



Progress tracker

Work programme resulting from the relevant requests contained in decision 1/CP.21

(Information available as at 28/11/2016 (rev.))

Color Code			
PA Art. 4 and Dec. 1/CP.21, §§12 – 32 and §35	PA Art. 7 and Dec. 1/CP.21, §§41 – 46	PA Art 13 Dec. 1/CP.21; §§84 – 98	Dec. 1/CP.21, §§105 – 132
PA Art. 4.15 Dec. 1/CP.21, §§33 & 34	PA Art. 8 Dec. 1/CP.21; §§47 – 51	PA Art. 14 Dec. 1/CP.21; §§99 – 101	Dec. 1/CP.21, §§133 – 136
PA Art. 6 Dec. 1/CP.21, §§36 – 40	PA Arts. 9 – 12 and Dec. 1/CP.21, §§43 & 46 and §§52 – 83	PA Art. 15 Dec. 1/CP.21; §§102 & 103	

Note: On 4 November 2016, the Paris Agreement entered into force. The first part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 1) took place in Bab Ighli, Marrakech, Morocco in conjunction with COP 22 and CMP 12. For information on how the CMA will conduct its business, please see [decision 1/CMA.1](#)

Background information, including on the approach to this progress tracker can be found [here](#)

<i>Mandate¹</i>	<i>Body/Actor</i>	<i>Timeline²</i>	<i>Status</i>	<i>Next steps</i>
§14: Continue to publish the INDCs communicated by Parties on the UNFCCC website.	Secretariat	Ongoing.	Ongoing.	Ongoing.
§15: Provide support for the preparation and communication of the INDCs of Parties that may need such support.	Developed country Parties; Operating entities of the FM and any other organizations	Ongoing.	Ongoing.	Ongoing.
§19: Update the INDC synthesis report so as to cover all the information in the INDCs communicated by Parties pursuant to decision 1/CP.20 by 4 April 2016 and to make it available by 2 May 2016.	Secretariat	2 May 2016	Completed.	
*§20: Convene a facilitative dialogue among Parties in 2018 to take stock of the collective efforts of Parties in relation to progress towards the long-term goal referred to in Article 4.1 of the Agreement and to inform the preparation of NDCs pursuant to Article 4.8 of the Agreement.	COP	2018		COP22 and COP23 Presidents to undertake inclusive and transparent consultations during SB46 (May 2017) and COP23 (November 2017) and to jointly report back at COP23. See decision 1/CP.22 (Advance

¹ * Indicates mandates with progress updates reflected in this version of the progress tracker.

² The SBSTA, SBI, APA and the constituted bodies are to accelerate their work on the PA work programme, and forward the outcomes to COP24 (December 2018), at the latest. For details, please see [decision 1/CMA.1](#) and [decision 1/CP.22](#).

<i>Mandate¹</i>	<i>Body/Actor</i>	<i>Timeline²</i>	<i>Status</i>	<i>Next steps</i>
				Unedited version (AUV)).
§22: Communicate first NDC no later than when the Party submits its respective instrument of ratification, acceptance, approval or accession of the PA. ³	Parties	No later than when a Party submits instrument of ratification, acceptance, approval or accession.	Ongoing.	Ongoing.
§25: Submit to the secretariat NDCs referred to in Article 4 of the Agreement at least 9 to 12 months in advance of the relevant session of the CMA with a view to facilitating the clarity, transparency and understanding of these contributions, including through a synthesis report prepared by the secretariat.	Parties Secretariat	In advance of relevant CMA.	Report to be prepared in advance of relevant CMA.	Report to be prepared in 2020.
*§26: Develop further guidance on features of the NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal note by the Co-Facilitators.	Negotiations to continue at APA 1.3 in May 2017. Parties to submit views by 1 April 2017; Secretariat to compile submissions before APA 1. 3; Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see APA 1.2 conclusions.
*PA Art. 4.10: Consider common timeframes for the NDCs.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Following the adoption of Decision 1/CMA1 (AUV) , Parties decided to send this item to SBI 47 for its consideration.	To be considered at SBI47 in November 2017.
*PA Art. 4.11: At any time adjust existing NDC with a view to enhancing level of ambition, in accordance with guidance adopted by the CMA.	Parties CMA	Third part of the first CMA session (2018), at the latest.	APA 1. 2 identified this issue as a possible additional matter relating to the implementation of the PA. See APA 1. 2 conclusions: FCCC/APA/2016/L.4 . Also see the Informal note by the APA Co-Chairs. CMA1 invited the COP to request the APA to continue its consideration of possible additional matters relating to the implementation of the PA.	Considerations to continue at APA 1. 3 in May 2017.

