c) behaviour that Burgmann Council or the Board of Management regards as being contrary to the interests of the College.

19.3 The Orders may set out other reasons, and the method the Principal must adopt, for terminating the membership of a member of the College.

19.4 If a person's membership is terminated, the person ceases to be a member when the person is informed by the Principal accordingly.

19.5 A person whose membership of the College is terminated may not, without the express permission of the Principal, reside at the College or enter or remain on the premises of the College.

19.6 The termination of a person's membership does not affect the person's liability for any fees or charges:

- (a) payable by the person; and
- (b) falling due before the termination of his or her membership.

19.7 Orders under subrule 19.3 must set out the right of a person to appeal the decision to terminate the person's membership and must provide for the conduct of the appeal by the Board of Management or a panel appointed for the purpose by the Board of Management.

19.8 Orders under subrule 19.3 must also provide that notice of an appeal by a resident member must be lodged with the Secretary within a specified number of days, not being fewer than 14, of the decision to terminate the person's membership being notified to that member.

#### **PART 4: THE BOARD OF MANAGEMENT**

##### **20 Powers of the Board of Management**

20.1 The affairs of Burgmann Council are to be managed by the Board of Management.

20.2 The Board of Management may engage and employ for any of the purposes of the College such persons and on such terms as it may from time to time think fit.

[NOTE: Subrule 9.4 provides for the Principal to appoint and dismiss staff on behalf of the Board of Management.]

20.3 The Board of Management is responsible for the finances of the College.

20.4 The Board of Management:

- (a) may do everything necessary or convenient to further the objects of Burgmann Council set out in subrule 6.1; and
- (b) may exercise the powers and functions that may be exercised by Burgmann Council, other than those powers and functions that are required by these Rules to be exercised by general meetings of Councillors; and
- (c) may perform all such acts and things as appear to the Board of Management to be essential for the proper management of the business and affairs of Burgmann Council; and
- (d) may appoint, subject to ratification by Burgmann Council, a person to act as Principal if the Principal is absent from the College, is ill, or is otherwise

unable to perform his or her duties, or if there is any vacancy in the office of Principal.

## **21 Constitution of the Board of Management**

21.1 The Board of Management is to consist of:

- (a) the officers of Burgmann Council;
- (b) 1 of the Burgmann Councillors appointed by the Vice-Chancellor of the University;
- (c) the president of the BRA;
- (d) 2 Councillors, being representatives of the resident members of the College, at least one of whom is to be a representative of the academic staff;
- (e) 4 other Councillors;
- (f) the Principal; and
- (g) the Deputy Principal.

provided that at least 1 member of the Board of Management is to be a representative of 1 of the participating churches.

21.2 The ordinary Board of Management members are to be elected by and from Burgmann Councillors at a general meeting mentioned in subrule 16.2.

21.3 If the Board of Management member referred to in paragraph 21.1(b) is not present at a meeting of the Board of Management, the other Burgmann Councillor appointed by the Vice-Chancellor of the University may take the place of that member at that meeting, and may vote on questions arising at that meeting.

21.4 Each ordinary Board of Management member holds office for 1 year commencing on 1 January next following the member's election (or until the member ceases to be eligible to be a Councillor, whichever first occurs), and may be eligible for re-election.

## **22 Meetings of the Board of Management**

22.1 The Board of Management is to meet at least 10 times in each calendar year as determined by the Board of Management.

22.2 A special meeting of the Board of Management may be convened by the Chair or by the Principal or by any 4 of its members.

22.3 Notice is to be given to members of the Board of Management of any special meeting, specifying the time and place for the meeting and the general nature of the business to be transacted.

22.4 Within 14 days after a meeting of the Board of Management, the Chair must send to each Councillor the minutes of the meeting (whether or not the minutes have been confirmed).

22.5 A meeting of the Board of Management may not be convened without the concurrence of the Principal unless the meeting is convened when the Principal is unable to attend through death, incapacity or absence overseas or when the terms and conditions of his or her dismissal are to be discussed.

#### **PART 5: THE EXECUTIVE COMMITTEE**

##### **23 Constitution of the Executive Committee**

23.1 The officers of Burgmann Council, the Principal and the President of the BRA constitute the Executive Committee of Burgmann Council.

##### **24 Powers of the Executive Committee**

24.1 The Executive Committee may direct the Public Officer and the employees of Burgmann Council in matters of urgency connected with the management of the affairs of Burgmann Council during the intervals between meetings of the Board of Management

24.2 If any such instructions are issued, the Executive Committee must report on the circumstances and the outcome of the matter to the next meeting of the Board of Management.

24.3 The Board of Management may delegate any of its powers and functions to the Executive Committee except the power to make Orders and this power of delegation.

#### **PART 6: COMMITTEES**

##### **25 Committees**

25.1 Burgmann Council or the Board of Management may, at any time, appoint a committee from among its members.

25.2 Burgmann Council or the Board of Management may, when appointing a committee:

- (a) appoint 1 of the members of that committee as the chair of the committee; and
- (b) specify the terms, not exceeding 2 years, that members may serve on the committee and whether members of committee are eligible for reappointment; and
- (c) co-opt as non-voting members of the committee such persons as it thinks fit, whether or not those persons are Councillors.

25.3 The Chair of Burgmann Council has the right to attend, address and vote at any meeting of a committee.

25.4 The Principal has the right to attend and address, but not vote, at a meeting of a committee.

## **26 Finance and Audit and Risk Committees**

26.1 There is to be a finance committee, appointed by Burgmann Council or the Board of Management and chaired by the Treasurer.

26.2 The finance committee is responsible for assisting the Treasurer in financial matters and the preparation of the budget.

26.3 There is to be an Audit and Risk committee, appointed by Burgmann Council or the Board of Management and chaired by a person appointed by the Council or Board of Management.

26.4 The Audit and Risk committee is responsible for assisting the Council or Board with compliance with regulatory and management requirements.

## **PART 7: FINANCES ETC.**

### **27 Financial year**

27.1 The financial year of Burgmann Council ends on 31 December in each year.

### **28 Income and property of Burgmann Council**

28.1 The assets and income of Burgmann Council are to be applied solely in furtherance of the objects and purposes of Burgmann Council and no portion may be distributed directly or indirectly to Burgmann Councillors except as *bona fide* compensation for services rendered or expenses incurred on behalf of Burgmann Council.

28.2 Burgmann Council must not:

- (a) appoint a person who is a Councillor to any office in the gift of Burgmann Council to the holder of which there is payable any remuneration by way of salary, fees or allowances, or
- (b) pay to any such person any remuneration or other benefit in money or money's worth (other than the repayment of out-of-pocket expenses).

28.3 Nothing in this rule prevents the payment in good faith to an employee of Burgmann Council, the College or a Councillor for:

- (a) remuneration in return for the services as employee of Burgmann Council whether as Principal or as a member of the academic, executive or ordinary staff of the College, if those services have actually been or are being

rendered to the College by that person, or for goods supplied to Burgmann Council (which are necessary to enable Burgmann Council to fulfil its objects) by the employee or Councillor in the ordinary course of business; or

- (b) interest at a rate not exceeding the current bank rate on moneys lent to Burgmann Council by the employee or Councillor; or
- (c) a reasonable and proper sum by way of rent for premises let to Burgmann Council by the employee or Councillor.

## **29 Winding up**

29.1 If Burgmann Council is wound-up, no member is liable to contribute towards the payment of its debts and liabilities, or the costs, charges and expenses of the winding-up.

29.2 If Burgmann Council is wound up, any surplus assets remaining after the payment of Burgmann Council's liabilities are to be transferred to the University for distribution to another organisation with similar purposes.

## **30 Accounts of receipts, expenditure, etc.**

30.1 True accounts must be kept:

- (a) of all sums of money received and expended by Burgmann Council and the matter in respect of which the receipt of expenditure takes place; and
- (b) of the property, credits and liabilities of Burgmann Council

and, subject to any reasonable restrictions as to time and manner of inspecting them that may be imposed by the Board of Management, those accounts are to be open to the inspection of the Councillors.

30.2 The Treasurer of Burgmann Council must keep all general records, accounting books, and records of receipts and expenditure connected with the operations and business of Burgmann Council as directed by the Board of Management.

30.3 The accounts, books and records referred to in subrules 30.1 and 30.2 must be kept at Burgmann Council's office or at such other place as the Board of Management determines.

## **31 Banking and finance**

31.1 The Treasurer of Burgmann Council must, on behalf of Burgmann Council receive all moneys paid to Burgmann Council and issue relevant receipts.

31.2 The Board of Management must open a banking account in the name of Burgmann Council into which all moneys received are to be paid by the Treasurer as soon as possible after receipt.

31.3 The Board of Management may direct the limits on authorities to pay money from its accounts and must authorise or ratify all such payments.

31.4 However, any amount of capital expenditure exceeding 10% of the annual turnover of Burgmann Council in the previous financial year must not be made without the approval of Burgmann Council.

31.5 All cheques, drafts, bills of exchange, promissory notes, and other negotiable instruments must be signed by any 2 of those persons authorised by the Board of Management to sign on its behalf.

### **32 Disclosure of interest in contracts etc.**

32.1 If a Councillor has any direct or indirect pecuniary interest in a contract or proposed contract to which Burgmann Council is or may be a party that Councillor must:

- (a) if the Councillor is a member of the Board of Management, as soon as the interest becomes apparent to him or her—disclose the nature and extent of the interest to the Board of Management; and
- (b) disclose the nature and extent of the interest at the next general meeting of Burgmann Council.

32.2 No member of Burgmann Council or the Board of Management may vote as a member of Burgmann Council or the Board of Management in respect of any contract or arrangement in which he or she is interested and if he or she does so vote his or her vote must not be counted.

### **33 Auditor**

33.1 At each annual general meeting of Burgmann Council the Councillors present must appoint a person who is qualified under subsections (2) and (3) of section 74 of the Act and who is not a Councillor, as the Auditor of Burgmann Council.

33.2 A person so appointed holds office until the annual general meeting next after that at which he or she is appointed, and is eligible for reappointment.

33.3 If an appointment is not made at an annual general meeting the Board of Management must appoint an Auditor of Burgmann Council for the then current financial year of Burgmann Council.

33.4 The Auditor may only be removed from office by resolution at a general meeting of Burgmann Council.

33.5 If a casual vacancy occurs in the office of Auditor during the course of a financial year of Burgmann Council, the Board of Management must appoint another person as the Auditor and the person so appointed holds office until the next succeeding annual general meeting.

**34 Audit of accounts**

34.1 At least once in each financial year of Burgmann Council, the accounts of Burgmann Council must be examined by the Auditor.

34.2 The Auditor must certify as to the correctness of the accounts of Burgmann Council and is to report on those accounts to the Councillors present at the annual general meeting.

34.3 In the Auditor's report, and in certifying to the accounts, the Auditor must state:

- (a) whether he or she has obtained the information required by the Auditor;
- (b) whether, in the Auditor's opinion, the accounts are properly drawn up so as to exhibit a true and correct view of the financial position of Burgmann Council according to the information at his or her disposal and the explanations given to the Auditor and as shown by the books of Burgmann Council; and
- (c) whether the rules relating to the administration of the funds of Burgmann Council have been observed.

34.4 The Treasurer of Burgmann Council must deliver to the Auditor a list of all the accounts, books and records of Burgmann Council.

34.5 The Auditor:

- (a) has a right of access to the accounts, books, records, vouchers and documents of Burgmann Council;
- (b) may require from the employees of Burgmann Council such information and explanations as may be necessary for the performance of his or her duties as Auditor;
- (c) may employ persons to assist him or her in investigating the accounts of Burgmann Council; and
- (d) may, in relation to the accounts of Burgmann Council, examine any member of the Board of Management or any employee of Burgmann Council.

**35 Custody of documents**

35.1 The Secretary has custody of any books, documents or securities of Burgmann Council, excluding those referred to in rule 30 kept by the Treasurer.

35.2 The books, documents and securities referred to in subrule 35.1 are to be kept at Burgmann Council's office or at such other place as the Board of Management determines.



**36 Inspection of documents**

36.1 Upon receipt of a request in writing from a Councillor, the Secretary must within 14 days grant to the Councillor access to books or documents produced by Burgmann Council, its officers or employees.

36.2 However, the Secretary may restrict access to protect the confidentiality of any books or documents.

36.3 Access to any books or documents not produced by Burgmann Council, its officers or employees, but which have been submitted to or are held by Burgmann Council explicitly or implicitly in confidence, may only be granted with the permission of the person or persons who produced those books or documents.

36.4 All disputes concerning access to books or documents are to be resolved by the Board of Management.

**PART 8: MEETINGS****37 Application of this Part**

37.1 Unless the contrary intention appears, this Part applies to all general meetings, meetings of the Board of Management, meetings of the Executive Committee, and meetings of committees.

**38 Chair**

38.1 The Chair of Burgmann Council is to chair every meeting.

38.2 However, the Councillor who is specified as the Chair of a committee under paragraph 25.2(a) or subrule 26.1 is to chair meetings of that committee.

38.3 Also, if the Chair who is required to chair a meeting is absent from a meeting (or part of a meeting) or declares that he or she does not wish to chair that meeting (or part of that meeting), or if the meeting is of a committee and no chair has been appointed under paragraph 25.2(a), the Councillors present at that meeting may elect 1 of their number to chair that meeting (or part of that meeting).

**39 Notice**

39.1 The Chair of a meeting must give all people entitled to attend the meeting notice of a meeting.

39.2 For general meetings, at least 14 days' notice is to be given.

39.3 In all other cases, reasonable notice is to be given.

#### 40 Quorum

40.1 No item of business may be transacted at a meeting unless a quorum of Councillors or other members entitled under these Rules to vote at the meeting is ~~personally present in person or, if the Chair so permits, participating by any means of telecommunication~~ during the time when the meeting is considering that item.

40.2 A quorum for a general meeting is at least one third of the Councillors.

40.3 A quorum for a meeting of the Board of Management is 6 members of the Board of Management.

40.4 A quorum for a meeting of the Executive Committee is 3 members of the Executive Committee.

40.5 A quorum for a meeting of a committee (including the Finance Committee) is, unless Burgmann Council or the Board of Management otherwise determines, at least half of the Councillors appointed to that committee.

40.6 If within 1 hour after the appointed time for the commencement of a meeting a quorum is not present, the meeting:

- (a) if it is a special general meeting, is to be dissolved; and
- (b) in any other case, stands adjourned to a day in the next week, at the same time and place or at another time and place determined by the Chair at the time of the adjournment or by written notice to Councillors given before the day to which the meeting is adjourned, and if at the adjourned meeting a quorum is not present within 1 hour after the time appointed for the commencement of the meeting, the meeting is to be dissolved.

#### 41 Adjournment

41.1 The Chair of a meeting at which a quorum is present may, with the consent of the meeting, adjourn the meeting from time to time and place to place, but no business may be transacted at an adjourned meeting other than the business left unfinished at the meeting at which the adjournment took place.

41.2 If a meeting is adjourned for 14 days or more, notice of the adjourned meeting must be given to all people entitled to attend the meeting.

41.3 However, it is not necessary to give any notice of an adjournment or of the business to be transacted at an adjourned meeting.

#### 42 Determination of questions arising

42.1 A question arising at a meeting is to be determined on a show of hands or vocal assent/dissent or (at the request of any Councillor, before the show of hands or on the declaration of the result of the show of hands or vocal assent/dissent) by the taking of a poll.

42.2 After a show of hands or vocal assent/dissent, or the taking of a poll, the Chair must declare that the resolution has been carried, or carried unanimously, or carried by a particular majority, or lost.

42.3 At the discretion of the Chair a question may be decided out of session provided that notice periods applying to meetings are observed.

Formatted: P1,(a), Line spacing: single, Tab stops: Not at 1.9 cm

### **43 Votes**

43.1 At a meeting, a Councillor has 1 vote on a resolution only.

43.2 However, the Chair of a meeting has a deliberative as well as a casting vote.

43.3 All votes must be given personally.

43.4 No member of the executive staff other than the Principal and Deputy Principal may vote at a meeting, although they may be heard.

### **44 Taking of poll**

44.1 If at a meeting, a poll on any question is requested it must be taken at that meeting in a manner determined by the Chair, and the result of the poll is to be regarded as being the resolution of the meeting on that question.

44.2 However, if at least one third of the Councillors present at the meeting and entitled to vote request it, the Chair must determine a manner of taking the poll that ensures that the votes of individual Councillors are not revealed.

### **45 When poll to be taken**

45.1 A poll that is requested on the election of a Chair, or on a question of adjournment, must be taken immediately, and a poll that is requested on any other question must be taken before the close of the meeting as directed by the Chair.

## **PART 9: VACANCIES**

### **46 Vacancies**

46.1 A Councillor may at any time resign from Burgmann Council by writing to the Secretary (or, in the case of the Secretary, to the Chair).

46.2 An officer of Burgmann Council may at any time resign from his or her office by writing to the Secretary (or, in the case of the Secretary, to the Chair).

46.3 A member of the Board of Management or of a committee may resign his or her position on the Board or committee by writing to the Secretary (or, in the case of the Secretary, to the Chair).

- 46.4 A Councillor's seat becomes vacant if he or she:
- (a) dies; or
  - (b) becomes bankrupt or applies to take or takes advantage of any law relating to bankrupt or insolvent debtors or compounds with his or her creditors, or makes any assignment of his or her estate for their benefit; or
  - (c) becomes of unsound mind; or
  - (d) resigns his or her office by writing to the Secretary (or, in the case of the Secretary, to the Chair).

46.5 If a Councillor is absent from 3 consecutive general meetings without leave of absence or good cause shown, his or her seat may be declared vacant, by Burgmann Council or by the Board of Management.

46.6 If a member of the Board of Management is absent from 4 consecutive meetings of the Board without leave of absence or good cause shown, his or her position on the Board may be declared vacant by the Board.

#### **47 Removal of persons from office**

- 47.1 Burgmann Council may remove from office:
- (a) an officer of Burgmann Council; and
  - (b) the Principal; and
  - (c) a member of the Board of Management; and
  - (d) a member of a Committee;

if the person concerned is in breach of these Rules or otherwise by resolution of Burgmann Council for inappropriate behaviour.

#### **48 Filling casual vacancies**

48.1 If a casual vacancy arises in an office of Councillor, another person must be appointed to fill the vacancy by the original appointing body.

48.2 If a casual vacancy occurs in the office of one of the officers of Burgmann Council, Burgmann Council must appoint a Councillor to the vacant office.

48.3 If a casual vacancy occurs in the office of an ordinary Board of Management member, Burgmann Council or the Board of Management may appoint a Councillor to the vacant office.

48.4 If a casual vacancy arises on a committee, Burgmann Council or the Board of Management may appoint a Councillor to fill the vacancy.

48.5 A person appointed to fill a casual vacancy under this rule is appointed for the remainder of the term to which his or her predecessor had been appointed or elected and is eligible for re-appointment or re-election.

## **PART 10: ORDERS**

### **49 Orders**

49.1 The Board of Management may make Orders, not inconsistent with these Rules, relating to the governance or ordering of the College.

49.2 However, no Order affecting the Principal may be made or amended without the Principal's concurrence.

49.3 If an Order is made under these Rules, a copy of it must be sent as soon as possible after it is made to the Council and Boards Secretariat of the University.

## **PART 11: AMENDMENT OF RULES**

### **50 Amendment of rules**

50.1 These Rules may be amended by special resolution of a general meeting.

50.2 No amendment is to become effective until approved by the Council of the University.

50.3 At least 21 days' written notice of any proposed amendment of these Rules must be sent to all Councillors.

50.4 However, no rule affecting the Principal may be amended during the Principal's term of employment without the Principal's concurrence.

## BRIEFING PAPER

TITLE Melbourne Engagement Strategy (MES) Update

DATE 16 May 2016

AUTHORS Professor the Hon Gareth Evans AC QC  
Sam Byfield, Executive Officer to the Chancellor and Manager – Melbourne Office

### Introduction

In May 2012 the Council approved the Melbourne Engagement Strategy, to be reported on annually. The operating grant for 2015 was \$321,634 which, with a surplus carried forward from 2014 of \$106,922, left a net operating surplus of \$104,421 at the end of the 2015 financial year. The operating grant for 2016 is \$319,943.

## 1 Progress Update

### *Staff*

- In January 2016 Tim Mayfield completed a two year contract as Executive Officer to the Chancellor and Manager – Melbourne Office, and commenced a new role as National Director of the Australian Republican Movement. Tim was replaced by Sam Byfield. Sam graduated from the ANU in 2004 with a Masters of Strategic Affairs, and has a decade of experience in foreign affairs and international development, most recently at the University of Melbourne where he has an ongoing appointment as a Senior Honorary Fellow and Lecturer. He has engaged extensively with the ANU in recent years, including writing for ANU-based publications, presenting at development studies conferences and forums, and collaborating with Crawford School staff on health sector policy research in Indonesia.

### *Melbourne Office*

- The last stage of the refurbishment of the ANU House foyer – installation of signage detailing the history of ANU House – was completed in August 2015.
- Following agreement to sell ANU House to property investment company Marks Henderson, contracts were exchanged and settlement finalised on 31 August 2015. ANU signed an initial five-year lease for Level 11 which includes the continuation of naming rights for the building and retention of two car-park spaces.

### *Chancellor's Activity*

- The Chancellor has been active in Melbourne on behalf of the University over the past 12 months. He has spoken at a range of functions including the Melbourne Alumni Dinner, and has met with a range of visitors at ANU House including Australia's Ambassador and Permanent Representative to the United Nations, John Quinn; Shadow Treasurer, the Honourable Chris Bowen; and academics from the ANU and other national and international institutions. Relationships cultivated from Melbourne have benefited the University in a variety of ways including by raising the profile of the ANU with the political and international relations communities.

### *Recruitment and Marketing*

- A major priority in 2015-2016 has been the launch of the Chancellor's Scholars Program, a pilot project that aims to attract more of Victoria's best and brightest high school students. This program consists of two parts: The first provides year 11 students with a fully paid trip to Canberra for ANU's Open Day on Friday 28th and Saturday 29th August 2015, while the second is a three day intensive course run by ANU academics at ANU house in Melbourne in the 2016 July school holidays. Upon

completion of the program, students will be provided with a direct unconditional offer to study at the ANU, and 5 bonus ATAR points for entry into a preferred program.

### *Alumni Relations and Philanthropy*

- The ANU has an alumni community of 6,566 in Victoria. Engagement continues to increase with this community through targeted alumni events and communications, with the Melbourne Office and Melbourne Alumni Network collaborating with Alumni Relations and Philanthropy and other ANU stakeholders in this engagement.
- The Melbourne Alumni Network has entered its fourth year. The Committee, which received funding and coordination support from the Melbourne Office, currently consists of 14 members, including a Chair and Deputy Chair. Appointments to the positions of Chair and Deputy Chair were made in late 2015, with Caitlin Baillie commencing as Chair and Jessica Bartlik as Deputy Chair. Caitlin graduated in 2011 with Bachelor Degrees in Laws and Arts, and in 2013 with a Graduate Diploma of Legal Practice. While studying at the ANU Caitlin was a Senior Resident at Bruce Hall, and volunteered with the ANUSA Legal Service. Jessica graduated in 2009 with a Bachelor of Interdisciplinary Studies (Sustainability) in 2010 with a Bachelor of Science (Honours), and served as President of Ursula Hall.
- Over the last 12 months, the Committee has put on a range of events including panel discussions, boardroom lunches and a 'welcome to graduates reception' for alumni new to Melbourne. Events have included a reception for College of Law graduates (November 2015, featuring Julian Burnside), and a function focusing on the importance of maths in modern technology (May 2016, featuring Professor Markus Hegland).
- A particular highlight of our alumni engagement was the third iteration of the ANU Melbourne Alumni Network Gala Dinner held at Queen's Hall, State Parliament of Victoria in November 2015. The dinner was attended, inter alia, by the Chancellor and Vice-Chancellor and around 200 Victorian alumni, double the number in 2014.

### *Public policy engagement*

- An area of increasing focus for the ANU's Melbourne Office is the facilitation of opportunities for ANU academics to participate in Melbourne public policy events, thereby enhancing engagement and influence with stakeholders from other universities, business, government and civil society. Over the past year, a range of events have been supported by the Chancellor and Executive Officer at ANU House, and have been well attended by business and public policy professionals:
  - *Climate Change Roundtable*, featuring Professors Ross Garnaut and Robyn Eckersley and in collaboration with the Centre for Policy Development, October 2015.
  - *China Book Launch and Panel Discussion*, featuring academics from the Centre for China in the World in collaboration with the Australia China Business Council, November 2016.
  - *Democratic Renewal in a Digital Era*, featuring Simon Willis (change.org), in collaboration with the Centre for Policy Development, February 2016.
  - *The View from 2030: Getting the Budget Right*, featuring Professor Peter Whiteford and in collaboration with the Centre for Policy Development, May 2016.

## **2 Future Activities**

- Further public policy activities are in the pipeline for 2016, with events being planned on Australian defence policy, Australian aid policy, cyber security and Indonesia's economic development.
- The Melbourne Office is also continuing to provide support for the Chancellor's Scholar's Program, with the three day intensive course and side meetings scheduled for July 2016.

For consideration by Council.

**Gareth Evans**

**Sam Byfield**

**Melbourne, 16 May 2015**





# Australian National University & its Subsidiaries

Closing Letter

Financial Statements Audit 2015





**Sensitive**

1 April 2016

Professor the Hon Gareth Evans AO QC  
 Chancellor  
 Australian National University  
 Chancelry Building 10  
 ACTION ACT 0200

Dear Professor Evans

**AUSTRALIAN NATIONAL UNIVERSITY AND ITS SUBSIDIARIES  
 FINANCIAL STATEMENTS AUDIT 2015  
 CLOSING LETTER**

I am writing to advise that we have substantially completed the work necessary to form our audit opinion on the Australian National University's (ANU) and the Consolidated Entity's financial statements for the year ended 31 December 2015.

I expect my auditor's report will include an unmodified opinion on the financial statements. This is subject to the receipt of the signed financial statements and the written representations that we have requested.

The audit is conducted pursuant to the *Public Governance, Performance and Accountability Act 2013* (PGPA Act), and the written terms of engagement previously agreed on 27 March 2015 and the provisions of the *Auditor-General Act 1997*.

This letter summarises the results of our 2015 financial statements audit work, which we have discussed with the Audit and Risk Management Committee and the Chief Financial Officer.

Given the Council's overall stewardship responsibilities and as the financial statements are presented on a consolidated basis, I am also providing a copy of my closing letter as it relates to the ANU Enterprise Pty Limited group i.e. ANU Enterprise Pty Limited and its subsidiaries Australian Scientific Instruments Pty Limited and the Social Research Centre Pty Limited. I am providing a copy of this letter to the Chair of the Audit and Risk Management Committee in light of the Committee's responsibility to advise on the preparation and review of the ANU's financial statements and to the Chief Financial Officer.

**Sensitive**



**Sensitive**

If you wish to discuss this letter or any matter relating to the financial statements audit please contact me on (02) 6203 7623, or alternatively please contact Ron Wah, Senior Director on (02) 6203 7702.

I would like to take this opportunity to thank the staff of the ANU for the co-operation provided to the members of the audit team.

Yours sincerely



Ian Goodwin  
Group Executive Director

cc: Mr Geoff Knuckey, Chair, Audit and Risk Management Committee  
Mr Alastair Sinton, Chief Financial Officer

GPO BOX 707 CANBERRA ACT 2601  
19 NATIONAL CIRCUIT BARTON ACT  
PHONE (02) 6203 7300 FAX (02) 6203 7777



**Sensitive**



Sensitive

## Table of contents

<b>1. Executive Summary .....</b>	<b>1</b>
<b>2. Audit Results.....</b>	<b>3</b>
2.1 Key areas of audit focus.....	3
2.2 Audit issues – Status.....	7
2.3 Summary of audit differences.....	7
2.4 Observations arising from the audit.....	8
<b>3. Independence .....</b>	<b>8</b>
<b>4. Final audit fee.....</b>	<b>9</b>
<b>5. Planning our 2016 audit.....</b>	<b>9</b>
<b>Appendix A – Audit differences .....</b>	<b>10</b>
Unadjusted audit differences.....	10
Adjusted audit differences.....	10
<b>Appendix B – Overview of audit approach .....</b>	<b>11</b>
Audit objective and scope.....	11
Audit approach.....	11
Legislative compliance.....	12
ANAO reporting policy.....	12
<b>Appendix C – Audit issues .....</b>	<b>13</b>
Outstanding prior year audit issues.....	13



Sensitive





# 1. Executive Summary

<b>Introduction</b>	We have completed the audit of the financial statements in accordance with the Audit Strategy Document (ASD) provided.
<b>Auditor's report</b>	We expect to issue an auditor's report upon receipt of the signed financial statements and the signed written representations that we have requested. We expect the opinion on the financial statements will be unmodified.
<b>Key areas of audit focus</b>	<p>Comments on the six areas of audit focus identified in the 2015 ASD are set out at section 2.1. These areas include more detailed comments on the accounting for the Commonwealth Superannuation Account, and the audits of BRU Holdings Pty Limited and SA2 Holdings Pty Limited which are summarised below.</p> <p><i>Commonwealth Superannuation Account (CSA)</i></p> <p>The Australian Government Actuary's (AGA) report as at 31/12/15 (to satisfy the requirements of AASB 119 Employee Benefits) reported an improvement in the position of the CSA, with the deficit reducing from \$210.7m to \$138.1m. This resulted from both a reduction in the estimated liabilities and an increase in the value of the assets of the CSA. The AGA concluded that, notwithstanding the current deficit, the CSA is in a sound financial position.</p> <p>The ANAO reviewed the reports from the AGA and concluded that the CSA is being maintained in accordance with the conditions set by the Treasurer, and that the balances calculated by the AGA have been correctly taken up in the accounts.</p> <p><i>BRU Holdings Pty Limited (BRU) and SA2 Holdings Pty Limited (SA2)</i></p> <p>In November 2015 BRU and SA2 repaid in full their interest rate swaps with the Royal Bank of Scotland. In addition after balance date in January and February 2016 BRU and SA2 respectively repaid in full their loans with the China Construction Bank. These measures were financed by a mixture of loans and capital injections from the ANU. The ANU has provided letters of financial support to BRU and SA2 advising it will not request repayment of the shareholder loans within the next 12 months from the date of signing of the financial statements.</p> <p>In addition during 2015 the ANAO also noted that:</p> <p><i>Buildings Revaluation</i></p> <p>All ANU buildings were revalued during the year by an independent valuer. The ANAO reviewed the valuer's report and in particular, the assumptions used including the qualifications and expertise of the valuer to obtain assurance on the valuation. No issues were noted. The report was accepted by management and resulted in a net decrement of \$46m being taken to the asset revaluation reserve.</p> <p><i>Outsourcing of Management of Listed Domestic Securities</i></p> <p>The management of listed domestic equities in the ANU's Long Term Investment Pool was outsourced to Plato Investment Management (Plato) on 30 September 2015 by way of a Unit Trust structure. Upon transition the ANU commenced to recognise domestic equities as financial assets at fair value, and as a result unrealised movements in value are recognised through the Income Statement in accordance with Australian Accounting Standards. Previously domestic equities were recognised as available for sale financial assets and unrealised movements in value were recognised through the Statement of Comprehensive Income. As a result, a one-off gain of \$52.8m was recognised upon transition of the portfolio to the Unit Trust structure. Effectively the transition equated to a sale at market value whereby all historical gains on domestic equities previously booked to reserves are now recognised through the income statement.</p> <p><i>Issue of Medium Term Notes</i></p> <p>In November 2015 the ANU raised \$200m from the issue of MTN with a maturity of 10 years. In addition to funding the restructure of BRU and SA2 mentioned above, this raising facilitated the repayment in full of the National Australia Bank (NAB) swap connected to the University's NAB</p>





	<p>loan, and put in place funding for the proposed student accommodation (SA5) project.</p> <p><i>ANU Enterprise Pty Limited (ANUE)</i></p> <p>Management has also advised that the ANU also intends to provide a letter of financial support to ANUE, advising that the University will provide financial support to ANUE for the period to 30 June 2017 to meet any shortfall in the budgeted net cash position of Australian Scientific Instruments Pty Limited (ASI) given the declining financial position of ASI to June 2017.</p>
<p><b>Audit differences</b></p>	<p>No unadjusted audit differences, except those which are clearly trivial, have been identified during the audit.</p>
<p><b>Audit results</b></p>	<p>This letter is prepared to communicate matters that are directly relevant to the financial statements of the ANU and its direct subsidiaries BRU and SA2 and summarises the results of our audit work.</p> <p>The ANAO performed walkthroughs, tests of key controls and substantive tests of balances on a sample basis on all material accounts with satisfactory results. Audit also reviewed the presentation and disclosure of the accounts. While no new issues were identified, two previously identified audit issues remained unresolved at the close of the audit. Details of these issues are provided at Appendix C.</p> <p>It was noted that the University is a registered Charity and subject to the reporting requirements of the <i>Australian Charities and Not-for-profits Commission Act 2012 (Cth) (the ACNC Act)</i>. The issue has been discussed at Section 2.</p>
<p><b>Audit communication and deliverables</b></p>	<p>Other matters that were communicated with those charged with governance during the year are included for discussion with the Audit and Risk Management Committee at section 2.4.</p> <p>This letter details audit issues and recommendations made to management incorporating management responses. Each issue has been categorised in accordance with ANAO's reporting policy which is outlined in Appendix B.</p>





## 2. Audit Results

### 2.1 Key areas of audit focus

As communicated in the ASD, we identified the following areas of audit focus for the 2015 financial statements audit. We have completed our planned audit procedures and summarise below any audit issues identified related to the areas of audit focus. Details of audit issues previously identified are provided in section 2.2. No changes to the audit approach were required.

#### ● ACCOUNTING ESTIMATES FOR COMMONWEALTH SUPERANNUATION ACCOUNT (CSA) TRANSACTIONS

##### Our perspective

The present value of obligations and the fair value of assets for the purposes of Australian Accounting Standard AASB 119 may not be treated correctly, and the CSA may not be managed in accordance with the recommendations of the Australian Government Actuary (AGA).

##### Our observations

To arrive at the 31 December 2015 estimate of the liability, the AGA has rolled forward the 30 June 2015 estimate (the date of the last full actuarial review) calculated using an interest rate based on Commonwealth bond yields as at 31 December 2015, and adjusted for known aggregate experience (actual pension indexation, actual salary growth and actual effective Commonwealth Superannuation Scheme crediting rate) during the six month roll-forward period.

In the past the AGA has used the yield on ten year Commonwealth bonds as the discount rate on the basis that the liabilities were of long duration, and there were few securities issued with a maturity date much beyond ten years. AASB 119 requires that yields on government bonds should be used, and that the term of the bonds for this purpose should be consistent with the estimated term of the benefit obligations. Accordingly, following discussions with management, the AGA explored this year what might be an appropriate rate given that over recent years the Government has been issuing bonds with significantly longer durations. The AGA selected a rate of 3.3% (the ten year bond rate as at 31 December 2015 was 2.9%) from a bond with the most closely matched duration for the obligations. The AGA reported that the use of the higher discount rate has the effect of reducing the liability by approximately \$43m.

The ANAO reviewed the AGA's report and confirmed the discount rate adopted is consistent with the requirements of AASB 119, and that the balances calculated by the AGA have been correctly taken up in the accounts and disclosed in note 41.1.

#### ● VALUATION OF INVESTMENT PORTFOLIO

##### Our perspective

There could be impairment losses and unrealised gains and losses arising from changes in the fair value at the end of the financial year.

##### Our observations

Walkthrough and controls testing of the investment cycle plus substantive testing of additions, disposals and potential impairment was undertaken with satisfactory results during the interim audit.

Substantive testing of valuations taken up at year end (including the section of the portfolio outsourced to Plato), plus the calculation of unrealised gains and losses and impairment losses at year end was undertaken during the final audit. No issues were noted.





**Sensitive****IT CONTROL ENVIRONMENT FOR FINANCIAL REPORTING PROCESS****Our perspective**

Inadequate IT controls could lead to inaccurate and unreliable financial information. Inadequate access controls could also lead to fraudulent activities within the financial management information system.

**Our observations**

No issues noted apart from the slow progress with the review and testing of the ICT Business Continuity and Disaster Recovery Plans. This matter was first raised by the ANAO in 2011, and further comment is provided at Appendix C.

**REVENUE RECOGNITION INCLUDING GRANTS REVENUE AND CONTRACTS****Our perspective**

Revenue may not be correctly treated in accordance with Australian Accounting Standards or be fully accounted for. Contracts may not be managed properly which could lead to contractual obligations not being fulfilled and revenue not being recognised appropriately.

**Our observations**

The ANAO undertook controls and substantive testing surrounding grants revenue and contract management. Material contracts were also reviewed for completeness. No issues were noted.

**ACCOUNTING FOR DIRECT SUBSIDIARIES BRU AND SA2****Our perspective**

Occupancy rates are driven by student numbers and swap valuations are based on interest and inflation rates. As a result the value of investments carried in the consolidated accounts may be affected. During the year the Royal Bank of Scotland sold the loans to the China Construction Bank. Depending on the terms and conditions of the contracts with the new counter parties, this may have a financial impact on the subsidiaries.

**Our observations****Impairment**

In accordance with *AASB 136(FP) – Impairment of Assets (for profit entities)*, the ANU's investments in BRU and SA2 (including shareholder loans receivable) were tested for impairment as at 31 December 2015. It was determined that the investments were not impaired as their 'value in use' (determined by discounting the pre-determined projected cash flows for the projects over the next 32 and 34 years respectively) exceed the carrying value of the investments in the accounts. For shareholder loans receivable, the ANAO concurs with management's view that the amounts are collectable and not impaired on the basis that none of the entities show signs of financial distress at this point of time. The companies have also been regularly making their loan repayments, have made interest distributions to shareholders and continue to receive financial support from the ANU (refer to additional comment under Going Concern Assumption below).

In addition, goodwill acquired at the time of acquisition of BRU and SA2 was also tested for impairment in the consolidated entity in accordance with AASB – 136. It was determined that goodwill was not impaired as the recoverable amounts of BRU and SA2 (determined through 'value in use' as noted above) exceeds the carrying amounts including goodwill recorded in the consolidated financial







statements. The discounted cash flow model for impairment was reviewed by a corporate finance specialist in the audit team. The ANAO was satisfied that the model and assumptions were reasonable. In addition, the ANAO also reviewed the model for mathematical accuracy. No mathematical issues were noted.

### Swaps and Loans

Originally BRU entered into an interest rate swap with the Royal Bank of Scotland (RBS) to fix the interest rate payable on its entire debt facility, and SA2 swapped the principal and floating interest rate under the Senior Facility Agreement with RBS for the full tenure of 30 years to a CPI linked annuity. In November 2015 BRU and SA2 repaid the swaps in full to RBS. To enable this the ANU provided loans to BRU and SA2 of \$10.9m and \$26.6m respectively.

In September 2015 RBS sold its loans to BRU and SA2 to the China Construction Bank (CCB). The terms and conditions for these loans remained unchanged. After balance date on 13/01/16 and 5/02/16, BRU and SA2 repaid in full their loans to the CCB for \$43.8m and \$54.6m respectively. The ANU has provided BRU and SA2 with a mix of loans and capital injections to achieve this outcome.

### Going Concern Assumption

BRU and SA2 are in net asset deficiency positions and have recorded operating losses for the period. Management anticipated that operating losses would be incurred during the early years of the projects and expects the companies to be profitable going forward. The businesses met their operational targets in 2015 and are in line with management's expectations. In addition they continued to meet operational targets in 2016 and have been able to fulfil their financial obligations on a timely basis.

However, the going concern assumption is highly dependent on BRU's & SA2's business models and continuing financial support from the ANU. The core assumption in the business models is the occupancy rates. The ANAO noted that the occupancy rates in 2015 were around 98% and occupancy rates continue to be strong in 2016. In addition the ANU has provided letters of financial support to BRU and SA2 advising it will not request repayment of the loans within the next 12 months from the date of signing of the financial statements. Accordingly, the ANAO concurs with the Directors' view that the going concern assumption is appropriate for both of these subsidiaries at this time.

## ● FINANCIAL STATEMENTS PROCESS

### Our perspective

Due to the complexity of the reporting requirements, the ANU and its subsidiaries may risk not complying with the financial reporting requirements.

### Our observations

Management issued reporting packs to all business units and provided training for the responsible delegates at year end. The ANAO reviewed, on a sample basis, a number of completed packs with satisfactory results. The ANAO also reviewed the year end reconciliation of Garradin (the investment management system) with the general ledger. No issues were noted.

Audit also confirmed the review process undertaken by the Financial Controller and Chief Financial Officer over the preparation of the financial statements was adequate and operating effectively.





**Sensitive**

## ● AUSTRALIAN CHARITIES AND NOT-FOR-PROFIT COMMISSION ACT 2012

### Our observation

Since 2012, the ANU has been registered as a charity under the *Australian Charities and Not-for-profits Commission Act 2012* (ACNC Act). The ACNC Act creates annual financial reporting requirements for ANU. For the years ended 31 December 2013 and 2014, the ANU submitted its financial statements prepared under the PGPA Act to fulfil the ACNC financial reporting requirements.

The 2015 financial statements make no assertion that they are prepared for the purpose of the financial reporting requirements of the ACNC Act. Accordingly, our 2015 financial statement audit does not consider compliance with financial reporting requirements of the ACNC Act.

Under the ACNC (consequential and Transitional) Act 2012, the Commissioner, at her discretion, may accept the financial statements prepared under another Australian law as having complied with the reporting requirements of the ACNC Act.

In the absence of any changes of the ACNC (consequential and Transitional) Act 2012 legislation, from 1 January 2016, the ANU will need to ensure that it is compliant with its reporting obligations under the ACNC Act.

The financial statements for the year ended 31 December 2016 may need to be prepared against both the ACNC Act and the PGPA Act reporting frameworks. This will result in changes to the notes to the financial statements and the declaration made in the Statement by the Council CEO and CFO.

In addition, the ANAO will be required to :

- change our term of our engagement to include the additional ACNC requirements for the 31 December 2016 audit and future years;
- provide an independence declaration to the University under the ACNC Act; and
- issue an auditor's report that refers to both the ACNC Act and PGPA Act and the related rules and regulations.

**Sensitive**



## 2.2 Audit issues – Status

The following table provides a summary of the status of audit issues. Each issue has been categorised in accordance with ANAO's reporting policy which is detailed in Appendix B.

Category	Opening position at end of 2014 audit	New issues identified during the 2015 audit	Issues resolved during the 2015 audit	Closing position at conclusion of 2015 audit
A	0	0	0	0
B	0	0	0	0
C	5	0	3	2
L1	0	0	0	0
L2	0	0	0	0
L3	0	0	0	0
<b>Total</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>2</b>

Details of the issues resolved during the 2015 audit are set out in our letter relating to interim audit results dated 19 January 2016.

### Unresolved Prior Year Audit Issues

Unresolved audit issues from previous audits that remain unresolved are as follows:

- The ICT business Continuity and Disaster Recovery Plans have not been reviewed, tested or updated for some years; and
- The audit disclosed large numbers of unapproved Payroll Cost Analysis Reports in the HR system.

Details of prior year unresolved audit issues are provided at Appendix C.

## 2.3 Summary of audit differences

Details of adjusted audit differences are listed at Appendix A.





Sensitive

## 2.4 Observations arising from the audit

In light of your responsibility for the ANU's financial statements, we have outlined below our observations arising from the audit that we believe are significant and relevant to you. Further, in accordance with Australian Auditing Standards, we are required to communicate certain matters with those charged with governance. Some of these matters (such as the scope and timing of the audit) have been described in our ASD and other written communications which have been copied to the Audit and Risk Management Committee.

Matter	Observations
<b>Accounting policies</b>	The accounting policies adopted by the ANU are considered reasonable.
<b>Accounting estimates</b>	No changes were made to accounting estimates in 2015. The basis for present estimates is considered reasonable and consistent with the previous year.
<b>Financial statement disclosures</b>	The disclosures in the financial statements are consistent with the requirements of the <i>Public Governance, Performance and Accountability Act 2013</i> , Australian Accounting Standards and Interpretations and the Financial Statements Guidelines for Higher Education Providers issued by the Department of Education.
<b>Fraud</b>	During the audit we have not become aware of any known or suspected instances of fraud.
<b>Compliance with laws and regulations</b>	During the audit we have not become aware of any known or suspected non-compliance with laws and regulations, other than those that are clearly inconsequential.
<b>Going concern</b>	We are not aware of any events or conditions that may cast significant doubt on the entity's ability to continue as a going concern. For specific comment on going concern aspects relating to the direct subsidiaries BRU and SA2 please refer to section 2.1 above.
<b>Related parties</b>	There were no significant matters during the audit related to the entity's related parties.

### *Financial statements preparation*

The ANAO found that while procedures had improved this year, significant delays were encountered with the timely provision of the first draft of the financial statements and access to certain records in HR.

## 3. Independence

We confirm that the ANAO is independent of the ANU and that, to the best of our knowledge and belief, we have met the independence requirements of Australian Accounting Professional Ethical Standards.



Sensitive

Page | 8





## 4. Final audit fee

The audit fee for the ANU and the consolidated entity was estimated to be \$389,000. The fee has been correctly reflected in the financial statements.

## 5. Planning our 2016 audit

Shortly we will be commencing the planning for the 2016 financial statements audit of the ANU. This will be achieved in consultation with the University so that an effective and efficient audit is achieved and to maximise the benefits of the audit work to the University.





Sensitive

# Appendix A – Audit differences

## Unadjusted audit differences

The following table lists the audit differences that have not been adjusted by management.

Description	Line item	Balance sheet effect		Income statement effect	
		DR (\$)	CR (\$)	DR (\$)	CR (\$)
<b>Unadjusted audit differences from the current year</b>					
To adjust for overstatement of debtors receivable and unearned income in connection with the College of Arts and Social Sciences Community Outreach Program.	Other Payables (Income in Advance)	677,653	-	-	-
	Loans and Receivables	-	677,653	-	-
<b>Total unadjusted audit differences from the current year</b>		<b>677,653</b>	<b>677,653</b>	<b>-</b>	<b>-</b>

## Adjusted audit differences

The following is a summary of the audit adjustments identified by the audit team and adjusted by management.

Description	Line item	Balance sheet effect		Income statement effect	
		DR (\$)	CR (\$)	DR (\$)	CR (\$)
<b>Adjusted audit differences</b>					
Long Service Leave probability factors for years of service, adjusted to agree with University specific factors provided by the Australian Government Actuary	Employee Benefits	990,660	-	-	-
	Employee Expenses	-	-	-	990,660
<b>Total adjusted audit differences</b>		<b>990,660</b>	<b>-</b>	<b>-</b>	<b>990,660</b>



Sensitive



# Appendix B – Overview of audit approach

## Audit objective and scope

The Auditor-General is required to report on the financial statements of the ANU under the *Public Governance, Performance and Accountability Act 2013* (PGPA Act). As the external auditor, we provide an independent auditor's report on the financial statements to the Minister for Education and Training.

In auditing the financial statements/report, our overall objectives are:

- a) To obtain reasonable assurance about whether the annual financial statements as a whole are free from material misstatement, whether due to fraud or error, so that we can express an opinion on whether they are in accordance with the PGPA Act, including complying with Australian Accounting Standards and any other requirements prescribed by the PGPA Rules; and presenting fairly the ANU's financial position, its financial performance and cash flows; and
- b) To report on the financial statements, and communicate as required by the Australian Auditing Standards, in accordance with our findings.

## Audit approach

We conduct our audits of financial statements in accordance with the ANAO Auditing Standards, which incorporate the Australian Auditing Standards made by the Australian Auditing and Assurance Standards Board.

We apply materiality in establishing our overall audit strategy, assessing the risks of material misstatement, determining the nature, timing and extent of audit procedures and evaluating misstatements identified during the audit.

We direct our audit effort to areas most expected to contain risks of material misstatement, whether due to fraud or error, with correspondingly less effort directed at other areas.

We identify these areas by obtaining and updating our understanding of the ANU, the environment in which it operates, its objectives and strategies and internal control. This will include obtaining an understanding of the information systems and related business processes relevant to the ANU's financial reporting objectives (including the accounting system) and how the ANU has responded to any related financial reporting risks. Relevant ANAO performance audits or internal audit activity is considered as part of this process.

Internal controls are designed and implemented by management to address identified operating, compliance and financial reporting risks in order to achieve the ANU's objectives. Our particular interest is in the strength of internal control affecting financial reporting in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of internal control.

We may gather the audit evidence we need by testing the operating effectiveness of internal control in addition to substantiating the amounts reported in the financial statements. We evaluate the evidence as the basis for forming and expressing our independent opinion on the financial statements.

Given its scope, the audit may not have identified, and the comments in this letter may not be a comprehensive record of, all deficiencies or irregularities that may exist. The matters included in this letter are limited to those deficiencies that we have identified during the audit and that we have concluded are of sufficient importance to merit their communication.





Sensitive

## Legislative compliance

As part of obtaining an understanding of the ANU and its environment, we obtain a general understanding of the applicable legal and regulatory framework and how the ANU is complying with that framework.

We then obtain evidence regarding compliance with the provisions of those laws and regulations which have a direct effect on the determination of material amounts and disclosures in the financial statements. This includes coverage of compliance with the PGPA Rules relevant to financial reporting and Australian Accounting Standards.

We also perform specified audit procedures to help identify instances of non-compliance with other laws and regulations that may have a material effect on the financial statements.

Any compliance matters identified are reported in accordance with the ANAO reporting policy.

## ANAO reporting policy

The Auditor-General reports on audits of financial statements to the Parliament twice a year. The first of these reports, *Interim Phase of the Audits of the Financial Statements of Major General Government Sector Entities*, reports on our coverage of key financial systems and controls in major agencies. The second report, *Audits of the Financial Statements of Australian Government Entities*, reports on the results of the financial statements audits of all Australian Government entities.

Category A, B and L1 audit issues are reported individually to the Minister and the Parliament in both reports. The aggregated number of Category C findings in major agencies is also reported to the Parliament in the Audit Report *Interim Phase of the Audits of the Financial Statements of Major General Government Sector Entities*.

