

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2009 calendar year, or tax year beginning OCT 1, 2009 **and ending** SEP 30, 2010

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization		D Employer identification number
		Smithsonian Institution		53-0206027
		Doing Business As		E Telephone number
		Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1000 Jefferson Drive, S. W. City or town, state or country, and ZIP + 4 Washington, DC 20560		202 633-1000
F Name and address of principal officer: Dr. G. Wayne Clough 1000 Jefferson Dr, SW, Washington, DC 20560		G Gross receipts \$ 1,667,718,161. H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶		
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c) (3) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527				
J Website: ▶ www.Smithsonian.org				
K Form of organization: <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input checked="" type="checkbox"/> Other ▶ Sch O L Year of formation: 1846 M State of legal domicile:				

Part I Summary

1 Briefly describe the organization's mission or most significant activities: The increase and diffusion of knowledge.

2 Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)	3	17
4 Number of independent voting members of the governing body (Part VI, line 1b)	4	17
5 Total number of employees (Part V, line 2a)	5	6544
6 Total number of volunteers (estimate if necessary)	6	6600
7a Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	15,277,779.
7b Net unrelated business taxable income from Form 990-T, line 34	7b	-39,911.

		Prior Year	Current Year
Revenue	8 Contributions and grants (Part VIII, line 1h)	987,555,559.	1,032,198,872.
	9 Program service revenue (Part VIII, line 2g)	96,168,217.	93,025,171.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-33,895,776.	17,362,923.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	65,033,695.	60,587,462.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,114,861,695.	1,203,174,428.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	14,155,899.	15,340,126.
	14 Benefits paid to or for members (Part IX, column (A), line 4)		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	560,540,681.	587,102,709.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	3,708,702.	5,827,588.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 29,094,780.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	502,589,801.	485,138,031.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,080,995,083.	1,093,408,454.
19 Revenue less expenses. Subtract line 18 from line 12	33,866,612.	109,765,974.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year 3,208,128,190.	End of Year 3,415,561,229.
	21 Total liabilities (Part X, line 26)	692,121,205.	739,927,756.
	22 Net assets or fund balances. Subtract line 21 from line 20	2,516,006,985.	2,675,633,473.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: [Signature] Date: 8/12/11

Dr. G. Wayne Clough, Secretary
Type or print name and title

Paid Preparer's Use Only

Preparer's signature: ▶ Date: ▶ Check if self-employed: Preparer's identifying number (see instructions): ▶

Firm's name (or yours if self-employed), address, and ZIP + 4: ▶ EIN: ▶ Phone no.: ▶

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission:

The increase and diffusion of knowledge.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ...

Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ...

Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

See Schedule O for Continuation(s)

4a (Code:) (Expenses \$ 492,119,901. including grants of \$ 260,390,179.) (Revenue \$ 32,492,082.)

Research and Collections - The Smithsonian collection of nearly 137 million objects (art, artifacts and scientific specimens) are the heart of the Institution. Research, public programs and the exhibitions are based on the collections. Care of collections involves the work of registrars, conservators, museum specialists, designers, curators and editors. About 126 million objects are part of the natural history collections and primarily used for research purposes, by both Smithsonian scientists and researchers from around the world. In some cases, the museum has the definitive, irreplaceable collection of a certain species, which is essential for comparative studies.

4b (Code:) (Expenses \$ 260,420,013. including grants of \$ 2,406,940.) (Revenue \$ 54,184,504.)

Education, Public Programs and Exhibitions - The Smithsonian opened 100 new exhibitions this year and sent traveling shows around the country. Among the significant exhibitions were the permanent display of Native American artifacts from the Heye collection ("Infinity of Nations") at the New York City branch of the National Museum of the American Indian; the once-in-a-lifetime art exhibition of Yves Klein's work (the artist famous for inventing the distinctive blue named for him) at the Hirshhorn; and the Norman Rockwell exhibition at the Smithsonian American Art Museum which nearly doubled the museum's attendance during its six month run.

4c (Code:) (Expenses \$ 54,178,926. including grants of \$ 0.) (Revenue \$ 62,613,754.)

Associate Membership - The Smithsonian Associates provides educational and cultural programs that highlight and complement the work of the Smithsonian Institution through a wide variety of formats including performances, lectures, courses, workshops and special events on the National Mall and across the country. During its more than 40 year history, The Smithsonian Associates has become the nation's largest museum-based continuing education program. Each year The Smithsonian Associates creates and presents nearly 1,000 educational and cultural events. www.SmithsonianAssociates.org.

4d Other program services. (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses \$ 806,718,840.

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	X	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	X	
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11	Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>		
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		
	• Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>		
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X.</i>		
12	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	X	
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>	X	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>	X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22 X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23 X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a X	
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	X
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	X
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	X
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27	X
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	X
c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29 X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30 X	
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	38 X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable 1a 3797		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b 0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? 1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 6544		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2b	X	
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? 3a	X	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O 3b	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 4a	X	
b	If "Yes," enter the name of the foreign country: <u>Panama</u> See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? 5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? 6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 6b		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7a	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided? 7b	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required? 7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? 7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 8 N/A		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966? 9a N/A		
b	Did the organization make a distribution to a donor, donor advisor, or related person? 9b N/A		
10	Section 501(c)(7) organizations. Enter: 10a N/A		
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b		
11	Section 501(c)(12) organizations. Enter: 11a N/A		
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body		
1b	Enter the number of voting members that are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	X	
5	Did the organization become aware during the year of a material diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	X	
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	a The governing body?	X	
8b	b Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a		X
10b		
11	X	
11A		
12a	X	
12b	X	
12c	X	
13	X	
14	X	
15		
15a	X	
15b	X	
16a	X	
16b	X	

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed None
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
 Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: Andrew J. Zino - 202-633-7250
2011 Crystal Dr., Arlington, VA 22202