³ If the Party has communicated an INDC prior to joining the Agreement, that Party shall be considered to have satisfied this provision unless that Party decides otherwise

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*§28: Develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA 1. 2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal note by the Co-Facilitators .	Negotiations to continue at APA 1. 3 in May 2017. Parties to submit views by 1 April 2017; Secretariat to compile submissions before APA 1. 3; Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see APA 1.2 conclusions .
*§29: Develop modalities and procedures for the operation and use of the public registry referred to in Article 4.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI45; Conclusions: FCCC/SBI/2016/L.35	Negotiations to continue at SBI46 in May 2017.
§30: Make available an interim public registry in the first half of 2016 for the recording of NDCs submitted in accordance with Art. 4 of the Agreement, pending the adoption by the CMA of modalities and procedures referred to in paragraph 29.	Secretariat	First half of 2016.	Completed .	Completed .
*§31: Elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs, as referred to in Article 4.13 of the Agreement for consideration and adoption by CMA1; (<i>see para 31 (a-d) for further details</i>).	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal note by the Co-Facilitators .	Negotiations to continue at APA 1. 3 in May 2017. Parties to submit views by 1 April 2017; Secretariat to compile submissions before APA 1.3; Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see APA 1.2 conclusions .
*§34: Recommend, for consideration and adoption by CMA1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Agreement by enhancing cooperation amongst Parties on understanding the impacts of mitigation actions under the Agreement and the exchange of information, experiences, and best practices amongst Parties to raise their resilience to these impacts.	SBSTA and SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SB45; Conclusions: FCCC/SB/2016/L.7 .	The chairs of the SBI and SBSTA, with the help of the secretariat, to prepare a reflections note by 31 March 2017.
*§35: Publish on the UNFCCC website Parties' low greenhouse gas emission development strategies as communicated by Parties.	Secretariat	Ongoing.	Ongoing .	Ongoing .
*§36: Develop and recommend the guidance referred to under Article 6.2 of the Agreement for consideration and adoption by CMA1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their NDCs under the Agreement.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45; Conclusions: FCCC/SBSTA/2016/L.28 .	Negotiations to continue at SBSTA46 in May 2017. Parties to submit views by 17 March 2017; a roundtable discussion among Parties to be held in conjunction with SBSTA46. For details, see SBSTA45 conclusions .

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*§38: Develop and recommend rules, modalities and procedures for the mechanism referred to in paragraph 37 (mechanism established by Article 6.4 of the Agreement) for consideration and adoption by CMA1 (see para 37 (a-f) for further details).	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45; Conclusions: FCCC/SBSTA/2016/L.29.	Negotiations to continue at SBSTA46 in May 2017. Parties to submit views by 17 March 2017; a roundtable discussion among Parties to be held in conjunction with SBSTA46. For details, see SBSTA45 conclusions.
*§39: Undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6.8 of the Agreement, with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45; Conclusions: FCCC/SBSTA/2016/L.30.	Negotiations to continue at SBSTA46 in May 2017. Parties to submit views by 17 March 2017; a roundtable discussion among Parties to be held in conjunction with SBSTA46. For details, see SBSTA45 conclusions.
*PA Art. 7.10 & 7.11: Develop further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal Note by the Co-Facilitators.	Negotiations to continue at APA1.3 in May 2017. Secretariat to prepare an information note by 15 February 2017. Parties to submit views by 30 March 2017; Secretariat to prepare synthesis report by 30 April 2017. Secretariat to organize, under the guidance of the APA co-chairs, a round table on 6 May 2017; For details, see APA 1.2 conclusions.
*PA Art. 7.12: Develop modalities and procedures for the operation and use of the public registry referred to in Article 7.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI45; Conclusions: FCCC/SBI/2016/L.36.	Negotiations to continue at SBI46 in May 2017.
*§41: Jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7.3 of the Agreement, and make recommendations for consideration and adoption by CMA1.	AC and LEG CMA	Third part of the first CMA session (2018), at the latest.	A side event was conducted on 10 November 2016 during the Marrakech Climate Change Conference, to provide an update and solicit feedback from Parties and non-Party stakeholders. The joint AC/LEG webpage provides updates on progress on this mandate.	Parties and non-Party Stakeholders to provide further inputs by 12 January 2017. Taking into account feedback from the side event and any additional inputs, AC and LEG to finalize draft of the modalities before May 2017 with a view to developing recommendations by November 2017.
*§42: Taking into account its mandate and its second three-year	AC	AC: review in 2017.	A desk review and background	Parties and other stakeholders to

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workplan, and with a view to preparing recommendations for consideration and adoption by CMA1: (a) Review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties.	CMA	For: Third part of the first CMA session (2018), at the latest.	paper was considered at AC 10 (13 – 16 September 2016). AC 10 issued a call for submissions from Parties and other stakeholders in October and requested the secretariat to undertake additional analysis as input for AC11 (March 2017).	submit views by 12 January 2017. The secretariat will undertake additional analysis as input for AC11 (March 2017) with a view to developing recommendations by November 2017.
§42 Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1 (b) Consider methodologies for assessing adaptation needs with a view to assisting developing country Parties, without placing an undue burden on them.	AC CMA	Third part of the first CMA session (2018), at the latest.	A desk review and background paper was considered at AC 10 (13 – 16 September 2016). AC 10 issued a call for submissions from Parties and other stakeholders in October and requested the secretariat to undertake additional analysis as input for AC11 (March 2017).	Parties and other stakeholders to submit views by 12 January 2017. The secretariat will undertake additional analysis as input for AC11 (March 2017) with a view to developing recommendations by November 2017.
§43: Provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate climate-proofing and climate resilience.	UN agencies and international, regional and national financial institutions Secretariat	Ongoing.	Ongoing.	Ongoing.
*§45: Develop methodologies, and make recommendations for consideration and adoption by CMA1 on: (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement; (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7.14(c) of the Agreement.	AC and LEG, in collaboration with SCF and other relevant institutions CMA	Third part of the first CMA session (2018), at the latest.	A side event was conducted on 10 Nov 2016 during the Marrakech Climate Change Conference, to provide an update and solicit feedback from Parties and non-Party stakeholders. The joint AC/LEG webpage provides updates on progress on this mandate.	Parties and non-Party Stakeholders to provide further inputs by January 2017. Taking into account feedback from the side event and any additional inputs, AC and LEG to finalize draft of the methodologies before May 2017 with a view to developing recommendations by November 2017.
*§46: Expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them.	GCF	No timeline specified.	Ongoing. GCF reported to COP22 in November 2016; Report: FCCC/CP/2016/7/Rev.1/Add.1 Decision: 10/CP.22 (AUV).	Countries to continue working with accredited entities and delivery partners to develop their NAPs readiness proposals.
*§48: Establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to	WIM Excom	Initiate work at the first meeting post Paris and report on	See decision 3/CP.22 (AUV).	Relevant work to advance the operationalization of this

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facilitate the efforts of Parties to develop and implement comprehensive risk management strategies.		progress thereon in its annual report.	Work began at Excom 2 (February 2016) and ongoing . The concept note on clearing house on risk transfer adopted at Excom 4 (September 2016) includes an indicative timeframe for launching an initial online platform by COP 23.	mandate to be included in the five-year rolling workplan of the WIM Excom. See decision 3/CP.22 (AUV). The secretariat, at the request of the WIM Excom will conduct a user needs assessment using a questionnaire to national focal points and relevant institutions to inform next steps including on the business requirements for a clearing house to be concluded by Excom 5 (March 2017).
*§49: Establish, according to its procedures and mandate, a task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the AC and the LEG, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.	WIM Excom	Initiate work at the first meeting post Paris and report on progress thereon in its annual report.	See decision 3/CP.22 (AUV) Work began at Excom 2 (February 2016) and ongoing . Terms of reference were adopted at Excom 4 (September 2016).	Relevant work to advance the operationalization of this mandate to be included in the five-year rolling workplan of the WIM Excom. See decision 3/CP.22 (AUV). WIM Excom to initiate a nomination process for the Task force members in accordance with the Terms of reference .
*§55: Initiate process to identify information to be provided by Parties, in accordance with Article 9.5 of the Paris Agreement, with a view to providing a recommendation for consideration and adoption by CMA1.	COP CMA	Initiate work at COP22 (Nov 2016).	Work was launched at COP22 (November 2016); Decision 13/CP.22 (AUV).	Secretariat to organize a round-table discussion during SB46 (May 2017). Secretariat to prepare a summary report on the round-table discussion for consideration by COP23 (November 2017).
*§59 & 60: Consider the issue referred to in Paragraph 59 (i.e. that the Adaptation Fund may serve the Agreement, subject to relevant decisions by the CMP and the CMA) and make a recommendation to CMA1.	CMP, COP APA CMA	Third part of the first CMA session (2018), at the latest.	Adaptation fund should serve the Paris Agreement; Decision 1/CMA.1 (AUV) and decision 1/CP.22 (AUV).	Negotiations to continue at APA 1.3. APA to address governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement. Parties to submit views on the above by 31 March 2017.

