

For official use only:

Customer Name

Case No.

FS Form 1048 (revised September 2016)

OMB No. 1530-0021

Claim for Lost, Stolen, or Destroyed United States Savings Bonds



IMPORTANT: Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime that is punishable by fine and/or imprisonment.

PRINT IN INK OR TYPE ALL INFORMATION

1. DESCRIPTION OF BONDS

Describe the missing bonds in the spaces below. If you don't know the bond serial numbers, provide **all** of the information requested below and also indicate the total number of bonds that are missing.

ISSUE DATE (Specific month and year of purchase)	FACE AMOUNT	BOND NUMBER	INSCRIPTION (Provide complete Social Security Number [for example, 123-45-6789], names, including middle names or initials, and addresses [street, city, state] on the bonds. If a bond was received as a gift, provide the purchaser's Social Security Number.)

(If you need more space, attach either FS Form 3500 (see www.treasurydirect.gov/forms/sav3500.pdf), a plain sheet of paper, or a photocopy of this section of the form.)

2. DETAILS OF THE LOSS – Mark the appropriate boxes and provide complete details of the loss.

Lost

- The bonds were: Stolen ⇒ Date of Theft: _____
Was a police report filed? Yes No *If Yes, attach a copy of the report.*
- Destroyed ⇒ **Send any remaining pieces with this form.**

- When was the loss discovered? _____
- Who had the bonds last, and why? _____
- Who had access to the bonds? _____
- What was the result of your inquiry to the person(s) who had access? _____
- Where were the bonds last placed? _____
- When were the bonds last seen? _____
- Were any identification documents also lost or stolen? Yes No
If **Yes**, please list them: _____

- Have you received reimbursement because of the loss? Yes No
Please explain, including details of any court proceedings pending or contemplated.

3. AUTHORITY – Provide details regarding your authority to complete a claim for the missing bonds.

- Are you named on the bonds? Yes No If **Yes**, skip to Item 4. If **No**, provide the following information:
Describe your authority: _____
(Show authority: i.e., parent, guardian, conservator, legal representative, administrator, executor, etc.)
- Are you court-appointed? Yes No (If **Yes**, see "**LEGAL REPRESENTATIVE**" in the Instructions.)

4. MINORS – Provide details regarding any minor named on the bonds. (See "**MINORS**" in the Instructions.)

- Is there a minor named on the bonds? Yes No If **No**, skip to Item 5. If **Yes**, fully complete the following:
- What is the minor's :
 - Name? _____ ➢ DOB? _____
 - Social Security Number? _____
- What is your relationship to the minor? _____
- Does the minor live with you? Yes No
If **No**, with whom? _____
(Name) (Relationship to Minor)

(Address)
- Who provides the minor's chief support?

(Name) (Relationship to Minor)

(Address)
- Are both parents able to sign the application for relief? Yes No
If **Yes**, skip to Item 5. If **No**, fully complete the following:
 - Why are you unable to obtain the signature? _____
 - Did that parent have access to the bonds? Yes No
 - Could that parent have possession of the bonds? Yes No

5. RELIEF REQUESTED – Indicate whether you want substitute bonds or payment. **NOTE:** Substitute bonds can't be issued in some cases, including if a bond is within one full calendar month of its final maturity. See Item 5 in the Instructions.

A. Series EE or Series I Bonds

- **I/We hereby request:** *Substitute Electronic Bonds Payment by Direct Deposit

***When we reissue a Series EE or Series I savings bond, we no longer provide a paper bond. The reissued bond is in electronic form, in our online system TreasuryDirect. For information on opening an account in TreasuryDirect, go to www.treasurydirect.gov.**

B. Series HH Bonds

- **I/We hereby request:** Substitute Paper Bonds Payment by Direct Deposit

6. DELIVERY INSTRUCTIONS

A. FOR ELECTRONIC SUBSTITUTE BONDS—SERIES EE OR SERIES I

TreasuryDirect account number: _____

Account name: _____

Social Security Number or Employer Identification Number: _____

NOTE: You may add a secondary owner or beneficiary once bonds have been replaced in electronic form within your TreasuryDirect account. For more information, access your account and click on "How do I" at the top of the page to find instructions on how to add a secondary owner or beneficiary.

TAX LIABILITY: If the name of a living owner or principal coowner of the bonds is eliminated from the registration, the owner or principal coowner must include the interest earned and previously unreported on the bonds to the date of the transaction on his or her Federal income tax return for the year of the reissue. (Both registrants are considered to be coowners when bonds are registered in the form: "A" or "B.") The principal coowner is the coowner who (1) purchased the bonds with his or her own funds, or (2) received them as a gift, inheritance, or legacy, or as a result of judicial proceedings, and had them reissued in coownership form, provided he or she has received no contribution in money or money's worth for designating the other coowner on the bonds. If the reissue is a reportable event, the interest earned on the bonds to the date of the reissue will be reported to the Internal Revenue Service (IRS) by a Federal Reserve Bank or Branch or the Bureau of the Fiscal Service under the Tax Equity and Fiscal Responsibility Act of 1982. **THE OBLIGATION TO REPORT THE INTEREST CANNOT BE TRANSFERRED TO SOMEONE ELSE THROUGH A REISSUE TRANSACTION.** If you have questions concerning the tax consequences, consult the IRS, or write to the Commissioner of Internal Revenue, Washington, DC 20224. **Unless we are otherwise informed, the first-named coowner will be considered the principal coowner for the purpose of this transaction.**

B. FOR SUBSTITUTE PAPER BONDS—SERIES HH

MAIL BONDS TO:

(Name)

(Number and Street, Rural Route, or P.O. Box) (City) (State) (ZIP Code)

C. FOR DIRECT-DEPOSIT PAYMENT--ANY SERIES OF BONDS

Payee must provide a Social Security Number or Employer Identification Number:

(Social Security Number of Payee)

(Employer Identification Number of Payee)

(Name/Names on the Account)

Type of Account: Checking Savings

(Depositor's Account No.)

Bank Routing No. (nine digits): _____

(Financial Institution's Name)

(Financial Institution's Phone No.)

7. SIGNATURES AND CERTIFICATION

I/We severally petition the Secretary of the Treasury for relief as authorized by law and, if relief is granted, acknowledge that the original bonds become the property of the United States. Upon the granting of relief, I/we assign all our right, title, and interest in the original bonds to the United States and bind myself/ourselves, my/our heirs, executors, administrators, successors and assigns, jointly and severally: (1) to surrender the original bonds to the Department of the Treasury if they are recovered; (2) to hold the United States harmless due to any claim by any other parties having, or claiming to have, interests in these bonds; and (3) upon demand by the Department of the Treasury, to indemnify unconditionally the United States and repay to the Department of the Treasury all sums of money which the Department may pay due to the redemption of these original bonds, including any interest, administrative costs and penalties, and any other liability or losses incurred as a result of such redemption. I/We consent to the release of any information in this form or regarding the bonds described to any party having an ownership or entitlement interest in these bonds.

I/We certify, under penalty of perjury, and severally affirm and say that the bonds described on this form have been lost, stolen, or destroyed, and that the information given is true to the best of my/our knowledge and belief.

You must wait until you are in the presence of a certifying officer to sign this form.

Sign Here ⇒ _____
(Signature) (Print Name)

Home Address _____
(Street, Rural Route, or P.O. Box) (Social Security Number)

(City) (State) (ZIP Code) (Daytime Telephone Number)

Check "Yes" to give us permission to contact you by e-mail or check "No" if you do not wish to be contacted by e-mail. Yes No

E-Mail Address _____

Sign Here ⇒ _____
(Signature) (Print Name)

Home Address _____
(Street, Rural Route, or P.O. Box) (Social Security Number)

(City) (State) (ZIP Code) (Daytime Telephone Number)

Check "Yes" to give us permission to contact you by e-mail or check "No" if you do not wish to be contacted by e-mail. Yes No

E-Mail Address _____

Sign Here ⇒ _____
(Signature) (Print Name)

Home Address _____
(Street, Rural Route, or P.O. Box) (Social Security Number)

(City) (State) (ZIP Code) (Daytime Telephone Number)

Check "Yes" to give us permission to contact you by e-mail or check "No" if you do not wish to be contacted by e-mail. Yes No

E-Mail Address _____

Instructions to Certifying Officer: 1. Name of person(s) who appeared and date of appearance **MUST** be completed.
2. Medallion stamps require an original signature. 3. Person(s) must sign in your presence. 4. Complete "RESERVED FOR IDENTIFICATION NOTATIONS" on next page and read the instructions that follow it.

I certify that _____, whose identity is known or
(Name of Person[s] Who Appeared)
was proven to me, personally appeared before me this _____ day of _____ in the year _____,
(Month) (Year)
at _____, and signed this form.
(City / State)

(OFFICIAL STAMP
OR SEAL)

(Signature and Title of Certifying Officer)

(Name of Financial Institution)

(Address)

(City / State / ZIP Code) (Telephone)

I certify that _____, whose identity is known or
(Name of Person[s] Who Appeared)
was proven to me, personally appeared before me this _____ day of _____ in the year _____,
(Month) (Year)
at _____, and signed this form.
(City / State)

(OFFICIAL STAMP
OR SEAL)

(Signature and Title of Certifying Officer)

(Name of Financial Institution)

(Address)

(City / State / ZIP Code) (Telephone)

I certify that _____, whose identity is known or
(Name of Person[s] Who Appeared)
was proven to me, personally appeared before me this _____ day of _____ in the year _____,
(Month) (Year)
at _____, and signed this form.
(City / State)

(OFFICIAL STAMP
OR SEAL)

(Signature and Title of Certifying Officer)

(Name of Financial Institution)

(Address)

(City / State / ZIP Code) (Telephone)

RESERVED FOR IDENTIFICATION NOTATIONS

Customer Account Number and Date Established: _____

Document(s) Description: _____

Identified by (Signature and Address): _____

INSTRUCTIONS TO CERTIFYING OFFICER

Each person appearing before you must establish identification by positive and reliable evidence before this form is signed, unless he or she is personally known to you. Place an adequate notation above or on a separate record, showing exactly how identification was established. A notation is adequate if it is sufficiently detailed to permit, at a later date, a determination of the exact identification actually used. You and, if you are an officer or employee of an organization, the organization will be held fully responsible for the adequacy of the identification.

The signatures to the form must be executed in your presence. Fully complete and sign the certification form provided for each signature you witness.

If you are an employee (rather than an officer) authorized to certify signatures, insert the words "Authorized Signature" in the space provided for the title. Insert the place and date, as required on the form, and impress the seal of your organization.

INSTRUCTIONS

PURPOSE OF FORM – Use this form to apply for relief on account of the loss, theft, or destruction of United States Savings Bonds. "Bonds," as used on this form, refers to Savings Bonds, Savings Notes, Retirement Plan Bonds, or Individual Retirement Bonds.

WHO MAY APPLY – This form must be completed and signed by all persons named on the bonds, or by an authorized representative.

ATTACHMENTS – If you need more space for any item, attach either a plain sheet of paper, a photocopy of the relevant section, or, for Part 1, a "Continuation Sheet for Listing Securities" (FS Form 3500), available at <http://www.treasurydirect.gov/forms/sav3500.pdf>.

PROOF OF DEATH – If a registrant is deceased, you must submit with this form a certified copy of his or her official death certificate.

LEGAL REPRESENTATIVE – If you were appointed as legal representative because:

- the owner is deceased (with no surviving coowner or beneficiary named on the bonds), or
- the owner or coowner is a minor, or
- the owner or coowner is incapacitated,

complete the form and submit a court certificate or certified copy of your letters of appointment, under court seal and dated within one year of submission, showing the appointment is still in full force. If your name and official capacity are shown in the registration of the bonds, evidence of your appointment is **not** necessary.

If no legal representative has been appointed for a deceased or incompetent owner, advise the Bureau of the Fiscal Service and additional instructions will be provided.

MINORS – A minor (who does not have a court-appointed guardian) who is requesting payment or who is named on Series HH bonds may complete and sign the form on his or her own behalf if, in the opinion of the certifying officer, he or she is of sufficient competency and understanding to comprehend the nature of the transaction. If, in the opinion of the certifying officer, the minor is not of sufficient competency and understanding or is requesting electronic substitute bonds for Series EE or Series I, the parents must sign on behalf of the minor. If the minor does not reside with either parent, the form must be completed and signed by the individual who furnishes the minor's chief support.

SOMEONE ELSE HAD THE BONDS – If another person had possession of the bonds or knowledge of the circumstances of the loss, that person must provide a separate statement explaining the circumstances.

AMOUNT OF BONDS EXCEEDS \$5,000 – If the amount of the bonds involved exceeds \$5,000 and an investigation was made by a law enforcement agency or an insurance, transportation, or similar business organization, provide a copy of the report.

COMPLETION OF FORM – Print clearly in ink or type all information requested.