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
Honorable John Roberts, Jr. Regent		X					0.	0.	0.
Honorable Joseph Biden Regent		X					0.	0.	0.
Honorable Xavier Becerra Regent		X					0.	0.	0.
Honorable Thad Cochran Regent		X					0.	0.	0.
Honorable Chris Dodd Regent		X					0.	0.	0.
Honorable Sam Johnson Regent		X					0.	0.	0.
Honorable Patrick Leahy Regent		X					0.	0.	0.
Honorable Doris Matsui Regent		X					0.	0.	0.
Ms. France A. Cordova Regent		X					0.	0.	0.
Dr. Philip Frost Regent		X					0.	0.	0.
Ms. Shirley Ann Jackson Regent		X					0.	0.	0.
Mr. Robert P. Kogod Regent		X					0.	0.	0.
Mr. John McCarter Regent		X					0.	0.	0.
Mr. David Rubenstein Regent		X					0.	0.	0.
Mr. Roger Sant Regent		X					0.	0.	0.
Mr. Alan G. Spoon Regent		X					0.	0.	0.
Ms. Patricia Stonesifer Regent		X					0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
G. Wayne Clough Secretary	50.00			X				498,648.	0.	38,479.
John Lapiana Chief of Staff-Regents	50.00			X				180,986.	0.	29,974.
Judith Leonard General Counsel	50.00			X				149,562.	0.	14,471.
Alice Maroni Chief Financial Officer	50.00			X				287,537.	0.	53,779.
Virginia Clark Director-External Affairs	50.00				X			318,568.	0.	54,700.
Richard Kurin Under Sec. - OUSHC	50.00				X			298,139.	0.	45,637.
Evelyn Lieberman Director-OPA	50.00				X			250,416.	0.	37,345.
Era Marshall Director-OEEMA	50.00				X			157,585.	0.	13,734.
Alison McNally Under Sec.-Fin.& Admin	50.00				X			192,316.	0.	5,820.
Thomas Ott President, SE	50.00				X			523,158.	0.	45,730.
1b Total								7,520,891.	0.	1,100,577.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **1,041**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
Hensel Phelps Construction Company, 4437 Brookfield Corp. Drive, Chantilly, VA	Construction	25,637,129.
William V. Walsh Construction Co, Inc. 14674 Rothgeb Dr., Rockville, MD 20850	Construction	16,747,461.
Clark Construction Group, Inc. 7500 Old Georgetown Rd., Bethesda, MD 20814	Construction	13,178,344.
Hsu Development Co, Inc. 1335 Rockville Pike, Rockville, VA 20852	Construction	11,171,873.
Associated Builders 4903 Baltimore Ave, Hyattsville, MD 20781	Construction	8,567,459.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **431**

See Schedule J-2 for Part VII, Section A Continuation

Part VIII Statement of Revenue

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a 59,462.				
	b Membership dues	1b 11,710,074.				
	c Fundraising events	1c 1,626,084.				
	d Related organizations	1d				
	e Government grants (contributions)	1e 861,803,140.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 157,000,112.				
	g Noncash contributions included in lines 1a-1f: \$	11,500,174.				
	h Total. Add lines 1a-1f		1,032,198,872.			
Program Service Revenue	2 a Subscriptions-magazine	Business Code 511120	39,130,661.	39,130,661.		
	b Visitors/Members/Emplo	900099	32,392,082.	11,922,251.	20,469,831.	
	c Theater Income	711110	10,239,217.	9,617,209.	622,008.	
	d Tours/Classes	900099	9,862,662.	9,862,662.		
	e Traveling Exhibitions	900099	1,300,549.	1,300,549.		
	f All other program service revenue	900099	100,000.		100,000.	
	g Total. Add lines 2a-2f		93,025,171.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		6,315,070.		6,315,070.	
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties		4,886,347.		4,886,347.	
	6 a Gross Rents	(i) Real				
		(ii) Personal				
		b Less: rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities	448,802,156.			
		(ii) Other	390,673.			
		b Less: cost or other basis and sales expenses	438,144,976.			
		c Gain or (loss)	10,657,180.	390,673.		
	d Net gain or (loss)		11,047,853.		11,047,853.	
	8 a Gross income from fundraising events (not including \$ 1,626,084. of contributions reported on line 1c). See Part IV, line 18	a	355,788.			
		b Less: direct expenses	919,842.			
c Net income or (loss) from fundraising events			-564,054.		-564,054.	
9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses					
	c Net income or (loss) from gaming activities					
10 a Gross sales of inventory, less returns and allowances	a	68,123,653.				
	b Less: cost of goods sold	25,478,915.				
	c Net income or (loss) from sales of inventory		42,644,738.	41,709,398.	935,340.	
Miscellaneous Revenue		Business Code				
11 a Magazine & Online Adve	541800	13,620,431.		13,620,431.		
b						
c						
d All other revenue						
e Total. Add lines 11a-11d		13,620,431.				
12 Total revenue. See instructions.		1,203,174,428.	113,542,730.	15,277,779.	42,155,047.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	77,556.	77,556.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	9,624,999.	9,624,999.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	5,637,571.	5,637,571.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	9,295,400.	2,688,726.	6,233,375.	373,299.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	1,500,033.	1,293,296.	206,737.	
7 Other salaries and wages	450,609,173.	239,652,291.	199,342,893.	11,613,989.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	52,242,453.	28,742,981.	22,069,867.	1,429,605.
9 Other employee benefits	44,199,131.	21,443,152.	21,574,374.	1,181,605.
10 Payroll taxes	29,256,519.	15,194,681.	13,263,992.	797,846.
11 Fees for services (non-employees):				
a Management				
b Legal	508,744.	194,864.	300,486.	13,394.
c Accounting	1,397,803.		1,397,803.	
d Lobbying	10,735.	10,735.		
e Professional fundraising services. See Part IV, line 17	5,827,588.			5,827,588.
f Investment management fees	727,300.		727,300.	
g Other	70,624,244.	50,606,996.	17,731,834.	2,285,414.
12 Advertising and promotion	4,328,606.	3,676,147.	338,828.	313,631.
13 Office expenses	44,460,693.	25,649,614.	18,156,040.	655,039.
14 Information technology	41,706,483.	12,137,570.	29,236,769.	332,144.
15 Royalties	477,844.	477,844.		
16 Occupancy	111,756,604.	14,737,980.	96,416,549.	602,075.
17 Travel	14,316,023.	11,202,316.	2,638,326.	475,381.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	3,762,236.	1,491,997.	2,184,274.	85,965.
20 Interest	2,066,996.	674.	2,066,322.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	101,426,548.	9,238,237.	92,188,311.	
23 Insurance	850,183.	496,317.	338,529.	15,337.
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a Program Production	81,509,664.	79,739,264.	1,619,523.	150,877.
b Collections, Equipment,	5,207,325.	2,145,607.	3,046,183.	15,535.
c Cost Allocation	0.	270,557,425.	-273,483,481.	2,926,056.
d				
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	1,093,408,454.	806,718,840.	257,594,834.	29,094,780.
26 Joint costs. Check here <input checked="" type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation ...	10,223,642.	5,672,341.	0.	4,551,301.

Part X Balance Sheet

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash - non-interest-bearing	397,201,310.	1	438,938,013.
	2 Savings and temporary cash investments	103,354,586.	2	63,168,351.
	3 Pledges and grants receivable, net	169,952,149.	3	176,091,058.
	4 Accounts receivable, net	35,895,499.	4	37,965,998.
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	9,734,886.	8	9,953,460.
	9 Prepaid expenses and deferred charges	14,167,770.	9	11,872,462.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,969,487,025.		
	b Less: accumulated depreciation	10b 1,342,076,841.	1,535,892,749.	10c 1,627,410,184.
	11 Investments - publicly traded securities	327,139,323.	11	288,217,629.
	12 Investments - other securities. See Part IV, line 11	599,984,073.	12	750,295,455.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	14,805,845.	15	11,648,619.
16 Total assets. Add lines 1 through 15 (must equal line 34)	3,208,128,190.	16	3,415,561,229.	
Liabilities	17 Accounts payable and accrued expenses	275,782,898.	17	263,204,068.
	18 Grants payable		18	
	19 Deferred revenue	305,457,623.	19	365,494,359.
	20 Tax-exempt bond liabilities	110,880,684.	20	111,229,329.
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	692,121,205.	26	739,927,756.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,848,235,518.	27	1,914,018,614.
	28 Temporarily restricted net assets	430,298,484.	28	463,757,926.
	29 Permanently restricted net assets	237,472,983.	29	297,856,933.
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	2,516,006,985.	33	2,675,633,473.
34 Total liabilities and net assets/fund balances	3,208,128,190.	34	3,415,561,229.	

Part XI Financial Statements and Reporting

1 Accounting method used to prepare the Form 990: Cash Accrual Other _____
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?

b Were the organization's financial statements audited by an independent accountant?

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?

d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a	X	
3b	X	

Form **990** (2009)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization **Smithsonian Institution** Employer identification number **53-0206027**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii) A family member of a person described in (i) above?	11g(ii)	
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	296,261,869.	319,342,958.	292,705,489.	987,555,559.	1032198872.	2928064747.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	296,261,869.	319,342,958.	292,705,489.	987,555,559.	1032198872.	2928064747.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						2928064747.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	296,261,869.	319,342,958.	292,705,489.	987,555,559.	1032198872.	2928064747.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	25,580,470.	26,450,773.	15,896,019.	13,846,365.	11,222,007.	92,995,634.
9 Net income from unrelated business activities, whether or not the business is regularly carried on	634,660.	879,612.	291,563.	910,609.	-39,911.	2,676,533.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						3023736914.
12 Gross receipts from related activities, etc. (see instructions)					12	692,641,822.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	96.84 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	95.35 %
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>	
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

- 19a 33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization
- b 33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization
- 20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2009

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

 501(c)(3) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.**Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

Smithsonian Institution

53-0206027

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<hr/> <hr/> <hr/>	\$ 40,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

Smithsonian Institution

53-0206027

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	 	\$ _____	_____
	 	\$ _____	_____
	 	\$ _____	_____
	 	\$ _____	_____
	 	\$ _____	_____
	 	\$ _____	_____
	 	\$ _____	_____

Name of organization

Employer identification number

Smithsonian Institution

53-0206027

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <p align="center">Smithsonian Institution</p>	Employer identification number <p align="center">53-0206027</p>
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures ▶ \$ 0.

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ 0.

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ 0.

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No

4a Was a correction made? Yes No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____

4 Did the filing organization file Form 1120-POL for this year? Yes No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2009
LHA

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check if the filing organization belongs to an affiliated group.
 B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)														
c Total lobbying expenditures (add lines 1a and 1b)														
d Other exempt purpose expenditures														
e Total exempt purpose expenditures (add lines 1c and 1d)														
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)														
h Subtract line 1g from line 1a. If zero or less, enter -0-														
i Subtract line 1f from line 1c. If zero or less, enter -0-														
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		X	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1j)?	X		
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		10,735.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities? If "Yes," describe in Part IV		X	
j Total. Add lines 1c through 1i			10,735.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Part II-B, Line 1(i), Other Lobbying Activities:

The Smithsonian Institution solicited support for capital improvements

and educational programs for the Cooper-Hewitt National Design Museum

and the George Gustave Heye Center of the National Museum of the

American Indian (both in New York City) from New York City and the

State of New York. Smithsonian staff met with public officials on a

Schedule D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or pleasure) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b Assets included in Form 990, Part X

▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

- c Beginning balance
- d Additions during the year
- e Distributions during the year
- f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	883,509,000.	906,268,000.			
b Contributions	56,665,000.	26,942,000.			
c Net investment earnings, gains, and losses	101,203,000.	-405,000.			
d Grants or scholarships					
e Other expenditures for facilities and programs	49,782,000.	48,536,000.			
f Administrative expenses	727,000.	760,000.			
g End of year balance	990,868,000.	883,509,000.			

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment 52.00 %
- b Permanent endowment 48.00 %
- c Term endowment .00 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		12,530,301.		12,530,301.
b Buildings		2,219,570,620.	1,133,109,780.	1,086,460,840.
c Leasehold improvements		114,081,305.	26,379,077.	87,702,228.
d Equipment		257,339,128.	182,587,984.	74,751,144.
e Other		365,965,671.		365,965,671.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				1,627,410,184.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Global & emerging markets	260,459,022.	End-of-Year Market Value
Hedge funds	330,732,259.	End-of-Year Market Value
Private equity & venture capital	63,424,965.	End-of-Year Market Value
Real estate & commodities	95,679,209.	End-of-Year Market Value
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶	750,295,455.	

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
Federal income taxes	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,203,174,428.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,093,408,454.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	109,765,974.
4	Net unrealized gains (losses) on investments	4	91,743,832.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	1,069,576.
9	Total adjustments (net). Add lines 4 through 8	9	92,813,408.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	202,579,382.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	1,332,246,060.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	91,743,832.
b	Donated services and use of facilities	2b	7,747,757.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	99,491,589.
3	Subtract line 2e from line 1	3	1,232,754,471.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	727,300.
b	Other (Describe in Part XIV.)	4b	-30,307,343.
c	Add lines 4a and 4b	4c	-29,580,043.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	1,203,174,428.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	1,129,666,678.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	7,747,757.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	29,237,767.
e	Add lines 2a through 2d	2e	36,985,524.
3	Subtract line 2e from line 1	3	1,092,681,154.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	727,300.
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	727,300.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,093,408,454.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Part III, line 1a: In conformity with the practice generally followed by

museums, no value is assigned to the collections in the statement of

financial position. Purchases of collection items are recognized as

reductions in unrestricted net assets in the period of acquisition.

Proceeds from deaccessions or insurance recoveries for lost or destroyed

collection items are recognized as increases in the appropriate net asset

class and are generally designated for future collection acquisitions.

Part XIV Supplemental Information (continued)

Part III, line 4: The acquisition, preservation, management, and study of collections are fundamental to the Smithsonian's mission to increase and diffuse knowledge and have been the foundation upon which its reputation rests. Smithsonian collections are a national and global resource accessed each year by millions of visitors and researchers who use traditional methods and cutting-edge technologies to explore subjects from aeronautics to zoology. Through its collections, the Smithsonian presents the astonishing record of American and international artistic, historical, cultural, and scientific achievement, with a scope and depth no other institution in the world can match.

Assembled over more than 160 years, the collections are central to the core activities and to the vitality and significance of the Smithsonian. Smithsonian collections contribute to population recovery of endangered species, advances in reproductive biology, genome resource banking, medical research, forensic analysis, bio-security, and conservation policy worldwide.

Part V, line 4: The Endowment includes approximately 500 individual endowment funds. The Endowment provides stable financial support for scholarship, research activities, other programs, acquisitions for collections and other Institutional activities. It plays a critical role in enabling the Institution to achieve its mission - "the increase and diffusion of knowledge". The Endowment includes both Donor-restricted endowment funds and funds designated by the Board of Regents to function as endowments and are consistent with the Institution's mission.

Classification and reporting of net assets associated with the Endowment reflect Donor-imposed restrictions.

Part XIV Supplemental Information (continued)

Part X: Line 2 The Smithsonian recognizes the effect of income

tax positions only if those positions are more likely than not of being

sustained. The Smithsonian does not believe its financial statements

include any uncertain tax positions.

Part XI, Line 8 - Other Adjustments:

Change in interest in net assets-Friends of Nat'l Zoo

Deferred gain on building

Part XII, Line 4b - Other Adjustments:

Direct expenses - fundraising and cost of goods sold

Victor Bldg deferred revenue recognized

Part XIII, Line 2d - Other Adjustments:

Direct expenses - fundraising and cost of goods sold

Change in FONZ net assets

**Schedule F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

3 Activities per Region. (Use Schedule F-1 (Form 990) if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Grants to Recipients Located in the Region		590,421.
Central America and the Caribbean	7	352	Program Services	Research facilities.	24,811,406.
East Asia & Pacific	0	0	Grants to Recipients Located in the Region		992,160.
Europe	0	0	Grants to Recipients Located in the Region		2,310,576.
Middle East & North Africa	0	0	Grants to Recipients Located in the Region		180,814.
North America	0	0	Grants to Recipients Located in the Region		361,446.
Russia & Newly Independent States	0	0	Grants to Recipients Located in the Region		72,996.
South America	0	0	Grants to Recipients Located in the Region		990,936.
Totals	7	352			30,731,090.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2009

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000

Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Academic appointment stipend	30,000	EFT	0.		
		East Asia and the Pacific	Academic appointment stipend	10,664	EFT	0.		
		East Asia and the Pacific	Academic appointment stipend	25,011	EFT	0.		
		East Asia and the Pacific	Academic appointment stipend	10,000	EFT	0.		
		North America	Academic appointment stipend	8,000	EFT	0.		
		South America	Academic appointment stipend	24,305	EFT	0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Academic Appointment Stipends	Central America and the Caribbean	96	590,421	Direct Deposits & Checks	0.		
Academic Appointment Stipends	East Asia and the Pacific	51	946,485	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Europe	126	2,310,576	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Middle East and North Africa	7	180,814	Direct Deposits & Checks	0.		
Academic Appointment Stipends	North America	34	322,421	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Russia and the Newly Independent States	6	72,996	Direct Deposits & Checks	0.		
Academic Appointment Stipends	South America	86	966,631	Direct Deposits & Checks	0.		
Academic Appointment Stipends	South Asia	6	127,970	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Sub-Saharan Africa	4	10,250	Direct Deposits & Checks	0.		

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

Schedule F, Part I, Line 2: The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars. The Smithsonian Institution Fellowship Program is a competitive fellowship program for graduate and postdoctoral fellows, who apply to conduct research at the Institution with research staff serving as advisors. The review process is made up of disciplinary committees comprised of the Institution's staff who evaluate the candidates and then select the fellows. There are other competitive and non-competitive fellowship, visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selection processes before an award is made. An official letter /agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments can range from one lump sum, biweekly payments or monthly payments. Most fellowship appointments are awarded for one to two years. On occasion, we have visiting scholars or fellows who are conducting research in another country. Payments are usually submitted as stated above, however, on occasion, there may be one or two who request that their payments be sent to the country of their research. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows especially those in other

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

countries, and the administrative management of funds is managed through

the Institution's central administration for accountability.

Per Form 990 instructions, stipends are reported on Schedule F if the

person receiving the stipend is living or residing outside the United

States at the time the stipend is paid or distributed. However, many of

these stipend recipients later travelled to the Smithsonian in the U.S.

to perform their research.

SCHEDULE F-1
(Form 990)

Continuation Sheet for Schedule F (Form 990)

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 to list additional information for
Schedule F (Form 990) Part I, line 3; Part II, line 1; or Part III.
▶ See instructions for Schedule F (Form 990).

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Grants to Recipients Located in the Region		127,970.
Sub-Saharan Africa	0	0	Grants to Recipients Located in the Region		10,250.
Europe	0	0	Program Services	Research	193,899.
South America	0	0	Program Services	Research	88,216.
Totals					420,335.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F-1 (Form 990) 2009

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

▶ Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open To Public
Inspection

Name of the organization

Smithsonian Institution

Employer identification number
53-0206027

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Marts & Lundy	Capital campaign advising		X	0.	348,984.	-348,984.
Epsilon Data	Direct mail advisory & assistance		X	0.	2,011,515.	-2,011,515.
Sanky Communications	Strategic advisory services		X	0.	45,500.	-45,500.
Odell, Simms & Associates	Direct marketing fundraising consulting & se		X	0.	2,325,618.	-2,325,618.
Avalon Consulting Group	Telemarketing & direct marketing consulting		X	0.	389,126.	-389,126.
Direct Advantage Marketing	Telemarketing		X	0.	146,914.	-146,914.
Steege/Thomson Communications, Inc.	Fundraising consulting		X	0.	203,533.	-203,533.
Brakely Briscoe	Fundraising strategy		X	0.	46,859.	-46,859.
Blackbaud, Inc.	Wealth screening & modeling		X	0.	162,199.	-162,199.
Integram	Benefit fulfillment		X	0.	36,514.	-36,514.
Total					5,716,762.	-5,716,762.

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		NDA Dinner & Program (event type)	AAA Benefit (event type)	8 (total number)	
Revenue	1 Gross receipts	1,143,470.	347,050.	491,352.	1,981,872.
	2 Less: Charitable contributions	1,026,286.	283,810.	315,988.	1,626,084.
	3 Gross income (line 1 minus line 2)	117,184.	63,240.	175,364.	355,788.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	14,395.	0.	25,599.	39,994.
	7 Food and beverages	217,657.	73,418.	120,119.	411,194.
	8 Entertainment	73,802.	0.	2,860.	76,662.
	9 Other direct expenses	252,666.	26,885.	112,440.	391,991.
	10 Direct expense summary. Add lines 4 through 9 in column (d)				(919,841)
	11 Net income summary. Combine line 3, column (d), and line 10				-564,053.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				()
	8 Net gaming income summary. Combine line 1, column (d), and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states?

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

b If "Yes," explain: _____

11 Does the organization operate gaming activities with nonmembers?

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

	Yes	No
9a		
10a		
11		
12		

13 Indicate the percentage of gaming activity operated in:

- a The organization's facility

13a	%
13b	%
- b An outside facility

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?

15a		
------------	--	--

- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer Employee Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

17a		
------------	--	--

- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Michigan 412 Maynard St Ann Arbor, MI 48109	38-6006309	501(c)(3)	15,000.	0.			Academic Appointment Stipend
College of William and Mary Office of Grants & Research Admin Williamsburg, VA 23187	54-6001718	501(c)(3)	21,000.	0.			Academic Appointment Stipend
Harvard College 24 Quincy St. Cambridge, MA 02138	04-2103580	501(c)(3)	13,588.	0.			Academic Appointment Stipend
University of Colorado The Regents of U of CO Boulder, CO 80309	84-6000555	501(c)(3)	15,118.	0.			Academic Appointment Stipend
University of Hawaii Office of Research Services Honolulu, HI 96822	99-6000354	501(c)(3)	11,750.	0.			Academic Appointment Stipend

2 Enter total number of section 501(c)(3) and government organizations

3 Enter total number of other organizations

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2009

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
Academic Appointment Stipends	875	9,624,999.	0.		

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Schedule I, Part I, Line 2: The Office of Fellowships has central

management and administrative responsibility for the Smithsonian programs

of research fellowships and other academic appointments for undergraduate,

graduate students, postdoctoral and senior scholars. The Smithsonian

Institution Fellowship Program is a competitive fellowship program for

graduate and postdoctoral fellows, who apply to conduct research at the

Institution with research staff serving as advisors. The review process is

made up of disciplinary committees comprised of the Institution's research

staff who evaluate the candidates and then select the fellows. There are

Part IV Supplemental Information

other competitive and non-competitive fellowship, visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selections processes before an award is made. An official letter/agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments may be made in a lump sum or in periodic payments. Most fellowship appointments are awarded for one to two years. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows, and the funds are managed through the Institution's central administration to ensure accountability. Similiar processes are followed for awards made to organizations whose academic staff perfoms the related research.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input checked="" type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a Receive a severance payment or change-of-control payment?
- b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a The organization?
- b Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a The organization?
- b Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	X	
2	X	
4a		X
4b		X
4c		X
5a		X
5b		X
6a	X	
6b		X
7		X
8		X
9		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part I Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
G. Wayne Clough	(i)	493,314.	0.	5,334.	1,137.	535,399.	0.
	(ii)	0.	0.	0.	0.	0.	0.
John Lapiana	(i)	168,769.	12,000.	217.	5,121.	209,638.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Judith Leonard	(i)	89,181.	60,000.	381.	2,804.	163,117.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Alice Maroni	(i)	286,278.	0.	1,259.	14,781.	338,628.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Virginia Clark	(i)	316,398.	0.	2,170.	15,628.	370,506.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Richard Kurin	(i)	273,159.	20,000.	4,980.	7,523.	342,562.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Evelyn Lieberman	(i)	247,429.	0.	2,987.	0.	286,631.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Era Marshall	(i)	157,585.	0.	0.	12,294.	169,879.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Alison McNally	(i)	182,316.	10,000.	0.	4,779.	197,095.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Thomas Ott	(i)	378,846.	143,478.	834.	8,938.	568,406.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Anne Ryan	(i)	161,989.	2,091.	228.	15,992.	204,881.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Sudeep Anand	(i)	215,547.	0.	1,362.	8,729.	257,766.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Gregory Bokman	(i)	197,252.	51,350.	834.	8,248.	285,877.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Amy Chen	(i)	210,102.	50,000.	552.	483.	297,447.	0.
	(ii)	0.	0.	0.	0.	0.	0.
Bruce Dauer	(i)	245,480.	0.	1,552.	1,005.	284,277.	0.
	(ii)	0.	0.	0.	0.	0.	0.
James Douglas	(i)	213,216.	0.	1,314.	2,505.	248,261.	0.
	(ii)	0.	0.	0.	0.	0.	0.

Part II Supplemental information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Part I, Line 1a: First class travel:

Certain persons listed in Part VII, Section A were authorized for first

class travel when the circumstances of their travel met the requirements of

the Smithsonian's travel policy for such travel and first class travel was

approved in each instance by that individual's designated "approving

official" for travel. The Smithsonian's travel policy is guided by the

Federal Travel Regulations as supplemented by the Smithsonian's Travel

handbook. Those circumstances included (i) one traveler who has a

documented medical condition that permits non-coach travel, when business

class travel was not available on scheduled appropriate flight, and (ii)

one traveler for whom the Smithsonian responsibilities required his

immediate return to Washington, DC, and because of weather conditions no

seat other than a first class seat was available. Since this was approved

business travel, it was not treated as taxable compensation.

Travel for companions:

The Smithsonian's policy for payment of spousal or dependent family member

travel permits such payment only in limited, exceptional cases, when there

is a demonstrated bona fide business purpose for the travel and the travel

Part II Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

further the mission of the Smithsonian, beyond mere attendance at an event

or the performance of incidental services. Such travel is authorized only

if approved by the Office of General Counsel and the Office of

Undersecretary for Finance and Administration (or in the case of the

Secretary, by the Chief Financial Officer) in advance of travel. In the

calendar year 2009, two trips were approved for the wife of Secretary Wayne

Clough, and one trip was approved for the wife of John Bailey, Director of

the National Air and Space Museum. Each trip was authorized consistent

with policy. Because it was determined that there was a bona fide business

purpose for each trip, the cost of the trips was not treated as taxable

income.

Part I, Line 6: Certain employees of Smithsonian Enterprises (SE) are

eligible to participate in the Smithsonian Enterprises Management Incentive

Plan. This eligibility is determined on an annual basis. Even if eligible

to participate, a performance review rating of "Fully Successful" must be

received. The Plan includes both financial and individual (operational)

goals, and these goals must be met in order to receive an incentive

payment. There are different performance levels, with each successive

Part II Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

level giving a higher incentive payment. In addition, the eligible person

must be employed by the organization on the last day of the fiscal year in

order to receive payment.

Part II:

Certain of the Institution's current key employees began their service

after December 31, 2009 and, accordingly, received no compensation for the

reporting period.

SCHEDULE J-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule J (Form 990)

▶ Attach to Form 990 to list additional information for Schedule J (Form 990), Part II.

▶ See instructions for Schedule J (Form 990).

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (Schedule J, Part I)

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
Robert Fraga	153,057.	8,000.	0.	0.	16,462.	177,519.	0.
Mary Payne	222,468.	0.	486.	32,859.	5,121.	260,934.	0.
Ann Speyer	253,420.	0.	367.	36,310.	0.	290,097.	0.
Andrew Zino	156,116.	5,000.	0.	8,109.	13,403.	182,628.	0.
Claudine Brown	0.	0.	0.	0.	0.	0.	0.
Eva Pell	0.	0.	0.	0.	0.	0.	0.
Peter Gibbons	285,000.	0.	834.	36,310.	8,938.	331,082.	0.
John Dailey	279,543.	0.	5,611.	36,310.	0.	321,464.	0.
Kevin Gover	244,510.	0.	566.	36,310.	19,128.	300,514.	0.
Carey Winfrey	276,196.	41,429.	834.	36,310.	8,938.	363,707.	0.
Julian Raby	254,323.	0.	1,655.	36,310.	14,128.	306,416.	0.
Marsha Shaines	158,982.	10,000.	0.	0.	5,791.	174,773.	0.
Cristian Samper	294,110.	0.	300.	36,310.	14,128.	344,848.	0.
Scott Miller	140,740.	10,000.	0.	7,363.	15,662.	173,765.	0.
Clair Gill	214,980.	0.	2,515.	31,067.	1,005.	249,567.	0.
Ira Rubinoff	155,097.	0.	2,566.	20,590.	0.	178,253.	0.
Dorothy Leffler	127,983.	4,419.	0.	0.	13,403.	145,805.	0.
	0.	0.	0.	0.	0.	0.	0.

932191 02-03-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J-1 (Form 990) 2009

SCHEDULE J-2
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.
▶ See the Instructions for Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the Organization

Smithsonian Institution

Employer Identification number
53-0206027

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Anne Ryan Inspector General	50.00				X		164,308.	0.	47,974.	
Sudeep Anand Treasurer	50.00				X		216,909.	0.	45,109.	
Gregory Bokman CFO-SE	50.00				X		249,436.	0.	36,693.	
Amy Chen Chief Investment Officer	50.00				X		260,654.	0.	40,693.	
Bruce Dauer Director-OPMB	50.00				X		247,032.	0.	39,815.	
James Douglas Director-Human Resources	50.00				X		214,530.	0.	36,228.	
Robert Fraga Director-OCon	50.00				X		161,057.	0.	18,583.	
Mary Payne Director-OGR	50.00				X		222,954.	0.	40,501.	
Ann Speyer Chief Information Officer	50.00				X		253,787.	0.	37,460.	
Andrew Zino Comptroller	50.00				X		161,116.	0.	23,633.	
Claudine Brown Director of Education	50.00				X		0.	0.	0.	
Eva Pell Under Sec. - Science	50.00				X		0.	0.	0.	
Peter Gibbons Director of Retail-SE	50.00					X	285,834.	0.	45,611.	
John Dailey Director-NASM	50.00					X	285,154.	0.	38,956.	
Kevin Gover Director, NMAI	50.00					X	245,076.	0.	57,856.	
Carey Winfrey Editor	50.00					X	318,459.	0.	45,600.	
Julian Raby Director, FSG	50.00					X	255,978.	0.	52,635.	
Marsha Shaines Former Acting General Counsel	50.00						168,982.	0.	7,552.	
Cristian Samper Former Acting Secretary	50.00						294,410.	0.	51,701.	
Scott Miller Former Acting Under Sec-Science	50.00						150,740.	0.	23,025.	

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J-2 (Form 990) 2009

SCHEDULE J-2
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.
▶ See the instructions for Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the Organization

Smithsonian Institution

Employer Identification number

53-0206027

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Clair Gill Former Acting Director, OFEO	50.00						X	217,495.	0.	34,984.
Ira Rubinoff Former Acting Under Sec-Science	50.00						X	157,663.	0.	21,495.
Dorothy Leffler Former Acting Director, OCON	50.00						X	132,402.	0.	14,804.

SCHEDULE K
(Form 990)
Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds
Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).
Attach to Form 990. See separate instructions.

OMB No. 1545-0047
2009
Open to Public Inspection

Name of the organization: **Smithsonian Institution**
Employer identification number: **53-0206027**

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer	
						Yes	No	Yes	No
Fairfax County Economic Development Authority	54-0787833	30382EC75	12/03/03	77,545,000.	To construct a museum.		X		X
District of Columbia	52-1834528	254839756	04/26/10	30,500,000.	Refunding of 1997 Bonds		X		X
C									
D									
E									

Part II Proceeds

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Total proceeds of issue		X		33,825,749.						
2 Gross proceeds in reserve funds										
3 Proceeds in refunding or defeasance escrows										
4 Other unspent proceeds				20,371.						
5 Issuance costs from proceeds				403,500.						
6 Working capital expenditures from proceeds										
7 Capital expenditures from proceeds										
8 Year of substantial completion				2010						
9 Were the bonds issued as part of a current refunding issue?		X								
10 Were the bonds issued as part of an advance refunding issue?										
11 Has the final allocation of proceeds been made?	X									
12 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X									

Part III Private Business Use

1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?										
2 Are there any lease arrangements with respect to the financed property which may result in private business use?		X								

Part III Private Business Use (Continued)

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts with respect to the financed property which may result in private business use?		X	X							
b Are there any research agreements with respect to the financed property which may result in private business use?		X		X						
c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		X		X						
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.00 %		.00 %		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.00 %		.00 %		%		%		%
6 Total of lines 4 and 5		.00 %		.00 %		%		%		%
7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X							

Part IV Arbitrage

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?	X			X						
2 Is the bond issue a variable rate issue?	X			X						
3a Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X						
b Name of provider										
c Term of hedge										
4a Were gross proceeds invested in a GIC?		X		X						
b Name of provider										
c Term of GIC										
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5 Were any gross proceeds invested beyond an available temporary period?		X		X						
6 Did the bond issue qualify for an exception to rebate?		X		X						

**SCHEDULE M
(Form 990)**

Noncash Contributions

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Internal Revenue Service

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art	X	1,665		
2 Art - Historical treasures				
3 Art - Fractional interests	X	3		
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	123	5,969,391.	Cost or selling price.
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles	X	9,745		
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts	X	3,739		
23 Scientific specimens	X	88,320		
24 Archeological artifacts	X	1,194		
25 Other (Auction items)	X	14	25,100.	Fair market value
26 Other (Art-non colle)	X	1	20,000.	Fair market value
27 Other (Archival Reco)	X	1,172	0.	
28 Other (Archival Reco)	X	8,548	0.	

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment

29

60

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

	Yes	No
30a		X
31	X	
32a	X	

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2009

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Schedule M, Line 32b: Although the Smithsonian acquires collections with good faith intention of retaining them for an indefinite period of time, prudent collections management includes judicious consideration of appropriate deaccessioning and disposal to refine and improve the quality and relevance of the collections with respect to the Smithsonian's mission and purpose. When objects are deaccessioned for disposal by sale, the Smithsonian contracts with commercial galleries or auction houses to sell the objects in order to assure the best return from the sale.

Schedule M, Line 33: In accordance with professional practice, as allowed by SFAS 116, the Smithsonian does not assign value to collection items acquired by donation.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

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2009

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Form 990, Part III, Line 4a, Program Service Accomplishments:

The collections represent our rich national heritage and the immense
diversity of the natural and cultural worlds. The collections are
fundamental to our work and to that of countless scholars and many
federal agencies; it is our responsibility to preserve them for future
generations. Collections storage was improved with the completion of
the Museum Support Center's Pod 5 for the invertebrate collections from
Natural History and retrofitting Pod 3 to accommodate art museum
materials and special objects requiring cold storage.

The Smithsonian also welcomed 2 litters of lion cubs at the National
Zoo this year. The National Zoo now has a pride of 10 lions.

Form 990, Part III, Line 4b, Program Service Accomplishments:

A groundbreaking exhibition, also permanent, opened in the Museum of
Natural History, "Human Origins" a unique, interactive museum
experience illuminating the major milestones in the evolution of human
beings.

The Museum of American History's "First Ladies at the Smithsonian" now
displays Michelle Obama's gown worn to the inaugural balls in January
2009. "Read My Pins," a traveling exhibition of the exquisite
collection of pins collected by former Secretary of State Madeleine
Albright was housed in the Castle. And the National Museum of African
American History and Culture hosted a major exhibition on Harlem's

Apollo Theater ("Ain't Nothin' Like the Real Thing") in its gallery at

SCHEDULE O

(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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American History.

In September, QVC debuted a new jewelry line of reproductions and adaptations from the gem collection at the National Museum of Natural History pursuant to a license from the Smithsonian. The September show was followed by a live broadcast in December from the Smithsonian Castle. Both programs provided the public with substantial information about the Smithsonian and about the National Gem Collection and a number of its most notable jewelry objects.

Form 990, Part VI, Section A, line 4: Form 990, Part VI, Section A, line 4: The Smithsonian's bylaws were amended in April 2010 to permit the appointment of non-Regents (board members) to standing or special committees, with duties as defined by the committee charters.

Form 990, Part VI, Section A, line 7a: Pursuant to federal statute, the Board of Regents consists of the Vice President of the United States, the Chief Justice of the United States, three members of the U.S. Senate, three members of the U.S. House of Representatives, and nine additional citizen Regents. The three Senators are appointed by the President Pro Tempore of the U.S. Senate, and the three members of the House of Representatives are appointed by the Speaker of the House of Representatives. Their appointment terms coincide with the terms for which they are elected, and they may be reappointed if re-elected. The citizen Regents are nominated by the Board of Regents, and appointed by a Joint Resolution of Congress which is signed by the President of the United States.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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Form 990, Part VI, Section B, line 11: The Form 990 (return), Return of

Organization Exempt from Income Tax, is prepared by the Office of the

Comptroller of the Smithsonian Institution (Institution). Prior to filing,

the return is reviewed by the Smithsonian's Office of General Counsel and

the Institution's outside auditors, if deemed necessary. Once these reviews

are completed, and before filing the return, the Comptroller performs a

comprehensive review of the return and all related documents with a

sub-committee of the Audit and Review Committee of the Board of Regents

(the Institution's governing board). The Comptroller then reviews the

return with the Secretary prior to the review by the full Audit and Review

Committee at a special meeting. All members of the Board of Regents are

provided a copy of the return before it is filed.

Form 990, Part VI, Section B, Line 12c: All officers, key employees, and

certain other employees are required to complete and file annually a

Confidential Financial Disclosure Report. These Reports--which require

disclosure of certain assets, earned income, liabilities, outside

positions, outside contracts and agreements, gifts, hospitality,

reimbursements, and other interests related to the employee's Smithsonian

position--are reviewed by the Institution's Office of General Counsel for

compliance with applicable conflict of interest policies and laws. In

addition to this required reporting, all key employees are required to

comply with the Institution's Standards of Conduct, which, among other

things, requires that employees not engage in private or personal

activities that might conflict or appear to conflict with Smithsonian

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

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Schedule O (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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interests, requires that employees obtain Office of General Counsel approval before engaging in a related outside activity for compensation, and requires employees to consult with the Office of General Counsel whenever a doubt exists as to whether an activity or planned activity violates the Standards. All members of the Smithsonian's governing body are required to complete and file annually the Board of Regents Annual Disclosure Statement. These statements - which require reporting by members of outside positions and substantial shareholding in for-profit business entities, outside positions in non-profit entities, and interests and affiliations of the member or the member's immediate family that have or sought to have a relationship to the Smithsonian-are reviewed by the Institution's General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all members of the Smithsonian's governing body are required to comply with the Board of Regents Ethics Guidelines, which, among other things, define conflicts of interest and establish procedures for disclosing and reporting of conflicts and recusal from decision-making.

Form 990, Part VI, Section B, Line 15: The Smithsonian has both Federal and Trust executive employees. Below are summaries of how compensation for each type is determined.

Trust:

When setting compensation levels, the Smithsonian ensures that the compensation paid is both reasonable and comparable to that offered by comparable organizations to executives with similar duties and responsibilities. In determining comparability, the Smithsonian relies

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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upon verifiable information provided by highly regarded, independent

compensation consultants. The Board of Regents hired

PricewaterhouseCoopers as consultants.

The Board of Regents Committee on Compensation and Human Resources prepares

for its annual compensation review utilizing information provided to the

Regents on the accomplishments of the organization and individuals when

making compensation decisions during its meetings with the Secretary and

senior Smithsonian staff, which are conducted at least three times a year,

in addition to four regularly scheduled meetings of the full Board. In

preparing for their annual analysis of compensation, the Committee is well

informed on the Institution's progress toward achieving its annual

financial and budgetary goals.

For those positions subject to a market-based compensation approach, the

Committee's review is aided by a comparative survey performed by a highly

regarded, independent outside consultant. Currently, this survey is

performed every two years. During the "off" year, the data is

traditionally aged using an aging factor provided by the expert consultant.

To determine compensation comparables, the Compensation and Human Resources

Committee, upon considering the recommendations of the compensation

consultant, and in keeping with accepted practices, determines the types of

organizations that are similarly situated, and requires the compensation

consultant to compare the duties and responsibilities of the specific

Smithsonian positions with those in similarly situated organizations to

ensure that the positions are functionally comparable. Those decisions are

documented as part of the final compensation recommendation materials.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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Federal:

Unlike with its Trust executives, the Smithsonian does not rely upon comparative data to set salaries for its Federal executives. Instead, Federal salaries are governed by SL ("senior level") pay scale, which has an aggregate pay cap. Congress passes annual legislation establishing the pay increase, and the overall pay scales are then created. Previously, SL pay was composed of base pay plus locality pay; in April 2009, legislation eliminated the locality pay, moving it all into the base pay category for existing SL employees.

Federal senior level salary increases are determined by an executive's performance rating, with clear guidelines set by the Institution delineating a range of potential salary increases for each level of performance rating. Unit Directors make their recommendations for salary increases and performance awards through their respective Under Secretaries to the Secretary.

Form 990, Part VI, Section C, Line 19: The Smithsonian makes these documents available on its public website (www.smithsonian.org). The documents can also be viewed at the Comptroller's office or mailed.

Schedule G, Part I, Line 2b, Column (v): As the Smithsonian has multiple fundraisers, some of whom work on the same campaign, it is not possible to accurately report the exact amount of revenue associated with each fundraiser. Please note that none of the fundraisers had control of any contributions; all were paid under the terms of negotiated contracts.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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Form 990, Page 1, Line K

Type of organization (other)

The Smithsonian Institution is a trust instrumentality of the United

States, created by Congress, and organized pursuant to 20 U.S.C. sec.

41 et seq.

Form 990, Page 1, Line M

As a trust instrumentality of the United States, the Smithsonian

Institution is a federal entity that is not domiciled in any state.

Schedule G, Part I, line 3

The Smithsonian is a trust instrumentality of the United States and as

such is exempt from state regulations pursuant to the Supremacy Clause

of the U.S. Constitution. All states that have inquired about

Smithsonian fundraising solicitation registration have acknowledged

this exemption.

Form 990, Part VII and Schedule J-2

Smithsonian Institution abbreviations used in return

The following are Smithsonian Institution abbreviations found in the

body of this return:

NASM - National Air and Space Museum

OCon - Office of Contracting

OEEMA - Office of Equal Employment and Minority Affairs

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

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Schedule O (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
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OFEO - Office of Facilities, Engineering and Operations

OGR - Office of Government Relations

OPA - Office of Public Affairs

OPMB - Office of Planning, Management and Budget

OUSHC - Office of the Under Secretary of History, Art and Culture

SE - Smithsonian Enterprises

2009 DEPRECIATION AND AMORTIZATION REPORT
Form 990 Page 10

990

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	Buildings											
3	Building	Varies	SL	30.00	16	221,957,062.0			221,957,062.0	106,324,803.9		69,861,741.
	* 990 Page 10 Total											
	Buildings & Machinery & Equipment											
	Building	Varies	SL	30.00	16	221,957,062.0		0.	221,957,062.0	106,324,803.9	0.	69,861,741.
	* 990 Page 10 Total											
4	Equipment											
	Equipment	Varies	SL	.000	16	257,339,128.			257,339,128.	160,973,714.		21,614,270.
	* 990 Page 10 Total											
	Machinery & Equipment											
	Machinery & Equipment	Varies	SL	.000	16	257,339,128.		0.	257,339,128.	160,973,714.	0.	21,614,270.
	* 990 Page 10 Total											
	Land											
	Land	Varies		.000	16	12,530,301.			12,530,301.	0.		0.
	* 990 Page 10 Total											
	Program Services											
	Program Services	Varies		.000	16	365,965,671.			365,965,671.	0.		0.
	* 990 Page 10 Total											
	Construction-in-Progress											
	Construction-in-Progress	Varies	SL	.000	16	114,081,305.			114,081,305.	16,428,540.		9,950,537.
	* 990 Page 10 Total											
	Program Services											
	Program Services	Varies	SL	.000	16	480,046,976.		0.	480,046,976.	16,428,540.	0.	9,950,537.
	* Grand Total 990 Page 10 Depr					296,948,025.		0.	296,948,025.	124,065,029.3	0.	101,436,548.

928102 06-24-09 (D) - Asset disposed * ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction