

ASX/ASX 24 Off-Shore Permissions Summary



Overview of permissions currently held by ASX Group with foreign regulators

Jurisdiction	Regulator	Participant Types Allowed	Products
USA	Commodity Futures Trading Commission (CFTC)	ASX 24	ASX SPI 200™ Futures/Options Commodity Futures Energy Futures Interest Rate Futures
USA	Securities and Exchange Commission (SEC)	None – Clients Only	Exchange Traded Options (ETOs)
Singapore	Monetary Authority of Singapore (MAS)	ASX	Cash Equities Listed Managed Investments (LMIs) ETOs Warrants/ETFs (AQUA) ASX Futures Interest Rate Securities
		ASX 24	ASX SPI 200™ Futures/Options S&P/ASX 200 VIX Futures S&P/ASX 200 Sector Futures Commodity Futures Energy Futures Interest Rate Futures
Hong Kong	Securities and Futures Commission (SEC)	ASX	Cash Equities ETOs Warrants/ETFs (AQUA) LMIs ASX Futures Interest Rate Securities
		ASX 24	ASX SPI 200™ Futures/Options S&P/ASX 200 VIX Futures S&P/ASX 200 Sector Futures Commodity Futures Energy Futures Interest Rate Futures
United Kingdom	Financial Services Authority (FSA)	ASX 24	ASX SPI 200™ Futures/Options S&P/ASX 200 VIX Futures S&P/ASX 200 Sector Futures Commodity Futures Energy Futures Interest Rate Futures

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