The categories are:

Category	Description
<b>Category A:</b>	Issues that pose a significant business or financial management risk to the entity; these include issues that could result in the material misstatement of the entity's financial statements.
<b>Category B:</b>	Issues that pose moderate business or financial management risk to the entity; these may include prior year issues that have not been satisfactorily addressed.
<b>Category C:</b>	Issues that pose a low business or financial management risk to the entity; these may include accounting issues that, if not addressed, could pose a moderate risk in the future.
<b>Category L1:</b>	Instances of significant potential or actual breaches of the Constitution; and instances of non-compliance with the entity's enabling legislation, legislation that the entity is responsible for administering, and the PGPA Act.
<b>Category L2:</b>	Other instances of non-compliance with legislation the entity is required to comply with.
<b>Category L3:</b>	Instances of non-compliance with subordinate legislation, such as the PGPA Rules.



Sensitive





# Appendix C – Audit issues

## Outstanding prior year audit issues

The unresolved prior year audit issues are summarised below, including management responses.

Ref	Issue and summary	Period raised	Management response	Current audit observations
C1	<p>ICT Business Continuity (BCP) and Disaster Recovery Plans (DRP)</p> <p>Recent audits disclosed that these plans had not been reviewed, tested or updated for some years.</p>	2011	<p>Management acknowledged the lack of progress and advised the following action has been taken to ensure progress going forward:</p> <ul style="list-style-type: none"> <li>a new senior ITS executive team with significant experience in disaster recovery was brought on board in November 2015, and</li> <li>a plan will be developed to define how BCP and DRP will be delivered and tested in Q1 2016.</li> </ul> <p>Management also advised that the recently delivered enterprise application ERMS (Electronic Records Management) had DR designed into the architecture.</p>	The position will be reviewed during the 2016 audit.
C2	<p>Payroll Cost Analysis Reports (PCAs)</p> <p>Recent audits disclosed large numbers of unapproved PCAs in the HR system.</p>	2012	<p>Management advised that revised reporting procedures in the HR system are currently being tested and are on track for implementation shortly.</p>	Progress will be reviewed during the 2016 audit.





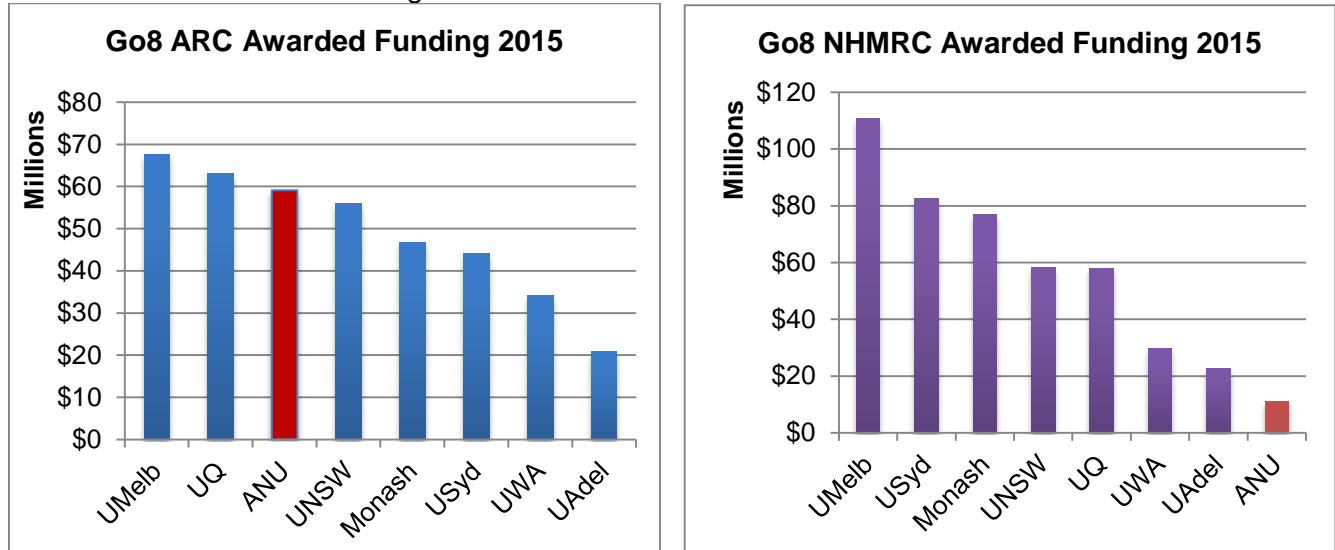
## ARC and NHMRC Outcomes and Submission Trends

### 1. Application numbers for funding in 2017

*Confidential to Council Members*

### 2. ARC and NHMRC 2015 Outcomes

Total ARC and NHMRC funding announced in 2015 for each Go8 institution



#### (a) Australian Research Council

ARC outcomes continue to reflect the high quality of ANU researchers and the intellectual environment. Success rates for Discovery Projects (25.2% vs national rate 17.7%) and Discovery Early Career Researcher Awards (23.9% vs national rate 16.4%) are particularly high relative to the sector. The total pool of funding for ARC is significantly down on previous years, and no Centres of Excellence were awarded in 2015 as the next round will be announced this year for a 2017 start.

However, funding awarded to ANU is inflated by a very large Linkage Infrastructure, Equipment and Facilities award (\$10 million) which is administered by ANU on behalf of Australia, but which is not spent at ANU and is ineligible for block grant returns.

#### (b) National Health and Medical Research Council

National success rates for NHMRC Project Grants have fallen from 22.9% in 2012 to 13.7% for projects starting in 2016. The University's success rate was below this, at 8.5%.

As above, NHMRC fellowship and project applications have increased in 2016 (for 2017 funding). Applicants have received increased support at a School and College level to ensure that the submissions are of high quality.

Group of Eight – NHMRC Project Grants awarded in 2015 for funding in 2016

Administering Institution	Project Applications	Projects Awarded	Success Rate	Funding Awarded
University of Melbourne	488	84	17.2%	\$71,705,235
Monash University	437	70	16.0%	\$57,420,890
University of Sydney	368	49	13.3%	\$49,284,090
University of Queensland	314	45	14.3%	\$31,731,566

University of New South Wales	286	35	12.2%	\$27,126,111
University of Adelaide	170	17	10.0%	\$12,117,917
University of Western Australia	177	17	9.6%	\$19,263,764
Australian National University	71	6	8.5%	\$4,253,352
<b>Total</b>	<b>2311</b>	<b>323</b>	<b>14.0%</b>	<b>\$272,902,925</b>

#### Group of Eight – NHMRC Fellowships awarded in 2015 for funding in 2016

Administering Institution	Total F/ship applications	Total F/ship awarded	Success Rate	Funding Awarded
University of Melbourne	157	34	21.7%	\$16,568,142
University of Sydney	162	33	20.4%	\$15,973,450
Monash University	148	33	22.3%	\$13,147,271
University of Queensland	107	30	28.0%	\$14,534,496
UNSW	103	15	14.6%	\$6,117,779
University of Adelaide	60	12	20.0%	\$5,254,881
Australian National University	32	7	21.9%	\$3,234,381
University of Western Australia	56	6	10.7%	\$1,686,361
<b>Total</b>	<b>825</b>	<b>158</b>	<b>20.6%</b>	<b>\$76,516,760</b>

(Excludes Dementia Fellowships)

### 3. ARC Outcomes – May 2016

ANU was awarded no prestigious Australian Laureate Fellowships, from 10 applications. This outcome is extremely disappointing as this is the flagship support mechanism for high-flying researchers. A number of applications were viewed as strong. ANU historically has done very well in this scheme, with the most awarded across the lifetime of the scheme.

Australian Laureate Fellowship awards by institution and year:

	2009	2010	2011	2012	2013	2014	2015	2016	Total
<b>Go8</b>									
ANU	2	4	3	4	4	1	3	-	21
UQ	2	1	3	2	1	2	3	2	16
Melbourne	-	2	3	2	2	1	2	4	16
Sydney	3	2	2	3	1	2	-	1	14
UNSW	2	3	2	-	1	2	3	-	13
Monash	-	-	-	3	2	2	-	2	9
UWA	2	-	-	1	1	1	-	1	6
Adelaide	1	-	-	-	2	1	-	-	4
<b>Non-Go8</b>	3	3	4	2	3	4	4	6	29
<b>Total</b>	<b>15</b>	<b>15</b>	<b>17</b>	<b>17</b>	<b>17</b>	<b>16</b>	<b>15</b>	<b>16</b>	<b>113</b>

ANU was awarded 10 Linkage Projects, totalling \$3,733,684. The university's success rate of 47% is well above the sector outcome of 31%.

ANU is a key partner in two Industrial Transformation Training Centres awarded over \$3 million each. The ARC Training Centre for Automated Manufacture of Advanced Composites is led by UNSW, and the ARC Training Centre in Lightweight Automotive Structures is led by RMIT. Close to \$3 million in funding is expected to flow to ANU from these projects.

**Australian National University**  
**Summary of Approved Capital Works over \$500,000**  
**as at 27 March 2016**

**Active Projects in Delivery Phase**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
Acton Underhill Carpark (includes Noel Butlin Archives)	Commonwealth NSC-ANZOG Surplus	1.45	1.45	1.45	1.74	0.52	77%	95%	30-May-16
	Building Infrastructure Fund (FS BIF)	0.40	0.40	0.40					
	F&S Capital Renewal Fund	0.08	0.08	0.08					
	Savings from OAA Program project	0.32	0.33	0.32					
	<b>Total Project Funding</b>	<b>2.26</b>	<b>2.26</b>	<b>2.26</b>					
SSO Fire Recovery works program including discrete sub-projects	Insurance Claim	11.84	11.84	11.84	2.64	9.20	22%	30%	SSO Lodge: Nov-2016
CBE Student Hub & Programs of Works	College Fund	3.50	3.86	4.31	1.90	2.41	44%	70%	1st Stage: May 2016 2nd Stage: Nov 2016
Physics Building MasterPlan and Stage 1 Project	Major Capital Works Fund	50.00	50.00	50.00	0.61	49.44	1%	5%	MasterPlan to be completed in May 2016
	Hindmarsh Contribution	0.00	0.05	0.05					
	<b>Total Project Funding</b>	<b>50.00</b>	<b>50.05</b>	<b>50.05</b>					
Computer Science & Mathematical Science Institute - Co-location to New Building	Major Capital Works Fund	25.00	25.00	27.50	0.84	26.71	3%	2%	Jun-18
	College Fund	0.00	0.05	0.05					
	<b>Total Project Funding</b>	<b>25.00</b>	<b>25.05</b>	<b>27.55</b>					
Research School of Social Sciences Building (New CASS Building)	Major Capital Works Fund	50.00	50.00	50.00	1.71	54.29	3%	5%	Dec-17
	College Fund	0.00	6.00	6.00					
	<b>Total Project Funding</b>	<b>50.00</b>	<b>56.00</b>	<b>56.00</b>					
Campus Wayfinding and Signage	Central Fund	0.87	0.87	0.87	0.01	0.86	2%	5%	TBA
New Student Accommodation (500 beds)	Central Fund	53.00	53.00	53.00	5.87	47.13	11%	20%	Dec-16
Refurbishment of the Coombs Building	Major Capital Works Fund	25.00	25.00	25.00	0.32	24.68	1%	1%	Possum Proofing 40%: 1-Dec-16 Remaining Works to be completed in 2019
Research School of Biology Robertson Building (#46) Refurbishment - including refurbish part Level 1	Major Capital Works Fund	25.00	37.00	37.00	3.21	36.26	8%	5%	Level 1: Complete. Target completion date for building refurbishment, Q1 2018
	College Fund	2.47	2.47	2.47					
	<b>Total Project Funding</b>	<b>27.47</b>	<b>39.47</b>	<b>39.47</b>					
Building 38 & 38A Refurbishment	College Fund	0.45	0.45	0.55	0.08	0.47	15%	15%	30-Jun-16
Refurbishment of the ANU College of Law Building	Major Capital Works Fund	25.00	25.00	25.00	0.04	24.96	0%	5%	Staged over 2016-2019
Drill Hall Gallery Mechanical Upgrade	Major Capital Works Fund	2.30	2.30	2.65	0.21	2.44	8%	30%	May-16

Australian National University  
Summary of Approved Capital Works over \$500,000  
as at 27 March 2016

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
Demolition of OAA	Savings from OAA Program project	0.86	0.86	0.86	0.41	0.45	47%	60%	2018
CECS Building Foyer Refurbishment	College Fund	1.22	1.22	1.22	0.86	0.35	71%	100%	Mar-16
Relocation of RSD/ SIG/ WEG	Central Fund	0.90	0.90	0.90	0.07	0.83	7%	9%	May-16
HVAC	F&S Capital Renewal Fund	1.00	1.00	1.13	0.56	1.67	25%	30%	Sep-18
	Carbon Reduction Fund	0.00	0.00	1.10					
	Total Project Funding	1.00	1.00	2.23					
NARU - roads and stormwater retention to site	Building Infrastructure Fund (FS)	0.69	0.69	0.72	0.39	0.33	54%	70%	23-May-16
Surface Car Park x 4 Upgrades	Central Fund	1.00	1.00	1.00	0.06	0.94	6%	5%	28-Jul-16
<b>SUB TOTAL</b>		<b>\$282.35</b>	<b>\$300.81</b>	<b>\$305.47</b>	<b>\$21.54</b>	<b>\$283.94</b>	<b>7%</b>		

**New Active Projects in Delivery since Last Meeting**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
<b>SUB TOTAL</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0%</b>		

**Approved Projects Awaiting Delivery**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
New Building for the College of Engineering and Computer Science	Major Capital Works Fund	30.00	30.00	30.00	0.00	30.00	0%	0%	2019-2020
<b>SUB TOTAL</b>		<b>\$30.00</b>	<b>\$30.00</b>	<b>\$30.00</b>	<b>\$0.00</b>	<b>\$30.00</b>	<b>0%</b>		
<b>GRAND TOTAL</b>		<b>\$312.35</b>	<b>\$330.81</b>	<b>\$335.47</b>	<b>\$21.54</b>	<b>\$313.94</b>	<b>6%</b>		

**Australian National University**  
**Summary of Approved Capital Works over \$500,000**  
**as at 27 March 2016**

**Completed Projects in Defects Liability Period (DLP)**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
Hancock West - ITS refurbishment and move	Central Fund	11.70	11.08	11.00	10.90	0.11	99%	100%	31-Jul-15 with a few post occupancy works under way
	F&S Capital Renewal Fund	0.00	0.01	0.01					
	<b>Total Project Funding</b>	<b>11.70</b>	<b>11.09</b>	<b>11.01</b>					
College of Engineering & Computer Science Craig Building Refurbishment	College Fund	4.00	4.45	4.45	9.10	0.02	100%	100%	30-Aug-15
	Central Fund	4.00	4.28	4.28					
	Building Infrastructure Fund (FS BIF)	0.00	0.20	0.20					
	Funding Shortfall of \$200k. Underwritten by CECS (to be resolved)	0.00	0.20	0.20					
	<b>Total Project Funding</b>	<b>8.00</b>	<b>9.13</b>	<b>9.13</b>					
CoL Buildings 5 & 7 Refurbishment	College Fund	0.40	0.40	0.40	2.30	0.06	98%	100%	Nov-15 with a few post occupancy works under way
	F&S Capital Renewal Fund		0.05	0.05					
	Central Fund	1.90	1.90	1.90					
	<b>Total Project Funding</b>	<b>2.30</b>	<b>2.35</b>	<b>2.35</b>					
SoM Foyer (Old Library) Refurbishment	Central Fund	0.89	0.89	0.89	0.81	0.13	86%	100%	23-Oct-15 with a few post occupancy works under way
	F&S Capital Renewal Fund		0.06	0.06					
	<b>Total Project Funding</b>	<b>0.89</b>	<b>0.94</b>	<b>0.94</b>					
Chancery Annex - Building 10B & 10C Refurbishment	Central Fund	1.25	1.25	1.25	1.02	0.23	82%	100%	Dec-15 Rectifying defects at moment
Refurbishment of Buildings 42 & 42A	Project	2.40	2.40	2.40	3.35	0.50	87%	100%	4-Mar-16
	College Fund	0.30	0.56	0.56					
	Central Fund	0.36	0.36	0.36					
	Electricity Reduction Program	0.00	0.40	0.40					
	Building Infrastructure Fund (FS BIF)	0.00	0.01	0.01					
	F&S Capital Renewal Fund	0.00	0.03	0.03					
	Savings from CoS Program Project	0.00	0.10	0.10					
	<b>Total Project Funding</b>	<b>3.06</b>	<b>3.85</b>	<b>3.85</b>					
CBE Summer Refresh	College Fund	1.50	1.70	1.70	1.67	0.03	98%	100%	1-Mar-16
<b>TOTAL - COMPLETED PROJECTS IN DLP</b>		<b>\$28.69</b>	<b>\$30.31</b>	<b>\$30.23</b>	<b>\$29.15</b>	<b>\$1.08</b>	<b>96%</b>		

Australian National University  
Summary of Approved Capital Works over \$500,000  
as at 27 March 2016

**Closed Projects**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
Electricity Reduction Initiative - Electricity Metering	Carbon Reduction Fund	0.63	0.63	0.63	0.56	0.06	90%	100%	1-Jun-16
<b>TOTAL - CLOSED PROJECTS</b>		<b>\$0.63</b>	<b>\$0.63</b>	<b>\$0.63</b>	<b>\$0.56</b>	<b>\$0.06</b>	<b>90%</b>		

**Other Projects**

Project Name	Approved Funding and Funding Sources	Original Budget	Budget as per previous Meeting	Current Budget	Funds expended @ 27/03/2016	Remaining Funds	% Spent	% Completed	Current Completion Date
		\$'m	\$'m	\$'m	\$'m	\$'m			
Pauline Griffin Building Repurpose	BURF	1.25	1.25	1.25	0.26	1.00	21%	0%	TBA
	College Fund	0.02	0.02	0.02					
	Total Project Funding	1.27	1.27	1.27					



**LIST OF TRANSACTIONS BY POWER OF ATTORNEY  
BETWEEN 7 APRIL 2016 AND 3 MAY 2016**

<b>No.</b>	<b>Date</b>	<b>Reference</b>	<b>Description</b>
1270	07/04/2016		St George Bank Dealing Account Operating Authority on behalf of the Long Term Investment Pool
1271	15/04/2016		Transfer Form for Non-Market Transactions re transfer of 70,000 CBA Perls VIII from Berne No 132 Nominees Pty Ltd to the Australian National University on behalf of the Long Term Investment Pool

## Significant Events from 4 March – 2 May 2016

What constitutes a Significant Event? A public lecture, event or seminar which attracts over 200 guests,	a named lecture of historical significance, a lecture by a significant overseas guest, a visit by an international	delegation, a visit or talk by a local or federal member, building opening, or awarding of major prize
---	--	---

### **MARCH**

#### **Partner visit – Jiangnan University, China**

*Friday 4 March 2016*

A delegation from Jiangnan University, led by Vice President for International Affairs, Professor Ji Zhicheng, visited ANU to progress the relationship between the two institutions. They met with representatives of the Research School of Chemistry, led by Professor Mark Humphreys.

#### **VIP Event**

##### **ANU Human Resources**

##### **International Womens Day**

*Tuesday 8 March 2016*

International Women's Day (IWD) is a significant day on Tuesday, 8 March 2016 that is internationally recognised and supported by the United Nations.

<http://www.internationalwomensday.com/>. This year an IWD morning tea networking event was undertaken at ANU for female early career academics, their supervisors, and outstanding female students. ANU has become an inaugural member of the **SAGE Pilot of the Athena SWAN Charter** with 32 other Australian universities and research institutions. The University will commence the two-year pilot in Phase 2 in September 2016.

#### **VIP Event/Visit/Major Event**

##### **Australian National Centre for the Public Awareness of Science (CPAS)**

##### **Alan Alda visit**

*Tuesday 8 March 2016*

The Vice-Chancellor met with Alan Alda and the U.S. Ambassador, Mr John Berry, to celebrate the opening of a new space for CPAS. This was followed by a major public event and a dinner with Alan Alda hosted by the Vice-Chancellor.

#### **Partner visit – ETH Zurich, Switzerland**

*Wednesday 9 March 2016, ANU College of Business & Economics*

Dr Angelika Wittek, Head of Student Exchange for ETH Zurich, met with Professor Shirley Leitch and Mr Rohan McCarthy-Gill to explore potential expansion of the student exchange relationship between the two institutions.

#### **Partner visit – Indiana University, United States of America**

*Thursday 10 March to Friday 18 March 2016*

Professor Daniel Cole, Professor of Law and of Public and Environmental Affairs, visited ANU to explore potential collaboration. He met with academics across the University, including Professor Veronica Taylor, and delivered a workshop on the work of Elinor Ostrom in conjunction with the Pan Asia Institute.

**VIP Event****Research School of Engineering****Unravelling complexity lecture***Tuesday 15 March 2016*

The Vice-Chancellor gave the Unravelling Complexity lecture in the Fenner Building.

**VIP Visit and Public Lecture****Centre for Arab and Islamic Studies, ANU College of Arts and Social Sciences****H.E. Dr Mohammad Javad Zarif, Foreign Minister of Iran lecture***Tuesday 15 March 2016*

H.E. Dr Mohammad Javad Zarif, Foreign Minister of Iran visited campus and presented a public lecture at Llewellyn Hall. Dignitaries who attended included: H.E. Mr Sadegh Hossein Jaberi Ansari, Spokesman of the Ministry of Foreign Affairs, H.E. Mr Eshagh Ale Habib, Advisor to the Minister and Director General, Office of the Minister, H.E. Mr Mahmood Farazandeh, Director General, Eastern Asian and Oceania, H.E. Mr Feredyon Haghbin, Director General, Economic Affairs, H.E. Mr Seyed Javad Gavamshahidi, Director General, Iranian Affairs, H.E. Mr Ali Chegeni, Director General, Administrative Affairs, H.E. Mr Gholamali Rajabi Yazdi, Director General, Consulate Affairs, H.E. Mr Javad Maboodifar, Deputy Director, First Department of East Asia and Oceania, and The Hon Melissa Parke MP, Member for Fremantle.

**VIP Visit****Office of the Vice-Chancellor****The Hon Bill Shorten MP dinner***Tuesday 15 March 2016*

The Vice-Chancellor hosted a dinner at University House with the Hon Bill Shorten MP. Guests included Professor the Hon Gareth Evans AC QC, Chancellor, Dr Jenny Gordon, Principal Adviser Research, Productivity Commission, Professor Miranda Stewart, Tax and Transfer Policy Institute, Crawford School of Public Policy, Professor Archie Clements, Director, Research School of Population Health, ANU College of Medicine, Biology and Environment, Dr Shiro Armstrong, Co-Director, Australia-Japan Research Centre and Fellow, Crawford School of Public Policy, Professor Hugh White AO, Coral Bell School of Asia Pacific Affairs, ANU College of Asia and the Pacific, Associate Professor Frank Jotzo, Deputy Director, Crawford School of Public Policy and Director, Centre for Climate Economics and Policy, Professor Matthew Gray, Director, Australian Centre for Applied Social Research Methods and Director of Research, ANU College of Arts and Social Sciences and Ms Jane O'Dwyer, Director, Strategic Communications and Public Affairs, ANU.

**VIP Visit****Research delegation – MEDEF Delegation for French CEOs & Research Innovation Leaders****ANU Centre for European Studies***Tuesday 15 March 2016*

A delegation from the MEDEF (Mouvement des Entreprises de France) visited ANU to explore opportunities for research and HDR education. The meeting was led by Professor Margaret Harding, and attended by key ANU researchers.

**VIP Visits****National Security College, Crawford School of Public Policy  
Indo-Pacific Maritime Security Conference***Wednesday 16 March 2016*

A high-level event involving officials, diplomats, media and academics. Admiral Scott H. Swift, Commander of the US Pacific Fleet accepted an invitation to speak at the conference.

**VIP Dinner****Office of the Vice-Chancellor****Vice-Chancellor dinner with The Hon Karen Andrews MP***Wednesday 16 March 2016*

The Vice-Chancellor hosted a dinner for The Hon Karen Andrews MP the Assistant Minister for Science.

**Institutional visit – University of Sussex, United Kingdom***Wednesday 16 March 2016*

A delegation from the University of Sussex, led by Professor Michael Davies, Pro Vice-Chancellor for Research, visited ANU to explore potential collaboration and student exchange possibilities. They met with Professor Shirley Leitch, representatives of the Centre for Arab & Islamic Studies, Professor Simon Foote, representatives of the Fenner School of Environment & Society, and representatives of the ANU College of Law.

**VIP Events****ANU Woroni****Does classroom learning have a place in the twenty first century? Debate***Thursday 17 March 2016*

The Vice-Chancellor, Professor Marnie Hughes-Warrington, Deputy Vice-Chancellor (Academic), The Honourable Dr Andrew Leigh, Federal MP for Fraser, Melodie Potts-Rosevear, Founder and CEO of Teach for Australia, Ben Duggan, Founder of the Raising Hope Education Foundation, Clodagh O'Doherty, Vice President of the ANU Students' Association came together for the Woroni organised public debate.

**VIP Event****Coral Bell School of Asia and the Pacific****Book launch: playing the game - memoirs of Sir Julius Chan***Thursday 17 March 2016*

The Hon Sir Julius Chan MP, PNG High Commissioner, Mr Charles Lepani, Mr Bill Farmer (DFAT ret.) His Excellency Mr Abdelaziz Lahiouel, Ambassador, Embassy of Algeria attended the book launch.

**VIP Event****Crawford School of Public Policy****Mobility symposium***Monday 21 March 2016*

The Vice-Chancellor welcomed Michael Pezzullo, Secretary of the Department of Immigration and Border Protection to open the Mobility Symposium. The Mobility Symposium examined the strategic, policy and operational implications of mobility for Australia in the 21st Century.

**ANU Public Lecture****ANU/The Canberra Times meet the author series***Monday 21 March 2016*

Journalist Niki Savva was joined in conversation by Kerry-Anne Walsh to discuss her latest book *The Road to Ruin. How Tony Abbott and Peta Credlin destroyed their own government*. More than 450 members of the public were in attendance

**ANU Public Lecture****ANU/The Canberra Times meet the author series***Tuesday 22 March 2016*

George Megalogenis discussed his new *Quarterly Essay*, 'Balancing Act. Australia Between Recession and Renewal' with Laura Tingle.

More than 400 members of the public were in attendance

**VIP Visit****Office of the Vice-Chancellor****Indian High Commissioner Lunch followed by a lecture***Tuesday 22 March 2016*

The Vice-Chancellor hosted a lunch with the His Excellency Navdeep Singh, Indian High Commissioner to Australia. This was followed by a lecture delivered by the High Commissioner on Australian-Indian diplomatic ties.

**VIP Visit****Office of the Vice-Chancellor****Senior Army Leaders Dinner – reflections on leading and collaboration at ANU***Tuesday 22 March 2016*

Army senior leaders gather periodically to discuss current and future challenges confronting the Army. A dinner precedes the day of discussion and a guest speaker is invited that might help to challenge army personnel's thinking. The Vice-Chancellor was invited to give the talk at the dinner.

**VIP Event****Alumni Relations & Philanthropy****Student philanthropy program launch event 2016***Tuesday 22 March 2016*

The Vice-Chancellor and Deputy Vice-Chancellor (Academic) took part in the launch of the Student philanthropy program. The goal of the program is to imbed a culture of philanthropy in the ANU student community and introduce philanthropy as a key differentiator of the student experience at ANU.

**VIP International Visit****Department of Education delegation – Thai National Research Universities, Thailand***Tuesday 22 March 2016*

A Thai delegation, coordinated by the Australian Government Department of Education, and comprising of representatives of the Thai Department of Foreign Affairs, Office of the Higher Education Commission and Thai National Research Universities, visited ANU to learn about the strategies Australian universities use to improve research performance. They met with Dr Douglas Robertson and representatives of the Coral Bell School of Asia Pacific Affairs.

**VIP Visit/Event****ANU College of Asia and the Pacific****Giftng ceremony of a traditional Mongolian Ger***Thursday 24 March 2016*

The Vice-Chancellor welcomed to campus Mr Damba, State Secretary of Ministry of Foreign Affairs of Mongolia and His Excellency Mr Chuluunhuu Batlai, Mongolian Ambassador to Australia at a ceremony where the Mongolian Government presented ANU with a Ger which had been specially made for the University.

**VIP Event****Humanities Research Centre, ANU College of Arts and Social Sciences****Conversations across the creek***Thursday 24 March 2016*

The Vice-Chancellor attended the meeting of Conversations across the Creek, which is an interdisciplinary group which meets regularly to create continuing dialogue among ANU academics from science, social science and humanities.

**VIP Event****Griffin Hall Commencement***Thursday 24 March*

The Vice-Chancellor attended the commencement ceremony of Griffin Hall for 2016.

**VIP Visit****Arndt-Corden Department of Economics, Crawford School of Public Policy****Public Lecture by His Excellency Professor Bambang Brodjonegoro***Thursday 24 March*

The Vice-Chancellor welcomed and introduced His Excellency Professor Bambang Brodjonegoro who delivered a public lecture.

**VIP Event****Australian Centre on China in the World*****The Other Shore, Wei Leng Tay Exhibition Launch****Wednesday 30 March 2016*

The Singapore High Commissioner official opened the exhibition.

**Public Lecture****Arndt-Corden Department of Economics, Crawford School of Public Policy****2016 Narayanan Oration – briefing and lecture***Thursday 31 March 2016*

The Vice-Chancellor welcomed and introduced The Honourable Shri Arun Jaitley, Minister of Finance, Corporate Affairs, Information and Broadcasting to give the Narayanan Oration. Other key guests included the Indian High Commissioner, His Excellency Mr Navdeep Suri, the Australia's High Commissioner in New Delhi, Her Excellency Ms Harinder Sidhu.

**APRIL****VIP visit****ANU Centre for Social Research, Research School of Social Sciences****Bangladesh Round Table***Friday 1 April 2016*

A group of high-level delegates from the Government of Bangladesh, with representatives of the Diplomatic Missions of Fiji, Ethiopia, Kosovo, Qatar, Kuwait, and the British Foreign Office Diplomatic Academy attended a Round Table hosted by ANU. Professor Matthew Gray, Acting Dean of the ANU College of Arts and Social Sciences hosted the event.

**VIP Visit****Centre for Democratic Institutions and State, Society And Melanesia Seminar***Friday 4 April 2016*

The Hon Rick Houenipwela MP, Solomon Islands delivered a seminar on *a day in the life of an MP in Solomon Islands*.

**VIP Event****Arndt-Cordon Department of Economics, Crawford School of Public Policy****14th H W Arndt Memorial Lecture***Tuesday 5 April 2016*

The 14<sup>th</sup> Arndt lecture was delivered by professor Iwan Azis from the University of Indonesia. The Deputy Vice-Chancellor (Research) moderated the question and answer session.

**VIP Event****National Centre for Indigenous Studies (NCIS)****NCIS Research Lab Opening***Tuesday 5 April 2016*

The Deputy Vice-Chancellor (Research) spoke at the opening of the NCIS research lab.

**VIP Event****ANU Career Development, Human Resources Division****Women leaders in public sector forum***Wednesday 6 April 2016*

The Deputy Vice-Chancellor (Research) welcomed the participants of the forum.

**VIP Event****Westpac Group Gala Lunch***Wednesday 6 April 2016*

The Chancellor, the Vice-Chancellor and Pro Vice-Chancellor (Research and Training) attended a gala lunch hosted by Westpac to celebrate their scholarships. The Vice-Chancellor sat with the Prime Minister. ANU College of Engineering and Computer Science researcher Dr Antonio Tricoli was presented with the Research Fellowship, a \$330,000 grant over three years awarded to an early career researcher.

**Bruce Hall alumni community forum***Thursday 7 April 2016*

The Vice-Chancellor and the Pro Vice-Chancellor (Research and Research Training) met with alumni residents from Bruce Hall.

### **ANU Event**

#### **Eat, drink and be artistic with Ken Done**

*Friday 8 April 2016*

Iconic Australian, Ken Done talked about his new book, *A Life Coloured In*.

### **Partner visit – Indiana University, United States of America**

*Thursday 7 and Friday 8 April 2016*

Professor David Zaret, Vice President for International Affairs, and Mr Shawn Reynolds, Associate Vice-President for International Partnerships, visited ANU to discuss further collaboration between the two institutions. They met with representatives across the University, including the Vice-Chancellor, Deputy Vice-Chancellor (Research), Deputy Vice-Chancellor (Academic), Pro Vice-Chancellor (International and Outreach), and representatives of: the Pan-Asia Institute; the ANU College of Asia and the Pacific; the ANU College of Arts and Social Sciences; the ANU College of Business and Economics; and the Centre for Arab and Islamic Studies.

### **ANU Public Lecture**

#### **ANU/The Canberra Times meet the author series**

*Tuesday 12 April 2016*

Historian Henry Reynolds gave a talk about his latest book *Unnecessary Wars*. More than 300 members of the public were in attendance

### **Kazakhstan Delegation Roundtable**

#### **Energy Change Institute**

*Wednesday 13 April 2016*

International guests came to campus for SERREE Renewable Energy Industry Cluster meeting.

### **MIKTA Academic Conference/lunch**

#### **Coral Bell School of Asia Pacific Affairs, ANU College of Asia and the Pacific**

*Thursday 14 April 2016*

Pro Vice-Chancellor (Research and Research Training) met delegates from MKITA and DFAT.

### **VIP Visit**

#### **ANU College of Arts and Social Sciences**

#### **Book Launch – Professor Nicolas Peterson**

*Monday 18 April 2016*

Mark Dreyfus QC MP came to campus to launch the book by Professor Nicolas Peterson.

### **VIP Event**

#### **Office of the Vice-Chancellor**

#### **The Legion of Honour ceremony**

*Monday 18 April 2016*

The Vice-Chancellor attended a ceremony at the French Embassy residence for the Insignia of Knight in the Order of the Legion of Honour to Professor Serge Tchérékzoff, Head of



Partnership of the ANU Ecole des Hautes Etudes en Sciences Sociales, hosted by the ANU College of Asia and the Pacific.

#### **VIP Event**

##### **ANUSA Womens Office**

##### **The Pledge**

*Tuesday 19 April 2016*

The Vice-Chancellor took part in a panel discussion in Union Court about the Pledge and the responsibilities of individuals have to address the issues of interpersonal violence.

#### **ANU Public Lecture**

##### **ANU/The Canberra Times meet the author series**

*Tuesday 19 April 2016*

Journalist Sarah Ferguson was joined in conversation by Lenore Taylor to discuss her latest book *The Killing Season: Uncut*

More than 800 members of the public were in attendance

#### **VIP Event**

##### **Partner visit – University of French Polynesia, French Polynesia**

*Tuesday 19 April 2016, Chancelry*

A delegation from the University of French Polynesia, led by President Eric Conte, visited ANU to discuss the Maison des Sciences de l'Homme du Pacifique. They met with the Vice-Chancellor, Pro Vice-Chancellor (International and Outreach), Professor Jacqueline Lo, and ANU academics engaged with the region.

#### **VIP Event**

##### **Office of the Vice-Chancellor**

##### **Kim Beazley Welcome Home Address and Dinner**

*Wednesday 20 April 2016*

Lecture and dinner to welcome home former Chancellor the Hon Kim Beazley. The event was held at Llewellyn Hall and 1,300 members of the public attended. Mr Beazley was then joined in conversation by the US Ambassador and Michael Brissenden, ABC Correspondent. A post-lecture dinner was held in the Larry Sitzky room at Llewellyn Hall.

#### **VIP Event**

##### **ANU Climate Change Institute**

##### **A global perspective on the Paris Climate Agreement**

*Thursday 21 April 2016*

Several Ambassadors came to campus for an event on the Paris Climate Agreement. They were His Excellency Mr Charles W Lepani, Papua New Guinea High Commissioner, His Excellency Mr Mohamed Mael-Ainin, Ambassador of the Kingdom of Morocco, His Excellency Mr Sem Fabrizi, Ambassador, Delegation of the European Union to Australia, His Excellency Mr John Berry, Ambassador of the United States of America, His Excellency Mr Christophe Lecourtier, Ambassador of France, His Excellency Mr Sem Fabrizi, Ambassador, Delegation of the European Union to Australia, Minister Mr Cai Wei, Charge d'Affaires of the Chinese Embassy in Australia, Professor Marnie Hughes-Warrington, Deputy Vice-Chancellor (Academic), Ms Laura Tingle, Political Editor, Australian Financial Review, Professor Mark Howden, Director, ANU Climate Change Institute.

**VIP Visit****Centre for Arab and Islamic Studies, ANU College of Arts and Social Sciences  
‘Islam and Violent Jihad: Western Policy Responses’***Friday 22 April 2016*

Senator the Hon Concetta Fierravanti-Wells visited campus to deliver the opening address at the conference ‘Islam and Violent Jihad: Western Policy Responses’. She was welcomed and introduced by the Pro Vice-Chancellor (International and Outreach).

**VIP Event****ANUSA****ANU Arts Week***Tuesday 26 April 2016*

The Pro Vice-Chancellor (Student Experience) attended the ANU Arts Week Exhibit Opening Night in the PARSA Hub and spoke briefly on the importance of the arts and introduced the keynote speaker, Gordon Bull.

**VIP Event****ANU Centre for Social Research Methods, ANU College of Arts and Social Sciences  
Launch of the ANUpoll on tax***Tuesday 26 April 2016*

The ANUpoll on tax was launched at Parliament House. Professor Matt Gray, Acting Dean, ANU College of Arts and Social Sciences, Dr Jill Sheppard, ANU Centre for Social Research Methods, Mr Ben Phillips, ANU Centre for Social Research Methods and Professor Miranda Stewart, Director, Australian Tax and Transfer Policy Institute, Crawford School of Public Policy attended.

**VIP Visit****Coral Bell School of Asia and Pacific Affairs****SGSM Seminar Series – Leadership Challenges of Autonomous Government***Thursday 28 April 2016*

The Hon Patrick Nisira MP, Vice President of the Autonomous Region of Bougainville, PNG gave an address.

**VIP Visit****Office of the Vice-Chancellor****Tour of research facilities by Australian Chief Scientist and International Guest***Thursday 28 April 2016*

Australian Chief Scientist Dr Alan Finkel AO and Professor Mashelkar, National Research Professor and President of Global Research Alliance visited the Solar Simulator and Solar Thermal labs, The John Curtin School of Medical Research, The Big Dish and the National Computational Infrastructure, meeting with researchers in each area.

**VIP Seminar****School of Politics and International Relations, ANU College of Arts and Social Sciences***Thursday 28 April 2016*

*The future of the United National (and should ANU students want to work there?)*

Ms Leanne Smith, Chief of Policy and Best Practices Service, United Nations Department of Peacekeeping Operations deliver the seminar. Leanne is an ANU graduate who has worked in the Australian judicial system, Australian Human Rights Commission and for the Australian Government as well as diplomatic postings in the Southeast Asia, Africa, the Balkans and Afghanistan.

### **VIP Seminar**

#### **ANU Climate Change Institute/ANU Energy Change Institute**

*Friday 29 April*

*Climate Café – Why does Europe do climate diplomacy in Australia?*

Embassies of Slovenia, Kingdom of the Netherlands, Austria, French, Morocco and European Union Delegation attended a presentation by Caroline Lambert, First Counsellor for Climate and the Environment at the European Union Delegation to Australia.

## Australian National University - Research Services Division

### Grants and Consultancies Awarded between 8 March and 2 May 2016

College of Arts and Social Science.....	2
College of Asia and the Pacific.....	2
College of Medicine, Biology and Environment .....	3
College of Physical and Mathematical Sciences.....	6

#### Caveats:

1. The amount shown reflects the funds that were awarded for the entire grant/consultancy, grouped against the primary funds provider.
2. Although many grants/consultancies are collaborative efforts involving more than one area of the ANU, they are reported under the college of the primary department.
3. All amounts reported are in Australian dollars.
4. In a few cases the amount reported is nil. This can be for a variety of reasons, such as the contract is still under negotiation, or that the project is a non-monetary agreement.

**College of Arts and  
Social Science**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
NSW Bureau of Crime Statistics and Research	Dr Matthew Manning	Review of WSIPP Model	

**College of Asia and the  
Pacific**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
ANU Enterprise Pty Ltd	Dr Nicholas Farrelly	GPfD Myanmar – Building Myanmar's Research Capacity: Reciprocal Academic Visitor and PhD Supervision programs	
Coffey International Development Pty Ltd	Prof Edward Aspinall	Patronage and Political Networks in Southeast Asia: A Study of the 2016 Elections in the Philippines	\$135,672

**College of Medicine,  
Biology and  
Environment**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
ANU Connect Ventures Pty Ltd	Dr Ronald Jackson	Development of an Antibacterial Adjuvant for Human and Veterinary Vaccines (DTF222)	\$50,000
ANU Connect Ventures Pty Ltd	Dr Maria Carola Garcia De Vinuesa	Novel therapeutic for autoimmune disease (DTF212)	\$75,000
Australian Academy of Science	Mr John Rivers	Australia-French Entrepreneurship Challenge	
Bill and Melinda Gates Foundation	Prof Ian Cockburn	Increasing competition and survival in the germinal centre to enhance vaccination	\$143,969
Commonwealth Attorney-Generals Department	Prof Katherine J Reynolds	Developing evidence-based research to assist with the development of intervention programs. (Subcontract)	\$51,319
Commonwealth Scientific and Industrial Research Organisation (CSIRO)	Tingbao Xu	Sustainable Development Investment Strategy (South Asia) project	\$20,000
Cotton Research and Development Corporation	Dr Craig Strong	Improving precision agriculture and environmental performance for the Australian cotton industry through fertiliser optimisation - James Latimer	\$123,000
Flinders University	Prof Anthony Jakeman	Scientific and Technical Experts for the Provision of Research Services on the Impacts of Coal Seam Gas and Coal Mining Water	

**College of Medicine,  
Biology and  
Environment**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
Food Standards Australia New Zealand	A/Prof Martyn Kirk	Development of health outcomes trees for a priority list of pathogens	\$30,000
Fujitsu Laboratories Ltd	Prof David Lindenmayer	Natural Capital Accounting for Biodiversity	
Grains Research and Development Corporation (GRDC)	A/Prof Peter Solomon	Biosecurity preparedness for the grains industry against wheat blast	\$168,000
Human Frontier Science Program	Dr Sylvain Foret	Beyond the genome: impact of microbial communities and epigenetic regulations for adaptation	
Ian Potter Foundation	Dr Liana Leach	Ian Potter Foundation Travel Grant application	
Linnean Society of New South Wales	Ms Lucy Wenger	Could coral reef fishes have evolved from seaweed-associated ancestors?	\$1,250
Linnean Society of New South Wales	Mr Joshua Van Lier	Understanding how acute habitat disturbance affects seaweed-associated fishes within the World Heritage Ningaloo ecosystem	\$1,000
ME Research UK	A/Prof Brett Lidbury	Two Dimensional (2D) Sequencing and Machine Learning to Maximise Genetic Marker Detection in a Clinically Well-Defined ME Cohort for Enhanced Diagnosis	

**College of Medicine,  
Biology and  
Environment**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
Mitsui & Co. Australia	A/Prof James Pittock	Scoping floating rice-based agro-ecological farming systems for a healthy society and adaptation to climate changes in the Lower Mekong Region and Myanmar	\$33,028



**College of Physical and  
Mathematical Sciences**

<b>Primary Funds Provider</b>	<b>Primary Investigator</b>	<b>Title</b>	<b>Total Amount Awarded</b>
Australian Synchrotron	Ms Ulrike Troitzsch	Crystal structure characterisation of carbonates in coralline algae with micro diffraction	\$6,400
Commonwealth Department of Foreign Affairs and Trade (DFAT)	Prof Phil Cummins	Strengthening Graduate Education and Research in Earthquakes and Active Tectonics at Bandung Institute of Technology	\$498,460
Commonwealth Scientific and Industrial Research Organisation (CSIRO)	Prof Kenneth Freeman	Secondment to CSIRO	\$110,000
Geoscience Australia	Prof Phil Cummins	Software development: Optimisation and adaption	\$40,884
Qatar National Research Fund	Prof Wieslaw Krolikowski	Photonics with structured light for applications in communication and nano-technologies	

# **THE AUSTRALIAN NATIONAL UNIVERSITY**

## **Council (Elections) Statute 2016**

---

The Council of the Australian National University makes the following statute.

Dated DAY MONTH YEAR

Professor the Hon Gareth Evans AC QC FASSA FAIIA  
Chancellor

---



# Contents

**Part 1— Preliminary ..... 1**

1 Name ..... 1

2 Commencement ..... 1

3 Authority ..... 1

4 Definitions..... 1

**Part 2— Elected Council members ..... 3**

5 Deans and research school heads member ..... 3

6 Academic staff (Institute) member ..... 3

7 Academic staff (Faculties) member..... 4

8 General staff member ..... 4

9 Postgraduate student member..... 5

10 Undergraduate student member..... 5

**Part 3— Election provisions ..... 7**

**Division 3.1— Elections conducted under this instrument ..... 7**

11 Application of Division 3.1 ..... 7

12 Elections to be by preferential voting and secret ballot ..... 7

13 Appointment of returning officer etc..... 7

14 Conduct of election by returning officer ..... 7

15 Decisions of returning officer final etc..... 7

**Division 3.2— Elections for student members ..... 8**

16 Conditions for conduct of elections by student associations ..... 8

17 Conduct of student member elections under this instrument..... 9

**Part 4— Miscellaneous ..... 11**

18 Approved forms ..... 11

19 Delegation by Vice-Chancellor ..... 11

20 Rules ..... 11

21 Orders..... 11

22 Repeals ..... 12

23 Application of instrument to student members etc. .... 12



## Part 1—Preliminary

### 1 Name

This is the *Council (Elections) Statute 2016*.

### 2 Commencement

This instrument commences on the day after it is registered.

### 3 Authority

This instrument is made under section 50 of the *Australian National University Act 1991*.

### 4 Definitions

In this instrument:

***academic staff (Faculties) member***: see section 7(1).

***academic staff (Institute) member***: see section 6(1).

***constitution***, of a student association, means the objects and rules of the association under the *Associations Incorporation Act 1991* (ACT), and includes any regulations or other instrument made under those rules applying to the election of a student member.

***deans and research school heads member***: see section 5(1).

***general staff member***: see section 8(1).

***order*** means an order made under or for the purposes of this statute.

***postgraduate student association*** means the Australian National University Postgraduate and Research Students' Association Incorporated, an association incorporated under the *Associations Incorporation Act 1991* (ACT).

***postgraduate student member***: see section 9(1).

***returning officer***, for an election conducted under this instrument, means the person who is appointed under section 13(1) (Appointment of returning officer etc.) as returning officer to conduct the election.

***rule*** means a rule made under or for the purposes of this statute.

***student association*** means:

- (a) in relation to the election of the postgraduate student member—the postgraduate student association; or
- (b) in relation to the election of the undergraduate student member—the undergraduate student association.

***student member*** means the postgraduate student member or the undergraduate student member.

***the Act*** means the *Australian National University Act 1991*.

***this instrument*** includes the rules and orders.

***undergraduate student association*** means the Australian National University Students' Association Incorporated, an association incorporated under the *Associations Incorporation Act 1991* (ACT).

***undergraduate student member***: see section 10(1).

***working day*** means a day that is not a Saturday, a Sunday, a public holiday in the Australian Capital Territory, or a University holiday.

## Part 2—Elected Council members

### 5 Deans and research school heads member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(k) of the Act (the *deans and research school heads member*).  
 [Note: This Council member must be either a dean or the head of a research school and must be elected, in either case, by the deans and the heads of the research schools voting together.]
- (2) For section 10(1)(k) of the Act and this instrument, an order may prescribe the bodies that are to be regarded as colleges and research schools and the persons who are to be regarded as the dean or head of such a body.
- (3) The deans and research school heads member must be:
  - (a) a dean or the head of a research school; and
  - (b) elected by the deans and the heads of the research schools voting together.
- (4) The election must be conducted under this instrument.
- (5) The deans and research school heads member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of 2 years.  
 [Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]
- (6) The deans and research school heads member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years, unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

### 6 Academic staff (Institute) member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(l) of the Act (the *academic staff (Institute) member*).  
 [Note: This Council member must be a member of the academic staff of the Institute of Advanced Studies elected by members of that staff.]
- (2) For section 10(1)(l) of the Act and this instrument, a rule may prescribe the persons who are to be regarded, for all or stated purposes, as members of the academic staff of the Institute of Advanced Studies.
- (3) The academic staff (Institute) member must be:
  - (a) a member of the academic staff of the Institute of Advanced Studies; and
  - (b) elected by members of that staff.
- (4) The election must be conducted under this instrument.
- (5) The academic staff (Institute) member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of 2 years.  
 [Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]
- (6) The academic staff (Institute) member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years,



unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

## 7 Academic staff (Faculties) member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(m) of the Act (the *academic staff (Faculties) member*).

[Note: This Council member must be a member of the academic staff of The Faculties elected by members of that staff.]

- (2) For section 10(1)(m) of the Act and this instrument, a rule may prescribe the persons who are to be regarded, for all or stated purposes, as members of the academic staff of The Faculties.
- (3) The academic staff (Faculties) member must be:
- (a) a member of the academic staff of The Faculties; and
  - (b) elected by members of that staff.
- (4) The election must be conducted under this instrument.
- (5) The academic staff (Faculties) member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of 2 years.
- [Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]
- (6) The academic staff (Faculties) member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years, unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

## 8 General staff member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(n) of the Act (the *general staff member*).

[Note: This Council member must be a member of the general staff of the University elected by members of that staff.]

- (2) For section 10(1)(n) of the Act and this instrument, a rule may prescribe the persons who are to be regarded as members of the general staff of the University.
- (3) The general staff member must be:
- (a) a member of the general staff of the University; and
  - (b) elected by members of that staff.
- (4) The election must be conducted under this instrument.
- (5) The general staff member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of 2 years.
- [Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]
- (6) The general staff member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years, unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

## 9 Postgraduate student member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(o) of the Act (the *postgraduate student member*).  
 [Note: This Council member must be a postgraduate student of the University elected by the postgraduate students of the University.]
- (2) For section 10(1)(o) of the Act and this instrument, a rule may prescribe the persons who are to be regarded as postgraduate students of the University.
- (3) The postgraduate student member must be:
  - (a) a postgraduate student of the University; and
  - (b) elected by the postgraduate students of the University.
- (4) The election must be conducted by the postgraduate student association under the association's constitution.  
 [Note: The association must ensure that the conditions mentioned in s 16(2) (Conditions for conduct of elections by student associations) are complied with in relation to the election.]
- (5) However, if a direction is in force under section 17(3) (Conduct of student member elections under this instrument) in relation to elections for the postgraduate student member, the election must be conducted under this instrument.
- (6) The postgraduate student member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of 12 months. However, if the association's constitution provides for a different period of no longer than 15 months ending on the day of the declaration of the results of the association's next annual election for elected positions in the association, the constitution may provide that the term of the postgraduate student member also ends on that day.  
 [Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]
- (7) The postgraduate student member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years, unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

## 10 Undergraduate student member

- (1) This section applies in relation to the Council member mentioned in section 10(1)(p) of the Act (the *undergraduate student member*).  
 [Note: This Council member must be an undergraduate student of the University elected by the undergraduate students of the University.]
- (2) For section 10(1)(p) of the Act and this instrument, a rule may prescribe the persons who are to be regarded as undergraduate students of the University.
- (3) The undergraduate student member must be:
  - (a) an undergraduate student of the University; and
  - (b) elected by the undergraduate students of the University.
- (4) The election must be conducted by the undergraduate student association under the association's constitution.  
 [Note: The association must ensure that the conditions mentioned in s 16(2) (Conditions for conduct of elections by student associations) are complied with in relation to the election.]

(5) However, if a direction is in force under section 17(3) (Conduct of student member elections under this instrument) in relation to elections for the undergraduate student member, the election must be conducted under this instrument.

(6) The undergraduate student member is elected (otherwise than to fill a casual vacancy under section 15 of the Act) for a term of no longer than 12 months. However, if the association's constitution provides for a different period of no longer than 15 months ending on the day of the declaration of the results of the association's next annual election for elected positions in the association, the constitution may provide that the term of the undergraduate student member also ends on that day.

[Note: A person elected to fill a casual vacancy holds office for the remainder of the term of office of the person's predecessor (see s 16(2) of the Act).]

(7) The undergraduate student member may be re-elected. However, the member must not hold office as a Council member (in any capacity) for longer than 8 consecutive years, unless the Council considers it appropriate for the member hold office as a Council member for longer than that period.

## **Part 3—Election provisions**

### **Division 3.1—Elections conducted under this instrument**

#### **11 Application of Division 3.1**

- (1) This Division applies to an election for a Council member conducted under this instrument.
- (2) To remove any doubt, this Division does not apply to an election for a student member conducted by the student association under the association's constitution, but does apply to the election for such a member conducted under this instrument.

#### **12 Elections to be by preferential voting and secret ballot**

- (1) This section applies to an election, other than an election for the deans and research school heads member.
- (2) The election must be conducted:
  - (a) by a method of preferential voting; and
  - (b) by secret ballot.

#### **13 Appointment of returning officer etc.**

- (1) For each election, the Vice-Chancellor must, in writing, appoint a person as returning officer to conduct the election.
- (2) The power to appoint a person as the returning officer for an election must be exercised personally by the Vice-Chancellor and may not be delegated.
- (3) The returning officer for an election may, in writing, appoint deputies to assist the returning officer to conduct the election.

#### **14 Conduct of election by returning officer**

The returning officer for an election must ensure that the election is conducted fairly, and, in particular, the returning officer must take reasonable steps to ensure that persons eligible to become candidates and vote in the election:

- (a) are given reasonable notice of the opportunity to become candidates and vote; and
- (b) are given reasonable information about how and when they may become candidates and vote; and
- (c) have a reasonable opportunity to become candidates and vote in the election.

#### **15 Decisions of returning officer final etc.**

A decision of the returning officer for an election about the conduct or result of the election is final and not subject to appeal or review in any way.

## Division 3.2—Elections for student members

### 16 Conditions for conduct of elections by student associations

- (1) This section applies to an election for a student member conducted by the student association under the association's constitution.
- (2) The student association must ensure that the following conditions are complied with in relation to the election:
  - (a) the election must be conducted by the association under the association's constitution;
  - (b) a person is not eligible to nominate a candidate for election, be nominated as a candidate for election, vote, or be elected, in the election unless the person is:
    - (i) for an election conducted by the postgraduate student association—a postgraduate student of the University; or
    - (ii) for an election conducted by the undergraduate student association—an undergraduate student of the University;
  - (c) the student member must be directly elected as the student member by:
    - (i) for an election conducted by the postgraduate student association—the postgraduate students of the University; or
    - (ii) for an election conducted by the undergraduate student association—the undergraduate students of the University;
  - (d) if the election is not for a casual vacancy—the election must be conducted at the same time as the annual elections for elected positions in the association;
  - (e) the student association must appoint a returning officer for the election;
  - (f) the returning officer must ensure that the election is conducted fairly, and, in particular, the returning officer must take reasonable steps to ensure that persons eligible to become candidates and vote in the election:
    - (i) are given reasonable notice of the opportunity to become candidates and vote; and
    - (ii) are given reasonable information about how and when they may become candidates and vote; and
    - (iii) have a reasonable opportunity to become candidates and vote in the election;
  - (g) the returning officer must also ensure that the election otherwise complies with this instrument;
  - (h) the student association must take the steps necessary, or that the returning officer reasonably requires, to assist the returning officer ensure that the election is conducted fairly and otherwise complies with this instrument;
  - (i) the election must be by a method of preferential voting and by secret ballot;
  - (j) after the conclusion of the election, the returning officer must declare the result of the election;
  - (k) the returning officer must report to the Vice-Chancellor on the conduct and the result of the election within 2 working days after the day the result of the election is declared by the returning officer;
  - (l) the election must otherwise comply with this instrument.
- (3) To remove any doubt, subsection (2) does not prevent:
  - (a) the election from being conducted for the association by an authority of the Commonwealth or a State or Territory; or

- (b) the association from conducting the election for the student member at the same time as, and in association with, elections for positions in the association; or
- (c) the student member from being elected to another position in the association by a separate election conducted by the association at the same time as, and in association with, the election for the student member: or
- (d) the returning officer for the election for the student member from being the returning officer for elections for positions in the association that are conducted at the same time.

## 17 Conduct of student member elections under this instrument

- (1) This section applies if the Vice-Chancellor is satisfied in relation to the election of a student member (the *relevant election*) that:
  - (a) a condition mentioned in section 16(2) has not been complied with, is not being complied with, or will not be complied with, in relation to the election; and
  - (b) noncompliance with the condition is contrary to the interests of the University, its good governance or the interests of the relevant student community.
- (2) Without limiting subsection (1)(b), the Vice-Chancellor may be satisfied about the matter mentioned in that paragraph if the Vice Chancellor is satisfied that any of the following applies in relation to the election:
  - (a) the person elected is not eligible to have been elected or has been elected by persons not eligible to vote in the election;
  - (b) the election has not been conducted, is not being conducted, or will not be conducted, in a way that results the person elected being directly elected as the student member;
  - (c) the election has not been conducted, is not being conducted, or will not be conducted, by a method of preferential voting or by secret ballot (or both);
  - (d) there has been a serious irregularity in the course or conduct of the election;
  - (e) the result of the election is not, or is not likely to be, reliable;
  - (f) the conduct of the election is not, or will not, be fair.

### Examples for paragraph (f):

1 the returning officer could not reasonably be considered to be impartial or has behaved in a way that could reasonably be considered to be biased

2 the returning officer is failing, or has failed, to conduct the election in an orderly way, maintain the secrecy of the ballot or ensure the integrity of the voting

3 persons eligible to become candidates and vote in the election have not been given reasonable notice of the opportunity to become candidates and vote or reasonable information about how and when they may become candidates and vote

4 persons eligible to become candidates and vote in the election have not been given a reasonable opportunity to become candidates and vote in the election.

- (3) The Vice-Chancellor may, in writing, direct that elections for the student member must be conducted under this instrument and not by the student association under the association's constitution.
- (4) If the relevant election has commenced but the result of the election has not been declared by the returning officer for the election, the election process ends, anything done for or in relation to the election ceases to have effect, and a fresh election must be conducted under this instrument.

- (5) Subsection (6) applies if:
  - (a) the result of the relevant election has been declared by the returning officer for the election; and
  - (b) either:
    - (i) the returning officer has not reported to the Vice-Chancellor on the conduct and the result of the election; or
    - (ii) the returning officer has reported to the Vice-Chancellor on the conduct and the result of the election but less than 10 working days have elapsed after the day the report was made.
- (6) If this subsection applies, anything done for or in relation to the election ceases to have effect, the person declared to be elected as the student member is taken not to have been elected, and a fresh election must be conducted under this instrument.
- (7) The direction under subsection (3) remains in effect for a specified period of no longer than 12 months unless it is revoked sooner.
- (8) Subsection (7) does not prevent the Vice-Chancellor from giving a further direction under subsection (3) in relation to the conduct of elections for the student member.
- (9) If the Vice-Chancellor makes, revokes, or varies the period of effect of, a direction under subsection (3), the Vice-Chancellor must:
  - (a) inform the Council at its next meeting; and
  - (b) give written notice of the action taken by the Vice-Chancellor to the President of the relevant student association within 5 working days after the day the action is taken.
- (10) If, as result of the making of a direction under subsection (3), a person declared to be elected as the student member is taken not to have been elected, the Vice-Chancellor must also give written notice of the making of the direction to that person within 5 working days after the day the direction is made.
- (11) The power to make, revoke or vary a direction under subsection (3) must be exercised personally by the Vice-Chancellor and may not be delegated.

## Part 4—Miscellaneous

### 18 Approved forms

- (1) The Vice-Chancellor may, in writing, approve forms for this instrument.
- (2) If the Vice-Chancellor approves a form for a particular purpose, the form must be used for that purpose.
- (3) The Vice-Chancellor must ensure that approved forms are publicly available on the University's website or any other way that the Vice-Chancellor considers appropriate.

### 19 Delegation by Vice-Chancellor

- (1) The Vice-Chancellor may, in writing, delegate all or any of the Vice-Chancellor's functions or powers under this instrument to a member of the staff of the University.
- (2) However, Vice-Chancellor must not delegate:
  - (a) the power to make rules under section 20 or orders under section 21; or
  - (b) any function or power that, under this instrument, must be exercised personally by the Vice-Chancellor.

[Note: For functions and powers under this statute that must be exercised personally by the Vice-Chancellor, see the following provisions:

- section 13(2) (Appointment of returning officer etc.)
- section 17(11) (Conduct of student member elections under this instrument).]

### 20 Rules

- (1) The Vice-Chancellor may make rules:
  - (a) regulating, or providing for the regulation of, matters mentioned in this instrument, including matters required or permitted by this instrument to be prescribed by rule; or
  - (b) for carrying out or giving effect to this instrument.
- (2) Without limiting subsection (1), a rule may make provision with respect to:
  - (a) the method of any election provided for by this instrument; and
  - (b) the determination of questions raised in relation to the conduct or result of such an election.
- (3) To remove any doubt, a rule may provide for any election to be conducted, in whole or part, by electronic means, including by the use of computers or the internet.

### 21 Orders

The Vice-Chancellor may make orders:

- (a) regulating, or providing for the regulation of, matters mentioned in this instrument, including matters required or permitted by this instrument to be prescribed by order; or
- (b) for carrying out or giving effect to this instrument.



## **22 Repeals**

The following instruments are repealed:

- (a) *Membership of the Council Statute 2010*;
- (b) *Membership of the Council Rules 2013*;
- (c) *Membership of the Council (Heads of Faculties and Research Schools) Rules 2014*.

## **23 Application of instrument to student members etc.**

- (1) This instrument does not apply in relation to a student member, or an election for a student member, until 1 January 2017.
  - (2) If, immediately before 1 January 2017, a person was a student member, the person is taken to have been elected as the student member under this instrument for the balance of the person's term of office as student member that remained immediately before that day.
-

# **THE AUSTRALIAN NATIONAL UNIVERSITY**

## **Council (Elections) Rule 2016**

---

I, Professor Brian P. Schmidt, Vice-Chancellor of the Australian National University, make the following rule.

Dated DAY MONTH YEAR

Professor Brian P. Schmidt AC FAA FRS  
Vice-Chancellor

---



# Contents

**Part 1— Preliminary ..... 1**

1 Name ..... 1

2 Commencement ..... 1

3 Authority ..... 1

4 Definitions ..... 1

**Part 2— General election provisions..... 2**

**Division 2.1— Elections conducted under statute ..... 2**

5 Application of Division 2.1 ..... 2

6 Giving notices etc..... 2

**Division 2.2— Elections: other than for deans and research school heads member.. 2**

7 Application of Division 2.2..... 2

8 Elections conducted electronically ..... 2

9 Intervals to be allowed by returning officer ..... 3

10 Nominations to be made to returning officer..... 3

11 Nomination requirements..... 3

12 Single nomination ..... 3

13 Envelopes to be issued with voting paper ..... 3

14 Voting papers to contain candidate names etc..... 3

15 Lost or destroyed voting papers ..... 3

16 Instructions on voting papers ..... 4

17 Voting must comply with instructions ..... 4

18 Disclosure of vote prohibited ..... 4

19 Acceptance or rejection of voting papers ..... 4

20 Opening of envelopes at close of poll etc..... 4

21 Nomination of scrutineers ..... 5

22 Ineligible votes not to be accepted ..... 5

23 Deciding result of election ..... 5

24 Equal numbers of votes: exclusion of candidate ..... 5

25 Deciding order of voter’s preference..... 5

26 Exhausted voting papers ..... 6

27 Recount ..... 6

28 Declaration that election void ..... 6

**Part 3— Provisions for particular elections ..... 7**

**Division 3.1— Elections for deans and research school heads member ..... 7**

29 Application of Division 3.1 ..... 7

30 Meeting to elect deans and research school heads member..... 7

31 Method of electing deans and research school heads member ..... 7

**Division 3.2— Elections for academic staff members ..... 7**

32 Application of Division 3.2..... 7

33 Notice of election: academic staff members ..... 7

34 Voting papers etc.: academic staff members ..... 8

35 Eligibility to be elected and vote: academic staff members ..... 8

**Division 3.3— Elections for general staff member ..... 9**

36 Application of Division 3.3..... 9

37 Notice of election: general staff member ..... 9

38 Voting papers etc.: general staff member ..... 9

39 Eligibility to be elected and vote: general staff member ..... 9

**Division 3.4— Elections for student members..... 10**

40	Application of Division 3.4.....	10
41	Notice of election conducted under statute: student members .....	10
42	Voting papers etc. for election conducted under statute: student members .....	10
43	Eligibility to be elected and vote: postgraduate student member .....	11
44	Eligibility to be elected and vote: undergraduate student member .....	11

## Part 1—Preliminary

### 1 Name

This is the *Council (Elections) Rule 2016*.

### 2 Commencement

This instrument commences on the day after it is registered.

### 3 Authority

This instrument is made under section 20 of the *Council (Elections) Statute 2016*.

### 4 Definitions

In this instrument:

*the Act* means the *Australian National University Act 1991*.

*the statute* means the *Council (Elections) Statute 2016*.

[Note: The statute defines terms relevant to this instrument, including the following:

- dean and research school heads member
- academic staff (Faculties) member
- academic staff (Institute) member
- general staff member
- postgraduate student member
- undergraduate student member
- working day.]

## Part 2—General election provisions

### Division 2.1—Elections conducted under statute

#### 5 Application of Division 2.1

- (1) This Division applies to an election for a Council member conducted under the statute.
- (2) To remove any doubt, this Division does not apply to an election for a student member conducted by the student association under the association's constitution, but does apply to the election for such a member conducted under the statute.

#### 6 Giving notices etc.

- (1) If this instrument requires or permits a notice, voting paper, publication or anything else to be given to a person by the returning officer, the returning officer may send it to the person:
  - (a) by messenger to a place in the University that the returning officer considers appropriate; or
  - (b) by post to an address that the returning officer considers appropriate; or
  - (c) by electronic means to an email or internet address that the returning officer considers appropriate.
- (2) However, if a person entitled to vote in an election applies to the returning officer personally for a voting paper after voting papers have been sent in the election and before the close of the poll, the returning officer may give a voting paper to the person personally or send a voting paper electronically to the person at the person's email or internet address.

### Division 2.2—Elections: other than for deans and research school heads member

#### 7 Application of Division 2.2

- (1) This Division applies to an election conducted under the statute for a Council member, other than the deans and research school heads member.
- (2) To remove any doubt, this Division does not apply to an election for a student member conducted by the student association under the association's constitution, but does apply to an election for such a member conducted under the statute.

#### 8 Elections conducted electronically

- (1) The returning officer may conduct the election using the internet.
- (2) If the election is conducted using the internet:
  - (a) a reference in this instrument to a *notice board* includes a reference to the appropriate page of the University's internet website or to an email sent to an appropriate email address, or to the email address of each person eligible to vote the election; and
  - (b) if a standard official email address within the University's email system is provided to a person, that email address may be regarded as the person's address; and

- (c) voting papers for the election may be given by email or on the internet; and
  - (d) the provisions of this Division (apart from this section) apply to the election with the modifications the returning officer considers necessary or appropriate for the election to be conducted using the internet.
- (3) Despite subsection (2)(d), if the election is conducted using the internet, the returning officer must ensure that the ballot is secret and that the identity of each person voting is kept separately from the person's vote.
- (4) This section has effect despite any other provision of this instrument.

## **9 Intervals to be allowed by returning officer**

In the conduct of the election, the returning officer must allow the following intervals:

- (a) between the publication of the fact that the election is necessary and the day by which nominations must reach the returning officer, not shorter than 10 and not longer than 20 working days;
- (b) between the day by which nominations must reach the returning officer and the issue of voting papers, not longer than 20 working days;
- (c) between the issue voting papers and the day by which voting papers must reach the returning officer, not shorter than 10 and not longer than 40 working days.

## **10 Nominations to be made to returning officer**

Nominations of candidates in the election must be made to the returning officer.

## **11 Nomination requirements**

A nomination must be signed by 2 persons eligible to vote in the election (other than the candidate) and must contain the signed consent of the candidate to the nomination.

## **12 Single nomination**

If only a single person is nominated for election and the person is eligible to be elected, the returning officer must declare the person to be elected.

## **13 Envelopes to be issued with voting paper**

The returning officer must issue the following envelopes with every voting paper:

- (a) an envelope marked 'Voting Paper';
- (b) another envelope addressed to the returning officer on which a form of declaration is endorsed.

## **14 Voting papers to contain candidate names etc.**

Every voting paper must contain the names of the candidates, in the order decided by the returning officer in public by lot, and indicate any retiring candidate.

## **15 Lost or destroyed voting papers**

If a person's voting paper is lost or destroyed, the returning officer must give the person a duplicate on the person's written application.



## 16 Instructions on voting papers

The following instructions must be set out at the head of every voting paper:

### INSTRUCTIONS TO VOTER

1. Indicate your preference, or the order of your preference, on this voting paper by writing the number 1 against the name of the candidate for whom you wish to vote or by writing a series of consecutive numbers, beginning with the number 1, against the names of the candidates for whom you wish to vote, one number being written against the name of each such candidate. You are not required to write a number against the name of every candidate.
2. Place this voting paper in the envelope marked 'Voting Paper'.
3. Seal that envelope and place it in the envelope addressed to the returning officer.
4. Sign the declaration on the envelope addressed to the returning officer and post or deliver the envelope to the returning officer.

## 17 Voting must comply with instructions

A voter must vote in accordance with the instructions mentioned in section 16 (Instructions on voting papers).

## 18 Disclosure of vote prohibited

The returning officer, a deputy of the returning officer or a scrutineer must not disclose, or assist in disclosing, how any voter has voted.

## 19 Acceptance or rejection of voting papers

- (1) The returning officer must decide whether any voting paper is to be accepted or rejected.
- (2) The returning officer must accept a voting paper if it is received by the returning officer before the close of the poll.

## 20 Opening of envelopes at close of poll etc.

- (1) In this section:

*returning officer envelope* means the envelope, addressed to the returning officer, issued by the returning officer under section 13(b) (Envelopes to be issued with voting paper).

*voting paper envelope* means the envelope, marked 'Voting Paper', issued by the returning officer under section 13(a).

[Note: Both envelopes are issued with every voting paper. The completed voting paper is placed inside the voting paper envelope, which in turn is placed inside the returning officer envelope (see s 16 (Instructions on voting papers).]

- (2) All returning officer envelopes received by the returning officer must remain unopened until the close of the poll.
- (3) At the close of the poll, the returning officer or a deputy of the returning officer must:
  - (a) open each returning officer envelope on which the declaration has been signed by a voter entitled to vote in the election and take out the voting paper envelope; and

- (b) place the voting paper envelopes together; and
- (c) after all the voting paper envelopes have been placed together, open the envelopes and ascertain the result of the election.

## **21 Nomination of scrutineers**

Each candidate is entitled to nominate a scrutineer to represent the candidate at the scrutiny.

## **22 Ineligible votes not to be accepted**

The returning officer must not accept a vote unless the returning officer is satisfied that:

- (a) it has been cast by a person eligible to vote in the election; and
- (b) the person has voted only once in the election.

## **23 Deciding result of election**

The result of the election must be decided in the following way:

- (a) the returning officer must count the first preference votes given for each candidate on all unrejected voting papers;
- (b) the candidate who received the largest number of first preference votes must be elected if that number is an absolute majority of votes;
- (c) if no candidate received an absolute majority of first preference votes, the counting of votes must proceed as follows:
  - (i) the candidate who received the fewest first preference votes is excluded, and each voting paper counted to that candidate must be counted to the candidate next in the order of the voter's preference;
  - (ii) if a candidate then has an absolute majority of votes, that candidate is elected, but, if no candidate then has an absolute majority of votes, the process of excluding the candidate who has the fewest votes, and counting each of that candidate's voting papers to the unexcluded candidate next in the order of the voter's preference, must be repeated until a candidate has received an absolute majority of votes;
  - (iii) the candidate who has received an absolute majority of votes is elected.

## **24 Equal numbers of votes: exclusion of candidate**

- (1) This section applies if 2 or more candidates have the same number of votes and it becomes necessary to exclude one of them.
- (2) Whichever of the candidates was lowest on the poll at the last count at which they had an unequal number of votes must be excluded and, if the candidates had an equal number of votes at all previous counts or there was no previous count, the returning officer must decide by lot which candidate must be excluded.

## **25 Deciding order of voter's preference**

In deciding which candidate is next in the order of the voter's preference, any candidates who have been excluded must not be considered, and the order of the voter's preference must be decided as if the names of those candidates had not been on the voting paper.

## **26 Exhausted voting papers**

If on any count there is no candidate next in the order of the voter's preference on any voting paper, the voting paper must be set aside as exhausted.

## **27 Recount**

- (1) The returning officer may recount the voting papers received in the election, at a candidate's request or on the returning officer's own initiative.
- (2) A request for a recount must be in writing and set out reasons in support of the request.

## **28 Declaration that election void**

- (1) If, before the poll is declared in the election, the returning officer is satisfied that the election is, or will be, void because of an irregularity in the course or conduct of the election, the returning officer may, in writing, declare that the election is void from:
  - (a) the commencement of the election: or
  - (b) a specified point in the proceedings of the election that is after the notification of the fact that the election was necessary but before the irregularity happened.
- (2) If the returning officer declares the election is void from the commencement of the election, the returning officer must, as soon as practicable after making the declaration, conduct a fresh election in accordance with this instrument.
- (3) If the returning officer declares the election is void from a point in the proceedings of the election, the returning officer must, in writing, determine what proceedings in the election are necessary to ensure that the election will be regularly conducted and must conduct those proceedings in accordance with this instrument.
- (4) However, in the conduct of any proceedings in the election under subsection (3), the returning officer may, despite anything in section 9 (Intervals to be allowed by returning officer), determine, in writing, the intervals to be allowed between any events in the course of the further proceedings.

## **Part 3—Provisions for particular elections**

### **Division 3.1—Elections for deans and research school heads member**

#### **29 Application of Division 3.1**

This Division applies to an election for the deans and research school heads member.

#### **30 Meeting to elect deans and research school heads member**

- (1) If an election for the deans and research school heads member is necessary, the returning officer for the election must call a meeting of the deans and the heads of the research schools to elect the member.
- (2) The returning officer must give notice of the meeting to each person eligible to vote in the election.
- (3) The returning officer must allow a period of not shorter than 10 and not longer than 20 working days between giving notice of the meeting and the day of the meeting.
- (4) At the meeting the member may be elected only if 7 persons eligible to vote in the election are present.

#### **31 Method of electing deans and research school heads member**

The deans and heads of research schools present at the meeting called under section 30 must elect a dean or the head of a research school to be the deans and research school heads member:

- (a) by agreement; or
- (b) if more than 1 person is nominated as the member—by ballot; or
- (c) if the member is not chosen under paragraph (a) or (b)—by lot.

### **Division 3.2—Elections for academic staff members**

#### **32 Application of Division 3.2**

This Division applies to an election for the academic staff (Institute) member or the academic staff (Faculties) member.

#### **33 Notice of election: academic staff members**

- (1) If an election for the academic staff (Institute) member or the academic staff (Faculties) member is necessary, the returning officer for the election must:
  - (a) give a notice about the election to each person eligible to vote in the election; and
  - (b) publish a notice about the election on appropriate notice boards at the University.
- (2) A notice must:
  - (a) state that the election is necessary; and
  - (b) invite nominations of persons eligible for election; and
  - (c) specify the form in which nominations must be made; and
  - (d) specify the date and time by which nominations must reach the returning officer.

- (3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.
- (4) To remove any doubt, if elections for the academic staff (Institute) member and the academic staff (Faculties) member are necessary, this section does not prevent a single notice being used for both elections.

### **34 Voting papers etc.: academic staff members**

- (1) If there is more than 1 valid nomination in an election for the academic staff (Institute) member or the academic staff (Faculties) member, the returning officer for the election must give each person eligible to vote in the election:
  - (a) a voting paper; and
  - (b) a notice about voting in the election.
- (2) The notice must:
  - (a) set out how the voter's preference must be shown on the voting paper; and
  - (b) specify the date and time by which voting papers must reach the returning officer.
- (3) To remove any doubt, if elections for the academic staff (Institute) member and the academic staff (Faculties) member are necessary and more than 1 nomination is received in each election, this section does not prevent a single notice being used for both elections. However, separate voting papers must be used for each election.

### **35 Eligibility to be elected and vote: academic staff members**

- (1) This section specifies, under sections 6(2) and 7(2) of the statute, the persons who are to be regarded as members of the academic staff of the Institute of Advanced Studies and the persons who are to be regarded as members of the academic staff of The Faculties.
- (2) For section 10(1)(l) of the Act, the statute and this instrument, a person is to be regarded as a member of the academic staff of the Institute of Advanced Studies for the purpose of being eligible to be elected as the academic staff (Institute) member if, on the payday immediately before the day nominations for the election were invited by notice under section 33 (Notice of election: academic staff members), the person was:
  - (a) paid salary by the University; and
  - (b) employed as a full-time or part-time standard or fixed term member of the academic staff of or above the grade of academic level A with duties that involve a predominant research focus.
- (3) For section 10(1)(m) of the Act, the statute and this instrument, a person is to be regarded as a member of the academic staff of The Faculties for the purpose of being eligible to be elected as the academic staff (Faculties) member if, on the payday immediately before the day nominations for the election were invited by notice under section 33, the person was:
  - (a) paid salary by the University; and
  - (b) employed as a full-time or part-time standard or fixed term member of the academic staff of or above the grade of academic level A with duties that involve both teaching and research.
- (4) For section 10(1)(l) and (m) of the Act, the statute and this instrument, a person is to be regarded as a member of the academic staff of the Institute of Advance Studies and a member of the academic staff of The Faculties for the purpose of being eligible to vote in an election for the academic staff (Institute) member or the academic staff (Faculties)

member if, on the payday immediately before the day nominations for the election were invited by notice under section 33, the person was:

- (a) paid salary by the University; and
  - (b) employed as a full-time or part-time standard or fixed term member of the academic staff of or above the grade of academic level A.
- (5) For subsections (2)(b) and (3)(b), the Vice-Chancellor may determine, in writing, whether a member of the academic staff is to be regarded as employed on duties that involve a predominant research focus or on duties that involve both teaching and research.
- (6) In this section:

*payday* means a day on which the salary of the majority of the persons to whom subsection (4) applies is paid.

### **Division 3.3—Elections for general staff member**

#### **36 Application of Division 3.3**

This Division applies to an election for the general staff member.

#### **37 Notice of election: general staff member**

- (1) If an election for the general staff member is necessary, the returning officer for the election must:
- (a) give a notice about the election to each person eligible to vote in the election; and
  - (b) publish a notice about the election on appropriate notice boards at the University.
- (2) A notice must:
- (a) state that the election is necessary; and
  - (b) invite nominations of persons eligible for election; and
  - (c) specify the form in which nominations must be made; and
  - (d) specify the date and time by which nominations must reach the returning officer.
- (3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.

#### **38 Voting papers etc.: general staff member**

- (1) If there is more than 1 valid nomination in an election for the general member, the returning officer for the election must give each person eligible to vote in the election:
- (a) a voting paper; and
  - (b) a notice about voting in the election.
- (2) The notice must:
- (a) set out how the voter's preference must be shown on the voting paper; and
  - (b) specify the date and time by which voting papers must reach the returning officer.

#### **39 Eligibility to be elected and vote: general staff member**

- (1) This section specifies, under section 8(2) of the statute, the persons who are to be regarded as members of the general staff of the University.

- (2) For section 10(1)(n) of the Act, the statute and this instrument, a person is to be regarded as a member of the general staff of the University if, on the payday immediately before the day nominations for the election were invited by notice under section 37 (Notice of election: general staff member), the person was:
- (a) paid salary by the University; and
  - (b) employed as a full-time or part-time standard or fixed term member of the general staff in the University.

- (3) In this section:

*payday* means a day on which the salary of the majority of the persons to whom subsection (2) applies is paid.

### **Division 3.4—Elections for student members**

#### **40 Application of Division 3.4**

This Division applies to an election for the postgraduate student member or the undergraduate student member.

#### **41 Notice of election conducted under statute: student members**

- (1) If an election for a student member is necessary and the election is conducted under the statute (and not by the student association under the association's constitution), the returning officer for the election must:
- (a) give a notice about the election to each person eligible to vote in the election; and
  - (b) publish a notice about the election on appropriate notice boards at the University.
- (2) A notice must:
- (a) state that the election is necessary; and
  - (b) invite nominations of persons eligible for election; and
  - (c) specify the form in which nominations must be made; and
  - (d) specify the date and time by which nominations must reach the returning officer.
- (3) A notice is taken to have been given to a person if a copy of a publication in which that notice is published is sent to the person.

#### **42 Voting papers etc. for election conducted under statute: student members**

- (1) If there is more than 1 valid nomination in an election for a student member and the election is conducted under the statute (and not by the student association under the association's constitution), the returning officer for the election must give each person eligible to vote in the election:
- (a) a voting paper; and
  - (b) a notice about voting in the election.
- (2) The notice must:
- (a) set out how the voter's preference must be shown on the voting paper; and
  - (b) specify the date and time by which voting papers must reach the returning officer.

**43 Eligibility to be elected and vote: postgraduate student member**

- (1) This section specifies, under section 9(2) of the statute, the persons who are to be regarded as postgraduate students of the University.
- (2) For section 10(1)(o) of the Act, the statute and this instrument, a person is to be regarded as a postgraduate student of the University if the person is enrolled as a student at the University in a program for any of the following:
  - (a) the Bachelor of Medicine and Bachelor of Surgery degrees;
  - (b) a graduate diploma;
  - (c) a graduate certificate;
  - (d) a master's degree;
  - (e) the Juris Doctor degree;
  - (f) the Medicinae ac Chirurgiae Doctoranda degree;
  - (g) a doctorate.

**44 Eligibility to be elected and vote: undergraduate student member**

- (1) This section specifies, under section 10(2) of the statute, the persons who are to be regarded as undergraduate students of the University.
  - (2) For section 10(1)(p) of the Act, the statute and this instrument, a person is to be regarded as an undergraduate student of the University if the person is enrolled as a student at the University in a program (other than a program mentioned in section 43(2)).
-



# **THE AUSTRALIAN NATIONAL UNIVERSITY**

## **Council (Elections) Order 2016**

---

I, Professor Brian P. Schmidt, Vice-Chancellor of the Australian National University, make the following order.

Dated DAY MONTH YEAR

Professor Brian P. Schmidt AC FAA FRS  
Vice-Chancellor

---



# Contents

1	Name .....	1
2	Commencement .....	1
3	Authority .....	1
4	Definitions.....	1
5	Deans and research school heads .....	1
<b>Schedule 1—Deans and research school heads .....</b>		<b>2</b>



## 1 Name

This is the *Council (Elections) Order 2016*.

## 2 Commencement

This instrument commences on the day after it is registered.

## 3 Authority

This instrument is made under section 21 of the *Council (Elections) Statute 2016*.

## 4 Definitions

In this instrument:

*the Act* means the *Australian National University Act 1991*.

*the rule* means the *Council (Elections) Rule 2016*.

*the statute* means the *Council (Elections) Statute 2016*.

## 5 Deans and research school heads

- (1) This section specifies, under section 5(2) of the statute, the bodies that are to be regarded as colleges and research schools and the persons who are to be regarded as a dean or head of such a body.
- (2) For section 10(1)(k) of the Act, the statute and the rule:
  - (a) the bodies mentioned in column 2 of the table in Schedule 1 are the bodies that are to be regarded as colleges and research schools; and
  - (b) the person holding the office mentioned in column 1 of an item in the table is to be regarded as the dean or head of the body specified in column 2 of the item.
- (3) If the name of a body or office mentioned in the table in Schedule 1 changes, a reference in the table to the body or office by its previous name is taken, after the change, to be a reference to the body or office by its new name.

## Schedule 1—Deans and research school heads

### 1 Table of deans and research school heads

The following table specifies colleges and research schools and their deans or heads.

<b>Table—deans and research school heads</b>		
<b>Item</b>	<b>Column 1 specified offices</b>	<b>Column 2 specified bodies</b>
1	Dean	ANU College of Arts and Social Sciences
2	Director	Research School of Humanities and the Arts
3	Director	Research School of Social Sciences
4	Dean	ANU College of Asia and the Pacific
5	Director	Australian Centre on China in the World
6	Director	Coral Bell School of Asia Pacific Affairs
7	Director	Crawford School of Public Policy
8	Director	Regulatory Institutions Network
9	Director	School of Culture, History and Language
10	Dean	ANU College of Business and Economics
11	Director	Research School of Accounting
12	Director	Research School of Economics
13	Director	Research School of Finance, Actuarial Studies and Statistics
14	Director	Research School of Management
15	Dean	ANU College of Engineering and Computing Science
16	Director	Research School of Computer Science
17	Director	Research School of Engineering
18	Dean	ANU College of Law
19	Head	School of Law
20	Dean	ANU College of Medicine, Biology and Environment
21	Dean	ANU Medical School
22	Director	The Fenner School of Environment and Society
23	Director	The John Curtin School of Medical Research
24	Director	Research School of Biology
25	Director	Research School of Population Health
26	Director	Research School of Psychology
27	Dean	ANU College of Physical and Mathematical Sciences
28	Director	Mathematical Sciences Institute
29	Director	Research School of Astronomy and Astrophysics
30	Director	Research School of Chemistry
31	Director	Research School of Earth Sciences
32	Director	Research School of Physics and Engineering



Australian  
National  
University



THE IMPACT OF GIVING  
2015 ANU Report to Donors






# CONTENTS

<b>A message of thanks from the Vice-Chancellor</b>	<b>4</b>
<b>From the Chancellor</b>	<b>6</b>
<b>2015 Giving by numbers</b>	<b>8</b>
<b>2015 Annual giving</b>	<b>10</b>
2015 Annual Appeal	10
Young alumnus pays it forward	12
2015 Day of Giving	14
Looking for answers	16
<b>Community</b>	<b>18</b>
Stars of Stromlo	18
Lifetime love of classical music	20
Supporting women in science	22
<b>Staff giving</b>	<b>24</b>
Honouring a fallen soldier	24
Inspiring developing-world scientists	26
<b>Education</b>	<b>28</b>
Priceless start in medicine	28
Forging a path for women in engineering	32
Remembering a journalist, humanitarian and scholar	34
Lasting legal legacy	36
Strengthening the research culture across the Pacific	38
<b>Research</b>	<b>40</b>
Backing scientific endeavour	40
Tackling the big public health issues	42
Visionary goal	44
Building philanthropic momentum	46
Nurturing new ideas	48
<b>Endowment for Excellence</b>	<b>50</b>
President's message	50
Board of Governors	52
Foundations of the Endowment	53
The ANU (UK) Foundation and ANU Foundation USA	56
<b>Financial statements of the Endowment for Excellence</b>	<b>58</b>
Income statement	58
Balance sheet	59
Financial report summary	60



**Thank you for  
your support.**

An aerial photograph of the Australian National University campus in Canberra, Australia, taken during the golden hour of sunset. The sun is low on the horizon, casting a warm, golden glow over the entire scene. The campus is a dense cluster of modern and traditional buildings, interspersed with lush green trees and lawns. In the background, rolling hills and a river are visible under a clear blue sky. The overall atmosphere is peaceful and scenic.

A full honour roll of donors is available online and includes major benefactors to the University, donors who have supported ANU in 2015, and individuals who have realised a gift through their will.

The 2015 list also includes donors who have supported ANU via the ANU Foundation USA and the named Foundations of the Endowment.

Due to administrative requirements, donors supporting ANU through the ANU (UK) Foundation in 2015 will be acknowledged in the 2016 honour roll.

From everyone at ANU, we are so grateful for your generous and continued support.

**[anu.edu.au/giving/recognition](http://anu.edu.au/giving/recognition)**

## A MESSAGE OF THANKS FROM THE VICE-CHANCELLOR



It gives me great pleasure to introduce The Australian National University's 2015 Report to Donors.

ANU is one of the world's leading research institutions – attaining a place in the world's top 20 universities in 2015 and reinforcing its position as Australia's top-ranked university. The QS World University Rankings for 2015-16 rated ANU as equal 19th in the world, up from 25 last year.

In 2015, ANU graduates were named the most employable in the nation. ANU was Australia's top university for getting a job for the third year in a row in the Global Employability University Rankings for 2015.

We have achieved this by delivering an unrivalled student experience and we are unwavering in our commitment to excellence. This success could not have been achieved – and can't be sustained – without your support.

Throughout this report you'll read how philanthropic gifts are being used to invest in ANU and secure its place as a world-leading university. From creating opportunities for developing-world scientists to helping our researchers gain insights into socio-economic health, your support takes many forms.

With the University's continued investment in fundraising, 2015 saw a significant increase in contributions from alumni with the second Annual Appeal raising more than \$93,845 from 850 pledged gifts. More than \$8 million (including pledges) was donated to the University in 2015.

This success was also reflected in the growth of the ANU Endowment for Excellence from \$257 million to \$276 million. The Endowment distributed more than \$9.9 million in support of education and research across the University over the course of the year.

We have a responsibility to report to you, our supporters, on the Endowment and the many faces contained within these pages tell the real story.

Sean Barrett's story, one of Indigenous support at ANU on page 28, explores his experience as the inaugural John James Foundation PhD Scholar, graduating from the ANU Medical School in 2015.

I also encourage you to read on page 24, the story of the Clark Davis Ivins Memorial Prize in Security Studies. David Akers, General Manager of the ANU Colleges of Science, reflects on his family's motivation for giving to ANU in memory of his great uncle.

Research endowments like the one established by Professor Chennupati Jagadish and his wife Vidya, reflect the unique role ANU plays in linking Australia to the world. On page 26, Jagadish explains why and what he hopes will be achieved.

Endowment funds honour the memory of a loved one and provide a way to give back to ANU. We are honoured to nurture these endowments and their recipients as they embody the passion and vision of those we remember.

A strong sense of giving back continues to permeate life at ANU, growing more prominent as we become a philanthropic university.

The University's inaugural Day of Giving, page 14, held on 7 October, demonstrated the impact of focussed efforts and we, as a community, were delighted to raise more than \$100,000 for the National Institute of Mental Health Research at ANU. It was an inspiring day involving the entire ANU community with many first-time donors to ANU supporting a very worthy cause.

And in recognition and celebration, the University launched its inaugural ANU Springbank Circle to acknowledge those who have confirmed a gift in their will to the University.

I would like to sincerely thank the University's Endowment for Excellence Board of Governors for their dedication and commitment. Their oversight and counsel is propelling the University's philanthropic culture at a pivotal stage and I have no doubt this will help ANU maintain its place among the world's great institutions. I also acknowledge and thank the board members of the Foundations of the Endowment for their contributions.

I would like to recognise the great work done by the University's outgoing Vice-Chancellor, Professor Ian Young. Ian's vision for the development of alumni relations and fundraising activity has brought results which not only helped elevate the reputation of ANU but also shone a light on the importance of philanthropy in the higher education sector in this country.

I am proud to take up the reins of this institution – one that I have been a part of for some 20 years and one that has given me some of the best experiences of my life.

Thank you for supporting the University this year and I look forward to our journey together.



**Professor Brian P. Schmidt AC**

Vice-Chancellor and President  
The Australian National University

## FROM THE CHANCELLOR



It has been another tremendous year for ANU and I thank you – the University’s many generous donors – for your support.

You support ANU in ways that are personally significant and in 2015 we saw again how this inspires and changes us for the better. The stories within these pages clearly demonstrate the impact your gifts have had on their recipients – be they students, researchers or staff.

This report captures just a sample of the diverse and loyal support you have shown us in 2015. We are humbled and eternally grateful to receive gifts from every facet of the community – individuals, families, staff, alumni, corporations and foundations.

Your generosity helps us tackle fundamental issues such as gender equity and mental health research and enriches our lives with support for the arts.

On page 44, you can read about the Bootes Foundation’s wonderful support of the John Curtin School of Medical Research. On page 20, Andrew Blanch, who won first prize of the inaugural Whitworth Roach Classical Music Performance Competition, shares his thoughts.

Annual appeals like those to support the Research School of Earth Sciences Future Fund, featured on page 46, help the University to attract the next generation of academics, staff and students. These programs engage our alumni community on a global scale and direct philanthropy to where it is most needed.

In 2015, the University was thrilled to play its part in a ground-breaking partnership with the Westpac Bicentennial Foundation to establish the Westpac Scholarship Program. This partnership will create

an immersive experience for young leaders who want to gain a deeper understanding of the cultures of Asia, and what Asia and Australia's relationship has for the future.

In February I was delighted to attend the launch of the ANU Springbank Circle to recognise those who have left a gift in their will to ANU. As the inaugural Patron I have had the great pleasure to meet and hear from ANU Springbank Circle members about their motivations for giving and their affiliations with the University.

This report also remembers those who are no longer with us, and ANU will ensure their memory is preserved for future generations. We do this with the utmost respect for their contributions, knowing we are a better community for having known them. Within these pages we remember Joan Duffield, Leslie Zines, Natasha Linard, Philippa Weeks, Jacky Anne Sutton and Tony McMichael.

2015 was the first anniversary of Joan Duffield's passing and we remember her strong connection to Mount Stromlo and the Research School of Astronomy and Astrophysics. Joan's story on page 18 is a wonderful embodiment of the expression 'leaving a legacy'.

The report also recognises the unwavering loyalty of donors like Carmen O'Regan who give selflessly year after year to remember loved ones.

What these and so many other stories demonstrate is that every gift makes a difference. Whether it's a current student or new graduate making his or her first gift to the annual phone appeal, or a planned gift like Joan's, every dollar helps. Every dollar creates an opportunity to change a life. Thank you for the part you play in changing lives.

As you would be aware, 2015 was Professor Ian Young's last year as ANU Vice-Chancellor. Ian was an outstandingly successful Vice-Chancellor. He modernised the operation and enhanced the reputation of this University, leaving it in an even stronger state than that in which he found it, notwithstanding relentless financial and budgetary pressures. He was never afraid of a challenge or a difficult decision and I thank him for his magnificent service to the University.

ANU is now a university with an excellent academic reputation, improved administration, in good financial shape with strong donor support, as evidenced in these pages, and well positioned for its next era.

I heartily welcome our new Vice-Chancellor, the eminent astrophysicist and Nobel Laureate, Professor Brian P. Schmidt AC. Brian's vision, vitality, global stature and communication skills are going to take our national university to places it has never been before.

I hope you enjoy this report and I sincerely thank you for your continued support and generosity.



**Professor the Hon Gareth Evans  
AC QC FASSA FAIIA**

Chancellor  
The Australian National University

# 2015 GIVING BY NUMBERS

## Funds raised and pledged in 2015



**\$8.1 million**

New funds raised for the University

**\$9.2 million**

Funds received for 2015

**\$223,335**

Given to ANU by staff through the workplace giving program

**\$956,868**

Largest pledge made in 2015

**3,075**

Number of gifts received in 2015

## How it helped

**219**

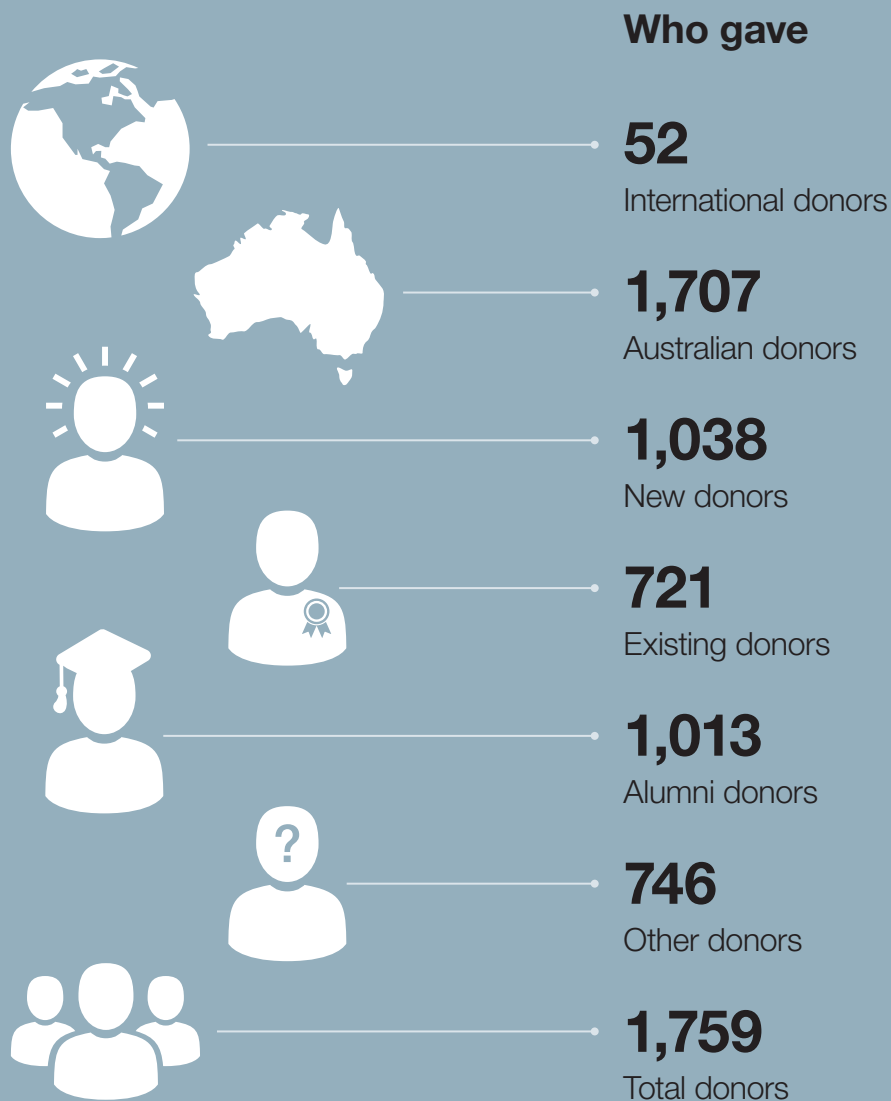
Scholarships awarded

**\$9.9 million**

In support of education and research







1 Includes pledges, donations from ANU Foundation USA, gifts-in-kind. Excludes philanthropic grants.

2 Includes donations from ANU Foundation USA and gifts-in-kind. Excludes philanthropic grants and pledges.

3 Amount disbursed from Endowment funds.

4 Includes gift-in-kind donors, excludes pledges and philanthropic grants.

NB: All figures refer to activity in the Endowment for Excellence in 2015 unless specified otherwise.

For more financial detail on the Endowment see page 58.

# 2015 ANNUAL GIVING

## 2015 Annual Appeal

The Annual Giving Program aims to create a community of donors and supporters that is committed to enhancing opportunities for ANU, its students, faculty and staff. Supporting Annual Giving is one of the most direct ways to have a positive impact on the rich educational experience that touches the entire ANU and broader ACT communities.

In 2015 the Annual Giving Program included a number of appeals to alumni and friends across the University. Support was sought for The ANU Fund, Earth Sciences Future Fund, Burton and Garran Hall Endowment, Research School of Astronomy and Astrophysics Endowment and The ANU Foundation USA.

Central to the Annual Giving Program is the University's single largest outreach activity – the Annual Phone Appeal. Spanning six weeks, the 2015 Phone Appeal gave alumni the opportunity to speak with one of thirty-eight student callers from across ANU.

Over the six weeks of calling, student callers had 5,163 conversations with alumni and friends throughout Australia. These conversations resulted in 850 pledged gifts to ANU and enabled student callers to share their personal stories, give updates about what is happening on campus, seek feedback on events and communications and explore ways for alumni to stay meaningfully connected to their alma mater.

The 2015 Phone Appeal raised \$93,845 in pledged gifts to support The ANU Fund and the National Institute for Mental Health Research; a clear demonstration of the generosity of alumni and friends of the University.

Every gift, whatever its size, is valuable and will be invested in ANU students and research immediately. Funds raised through the 2015 Phone Appeal will have a very real impact, helping ANU to increase scholarships and prizes, strengthen teaching facilities, improve campus activities and ensure that innovative research at ANU continues to push boundaries.

IMAGE: ANU student callers in the 2015 Phone Room. (Photo by Michael Burke)



## Young alumnus pays it forward

Philanthropy enabled Zheng Bo Wang (BE (Hons) '10, BSc '10) to leave his home in Perth and have access to a world-class education at ANU.

Zheng Bo was pleased to be called during the University's inaugural Phone Appeal in December 2014 and to support The ANU Fund.

"After I graduated I felt like I had lost touch with ANU a little so it felt good to be called. I hadn't really had any opportunities to connect with alumni or students since graduating. There have been some ANU alumni events in Sydney but because of a busy schedule I couldn't attend them."

"Participating in the Phone Appeal gave me an opportunity to play a role in the alumni program and become an active member of the alumni community."

As a recipient of a National Undergraduate Scholarship, Zheng Bo knows first-hand the difference financial support can make to a student's life.

"There is no way I could have attended ANU if I hadn't received a scholarship. It meant a lot to me."

After making a donation to The ANU Fund in 2014, Zheng Bo was eager to continue his support the following year.

"I enjoy giving and I think it's good to give back. There are a lot of different causes you can give to but I think university is crucial. A university degree can really change a person's life."

"I really enjoyed studying at ANU which helped form who I am today. I want to do my part to help make it a better University and help other people."

IMAGE: Zheng Bo Wang. (Photo by Adam Da Cruz)



## 2015 Day of Giving

On Wednesday 7 October, during National Mental Health Awareness Week, the ANU Community came together with a goal of raising \$50,000 for The National Institute for Mental Health Research (NIMHR) at ANU.

NIMHR represents the best and brightest of ANU – conducting world-class research that has direct impact improving the lives of millions across the globe. To date, more than one million people from over 222 nation states around the world have accessed its online self-help programs.

More than 470 donors gave to the inaugural Day of Giving Campaign, helping to raise in excess of \$40,000. The Bruce Hall community also donated \$10,000 from its Protect Your Head Campaign, helping to reach the \$50,000 target.

Two generous donors provided a matched gift taking the overall total of the campaign to \$100,905.

President of the Bruce Hall Residents Committee Jamon Shay, was pleased that the Hall's fundraising throughout the year would make a significant impact.

“Our goal through the Tour de Bruce, a 500km ride from Dubbo to Canberra, was to not only raise funds for mental health research, but also raise awareness of the impact mental illness has among the wider ANU community. Thanks to the matched gift, the Bruce Hall community was able to double our contribution in support of mental health research at ANU,” said Jamon.



NIMHR Director, Professor Kathy Griffiths was delighted with the outcome.

“The support shown by the wider ANU community reflects the broad impact that mental illness has on so many people’s lives,” Professor Griffiths said.

“NIMHR is so grateful to everyone who supported the campaign, especially the two anonymous donors who generously provided a matched gift. The funds raised will ensure that NIMHR continues to lead the way in mental health research that is translated into programs that make a real difference to lives of people around the world.”

IMAGES: (Above) 2015 Tour de Bruce participants. (Photo by Jamon Shay)  
(Opposite) Detail of Le Café by Marie Jönsson-Harrison. (Image courtesy of NIMHR)



## Looking for answers

Many people who lose a loved one to suicide immediately start to go through the ‘what-ifs’. What if we’d been more aware? What if they’d got treatment earlier? What if we’d been able to refer them to mental health services? Was there a physiological or neurological condition underlying the mental illness?

And so it was for Carmel O’Regan and her family when Carmel’s older brother, Bernie, took his own life in February 2004.

Bernie was 37 years old at the time, and had attempted suicide on two previous occasions after many years struggling mental illness.

“Bernie’s death was like an explosion in the family. It shook us all so much,” Carmel said. “Twelve years on we’re still deeply saddened, and miss him every day, but we’re all coping.”

For Carmel, an early coping mechanism was to seek out answers by way of supporting mental health research.

“My sister put me on to the National Institute of Mental Health Research (NIMHR) at ANU,” Carmel said. “We had all of these questions and research was the obvious answer. The only way we are ever going to get answers to these questions is if we research these approaches.”

Carmel said she was convinced early on that the University’s research endeavours would provide valuable insights into mental health service delivery, among other programs.

She decided to set aside small but regular contributions to support the NIMHR research programs in the long term.

“Research organisations need stability in their funding and that’s why I set it up that way – small but consistent, in the hope that lots of other people would do the same and continue funding this important research.”

In the years since Bernie’s death, Carmel said she had detected a shift in community attitudes to mental health, with more open conversations occurring about mental health.

“It’s not to say there’s not still a long way to go, but I think that awareness in turn will flow through to funding research. The more people are aware of it, the more they recognise mental health issues in the community, and the more motivated they will be hopefully to support organisations that do this kind of work.”

IMAGE: Carmel O’Regan. (Photo by Adam Da Cruz)





# COMMUNITY

## Stars of Stromlo

The Duffield family name is woven through the history of Mount Stromlo Observatory. So it was only fitting that the mountain would be chosen as the final resting place of original resident and long-term supporter, Joan Duffield.

Joan, who passed away peacefully at 104 years, was the daughter of inaugural director Professor Walter Geoffrey Duffield.

In March 2015, Joan's family were joined by Professor Matthew Colless, Director for the Research School of Astronomy and Astrophysics, Duffield Chair Professor Ken Freeman, then ANU Vice-Chancellor Ian Young, former Duffield scholars, and students to pay tribute to the legacy left by Joan and her father.

Professor W.G. Duffield (known as Geoffrey to colleagues and friends) spent 18 years lobbying for the establishment of an observatory at Mount Stromlo, and in 1924 became the inaugural Director of the Commonwealth Solar Observatory, as it was initially named.

W.G. Duffield died after just five years as director, but his daughter Joan so fondly remembered her time growing up at Mount Stromlo that she maintained a lifetime connection with the Observatory.

"Joan's father was not just the first director, but the person whose politicking and work led to the building of an observatory at Mount Stromlo," said Professor Matthew Colless.

"It was certainly a very visionary thing to do. Canberra at the time was about three men and their dog, and the very first thing you think to build may not be an observatory, but he was a very driven person."

In later years, Joan would often travel from her home in Victoria to visit her parents' graves at Mount Stromlo, and was always keenly interested with the researchers' projects and achievements.

In 1996, the Joan Duffield Postgraduate Scholarship was established to encourage outstanding Australian students to pursue PhD degree courses in Astronomy and Astrophysics at ANU.

"A good number of Duffield scholars have gone on to very significant careers in astronomy," Matthew said.

Then, in 1999, Joan set up the Duffield Chair in Astronomy in honour of her father. The Duffield Chair has been occupied by Professor Kenneth Freeman, winner of the Prime Minister's Prize for Science in 2013.

Joan also stepped in to lend support to the Observatory in 2003 when it was damaged by the Canberra bushfires. A generous donation from Joan enabled the precision re-engineering of the heliostat, which was destroyed in the fire.

Joan's nephew, Andrew Duffield, said Joan's lifetime engagement with the Observatory was "in many ways ... Walter's legacy being returned again to Mount Stromlo".

And the family's commitment will be felt for many years to come at the Observatory, with Joan making a bequest to continue the family's contribution into the future.

IMAGE: Joan Duffield. (Photo courtesy of the Duffield family)



## Lifetime love of classical music

Miss Christine Whitworth had her first taste of classical music at about the age of 10 when her parents took her to a Chopin piano recital.

It was then that Christine became hooked on classical music and set about convincing her parents to buy her a piano.

First, in 1960, the family bought a Phillips Beethoven radiogram. Before the treasured item had even been delivered, Christine had bought several classical Bakerlite records to play. And then, when she was 17, Christine finally took possession of a second-hand German Klingmann pianola, which was converted into a piano for her.

Listening, playing and appreciating classical music has been such a constant in Christine's life, that when she was looking for ways to give back to the community, her mind turned to the ANU School of Music.

"I'm passionate about classical music, and it's really hard work for young talented musicians at the beginning of their careers," Christine said. "I just want to support them."

The inaugural Whitworth Roach Classical Music Performance Competition was held in 2015 as part of the School of Music's 50th anniversary celebrations.

As well as recognising the talents of ANU musicians, the competition features a performance from internationally acclaimed musicians to further coach and inspire students. In 2015, invited pianist Joe Chindamo and violinist Zoe Black performed in the University's Llewellyn Hall.



Competition winner, classical guitarist Andrew Blanch, said taking first prize in a significant event like the Whitworth Roach Classical Music Performance Competition was a tremendous boost.

"It's good for your self-belief," said Andrew, who completed his Bachelor of Music with First-Class Honours in 2013 and is currently working on his PhD at the ANU School of Music.

Andrew said the first prize of \$10,000 has also given him the freedom to take risks. The winnings have partly funded a trip to Paris to research the teaching methods of one of the world's best classical guitar teachers, Judicaël Perroy, for his PhD.

Enroute to Paris, Andrew stopped off in San Francisco to compete in the 3rd International Guitar Competition Maurizio Biasini.

"It's quite a competitive competition and because I had won the money from the Whitworth Roach, it enabled me to take that risk and I ended up coming third," said Andrew, who has also recently released his debut album.

IMAGES: (Above) From left: Rowan Harvey-Martin, Andrew Blanch, Aaron Chew, Kyle Daniel, Matthew Ventura, Miss Whitworth, Paul McMahon, Joe Chindamo, Zoe Black and Erin Helyard.

(Opposite) Miss Christine Whitworth presents Andrew with first prize. (Photos by Peter Hislop)



## Supporting women in science

The John Curtin School of Medicine Gender Equity Award, which was first awarded in 2015, aims to open doors for medical researchers as they strive to maintain careers in science while managing a young family.

The award was established through an initial donation by Professor Carola Garcia de Vinuesa, Head, Department of Immunology and Infectious Disease, and will continue with the support of ANU alumnus Mr Richard Miller and the John Curtin School of Medical Research.

Richard said it makes sense to support women when family demands threaten to interrupt their careers in science.

“We put all of this capital investment – and I am speaking economically – into bringing people to [an advanced] stage, and then we close the door on them,” he said.

Richard said the flexibility of the award would allow women to use the funds in a manner that best facilitated their career continuity.

One of the 2015 recipients, Dr Anne Bruestle, who was pregnant when she received the award, planned to use the money to fund her parents to travel to Australia from Germany to assist with child care when her baby was born. Dr Rebecca Sweet also received the award in 2015.

Richard, who studied law at ANU in the 1960s, said he was driven to make the donation because he wanted to give back to the University.

“I spent my working life as a lawyer, so I owe my wellbeing to what I learnt [at ANU],” said Richard, adding that he had initially thought about making a donation in his will. “But having seen just how many women were working within John Curtin as scientists and, [finding that] many of these women’s careers were curtailed because of their personal circumstances related to having children, I thought the most appropriate step was to do something now.”

Richard described his donation as “modest” and encouraged other donors not to be dissuaded from donating because “it’s not enough”.

“Small amounts can really make something viable,” he said. “There is much attention given to the grandiosity of the large donation, but my small amount helps two women to continue in science for the benefit of our society.”

IMAGE: Richard Miller. (Photo by Adam Da Cruz)



# STAFF GIVING

## Honouring a fallen soldier

Private Clark Davis Ivins was just 24-years-old when he died of wounds received at the Battle of Milne Bay in Papua New Guinea in 1942.

He was not a highly decorated soldier or an influential General, but a young house painter from the Queensland bush who had been dragged into the theatre of war by world events beyond his control.

General Manager of the ANU Colleges of Science, Mr David Akers, together with his wife, mother and extended family, have established the Clark Davis Ivins Memorial Prize for Security Studies in memory of David's uncle, who died well before David was born.

David's mother, Lorna, who was just nine-years-old when her brother was killed in Papua New Guinea, had become increasingly concerned with preserving the memory of her brother and the prize promised to ensure that Clark would not be forgotten.

David said it was Clark's "everyman" qualities that would hopefully strike a chord with students at the Strategic and Defence Studies Centre in the Coral Bell School of Asia Pacific Affairs, where the prize has been set up in the young soldier's honour.

The prize is awarded annually to the first-year student who scores the highest average mark across courses completed in a Bachelor of Asia-Pacific Security or Bachelor of International Security Studies program. As well as paying a tribute to his uncle, the prize is aimed at inspiring first-year students to continue their studies.



"Our aim is to build this family endowment over the next few years to enable us to provide, in addition to the prize, an annual travel grant for ANU security studies students to undertake a study program in Japan," David said.

He said the prize represented a "nice synergy" between honouring Clark's memory and doing something constructive in supporting students who were focusing on strategic issues, particularly engagement with the Asian region.

As an ANU staff member, David said establishing the prize also provided the opportunity to link a workplace community with a family community.

"It's a nice little story about how you can, through workplace giving, contribute to the University while doing something that is a lasting gift to a broader family community."

IMAGES: (Above) From left: Kate Akers, David Akers, Lorna Akers and Nicholas Campton-Smith.

(Opposite) Lorna Akers presents a certificate to the inaugural recipient, Nicholas Campton-Smith. (Photos courtesy of David Akers)





## Inspiring developing-world scientists

Were it not for the guidance and support of his parents and two influential teachers, Professor Chennupati Jagadish may well be ploughing fields in his homeland of India.

Instead, Jagadish is Distinguished Professor and Head of the Semiconductor Optoelectronics and Nanotechnology Group at the Research School of Physics and Engineering (RSPE) at ANU. With his wife, Vidya, who is also a scientist, Jagadish has established the Chennupati and Vidya Jagadish Endowment Fund.

The Fund will support up to four scholarships or fellowships a year for students and researchers from the developing world to study or conduct collaborative research for up to three months at RSPE.

Jagadish, who studied by the light of a kerosene lamp up until grade seven, was invited to live with his high school maths and science teacher for three years to give him access to a high school education. Another high school teacher provided encouragement and support to pursue further studies.

“The generosity of those two teachers in India made a huge difference for me. My wife also came from a small place in India, and we both started out with limited opportunities and limited resources,”

Jagadish said. “So we felt it was time to give something back to improve the opportunities for people in need.”

The program will expose researchers from developing countries to the University and its state-of-the-art facilities.

“Hopefully they will go back to their country and feel passionate about science and technology, and be inspired to become scientists of the highest calibre possible,” Jagadish said.

“Science and technology really provides the quality of life that we have in the modern world and we want to inspire people to contribute to advancements in science and technology in terms of making a difference to the world.”

The experience would also allow these researchers to meet other academics and be exposed to networks that may be beneficial to them later in their career, he said.

“Maybe they will come back as PhD students to Australia or to ANU. It is to open doors for them,” Jagadish said. “The purpose of the Endowment is to create opportunities for people who have limited opportunities.”

IMAGE: Professor Chennupati Jagadish and his wife, Vidya Jagadish. (Photo by Adam Da Cruz)



# EDUCATION

## Priceless start in medicine

Winning the inaugural John James Foundation's Indigenous Medical Student Scholarship in 2012 was a surprising and welcome financial boost for Dr Sean Barrett.

"The scholarship provided financial stability so I didn't have to find part-time work to support myself throughout the degree, allowing me to focus purely on my studies instead of stressing about money," said Sean, who grew up on the NSW south coast.

But the financial security the scholarship offered the now 24-year-old doctor, was just one of the many benefits of the award.

Sean, whose father is an Indigenous man from Tharawal land in western Sydney, said attending John James Foundation board meetings enabled him to get to know many of Canberra's leading clinicians.

"The [board members] were really helpful in educating me more about the lifestyle of medicine than the purely academic side of things," Sean said. "They talked to me about staying sane doing a [high-pressure] job with long hours."

Throughout medical school, Sean completed a research project with John James Foundation chairman Professor Paul Smith.

"He took me under his wing a bit and helped me to mature as a person," Sean said.

Professor Paul Smith, an orthopaedic surgeon, said the Indigenous scholarship at ANU was just one of the many ways in which the Foundation supported the health sector. "As Canberra's largest health and medical foundation, the John James Foundation plays a key role in supporting health education and research."

Paul agreed that the mentorship provided alongside the scholarship was a key benefit.

"We engage with our students and they have the opportunity to undertake clinical placement with our broad membership," Paul said. "It's a rich resource and, if they want to do specialist training, they can get a window into the world of the various specialties."

Paul said the John James Foundation decided to establish an Indigenous scholarship to help to boost the number of Indigenous medical officers.

"It's gradually but surely being addressed over time – people are coming through the medical training programs. And that's obviously going to continue to contribute to the improvement of health of our Indigenous population," he said.

Paul said the financial security provided by the scholarship was designed to be particularly helpful in a "full-on" course like medicine.

He said it allowed students the freedom to fully engage with the educational landscape at ANU and with their peers, and this was often difficult for students who were trying to self-support and study.

"It's a bit of flexibility so you can become a productive member of a peer group and enjoy, to a degree, that whole education process."

Sean's journey continues in 2016 when he commences his intern year under the watchful eye of supervisor Dr David Hardman, vascular surgeon and deputy chairman of the Foundation.

"These incredibly senior doctors have taken time out to make sure that I was doing well," Sean said. "It really made a big difference throughout the four years."

IMAGE: From left: Professor Paul Smith, Dr Sean Barrett, Phil Greenwood. (Photo by Adam Da Cruz)





**Thank you!**

The annual Celebration of Giving recognises and celebrates the University's generous supporters. The Vice-Chancellor and the Endowment Board of Governors welcomed more than 350 guests to the event in 2015.





## Forging a path for women in engineering

From the moment Dr Natasha Linard began her Bachelor of Systems Engineering degree at ANU in 1990, she was determined to support her fellow female engineering students and not to allow the program's gender imbalance hold her, or them, back.

Natasha, who died from a cardiac arrest at the age of 42, was one of just five women in the University's first undergraduate engineering intake of 55. Throughout Natasha's career – from her role as co-founder and vice president of the ANU Engineering Students Association to her commitment to mentoring younger women in the profession – Natasha was passionate about encouraging women in engineering.

Natasha was an ambassador for the ANU Engineering Program in ACT Schools, especially to girls, and in 1998 she organised the Engineering Your Future Workshop, aimed at Year 12 female students.

Shortly after Natasha's death in 2014, her University colleagues pulled together to establish a scholarship in honour of Natasha's extensive contribution to women in engineering both in the ANU Engineering Program and in the profession more broadly.

Natasha's father, Keith Linard, said the Natasha Linard Scholarship for Women in Engineering is a fitting tribute to his daughter's commitment to paving a way for women in engineering.

"Natasha knew she was going into a macho, male-oriented profession. So, right from the start, she threw herself into supporting and encouraging her female colleagues and addressing harassment whenever it occurred," he said.



Keith, a retired engineer, said the profession suffers from its lack of gender equality, noting that in 2014, just 14 per cent of engineering students in Australian universities were women, compared with 50 per cent in natural and physical sciences. "As a consequence, society is diminished by this loss of the perspectives and insights of women engineers."

Keith said in tandem with the scholarship, the endowment would foster broader mentorship, in collaboration with the YWCA 'She Leads' leadership program. "We are hoping that through the mentorship program, we will be helping to build an 'engineering sisterhood' who can mentor and support their colleagues".

IMAGE: (Above) Natasha Linard. (Photo courtesy of the Linard family).  
(Opposite) Keith and Anna Linard. (Photo by Adam Da Cruz)





## Remembering a journalist, humanitarian and scholar

The Director of the Centre for Arab and Islamic Studies (CAIS), Distinguished Professor Amin Saikal AM FASSA, remembers Jacky Anne Sutton as a highly intelligent and dedicated scholar.

“Jacky had an extraordinary capacity for active participation in various academic and humanitarian efforts and organisations. She had distinguished herself as a very gifted writer, thinker, researcher, teacher, organiser and activist,” Professor Saikal said.

“Widely respected amongst her colleagues and beyond, she was a figure of commendable intellectual substance, who did not shy away from taking risks to make a positive contribution to the lives of many people in a number of conflict zones from Afghanistan to Iraq,” he said.

Jacky was an “extraordinary friend and colleague, feminist, journalist, and peace activist,” according to Maryam Al-Khater, a researcher at CAIS.

“Her work for various humanitarian organisations, the United Nations and most recently her genuine and tireless efforts at the Institute for War and Peace Reporting, is a testament to what an extraordinary human being she was,” Maryam said.

Jacky was an experienced journalist who had worked with the BBC and many other international organisations in several countries, including Iraq and Afghanistan. Jacky had joined CAIS as a PhD scholar in January 2014, and at the time of her death she was conducting fieldwork in Erbil, Iraq.

A Jacky Anne Sutton Prize has been established in her honour and will recognise a student for the best results in the course ‘Politics in the Middle East’. The prize will be awarded annually from 2016.

IMAGE: Jacky Anne Sutton. (Photo courtesy CAIS)



## Lasting legal legacy

Almost a decade after the passing of Professor Phillipa Weeks, her ANU College of Law colleagues still sometimes ask themselves “what would Phillipa do?” when confronted with a challenging problem.

Such was the indelible mark left by Phillipa, a former Associate Dean and Head of School at the ANU College of Law, who died at age 53 after a year-long battle with cancer.

“Over years, many people have come and gone in this law school – they have all made different contributions – but Phillipa has left an enduring legacy in the place,” said Professor Stephen Bottomley, Dean, ANU College of Law.

In honour of Phillipa’s extensive contribution to the College, the Phillipa Weeks Scholarship in Law was established in 2008 to provide financial support to rural high school leavers moving to Canberra to study a Bachelor of Laws, as a single degree or as part of a combined program.

The rural focus of the scholarship reflects Phillipa’s own path from Cootamundra High School in central western NSW, to academic prominence at ANU.

Scholarship committee chair Associate Professor Miriam Gani said the scholarship had supported students to move to Canberra to study from all over Australia including Dubbo, Tamworth and Bombala in NSW; Townsville in Queensland; and Gelorup in WA.

Laura Wood, the 2015 Scholarship recipient, said being awarded the scholarship and learning of Phillipa’s story gave her the strength to move from the tiny town of Ulverstone on the north-west coast of Tasmania to Canberra.

“This is such an incredible scholarship because it’s honouring such a hard-working and dedicated



woman,” Laura said. “Winning the scholarship really gave me a lot of confidence.”

The financial security provided by the scholarship was also an invaluable practical boost in supporting Laura’s move to Canberra.

The scholarship is one of several ways in which Phillipa’s memory is preserved at ANU. On the lawns outside the legal faculty buildings, a Japanese maple grows in honour of this inspiring academic.

When deciding upon the words to write on the plaque beneath the tree, Stephen said it was a difficult task to distil Phillipa’s many wonderful attributes into just a few words.

In the end, Phillipa was simply and eloquently described as “a beloved teacher, scholar, mentor and friend”.

“It’s quite often I see people pausing to look at the plaque. And for those of us who had worked with Phillipa, we enjoy watching the tree grow and spread,” Stephen said.

IMAGE: (Above) Professor Phillipa Weeks. (Photo courtesy of the ANU College of Law)  
(Opposite) Laura Wood. (Photo by Adam Da Cruz)



## Strengthening the research culture across the Pacific

A scholarship supporting young and emerging scholars from Papua New Guinea (PNG) and the Pacific region promises to nurture the next generation of researchers in Australia's developing neighbours, and create long-term ties between research communities.

The 2015 recipient of the Greg Taylor Scholarship, Logea Nao, said the scholarship had given her the opportunity to learn from Australia's best researchers. "It has allowed me to gain experience working in a research environment in Australia, and I have come to know a lot about a serious development issue in my country, which is domestic, family and sexual violence, and how we can respond to that problem," she said.

Logea said while much international attention had been focussed on this issue, a home-grown response was most likely to be effective.

"It is a pressing issue in my country," Logea said. "A lot of discussions have occurred, but in most cases, it's people from outside who are talking about this issue. I think it's about time that we start the discussion back home, particularly at the community level."

Professor Stephen Howes, Professor of Economics at the Crawford School of Public Policy at ANU, and Director of the Development Policy Centre, said the scholarships were helping to forge long-term ties between ANU and PNG and, eventually, other countries within the Pacific region.

"The key thing about these Greg Taylor Scholarships, is that they're not just [supporting research] on PNG and the Pacific, but they are supporting young and emerging researchers," Professor Howes said.

He said the scholarship not only helps their research communities but also helps to develop links here in Australia.

"We're pleased the Crawford School had stayed in touch with all four scholarship recipients," Professor Howes said.

The donors, ANU alumnus Dr David Chessell and Mrs Susan Chessell, named the scholarship in honour of eminent Australian Greg Taylor, AO, who has held various senior positions in both Australia and PNG, including serving as Executive Director of the International Monetary Fund for both Australia and PNG. The scholarship was first awarded in 2013 and enables recipients to undertake research at the ANU Crawford School in the Development Policy Centre for up to three months on a topic relating to the development of PNG and the Pacific.

Logea, who is in her final semester of a Masters in International and Development Economics at the Crawford School, said the scholarship has been a great help to her, as a young Papua New Guinean researcher.

"I am sure that when I go home to PNG, I will be able to share the skills I have learnt and contribute to strengthening the research culture."

IMAGE: Logea Nao. (Photo by Adam Da Cruz)



# RESEARCH

## Backing scientific endeavour

Being awarded the Angus Nicholson Honours Scholarship in Science in 2015 was a real “vote of confidence” for Rebecca Drown.

“It’s very encouraging when somebody believes in what you want to do and they back you,” Rebecca said.

This scholarship is offered by the ANU College of Medicine, Biology and Environment and ANU College of Physical and Mathematical Sciences, and was established by Mr Jon Nicholson, in memory of his late father, Dr Angus Nicholson.

For her Honours thesis, Rebecca studied a tomato protein that protects plants against disease agents.

“My research focussed on a protein complex that signals to give resistance against a bacterial pathogen. There is a fine balance between too much signalling and not enough signalling. My project investigated how the complex controls its signalling ability,” she said.

Rebecca, who now works for the Department of Industry, Innovation and Science, said the scholarship eased financial pressures and allowed her to focus on her final year of her Bachelor of Science (Advanced) (Honours).

“Receiving the 2015 Angus Nicholson Honours Scholarship in Science has allowed me to work less outside of university this year, and focus my efforts on my research.”

She said the degree allowed her to explore the diversity of science courses.

“I think there is a beauty to science. Scientific endeavour builds on itself, and connects people from different times and places. I think a scientist’s job is to question everything, and especially to question answers,” Rebecca said.

IMAGE: Rebecca Drown. (Photo by Adam Da Cruz)





## Tackling the big public health issues

Public health and environmental pioneer the late Emeritus Professor Anthony (Tony) McMichael was never one to shy away from the world's big problems. From alerting the world to the dangers of passive smoking and identifying the health impacts of lead exposure, to explaining the health risks of an 'obesogenic' environment, Tony was drawn to tackling complex public health issues.

"Tony was interested in going down paths of intellectual inquiry where others might hesitate," said Tony's wife Associate Professor Judith Healy. One of Tony's frequently-cited papers directed to health scientists, for example, was titled *Prisoners of the Proximate*.

Tony was also acutely aware that many of the world's public health problems weighed more heavily on poorer, more vulnerable people than on wealthier populations. It was this combination of intellectual curiosity and commitment to social justice that attracted Tony to the challenges we face in a human-induced rapidly warming world – climate change and its present and future impact upon population health.

"Epidemiologists often look for simpler causal and more immediate links between infectious disease and health outcomes, or environmental stresses and health outcomes, whereas climate change is a difficult area of inquiry," said Judith, who is an associate professor at the Research School of Pacific and Asian Studies at ANU.

"Tony was very interested in interdisciplinary inquiry – which you need to do in these big, wicked problems."

Tony, a former director of the ANU National Centre for Epidemiology and Population Health, became a pioneering voice in this field, particularly with the publication in 1993 of the seminal book *Planetary Overload*. He went on to lead the health risks assessment for the United Nation's

Intergovernmental Panel on Climate Change (IPCC). It was for this role that Tony, along with 2,000 of his IPCC colleagues, was awarded a Nobel Peace Prize in 2007.

When asked how he thought the world would deal with climate change, Tony put the world on notice. "It's likely to be an extraordinary century and we're going to have to have our wits about us to get through it," he told US journalist Joanne Silberner before his death in 2014.

Tony's family wish to continue the critical conversation Tony started more than 20 years ago and, with ANU, have established the AJ (Tony) McMichael Endowment to support a speaker to attend the International Society for Environmental Epidemiology (ISEE) to deliver the Tony McMichael Annual Oration.

Professor Robyn Lucas, Head of the National Centre for Epidemiology and Population Health, said Tony was an important international figure in environmental epidemiology and a past president of ISEE.

"He also trained many researchers, including me, and was very supportive of developing early and mid-career researchers. The Oration in honour of Tony's work recognised both facets of his contribution to the field," she said.

Robyn said the Oration would highlight the work of an up-and-coming mid-career researcher in this field, continue the links between ISEE and ANU, and ensure that Tony continued to have a "presence" in this forum.

Robyn agreed that it was essential to maintain momentum in discussions about climate change and health. "The idea behind highlighting the work of a mid-career researcher was to help bring the next generation of Tony McMichaels through, to ensure continuity in these discussions."

IMAGE: Associate Professor Judith Healy. (Photo by Adam Da Cruz)



## Visionary goal

Without the support of Bootes Medical Research Foundation funding, Dr Jason Potas said he would be “out of the game”.

“For someone like me, the impact of Bootes Foundation funding is massive,” said Jason, who leads the Neural Control Systems Laboratory at the ANU John Curtin School of Medical Research (JCSMR).

“The Foundation has enabled my laboratory to continue to conduct independent quality research in an otherwise dire environment. For example, only 13.7 per cent of national applicants were awarded funding by the National Health and Medical Research Council (NHMRC) in 2015 and this generally goes to the big names, even though 85 per cent are considered ‘fundable.’”

The Bootes Foundation was established in 2008 by Gordon Bootes. Gordon came from a local cattle industry background and, apart from his time in the army during the Second World War, carried out the family tradition until a fire and failing eyesight, due to glaucoma, forced him to leave the property.

“It was his failing eyesight that led Gordon to donate money to medical research in the hope that future generations could lead healthier and more fulfilling lives. He was particularly interested in stem cell research and the regeneration and/or repair of damaged structures,” said Dianne Garner, co-director of the Bootes Medical Research Foundation.

“Gordon’s belief was that the acquisition of knowledge was an end in itself,” she said.

Gordon died in 2010, and the Foundation is now run by members of his family, grandsons Charles and Michael Bootes, and daughter-in-law, Dianne. Since its inception, the Foundation has provided valuable support to JCSMR.

“Gordon didn’t go on to university, but he had this incredible thirst for knowledge,” Dianne said. “His aim was to provide young researchers with opportunities that would otherwise be hard to come by and which were not available to him.”

The Bootes Foundation has supported many research projects at JCSMR, including the purchase of a Canon CR-2 fundus camera for the Clinical Visual Research Facility within the Eccles Institute for Neuroscience, as well as a host of research endeavours.

“Gordon believed that young researchers would often have good ideas that weren’t necessarily in line with large companies whose main focus was their bottom line and that these, sometimes unconventional, ideas needed assistance getting off the ground,” Dianne said.

Dr Jason Potas said Bootes Foundation funding enabled “little guys” like him to get preliminary work done to become more competitive for the bigger grants.

“Thanks to the Bootes Foundation, we are starting to publish some of our research in quality journals which would otherwise be near impossible.”

Jason said the Bootes Foundation was currently funding a project investigating red light to treat spinal cord injury.

“We found that red light penetrates the entire spinal cord and alters the biology to improve functional outcomes and reduce inflammation. Who would have thought a red light can do that? It’s pretty exciting,” he said.

IMAGE: Dr Jason Potas. (Photo by Adam Da Cruz)



## Building philanthropic momentum

Philanthropy is playing a key role in extending research goals and creating professional development opportunities for early-career researchers, according to Professor Ian Jackson, Director of the Research School of Earth Sciences.

Speaking on the 2015 launch of the Earth Sciences Future Fund, Ian said philanthropy provided “the icing on the cake”.

“Philanthropy has become much more important to Australian universities, including ANU. That’s not to say that universities as public institutions shouldn’t be primarily funded by government and by research grants from various sources, but income on an endowment supports activities that we’re otherwise unable to fund,” he said.

The Earth Sciences Future Fund, which was kick-started with a personal donation from Ian, aims to fund research fellowships and scholarships, as well as support field education and help preserve the University’s valuable geological collections.

Ian said donating to the fund was an opportunity to express his gratitude for the opportunities he has enjoyed in his 40 years at ANU.

“During my time as a PhD student in the early 1970s, I was the beneficiary of both the high-quality undergraduate teaching of Professor Brown’s Department of Geology, and also the stimulating research environment of Professor Jaeger’s Department of Geophysics and Geochemistry, which in 1973 became the Research School of Earth Sciences,” Ian said. “Following my return to the School as a Research Fellow in 1978, after postdoctoral experience at Caltech, I have enjoyed opportunities, unsurpassed elsewhere in the world, to explore new directions in experimental rock physics.”

The Earth Sciences Future Fund has already attracted donations of more than \$250,000 from alumni, staff and students.

“It’s still early days in a long campaign, but what we’re looking to do is to change the culture and cultivate an environment in which people who spend a lot of time in the School feel inclined to contribute and give something back,” Ian said.

IMAGE: Professor Ian Jackson. (Photo by Adam Da Cruz)



## Nurturing new ideas

Social and economic forecaster Phil Ruthven knows the value of giving free rein to an inquiring mind.

It was almost 50 years ago, during a Rotary-sponsored study tour to the US, that the chemical engineering graduate was given a privileged peek at the US military's massive big-data capacity, in one of its underground war-rooms.

From this experience grew the idea of an information-intensive company to provide economic forecasting and strategic information services, and in 1971, Phil founded IBISWorld.

Now, IBISWorld, a global leader in business intelligence, is eager to support young researchers to break new ground.

"My company has been quite successful around the world and some years back we decided to give a percentage of our profits to charity each year," said Phil, adding that the company's focus is on disadvantaged youth and advanced education.

IBISWorld's 2015 donation to ANU will support research and a PhD scholarship to develop a statistical model-based integrative index of socio-economic health.

PhD supervisor Dr Grace Chiu, who will co-supervise the incoming IBISWorld Scholar with Dr Anton Westveld, said the project would look through an economic lens to better understand the wellbeing of society.

"Essentially, we are considering the wellbeing of society from an economic point of view. By using a rigorously quantifiable framework, like the economy, we can develop a scientific way to interpret what makes a society healthy," said Grace, who is a senior lecturer at the ANU Research School of Finance, Actuarial Studies and Statistics.

It's a model that piqued the interest of IBISWorld because the company had considered the issue from a similar perspective some years ago when it measured overall economic health, and by inference societal wellbeing.

But Phil emphasised that the donation comes with no-strings attached.

"We have left it fairly open for the PhD student to see where they want to go and how they want to get there," said Phil, who sits on the advisory board of the ANU College of Business and Economics.

"We just felt like it would be a useful thing for Australia, if ANU thought so too, to be able to have an economic measure of how well it's going.

"It's important to support young researchers and in doing so we put Australia in a better position to face the challenges of tomorrow."

IMAGE: Phil Ruthven AM. (Photo by Adam Da Cruz)





# ENDOWMENT FOR EXCELLENCE

## President's message



To donors, friends and supporters, on behalf of the Board of Governors, I would like to thank you personally for your support in 2015. As the Vice-Chancellor and Chancellor have acknowledged in these pages, the University is indebted to you for your generous and loyal support.

It is my privilege to work with the University and its wonderful community of supporters. The Board has worked very closely with the University as the plan for philanthropy at ANU has evolved, and together we are witnessing important change: change in the way we think about philanthropy and what it means to this fine institution, now and in the future; and change in all the positive ways that giving impacts every facet of the ANU community.

It is the stories and experiences of recipients of your support that best communicate these impacts, and I encourage you take a moment to enjoy the stories in this report. They exemplify the calibre of students, staff and research at ANU, and the inspiring generosity shown to ANU by its supporters.

There are stories of giving that changes lives – like the Greg Taylor Scholarships, established by David and Sue Chessell, which support young and emerging scholars from Papua New Guinea. The 2015 recipient, Logea Nao, is looking at how to highlight and discuss the issue of domestic violence in her home country.

As an economist, I read with pleasure of the generous support of IBISWorld, led by founder and director, Phil Ruthven AM. The gift from IBISWorld will help researchers in the ANU College of Business and Economics to guide an IBISWorld Scholar in developing a statistical modelling framework to assess societal socio-economic health.

I was heartened to read the many stories of staff giving back to ANU and also was inspired by Ms Christine Whitworth's story of how her passion for music at a young age has led her to establish a prestigious classical music competition at the ANU School of Music.

The Australian tertiary landscape faces a challenging financial future from which ANU is not immune. The support ANU receives both from individual donors and from trusts, corporations and foundations helps the University set a course for its future – a future built on excellence, to keep ANU among the world's finest universities. Your support is critical to this.

As Governors on the Endowment, it is our privilege to act as ambassadors for the University and we do so with pride and endeavour. As part of this remit we assist the University executive in exercising due diligence and care to ensure that the wishes and interests of donors are always honoured in the way that your support is utilised within the University.

I thank my fellow Board members for their contributions in 2015, and also thank the members of the boards of the University's foundations established under the Endowment. They are esteemed members of the Australian community and we greatly appreciate their voluntary support.

I would like to extend my special thanks to the outgoing President, Mr Anthony Hartnell AM. We are indebted to him for his contribution and diligent guidance during his enduring tenure as President and we are delighted he remains a Board member.

Once again, thank you for your support of ANU and I look forward to meeting and working with you in the future.



Dr Vince FitzGerald

President, Board of Governors  
Endowment for Excellence

## Board of Governors

The Endowment is directed by a distinguished and independent Board of Governors.

Their duties, undertaken voluntarily, include providing advice to the Vice-Chancellor and staff of the University on matters of the Endowment and issues related to philanthropy; and the development of policies and procedures to guide the treatment of donors and donations by the University.

### 2015 Board Members

#### **Stephen Byron**

BComm, LLB(Hons), GradDipLegPrac, ANU  
Managing Director, Canberra Airport

#### **Jennie Cameron AM**

BA ANU, FAICD, EMFIA  
CC&N Pty Ltd  
Social Enterprise Development Consultants

#### **Dr David Chessell**

BA(Hons) *Melb*, MEd ANU, PhD *Yale*  
Former Director, Access Capital Advisers

#### **Dr Vince FitzGerald (President)**

BEd(Hons) *UQ*, Hon LLD *Monash*, PhD *Harvard*

#### **Anthony Hartnell AM**

BEd LLB(Hons) ANU, LLM *George Washington*  
Partner, Atanaskovic Hartnell

#### **Ben Niles**

(Term ends 29 November 2015)  
President, ANU Postgraduate and Research  
Students' Association (PARSA) President

#### **Robyn Watts**

BA ANU, MA *Reading*, GradDipBusMgmt *Canberra*  
Various Board Directorship roles

### 2015 Ex-officio Board Members

#### **Professor the Hon Gareth Evans**

##### **AC QC FASSA FAIIA**

BA LLB(Hons) *Melb*, MA *Oxf*, Hon LLD *Melb*,  
*Syd*, *Carleton*, *Queen's Ont*  
Chancellor, ANU

#### **Robin Hughes AO**

BA MA *Syd*  
Pro Chancellor, ANU

#### **Dr Colin Taylor**

BSc(Hons) ANU, PhD *WAust*, PCCP (Cantab)  
MBA (Exec) *UNSW*  
Director, Alumni Relations and Philanthropy, ANU

#### **Professor Ian Young AO**

BE(Hons), MEngSc, PhD *JCU*, FIEAust, FTSE  
Vice-Chancellor and President, ANU

The overall pool of funds and investment strategy for the Endowment is overseen by the University Investment Advisory Committee, in consultation with the Director of Alumni Relations and Philanthropy.

For more information visit  
[services.anu.edu.au/planning-governance](http://services.anu.edu.au/planning-governance).

The ANU Code of Practice for Philanthropy is adapted from the Australian Vice-Chancellors' Committee, Universities Australia 2000, which details the rights and obligations between donors and the University. To read the Code visit  
[anu.edu.au/giving/recognition](http://anu.edu.au/giving/recognition).

## Foundations of the Endowment

Named foundations within the Endowment for Excellence continued to be very active in pursuit of their missions in 2015.

### ANU Visual Arts Foundation

The ANU Foundation for the Visual Arts was created to offer continuing support for the ANU School of Art and scholarships for students of the visual arts, the Drill Hall Gallery and the ANU Art Collection.

High profile artists visiting the Drill Hall Gallery and the School of Art were again supported by the Visual Arts Foundation during 2015. At the Drill Hall Gallery, Michael Buzacott's Field Sculpture exhibition was a highlight. In this survey exhibition, curator and Drill Hall Gallery Director Terence Maloon focussed on Buzacott's early steel works and later painted steel examples created in the period up to 2012. VAF funding assisted with production of the catalogue and the artist's presence at the Exhibition.

At the Art School, support for the School's Visiting Artists program saw high profile artists in residence across the disciplines represented in the School. Notable visitors included Sotoris Dounoukas, an Australian-based film maker, and photographer Donna Hensler. Both artists were based in the Photography and Media Arts Workshop. Philip Weber was another visitor supported as the Craft and Design International Interdisciplinary Fellow.

The 2015 Visual Arts Foundation School of Art Scholarship was awarded to first year student Emma Hodges studying in the Painting Workshop.

### Herbert & Valmae Freilich Foundation

The Freilich Foundation exists for the study of all kinds of bigotry and the promotion of diversity and inclusion. Dorothy Hoddinott, one of Australia's most widely recognised school educators, gave the Herbert & Valmae Freilich Foundation Annual Lecture in Bigotry and Tolerance.

Dr Tinashe Dune and Dr Rachel Standfield were named the Herbert & Valmae Freilich Foundation Early Career Researchers Small Grants Scheme recipients.

### Edith and Joy London Foundation

The Edith and Joy London Foundation supports our most valuable ecological gift within the Endowment. The highlight of the year was the re-launch of a new edition of *They Came to Murramarang* by the late Bruce Hamon. Two years before Bruce passed away, he wished to have his book reprinted, and gifted the rights to the ANU Kioloa Coastal Campus. Staff also worked closely with the NPWS South Coast Shorebird Recovery Program to help protect a pair of Critically Endangered Hooded Plovers nesting regularly on the beaches of the campus.

## Foundations of the Endowment (cont.)

### Sir Roland Wilson Foundation

The Sir Roland Wilson Foundation plays an important role in policy debate and contributes to the national public policy dialogue. John Howard, former Prime Minister of Australia, gave the Sir Roland Wilson Annual Oration, entitled 'The reform challenge', and three public lectures were given by Professor Alan Auerbach, Director of the Robert D Burch Center for Tax Policy and Public Finance; Professor Peter Dwyer, a leading thinker on welfare conditionality in the UK; and Mr Michael Pezzullo, Secretary of the Department of Immigration and Border Protection, respectively.

Three new scholars were selected to join the Sir Roland Wilson Foundation Scholarship Program in 2015. Chien-Hung Chien, Owen Freestone and Szabina Horvath will commence their studies in 2016.

### The John Curtin Medical Research Foundation

In 2015, the Foundation was proud to join with the Canberra Medical Society to continue the Foundation's support of the National Centre for Indigenous Genomics (NCIG). In May, a 1,000-strong crowd attended Government House for the Sunday Dreaming event. Proceeds from an auction and sale of Indigenous art and homewares will assist the NCIG in its important work.

Over 160 guests attended the third annual dinner at the National Portrait Gallery in November to hear from Nobel Laureate Professor Rolf Zinkernagel and to support cancer research at The John Curtin School of Medical Research (JCSMR) through a range of live and silent auctions and raffles. The Foundation is particularly grateful to Ron and Janice Parker for their \$100,000 pledge to support cancer research.

In December, the Foundation also supported the inaugural JCSMR Gender Equity Awards which assist scientists experiencing career disruption and career re-establishment issues due to family commitments.

The Foundation's events and the production of its high quality newsletter could not have happened without the commitment and dedication from the volunteer Board, sponsors and donors of which it is most grateful. This year has been particularly defining for the Foundation due to its part in a review of the present and future fundraising potential for health and medical research and teaching at ANU.

## School of Music Foundation

2015 represented the 50th year of the ANU School of Music's establishment. The work of the Foundation centred on supporting the School's birthday celebrations: a program of performance, teaching and lectures which showcased the School's achievements and leadership across diverse classical and contemporary genres.

A highlight was the inaugural Whitworth Roach Classical Music Performance Competition. In addition to a prize-pool of \$20,000, the supporting endowment generously donated by Miss Christine Whitworth also makes provision for the ANU School of Music to invite a musician or musicians of international acclaim to visit ANU in the week of the competition each year.

Another highlight was the Foundation's commissioning of a fanfare by Larry Sitsky, dedicated to Emeritus Professor Deane Terrell AO and premiered by the Canberra Symphony Orchestra in September.

In another year of transition for the School, the Foundation focussed its work on ensuring that the Endowment for which it has primary responsibility was used for maximum impact. The most important work of the Foundation and its endowment has been its support of the expanding program of Distinguished Artists in Residence. Fourteen individual artists and one ensemble ("The Noise") were supported under the program, providing variety, breadth and depth to the School's performance and teaching program.

## Tuckwell Scholarship Foundation

The Tuckwell Scholarship Foundation was created to steward the gift of Graham and Louise Tuckwell in its execution of the Tuckwell Scholarships. 2015 was the first year of the second cohort of 19 Tuckwell Scholars, and, together with the selection panel, the selection process for the third intake of 25 Scholars to commence in the 2016 academic year.

Scholar highlights in 2015 included: a reception at Government House hosted by His Excellency the Governor-General Sir Peter Cosgrove; the production of the second edition of *The Bell* magazine; welcoming three new Tuckwell Fellows to the enrichment program: Professor Peter Kanowski, Associate Professor Molly Townes O'Brien, and Mr Chris Browne. They join existing Fellow Dr Mary Kilcline Cody and Head of Scholars House and Pro Vice-Chancellor (Student Experience) Professor Richard Baker.

The end of 2015 saw the further development of the Tuckwell Scholars House academic program, with the appointment of the program's first full-time executive staff member. It is expected that the program will grow in strength and impact as the Scholarship continues towards its peak number of students in 2018.

## The ANU (UK) Foundation and ANU Foundation USA

The University is proud to acknowledge its many alumni and friends living abroad.

Established in 2015, the ANU Foundation USA and ANU (UK) Foundation are dedicated to strengthening ties between ANU and the United States and the United Kingdom by supporting scholarships, prizes, research projects, and other causes of interest to donors.

The 2015 donor honour roll (available at [anu.edu.au/giving/recognition](http://anu.edu.au/giving/recognition)) includes donors who have supported ANU via the ANU Foundation USA and the named Foundations of the Endowment.

Due to administrative requirements, donors supporting ANU through the ANU (UK) Foundation in 2015 will be acknowledged in the 2016 donor honour roll.







# FINANCIAL STATEMENTS OF THE ENDOWMENT FOR EXCELLENCE

## Income statement

For the year ended 31 December 2015

	31/12/2015 \$'000's	31/12/2014 \$'000's
<b>Operating income</b>		
Donations	8,683	4,141
Investment income	12,323	10,761
Other income <sup>1</sup>	1,250	2,544
<b>Total operating income</b>	<b>22,256</b>	<b>17,446</b>
<b>Operating expenditure</b>		
Scholarships and stipends	3,642	3,263
Chairs and academic salaries and oncosts	2,637	2,588
Non-academic salaries and oncosts	738	607
Consumables	445	421
Research conferences and travel	720	355
Research projects	1,313	207
Other expenditure	478	361
<b>Total operating expenditure</b>	<b>9,973</b>	<b>7,802</b>
<b>Operating surplus/deficit</b>	<b>12,283</b>	<b>9,644</b>

<sup>1</sup> Other income includes any internal matching of donations from other University funds.

## Balance sheet

As at 31 December 2015

<b>Equity</b>	31/12/2015 \$'000's	31/12/2014 \$'000's
Accumulated Funds	239,019	226,736
<b>Reserves</b>		
Asset Revaluation Reserve	37,047	30,298
<b>Total reserves</b>	<b>37,047</b>	<b>30,298</b>
<b>Total equity</b>	<b>276,066</b>	<b>257,034</b>

## Assets and liabilities

### Financial assets

Cash Balance	27,374	24,137
Deposits at call	0	13,573
Receivables	200	632
Investments - Long Term Investment Pool	246,505	194,487
Investments - Interest bearing securities	3,161	24,959
Investments - Direct share holdings	32	18
<b>Total financial assets</b>	<b>277,272</b>	<b>257,806</b>

### Financial liabilities

Creditors	(438)	(61)
Provisions	(768)	(711)
<b>Total financial liabilities</b>	<b>(1,206)</b>	<b>(772)</b>

<b>Net assets</b>	<b>276,066</b>	<b>257,034</b>
-------------------	----------------	----------------

## Financial report summary

By category, as at 31 December 2015

	2015 Total Preserved Funds <sup>1</sup> \$'000	2015 Total Assets <sup>2</sup> \$'000	2014 Total Preserved Funds \$'000	2014 Total Assets \$'000
Named scholarships and prizes	30,106	73,444	30,123	65,466
ANU Excellence and Renewal Program	51,727	141,802	51,727	133,994
Inter-institutional arrangements	2,231	15,903	2,231	14,181
Flexible funds	8,323	22,953	8,323	19,576
Named foundations	14,835	22,970	15,366	23,957
<b>Endowment total</b>	<b>107,222</b>	<b>277,072</b>	<b>107,770</b>	<b>257,174</b>
Add: receivables		200		632
Less: provisions		(1,206)		(772)
<b>Total equity per balance sheet</b>		<b>276,066</b>		<b>257,034</b>

1 ANU Bursaries Fund closed and proceeds moved to Accommodation and Bursaries Endowment.  
AFFIRM Fund closed and proceeds moved to NIMHR Fund.

2 Sum of LTIP, Shares, Term Deposits and Cash on Hand.



# CONTACT US

## **Donor Relations**

Philanthropy  
Alumni Relations & Philanthropy  
The Australian National University  
Buildings 71 & 71T  
28 Balmain Crescent  
Acton ACT 2601  
T +61 2 6125 7812  
E [donor.relations@anu.edu.au](mailto:donor.relations@anu.edu.au)  
W [anu.edu.au/giving](http://anu.edu.au/giving)

CRICOS #00120C