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				See decision 1/CP.22 (AUV).
*§57: Develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9.7 of the Agreement for consideration by COP 24, with a view to making a recommendation for consideration and adoption by CMA1.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Work continued at SBSTA45 in November 2016; an in-session workshop was held on 8 November 2016; Conclusions: FCCC/SBSTA/2016/L.27	Work to continue at SBSTA46 in May 2017, taking into account key considerations included in the reflections note by the co-chairs for this item.
§64: Enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate.	Institutions serving the Agreement	Ongoing.	Ongoing.	Ongoing.
§66: In supporting the implementation of the Agreement, to undertake further work relating to, inter alia: (a) Technology research, development and demonstration; (b) The development and enhancement of endogenous capacities and technologies.	TEC and CTCN	No timeline specified.	TEC: Work in the two areas are included in the Rolling workplan of the TEC for 2016-2018 ; CTCN: The taskforce on Research, development and demonstration (RD&D) has identified actions on RD&D within the CTCN's mandate. TEC and CTCN have begun implementing these actions.	TEC and CTCN: To continue the implementation of the RD&D actions contained in the Joint annual report TEC & CTCN for 2016 and their respective workplans .
*§67: Initiate, at SBSTA 44, the elaboration of the technology framework established under Article 10.4 of the Agreement and to report on its findings to the COP, with a view to the COP making a recommendation on the framework to CMA1 for consideration and adoption (<i>see para 67 (a-d) for further details</i>).	SBSTA COP CMA	Initiate: SBSTA44 (May 2016). Report: COP. For: Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45; Conclusions: FCCC/SBSTA/2016/L.21 .	Negotiations to continue at SBSTA46 in May 2017. Parties, observers, and stakeholders to submit views on principles and structure of Technology Framework by 10 April 2017. For details, see SBSTA45 conclusions .
*§69: Undertake a periodic assessment of the effectiveness of and the adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Agreement on matters relating to technology development and transfer.	COP	Periodic (<i>see para 70</i>).	<i>See para 70</i> .	<i>See para 70</i> .
*§70: Initiate, at SBI 44, the elaboration of the scope of and modalities for the periodic assessment referred to in paragraph 69 above, taking into account the review of the CTCN as referred to in decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Agreement, for consideration and adoption by COP 25.	SBI COP	Initiate: SBI 44 (May 2016). For: COP25 (2019).	Negotiations continued at SBI45; Conclusions: FCCC/SBI/2016/L.27 .	Negotiations to continue at SBI45 in May 2017. Parties and observers to submit views by 25 January 2017. The Secretariat will compile and synthesize those views for

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				consideration at SBI46 in May 2017. For details, see SBI45 conclusions .
<p>*§§72 & 73: Manage and oversee the workplan referred to in paragraph 73 (<i>workplan for 2016-2020: see para 73 (a-i) for activities of the workplan; see also para79</i>);</p> <p>*§74: Annual focus on an area or theme related to enhanced technical exchange on capacity-building;</p> <p>§75: Organize annual in-session meetings of the PCCB;</p> <p>*§76: Develop the terms of reference for the PCCB, in the context of the third comprehensive review of the implementation of the capacity-building framework, also taking into account paragraphs 71–75 above and paragraphs §79 and 80 below, with a view to recommending a draft decision on this matter for consideration and adoption by COP 22;</p> <p>§80: Prepare annual technical progress reports on its work, and to make these reports available at the sessions of the SBI coinciding with the sessions of the COP;</p> <p>§81: Review the progress, need for extension, the effectiveness and enhancement of the PCCB and to take any action it considers appropriate, with a view to making recommendations to CMA1 on enhancing institutional arrangements for capacity-building consistent with Article 11.5 of the Agreement.</p>	<p>§§72 & 73: PCCB</p> <p>§74: PCCB</p> <p>§75: SBI</p> <p>§76: SBI</p> <p>§80: PCCB</p> <p>§81: COP CMA</p>	<p>§§72 & 73: Annually to SBI (<i>see para. 80</i>).</p> <p>§74: Annually.</p> <p>§75: Annually (once PCCB is constituted).</p> <p>§76: COP 22 (2016).</p> <p>§80: Annually at SBI sessions coinciding with COPs.</p> <p>§81: COP 25 (2019).</p>	<p>Negotiations continued at SBI45; Decision 2/CP.22 (AUV).</p> <p>The ToR for the PCCB were adopted at COP22 (November 2016). See Annex to decision 2/CP.22 (AUV).</p>	<p>PCCB to further develop and adopt its working modalities and procedures at its first meeting (May 2017).</p> <p>First focus area in 2017 to be on capacity-building activities for the implementation of NDCs. Representatives of the GEF, GCF, AC, LEG, SCF and TEC to attend first meeting of the committee (May 2017). For details, see SBI 45 conclusions.</p>
§83: Explore ways of enhancing the implementation of training, public awareness, public participation and public access to information so as to enhance actions under the Agreement.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Following the adoption of Decision 1/CMA1 (AUV), Parties decided to send this item to SBI 47 for its consideration.	To be considered at SBI47 in November 2017.
*§86: Make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the GEF and future replenishment cycles, to complement existing support under the GEF (<i>also see 84 & 85</i>).	GEF	GEF-6 replenishment and future cycles.	New Trust Fund for Capacity-building Initiative for Transparency established and approved by 50th GEF Council (June 2016), with World Bank as Trustee. Programming Directions also approved by GEF Council. Notification on CBIT establishment sent to all GEF Operational Focal Points, Council members, and Agencies on 31 August 2016. Project templates finalized and uploaded to GEF website. The Trustee finalizing contribution agreements with prospective donors. Currently engaging	Ongoing.

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			with GEF agencies, countries, and relevant transparency/MRV initiatives. CBIT was officially launched at COP22 in November 2016.	
§87: Assess the implementation of the Capacity-building Initiative for Transparency in the context of the 7th review of the Financial Mechanism.	COP	In the context of the 7 th review of the FM.	N/A.	§87: to be initiated before 2021.
*§88: Include in its annual report to the COP the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency starting in 2016.	GEF	2016 and beyond.	Progress on CBIT establishment included in GEF annual report: Report: FCCC/CP/2016/6/Add.2 Decision: 11/CP.22 (AUV).	Progress of work to be included in the GEF annual report to COP23.
*§91: Develop recommendations for modalities, procedures and guidelines in accordance with Article 13.13 of the Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration by COP 24, with a view to forwarding them to CMA1 for consideration and adoption (<i>see paras 92–95 and 97–98 for further details</i>) (PA Art. 13.5 & 13.13).	APA CMA	Third part of the first CMA session (2018), at the latest (<i>see also para 96, 97</i>).	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal Note by the Co-Facilitators for this item.	Negotiations to continue at APA1- 3 in May 2017. Parties to submit views by 15 February, 2017. Secretariat to organize, under the guidance of the APA Co-Chairs, an intersessional workshop. For details, see APA 1.2 conclusions .
*§99: Identify the sources of input for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1 (<i>see para 99 (a–c) for further details</i>).	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 ; Also see Informal Note by the co-facilitators for this item.	Negotiations to continue at APA 1.3 in May 2017. Parties to submit views on by 31 April 2017. For details, see APA 1.2 conclusions .
*§100: Provide advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake of the implementation of the Agreement pursuant to its Article 14 and to report on this matter to APA 2.	SBSTA APA	APA 1.2.	SBSTA 45 concluded the work on this mandate. SBSTA45 provided advice, consistent with the mandate; Conclusions: FCCC/SBSTA/2016/L.24 APA 1.2 welcomed the advice. See para 17 of the APA conclusions: FCCC/APA/2016/L.4 .	Completed.
*§101: Develop modalities for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1.	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 . Also see	Negotiations to continue at APA 1.3 in May 2017. Parties to submit views on by 31

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			Informal Note by the co-facilitators for this item.	April 2017. For details, see APA 1.2 conclusions .
*§103: Develop the modalities and procedures for the effective operation of the committee referred to in Article 15.2 of the Agreement, with a view to the APA completing its work on such modalities and procedures for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; Conclusions: FCCC/APA/2016/L.4 . Also see Informal Note by the Co-Facilitators for this item.	Negotiations to continue at APA1. 3 in May 2017. Parties to submit views by 31 March 2017. For details, see APA 1.2 conclusions .
§ 109. <i>Resolves</i> to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decision 1/CP.19, paragraph 5(a), and decision 1/CP.20, paragraph 19, taking into account the latest scientific knowledge, including by: (c): In accordance with their respective mandates: (i) To engage in the technical expert meetings (TEMs) and enhance their efforts to facilitate and support Parties in scaling up the implementation of policies, practices and actions identified during this process; (ii) To provide regular updates during the TEMs on the progress made in facilitating the implementation of policies, practices and actions previously identified during this process; (iii) To include information on their activities under this process in their joint annual report to the COP.	TEC and CTCN COP	During TEMs and annually at COP (until 2020).	TEC: Work in this area is included in rolling workplan of the TEC for 2016 – 2018 ; TEC and CTCN engaged and actively participated in TEMs on Mitigation during SB44, TEC & CTCN reported the activities in their joint annual report to COP 22 .	TEC & CTCN will continue to engage in the TEP on Mitigation; TEC & CTCN will report the activities to COP 23.
§110: Engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process.	Operating entities of FM (GCF, GEF)	Annually with COP and TEMs (until 2020).	GCF and GEF took part in TEMs on mitigation and adaptation during SB44.	Ongoing.
*§111: Organize the process referred to in Paragraph 109 above and disseminate its results, including by (a): organizing, in consultation with the TEC and relevant expert organizations, regular TEMs focusing on specific policies, practices and actions representing best practices and with the potential to be scalable and replicable; (b) Updating, on an annual basis, following the meetings, and in time to serve as input to the summary for policy makers, a technical paper on mitigation benefits and co-benefits of policies, practices and actions for enhancing mitigation, as well as on options for supporting their implementation, information on which should be made available in a user friendly online format; (c) preparing, in consultation with the champions a summary for policymakers, with information on specific policies, practices and actions representing best practices and with potential to be scalable and replicable, and on options to support their implementation, as well as on relevant collaborative initiatives, and publishing the summary at least two months in advance of each session of the	Secretariat: in consultation with the TEC and relevant expert organizations (§111(a)); in consultation with the champions (§111(c))	(§111(b)): Annually (until 2020); (§111(c)): Two months in advance of each COP.	Two TEM-M were organized at SB44 TEC provided inputs to Champions, SB Chairs and secretariat on potential topics for future Mitigation TEMs (TEC13 , September 2016). A technical paper (FCCC/TP/2016/5) was published on 23 September 2016. A user-friendly online format of the technical paper was also published on 13 October 2016. Under the guidance of the	Two TEM-M to be organized during SB46 (May 2017). A technical paper to be published after the SB46. A joint mitigation and adaptation summary for policy makers to be prepared after SB46.

<i>Mandate¹</i>	<i>Body/Actor</i>	<i>Timeline²</i>	<i>Status</i>	<i>Next steps</i>
Conference of the Parties as input for the high-level event.			Champions, a joint mitigation and adaptation summary for policy makers was published on 14 October 2016.	
*§112: Jointly organize the process referred to in paragraph 109 (technical examination process on mitigation), on an ongoing basis until 2020.	SBI and SBSTA	Annually with COP and TEMs (until 2020).	In consultation with the champions, a high-level event was held at COP22.	
*§113: Conduct in 2017 an assessment of the process referred to in para 109 above so as to improve its effectiveness.	COP	COP 23 (2017).	N/A.	An assessment of the TEP–M to be conducted in 2017.
*§115: Conduct a facilitative dialogue in conjunction with COP22 to assess the progress in implementing decision 1/CP.19, paragraphs 3 and 4, and identify relevant opportunities to enhance the provision of financial resources, including for technology development and transfer, and capacity-building support, with a view to identifying ways to enhance the ambition of mitigation efforts by all Parties, including identifying relevant opportunities to enhance the provision and mobilization of support and enabling environments.	COP	COP 22 (2016).	Organized at COP 22 in November 2016 as two-part event (part 1 on 11 November 2016, part 2 on 16 November 2016).	Completed.
*§120: Convene, pursuant to decision 1/CP.20, paragraph 21, building on the Lima-Paris Action Agenda and in conjunction with each session of the Conference of the Parties during the period 2016–2020, a high-level event (<i>see para 120 (a–d) for further details</i>).	COP	COP sessions from 2016–2020.	A high-level event was held at COP22.	A high-level event to be held at COP23 (November 2017).
*§121: Act on behalf of the President of the COP to facilitate through strengthened high-level engagement in the period 2016–2020 the successful execution of existing efforts and the scaling-up and introduction of new or strengthened voluntary efforts, initiatives and coalition (<i>see para 121 (a–c) for further details</i>).	Two high-level champions	Ongoing from 2016–2020.	Ongoing.	Ongoing.
*§126: The process referred to in paragraph 124 (technical examination process on adaptation) should be organized jointly by SBI and SBSTA, on an ongoing basis until 2020, and be conducted by the Adaptation Committee.	Organized by SBI and SBSTA; conducted by AC	Ongoing until 2020.	Two TEM-A were organized at SB44; A technical paper (FCCC/TP/2016/6) was published on 13 September 2016;	Two TEM–A to be organized at SB46 (May 2017). A technical paper to be published after SB46.
*§129: Support the process referred to in paragraph 124 by (a) organizing regular TEMs (b) Preparing annually a technical paper on opportunities to enhance adaptation action as well as options to support their implementation.	Secretariat	Ongoing until 2020.	A user-friendly online format of the technical paper was also published on 13 October 2016. Under the guidance of the Champions, a joint mitigation and adaptation summary for policy makers was published on 14 October 2016 (see paragraph 111). In consultation with the	A joint mitigation and adaptation summary for policy makers to be prepared after SB46.

<i>Mandate¹</i>	<i>Body/Actor</i>	<i>Timeline²</i>	<i>Status</i>	<i>Next steps</i>
*§130: In conducting the process referred to in paragraph 124, engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value.	AC	On an ongoing basis until 2020.	Champions, a high-level event was organized at COP22 (November 2016) AC10 (13 – 16 September 2016) established a TEP-A working group to provide operational support to the AC. In addition to AC members, the working group comprises representatives of the LEG, TEC, SCF and observer constituencies.	In accordance with decision 5/CP.22, paragraph 7 , the AC will accelerate preparations for the 2017 TEMs, including the selection of topics.
*§131: Conduct, in conjunction with the assessment referred to in paragraph 113 (assessment of technical examination process on mitigation), an assessment of the process referred to in paragraph 124 (technical examination process on adaptation), so as to improve its effectiveness.	COP	COP 23 (2017).	N/A.	An assessment of the TEP–A to be conducted in 2017.
§134: Scale up efforts and support actions to reduce emissions and/or to build resilience and decrease vulnerability to the adverse effects of climate change and demonstrate these efforts via the NAZCA platform.	Non-Party Stakeholders	Ongoing.	Ongoing.	Ongoing.
*§135: Indigenous Peoples Platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner.	COP	<i>no timeline specified.</i>	COP President held consultations on this issue at COP22.	Parties and other stakeholders to submit views by 31 March 2017. SBSTA chair to convene an open multi-stakeholder dialogue at SBSTA46 (May 2017). The SBSTA to make recommendations to COP 23 (2017) for its consideration.

Abbreviations

AC Adaptation Committee
 APA..... Ad Hoc Working Group on the Paris Agreement
 CMA..... Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
 CMP Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
 COP Conference of the Parties
 CTCN Climate Technology Centre and Network
 FM..... Financial Mechanism of the Convention
 GCF..... Green Climate Fund
 GEF Global Environment Facility
 INDCs intended nationally determined contribution

LEG..... Least Developed Countries Expert Group
MPGs..... Modalities, Procedures and Guidelines
NDCs..... nationally determined contributions
PA Paris Agreement
PCCB Paris Committee on Capacity-building
SBI Subsidiary Body for Implementation
SBSTA Subsidiary Body for Scientific and Technological Advice
SCF..... Standing Committee on Finance
TEC Technology Executive Committee
TEMs..... technical expert meetings
TEP..... technical examination process
WIM Excom ...Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts