

# **Smelter and Refiner List**

#### December 2016

This smelter and refiner list includes smelters and refiners identified in Apple's supply chain as of December 31, 2016.

### Third Party Audit Status

Compliant denotes smelters and refiners verified as compliant by a recognized third-party audit program or equivalent.

Participating denotes smelters and refiners that have agreed to participate in a recognized third-party audit, equivalent audit, or supervised corrective action plan and are actively engaged in that process.

For more details and the most current status of each smelter or refiner, visit the Conflict-Free Smelter Program (CFSP) website at www.conflictfreesourcinginitiative.org and LBMA's Good Delivery list at www.lbma.org.uk/the-good-delivery-list, or company websites.

#### Risk Readiness Assessment Status

As part of the Organisation for Economic Co-operation and Development (OECD) guidance, supply chain actors should identify and report on their risks. Apple has developed a holistic tool for supply chain risks reporting called the Risk Readiness Assessment (RRA). The RRA covers general reporting of each company's risk readiness across a number of different risk issue areas. Apple may approve, on a case-by-case basis, other risk reporting tools that provide equivalent information.

Completed denotes smelters and refiners that have completed and disclosed to Apple a Risk Readiness Assessment or an equivalent Apple approved supply chain risk reporting tool.

Not Completed denotes smelters and refiners that have not completed and disclosed to Apple a Risk Readiness Assessment or an equivalent Apple approved supply chain risk reporting tool.

| Metal                  | Smelter Name                       | Country       | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|------------------------|------------------------------------|---------------|-----------------------------|-------------------------------------|
| <ul><li>Gold</li></ul> | Advanced Chemical Co.*             | United States | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Aida Chemical Industries Co., Ltd. | Japan         | Compliant                   | Completed                           |

<sup>\*</sup> Denotes the smelter or refiner exclusively as a recycler

| Metal                  | Smelter Name   | Country                 | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|------------------------|--|-------------------------|-----------------------------|-------------------------------------|
| <ul><li>Gold</li></ul> | Allgemeine Gold-und Silberscheideanstalt<br>AG                     | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Almalyk Mining and Metallurgical<br>Complex                        | Uzbekistan              | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | AngloGold Ashanti Córrego do Sítio<br>Mineração                    | Brazil                  | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Argor-Heraeus S.A.   | Switzerland             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Asahi Pretec Corp.*  | Japan                   | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Asahi Refining Canada Ltd.   | Canada                  | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Asahi Refining USA Inc.  | United States           | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Asaka Riken Co., Ltd.*   | Japan                   | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | AU Traders and Refiners  | South Africa            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Aurubis AG   | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Bangalore Refinery   | India                   | Participating               | Not Completed                       |
| <ul><li>Gold</li></ul> | Bangko Sentral ng Pilipinas (Central Bank of the Philippines)      | Philippines             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Boliden AB   | Sweden                  | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | C. Hafner GmbH + Co. KG  | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | CCR Refinery – Glencore Canada Corp.                               | Canada                  | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Cendres + Métaux S.A.  | Switzerland             | Participating               | Completed                           |
| <ul><li>Gold</li></ul> | Chimet S.p.A.  | Italy                   | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Daejin Indus Co., Ltd.   | Republic of Korea       | Participating               | Not Completed                       |
| <ul><li>Gold</li></ul> | Daye Non-Ferrous Metals Mining Ltd.                                | China                   | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Doduco GmbH*   | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Dowa*  | Japan                   | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | DSC (Do Sung Corp.)  | Republic of Korea       | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Eco-System Recycling Co., Ltd.*                                    | Japan                   | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Elemetal Refining LLC  | United States           | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Emirates Gold DMCC   | United Arab<br>Emirates | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Geib Refining Corp.  | United States           | Participating               | Not Completed                       |
| <ul><li>Gold</li></ul> | Great Wall Precious Metals Co., Ltd. of CBPM                       | China                   | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Heimerle + Meule GmbH  | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Heraeus Ltd. Hong Kong   | China                   | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Heraeus Precious Metals GmbH & Co. KG                              | Germany                 | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Inner Mongolia Qiankun Gold and Silver<br>Refinery Share Co., Ltd. | China                   | Compliant                   | Not Completed                       |

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| Metal                  | Smelter Name  | Country           | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|------------------------|---|-------------------|-----------------------------|-------------------------------------|
| <ul><li>Gold</li></ul> | Ishifuku Metal Industry Co., Ltd.   | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Istanbul Gold Refinery  | Turkey            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Japan Mint  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Jiangxi Copper Co., Ltd.  | China             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | JSC Ekaterinburg Non-Ferrous Metal<br>Processing Plant                          | Russia            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | JSC UralElectromed  | Russia            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | JX Nippon Mining & Metals Co., Ltd.   | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Kazzinc   | Kazakhstan        | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Kennecott Utah Copper LLC   | United States     | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | KGHM Polska Miedź Spółka Akcyjna  | Poland            | Participating               | Completed                           |
| <ul><li>Gold</li></ul> | Kojima Chemicals Co., Ltd.*   | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Kyrgyzaltyn JSC   | Kyrgyzstan        | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | LS-NIKKO Copper Inc.  | Republic of Korea | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Materion*   | United States     | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Matsuda Sangyo Co., Ltd.  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalor Technologies (Hong Kong) Ltd.   | China             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalor Technologies (Singapore) Pte.,<br>Ltd.                                  | Singapore         | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalor Technologies (Suzhou) Ltd.  | China             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalor Technologies S.A.   | Switzerland       | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalor USA Refining Corp.  | United States     | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Metalúrgica Met-Mex Peñoles S.A. de C.V.  | Mexico            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Mitsubishi Materials Corp.  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Mitsui Mining and Smelting Co., Ltd.  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | MMTC-PAMP India Pvt., Ltd.  | India             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Moscow Special Alloys Processing Plant  | Russia            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Nadir Metal Rafineri San. Ve Tic A.Ş.   | Turkey            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Navoi Mining and Metallurgical Combinat   | Uzbekistan        | Participating               | Not Completed                       |
| <ul><li>Gold</li></ul> | Nihon Material Co., Ltd.  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Ögussa Österreichische Gold- und Silber-<br>Scheideanstalt GmbH                 | Austria           | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Ohura Precious Metal Industry Co., Ltd.*  | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | OJSC "The Gulidov Krasnoyarsk Non-<br>Ferrous Metals Plant" (OJSC Krastsvetmet) | Russia            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | OJSC Novosibirsk Refinery   | Russia            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | PAMP S.A.   | Switzerland       | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Prioksky Plant of Non-Ferrous Metals  | Russia            | Compliant                   | Not Completed                       |
|                        |   |                   |                             |                                     |

<sup>\*</sup> Denotes the smelter or refiner exclusively as a recycler

| Metal                  | Smelter Name   | Country           | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|------------------------|--|-------------------|-----------------------------|-------------------------------------|
| <ul><li>Gold</li></ul> | PT Aneka Tambang (Persero) Tbk                         | Indonesia         | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | PX Précinox S.A.                                       | Switzerland       | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Rand Refinery (Pty) Ltd.                               | South Africa      | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Republic Metals Corp.                                  | United States     | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Royal Canadian Mint                                    | Canada            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Samduck Precious Metals*                               | Republic of Korea | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | SAXONIA Edelmetalle GmbH*                              | Germany           | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Schone Edelmetaal B.V.                                 | Netherlands       | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | SEMPSA Joyería Platería S.A.                           | Spain             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Shandong Zhaojin Gold & Silver Refinery Co., Ltd.      | China             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Sichuan Tianze Precious Metals Co., Ltd.               | China             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Singway Technology Co., Ltd.*                          | Taiwan            | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | SOE Shyolkovsky Factory of Secondary Precious Metals   | Russia            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Solar Applied Materials Technology Corp.               | Taiwan            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Sumitomo Metal Mining Co., Ltd.                        | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | T.C.A. S.p.A.  | Italy             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Tanaka Kikinzoku Kogyo K.K.                            | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | The Refinery of Shandong Gold Mining Co., Ltd.         | China             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Tokuriki Honten Co., Ltd.                              | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Torecom*   | Republic of Korea | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Umicore Brasil Ltda.                                   | Brazil            | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Umicore Precious Metals Thailand                       | Thailand          | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Umicore S.A. Business Unit Precious<br>Metals Refining | Belgium           | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | United Precious Metal Refining Inc.*                   | United States     | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Valcambi S.A.  | Switzerland       | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Western Australian Mint trading as The<br>Perth Mint   | Australia         | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Wieland Edelmetalle GmbH*                              | Germany           | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Yamamoto Precious Metal Co., Ltd.*                     | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Yokohama Metal Co., Ltd.*                              | Japan             | Compliant                   | Completed                           |
| <ul><li>Gold</li></ul> | Zhongyuan Gold Smelter of Zhongjin Gold Corp.          | China             | Compliant                   | Not Completed                       |
| <ul><li>Gold</li></ul> | Zijin Mining Group Co., Ltd. Gold Refinery             | China             | Compliant                   | Not Completed                       |
| <ul><li>Tin</li></ul>  | Alpha  | United States     | Compliant                   | Completed                           |
|                        |  |                   |                             |                                     |

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| Metal                 | Smelter Name  | Country       | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|-----------------------|---|---------------|-----------------------------|-------------------------------------|
| • Tin                 | An Vinh Joint Stock Mineral Processing Co.  | Vietnam       | Participating               | Not Completed                       |
| <ul><li>Tin</li></ul> | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.                                   | China         | Compliant                   | Not Completed                       |
| • Tin                 | China Tin Group Co., Ltd.   | China         | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul> | Cooperativa Metalurgica de Rondônia<br>Ltda.  | Brazil        | Compliant                   | Not Completed                       |
| • Tin                 | CV Ayi Jaya   | Indonesia     | Compliant                   | Completed                           |
| • Tin                 | CV Dua Sekawan  | Indonesia     | Compliant                   | Not Completed                       |
| • Tin                 | CV Gita Pesona  | Indonesia     | Compliant                   | Not Completed                       |
| • Tin                 | CV Serumpun Sebalai   | Indonesia     | Compliant                   | Completed                           |
| • Tin                 | CV Tiga Sekawan   | Indonesia     | Compliant                   | Not Completed                       |
| • Tin                 | CV United Smelting  | Indonesia     | Compliant                   | Completed                           |
| • Tin                 | CV Venus Inti Perkasa   | Indonesia     | Compliant                   | Completed                           |
| • Tin                 | Dowa  | Japan         | Compliant                   | Not Completed                       |
| <ul><li>Tin</li></ul> | Electro-Mechanical Facility of the Cao<br>Bang Minerals &Metallurgy Joint Stock Co. | Vietnam       | Participating               | Not Completed                       |
| • Tin                 | Elmet S.L.U. (Metallo Group)  | Spain         | Compliant                   | Not Completed                       |
| • Tin                 | EM Vinto  | Bolivia       | Compliant                   | Not Completed                       |
| • Tin                 | Fenix Metals  | Poland        | Compliant                   | Completed                           |
| • Tin                 | Gejiu Kai Meng Industry and Trade LLC   | China         | Participating               | Completed                           |
| <ul><li>Tin</li></ul> | Gejiu Non-Ferrous Metal Processing Co.,<br>Ltd.                                     | China         | Compliant                   | Completed                           |
| • Tin                 | Gejiu Yunxin Nonferrous Electrolysis Co.,<br>Ltd.                                   | China         | Participating               | Not Completed                       |
| • Tin                 | Guanyang Guida Nonferrous Metal<br>Smelting Plant                                   | China         | Compliant                   | Completed                           |
| • Tin                 | HuiChang Hill Tin Industry Co., Ltd.  | China         | Compliant                   | Not Completed                       |
| <ul><li>Tin</li></ul> | Jiangxi Ketai Advanced Material Co., Ltd.   | China         | Compliant                   | Completed                           |
| • Tin                 | Magnu's Minerais Metais e Ligas Ltda.   | Brazil        | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul> | Malaysia Smelting Corp. (MSC)   | Malaysia      | Compliant                   | Completed                           |
| • Tin                 | Melt Metais e Ligas S.A.  | Brazil        | Compliant                   | Completed                           |
| • Tin                 | Metallic Resources Inc.*  | United States | Compliant                   | Completed                           |
| • Tin                 | Metallo-Chimique N.V.   | Belgium       | Compliant                   | Completed                           |
| • Tin                 | Mineração Taboca S.A.   | Brazil        | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul> | Minsur  | Peru          | Compliant                   | Completed                           |
| • Tin                 | Mitsubishi Materials Corp.*   | Japan         | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul> | O.M. Manufacturing (Thailand) Co., Ltd.*  | Thailand      | Compliant                   | Completed                           |
|                       |   |               |                             |                                     |

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| Metal                      | Smelter Name                                     | Country     | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|----------------------------|--|-------------|-----------------------------|-------------------------------------|
| <ul><li>Tin</li></ul>      | O.M. Manufacturing Philippines Inc.              | Philippines | Compliant                   | Completed                           |
| • Tin                      | Operaciones Metalurgical S.A.                    | Bolivia     | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul>      | PT Aries Kencana Sejahtera                       | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Artha Cipta Langgeng                          | Indonesia   | Compliant                   | Completed                           |
| <ul><li>Tin</li></ul>      | PT ATD Makmur Mandiri Jaya                       | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Babel Inti Perkasa                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Bangka Prima Tin                              | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Bangka Tin Industry                           | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Belitung Industri Sejahtera                   | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Bukit Timah                                   | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Cipta Persada Mulia                           | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT DS Jaya Abadi                                 | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Eunindo Usaha Mandiri                         | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Inti Stania Prima                             | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Kijang Jaya Mandiri                           | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Mitra Stania Prima                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Panca Mega Persada                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Prima Timah Utama                             | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Refined Bangka Tin                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Sariwiguna Binasentosa                        | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Stanindo Inti Perkasa                         | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Sukses Inti Makmur                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Sumber Jaya Indah                             | Indonesia   | Compliant                   | Not Completed                       |
| • Tin                      | PT Timah (Persero) Tbk Kundur                    | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Timah (Persero) Tbk Mentok                    | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Tinindo Inter Nusa                            | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | PT Tommy Utama                                   | Indonesia   | Compliant                   | Completed                           |
| • Tin                      | Resind Indústria e Comércio Ltda.                | Brazil      | Compliant                   | Completed                           |
| • Tin                      | Rui Da Hung                                      | Taiwan      | Compliant                   | Completed                           |
| • Tin                      | Soft Metais Ltda.                                | Brazil      | Compliant                   | Completed                           |
| • Tin                      | Thaisarco  | Thailand    | Compliant                   | Completed                           |
| • Tin                      | VQB Mineral and Trading Group JSC                | Vietnam     | Compliant                   | Completed                           |
| • Tin                      | White Solder Metalurgia e Mineração Ltda.        | Brazil      | Compliant                   | Completed                           |
| • Tin                      | Yunnan Chengfeng Non-ferrous Metals<br>Co., Ltd. | China       | Participating               | Completed                           |
| <ul><li>Tin</li></ul>      | Yunnan Tin Co., Ltd.                             | China       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Changsha South Tantalum Niobium Co.,<br>Ltd.     | China       | Compliant                   | Completed                           |

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| Metal                      | Smelter Name   | Country       | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|----------------------------|--|---------------|-----------------------------|-------------------------------------|
| <ul><li>Tantalum</li></ul> | Conghua Tantalum and Niobium Smeltry                 | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | D Block Metals LLC                                   | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Duoluoshan   | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | Exotech Inc.   | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | F&X Electro-Materials Ltd.                           | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | FIR Metals & Resource Ltd.                           | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | Global Advanced Metals Aizu                          | Japan         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Global Advanced Metals Boyertown                     | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Guangdong Zhiyuan New Material Co.,<br>Ltd.          | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Co., Ltd.                                | Thailand      | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Hermsdorf GmbH                           | Germany       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Inc.                                     | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Ltd.                                     | Japan         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Smelting GmbH & Co. KG                   | Germany       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | H.C. Starck Tantalum and Niobium GmbH,<br>Goslar     | Germany       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Hengyang King Xing Lifeng New Materials<br>Co., Ltd. | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Jiangxi Dinghai Tantalum & Niobium Co.,<br>Ltd.      | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | Jiangxi Tuohong New Raw Material                     | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | JiuJiang JinXin Nonferrous Metals Co.,<br>Ltd.       | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Jiujiang Nonferrous Metals Smelting Corp.,<br>Ltd.   | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Jiujiang Zhongao Tantalum & Niobium Co.,<br>Ltd.     | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | Kemet Blue Metals                                    | Mexico        | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Kemet Blue Powder                                    | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | King-Tan Tantalum Industry Ltd.                      | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | LSM Brasil S.A.                                      | Brazil        | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Metallurgical Products India Pvt., Ltd.              | India         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Mineração Taboca S.A.                                | Brazil        | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Mitsui Mining & Smelting                             | Japan         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Molycorp Silmet A.S.                                 | Estonia       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Ningxia Orient Tantalum Industry Co., Ltd.           | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Plansee SE Liezen                                    | Austria       | Compliant                   | Completed                           |

<sup>\*</sup> Denotes the smelter or refiner exclusively as a recycler

| Metal                      | Smelter Name                                       | Country       | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|----------------------------|--|---------------|-----------------------------|-------------------------------------|
| <ul><li>Tantalum</li></ul> | Plansee SE Reutte                                  | Austria       | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Power Resources Ltd.                               | Malta         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | QuantumClean*                                      | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Resind Indústria e Comércio Ltda.                  | Brazil        | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | RFH Tantalum Smeltry Co., Ltd.                     | China         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Solikamsk Magnesium Works OAO                      | Russia        | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Taki Chemicals                                     | Japan         | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Telex Metals                                       | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Tranzact Inc.*                                     | United States | Compliant                   | Completed                           |
| <ul><li>Tantalum</li></ul> | Ulba Metallurgical Plant JSC                       | Kazakhstan    | Compliant                   | Completed                           |
| ● Tantalum                 | XinXing Haorong Electronic Material Co.,<br>Ltd.   | China         | Compliant                   | Not Completed                       |
| <ul><li>Tantalum</li></ul> | Yichun Jin Yang Rare Metal Co., Ltd.               | China         | Compliant                   | Not Completed                       |
| ● Tantalum                 | Zhuzhou Cemented Carbide Group Corp.,<br>Ltd.      | China         | Compliant                   | Not Completed                       |
| Tungsten                   | A.L.M.T. Tungsten Corp.                            | Japan         | Compliant                   | Completed                           |
| Tungsten                   | Asia Tungsten Products Vietnam Ltd.                | Vietnam       | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Chenzhou Diamond Tungsten Products Co., Ltd.       | China         | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Chongyi Zhangyuan Tungsten Co., Ltd.               | China         | Compliant                   | Completed                           |
| Tungsten                   | FuJian JinXin Tungsten Co., Ltd.                   | China         | Compliant                   | Not Completed                       |
| <ul><li>Tungsten</li></ul> | Ganzhou Huaxing Tungsten Products Co.,<br>Ltd.     | China         | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Ganzhou Jiangwu Ferrotungsten Co., Ltd.            | China         | Compliant                   | Completed                           |
| Tungsten                   | Ganzhou Seadragon W & Mo Co., Ltd.                 | China         | Compliant                   | Not Completed                       |
| Tungsten                   | Global Tungsten & Powders Corp.                    | United States | Compliant                   | Completed                           |
| Tungsten                   | Guangdong Xianglu Tungsten Co., Ltd.               | China         | Compliant                   | Completed                           |
| Tungsten                   | H.C. Starck Smelting GmbH                          | Germany       | Compliant                   | Completed                           |
| Tungsten                   | H.C. Starck Smelting GmbH & Co. KG*                | Germany       | Compliant                   | Completed                           |
| Tungsten                   | Hunan Chenzhou Mining Co., Ltd.                    | China         | Compliant                   | Completed                           |
| Tungsten                   | Hunan Chuangda Vanadium Tungsten Co.,<br>Ltd. Wuji | China         | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Hunan Chunchang Nonferrous Metals Co.,<br>Ltd.     | China         | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Hydrometallurg JSC                                 | Russia        | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Japan New Metals Co., Ltd.                         | Japan         | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Jiangwu H.C. Starck Tungsten Products<br>Co., Ltd. | China         | Compliant                   | Completed                           |

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| Metal                      | Smelter Name  | Country           | Third Party<br>Audit Status | Risk Readiness<br>Assessment Status |
|----------------------------|---|-------------------|-----------------------------|-------------------------------------|
| <ul><li>Tungsten</li></ul> | Jiangxi Gan Bei Tungsten Co., Ltd.                              | China             | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Jiangxi Tonggu Non-ferrous Metallurgical<br>& Chemical Co.,Ltd. | China             | Compliant                   | Not Completed                       |
| Tungsten                   | Jiangxi Xinsheng Tungsten Industry Co., Ltd.                    | China             | Compliant                   | Not Completed                       |
| <ul><li>Tungsten</li></ul> | Jiangxi Xiushui Xianggan Nonferrous<br>Metals Co., Ltd.         | China             | Compliant                   | Not Completed                       |
| Tungsten                   | Jiangxi Yaosheng Tungsten Co., Ltd.                             | China             | Compliant                   | Completed                           |
| Tungsten                   | Kennametal Fallon   | United States     | Compliant                   | Not Completed                       |
| Tungsten                   | Kennametal Huntsville   | United States     | Compliant                   | Not Completed                       |
| Tungsten                   | Malipo Haiyu Tungsten Co., Ltd.                                 | China             | Compliant                   | Completed                           |
| Tungsten                   | Moliren Ltd.  | Russia            | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Niagara Refining LLC  | United States     | Compliant                   | Completed                           |
| Tungsten                   | Nui Phao H.C. Starck Tungsten Chemicals                         | Vietnam           | Compliant                   | Completed                           |
|                            | Manufacturing LLC   |                   |                             |                                     |
| <ul><li>Tungsten</li></ul> | Philippine Chuangxin Industrial Co., Inc.*                      | Philippines       | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | South-East Nonferrous Metal Co., Ltd. of Hengyang City          | China             | Compliant                   | Completed                           |
| Tungsten                   | Tejing (Vietnam) Tungsten Co., Ltd.                             | Vietnam           | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Unecha Refractory Metals Plant                                  | Russia            | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Vietnam Youngsun Tungsten Industry Co.,Ltd.                     | Vietnam           | Compliant                   | Not Completed                       |
| Tungsten                   | Wolfram Bergbau und Hütten AG                                   | Austria           | Compliant                   | Completed                           |
| Tungsten                   | Woltech Korea Co., Ltd.   | Republic of Korea | Compliant                   | Completed                           |
| Tungsten                   | Xiamen Tungsten (H.C.) Co., Ltd.                                | China             | Compliant                   | Completed                           |
| Tungsten                   | Xiamen Tungsten Co., Ltd.                                       | China             | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Xinfeng Huarui Tungsten & Molybdenum<br>New Material Co., Ltd.  | China             | Compliant                   | Completed                           |
| <ul><li>Tungsten</li></ul> | Xinhai Rendan Shaoguan Tungsten Co., Ltd.                       | China             | Compliant                   | Completed                           |
| <ul><li>Cobalt</li></ul>   | Freeport Cobalt Oy (Kokkola)                                    | Finland           | Compliant                   | Completed                           |
| <ul><li>Cobalt</li></ul>   | Gangzhou Yi Hao Umicore Industry Co.                            | China             | Compliant                   | Completed                           |
| <ul><li>Cobalt</li></ul>   | Jiangsu Cobalt Nickel Metal (KLK)                               | China             | Participating               | Completed                           |
| <ul><li>Cobalt</li></ul>   | Lanzhou Jinchuan Advanced Materials<br>Technology Co., Ltd.     | China             | Participating               | Completed                           |
| <ul><li>Cobalt</li></ul>   | Umicore Olen  | Belgium           | Compliant                   | Completed                           |
| <ul><li>Cobalt</li></ul>   | Zhejiang Huayou Cobalt Company Co., Ltd.                        | China             | Participating               | Completed                           |

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## For More Information

For more information about Apple's Supplier Responsibility Program, visit www.apple.com/supplier-responsibility.

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