ITEM 1. Describe the missing bonds by bond serial number. If you don't know the bond serial numbers, you must provide the specific month and year of purchase, and the Social Security Number, name (including middle name or initial), and complete address (street, city, state) that appear on the bonds. Also state the total number of missing bonds. If you need more space, attach either a "Continuation Sheet for Listing Securities" (FS Form 3500), available at http://www.treasurydirect.gov/forms/sav3500.pdf , a plain sheet of paper, or a photocopy of this section of the form.
ITEM 2. Mark the appropriate boxes and provide complete details of the loss, theft, or destruction.
ITEM 3. Provide details regarding your authority to complete a claim for the missing bonds. If you have been court-appointed, see " LEGAL REPRESENTATIVE " above.
ITEM 4. Complete this item if a minor is named on the bonds and he or she is not of sufficient competency and understanding to complete the form on his or her own behalf. Provide the minor's name, date of birth, Social Security Number, and all other requested information. See " MINORS " above for more information.

ITEM 5. Indicate whether you want substitute bonds or payment by direct deposit.

NOTES: **1)** For Series EE and Series I bonds, we no longer issue substitute bonds in paper form. We issue those substitute bonds in electronic form, in our online system TreasuryDirect. **2)** If you want substitute Series EE bonds or substitute Series I bonds, provide the TreasuryDirect account number. If you don't have an account, you may open one at www.treasurydirect.gov. **3)** Series EE and Series I bonds issued February 2003 and later are not eligible for payment until one full year after issue; if payment is requested and such bonds are less than one year old, substitute bonds will be issued instead. Also, if substitute bonds are requested and a bond is within less than one full calendar month of reaching its final maturity, or has reached final maturity, payment will be made instead.

ITEM 6. Complete either section A, B, or C. Which section is appropriate for you depends on which series of bonds you have and whether you want payment or electronic substitute bonds.

ITEM 7. Each person whose signature is required must sign the form in ink, print his or her name, and provide his or her home address, Social Security Number, daytime telephone number, and, if applicable, e-mail address. Each signature must be certified (see "**CERTIFICATION**" below).

CERTIFICATION – Each person whose signature is required must appear before and establish identification to the satisfaction of an authorized certifying officer. The signatures to the form must be signed in the officer's presence. The certifying officer must affix the seal or stamp, which is used when certifying requests for payment. Authorized certifying officers are available at most financial institutions, including credit unions, in the United States. Certification by a notary isn't acceptable. Examples of acceptable seals and stamps:

- The financial institution's official seal or stamp, including: Signature Guaranteed seal or stamp; Endorsement Guaranteed seal or stamp; Corporate seal or stamp (a corporate resolution isn't required); or Issuing or paying agent seal or stamp (including name, location, and four-digit identification number or nine-digit routing number)
- The seal or stamp of Treasury-recognized Signature Guarantee Programs or other Treasury-approved Medallion Programs

WHERE TO SEND – Send this form (without page 7) and any additional information to the appropriate address:

- For HH or H savings bonds – Treasury Retail Securities Site, P.O. Box 2186, Minneapolis, MN 55480-2186
- For E, EE, or I savings bonds – Treasury Retail Securities Site, P.O. Box 214, Minneapolis, MN 55480-0214

For Bond-Related Inquiries:

- Email: SavBonds@bpd.treas.gov
- Phone: 844-284-2676 (toll free)
- Fax: 612-629-4285

NOTICE UNDER PRIVACY ACT AND PAPERWORK REDUCTION ACT

The collection of the information you are requested to provide on this form is authorized by 31 U.S.C. CH. 31 relating to the public debt of the United States. The furnishing of a Social Security Number, if requested, is also required by Section 6109 of the Internal Revenue Code (26 U.S.C. 6109).

The purpose of requesting the information is to enable the Bureau of the Fiscal Service and its agents to issue securities, process transactions, make payments, identify owners and their accounts, and provide reports to the Internal Revenue Service. Furnishing the information is voluntary; however, without the information, the Fiscal Service may be unable to process transactions.

Information concerning securities holdings and transactions is considered confidential under Treasury regulations (31 CFR, Part 323) and the Privacy Act. This information may be disclosed to a law enforcement agency for investigation purposes; courts and counsel for litigation purposes; others entitled to distribution or payment; agents and contractors to administer the public debt; agencies or entities for debt collection or to obtain current addresses for payment; agencies through approved computer matches; Congressional offices in response to an inquiry by the individual to whom the record pertains; as otherwise authorized by law or regulation.

We estimate it will take you about 20 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Fiscal Service, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to this address; send to the appropriate address shown in "WHERE TO SEND" in the Instructions.**