# **AWS IoT**

**Developer Guide** 



### AWS IoT: Developer Guide

Copyright © 2016 Amazon Web Services, Inc. and/or its affiliates. All rights reserved.

Amazon's trademarks and trade dress may not be used in connection with any product or service that is not Amazon's, in any manner that is likely to cause confusion among customers, or in any manner that disparages or discredits Amazon. All other trademarks not owned by Amazon are the property of their respective owners, who may or may not be affiliated with, connected to, or sponsored by Amazon.

### **Table of Contents**

What Is AWS IoT?	
AWS IoT Components	
How to Get Started with AWS IoT	
Accessing AWS IoT	
Related Services	
How AWS IoT Works	
AWS IoT Button Quickstarts	
AWS IoT Button Wizard Quickstart	
AWS IoT Button AWS CloudFormation Quickstart	
Next Steps	
Getting Started with AWS IoT	
Sign in to the AWS IoT Console	
Create a Device in the Thing Registry	
Create and Activate a Device Certificate	
Create an AWS IoT Policy	
Attach an AWS IoT Policy to a Device Certificate	
Attach a Thing to a Certificate	
AWS IoT Button	
View Device MQTT Messages with the AWS IoT MQTT Client	
Configure and Test Rules	
Create an SNS Topic	
Subscribe to an Amazon SNS Topic	
Create a Rule	
Test the Amazon SNS Rule	
Next Steps	
AWS IoT Rule Tutorials	
Creating a DynamoDB Rule	
Creating a Lambda Rule	
Create the Lambda Function	
Test Your Lambda Function	
Creating a Lambda Rule	
Test Your Lambda Rule	
Managing Things with AWS IoT	
Managing Things with the Thing Registry	
Create a thing	
List things	
Search for things	
Update a thing	
Delete a thing	
Attach a principal to a thing	
Detach a principal from a thing	
Thing Types	
Create a Thing Type	
List thing types	
Describe a thing type	
Associate a thing type with a thing	
Deprecate a thing type	
Delete a thing type	
Security and Identity	
Authentication in AWS IoT	
X.509 Certificates	
IAM Users, Groups, and Roles	
Amazon Cognito Identities	
Authorization	. 83

AWS IoT Policies	83
Transport Security	98
TLS Cipher Suite Support	
Message Broker	
Protocols	
MQTT	
HTTP	
MQTT Over the WebSocket Protocol	
Topics	
Reserved Topics	
Lifecycle Events	
Policy Required for Receiving Lifecycle Events	105
Connect/Disconnect Events	106
Subscribe/Unsubscribe Events	107
Rules	
Granting AWS IoT the Required Access	
Pass Role Permissions	
Creating an AWS IoT Rule	
Viewing Your Rules	
SQL Versions	
What's New in the 2016-03-23-beta SQL Rules Engine Version	
Troubleshooting a Rule	116
Deleting a Rule	116
AWS IoT Rule Actions	117
CloudWatch Alarm Action	117
CloudWatch Metric Action	118
DynamoDB Action	
Amazon ES Action	
Kinesis Action	
Lambda Action	
S3 Action	
SNS Action	
Firehose Action	
SQS Action	
Republish Action	125
AWS IoT SQL Reference	125
Expressions	126
SELECT Clause	
FROM Clause	
WHERE Clause	
Functions	128
JSON Extensions	
Substitution Templates	
Device Shadows	
Device Shadows Data Flow	
Device Shadows Documents	141
Document Properties	141
Versioning of a Thing Shadow	142
Client Token	
Example Document	
Empty Sections	
Arrays	
Using Device Shadows	
Protocol Support	
Updating a Thing Shadow	
Retrieving a Thing Shadow Document	
Deleting Data	
Deleting a Thing Shadow	150

Delta State	. 150
Observing State Changes	. 152
Message Order	
Trim Device Shadow Messages	
RESTful API	
GetThingShadow	
UpdateThingShadow	
DeleteThingShadow	
MQTT Pub/Sub Topics	
/update	
/update/accepted	
/update/documents	
/update/rejected	
/update/delta	. 159
/get	. 159
/get/accepted	. 160
/get/rejected	
/delete	
/delete/accepted	
/delete/rejected	
•	
Document Syntax	
Request State Documents	
Response State Documents	
Error Response Documents	
Error Messages	
AWS IoT SDKs	. 167
Android SDK	. 167
Arduino Yún SDK	. 167
AWS IoT Embedded C SDK	
AWS Mobile SDK for iOS	
AWS IoT Java SDK	
AWS IoT JavaScript SDK	
AWS IOT Device SDK for Python	
AWS IoT Embedded C SDK	
Prerequisites	
Connecting Your Raspberry Pi	
AWS IoT Device SDK for JavaScript	
Prerequisites	
Connecting Your Raspberry Pi	. 180
Monitoring	. 194
Monitoring Tools	. 195
Automated Tools	. 195
Manual Tools	
Monitoring with Amazon CloudWatch	
Metrics and Dimensions	
Using AWS IoT Metrics	
Creating CloudWatch Alarms	
Logging AWS IoT API Calls with AWS CloudTrail	
AWS IoT Information in CloudTrail	
Understanding AWS IoT Log File Entries	
Troubleshooting	
Diagnosing Connectivity Issues	. 204
Authentication	
Authorization	. 204
Setting Up CloudWatch Logs	
Configuring an IAM Role for Logging	
CloudWatch Log Entry Format	
Logging Events and Error Codes	
Logging Lyents and Liter Codes	. 201

Diagnosing Rules Issues	209
Diagnosing Problems with Thing Shadows	
AWS IoT Limits	
Message Broker Limits	211
Device Shadow Limits	213
Security and Identity Limits	214
Throttling Limits	214
AWS IoT Rules Engine Limits	

# What Is AWS IoT?

AWS IoT provides secure, bi-directional communication between Internet-connected things (such as sensors, actuators, embedded devices, or smart appliances) and the AWS cloud. This enables you to collect telemetry data from multiple devices and store and analyze the data. You can also create applications that enable your users to control these devices from their phones or tablets.

## **AWS IoT Components**

AWS IoT consists of the following components:

### **Device gateway**

Enables devices to securely and efficiently communicate with AWS IoT.

### Message broker

Provides a secure mechanism for things and AWS IoT applications to publish and receive messages from each other. You can use either the MQTT protocol directly or MQTT over WebSocket to publish and subscribe. You can use the HTTP REST interface to publish.

### **Rules engine**

Provides message processing and integration with other AWS services. You can use a SQL-based language to select data from message payloads, process and send the data to other services, such as Amazon S3, Amazon DynamoDB, and AWS Lambda. You can also use the message broker to republish messages to other subscribers.

### Security and Identity service

Provides shared responsibility for security in the AWS cloud. Your things must keep their credentials safe in order to securely send data to the message broker. The message broker and rules engine use AWS security features to send data securely to devices or other AWS services.

### Thing registry

Sometimes referred to as the device registry. Organizes the resources associated with each thing. You register your things and associate up to three custom attributes with each thing. You can also associate certificates and MQTT client IDs with each thing to improve your ability to manage and troubleshoot your things.

### Thing shadow

Sometimes referred to as a device shadow. A JSON document used to store and retrieve current state information for a thing (device, app, and so on).

### Thing Shadows service

Provides persistent representations of your things in the AWS cloud. You can publish updated state information to a thing shadow, and your thing can synchronize its state when it connects. Your things can also publish their current state to a thing shadow for use by applications or devices.

## How to Get Started with AWS IoT

- To learn more about AWS IoT, see How AWS IoT Works (p. 2).
- To learn how to connect a thing to AWS IoT, see Getting Started with AWS IoT (p. 18).

## **Accessing AWS IoT**

AWS IoT provides the following interfaces to create and interact with your things:

- AWS Command Line Interface (AWS CLI)—Run commands for AWS IoT on Windows, OS X, and Linux. To get started, see the AWS Command Line Interface User Guide. For more information about the commands for AWS IoT, see iot in the AWS Command Line Interface Reference.
- AWS SDKs—Build your IoT applications using language-specific APIs. For more information, see AWS SDKs and Tools.
- **AWS IOT API**—Build your IoT applications using HTTP or HTTPS requests. For more information about the API actions for AWS IoT, see Actions in the AWS IoT API Reference.
- AWS IoT Thing SDK for C—Build IoT applications for resource-constrained things, such as microcontrollers.

## **Related Services**

AWS IoT integrates directly with the following AWS services:

- Amazon Simple Storage Service—Provides scalable storage in the AWS cloud. For more information, see Amazon S3.
- Amazon DynamoDB—Provides managed NoSQL databases. For more information, see Amazon DynamoDB.
- Amazon Kinesis—Enables real-time processing of streaming data at a massive scale. For more information, see Amazon Kinesis.
- AWS Lambda—Runs your code on virtual servers from Amazon EC2 in response to events. For more information, see AWS Lambda.
- Amazon Simple Notification Service—Sends or receives notifications. For more information, see Amazon SNS.
- Amazon Simple Queue Service—Stores data in a queue to be retrieved by applications. For more information, see Amazon SQS.

## How AWS IoT Works

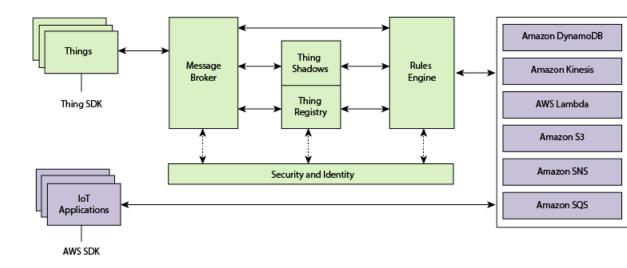
AWS IoT enables Internet-connected things to connect to the AWS cloud and lets applications in the cloud interact with Internet-connected things. Common IoT applications either collect and process telemetry from devices or enable users to control a device remotely.

Things report their state by publishing messages, in JSON format, on MQTT topics. Each MQTT topic has a hierarchical name that identifies the thing whose state is being updated. When a message is published on an MQTT topic, the message is sent to the AWS IoT MQTT message broker, which is responsible for sending all messages published on an MQTT topic to all clients subscribed to that topic.

Communication between a thing and AWS IoT is protected through the use of X.509 certificates. AWS IoT can generate a certificate for you or you can use your own. In either case, the certificate must be registered and activated with AWS IoT, and then copied onto your thing. When your thing communicates with AWS IoT, it presents the certificate to AWS IoT as a credential.

We recommend all things that connect to AWS IoT have an entry in the thing registry. The thing registry stores information about a thing and the certificates that are used by the thing to secure communication with AWS IoT.

You can create rules that define one or more actions to perform based on the data in a message. For example, you can insert, update, or query a DynamoDB table or invoke a Lambda function. Rules use expressions to filter messages. When a rule matches a message, the rules engine invokes the action using the selected properties. You can use all or only some JSON properties in a message. Rules also contain an IAM role that grants AWS IoT permission to the AWS resources used to perform the action.



Each thing has a thing shadow that stores and retrieves state information. Each item in the state information has two entries: the state last reported by the thing and the desired state requested by an application. An application can request the current state information for a thing. The shadow responds to the request by providing a JSON document with the state information (both reported and desired), metadata, and a version number. An application can control a thing by requesting a change in its state. The shadow accepts the state change request, updates its state information, and sends a message to indicate the state information has been updated. The thing receives the message, changes its state, and then reports its new state.

# **AWS IoT Button Quickstarts**

The two quickstarts in this section show you how to configure and use the AWS IoT button. You can use the AWS IoT button wizard in the AWS Lambda console to easily and quickly configure your AWS IoT button. The AWS Lambda console contains a blueprint that will automate the process of setting up your AWS IoT button by:

- Creating and activating an X.509 certificate and private key for authenticating with AWS IoT.
- Walking you through the configuration of your AWS IoT button in order to connect to your Wi-Fi network.
- Walking you through the copying of your certificate and private key to your AWS IoT button.
- Creating and attaching to the certificate an AWS IoT policy that gives the button permission to make calls to AWS IoT.
- Creating an AWS IoT rule that invokes a Lambda function when your AWS IoT button is pressed.
- Creating an IAM role and policy that allows the Lambda function to send email messages using Amazon SNS.
- Creating a Lambda function that sends an email message to the address specified in the Lambda function code.

You can also configure the AWS IoT button by using an AWS CloudFormation template. The second quickstart shows you how to configure the AWS IoT resources required to process the MQTT messages that are sent when the AWS IoT button is pressed, by using an AWS CloudFormation template.



If you do not have a button, you can purchase one here. For more information about AWS IoT, see What Is AWS IoT (p. 1).

### Topics

• AWS IoT Button Wizard Quickstart (p. 5)

- AWS IoT Button AWS CloudFormation Quickstart (p. 12)
- Next Steps (p. 17)

## **AWS IoT Button Wizard Quickstart**

The AWS IoT button wizard is a Lambda blueprint, so you need to sign in to the AWS Lambda console in order to use it. If you do not have an AWS account, you can create one by following these steps.

### To create an AWS account

- 1. Open the AWS home page and choose Create an AWS Account.
- 2. Follow the online instructions. Part of the sign-up procedure involves receiving a phone call and entering a PIN using your phone's keypad.

### To configure the AWS IoT Button

- 1. Sign in to the AWS Management Console and open the AWS Lambda console.
- 2. If this is your first time in the AWS Lambda console, you will see the following page. Choose the **Get Started Now** button.



If you have used the AWS Lambda console before, you will see the following page. Choose the **Create a Lambda function** button.

AWS Lambda Dashboard BETA	Lambda > Functions You have 32 Lambda function(s) using 1.6 MB of code storage. Choose any Lambda function to view details on invocation requests, duration, and errors (metrics matake up to 60 seconds to appear).	<b>P</b> ay
Functions	Create a Lambda function Actions 👻	
	Filter	
	Function name 👻 Description 💌 Runtime 👻 Code size 👻 Last Modified	- 3
	myButtonFunction         An AWS Lambda function that sends an email on the click of an IoT button.         Node.js 4.3         1.7 kB         11 hours ago	
	michgreFunction A starter AWS Lambda function. Node.js 4.3 851 bytes last month	

3. On the **Select blueprint** page, from the **Runtime** drop-down menu, choose **Node.js 4.3**. In the filter text box, type button. To choose the **iot-button-email** blueprint, double-click it or choose the **Next** button.

Select blueprint	Select blueprint ??
Configure triggers Configure function	Blueprints are sample configurations of event sources and Lambda functions. Choose a blueprint that best aligns with your desired scenario and customize as needed, or skip this step if you want to author a Lambda function and configure an event source separately. Except where otherwise noted, blueprints are licensed under CC0.
Review	Welcome to AWS Lambda! You can get started on creating your first Lambda function by choosing one of the blueprints below.
	Node.js 4.3 <ul> <li>button</li> <li>Viewing 1-1 of 1 &gt; &gt;</li> </ul>
	iot-button-email         An AWS Lambda function that sends an email on the click of an IoT button.         nodejs - lot - button
	Cancel Next

4. On the **Configure triggers** page, from the **IoT Type** drop-down menu, choose **IoT Button**.

Type the serial number for your device. You'll find the device serial number (DSN) on the back of the button.

Choose Generate certificate and keys.

### **Note** You only need to generate a certificate and private key once. Then you can navigate to http://192.168.0.1/index.html in a browser to configure your button.

Select blueprint	Configure triggers		
Configure triggers	Configure an optional trigger to automatically invoke your function.		
Configure function Review	AWS IOT Lambda		
	Warning: Altering the description or SQL statement of an existing rule will overwrite it.		
	loT Type IoT Button 🔻		
	Device Serial Number		
	Generate certificate and keys		

Use the links on the page to download the device certificate and the private key.

### AWS IoT Developer Guide AWS IoT Button Wizard Quickstart

Generate certificate and keys	1
We have created the necessary AWS IoT resources (thing, policy, certificate, private key). The remaining resources (rule and action) will be created after your function is created.	\$
Download these resources by clicking the links below. (NOTE: If you are using Internet Explorer or Safari, right click the links to save the files.)	ł
a. Your certificate PEM b. Your private key	
To configure the AWS IoT Button to use your WI-Fi and these resources to connect to AWS securely, follow these steps:	1
<ol> <li>Place the button into configuration mode by pressing the button down for 5 seconds until it flashes blue.</li> <li>Connect your computer to the button's Wi-Fi network SSID "Button ConfigureMe - FFD", using "5364XVRB" (last 8 digits of device serial number) as the WPA2-PSK password.</li> <li>Click here (opens in new tab) and use the following information to fill out the form:         <ul> <li>Enter your local network's Wi-Fi SSID and password.</li> <li>Select the certificate and private key files that you just downloaded above.</li> <li>Your endpoint subdomain is a182/d32qs965e.</li> <li>Your endpoint region is us-east-1.</li> <li>Check the box to agree to the terms and conditions.</li> <li>Click "configure".</li> </ul> </li> <li>Re-connect to your original Wi-Fi network.</li> </ol>	
The button should stop blinking blue and you will see a white blinking light followed by a greed solid light. Your button is now configured to connect to the internet and AWSI Continue creating your function, and your button will be connected to it automatically.	5

The page also includes instructions for configuring your AWS IoT button. On step 3, you will choose a link to open a web page that allows you to connect the AWS IoT button to your network. Under **Wi-Fi Configuration**, type the network ID (SSID) and network password for your Wi-Fi network. Under **AWS IoT Configuration**, choose the certificate and private key you downloaded earlier. This will copy your certificate and private key to your AWS IoT button. Select the check box to agree to the AWS IoT button terms and conditions, and then choose the **Configure** button.

### **Button ConfigureMe**

### Enter the value for any field that you wish to change for device:

Wi-Fi Configuration:	
SSID	Guest
Security	Open Network(No Password)
Password	None (unsecured)
AWS IoT Configuration	on:
Certificate	Browse certificate.pem
Private Key	Browse private.key
Endpoint Subdomain	A3T2RR9XSNT910
Endpoint Region	us-west-2
Final Endpoint	.iot.us-west-2.amazonaws.com
By clicking this box	, you agree to the AWS IoT Button Terms and Conditions.

A configuration confirmation page will be displayed.

## **Button ConfigureMe Setup**

Thank you for configuring your device.

### If you are unable to use your device, please enter configuration mode and

and the second second

5. Close the **Configure** tab and go back to the AWS Lambda console page. Choose **Enable trigger**, and then choose **Next**.

On the **Configure function** page, type a name for your function. The description, runtime, and Lambda function code will be entered for you.

Select blueprint	Configure function		
Configure triggers	A Lambda function consists of the custom code you want to execute. Learn		
Configure function			
Review	Name* myloTButtonFunction		
	Description An AWS Lambda function		
	Runtime* Node.is 4.3		
	Lambda function code		
	Provide the code for your function. Use the editor if your code does not required libraries, you can upload your code and libraries as a .ZIP file. Learn more a		
	Code entry type Edit code inline		
	We have restored the code from your previous session. Would you like to 1 - /** 2 * This is a sample Lambda function that sends an 3 * button. It creates a SNS topic, subscribes an e 4 * to the topic and publishes to the topic. 5 * 6 * Follow these steps to complete the configuration		
	<pre>7 * 8 * 1. Update the EMAIL variable with your email ad 9 * 2. Enter a name for your execution role in the 10 * Your function's execution role needs specifi 11 * to send an email. We have pre-selected the ' 12 * policy template that will automatically add 13 */ 14</pre>		
	15 const EMAIL = 'my_email@example.com'; // TODO cha		

In the Lambda function code, replace the example email address with your own email address.

```
1 - /**
 2
     * This is a sample Lambda function that sends an Email on click of a
     * button. It creates a SNS topic, subscribes an endpoint (EMAIL)
 3
     * to the topic and publishes to the topic.
 4
 5
 6
     * Follow these steps to complete the configuration of your function:
 7
 8
     * 1. Update the EMAIL variable with your email address.
 9
     * 2. Enter a name for your execution role in the "Role name" field.
10
     *
          Your function's execution role needs specific permissions for SNS operations
          to send an email. We have pre-selected the "AWS IoT Button permissions"
11
     *
12
          policy template that will automatically add these permissions.
13
     */
14
    const EMAIL =
                  'my_email@example.com'; // TODO change me
15
16
17 const AWS = require('aws-sdk');
18 const SNS = new AWS.SNS({ apiVersion: '2010-03-31' });
19
20 - function findExistingSubscription(topicArn, nextToken, cb) {
21 -
        const params = {
22
            TopicArn: topicArn,
23
            NextToken: nextToken || null,
24
        };
25 -
        SNS.listSubscriptionsByTopic(params, (err, data) => {
26 -
            if (err) {
```

In the Lambda function handler and role section, from the Role drop-down menu, choose Create new role from template(s). Type a unique name for the role.

Handler*	index.handler	
Role*	Create new role from template(s)	• 0
	Lambda will automatically create a role with perr Lambda permissions (logging to CloudWatch) wi VPC permissions will also be added.	
Role name	myloTButtonRole	0
Policy templates	AWS IoT Button permissi	<b>•</b> 0

At the bottom of the page, choose Next.

Review the settings for the Lambda function, and then choose Create function.

Select blueprint	Review	
Configure triggers	Please review your Lambda function details. Yo	ou can go back to edit chang
Configure function	complete the setup process.	
Review	Triggers	
	Lambda function	
	Name	myButtonFunction
	Description	An AWS Lambda function t on the click of an IoT butto
	Runtime	Node.js 4.3
	Handler	index.handler
	Role name	myNewRole
	Policy templates	AWS IoT Button permission
	Memory (MB)	128
	Timeout	3
	VPC	No VPC
na dilla di mana ana dala a		

You should see a page that confirms your Lambda function has been created:

T AWS V Services V Edit V
Lambda > Functions > myButtonFunction
Qualifiers - Test Actions -
Congratulations! Your Lambda function "myButtonFunction" has been successfully created and configured with IoT: iot
Code Configuration Triggers Monitoring
AWS IoT: iotbutton_G030JF055364XVRB arn:aws:iot:us-east-1:: :rule/iotbutton_ Rule Description: Event source for your IoT Button to Lambda SQL Statement: SELECT * FROM 'iotbutt
C Add trigger

# 6. To test your Lambda function, choose the **Test** button. After about a minute, you should receive an email message with AWS Notification – Subscription Confirmation in the subject line. Choose the link in the email message to confirm the subscription to an SNS topic created by the Lambda function. When AWS IoT receives a message from your button, it will send a message to Amazon SNS. The Lambda function created a subscription to the Amazon SNS topic using the email address you added in the code. When Amazon SNS receives a message on this Amazon SNS topic, it will forward the message to your subscribed email address.

Press your button to send a message to AWS IoT. The message will cause your Lambda rule to be triggered, and then your Lambda function will be invoked. The Lambda function will check to see if your SNS topic exists. The Lambda function will then send the contents of the message to the Amazon SNS topic. Amazon SNS will then forward the message to the email address you specified in the Lambda function code.

## AWS IoT Button AWS CloudFormation Quickstart

When the AWS IoT button is pressed, it sends basic information about the button to an Amazon SNS topic. The topic then forwards that information to you in an email message. This quickstart will show you how to use an AWS CloudFormation template to configure your AWS IoT button.

You will need an AWS account and an AWS IoT button to complete the steps in this quickstart.

1. Use the AWS IoT console to create an AWS IoT certificate:

- a. Open the AWS IoT console.
- b. If a **Welcome** page appears, choose **Get started**.
- c. In the AWS region selector, choose the AWS region where you want to create the AWS IoT certificate (for example, US East (N. Virginia)). You will be creating all supporting AWS resources (additional AWS IoT resources and an Amazon SNS resource) in the same AWS region.
- d. On the Resources page, choose Create a certificate.
- e. Select the Activate box, and then choose 1-Click certificate create.
- f. Choose **Download private key**, and then choose **Download certificate**.
- g. Select the box that represents the AWS IoT certificate (the box with the handshake icon).
- h. In the **Detail** pane, make a note of the certificate ARN value (for example, arn:aws:iot:region-ID:account-ID:cert/random-ID). You will need it later in this procedure.
- 2. Use the AWS CloudFormation console at https://console.aws.amazon.com/cloudformation/ to create the AWS IoT resources, an Amazon SNS resource, and an IAM role:
  - a. Save the following AWS CloudFormation template file named AWSIoTButtonQuickStart.template to your computer.

```
"AWSTemplateFormatVersion": "2010-09-09",
  "Description": "Creates required AWS resources to allow an AWS IoT
button to send information through an Amazon Simple Notification Service
 (Amazon SNS) topic to an email address.",
  "Parameters": {
   "IoTButtonDSN": {
   "Type": "String",
   "AllowedPattern": "G030JF05[0-9][0-5][0-9][1-7][0-9A-HJ-NP-X][0-9A-
HJ-NP-X][0-9A-HJ-NP-X][0-9A-HJ-NP-X]",
  "Description": "The device serial number (DSN) of the AWS IoT Button.
This can be found on the back of the button. The DSN must match the
pattern of 'G030JF05[0-9][0-5][0-9][1-7][0-9A-HJ-NP-X][0-9A-HJ-NP-X][0-
9A-HJ-NP-X][0-9A-HJ-NP-X]'."
},
"CertificateARN": {
   "Type": "String",
   "Description": "The Amazon Resource Name (ARN) of the existing AWS
IoT certificate."
},
 "SNSTopicName": {
   "Type": "String",
   "Default": "aws-iot-button-sns-topic",
  "Description": "The name of the Amazon SNS topic for AWS CloudFormation
to create."
},
 "SNSTopicRoleName": {
   "Type": "String",
   "Default": "aws-iot-button-sns-topic-role",
   "Description": "The name of the IAM role for AWS CloudFormation to
create. This IAM role allows AWS IoT to send notifications to the Amazon
SNS topic."
},
"EmailAddress": {
   "Type": "String",
   "Description": "The email address for the Amazon SNS topic to send
```

```
information to."
}
 },
 "Resources": {
   "IoTThing": {
     "Type": "AWS::IoT::Thing",
     "Properties": {
       "ThingName": {
   "Fn::Join" : [ "",
     [
        "iotbutton_",
             { "Ref": "IoTButtonDSN" }
   ]
   ]
 }
      }
   },
 "IoTPolicy": {
     "Type" : "AWS::IoT::Policy",
      "Properties": {
       "PolicyDocument": {
    "Version": "2012-10-17",
          "Statement": [
            {
              "Action": "iot:Publish",
              "Effect": "Allow",
              "Resource": {
       "Fn::Join": [ "",
           [
              "arn:aws:iot:",
     { "Ref": "AWS::Region" },
     ":",
     { "Ref": "AWS::AccountId" },
     ":topic/iotbutton/",
     { "Ref": "IoTButtonDSN" }
        ]
          ]
     }
            }
          ]
        }
      }
   },
 "IoTPolicyPrincipalAttachment": {
     "Type": "AWS::IoT::PolicyPrincipalAttachment",
      "Properties": {
        "PolicyName": {
   "Ref": "IoTPolicy"
 },
  "Principal": {
   "Ref": "CertificateARN"
  }
     }
   },
"IoTThingPrincipalAttachment": {
     "Type" : "AWS::IoT::ThingPrincipalAttachment",
      "Properties": {
       "Principal": {
```

```
"Ref": "CertificateARN"
},
 "ThingName": {
  "Ref": "IoTThing"
 }
    }
  },
"SNSTopic": {
    "Type": "AWS::SNS::Topic",
  "Properties": {
    "DisplayName": "AWS IoT Button Press Notification",
    "Subscription": [
   {
    "Endpoint": {
   "Ref": "EmailAddress"
  },
  "Protocol": "email"
  }
],
      "TopicName": {
  "Ref": "SNSTopicName"
 }
    }
  },
"SNSTopicRole": {
  "Type": "AWS::IAM::Role",
  "Properties": {
   "AssumeRolePolicyDocument": {
  "Version": "2012-10-17",
         "Statement": [
           {
             "Effect": "Allow",
             "Principal": {
               "Service": "iot.amazonaws.com"
             },
             "Action": "sts:AssumeRole"
           }
         ]
},
   "Path": "/",
 "Policies": [
  {
     "PolicyDocument": {
    "Version": "2012-10-17",
             "Statement": [
      {
                 "Effect": "Allow",
                 "Action": "sns:Publish",
                 "Resource": {
       "Fn::Join": [ "",
               [
                 "arn:aws:sns:",
        { "Ref": "AWS::Region" },
        ":",
        { "Ref": "AWS::AccountId" },
        ":",
        { "Ref": "SNSTopicName" }
                     1
```

```
]
            }
    }
              ]
   },
   "PolicyName": {
    "Ref": "SNSTopicRoleName"
   }
    }
 ]
  }
},
 "IoTTopicRule": {
      "Type": "AWS::IoT::TopicRule",
      "Properties": {
        "RuleName": {
    "Fn::Join": [ "",
      [
       "iotbutton_",
       { "Ref": "IoTButtonDSN" }
   ]
    ]
  },
  "TopicRulePayload": {
    "Actions": [
      {
        "Sns": {
       "RoleArn": {
         "Fn::GetAtt": [ "SNSTopicRole", "Arn" ]
       },
       "TargetArn": {
         "Ref": "SNSTopic"
       }
     }
   }
    ],
    "AwsIotSqlVersion": "2015-10-08",
          "RuleDisabled": false,
          "Sql": {
      "Fn::Join": [ "",
        [
          "SELECT * FROM 'iotbutton/",
    { "Ref": "IoTButtonDSN" },
    . . .
        ]
   ]
    }
        }
      }
    }
 }
}
```

- b. Open the AWS CloudFormation console at https://console.aws.amazon.com/cloudformation/.
- c. Make sure the AWS region selector displays the region where you created the AWS IoT certificate (for example, US East (N. Virginia)).
- d. Choose Create Stack.

- e. On the Select Template page, choose Upload a template to Amazon S3, and then choose Browse.
- f. Select the AWSIoTButtonQuickStart.template file you saved earlier, choose **Open**, and then choose **Next**.
- g. On the **Specify Details** page, for **Stack name**, type a name for this AWS CloudFormation stack (for example, MyAWSIoTButtonStack).
- h. For **CertificateARN**, type the Amazon Resource Name (ARN) of the AWS IoT certificate (the certificate ARN value) that you noted earlier.
- i. For **EmailAddress**, type your email address.
- j. For **IoTButtonDSN**, type the device serial number (DSN). You'll find it on the back of your AWS IoT button (for example, G030JF051234A5BC).
- k. You can leave SNSTopicName and SNSTopicRoleName at their defaults, or specify a different Amazon SNS topic name and associated IAM role name. For example, if you plan to set up more AWS IoT buttons, you might want to change these values. Choose Next.
- I. You do not need to do anything on the **Options** page. Choose Next.
- m. On the **Review** page, select **I acknowledge that AWS CloudFormation might create IAM resources**, and then choose **Create**.
- n. When CREATE\_COMPLETE is displayed for MyAWSIoTButtonStack, check your email inbox for a message with a subject line of AWS IoT Button Press Notification. Choose the **Confirm subscription** link in the body of the email message.
- 3. Using the private key and certificate you created earlier, follow the steps in Configure Your Device to set up your AWS IoT button.
- 4. After you have set it up, press the button once. A white light should blink several times and then be followed by a steady green light for a few moments. Shortly afterward, you should receive an email message with AWS IoT Button Press Notification in the subject line. You will see information sent by the button in the body of the email message.
- 5. After you are finished experimenting, you can clean up the AWS resources created by the AWS CloudFormation template. To do this, return to the AWS CloudFormation console and delete MyAWSIoTButtonStack. After you delete MyAWSIoTButtonStack, delete the AWS IoT certificate as follows:
  - a. Return to the AWS IoT console.
  - b. In the list of resources, select the check box inside of the box that represents the AWS IoT certificate (the box with the handshake icon).
  - c. For Actions, choose Decativate, and then confirm.
  - d. With the box that represents the AWS IoT certificate still selected, for **Actions**, choose **Delete**, and then confirm.
  - e. The private key and certificate that you downloaded earlier will no longer be valid, so you can now delete them from your computer.

## **Next Steps**

To learn more about the Lambda blueprint used to set up your button, see Getting Started with AWS IoT. To learn how to use AWS CloudFormation with the AWS IoT button, see http://docs.aws.amazon.com/ iot/latest/developerguide/iot-button-cloud-formation.html

# **Getting Started with AWS IoT**

This section will guide you through the creation of resources required to send, receive, and process MQTT messages from devices using AWS IoT. You will need a computer with Wi-Fi access to complete this tutorial. If you have an AWS IoT button (pictured here), you can use it to complete this tutorial.



If you do not have a button, you can purchase one here or you can use the MQTT client in the AWS IoT console to complete this tutorial. For more information about AWS IoT, see What Is AWS IoT (p. 1).

### Note

This tutorial uses Amazon Simple Notification Service (Amazon SNS), which is not available in all regions. When you create AWS resources for this tutorial, make sure to sign in to the US East (N. Virginia) Region. For more information about AWS regions, see Regions and Endpoints.

### Topics

- Sign in to the AWS IoT Console (p. 19)
- Create a Device in the Thing Registry (p. 20)
- Create and Activate a Device Certificate (p. 21)
- Create an AWS IoT Policy (p. 23)
- Attach an AWS IoT Policy to a Device Certificate (p. 24)
- Attach a Thing to a Certificate (p. 26)
- Configure Your Device (p. 27)
- View Device MQTT Messages with the AWS IoT MQTT Client (p. 29)
- Configure and Test Rules (p. 32)
- Next Steps (p. 40)

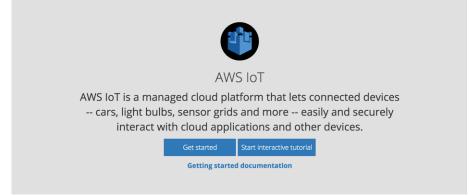
## Sign in to the AWS IoT Console

If you do not have an AWS account, create one.

- 1. Open the AWS home page and choose Create an AWS Account.
- 2. Follow the online instructions. Part of the sign-up procedure involves receiving a phone call and entering a PIN using your phone's keypad.
- 3. Sign in to the AWS Management Console and open the AWS IoT console.
- 4. On the Welcome page, choose Get started with AWS IoT.



5. If this is your first time using the AWS IoT console, you will see two options: **Get started** and **Start interactive tutorial**. Choose **Get Started**.



6. On the **Resources** page, if you don't see a blue banner with **Create a thing**, **Create a rule**, **Create a certificate**, and **Create a policy** buttons, choose **Create a resource**.

	Resources   MQTT Client   Tutorial   Settings   0 notifications
Resources + Create a resource Connect AWS IoT Button	
Filter by resource names or by resource type (below)         (All) 0/0 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies	Select all     Actions -       First     Previous     1     Next     Last

## **Create a Device in the Thing Registry**

To connect a device to AWS IoT, we recommend that you first create a device in the thing registry. This registry allows you to keep a record of all of the devices that are connected to your AWS IoT account.

1. Choose **Create a thing**, and then type a name for your device. You can also choose **Add attribute** to provide information about your device (for example, its serial number, manufacturer, and more). Choose **Create** to add your device to the thing registry.

AWS IoT	Resources   MQTT Client   Tutorial   Settings   0 notifications
esources	<ul> <li>Close create panel</li> <li>I Connect AWS IoT Button</li> </ul>
	Image: Create a thing     Image: Create a thing type     Image: Create a rule     Image: Create a certificate     Image: Create a certificate     Image: Create a policy
Create a thing Create a thing to represent	t your device in the cloud. This step creates an entry in the thing registry and a thing shadow for your device.
Name	myRaspberryPi
No type 🗸	g type ype to your thing. If you do not want to associate your thing with a type, choose <b>No type</b> d in a thing search
Next (optional), you can us	e thing attributes to describe the identity and capabilities of your device. Each attribute is a key-value pair.
	Create

2. Choose View thing to display information about your device.

AWS IOT	Resources	MQTT Client   Tut
Resources Close create panel		Learn more Na
Series       Create a thing type       Image: Create a nule       Image: Create a certificate       Image: Create a certificate       Image: Create a certificate	×	REST API endpo Thing ty MQTT to
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when your thing publishes a message. View thing		Last upd Attributes used i thing sea Linked certifica
Filter by resource names or by resource type (below)     Select all	Actions <del>-</del>	
(All)     1/1 things     0/0 thing types     0/0 rules     0/0 certificates       0/0 policies     First     Previous     1	Next Last	
myRaspberryPi		
my/RaspberryPi ☆ □		

## **Create and Activate a Device Certificate**

Communication between your AWS IoT button and AWS IoT is protected through the use of X.509 certificates. AWS IoT can generate a certificate for you or you can use your own X.509 certificate. This tutorial assumes that AWS IoT will generate the X.509 certificate for you. Certificates must be activated prior to use.

1. In the Create a Certificate section, choose 1-Click certificate create.

AWS IOT Resources   MQTT Client   Tutorial   Settings   1 notification	
Resources Close create panel	?
Image: Create a thing       Image: Create a rule       Image: Create a certificate       Image:	:
Create a certificate to authenticate your device's connection to AWS IoT.	
You can generate a certificate with 1-click (recommended), or you can upload your own certificate signing request (CSR) based on a private key you own (advanced).	ł
L Create with CSR → or →      L 1-Click certificate create	
You don't have any CAs registered yet. Register your CA certificate	

2. On the **Resources** page, choose the **Download private key** and **Download certificate** links, and then save the private key and certificate to your computer.

AWS INT	Resources	MQTT Client   Tutoria	Settings
Resources × Close create panel		Learn more De	
		Name REST API endpoint	MyloTButton https://
	×		-1.amazonaws.co on/shadow
Create a thing Create a thing Create a thing Create a thing Create a create		MQTT topic	'\$aws/things/My pdate'
		Last update Attributes	
Your new certificate has been created. You can attach a certificate to a thing so it can connect to AWS IoT and attach a policy to give it permissions.		Linked certificates	
Please download these files and save them in a safe place. Certificates can be retrieved at any time, but the private and public keys will not be retrievable after closing this form.  Download public key  Download private key  Download certificate  Filter by resource names or by resource type (below) Select all Actions			
All 1/1 things 0/0 rules 0/0 CAs 1/1 certificates			
0/0 policies First Previous 1 Next	: Last		
		Create a rule	Connect

3. Select the check box on the certificate, and from the **Actions** menu, choose **Activate**.

AWS IOT	esources	MQTT Client	Tutorial	Settings
Resources Close create panel		Learn more	Detai	Update sł
			Name N	/lyloTButton
	×	REST API end		ttps:// .co n/shadow
Create a thing Create a rule Use my certificate Create a certificate Create a policy		MQTT		Saws/things/My date'
		Last u	pdate N	lo state 🛛 📿
Your new certificate has been created. You can attach a certificate to a thing so it can connect to AWS IoT and attach a policy to give it		Attri	butes N	lone
permissions.		Linked certifi	icates N	lone
Please download these files and save them in a safe place. Certificates can be retrieved at any time, but the private and public keys will not be retrievable after closing this form. • Download public key • Download private key • Download certificate				
▼ Filter by resource names or by resource type (below)     Select all     Actions→				
All 1/1 things 0/0 rules 0/0 CAs 1/1 certificates First Prev Activate				
0/0 policies Deactivate				
Revoke				
MyloTButton Delete				
Accept transfer	r			
INACTIVE Reject transfer				
Transfer				
Image: Second state and the second st				
Attach a thing		Create	a rule	Connect

## **Create an AWS IoT Policy**

X.509 certificates are used to authenticate your AWS IoT button. AWS IoT policies are used to authorize your button to perform AWS IoT operations, such as subscribing or publishing to MQTT topics. Your button will present its certificate when sending messages to AWS IoT. To allow your button to perform AWS IoT operations, you must create an AWS IoT policy and attach it to your device certificate.

- 1. In the AWS IoT console, if you don't see the **Create** panel, choose **Create a resource**.
- 2. Choose Create a policy.
- 3. In the **Create a policy** section, type a name for the policy. From the **Action** menu, choose **iot:Publish**. In the **Resource** field, type the ARN of your AWS IoT button, and then select the **Allow** check box. This allows your button to publish messages to AWS IoT.

### Note

The ARN follows this format:

arn:aws:iot:your-region:your-aws-account:topic/iotbutton/your-button-serial-number For example:

arn:aws:iot:us-east-1:123456789012:topic/iotbutton/G030JF055364XVRB You can find the serial number on the bottom of your button.

The settings explained in this step assume you are using an AWS IoT button which is programmed to publish on a specific MQTT topic: topic/iotbutton/button-serial-number. The policy created gives permission to publish to that topic. If you are not using an AWS IoT button, you should modify the ARN described above to contain the MQTT topic on which your device publishes. If your device is programmed to publish on myDevice/myTopic you would use the following ARN:

arn:aws:iot:us-east-1:123456789012:topic/myDevice/myTopic.

Choose Add statement, and then choose Create.

AWS IoT			
Resources	Close create panel		
		Create a thing	ate a rule
Create a policy	1		
Create a policy to define a	set of authorized actions. Yo	u can authorize actions on one or more r	esources (things
	Name	MyloTButtonPolicy	
Add a statement			
	Action	iot:Publish	Resource
		Add statement	_
			C

For more information about AWS IoT policies, see Managing AWS IoT Policies.

## Attach an AWS IoT Policy to a Device Certificate

Now that you have created a policy, you must attach it to your device certificate. Attaching an AWS IoT policy to a certificate gives the device the permissions specified in the policy.

1. From the AWS IoT console, choose your device certificate, and from the **Actions** menu, choose **Attach a policy**.

### AWS IoT Developer Guide Attach an AWS IoT Policy to a Device Certificate

AWS IoT		Resources
Resources	★ Close create panel	
	Create a thing	Create a certificate
Your new policy has been	en created. You can view your policy and manage it at any time. View policy	
▼       Filter by resource name         All       0/1 things       0/0 rules         All       0/1 things       0/0 rules         ACTIVE       Image: Compare the second secon	es or by resource type (below) 0/0 CAs <u>1/1 certificates</u> 0/2 policies	

2. In the **Confirm** dialog box, type the name of the AWS IoT policy you created in the previous step, and then choose **Attach**.

	Confirm
Attach policy to the follow •	ing certificates:
Policy name	MyIoTButtonPolicy
	Attach

## Attach a Thing to a Certificate

To attach a certificate to a device in the thing registry:

1. In the AWS IoT console, choose the certificate you want to attach, and from the **Actions** menu, choose **Attach a thing**.

AWS IoT		Resources	MQTT Client	Tutorial
Resources	+ Create a resource			
<b>¥</b> Filter by resource name	es or by resource type (below,	)	[	Select
All 1/1 things 1/1 rules 0/0 policies MyloTButton	0/0 CAs 1/1 certificates 3b95b9d18852d75d6 d3f1d405d284e53f3 1a9f3c67f9bcfd5af ACTIVE	MyS3Rule ENABLED		
2° -	S 🗹	≪ □		
				*****

2. In the **Confirm** dialog box, type the name of the thing to which you will attach the certificate, and then choose **Attach**.

	Confirm		
Attach the following certifi	cates to a thing:		
•			
Thing name	MyloTButton	]	
		_	
Attach			

3. To verify the thing is attached, double-click the certificate. The policy and thing should appear in the detail pane.

Resources	× Close create	panel		
Crea	te a thing	Use my certificate	Create a certificate	
Your new policy has b	een created. You can view	your policy and manage	it at any time.	
Filter by resource names or by resource type (below)       Select all         All       1/1 things       1/1 rules       0/0 CAs       1/1 certificates         1/1 policies       First				
MyloTButtonPoli cy	MyloTButton	3b95b9d18852d 75d6d3f1d405d2 84e53f31a9f3c ACTIVE	MyS3Rule ENABLED	
9 0	0 党	S 2	≪ □	

## **Configure Your Device**

Configuring your device allows it to connect to your Wi-Fi network. Your device must be connected to your Wi-Fi network to install the device certificate and to send messages to AWS IoT. All devices must have a device certificate in order to communicate with AWS IoT.

## **AWS IoT Button**

To configure your AWS IoT button:

### Turn on your device

- 1. Remove the AWS IoT button from its packaging, and then press and hold the button for 15 seconds until a blue blinking light appears.
- 2. The button acts as a Wi-Fi access point, so when your computer searches for Wi-Fi networks, it will find one called **Button ConfigureMe XXX** where *XXX* is a three-character string generated by the button. Use your computer to connect to the button's Wi-Fi access point.
- 3. The first time you connect to the button's Wi-Fi access point, you will be prompted for the WPA2-PSK password. Type the last 8 characters of the device serial number (DSN). You'll find the DSN on the back of the device, as shown here:

DSN:	XXXX XXXX XXXX XXXX
	wamazon 📓
Mode	No. JK76PL FCC ID: 24CBE-0610 Assembled in China

### Copy your device certificate onto your AWS IoT button

To connect to AWS IoT, you must copy your device certificate onto the AWS IoT button.

- 1. In a browser, navigate to http://192.168.0.1/index.html.
- 2. Complete the configuration form.
  - 1. Type your Wi-Fi SSID and password.
  - 2. Browse to and select your certificate and private key.
  - 3. Find your custom endpoint in the AWS IoT console. Your endpoint will look something like the following:

ABCDEFG1234567.iot.us-east-1.amazonaws.com

where ABCDEFG1234567 is the subdomain and us-east-1 is the region.

- 4. On the **Button ConfigureMe** page, type the subdomain, and then choose the region that matches the region in your AWS IoT endpoint.
- 5. Select the Terms and Conditions check box. Your settings should now look like the following:

### **Button ConfigureMe**

Enter the value for any field that you wish to change for device: G030JF				
Wi-Fi Configuration: SSID	Guest			
Security Password	Open Network(No Password) None (unsecured)			
AWS IoT Configurat	ion:			
Certificate	Choose File MyloTButtonCert.pem			
Private Key	Choose File MyloTButtoateKey.pem			
Endpoint Subdomain	AMUN9F6MTZ77O			
Endpoint Region				
Final Endpoint	AMUN9F6MTZ77O.iot.us-east-1.amazonaws.com			
By clicking this box	a, you agree to the AWS IoT Button Terms and Conditions.			
Configure				
and the second second	الموجر المراجعة المحاجي والمحاجر والمحاجر والمحاجب المحاجر المراجع والمحاجر والمحاجر والمحاجر والمحاجر والمحاجر			

6. Your button should now connect to your Wi-Fi.

## **View Device MQTT Messages with the AWS IoT MQTT Client**

You can use the AWS IoT MQTT client to better understand the MQTT messages sent by a device.

Devices publish MQTT messages on topics. You can use the AWS IoT MQTT client to subscribe to these topics to see the content of these messages.

To view MQTT messages:

1. In the AWS IoT console, choose MQTT Client.

#### AWS IoT Developer Guide View Device MQTT Messages with the AWS IoT MQTT Client

AWS IOT	Res
Resources + Create a resource	
Filter by resource names or by resource type (below)	
All 1/1 things 1/1 rules 0/0 CAs 1/1 certificates 1/1 policies	

2. Type a client ID or choose Generate client ID, and then choose Connect.

110	AWS IoT	Reso

### You're not connected to the Device Gateway

- Go to the "Device Gateway connection" tab
- Type in your desired Client ID or generate one using the "Generate client ID" button
- Once the connection to the Device Gateway succeeds, Subscribe or Publish to topics using the "Subscribe to topic" and "Publish to topic" tabs
- If the connection to the Device Gateway fails, ensure that your Client ID is less than 128 bytes and encoded in UTF-8

### Cli

Μ

D

Sı

Ρι

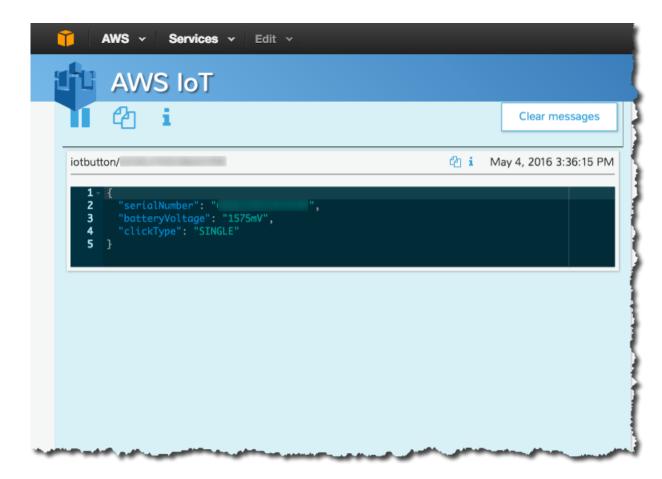
P

18

3. Subscribe to the topic on which your thing publishes. In the case of the AWS IoT button, you can subscribe to iotbutton/+. Choose Subscribe to topic, in Subscription topic, type iotbutton/+, and then choose Subscribe.

	AWS IOT	Reso
	Type in your desired Topic Filter and QoS, then click "Subscribe"	S
	<ul> <li>Once subscribed, you can unsubscribe from the topic by clicking the 'x' in the right corner of the tab that will be generated</li> </ul>	P
		P
		Su
		10
		u
1	an a	

4. Press your AWS IoT button, and then view the message in the AWS IoT MQTT client.



# **Configure and Test Rules**

The AWS IoT rules engine listens for incoming MQTT messages that match a rule. When a matching message is received, the rule takes some action with the data in the MQTT message (for example, writing data to an Amazon S3 bucket, invoking a Lambda function, or sending a message to an Amazon SNS topic). In this step, you will create and configure a rule to send the data received from a device to an Amazon SNS topic. Specifically, you will:

- Create an Amazon SNS topic.
- Subscribe to the Amazon SNS topic using a cell phone number.
- Create a rule that will send a message to the Amazon SNS topic when a message is received from your device.
- Test the rule using your AWS IoT button or an MQTT client.

In the upper-right corner of this page, there is a **Filter View** drop-down list. You can choose **AWS IoT Button** to see instructions for testing your rule by using the AWS IoT button or **MQTT Client** to see instructions for testing your rule by using the AWS IoT MQTT client.

# Create an SNS Topic

You will use the Amazon SNS console to create an Amazon SNS topic.

### Note

Amazon SNS is not available in all AWS regions.

- 1. Open the https://console.aws.amazon.com/sns/.
- 2. From the left pane, choose **Topics**, and on the right pane, choose **Create new topic**.
- 3. Type a topic name and a display name, and then choose **Create topic**.

Create new topic				
A topic name will be used to create a permanent unique identifier called an Amazon Resource Name (ARN).				
Topic name	MyloTButtonSNSTopic			
Display name	IoT Button			

Cancel

Cri

4. Make a note of the ARN for the topic you just created.

Pu	ublish to topic Create ne	ew topic Actions -
ilte	er MyloTButtonSNSTopic	
5	Name	ARN
	MyloTButtonSNSTopic	arn:aws:sns: : : : : : : : : :: : : : : : : :

### Subscribe to an Amazon SNS Topic

To receive SMS messages on your cell phone, you need to subscribe to the Amazon SNS topic.

- 1. In the Amazon SNS console, from the **Actions** menu, choose **Subscribe to topic**.
- 2. From the **Protocol** drop-down list, choose **SMS**.

Create Subscription			
Topic ARN	arn:aws:sns: :MyloTButtonSNSTopic		
Protocol	SMS		•
Endpoint	1-206-555-6423		
		Cancel	Create Su

3. In **Endpoint**, type the phone number of an SMS-enabled cell phone, and then choose **Create Subscription**.

### Note

Enter the phone number using numbers and dashes only.

You will receive a text message that confirms you successfully created the subscription.

# **Create a Rule**

AWS IoT rules consist of a topic filter, a rule action, and, in most cases, an IAM role. Messages published on topics that match the topic filter trigger the rule. The rule action defines which action to take when the rule is triggered. The IAM role contains one or more IAM policies that determine which AWS services the rule can access. You can create multiple rules that listen on a single topic. Likewise, you can create a single rule that is triggered by multiple topics. The AWS IoT rules engine continuously processes messages published on topics that match the topic filters defined in the rules.

In this example, you will create a rule that uses Amazon SNS to send an SMS notification to a cell phone number.

1. In the AWS IoT console, choose Create a rule.

AWS IoT	Resources   MQTT Client
Resources	× Close create panel
	Create a thing
Create a rule Create a rule to evaluate i endpoint such as a Dynan Name your rule and add a	
Name	mySNSRule
Description	A simple SNS rule

- 2. On the **Create a rule** page, in **Name**, type a name for your rule.
- 3. In **Description**, type a description for the rule.
- 4. In **Attribute**, type **\***. This specifies that you want to send the entire MQTT message that triggered the rule.
- 5. The rules engine uses the topic filter to determine which rules to trigger when an MQTT message is received. In **Topic filter**, type iotbutton/your-button-DSN. If you are not using an AWS IoT button, type my/topic.

### Note

You can find the DSN on the bottom of the button.

6. Leave Condition blank.

Indicate the source of the	messages you want to process with this r	ule.
Rule query statement	SELECT * FROM 'my/topic'	
Attribute	*	0
Topic filter	my/topic	0
Condition	e.g. temperature > 75	0
 and the second se	بالمور بالجرر الجراريين متراريس	والمحاصية والمحاصية والمحاص المحاص

- 7. From the Choose an action drop-down list, choose Send message as a push notification (SNS).
- 8. From the **SNS target** drop-down list, choose the Amazon SNS topic you created earlier.

	is to happen when the above ru n a database, invoking cloud fun		natched by an inbound message. Act nding notifications. (* required)
Choose an action	SNS	~	
*SNS target	MyloTButtonSNSTopic	~	Create a new resource
*SNS target	MyIoTButtonSNSTopic	~	Create a new resource      O
*SNS target *Role name	MyloTButtonSNSTopic Choose a role	* *	<ul> <li>O Create a new resource O</li> <li>O Create a new role</li> </ul>
-		-	

- 9. Now you need to give AWS IoT permission to publish to the Amazon SNS topic on your behalf when the rule is triggered. Choose the **Create a new role** link. This will open a web page in the IAM console.
- 10. Accept the default values, and then choose **Allow**.

Click allow to give AWS IoT write access to resource	s in your account.
<ul> <li>Hide Details</li> </ul>	
Role Summary 😧	
Provides write access to AWS Services and Resource	es
aws_iot_sns	
aws_iot_sns \$ Create a new Role Policy	

11. Choose Add action to add the action to the rule.

			e query is matched by an inbound message. Actions	Re s def
	Choose an action	SNS		
	This action will push	the message to a SNS	s topic.	
	*SNS target	MyloTButtonSNSTopic	✓ O Create a new resource O	
	*Role name	aws_iot_sns	✓ O Create a new role	
		Cancel	Add action	
			Create	
		and a second second second		<u>_</u>
Cho	ose Create to create the rule.			
	Colort and an entire ortical to have			<i>c</i>

12.

Select one or more actions to happen when the above rule query is matched by an inbound message. Actions define like storing them in a database, invoking cloud functions, or sending notifications. (\* required)

Choose an action	Choose an action	~		
SNS Action				
		C	reate	
 والمحمول والمردية المردي والمحادث				

For more information about creating rules, see AWS IoT Rules.

### **Test the Amazon SNS Rule**

You can test your rule by using an AWS IoT button or the AWS IoT MQTT client.

### **AWS IoT Button**

Press your button. You should receive an SMS text that shows the current charge on your device.

### **AWS IoT MQTT Client**

To test your rule with the AWS IoT MQTT client:

- 1. In the AWS IoT console, choose MQTT Client.
- 2. Choose Generate client ID, and then choose Connect.



3. On the MQTT client page, choose Publish to topic.

	AWS IOT	Resources
	You don't have any subscriptions. • Go to the "Subscribe to topic" tab	MQ <sup>*</sup> Device
	Type in your desired Topic Filter and QoS, then click "Subscribe"	Subscr
	<ul> <li>Once subscribed, you can unsubscribe from the topic by clicking the 'x' in the right corner of the tab that will be generated</li> </ul>	Publish
		Publist
		Dis
4. 5.	In the <b>Publish topic</b> field, type my/topic. In <b>Payload</b> , type the following JSON:	

"message": "Hello, world from AWS IoT!"

{

}

AWS IOT	Resources
• Once subscribed, you can unsubscribe from the topic by clicking the 'x' in the right corner of the tab that will be generated	Publis
	Publis
	F
	Qualit
	1~{ 2 3}
	F
والمحاج بمعاجزتها والمحاجب المستحين والمحاجبة والمحاجبة والمحاجب والمحاجب والمحاجب والمحاجب والمحاجب والمحاجب	and the second

6. Choose **Publish**. You should receive an Amazon SNS message on your cell phone.

# **Next Steps**

For more information about AWS IoT rules, see AWS IoT Rule Tutorials (p. 41) and AWS IoT Rules (p. 108).

# **AWS IoT Rule Tutorials**

This guide includes tutorials that walk you through the creation and testing of AWS IoT rules. If you have not completed the AWS IoT Getting Started Tutorial (p. 18), we recommend you do that first. It shows you how to create an AWS account and connect your device to AWS IoT.

An AWS IoT rule consists of a SQL SELECT statement, a topic filter, and a rule action. Devices send information to AWS IoT by publishing messages to MQTT topics. The SQL SELECT statement allows you to extract data from an incoming MQTT message. The topic filter of an AWS IoT rule specifies one or more MQTT topics. The rule is triggered when an MQTT message is received on a topic that matches the topic filter. Rule actions allow you to take the information extracted from an MQTT message and send it to another AWS service. Rule actions are defined for AWS services like Amazon DynamoDB, AWS Lambda, Amazon SNS, and Amazon S3. By using a Lambda rule, you can call other AWS or third-party web services. For a complete list of rule actions, see AWS IoT Rule Actions (p. 117).

In these tutorials we assume you are using the AWS IoT button and will use iotbutton/+ as the topic filter in the rules. If you do not have an AWS IoT button, you can buy one here.

The AWS IoT button sends a JSON payload that looks like this:

```
{
    "serialNumber" : "ABCDEFG12345",
    "batteryVoltage" : "2000mV",
    "clickType" : "SINGLE"
}
```

You can emulate the AWS IoT button by using an MQTT client like the AWS IoT MQTT client in the AWS IoT console. To emulate the AWS IoT button, publish a similar message on the

iotbutton/ABCDEFG12345 topic. The number after the / is arbitrary. It will be used as the serial number for the button.

You can use your own device, but you will need to know on which MQTT topic your device publishes so you can specify it as the topic filter in the rule. For more information, see AWS loT Rules (p. 108).

# **Creating a DynamoDB Rule**

DynamoDB rules allow you to take information from an incoming MQTT message and write it to a DynamoDB table.

To create a DynamoDB rule:

1. In the AWS IoT console, choose **Create a resource**.

C AWS IoT	
esources	+ Create a resource
_	
	hu maaumaa tuma (halaud
Filter by resource names or	by resource type (below)
-	
5/5 things 0/0 thing types	
5/5 things 0/0 thing type	
5/5 things 0/0 thing type	
5/5 things 0/0 thing types policies	s 3/3 rules 0/0 CAs 13/13 certificates
5/5 things 0/0 thing types policies	s 3/3 rules 0/0 CAs 13/13 certificates
) 5/5 things 0/0 thing types policies	s 3/3 rules 0/0 CAs 13/13 certificates

2. Choose Create a rule.

AWS Io	ſ	Resources	MC
Resources	X Close create panel	C Connect AWS IoT Button	
	Create a thing	Create a rule	Create a ce
<b>Filter by resource nam</b> 5/5 things 0/0 thing 5/5 policies	nes or by resource type (below) g types 3/3 rules 0/0 CAs 13/13	certificates	
All 5/5 things 0/0 thing		certificates MyLightBulb	

3. On the **Create a rule** page:

Type a rule name and description in **Name** and **Description**.

The **Rule query statement** field will be populated automatically when you enter data into the fields below it.

In **Attribute**, type \*. This determines which part of the incoming message will be sent to the rule action. Using \* sends the entire message.

In **Topic filter**, type iotbutton/+. If you are using a different device, type a topic filter that will match the MQTT topic on which your device publishes.

From Choose an action, choose Insert message into a database table (DynamoDB).

AWS IoT		Resources	мат	T Client	Tutorial
Create a rule					
Create a rule to evaluate ir cloud endpoint such as a D	nbound messages published into AWS IoT. DynamoDB table.	Your rule car	n deliver a	messag	e to the topic
Name your rule and add ar	n optional description.				
Name	MyDDBRule				
Description	Sends message data to DDB				
Indicate the source of the	messages you want to process with this r	ule.			
Rule query statement	SELECT * FROM 'iotbutton/+'				
SQL version	2016-03-23-beta 🗸				
Attribute	*	0			
Topic filter	iotbutton/+	0			
Condition	e.g. temperature > 75	0			
	s to happen when the above rule query is a s arrive, like storing them in a database, in			_	
Choose an action	DynamoDB 🗸				
and the second se		-			

4. The **Create a rule** page will expand. Next to the **Table name** drop-down list, choose **Create a new resource**. This will open the Amazon DynamoDB console where you can create a DynamoDB table.

		rule.
Rule query statement	SELECT * FROM 'iotbutton/+'	
SQL version	2016-03-23-beta 🗸	]
Attribute	*	0
Topic filter	iotbutton/+	0
Condition	e.g. temperature > 75	0
This action will inse	ert the message into a DynamoDB	3 table. (Table must contain Has
*Table name	Choose a resource 🗸 🗸	• Create a new resource •

5. Choose **Create table**.

DynamoDB	Create table		What's
Dashboard Tables Reserved capacity	Amazon DynamoDB is a fully managed nor that provides fast and predictable performa Create table		<ul> <li>Enha</li> <li>Titan</li> <li>Elast</li> </ul>
	Recent alerts		Ama
	No CloudWatch alarms have been triggere	d. View all in CloudWatch IZ™	Additior
	Total capacity for US East (N. Vin	rginia)	<ul><li>Getti</li></ul>
	Provisioned read capacity401Provisioned write capacity342		<ul> <li>FAQ</li> <li>Release</li> <li>Development</li> </ul>
	Reserved read capacity0Reserved write capacity0		<ul><li>Foru</li><li>Repo</li></ul>
	Service health		
	Current Status	Details	
	Amazon DynamoDB (N. Virginia)	Service is operating normally	
		View complete service health details	5

6. In **Table name**, type a name for the table. The partition and sort keys are combined to create a primary key for your DynamoDB table. For the **Partition key**, type **serialNumber**, and then select **Add sort key**. For the **Sort key**, type ClickType. Both the partition and sort keys should be of type **String**.

Your screen should now look like the following:

### Create DynamoDB table

#### Tutoria

DynamoDB is a schema-less database that only requires a table name and primary key. The taprimary key is made up of one or two attributes that uniquely identify items, partition the data, sort data within each partition.

Table name*	lotButtonTable	6
Primary key*	Partition key	
	SerialNumber	String ᅌ 🚯
	✓ Add sort key	
	ClickType	String ᅌ 🚯
		<i>.</i>

### **Table settings**

Default settings provide the fastest way to get started with your table. You can modify these de settings now or after your table has been created.

🗸 Use default settings

- · No secondary indexes.
- Provisioned capacity set to 5 reads and 5 writes.
- Basic alarms with 80% upper threshold using SNS topic "dynamodb".

Additional charges may apply if you exceed the AWS Free Tier levels for CloudWatch or Simple Notification Se Advanced alarm settings are available in the CloudWatch management console.

Concol	
Cancel	

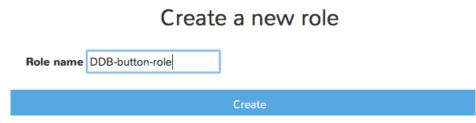
re

- 7. Choose Create. It will take a few seconds to create your DynamoDB table. Close the browser tab that contains the Amazon DynamoDB console. If you do not close the tab, your DynamoDB table will not be displayed in the Table name drop-down list in the AWS IoT console. In the AWS IoT console, choose your new table.
- 8. In **Hash key value**, type \${serialNumber}. This instructs the rule to take the value of the serialNumber attribute from the MQTT message and write it into the **SerialNumber** column in the DynamoDB table. In **Range key value**, type \${clickType}. This writes the value of the clickType attribute into the **ClickType** column. Leave **Payload field** blank. By default, the entire message will be written to a column in the table called Payload. Select **Create a new role**.

### AWS IoT Developer Guide Creating a DynamoDB Rule

	AWS IoT				Resour	ces	МQТ
	Choose an action	DynamoDB	~				
	This will insert the r	nessage into a Dyna	moDB table.	(Table	must contain Has	sh and	Rang
	*Table name	IoTButtonTable	~	C 0	Create a new reso	urce (	
	*Hash key	SerialNumber		0			
	*Hash key type	STRING		0			
	*Hash key value	\${serialNumber}		0			
	Payload field			0			
	Range key	clickType		0			
	Range key type	STRING		0			
	Range key value	\${clickType}		0			
	Choose or create a new r	ole to grant AWS loT the	access to the sele	cted An	nazon DynamoDB reso	ource to	perfor
	*Role name	Choose a role	~	•	Create a new role		
		Cancel	Add action				
-	Canal Street Street Street Street	and the second second	and the second	-	ter all'indification at a	-	مغيبي

9. Type a unique role name in the **Create a new role** dialog box, and then choose the **Create** button.



10. Choose Add action to add the action to the rule.

				Resour	ces	ΜΩΤΤ	Client		Tutorial
	*Hash key type	STRING		0					
	*Hash key value	\${serialNumber}		0					
	Payload field			0					
	Range key	ClickType		0					
	Range key type	STRING		0					
	Range key value	\${clickType}		0					
	Choose or create a new	role to grant AWS loT the a	ccess to the sele	cted Am	nazon D	)ynamo[	)B resou	irce t	to perfor
	*Role name	DDB-button-role	~	0	Create	a new i	role		
		Cancel	Add action						
*Hash key type STRING   *Hash key value \${serialNumber}   Payload field 0   Range key ClickType   Range key type STRING   Range key value \${clickType}   S{clickType} 0   Choose or create a new role to grant AWS IoT the access to the selected Amazon DynamoDB resource   *Role name DDB-button-role									
			Cre	eate					
	and the second s	المدينة المرجع والمدال					n a state	-	ىلىپى

11. Choose Create to create the rule.

AWS IoT		Resources   MQTT Client   Tutorial
Topic filter	iotbutton/+	0
Condition	e.g. temperature > 75	0
	s arrive, like storing them in a database, in <i>Choose an action</i>	matched by an inbound message. Actions de woking cloud functions, or sending notificatio
	Cr	eate

12. A confirmation message shows the rule has been created.

AWS IoT	Resources   MQTT Client   Tutorial
Resources	Close create panel
Create a thin	Create a thing type Create a rule Use my certificate Create a certificate
Your new rule has been cre	ated and enabled. Click 'View rule' to review parameters that you may change at any t View rule
The set of the set of the set of the set of the	

13. Test the rule by either pressing your configured AWS IoT button or using an MQTT client to publish a message on a topic that matches your rule's topic filter.

# **Creating a Lambda Rule**

You can define a rule that calls a Lambda function, passing in data from the MQTT message that triggered the rule. This allows you to process the incoming message and then call another AWS or third-party service.

In this tutorial, we assume you have completed the AWS IoT Getting Started Tutorial (p. 18) in which you create and subscribe to an Amazon SNS topic using your cell phone number. You will create a Lambda function that publishes a message to the Amazon SNS topic you created in the AWS IoT Getting Started Tutorial (p. 18). You will also create a Lambda rule that calls the Lambda function, passing in some data from the MQTT message that triggered the rule.

In this tutorial, we also assume you are using an AWS IoT button to trigger the Lambda rule. If you do not have an AWS IoT button, you can purchase one here or you can use an MQTT client to send an MQTT message that will trigger the rule.

# **Create the Lambda Function**

To create the Lambda function:

1. In the AWS Lambda console, choose Create a Lambda function.

#### Lambda > Functions

You have 32 Lambda function(s) using 1.6 MB of code storage. Choose any Lambda function to view details on invocation take up to 60 seconds to appear).

Create	a Lambda function Actions 🔻			
	T Filter			
	Function name	Description •	Code size 🔻	
0	myloTButtonFunction	A starter AWS Lambda function.	471 bytes	
0	myLambaTest	Demonstrates running an extern	409 bytes	
0	michgreFunction	A starter AWS Lambda function.	778 bytes	
0	MyCodeCommitFunction		572 bytes	
0	Awesome_CFN_Function_for_CodePipeline	Tim's sample function that uses	3.3 kB	
0	ParseWiki	An Amazon DynamoDB trigger t	56.3 kB	

2. For the filter, type hello-world, and then choose the hello-world blueprint.

Lambda	>	New	function
--------	---	-----	----------

Step 1: Select blueprint

### Select blueprint

Blueprints are sample configurations of event sources and Lambda functions. Choose a blueprint that best aligns with and customize as needed, or skip this step if you want to author a Lambda function and configure an event source sep otherwise noted, blueprints are licensed under CC0.

< V

3. On the **Configure function** page, type a name and description for the Lambda function. In **Runtime**, choose **Node.js 4.3**.

Lambda > New function using blueprint hello-world

Step 1: Select blueprint

Step 2: Configure function

Step 3: Review

### Configure function

A Lambda function consists of the custom code you want to execute. Learn more about Lambda functions.

	Name*	myloTButtonFunction	]
	Description	Sends a message to SNS	)
	Runtime*	Node.js 4.3	]
_	المحمور والمحمد والمحمد والمحمور والمحمور والمحمور والمحمو		

4. Scroll down to the **Lambda function code** section of the page. Replace the existing code with the following:

```
console.log('Loading function');
   // Load the AWS SDK
   var AWS = require("aws-sdk");
   // Set up the code to call when the Lambda function is invoked
   exports.handler = (event, context, callback) => {
       // Load the message passed into the Lambda function into a JSON object
        var eventText = JSON.stringify(event, null, 2);
       // Log a message to the console, you can view this text in the Mon
itoring tab in the Lambda console or in the CloudWatch Logs console
        console.log("Received event:", eventText);
       // Create a string extracting the click type and serial number from
the message sent by the AWS IoT button
       var messageText = "Received " + event.clickType + " message from
button ID: " + event.serialNumber;
        // Write the string to the console
        console.log("Message to send: " + messageText);
        // Crewate an SNS object
        var sns = new AWS.SNS();
        // Populate the parameters for the publish operation
        // - Message : the text of the message to send
        // - TopicArn : the ARN of the Amazon SNS topic to which you want
to publish
        var params = {
           Message: messageText,
          TopicArn: "arn:aws:sns:us-east-1:123456789012:MyIoTButtonSNSTopic"
         };
         sns.publish(params, context.done);
    };
```

5. Scroll down to the Lambda function handler and role section of the page. For Role, choose Basic execution role. The IAM console will open, allowing you to create an IAM role that Lambda can assume when executing the Lambda function.

To edit the role's policy to give it permission to publish to your Amazon SNS topic:

1. Choose View Policy Document.

### AWS Lambda requires access to your resources

AWS Lambda uses an IAM role that grants your custom code permissions to access AWS resources it needs.

Role Summary 😧		
Role	Lambda execution role permis	ssions
Description		
IAM Role	lambda_basic_execution	*
Policy Name	Create a new Role Policy	*

1.0

-

Choose Edit to edit the role's policy.

and the second second second second

and the state of the

Role Summary 😧		
Role Description	Lambda execution role permissions	
IAM Role	lambda_basic_execution \$	
Policy Name	Create a new Role Policy \$	
<ul> <li>Hide Policy D</li> <li>{</li> <li>"Ve</li> </ul>	rsion": "2012-10-17",	Edit

2. Replace the policy document with the following:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
            "Action": [
                 "logs:CreateLogGroup",
                "logs:CreateLogStream",
                "logs:PutLogEvents"
            ],
            "Resource": "arn:aws:logs:*:*:*"
        },
{
            "Effect": "Allow",
            "Action": [
                 "sns:Publish"
            ],
            "Resource": "arn:aws:sns:us-east-1:123456789012:MyIoTButton
SNSTopic"
        }
    ]
}
```

This policy document adds permission to publish to your Amazon SNS topic.

### Note

This example uses a fictitious AWS account number in the resource ARN. Make sure to use the ARN for your Amazon SNS topic.

6. Choose Allow.

Dala Guinean	0	
Role Summary	0	
Role Descripti	on Lambda execution role permissions	
IAM Ro	lambda_basic_execution \$	
Policy Nar	Create a new Role Policy	
▼ Hide Poli	icy Document	
		Edit
	{     "Effect": "Allow",     "Action": [         "sns:Publish"     ],     "Resource": "arn:aws:sns:us-east- 1:123456789012;MyIoTButtonSNSTopic"     } ] }	

7. Leave the settings on the Advanced settings page at their defaults, and choose Next

selecting memory) or changing the timeout		ice and costs for your L tion cost. Learn more al		
Memory (M	* 128		• 0	
Timeo	t* 0 min	a 3 sec		
All AWS Lambda functions run securely ins resources, such as databases, within your	-	ore about accessing VP	Cs within Lambda. I	Please
appropriate permissions to configure VF	. Select "Basic with	VPC" in the role drop		a tries

8. On the **Review** page, choose **Create function**.

Please review your Lambda function details. Yo complete the setup process.	u can go back to edit changes for each section. When you are ready, click
Lambda function	
Name	myloTButtonFunction
Description	Sends a message to SNS
Runtime	Node.js 4.3
Handler	index.handler
Role	lambda_basic_execution
Memory (MB)	128
Timeout	3
	Cancel Previous
	the second second the second

# **Test Your Lambda Function**

To test the Lambda function:

· ·

1. From the Actions menu, choose Configure test event.

Lambda >	Functions > myloTButto	onFunction				ARN -	arn:aws:lambda:us
Test	Actions 🔺	_					
Code	Configure test event	sources		API endpoints	Monitoring		
	Publish new version						
	Create alias	itry type	۲	Edit code inline	Upload a	a .ZIP file	Upload a file from
	Delete function						
_							
	Download function code						
		s-sak");					
	// Sat up the code	to call who	n +1	o &I M: functio	on is invokad		
	,			,			
7					-	ISON object	
						oboli objecc	
		5501150121	9,	(crency naccy c	-23		
-	// Log a messad	e to the co	nsol	le. vou can view	w this text in	the <auilabel< th=""><th>&gt;Monitorina</th></auilabel<>	>Monitorina
11							
12			,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
and the second second	ورزوره المطالبة فترر فلمطلب الأساور	and an and the second	ind	and the second		and the second second	and a second second
	Test Code	Test       Actions ▲         Code       Configure test event         Publish new version       Create alias         Delete function       Create alias         Delete function       Download function code         3       // Set up the code         4       // Set up the code         5       // Load the mess         8       var eventText =         9       // Log a message         11       console.log("Reg	Code       Configure test event       sources         Publish new version       Itry type         Create alias       Itry type         Delete function       tion');         Download function code       s-sdk");         4       5         5       // Set up the code to call whe         6       exports.handler = (event, cont         7       Load the message passed         8       var eventText = JSON.strin         9       // Log a message to the cond         10       .// Log a message to the cond	Test       Actions ▲         Code       Configure test event       sources         Publish new version	Test       Actions         Code       Configure test event       sources       API endpoints         Publish new version       create alias       ntry type       Edit code inline         Create alias       ntry type       Edit code inline         Delete function       cion');       Download function code         3       // Set up the code to call when the &LAM function       exports.handler = (event, context, callback) => 4         6       exports.handler = (event, context, callback) => 4       // Load the message passed into the Lambda fi         9       // Log a message to the console, you can view       console.log("Received event:", eventText);	Test       Actions         Code       Configure test event       sources       API endpoints       Monitoring         Publish new version       Create alias       try type       Edit code inline       Upload a         Delete function       tion');       Download function code       s-sdk");         4       // Set up the code to call when the &LAM function is invoked exports.handler = (event, context, callback) => {         7       // Load the message passed into the Lambda function into a var eventText = JSON.stringify(event, null, 2);       // Log a message to the console, you can view this text in console.log("Received event:", eventText);	Test       Actions         Code       Configure test event       sources       API endpoints       Monitoring         Publish new version       Create allas       ntry type       Edit code inline       Upload a .ZIP file         Delete function       tion');       Download function code       s-sdk");         // Set up the code to call when the &LAM function is invoked       exports.handler = (event, context, callback) => {         // Load the message passed into the Lambda function into a JSON object       var eventText = JSON.stringify(event, null, 2);         // Log a message to the console, you can view this text in the <guilabel console.log("received="" event:",="" eventtext);<="" th=""></guilabel>

2. Copy and paste the following JSON into the Input test event page, and then choose Save and test.

Input test event

It looks like you have not configured a test event for this function yet. Use the editor below to enter an event to test your function with (please remember that this will actually execute the code!). You can always edit the event later by choosing **Configure test event** in the Actions list. Note that changes to the event will only be saved locally.

	Sample event template	Hello World 🗸	
1 2 3	"serialNumber": "ABCDEFG1234 "clickType": "SINGLE",	5",	
4	"batteryVoltage": "2000 mV"		
5	}		

3. In the AWS Lambda console, choose the **Monitoring** tab, and then scroll to the bottom of the screen. The **Log output** section displays the output the Lambda function has written to the console.

#### Log output

The area below shows the logging calls in your code. These correspond to a single row within the CloudWatch log group corresponding to this Lambda function. Click here to view the CloudWatch log group.

START RequestId: c4b5b4d1-1631-11e6-b78f-0d4d596724ad Version: \$LATEST
2016-05-09T22:02:49.501Z c4b5b4d1-1631-11e6-b78f-0d4d596724ad Received event: {
 "serialNumber": "ABCDEFG12345",
 "clickType": "SINGLE",
 "batteryVoltage": "2000 mV"
}
2016-05-09T22:02:49.501Z c4b5b4d1-1631-11e6-b78f-0d4d596724ad Message to send: Received
END RequestId: c4b5b4d1-1631-11e6-b78f-0d4d596724ad Duration: 1215.14 ms Billed Duration: 13

## **Creating a Lambda Rule**

Now that you have created a Lambda function, you can create a rule that invokes the Lambda function.

- 1. In the AWS IoT console, choose Create a resource.
- 2. Choose Create a rule.
- 3. Type a name and description for the rule.

AWS IOT	Resources   MQTT Clie
Resources	× Close create panel
	Create a thing
Create a rule	
Create a rule to evaluate i such as a DynamoDB tab	inbound messages published into AWS IoT. Your rule can deliver a message to the topic le.
Name your rule and add a	an optional description.
Name	MyLambdaRule
Description	Invokes a Lambda function & calls SN
Enter the following settings for the r	ule:
Indicate the source of the mes	ssages you want to process with this rule.

Rule query statement	SELECT * FROM 'iotbutton/+'	
SQL version	2016-03-23-beta 🗸	]
Attribute	*	0
Topic filter	iotbutton/+	0
Condition	e.g. temperature > 75	0
والمساور المراجع بمراجع والمساو	and a second	

4.

- 5. For Choose an action, choose Insert this message into a code function and execute it (Lambda).
- 6. From **Function name**, choose your Lambda function name, and then choose **Add action**.

AWS IoT		Resources   MQTT Clie
Topic filter	iotbutton/+	0
Condition	e.g. temperature > 75	0
messages arrive, like stor	ing them in a database, invoking cloud fund	matched by an inbound message. Actions de ctions, or sending notifications. (* required) 1
Choose an action	Lambda 🗸	
This action will invo	ke a Lambda function with the me	essage set in the event body.
*Function name	myloTButtonFunction  Cancel Add action	Create a new resource      O
		Create

7. Choose **Create** to create your Lambda function.

AWS IoT		Resources   N	
Topic filter	iotbutton/+	0	
Condition	e.g. temperature > 75	0	
	is to happen when the above rule query is ing them in a database, invoking cloud fund		
Choose an action	Choose an action 🗸		
Lambda Actio	• 🕩		
		Create	
and the second second second second	ومقاهو فساقه الربية المعين الاست	and the second surface of the second	-

# **Test Your Lambda Rule**

In this tutorial, we assume you have completed the AWS IoT Getting Started Tutorial (p. 18), which covers:

- Configuring an AWS IoT button.
- Creating and subscribing to an Amazon SNS topic with a cell phone number.

Now that your button is configured and connected to Wi-Fi and you have configured an Amazon SNS topic, you can press the button to test your Lambda rule. You should receive an SMS text message on your phone that contains the serial number of your button, the type of button press (SINGLE or DOUBLE), and the battery voltage.

The message should look like the following:

```
IOT BUTTON> {
    "serialNumber" : "ABCDEFG12345",
    "clickType" : "SINGLE",
    "batteryVoltage" : "2000 mV"
}
```

If you do not have a button, you can buy one here or you can use the AWS IoT MQTT client instead.

1. In the AWS IoT console, choose MQTT Client.

AWS IOT	Resc
Resources + Create a resource	
<b>T</b> Filter by resource names or by resource type (below)	
All 1/1 things 1/1 rules 0/0 CAs 1/1 certificates 1/1 policies	
والمحصور المحصور والمراجعة والمراجعة والمحاور والمحاور والمحاور والمحاف المحصور والمحافية والمحافي والمحاف المحاور والمحاف المحاف	

2. Type a client ID or choose Generate client ID, and then choose Connect.

1	AWS IoT	Reso

### You're not connected to the Device Gateway

- Go to the "Device Gateway connection" tab
- Type in your desired Client ID or generate one using the "Generate client ID" button
- Once the connection to the Device Gateway succeeds, Subscribe or Publish to topics using the "Subscribe to topic" and "Publish to topic" tabs
- If the connection to the Device Gateway fails, ensure that your Client ID is less than 128 bytes and encoded in UTF-8

### Cli

Μ

D

Sı

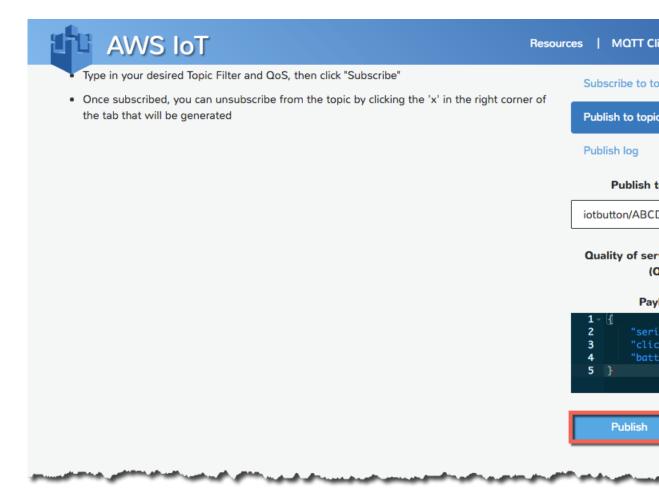
Ρι

P

18

- 3. Choose Publish to topic.
- 4. In **Publish topic**, type iotbutton/ABCDEFG12345.
- 5. In **Payload**, type the following JSON, and then choose **Publish**.

```
{
    "serialNumber" : "ABCDEFG12345",
    "clickType" : "SINGLE",
    "batteryVoltage" : "2000 mV"
}
```



You should receive a message on your cell phone.

# Managing Things with AWS IoT

AWS IoT provides a thing registry that helps you manage your things. A thing is a representation of a specific device or logical entity. It can be a physical device or sensor (for example, a light bulb or a switch on a wall). It can also be a logical entity like an instance of an application or physical entity that does not connect to AWS IoT but is related to other devices that do (for example, a car that has engine sensors or a control panel).

Information about a thing is stored in the thing registry as JSON data. Here is an example thing:

```
{
    "version": 3,
    "thingName": "MyLightBulb",
    "defaultClientId": "MyLightBulb",
    "thingTypeName": "LightBulb",
    "attributes": {
        "model": "123",
        "wattage": "75"
    }
}
```

Things are identified by a name. Things can also have attributes, which are name-value pairs you can use to store information about the thing, such as its serial number or manufacturer.

A typical device use case involves the use of the thing name as the default MQTT client ID. Although we do not enforce a mapping between a thing's registry name and its use of MQTT client IDs, certificates, or shadow state, we recommend you choose a thing name and use it as the MQTT client ID for both the thing registry and the Thing Shadows service. This provides organization and convenience to your IoT fleet without removing the flexibility of the underlying device certificate model or thing shadows.

You do not need to create a thing in the thing registry to connect it to AWS IoT. Adding your things in the thing registry allows you to manage and search for them more easily.

# Managing Things with the Thing Registry

You use the AWS IoT console or the AWS CLI to interact with the registry. The following sections show how to use the CLI to work with the thing registry.

## **Create a thing**

The following command shows how to use the AWS IoT create-thing CLI command to create a thing:

```
$ aws iot create-thing --thing-name "MyLightBulb" --attribute-payload "{\"at
tributes\": {\"wattage\":\"75\", \"model\":\"123\"}}"
```

The create-thing API will display the name and ARN of your new thing:

```
"thingArn": "arn:aws:iot:us-east-1:803981987763:thing/MyLightBulb",
"thingName": "MyLightBulb"
```

## **List things**

{

}

You can use the list-things API to list all things in your account:

```
$ aws iot list-things
{
    "things": [
       {
             "attributes": {
                 "model": "123",
                 "wattage": "75"
            },
             "version": 1,
            "thingName": "MyLightBulb"
        },
        {
             "attributes": {
                 "numOfStates":"3"
             },
             "version": 11,
             "thingName": "MyWallSwitch"
        }
    ]
}
```

### **Search for things**

You can use the describe-thing API to list information about a thing:

```
$ aws iot describe-thing --thing-name "MyLightBulb"
{
    "version": 3,
    "thingName": "MyLightBulb",
    "defaultClientId": "MyLightBulb",
    "thingTypeName": "StopLight",
    "attributes": {
        "model": "123",
        "wattage": "75"
```

}

}

You can use the list-things API to search for all things associated with a thing type name:

```
$ aws iot list-things --thing-type-name "LightBulb"
```

```
{
    "things": [
        {
            "thingTypeName": "LightBulb",
            "attributes": {
                "model": "123",
                "wattage": "75"
            },
            "version": 1,
            "thingName": "MyRGBLight"
        },
        {
            "thingTypeName": "LightBulb",
            "attributes": {
                "model": "123",
                "wattage": "75"
            },
            "version": 1,
            "thingName": "MySecondLightBulb"
        }
    ]
}
```

You can use the list-things API to search for all things that have an attribute with a specific value:

\$ aws iot list-things --attribute-name "wattage" --attribute-value "75"

```
{
    "things": [
        {
            "thingTypeName": "StopLight",
            "attributes": {
                "model": "123",
                "wattage": "75"
            },
            "version": 3,
            "thingName": "MyLightBulb"
        },
        {
            "thingTypeName": "LightBulb",
            "attributes": {
                "model": "123",
                "wattage": "75"
            },
            "version": 1,
```

```
"thingName": "MyRGBLight"
},
{
    "thingTypeName": "LightBulb",
    "attributes": {
        "model": "123",
        "wattage": "75"
    },
    "version": 1,
    "thingName": "MySecondLightBulb"
    }
]
```

## Update a thing

You can use the update-thing API to update a thing:

```
$ aws iot update-thing --thing-name "MyLightBulb" --attribute-payload "{\"at
tributes\": {\"wattage\":\"150\", \"model\":\"456\"}}"
```

The  $\tt update-thing$  command does not produce output. You can use the <code>describe-thing</code> API to see the result:

```
$ aws iot describe-thing --thing-name "MyLightBulb"
{
    "attributes": {
        "model": "456",
        "wattage": "150"
    },
    "version": 2,
    "thingName": "MyLightBulb"
}
```

### **Delete a thing**

You can use the delete-thing API to delete a thing:

```
$ aws iot delete-thing --thing-name "MyThing"
```

## Attach a principal to a thing

A physical device must have an X.509 certificate in order to communicate with AWS IoT. You can associate the certificate on your device with the thing in the thing registry that represents your device. To attach a certificate to your thing, use the attach-thing-principal API:

```
$ aws iot attach-thing-principal --thing-name "MyLightBulb" --principal
"arn:aws:iot:us-east-
1:123456789012:cert/a0c01f5835079de0a7514643d68ef8414ab739a1e94ee4162977b02b12842847"
```

The  ${\tt attach-thing-principal}\xspace$  command does not produce any output.

### Detach a principal from a thing

You can use the detach-thing-principal API to detach a certificate from a thing:

```
$ aws iot detach-thing-principal --thing-name "MyLightBulb" --principal
"arn:aws:iot:us-east-
1:123456789012:cert/a0c01f5835079de0a7514643d68ef8414ab739a1e94ee4162977b02b12842847"
```

The detach-thing-principal command does not produce any output.

## **Thing Types**

Thing types allow you to store description and configuration information that is common to all things associated with the same thing type. This simplifies the management of things in the thing registry. For example, you can define a LightBulb thing type. All things associated with the LightBulb thing type share a set of attributes: serial number, manufacturer, and wattage. When you create a thing of type LightBulb (or change the type of an existing thing to LightBulb) you can specify values for each of the attributes defined in the LightBulb thing type.

Although thing types are optional, their use provides better discovery of things.

- Things can have up to 50 attributes.
- Things without a thing type can have up to three attributes.
- A thing can only be associated with one thing type.
- There is no limit on the number of thing types you can create in your account.

Thing types are immutable. You cannot change a thing type name after it has been created. You can deprecate a thing type at any time to prevent new things from being associated with it. You can also delete thing types that have no things associated with them.

## **Create a Thing Type**

You can use the create-thing-type API to create a thing type:

The create-thing-type command returns a response that contains the thing type and its ARN:

```
"thingTypeName": "LightBulb",
"thingTypeArn": "arn:aws:iot:us-west-2:803981987763:thingtype/LightBulb"
```

### List thing types

{

You can use the list-thing-types API to list thing types:

```
$ aws iot list-thing-types
```

The list-thing-types command returns a list of the thing types defined in your AWS account:

```
{
    "thingTypes": [
        {
            "thingTypeName": "LightBulb",
            "thingTypeProperties": {
                 "deprecated": false,
                 "creationDate": 1468423800950,
                 "searchableAttributes": [
                     "wattage",
                     "model"
                 ],
                 "thingTypeDescription": "light bulb type"
            }
        }
    ]
}
```

### **Describe a thing type**

You can use the describe-thing-type API to get information about a thing type:

```
$ aws iot describe-thing-type --thing-type-name "LightBulb"
```

The describe-thing-type API responds with information about the specified type:

```
{
    "thingTypeName": "LightBulb",
    "thingTypeProperties": {
        "deprecated": false,
        "creationDate": 1468423800950,
        "searchableAttributes": [
            "wattage",
            "model"
        ],
        "thingTypeDescription": "light bulb type"
    }
}
```

### Associate a thing type with a thing

You can use the create-thing API to specify a thing type when you create a thing:

```
$ aws iot create-thing --thing-name "MySecondLightBulb" --thing-type-name
"LightBulb" --attribute-payload "{\"attributes\": {\"wattage\":\"75\", \"mod
el\":\"123\"}}"
```

You can use the update-thing API at any time to change the thing type associated with a thing:

```
$ aws iot update-thing --thing-name "MyLightBulb" --thing-type-name "StopLight"
    --attribute-payload "{\"attributes\": {\"wattage\":\"75\", \"model\":\"123\"}}"
```

You can also use the update-thing API to disassociate a thing from a thing type.

### Deprecate a thing type

Thing types are immutable. They cannot be changed after they are defined. You can, however, deprecate a thing type to prevent users from associating any new things with it. All existing things associated with the thing type will be unchanged.

To deprecate a thing type, use the deprecate-thing-type API:

```
$ aws iot deprecate-thing-type --thing-type-name "myThingType"
```

You can use the describe-thing-type API to see the result:

\$ aws iot describe-thing --thing-type-name "StopLight":

```
{
    "thingTypeName": "StopLight",
    "thingTypeProperties": {
        "deprecated": true,
        "creationDate": 1468425854308,
        "searchableAttributes": [
            "wattage",
            "numOfLights",
            "model"
        ],
        "thingTypeDescription": "traffic light type",
        "deprecationDate": 1468446026349
    }
}
```

Deprecating a thing type is a reversible operation. You can undo a deprecation by using the --undo-deprecate flag with the deprecate-thing-type CLI command:

\$ aws iot deprecate-thing-type --thing-type-name "myThingType" --undo-deprecate

You can use the deprecate-thing-type CLI command to see the result:

\$ aws iot deprecate-thing-type --thing-type-name "StopLight":

```
"thingTypeName": "StopLight",
"thingTypeProperties": {
    "deprecated": false,
    "creationDate": 1468425854308,
    "searchableAttributes": [
        "wattage",
        "numOfLights",
```

{

```
"model"
],
"thingTypeDescription": "traffic light type"
}
```

## **Delete a thing type**

You can delete thing types only after they have been deprecated. To delete a thing type, use the delete-thing-type API:

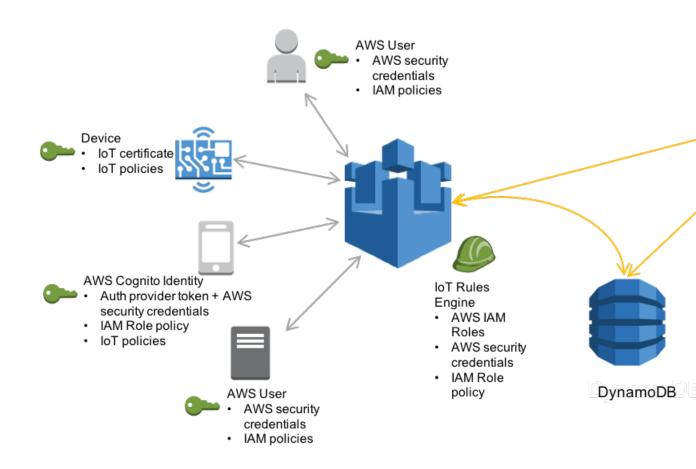
\$ aws iot delete-thing-type --thing-type-name "StopLight"

#### Note

You must wait five minutes after you deprecate a thing type before you can delete it.

# Security and Identity for AWS IoT

Each connected device must have a credential to access the message broker or the Thing Shadows service. All traffic to and from AWS IoT must be encrypted over Transport Layer Security (TLS). Device credentials must be kept safe in order to send data securely to the message broker. After data reaches the message broker, AWS cloud security mechanisms protect data as it moves between AWS IoT and other devices or AWS services.



- You are responsible for managing device credentials (X.509 certificates, AWS credentials) on your devices and policies in AWS IoT. You are responsible for assigning unique identities to each device and managing the permissions for a device or group of devices.
- Devices connect using your choice of identity (X.509 certificates, IAM users and groups, or Amazon Cognito identities) over a secure connection according to the AWS IoT connection model.
- The AWS IoT message broker authenticates and authorizes all actions in your account. The message broker is responsible for authenticating your devices, securely ingesting device data, and adhering to the access permissions you place on devices using policies.
- The AWS IoT rules engine forwards device data to other devices and other AWS services according to rules you define. It is responsible for leveraging AWS access management systems to securely transfer data to its final destination.

## **Authentication in AWS IoT**

AWS IoT supports three types of identity principals for authentication:

- X.509 certificates
- IAM users, groups, and roles
- Amazon Cognito identities

Each identity type supports different use cases for accessing the AWS IoT message broker and Thing Shadows service.

The identity type you use depends on your choice of application protocol. If you use HTTP, use IAM (users, groups, roles) or Amazon Cognito identities. If you use MQTT, use X.509 certificates.

### X.509 Certificates

X.509 certificates are digital certificates that use the X.509 public key infrastructure standard to associate a public key with an identity contained in a certificate. X.509 certificates are issued by a trusted entity called a certification authority (CA). The CA maintains one or more special certificates called CA certificates that it uses to issue X.509 certificates. Only the certification authority has access to CA certificates.

AWS IoT supports the following certificate-signing algorithms:

- SHA256WITHRSA
- SHA384WITHRSA
- SHA384WITHRSA
- SHA512WITHRSA
- RSASSAPSS
- DSA\_WITH\_SHA256
- ECDSA-WITH-SHA256
- ECDSA-WITH-SHA384
- ECDSA-WITH-SHA512

X.509 certificates provide several benefits over other identification and authentication mechanisms. X.509 certificates enable asymmetric keys to be used with devices. Your manufacturing process and devices can be in control of keys. You do not need to rely on AWS for generating security credentials. This means you can burn private keys into secure storage on a device without ever allowing the sensitive cryptographic material to leave the device. Certificates provide stronger client authentication over other schemes, such as user name and password or bearer tokens, because the secret key never leaves the device.

AWS IoT authenticates certificates using the TLS protocol's client authentication mode. TLS is available in many programming languages and operating systems and is commonly used for encrypting data. In TLS client authentication, AWS IoT requests a client X.509 certificate and validates the certificate's status and AWS account against a registry of certificates. It then challenges the client for proof of ownership of the private key that corresponds to the public key contained in the certificate.

To use AWS IoT certificates, clients must support all of the following in their TLS implementation:

- TLS 1.2.
- SHA-256 RSA certificate signature validation.
- One of the cipher suites from the TLS cipher suite support section.

### X.509 Certificates and AWS IoT

AWS IoT can use AWS IoT-generated certificates or certificates signed by a CA certificate for device authentication. Certificates generated by AWS IoT do not expire. The expiry date and time for certificates signed by a CA certificate are set when the certificate is created.

To use a certificate that is not created by AWS IoT, you must register a CA certificate. All device certificates must be signed by the CA certificate you register.

You can use the AWS IoT console or CLI to create and manage certificates. The following operations are available:

- Create and register an AWS IoT certificate.
- Register a CA certificate.
- Register a device certificate.
- Activate or deactivate a device certificate.
- Revoke a device certificate.
- Transfer a device certificate to another AWS account.
- List all CA certificates registered to your AWS account.
- List all device certificates registered to your AWS account.

For more information about the CLI commands to use to perform these operations, see AWS IoT CLI Reference.

For more information about using the AWS IoT console to create certificates, see Create and Activate a Device Certificate.

#### **Server Authentication**

Device certificates allow AWS IoT to authenticate devices. To ensure your device is communicating with AWS IoT and not another server impersonating AWS IoT, copy the VeriSign root CA certificate onto your device. Reference the CA root certificate in your device code when connecting to AWS IoT. For more information, see the AWS IoT Device SDKs (p. 167).

#### Note

You cannot use your own CA certificate to authenticate the AWS IoT server, only the VeriSign root CA certificate.

#### Create and Register an AWS IoT Device Certificate

You can use the AWS IoT console or the AWS IoT CLI to create an AWS IoT certificate.

#### To create a certificate (console)

You can use the UpdateCertificate API to revoke a certificate at any time. For more information about managing device certificates, see the AWS Command Line Interface User Guide.

- 1. Sign in to the AWS Management Console and open the AWS IoT console at https://console.aws.amazon.com/iot.
- 2. Choose Create a resource, and then choose Create a certificate.
- 3. Choose **1-Click certificate create**. Alternatively, to generate a certificate with a certificate signing request (CSR), choose the **Create with CSR** button.
- 4. Use the links to the public key, private key, and certificate to download each to a secure location.
- 5. The newly created certificate will be displayed as **INACTIVE**. Choose it, and from the **Actions** drop-down list, choose **Activate**.

#### To create a certificate (CLI)

The AWS IoT CLI provides two commands to create certificates:

• create-keys-and-certificate

The CreateKeysAndCertificate API creates a private key, public key, and X.509 certificate.

• create-certificate-from-csr

The CreateCertificateFromCSR API creates a certificate given a CSR.

#### **Use Your Own Certificate**

To use your own X.509 certificates, you must register a CA certificate with AWS IoT. The CA certificate can then be used to sign device certificates. You can register up to ten CA certificates with the same subject field and public key per AWS account. This allows you to have more than one CA sign your device certificates.

#### Note

Device certificates must be signed by the registered CA certificate. It is common for a CA certificate to be used to create an intermediate CA certificate. If you will be using an intermediate certificate to sign your device certificates, you must register the intermediate CA certificate. You should use the AWS IoT root CA certificate when connecting to AWS IoT even if you register your own root CA certificate. The AWS IoT root CA certificate is used by a device to verify the identity of the AWS IoT servers.

#### Contents

- Registering Your CA certificate (p. 78)
- Creating a Device Certificate (p. 79)
- Registering a Device Certificate (p. 80)
- Registering Device Certificates Manually (p. 80)
- Using Automatic/Just-in-Time Registration for Device Certificates (p. 80)
- Deactivate the CA Certificate (p. 81)
- Revoke the Device Certificate (p. 81)

If you do not have a CA certificate, you can create your own by using OpenSSL tools.

#### To create a CA certificate

1. Generate a key pair.

openssl genrsa -out rootCA.key 2048

2. Use the private key from the key pair to generate a CA certificate.

```
openssl req -x509 -new -nodes -key rootCA.key -sha256 -days 1024 -out rootCA.pem
```

#### **Registering Your CA certificate**

To register your CA certificate, you must get a registration code from AWS IoT, sign a private key verification certificate with your CA certificate, and pass both your CA certificate and a private key verification certificate to the register-ca-certificate CLI command. The Common Name field in the private key verification certificate must be set to the registration code generated by the get-registration-code CLI command. A single registration code is generated per AWS account. You can use the register-ca-certificate command or the AWS IoT console to register CA certificates.

#### To register a CA certificate

1. Get a registration code from AWS IoT. This code will be used as the Common Name of the private key verification certificate.

aws iot get-registration-code

2. Generate a key pair for the private key verification certificate.

```
openssl genrsa -out privateKeyVerificationCert.key 2048
```

3. Create a CSR for the private key verification certificate, setting the Common Name field of the certificate to your registration code.

```
openssl req -new -key privateKeyVerificationCert.key -out privateKeyVerific ationCert.csr
```

You will be prompted for some information, including the Common Name for the certificate.

```
Country Name (2 letter code) [AU]:

State or Province Name (full name) []:

Locality Name (eg, city) []:

Organization Name (eg, company) []:

Organizational Unit Name (eg, section) []:

Common Name (e.g. server FQDN or YOUR name) []:XXXXXXXXXXXYPREGISTRATIONCO

DEXXXXXX

Email Address []:
```

4. Use the CSR to create a private key verification certificate.

```
openssl x509 -req -in privateKeyVerificationCert.csr -CA rootCA.pem -CAkey rootCA.key -CAcreateserial -out privateKeyVerificationCert.crt -days 500 -sha256
```

5. Register the CA certificate with AWS IoT, passing in the CA certificate and the private key verification certificate to the register-ca-certificate CLI command.

```
aws iot register-ca-certificate --ca-certificate file://rootCA.pem --veri
fication-cert file://privateKeyVerificationCert.crt
```

6. Activate the CA certificate using the update-certificate CLI command.

```
aws iot update-ca-certificate --certificate-id xxxxxxxxxx --new-status ACTIVE
```

#### **Creating a Device Certificate**

You can use a CA certificate registered with AWS IoT to create a device certificate. The device certificate must be registered with AWS IoT before use.

#### To create a device certificate

1. Generate a key pair.

openssl genrsa -out deviceCert.key

2. Create a CSR for the device certificate.

openssl req -new -key deviceCert.key -out deviceCert.csr

You will be prompted for some additional information, as shown here.

```
Country Name (2 letter code) [AU]:
State or Province Name (full name) []:
Locality Name (eg, city) []:
Organization Name (eg, company) []:
Organizational Unit Name (eg, section) []:
Common Name (e.g. server FQDN or YOUR name) []:
Email Address []:
```

3. Create a device certificate from the CSR.

```
openssl x509 -req -in deviceCert.csr -CA rootCA.pem -CAkey rootCA.key -
CAcreateserial -out deviceCert.crt -days 500 -sha256
```

#### Note

You must use the CA certificate registered with AWS IoT to create device certificates. If you have more than one CA certificate (with the same subject field and public key) registered in your AWS account, you must specify the CA certificate used to create the device certificate when registering your device certificate.

4. Register a device certificate.

```
aws iot register-certificate --certificate file://deviceCert.crt --caCerti
ficate file://caCert.crt
```

5. Activate the device certificate using the update-certificate CLI command.

```
aws iot update-certificate --certificate-id xxxxxxxxx --new-status ACTIVE
```

#### **Registering a Device Certificate**

You must use the CA certificate registered with AWS IoT to sign device certificates. If you have more than one CA certificate (with the same subject field and public key) registered in your AWS account, you must specify the CA certificate used to sign the device certificate when registering your device certificate. You can register each device certificate manually, or you can use automatic registration, which allows devices to register their certificate when they connect to AWS IoT for the first time.

#### **Registering Device Certificates Manually**

Use the following CLI command to register a device certificate:

```
aws iot register-certificate --certificate file://deviceCert.crt --caCertificate
    file://caCert.crt
```

#### Using Automatic/Just-in-Time Registration for Device Certificates

You can also have your device certificates automatically registered when devices first connect to AWS IoT. To do this, you must enable automatic registration for your CA certificate. This will automatically register any device certificate signed by your CA certificate when it connects to AWS IoT.

#### **Enable Auto Registration**

Use the update-ca-certificate API to set the CA certificates  ${\tt auto-registration-status}$  to  ${\tt ENABLE}$ :

```
$ aws iot update-ca-certificate --certificate-id caCertificateId --new-auto-
registration-status ENABLE
```

You can also set the auto-registration-status to ENABLE when you register your CA certificate using the register-ca-certificate API:

```
aws iot register-ca-certificate --ca-certificate file://rootCA.pem --verifica tion-cert file://privateKeyVerificationCert.crt --allow-auto-registration
```

When a device first attempts to connect to AWS IoT, as part of the TLS handshake, it must present a registered CA certificate and a device certificate. AWS IoT will recognize the CA certificate as a registered CA certificate and will automatically register the device certificate and set its status to PENDING\_ACTIVATION. This means the device certificate was automatically registered and is awaiting activation. A certificate must be in the ACTIVE state before it can be used to connect to AWS IoT. When AWS IoT automatically registers a certificate or when a certificate in PENDING\_ACTIVATION status connects, AWS IoT publishes a message to the following MQTT topic: \$aws/events/certificates/registered/caCertificateID

Where caCertificateID is the ID of the CA certificate that issued the device certificate.

The message published to this topic has the following structure:

```
{
    "certificateId": "certificateID",
    "caCertificateId": "caCertificateId",
    "timestamp": timestamp,
    "certificateStatus": "PENDING_ACTIVATION",
    "awsAccountId": "awsAccountId",
    "certificateRegistrationTimestamp": "certificateRegistrationTimestamp"
}
```

You can create a rule that listens on this topic and performs some additional actions. We recommend that you create a Lambda rule that verifies the device certificate is not on a certificate revocation list (CRL), activates the certificate, and creates and attaches a policy to the certificate. The policy determines which resources the device is able to access. For more information about how to create a Lambda rule that listens on the <code>\$aws/events/certificates/registered/caCertificateID</code> topic and performs these actions, see Just-in-Time Registration.

#### **Deactivate the CA Certificate**

When you attempt to register a device certificate, AWS will check if the associated CA certificate is ACTIVE. If the CA certificate is INACTIVE, AWS IoT will not allow the device certificate to be registered. By marking the CA certificate as INACTIVE, you are preventing any new device certificates issued by the compromised CA to be registered in your account. You can deactivate the CA certificate using the update-ca-certificate API:

```
$ aws iot update-ca-certificate --certificate-id certificateId --new-status
INACTIVE
```

#### Note

Any registered device certificates that were signed by the compromised CA certificate will continue to work until you explicitly revoke the device certificate.

Use the ListCertificatesByCA API to get a list of all registered device certificates that were signed by the compromised CA. For each device certificate signed by the compromised CA certificate, use the UpdateCertificate API to revoke the device certificate to prevent it from being used.

#### **Revoke the Device Certificate**

If you detect any suspicious activity with a registered device certificate, you can revoke it by using the update-certificate API:

If any error or exception occurs during the auto-registration of the device certificates, AWS IoT will send the appropriate events or messages to your logs in CloudWatch Logs. For more information about setting up the CloudWatch Logs for your account, see the Amazon CloudWatch documentation.

### IAM Users, Groups, and Roles

IAM users, groups, and roles are the standard mechanisms for managing identity and authentication in AWS. As with any other AWS service, you can use them to connect to AWS IoT HTTP interfaces using the AWS SDK and CLI.

IAM roles are also the basis for AWS IoT security in the cloud. Roles allow AWS IoT to issue calls to other AWS resources in your account on your behalf. If you want to have a device publish its state to a DynamoDB table, for example, IAM roles allow AWS IoT to do the heavy lifting securely. For more information, see IAM Roles.

For message broker connections, AWS IoT authenticates IAM users, groups, and roles using the Signature Version 4 signing process. For information about authentication with AWS security credentials, see Signing AWS API Requests.

When using AWS Signature Version 4 with AWS IoT, clients must support the following in their TLS implementation:

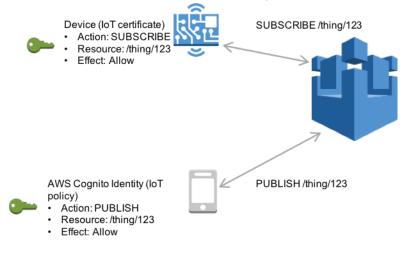
- TLS 1.2, TLS 1.1, TLS 1.0.
- SHA-256 RSA certificate signature validation.
- One of the cipher suites from the TLS cipher suite support section.

For information, see the IAM User Guide.

### **Amazon Cognito Identities**

Amazon Cognito Identity allows you to use your own identity provider or leverage other popular identity providers, such as Login with Amazon, Facebook, or Google. You exchange a token from your identity provider for AWS security credentials. The credentials represent an IAM role and can be used with AWS IoT.

AWS IoT extends Amazon Cognito and allows policy attachment to Amazon Cognito identities. You can attach a policy to an Amazon Cognito identity and give fine-grained permissions to an individual user of your AWS IoT application. This can be used to assign permissions between specific customers and their devices. For more information, see Amazon Cognito Identity.



## **Authorization**

Communication with AWS IoT follows the principle of least privilege. An identity can execute AWS IoT operations only if you grant the appropriate permission. You create AWS IoT and IAM policies to give permissions to authenticated identities in AWS IoT.

Policies give permissions to AWS IoT clients regardless of the authentication mechanism they use to connect to AWS IoT. To control which resources a device can access, attach one or more AWS IoT policies to the certificate associated with the device. To control which resources a web or mobile application can access, attach one or more AWS IoT policies to the Amazon Cognito identity pool associated with the application. AWS IoT policies control access to AWS IoT resources (MQTT topics, devices, thing shadows, and so on). IAM policies control access to other AWS services and are attached to IAM users, groups, and roles.

Policy-based authorization is a powerful tool. It gives you complete control over the topics and topic filters in your AWS account. For example, consider a device connecting to AWS IoT with a certificate. You can open its access to all topics, or you can restrict its access to a single topic. The latter example allows you to assign a topic per device. For example, the device ID 123ABC can subscribe to /device/123ABC and you can grant other identities permission to subscribe to this topic, effectively opening a communication channel to this device.

## AWS IoT Policies

AWS IoT policies are JSON documents. They follow the same conventions as IAM policies. For more information, see Overview of IAM Policies.

An AWS IoT policy looks like the following:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action":["iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/foo/bar"]
    },
    {
        "Effect": "Allow",
        "Action": ["iot:Connect"],
        "Resource": ["*"]
        }]
```

This policy allows the principal to connect and publish messages to AWS IoT.

### Managing AWS IoT Policies

AWS IoT supports named policies so many identities can reference the same policy document. Named policies are versioned so they can be easily rolled back.

### AWS IoT Policy Actions

}

The following actions are available for use with AWS IoT:

#### iot:Publish

Checked every time a PUBLISH request is sent to the broker. Used to allow clients to publish to specific topic patterns.

iot:Subscribe

Checked every time a SUBSCRIBE request is sent to the broker. Used to allow clients to subscribe to topics that match specific topic patterns.

iot:Receive

Checked every time a message is delivered to a client. Because the Receive permission is checked on every delivery, it can be used to revoke permissions to clients that are currently subscribed to a topic.

iot:Connect

Checked every time a CONNECT request is sent to the broker. The message broker does not allow two clients with the same client ID to stay connected at the same time. After the second client connects, the broker detects this case and disconnects one of the clients. The Connect permission can be used to ensure only authorized clients can connect using a specific client ID.

iot:UpdateThingShadow

Checked every time a request is made to update the state of a thing shadow document.

iot:GetThingShadow

Checked every time a request is made to get the state of a thing shadow document.

iot:DeleteThingShadow

Checked every time a request is made to delete the thing shadow document.

### **Action Resources**

The following table shows the resource to specify for each action type:

Action	Resource
iot:DeleteThingShadow	thing ARN
iot:Connect	client ID ARN
iot:Publish	topic ARN
iot:Subscribe	topic filter ARN
iot:Receive	topic ARN
iot:UpdateThingShadow	thing ARN
iot:GetThingShadow	thing ARN

### **AWS IoT Policy Variables**

AWS IoT defines two policy variables that can be used in AWS IoT policies: iot:ClientId and aws:SourceIp. When a policy is evaluated, the variables will be replaced by the actual values. iot:ClientId is replaced by the client ID that sent an MQTT or HTTP message. aws:SourceIp is replaced by the IP address from which the message originated.

The following AWS IoT policy illustrates the use of policy variables:

```
"Version": "2012-10-17",
"Statement": [{
```

{

```
"Effect": "Allow",
        "Action": ["iot:Connect"],
        "Resource": [
            "arn:aws:iot:us-east-1:123451234510:client/${iot:ClientId}"
        1
    },
    {
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": [
            "arn:aws:iot:us-east-1:123451234510:topic/foo/bar/${iot:ClientId}"
        ]
    }]
}
```

When you use policy variables like \${iot:ClientId}, you can inadvertently open access to topics you do not want to be accessible. For example, if you use a policy that uses fiot:ClientId to specify a topic filter:

```
{
    "Effect": "Allow",
    "Action": ["iot:Subscribe"],
    "Resource": [
       "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/${iot:ClientId}/bar"
    ]
}
```

A client can connect using + as the client ID. This would allow the user to subscribe to any topic matching foo/+/bar. To protect against such security gaps, use the iot:Connect policy action to control which client IDs are able to connect. For example, this policy will allow only clients whose client ID is clientid1 to connect:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Connect"],
        "Resource": [
            "arn:aws:iot:us-east-1:123456789012:client/clientid1"
        ]
    }]
```

### **Example Policies**

}

AWS IoT policies are specified in a JSON document. These are the components of an AWS IoT policy:

```
Version
   Must be set to "2012-10-17".
Effect
   Must be set to "Allow" or "Deny".
```

#### Action

Must be set to "iot:<operation-name>" where <operation-name> is one of the following:

"iot:Publish": MQTT publish.

"iot:Subscribe":MQTT subscribe.

"iot:UpdateThingShadow": Update a thing shadow.

"iot:GetThingShadow":Retrieve a thing shadow.

"iot:DeleteThingShadow:Delete a thing shadow.

#### Resource

Must be set to one of the following:

Client - arn:aws:iot:<region>:<accountId>:client/<clientId>

Topic ARN - arn:aws:iot:<region>:<accountId>:topic/<topicName>

Topic filter ARN - arn:aws:iot:<region>:<accountId>:topicfilter/<topicFilter>

### **Connect Policy Examples**

The following policy allows a set of client IDs to connect:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
            "Effect": "Allow",
            "Action": [
                 "iot:Connect"
            ],
            "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:client/clientid1",
                 "arn:aws:iot:us-east-1:123456789012:client/clientid2",
                 "arn:aws:iot:us-east-1:123456789012:client/clientid3"
            ]
        },
        {
            "Effect": "Allow",
            "Action": [
                 "iot:Publish",
                 "iot:Subscribe",
                 "iot:Receive"
            ],
            "Resource": [
                 " * "
            ]
        }
    ]
}
```

The following policy prevents a set of client IDs from connecting:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Deny",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:client/clientid1",
                 "arn:aws:iot:us-east-1:123456789012:client/clientid2"
             ]
        },
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
             ],
             "Resource": [
                 " * "
            ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to subscribe to topic filter foo/\*:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 " * "
             ]
        },
             "Effect": "Allow",
             "Action": [
                 "iot:Subscribe"
             ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/*"
             1
        }
    ]
}
```

#### Publish/Subscribe Policy Examples

The policy you use will depend on how you are connecting to AWS IoT. You can connect to AWS IoT using an MQTT client, HTTP, or WebSocket. When you connect with an MQTT client, you will be authenticating with an X.509 certificate. When you connect over HTTP or the WebSocket protocol, you will be authenticating with ignature ersion 4 and Amazon Cognito.

#### **Policies for MQTT Clients**

The following policy allows the certificate holder using any client ID to publish to all topics and subscribe to all topic filters in the AWS account:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
            "Effect": "Allow",
            "Action": [
               "iot:*"
            ],
            "Resource": [
                "*"
            ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to publish to all topics in the AWS account:

The following policy allows the certificate holder using any client ID to publish to the foo/bar and foo/baz topics:

```
"Action": [
    "iot:Publish"
],
    "Resource": [
        "arn:aws:iot:us-east-1:123456789012:topic/foo/bar",
        "arn:aws:iot:us-east-1:123456789012:topic/foo/baz"
]
}
```

The following policy prevents the certificate holder using any client ID from publishing to the  $\tt foo/bar$  topic:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 " * "
            ]
        },
        {
            "Effect": "Deny",
            "Action": [
                 "iot:Publish"
            ],
            "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topic/foo/bar"
            ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to subscribe to topic filter foo/+/bar:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
            "Resource": [
                 " * "
            ]
        },
        {
            "Effect": "Allow",
            "Action": [
                 "iot:Subscribe"
```

```
],
    "Resource": [
        "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/+/bar"
    ]
}
]
```

The following policy allows the certificate holder using any client ID to publish on topic  $f_{00}$  and subscribe to topic filter  $f_{00}/bar/*$ :

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 " * "
             ]
        },
{
            "Effect": "Allow",
            "Action": [
                 "iot:Publish"
            ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topic/foo"
            ]
        },
        {
            "Effect": "Allow",
             "Action": [
                 "iot:Subscribe"
             ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/bar/*"
             ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to publish on topic foo and prevents the certificate holder using any client ID from publishing to topic bar:

```
"Version": "2012-10-17",
"Statement": [
{
"Effect": "Allow",
"Action": [
"iot:Connect"
],
"Resource": [
```

{

```
" * "
             ]
        },
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Publish"
             ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topic/foo"
             ]
        },
        {
             "Effect": "Deny",
             "Action": [
                 "iot:Publish"
             ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topic/bar"
             ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to subscribe to topic filter foo/bar:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 " * "
             ]
        },
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Subscribe"
             ],
             "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/bar"
             ]
        }
    ]
}
```

The following policy allows the certificate holder using any client ID to publish on the arn:aws:iot:us-east-1:123456789012:topic/iotmonitor/provisioning/8050373158915119971 topic and allows the certificate holder using any client ID to subscribe to the topic filter arn:aws:iot:us-east-1:123456789012:topicfilter/iotmonitor/provisioning/8050373158915119971:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Connect"
            ],
             "Resource": [
                 " * "
             ]
        },
        {
             "Effect": "Allow",
             "Action": [
                 "iot:Publish",
                 "iot:Receive"
             ],
             "Resource": [
                "arn:aws:iot:us-east-1:123456789012:topic/iotmonitor/provision
ing/8050373158915119971"
            1
        },
        {
            "Effect": "Allow",
             "Action": [
                 "iot:Subscribe"
            ],
            "Resource": [
               "arn:aws:iot:us-east-1:123456789012:topicfilter/iotmonitor/pro
visioning/8050373158915119971"
            ]
        }
    ]
}
```

#### Policies for HTTP and WebSocket Clients

For the following operations, AWS IoT uses policies attached to Amazon Cognito identities (through the AttachPrincipalPolicy API) to scope down the permissions attached to the Amazon Cognito identity pool with authenticated identities. That means an Amazon Cognito identity needs permission from the role policy attached to the pool and the policy attached to the Amazon Cognito identity through the AWS IoT AttachPrincipalPolicy API.

- iot:Connect
- iot:Publish
- iot:Subscribe
- iot:Receive
- iot:GetThingShadow
- iot:UpdateThingShadow
- iot:DeleteThingShadow

#### Note

For other AWS IoT operations or for unauthenticated identities, AWS IoT does not scope down the permissions attached to the Amazon Cognito identity pool role. For both authenticated and

unauthenticated identities, this is the most permissive policy that we recommend attaching to the Amazon Cognito pool role.

To allow unauthenticated Amazon Cognito identities to publish messages over HTTP on any topic, attach the following policy to the Amazon Cognito identity pool role:

```
{
    "Version": "2012-10-17",
    "Statement": [
    {
        "Effect": "Allow",
        "Action": [
            "iot:Connect",
            "iot:Publish",
            "iot:Subscribe",
            "iot:Receive",
            "iot:GetThingShadow",
            "iot:UpdateThingShadow",
            "iot:DeleteThingShadow"
        ],
        "Resource": ["*"]
    }]
}
```

To allow unauthenticated Amazon Cognito identities to publish MQTT messages over HTTP on any topic in your account, attach the following policy to the Amazon Cognito identity pool role:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["*"]
    }]
}
```

#### Note

This example is for illustration only. Unless your service absolutely requires it, we recommend the use of a more restrictive policy, one that does not allow unauthenticated Amazon Cognito identities to publish on any topic.

To allow unauthenticated Amazon Cognito identities to publish MQTT messages over HTTP on topic1 in your account, attach the following policy to your Amazon Cognito identity pool role:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/topic1"]
    }]
}
```

For an authenticated Amazon Cognito identity to publish MQTT messages over HTTP on topic1 in your AWS account, you must specify two policies, as outlined here. The first policy must be attached to an Amazon Cognito identity pool role and allow identities from that pool to make a publish call. The second

policy is attached to an Amazon Cognito user using the AWS IoT AttachPrincipalPolicy API and allows the specified Amazon Cognito user access to the topic1 topic.

Amazon Cognito identity pool policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [ "iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/topic1"]
    }]
```

Amazon Cognito user policy:

}

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/topic1"]
    }]
}
```

Similarly, the following example policy allows the Amazon Cognito user to publish MQTT messages over HTTP on the topic1 and topic2 topics. Two policies are required. The first policy gives the Amazon Cognito identity pool role the ability to make the publish call. The second policy gives the Amazon Cognito user access to the topic1 and topic2 topics.

Amazon Cognito identity pool policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["*"]
    }]
}
```

Amazon Cognito user policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": [
            "arn:aws:iot:us-east-1:123456789012:topic/topic1",
            "arn:aws:iot:us-east-1:123456789012:topic/topic2"
        ]
    }]
}
```

The following policies allow multiple Amazon Cognito users to publish to a topic. Two policies per Amazon Cognito identity are required. The first policy gives the Amazon Cognito identity pool role the ability to make the publish call. The second and third policies give the Amazon Cognito users access to the topics topic1 and topic2, respectively.

Amazon Cognito identity pool policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["*"]
    }]
}
```

Amazon Cognito user1 policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/topic1"]
    }]
}
```

Amazon Cognito user2 policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["arn:aws:iot:us-east-1:123456789012:topic/topic2"]
    }]
}
```

#### **Receive Policy Examples**

The following policy prevents the certificate holder using any client ID from receiving messages from a topic:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
            "Effect": "Deny",
            "Action": [
               "iot:Receive"
            ],
            "Resource": [
                "arn:aws:iot:us-east-1:123456789012:topic/foo/restricted"
            ]
        },
```

```
{
    "Effect": "Allow",
    "Action": [
        "iot:*"
    ],
    "Resource": [
        "*"
    ]
}
]
```

}

The following policy allows the certificate holder using any client ID to subscribe and receive messages on one topic:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
            "Effect": "Allow",
            "Action": [
                "iot:Connect"
            ],
            "Resource": [*]
        },
        {
            "Effect": "Allow",
            "Action": [
                "iot:Subscribe"
            ],
            "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topicfilter/foo/bar"
            ]
        },
        {
            "Effect": "Allow",
            "Action": [
                "iot:Receive"
            ],
            "Resource": [
                 "arn:aws:iot:us-east-1:123456789012:topic/foo/bar"
            ]
        }
    ]
}
```

### **IAM IoT Policies**

AWS IoT provides a set of IAM policy templates you can either use as-is or as a starting point for creating custom IAM policies. These templates allow access to configuration and data operations. Configuration

operations allow you to create things, certificates, policies, and rules. Data operations send data over MQTT or HTTP protocols. The following table describes these templates.

Policy Template	Description
AWSIotLogging	Allows the associated identity to configure Cloud- Watch logging. This policy is attached to your CloudWatch logging role.
AWSIoTConfigAccess	Allows the associated identity access to all AWS IoT configuration operations.
AWSIoTConfigReadOnlyAccess	Allows the associated identity to call read-only configuration operations.
AWSIoTDataAccess	Allows the associated identity full access to all AWS IoT data operations. Data operations send data over MQTT or HTTP protocols. When MQTT over the WebSocket protocol is used, only policies stored in IAM will apply to the WebSocket connec- tion.
AWSIoTFullAccess	Allows the associated identity full access to all AWS IoT configuration and data operations.
AWSIoTRuleActions	Allows the associated identity access to all AWS services supported in AWS IoT rule actions.

### **Cross Account Access**

AWS IoT allows you to enable a principal to publish or subscribe to a topic that is defined in an AWS account not owned by the principal. You configure cross account access by creating an IAM policy and IAM role and then attaching the policy to the role.

First, create an IAM policy just like you would for other users and certificates in your AWS account. For example, the following policy grants permissions to connect and publish to the /foo/bar topic.

```
{
    "Version": "2012-10-17",
    "Statement": [
    {
        "Effect": "Allow",
        "Action": [
            "iot:Connect"
         ],
         "Resource": [
             " * "
         ]
     },
     {
         "Effect": "Allow",
         "Action": [
             "iot:Publish"
         ],
         "Resource": [
             "arn:aws:iot:us-east-1:123456789012:topic/foo/bar"
         ]
```

}]

}

Next, follow the steps in Creating a Role for an IAM User. Enter the AWS account ID of the AWS account with which you want to share access. Then, in the final step, attach the policy you just created to the role. If, at a later time, you need to modify the AWS account ID to which you are granting access, you can use the following trust policy format to do so.

```
{
    "Version":"2012-10-17",
    "Statement":[{
        "Effect": "Allow",
        "Principal": {
            "AWS": "arn:aws:iam:us-east-1:111111111111:user/MyUser"
        },
        "Action": "sts:AssumeRole"
    }]
}
```

## **Transport Security**

The AWS IoT message broker and Thing Shadows service encrypt all communication with TLS. TLS is used to ensure the confidentiality of the application protocols (MQTT, HTTP) supported by AWS IoT. TLS is available in a number of programming languages and operating systems.

For MQTT, TLS encrypts the connection between the device and the broker. TLS client authentication is used by AWS IoT to identify devices. For HTTP, TLS encrypts the connection between the device and the broker. Authentication is delegated to AWS Signature Version 4.

## **TLS Cipher Suite Support**

AWS IoT supports the following cipher suites:

- ECDHE-ECDSA-AES128-GCM-SHA256 (recommended)
- ECDHE-RSA-AES128-GCM-SHA256 (recommended)
- ECDHE-ECDSA-AES128-SHA256
- ECDHE-RSA-AES128-SHA256
- ECDHE-ECDSA-AES128-SHA
- ECDHE-RSA-AES128-SHA
- ECDHE-ECDSA-AES256-GCM-SHA384
- ECDHE-RSA-AES256-GCM-SHA384
- ECDHE-ECDSA-AES256-SHA384
- ECDHE-RSA-AES256-SHA384
- ECDHE-RSA-AES256-SHA
- ECDHE-ECDSA-AES256-SHA
- AES128-GCM-SHA256
- AES128-SHA256
- AES128-SHA
- AES256-GCM-SHA384

- AES256-SHA256
- AES256-SHA

# **Message Broker for AWS IoT**

The AWS IoT message broker is a publish/subscribe broker service that enables the sending and receiving of messages to and from AWS IoT. When communicating with AWS IoT, a client sends a message addressed to a topic like Sensor/temp/room1. The message broker, in turn, sends the message to all clients that have registered to receive messages for that topic. The act of sending the message is referred to as *publishing*. The act of registering to receive messages for a topic filter is referred to as *subscribing*.

The topic namespace is isolated for each AWS account and region pair. For example, the Sensor/temp/rooml topic for an AWS account is independent from the Sensor/temp/rooml topic for another AWS account. This is true of regions, too. The Sensor/temp/rooml topic in the same AWS account in us-east-1 is independent from the same topic in us-west-2. AWS IoT does not support sending and receiving messages across AWS accounts and regions.

The message broker maintains a list of all client sessions and the subscriptions for each session. When a message is published on a topic, the broker checks for sessions with subscriptions that map to the topic. The broker then forwards the publish message to all sessions that have a currently connected client.

## **Protocols**

The message broker supports the use of the MQTT protocol to publish and subscribe and the HTTPS protocol to publish. Both protocols are supported through IP version 4 and IP version 6. The message broker also supports MQTT over the WebSocket protocol.

### MQTT

MQTT is a widely adopted lightweight messaging protocol designed for constrained devices. For more information, see MQTT.

Although the AWS IoT message broker implementation is based on MQTT version 3.1.1, it deviates from the specification as follows:

- In AWS IoT, subscribing to a topic with Quality of Service (QoS) 0 means a message will be delivered zero or more times. A message might be delivered more than once. Messages delivered more than once might be sent with a different packet ID. In these cases, the DUP flag is not set.
- AWS IoT does not support publishing and subscribing with QoS 2. The AWS IoT message broker does not send a PUBACK or SUBACK when QoS 2 is requested.

- The QoS levels for publishing and subscribing to a topic have no relation to each other. One client can subscribe to a topic using QoS 1 while another client can publish to the same topic using QoS 0.
- When responding to a connection request, the message broker sends a CONNACK message. This message contains a flag to indicate if the connection is resuming a previous session. The value of this flag might be incorrect if two MQTT clients connect with the same client ID simultaneously.
- When a client subscribes to a topic, there might be a delay between the time the message broker sends a SUBACK and the time the client starts receiving new matching messages.
- The MQTT specification provides a provision for the publisher to request that the broker retain the last message sent to a topic and send it to all future topic subscribers. AWS IoT does not support retained messages. If a request is made to retain messages, the connection is disconnected.
- The message broker uses the client ID to identify each client. The client ID is passed in from the client to the message broker as part of the MQTT payload. Two clients with the same client ID are not allowed to be connected concurrently to the message broker. When a client connects to the message broker using a client ID that another client is using, a CONNACK message will be sent to both clients and the currently connected client will be disconnected.
- The message broker does not support persistent sessions (clean session set to 0). All sessions are assumed to be clean sessions and messages are not stored across sessions. If an MQTT client sends a message with the clean session attribute set to false, the client will be disconnected.
- On rare occasions, the message broker might resend the same logical PUBLISH message with a different packet ID.
- The message broker does not guarantee the order in which messages and ACK are received.

## HTTP

The message broker supports clients connecting with the HTTP protocol using a REST API. Clients can publish by sending a POST message to <*AWS* IoT

Endpoint>/topics/<url\_encoded\_topic\_name>?qos=1".

### **MQTT Over the WebSocket Protocol**

AWS IoT supports MQTT over the WebSocket protocol to enable browser-based and remote applications to send and receive data from AWS IoT-connected devices using AWS credentials. AWS credentials are specified using AWS Signature Version 4. WebSocket support is available on TCP port 443, which allows messages to pass through most firewalls and web proxies.

A WebSocket connection is initiated on a client by sending an HTTP GET request. The URL you use is of the following form:

```
wss://<endpoint>.iot.<region>.amazonaws.com/mqtt
```

WSS

Specifies the WebSocket protocol.

endpoint

Your AWS account-specific AWS IoT endpoint. You can use the AWS IoT CLI describe-endpoint command to find this endpoint.

region

The AWS region of your AWS account.

mqtt

Specifies you will be sending MQTT messages over the WebSocket protocol.

When the server responds, the client sends an upgrade request to indicate to the server it will communicate using the WebSocket protocol. After the server acknowledges the upgrade request, all communication

is performed using the WebSocket protocol. The WebSocket implementation you use acts as a transport protocol. The data you send over the WebSocket protocol are MQTT messages.

### Using the WebSocket Protocol in a Web Application

The WebSocket implementation provided by most web browsers does not allow the modification of HTTP headers, so you must add the Signature Version 4 information to the query string. For more information, see Adding Signing Information to the Query String.

The following JavaScript defines some utility functions used in generating a Signature Version 4 request.

```
/**
  * utilities to do sigv4
  * @class SigV4Utils
  */
 function SigV4Utils() {}
SigV4Utils.getSignatureKey = function (key, date, region, service) {
   var kDate = AWS.util.crypto.hmac('AWS4' + key, date, 'buffer');
   var kRegion = AWS.util.crypto.hmac(kDate, region, 'buffer');
   var kService = AWS.util.crypto.hmac(kRegion, service, 'buffer');
   var kCredentials = AWS.util.crypto.hmac(kService, 'aws4_request', 'buffer');
   return kCredentials;
};
SigV4Utils.getSignedUrl = function(host, region, credentials) {
   var datetime = AWS.util.date.iso8601(new Date()).replace(/[:\-]|\.\d{3}/g,
'');
   var date = datetime.substr(0, 8);
   var method = 'GET';
   var protocol = 'wss';
   var uri = '/mqtt';
   var service = 'iotdevicegateway';
   var algorithm = 'AWS4-HMAC-SHA256';
   var credentialScope = date + '/' + region + '/' + service + '/' +
'aws4_request';
   var canonicalQuerystring = 'X-Amz-Algorithm=' + algorithm;
   canonicalQuerystring += '&X-Amz-Credential=' + encodeURIComponent(creden
tials.accessKeyId + '/' + credentialScope);
   canonicalQuerystring += '&X-Amz-Date=' + datetime;
   canonicalQuerystring += '&X-Amz-SignedHeaders=host';
   var canonicalHeaders = 'host:' + host + '\n';
   var payloadHash = AWS.util.crypto.sha256('', 'hex')
   var canonicalRequest = method + '\n' + uri + '\n' + canonicalQuerystring +
 '\n' + canonicalHeaders + '\nhost\n' + payloadHash;
   var stringToSign = algorithm + '\n' + datetime + '\n' + credentialScope +
'\n' + AWS.util.crypto.sha256(canonicalRequest, 'hex');
   var signingKey = SigV4Utils.getSignatureKey(credentials.secretAccessKey,
date, region, service);
   var signature = AWS.util.crypto.hmac(signingKey, stringToSign, 'hex');
   canonicalQuerystring += '&X-Amz-Signature=' + signature;
```

```
if (credentials.sessionToken) {
    canonicalQuerystring += '&X-Amz-Security-Token=' + encodeURIComponent(cre
dentials.sessionToken);
    }
    var requestUrl = protocol + '://' + host + uri + '?' + canonicalQuerystring;
    return requestUrl;
};
```

#### To create a Signature Version 4 request

1. Create a canonical request for Signature Version 4.

The following JavaScript code creates a canonical request:

```
var datetime = AWS.util.date.iso8601(new Date()).replace(/[:\-]|\.\d{3}/g,
'');
var date = datetime.substr(0, 8);
var method = 'GET';
var protocol = 'wss';
var uri = '/mqtt';
var service = 'iotdevicegateway';
var algorithm = 'AWS4-HMAC-SHA256';
var credentialScope = date + '/' + region + '/' + service + '/' + 'aws4_re
quest';
var canonicalQuerystring = 'X-Amz-Algorithm=' + algorithm;
canonicalQuerystring += '&X-Amz-Credential=' + encodeURIComponent(creden
tials.accessKeyId + '/' + credentialScope);
canonicalQuerystring += '&X-Amz-Date=' + datetime;
canonicalQuerystring += '&X-Amz-SignedHeaders=host';
var canonicalHeaders = 'host:' + host + '\n';
var payloadHash = AWS.util.crypto.sha256('', 'hex')
var canonicalRequest = method + '\n' + uri + '\n' + canonicalQuerystring +
 '\n' + canonicalHeaders + '\nhost\n' + payloadHash;
```

2. Create a string to sign, generate a signing key, and sign the string.

Take the canonical URL you created in the previous step and assemble it into a string to sign. You do this by creating a string composed of the hashing algorithm, the date, the credential scope, and the SHA of the canonical request. Next, generate the signing key and sign the string, as shown in the following JavaScript code.

```
var stringToSign = algorithm + '\n' + datetime + '\n' + credentialScope +
'\n' + AWS.util.crypto.sha256(canonicalRequest, 'hex');
var signingKey = SigV4Utils.getSignatureKey(credentials.secretAccessKey,
date, region, service);
var signature = AWS.util.crypto.hmac(signingKey, stringToSign, 'hex');
```

3. Add the signing information to the request.

The following JavaScript code shows how to add the signing information to the query string.

```
canonicalQuerystring += '&X-Amz-Signature=' + signature;
```

4. If you have session credentials (from an STS server, AssumeRole, or Amazon Cognito), append the session token to the end of the URL string after signing:

```
canonicalQuerystring += '&X-Amz-Security-Token=' + encodeURIComponent(cre
dentials.sessionToken);
```

5. Prepend the protocol, host, and URI to the canonicalQuerystring:

```
var requestUrl = protocol + '://' + host + uri + '?' + canonicalQuerystring;
```

6. Open the WebSocket.

The following JavaScript code shows how to create a Paho MQTT client and call CONNECT to AWS IoT. The endpoint argument is your AWS account-specific endpoint. The clientId is a text identifier that is unique among all clients simultaneously connected in your AWS account.

```
var client = new Paho.MQTT.Client(requestUrl, clientId);
var connectOptions = {
    onSuccess: function(){
        // connect succeeded
    },
    useSSL: true,
    timeout: 3,
    mqttVersion: 4,
    onFailure: function() {
        // connect failed
    }
};
client.connect(connectOptions);
```

### Using the WebSocket Protocol in a Mobile Application

We recommend using one of the AWS IoT Device SDKs to connect your device to AWS IoT when making a WebSocket connection. The following AWS IoT Device SDKs support WebSocket-based MQTT connections to AWS IoT:

- Node.js
- iOS
- Android

For a reference implementation for connecting a web application to AWS IoT using MQTT over the WebSocket protocol, see AWS Labs WebSocket sample.

If you are using a programming or scripting language that is not currently supported, any existing WebSocket library can be used as long as the initial WebSocket upgrade request (HTTP POST) is signed using AWS Signature Version 4. Some MQTT clients, such as Eclipse Paho for JavaScript, support the WebSocket protocol natively.

### Topics

The message broker uses topics to route messages from publishing clients to subscribing clients. The forward slash (/) is used to separate topic hierarchy. The following table lists the wildcards that can be used in the topic filter when you subscribe.

### **Topic Wildcards**

Wildcard	Description
#	Must be the last character in the topic to which you are subscribing. Works as a wildcard by matching the current tree and all subtrees. For example, a subscription to Sensor/# will receive messages published to Sensor/, Sensor/temp, Sensor/temp/room1, but not the messages published to Sensor.
+	Matches exactly one item in the topic hierarchy. For example, a subscription to Sensor/+/room1 will receive messages published to Sensor/temp/room1, Sensor/mois- ture/room1, and so on.

### **Reserved Topics**

Any topics beginning with \$ are considered reserved and are not supported for publishing and subscribing except when working with the Thing Shadows service. For more information, see Thing Shadows.

### Lifecycle Events

AWS IoT publishes lifecycle events on the MQTT topics discussed in the following sections. These messages allow you to be notified of lifecycle events from the message broker.

#### Note

{

Lifecycle messages might be sent out of order and you might receive duplicate messages.

### **Policy Required for Receiving Lifecycle Events**

The following is an example of the policy required for receiving lifecycle events:

```
"Version":"2012-10-17",
```

```
"Statement":[{
    "Effect":"Allow",
    "Action":[
        "iot:Subscribe",
        "iot:Receive"
    ],
    "Resource":[
        "arn:aws:iot:region:account:topicfilter/$aws/events/*"
    ]
}]
```

### **Connect/Disconnect Events**

AWS IoT publishes a message to the following MQTT topics when a client connects or disconnects:

\$aws/events/presence/connected/clientId

or

}

```
$aws/events/presence/disconnected/clientId
```

Where  ${\tt clientId}$  is the MQTT client ID that connects to or disconnects from the AWS IoT message broker.

The message published to this topic has the following structure:

```
{
    "clientId": "alb2c3d4e5f6a7b8c9d0e1f2a3b4c5d6",
    "timestamp": 1460065214626,
    "eventType": "connected",
    "sessionIdentifier": "00000000-0000-0000-00000000000",
    "principalIdentifier": "000000000000/ABCDEFGHIJKLMNOPQRSTU:some-user/ABCDE
FGHIJKLMNOPQRSTU:some-user"
}
```

The following is a list of JSON elements that are contained in the connection/disconnection messages published to the \$aws/events/presence/connected/clientId topic.

#### clientId

The client ID of the connecting or disconnecting client.

Note

Client IDs that contain # or + will not receive lifecycle events.

eventType

The type of event. Valid values are connected or disconnected.

principalldentifier

The credential used to authenticate. For TLS mutual authentication certificates, this is the certificate ID. For other connections, this is IAM credentials.

sessionIdentifier

A globally unique identifier in AWS IoT that exists for the life of the session.

timestamp

An approximation of when the event occurred, expressed in milliseconds since the Unix epoch. The accuracy of the timestamp is +/- 2 minutes.

### Subscribe/Unsubscribe Events

AWS IoT publishes a message to the following MQTT topic when a client subscribes or unsubscribes to an MQTT topic:

\$aws/events/subscriptions/subscribed/clientId

or

\$aws/events/subscriptions/unsubscribed/clientId

Where clientId is the MQTT client ID that connects to the AWS IoT message broker.

The message published to this topic has the following structure:

```
{
    "clientId": "186b5",
    "timestamp": 1460065214626,
    "eventType": "subscribed" | "unsubscribed",
    "sessionIdentifier": "00000000-0000-0000-00000000000",
    "principalIdentifier": "00000000000/ABCDEFGHIJKLMNOPQRSTU:some-user/ABCDE
FGHIJKLMNOPQRSTU:some-user"
    "topics" : ["foo/bar","device/data","dog/cat"]
}
```

The following is a list of JSON elements that are contained in the subscribed and unsubscribed messages published to the \$aws/events/subscriptions/subscribed/clientId and \$aws/events/subscriptions/unsubscribed/clientId to pice.

#### clientId

The client ID of the subscribing or unsubscribing client.

#### Note

Client IDs that contain # or + will not receive lifecycle events.

#### eventType

The type of event. Valid values are subscribed or unsubscribed.

#### principalldentifier

The credential used to authenticate. For TLS mutual authentication certificates, this is the certificate ID. For other connections, this is IAM credentials.

#### sessionIdentifier

A globally unique identifier in AWS IoT that exists for the life of the session.

#### timestamp

An approximation of when the event occurred, expressed in milliseconds since the Unix epoch. The accuracy of the timestamp is +/- 2 minutes.

topics

An array of the MQTT topics to which the client has subscribed.

#### Note

Lifecycle messages might be sent out of order. You might receive duplicate messages.

# **Rules for AWS IoT**

Rules give your devices the ability to interact with AWS services. Rules are analyzed and actions are performed based on the MQTT topic stream. You can use rules to support tasks like these:

- Augment or filter data received from a device.
- Write data received from a device to an Amazon DynamoDB database.
- Save a file to Amazon S3.
- Send a push notification to all users using Amazon SNS.
- Publish data to an Amazon SQS queue.
- Invoke a Lambda function to extract data.
- Process messages from a large number of devices using Amazon Kinesis.
- Send data to the Amazon Elasticsearch Service.
- Capture a CloudWatch metric.
- Change a CloudWatch alarm.
- Send the data from an MQTT message to Amazon Machine Learning to make predictions based on an Amazon ML model.

Before AWS IoT can perform these actions, you must grant it permission to access your AWS resources on your behalf. When the actions are performed, you incur the standard charges for the AWS services you use.

#### Contents

- Granting AWS IoT the Required Access (p. 109)
- Pass Role Permissions (p. 110)
- Creating an AWS IoT Rule (p. 111)
- Viewing Your Rules (p. 114)
- SQL Versions (p. 114)
- Troubleshooting a Rule (p. 116)
- Deleting a Rule (p. 116)
- AWS IoT Rule Actions (p. 117)
- AWS IoT SQL Reference (p. 125)

### **Granting AWS IoT the Required Access**

You use IAM roles to control the AWS resources to which each rule has access. Before you create a rule, you must create an IAM role with a policy that allows access to the required AWS resources. AWS IoT assumes this role when executing a rule.

### To create an IAM role (AWS CLI)

1. Save the following trust policy document, which grants AWS IoT permission to assume the role, to a file called iot-role-trust.json:

```
{
    "Version":"2012-10-17",
    "Statement":[{
        "Effect": "Allow",
        "Principal": {
            "Service": "iot.amazonaws.com"
        },
        "Action": "sts:AssumeRole"
    }]
}
```

Use the create-role command to create an IAM role specifying the iot-role-trust.json file:

```
aws iam create-role --role-name my-iot-role --assume-role-policy-document file://iot-role-trust.json
```

The output of this command will look like the following:

```
{
   "Role": {
    "AssumeRolePolicyDocument": "url-encoded-json",
    "RoleId": "AKIAIOSFODNN7EXAMPLE",
    "CreateDate": "2015-09-30T18:43:32.821Z",
    "RoleName": "my-iot-role",
    "Path": "/",
    "Arn": "arn:aws:iam::123456789012:role/my-iot-role"
   }
}
```

2. Save the following JSON into a file named iot-policy.json.

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": "dynamodb:*",
        "Resource": "*"
    }]
}
```

This JSON is an example policy document that grants AWS IoT administrator access to DynamoDB.

Use the create-policy command to grant AWS IoT access to your AWS resources upon assuming the role, passing in the iot-policy.json file:

```
aws iam create-policy --policy-name my-iot-policy --policy-document file://my-
iot-policy-document.json
```

For more information about how to grant access to AWS services in policies for AWS IoT, see Creating an AWS IoT Rule (p. 111).

The output of the create-policy command will contain the ARN of the policy. You will need to attach the policy to a role.

```
{
    "Policy": {
        "PolicyName": "my-iot-policy",
        "CreateDate": "2015-09-30T19:31:18.620Z",
        "AttachmentCount": 0,
        "IsAttachable": true,
        "PolicyId": "ZXR6A36LTYANPAI7NJ5UV",
        "DefaultVersionId": "v1",
        "Path": "/",
        "Arn": "arn;aws;iam::123456789012:policy/my-iot-policy",
        "UpdateDate": "2015-09-30T19:31:18.620Z"
    }
}
```

3. Use the attach-role-policy command to attach your policy to your role:

```
aws iam attach-role-policy --role-name my-iot-role --policy-arn "arn:aws:iam::123456789012:policy/my-iot-policy"
```

### **Pass Role Permissions**

When creating or replacing a rule, you must pass a role that controls the AWS resources to which the rule has access. The role must be defined in the same AWS account as the rule. The AWS IoT rules engine checks to make sure you have <code>iam:PassRole</code> permission to pass the role to the <code>create-topic-rule</code> API. To ensure you have this access, you need to create a policy that grants this access and attach it to your IAM user. The following policy shows how to allow <code>iam:PassRole</code> permission for a role.

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
            "Sid": "Stmt1",
            "Effect": "Allow",
            "Action": [
               "iam:PassRole"
        ],
            "Resource": [
               "arn:aws:iam::123456789012:role/myRole"
        ]
}
```

} ] }

In this policy example, the iam: PassRole permission is granted for the role myRole. The role is specified using the role's ARN. You must also attach this policy to your IAM user or role to which your user belongs. For more information, see Working with Managed Policies.

#### Note

Lambda functions use resource-based policy, where the policy is attached directly to the Lambda function itself. When creating a rule that invokes a Lambda function, you do not pass a role, so the user creating the rule does not need the iam:PassRole permission. For more information about Lambda function authorization, see Granting Permissions Using a Resource Policy.

### **Creating an AWS IoT Rule**

You configure rules to route data from your connected things. Rules consist of the following:

#### Rule name

The name of the rule.

#### Optional description

A textual description of the rule.

SQL statement

A simplified SQL syntax to filter messages received on an MQTT topic and push the data elsewhere. For more information, see AWS IoT SQL Reference (p. 125).

SQL version

The version of the SQL rules engine to use when evaluating the rule. Although this property is optional, we strongly recommend that you specify the SQL version. If this property is not set, the default, 2015-10-08, will be used.

#### One or more actions

The actions AWS IoT performs when executing the rule. For example, you can insert data into a DynamoDB table, write data to an Amazon S3 bucket, publish to an Amazon SNS topic, or invoke a Lambda function.

When you create a rule, be aware of how much data you are publishing on topics. If you create rules that include a wildcard topic pattern, they might match a large percentage of your messages, and you might need to increase the capacity of the AWS resources used by the target actions. Also, if you create a republish rule that includes a wildcard topic pattern, you can end up with a circular rule that causes an infinite loop.

#### Note

Creating and updating rules are administrator-level actions. Any user who has permission to create or update rules will be able to access data processed by the rules.

#### To create a rule (AWS CLI)

Use the create-topic-rule command to create a rule:

```
aws iot create-topic-rule --rule-name my-rule --topic-rule-payload file://my-
rule.json
```

The following is an example payload file with a rule that inserts all messages sent to the iot/test topic into the specified DynamoDB table. The SQL statement filters the messages and the role ARN grants AWS IoT permission to write to the DynamoDB table.

```
{
   "sql": "SELECT * FROM 'iot/test'",
   "ruleDisabled": false,
   "awsIotSqlVersion": "2016-03-23-beta",
   "actions": [{
        "dynamoDB": {
            "tableName": "my-dynamodb-table",
                "roleArn": "arn:aws:iam::123456789012:role/my-iot-role",
                "hashKeyField": "topic",
                "hashKeyValue": "${topic(2)}",
                "rangeKeyField": "timestamp",
                "rangeKeyValue": "${timestamp()}"
      }
}]
```

The following is an example payload file with a rule that inserts all messages sent to the iot/test topic into the specified S3 bucket. The SQL statement filters the messages, and the role ARN grants AWS IoT permission to write to the Amazon S3 bucket.

```
{
    "rule": {
        "awsIotSqlVersion": "2016-03-23-beta",
        "sql": "SELECT * FROM 'iot/test'",
        "ruleDisabled": false,
        "actions": [
            {
                "s3": {
                     "roleArn": "arn:aws:iam::123456789012:role/aws_iot_s3",
                     "bucketName": "my-bucket",
                     "key": "myS3Key"
                }
            }
        ],
        "ruleName": "MyS3Rule"
    }
}
```

The following is an example payload file with a rule that pushes data to Amazon ES:

```
{
    "sql":"SELECT *, timestamp() as timestamp FROM 'iot/test'",
    "ruleDisabled":false,
    "awsIotSqlVersion": "2016-03-23-beta",
    "actions":[
        {
            "elasticsearch":{
                "roleArn":"arn:aws:iam::123456789012:role/aws_iot_es",
                "endpoint":"https://my-endpoint",
                "index":"my-index",
                "type":"my-type",
                "id":"${newuuid()}"
        }
    }
}
```

]

}

The following is an example payload file with a rule that invokes a Lambda function:

```
{
    "sql": "expression",
    "ruleDisabled": false,
    "awsIotSqlVersion": "2016-03-23-beta",
    "actions": [{
        "lambda": {
            "functionArn": "arn:aws:lambda:us-west-2:123456789012:function:my-
lambda-function"
        }
    }]
}
```

The following is an example payload file with a rule that publishes to an Amazon SNS topic:

```
{
   "sql": "expression",
   "ruleDisabled": false,
   "awsIotSqlVersion": "2016-03-23-beta",
   "actions": [{
        "sns": {
            "targetArn": "arn:aws:sns:us-west-2:123456789012:my-sns-topic",
            "roleArn": "arn:aws:iam::123456789012:role/my-iot-role"
        }
    }]
}
```

The following is an example payload file with a rule that republishes on a different MQTT topic:

```
{
   "sql": "expression",
   "ruleDisabled": false,
   "awsIotSqlVersion": "2016-03-23-beta",
   "actions": [{
        "republish": {
            "topic": "my-mqtt-topic",
            "roleArn": "arn:aws:iam::123456789012:role/my-iot-role"
        }
   }]
}
```

The following is an example payload file with a rule that pushes data to an Amazon Kinesis Firehose stream:

```
"roleArn": ""arn:aws:iam::123456789012:role/my-iot-role",
        "deliveryStreamName": "my-stream-name"
    }
}]
```

The following is an example payload file with a rule that uses the Amazon Machine Learning machinelearning\_predict function to republish to a topic if the data in the MQTT payload is classified as a 1.

```
{
    "sql": "SELECT * FROM 'iot/test' where machinelearning_predict('my-model',
    'arn:aws:iam::123456789012:role/my-iot-aml-role', *).predictedLabel=1",
        "ruleDisabled": false,
        "awsIotSqlVersion": "2016-03-23-beta",
        "actions": [{
            "republish": {
                "roleArn": "arn:aws:iam::123456789012:role/my-iot-role",
               "topic": "my-mqtt-topic"
            }
        }]
}
```

### **Viewing Your Rules**

Use the list-topic-rules command to list your rules:

aws iot list-topic-rules

Use the get-topic-rule command to get information about a rule:

```
aws iot get-topic-rule --rule-name my-rule
```

### **SQL Versions**

{

The AWS IoT rules engine uses an SQL-like syntax to select data from MQTT messages. The SQL statements are interpreted based on a SQL version specified with the awsIotSqlVersion property in a JSON document that describes the rule. For more information about the structure of JSON rule documents, see Creating a Rule (p. 111). The awsIotSqlVersion property allows you to specify which version of the AWS IoT SQL rules engine you want to use. When a new version is deployed, you can continue to use an older version or change your rule to use the new version. Your current rules will continue to use the version with which they were created.

The following JSON example shows how to specify the SQL version using the  ${\tt awsIotSqlVersion}$  property:

```
"sql": "expression",
"ruleDisabled": false,
```

```
"awsIotSqlVersion": "2016-03-23-beta",
"actions": [{
    "republish": {
        "topic": "my-mqtt-topic",
        "roleArn": "arn:aws:iam::123456789012:role/my-iot-role"
     }
}]
```

Current supported versions are:

}

- 2015-10-08, the original SQL version built on 2015-10-08.
- 2016-03-23-beta, the SQL version built on 2016-03-23.
- beta, the most recent beta SQL version. The use of this version might introduce breaking changes to your rules.

# What's New in the 2016-03-23-beta SQL Rules Engine Version

- Fixes for selecting nested JSON objects.
- Fixes for array queries.
- Inter-object query support.
- Support to output an array as a top-level object.
- Adds the encode (*value*, *encodingScheme*) function, which can be applied on both JSON and non-JSON format data.

### **Inter-Object Queries**

This feature allows you to query for an attribute in a JSON object. For example, given the following MQTT message:

```
{
    "e": [
        { "n": "temperature", "u": "Cel", "t": 1234, "v":22.5 },
        { "n": "light", "u": "lm", "t": 1235, "v":135 },
        { "n": "acidity", "u": "pH", "t": 1235, "v":7 }
]
```

And the following rule:

```
SELECT (SELECT v FROM e WHERE n = 'temperature') as temperature FROM 'my/topic'
```

The rule will generate the following output:

```
\{"temperature": [{"v":22.5}]\}
```

Using the same MQTT message, given a slightly more complicated rule such as:

```
SELECT get((SELECT v FROM e WHERE n = 'temperature'),1).v as temperature FROM
'topic'
```

The rule will generate the following output:

{"temperature":22.5}

### **Output an Array as a Top-Level Object**

This feature allows a rule to return an array as a top-level object. For example, given the following MQTT message:

```
{
    "a": {"b":"c"},
    "arr":[1,2,3,4]
}
```

And the following rule:

SELECT VALUE arr FROM 'topic'

The rule will generate the following output:

[1,2,3,4]

### **Encode Function**

Encodes the payload, which potentially might be non-JSON data, into its string representation based on the specified encoding scheme.

### **Troubleshooting a Rule**

If you are having an issue with your rules, you should enable CloudWatch Logs. By analyzing your logs, you can determine whether the issue is authorization or whether, for example, a WHERE clause condition did not match. For more information, see Troubleshooting AWS IoT (p. 204).

### **Deleting a Rule**

When you are finished with a rule, you can delete it.

#### To delete a rule (AWS CLI)

Use the delete-topic-rule command to delete a rule:

```
aws iot delete-topic-rule --rule-name my-rule
```

### **AWS IoT Rule Actions**

AWS IoT rule actions are used to specify what to do when a rule is triggered. You can define actions to write data to a DynamoDB database or an Amazon Kinesis stream or to invoke a Lambda function, and more. The following actions are supported:

- cloudwatchAlarm to change a CloudWatch alarm.
- cloudwatchMetric to capture a CloudWatch metric.
- dynamoDB to write data to a DynamoDB database.
- elasticsearch to write data to a Amazon Elasticsearch Service domain.
- kinesis to write data to a Amazon Kinesis stream.
- lambda to invoke a Lambda function.
- s3 to write data to a Amazon S3 bucket.
- sns to write data as a push notification.
- firehose to write data to an Amazon Kinesis Firehose stream.
- sqs to write data to an SQS queue.
- republish to republish the message on another MQTT topic.

#### Note

The AWS IoT rules engine does not currently retry delivery for messages that fail to be published to another service.

The following sections discuss each action in detail.

### **CloudWatch Alarm Action**

The CloudWatch alarm action allows you to change CloudWatch alarm state. You can specify the state change reason and value in this call. When creating an AWS IoT rule with a CloudWatch alarm action, you must specify the following information:

#### roleArn

The IAM role that allows access to the CloudWatch alarm.

alarmName

The CloudWatch alarm name.

stateReason

Reason for the alarm change.

stateValue

The value of the alarm state. Acceptable values are OK, ALARM, INSUFFICIENT\_DATA.

#### Note

{

Ensure the role associated with the rule has a policy granting the cloudwatch:SetAlarmState permission.

The following JSON example shows how to define a CloudWatch alarm action in an AWS IoT rule:

```
"roleArn": "arn:aws:iam::123456789012:role/aws_iot_cw",
    "alarmName": "IotAlarm",
    "stateReason": "Temperature stabilized.",
    "stateValue": "OK"
  }
}]
```

For more information, see CloudWatch Alarms.

### **CloudWatch Metric Action**

The CloudWatch metric action allows you to capture a CloudWatch metric. You can specify the metric namespace, name, value, unit, and timestamp. When creating an AWS IoT rule with a CloudWatch metric action, you must specify the following information:

roleArn

}

The IAM role that allows access to the CloudWatch alarm.

metricNamespace

CloudWatch metric namespace name.

metricName The CloudWatch metric name. metricValue

The CloudWatch metric value.

metricUnit

The metric unit supported by CloudWatch.

metricTimestamp An optional Unix timestamp.

#### Note

Ensure the role associated with the rule has a policy granting the cloudwatch:PutMetricData permission.

The following JSON example shows how to define a CloudWatch metric action in an AWS IoT rule:

```
{
    "rule": {
        "sql": "SELECT * FROM 'some/topic'",
        "ruleDisabled": false,
        "actions": [{
            "cloudwatchMetric": {
                "roleArn": "arn:aws:iam::123456789012:role/aws_iot_cw",
                "metricNamespace": "IotNamespace",
                "metricName": "IotMetric",
                "metricValue": "1",
                "metricUnit": "Count",
                "metricTimestamp": "1456821314"
            }
        }]
    }
}
```

For more information, see CloudWatch Metrics.

### **DynamoDB Action**

The dynamoDB action allows you to write all or part of an MQTT message to a DynamoDB table. When creating a DynamoDB rule, you must specify the following information:

hashKeyType

The data type of the hash key (also called the partition key). Valid values are: "STRING" or "NUMBER".

hashKeyField

The name of the hash key (also called the partition key).

hashKeyValue

The value of the hash key.

rangeKeyType

Optional. The data type of the range key (also called the sort key). Valid values are: "STRING" or "NUMBER".

#### rangeKeyField

Optional. The name of the range key (also called the sort key).

rangeKeyValue

Optional. The value of the range key.

operation

Optional. The type of operation to be performed. This follows the substitution template, so it can be  $\{operation\}, but the substitution must result in one of the following: INSERT, UPDATE, or DELETE.$ 

payloadField

Optional. The name of the field where the payload will be written. If this value is omitted, the payload is written to payload field.

table

The name of the DynamoDB table.

#### roleARN

The IAM role that allows access to the DynamoDB table. At a minimum, the role must allow the dynamoDB:PutItem IAM action.

The data written to the DynamoDB table is the result from the SQL statement of the rule. The hashKeyValue and rangeKeyValue fields are usually composed of expressions (for example, "\${topic()}" or "\${timestamp()}").

#### Note

Non-JSON data is written to DynamoDB as binary data. The DynamoDB console will display the data as Base64-encoded text.

Ensure the role associated with the rule has a policy granting the dynamodb:PutItem permission.

The following JSON example shows how to define a dynamoDB action in an AWS IoT rule:

```
"rangeKeyField": "timestamp"
}
}
```

For more information, see the Amazon DynamoDB Getting Started Guide.

### **Amazon ES Action**

The elasticsearch action allows you to write data from MQTT messages to an Amazon Elasticsearch Service domain. Data in Amazon ES can then be queried and visualized by using tools like Kibana. When you create an AWS IoT rule with an elasticsearch action, you must specify the following information:

endpoint

The endpoint of your Amazon ES domain.

index

The Amazon ES index where you want to store your data.

type

The type of document you are storing.

id

The unique identifier for each document.

### Note

Ensure the role associated with the rule has a policy granting the es:ESHttpPut permission.

The following JSON example shows how to define an elasticsearch action in an AWS IoT rule:

```
{
  "rule":{
   "sql":"SELECT *, timestamp() as timestamp FROM 'iot/test'",
   "ruleDisabled":false,
    "actions":[
      {
        "elasticsearch":{
          "roleArn":"arn:aws:iam::123456789012:role/aws_iot_es",
          "endpoint":"https://my-endpoint",
         "index": "my-index",
          "type": "my-type",
          "id":"${newuuid()}"
        }
      }
    ]
  }
}
```

For more information, see the Amazon ES Developer Guide.

### **Kinesis Action**

The kinesis action allows you to write data from MQTT messages into an Amazon Kinesis stream. When creating an AWS IoT rule with a kinesis action, you must specify the following information:

stream

The Amazon Kinesis stream to which to write data.

partitionKey

The partition key used to determine to which shard the data is written. The partition key is usually composed of an expression (for example, "\${topic()}" or "\${timestamp()}").

#### Note

Ensure that the policy associated with the rule has the kinesis:PutRecord permission.

The following JSON example shows how to define a kinesis action in an AWS IoT rule:

```
{
    "rule": {
        "sql": "SELECT * FROM 'some/topic'",
        "ruleDisabled": false,
        "actions": [{
            "kinesis": {
                "roleArn": "arn:aws:iam::123456789012:role/aws_iot_kinesis",
                "roleArn": "my_kinesis_stream",
                "streamName": "my_kinesis_stream",
                "partitionKey": "${topic()}"
                }
        }],
    }],
```

For more information, see the Amazon Kinesis Developer Guide.

### Lambda Action

A lambda action calls a Lambda function, passing in the MQTT message that triggered the rule. In order for AWS IoT to call a Lambda function, you must configure a policy granting the lambda: InvokeFunction permission to AWS IoT. Lambda functions use resource-based policies, so you must attach the policy to the Lambda function itself. Use the following CLI command to attach a policy granting lambda: InvokeFunction permission:

The following are the parameters for the add-permission command:

--function-name

Name of the Lambda function whose resource policy you are updating by adding a new permission. --region

The AWS region of your account.

--principal

The principal who is getting the permission. This should be iot.amazonaws.com to allow AWS IoT permission to call a Lambda function.

--source-arn

The ARN of the rule. You can use the get-topic-rule CLI command to get the ARN of a rule.

--source-account

The AWS account where the rule is defined.

--statement-id

A unique statement identifier.

--action

The Lambda action you want to allow in this statement. In this case, we want to allow AWS IoT to invoke a Lambda function, so we specify lambda:InvokeFunction.

For more information, see Lambda Permission Model.

When creating a rule with a lambda action, you must specify the Lambda function to invoke when the rule is triggered.

The following JSON example shows a rule that calls a Lambda function:

For more information, see the AWS Lambda Developer Guide.

### S3 Action

A s3 action writes the data from the MQTT message that triggered the rule to an Amazon S3 bucket. When creating an AWS IoT rule with an s3 action, you must specify the following information:

#### bucket

The Amazon S3 bucket to which to write data.

key

The path to the file where the data is written. For example, if the value of this parameter is "\${topic()}/\${timestamp()}", the topic the message was sent to is "this/is/my/topic,", and the current timestamp is 1460685389 the data will be written to a file called "1460685389" in the "this/is/my/topic" folder on Amazon S3.

#### Note

Using a static key will result in a single file in Amazon S3 being overwritten for each invocation of the rule. More common use cases are to use the message timestamp or another unique message identifier, so that a new file will be saved in Amazon S3 for each message received.

```
roleArn
```

The IAM role that allows access to the Amazon S3 bucket.

#### Note

Make sure the role associated with the rule has a policy granting the s3:PutObject permission.

The following JSON example shows how to define an s3 action in an AWS IoT rule:

```
{
    "rule": {
        "sql": "SELECT * FROM 'some/topic'",
        "ruleDisabled": false,
        "actions": [{
            "s3": {
               "roleArn": "arn:aws:iam::123456789012:role/aws_iot_s3",
               "bucketName": "my-bucket",
               "key": "${topic()}/${timestamp()}"
        }
    }]
}
```

For more information, see the Amazon S3 Developer Guide.

### **SNS** Action

A sns action sends the data from the MQTT message that triggered the rule as an SNS push notification. When creating a rule with an sns action, you must specify the following information:

messageFormat

The message format. Accepted values are "JSON" and "RAW". The default value of the attribute is "RAW". SNS uses this setting to determine if the payload should be parsed and relevant platform-specific parts of the payload should be extracted.

roleArn

The IAM role that allows access to SNS.

targetArn

The SNS topic or individual device to which the push notification will be sent.

#### Note

Make sure the policy associated with the rule has the sns:Publish permission.

The following JSON example shows how to define an  ${\tt sns}$  action in an AWS IoT rule:

For more information, see the Amazon SNS Developer Guide.

### **Firehose Action**

A firehose action sends data from an MQTT message that triggered the rule to an Firehose stream. When creating a rule with a firehose action, you must specify the following information:

deliveryStreamName

The Firehose stream to which to write the message data.

roleArn

The IAM role that allows access to Firehose.

separator

A character separator that will be used to separate records written to the firehose stream. Valid values are: '\n' (newline), '\t' (tab), '\r\n' (Windows newline), ',' (comma).

#### Note

Make sure the role associated with the rule has a policy granting the firehose:PutRecord permission.

The following JSON example shows how to create an AWS IoT rule with a firehose action:

For more information, see the Firehose Developer Guide.

### **SQS** Action

A sqs action sends data from the MQTT message that triggered the rule to an SQS queue. When creating a rule with an sqs action, you must specify the following information:

queueUrl

The URL of the SQS queue to which to write the data.

useBase64

Set to true if you want the MQTT message data to be Base64-encoded before writing to the SQS queue; otherwise, set to false.

roleArn

The IAM role that allows access to the SQS queue.

#### Note

Make sure the role associated with the rule has a policy granting the  ${\tt sqs:SendMessage}$  permission.

The following JSON example shows how to create an AWS IoT rule with an  $_{\rm sqs}$  action:

For more information, see the Amazon SQS Developer Guide.

### **Republish Action**

The republish action allows you to republish the message that triggered the role to another MQTT topic. When creating a rule with a republish action, you must specify the following information:

topic

The MQTT topic to which to republish the message.

roleArn

The IAM role that allows publishing to the MQTT topic.

#### Note

Make sure the role associated with the rule has a policy granting the iot:Publish permission.

### **AWS IoT SQL Reference**

This reference focuses on the differences between ANSI SQL and AWS IoT SQL. If you are not familiar with ANSI SQL, see the W3Schools SQL Tutorial.

All rules include a SQL statement that consists of a SELECT clause and an optional WHERE clause. The SELECT clause allows you to extract one or more JSON objects or attributes from the MQTT message payload. The WHERE clause allows you to filter the JSON objects or attributes extracted by the SELECT clause.

All data processed by a SELECT query is assumed to be in JSON format unless certain functions are used within the query. If the data is in JSON format, it may contain either a single root object or a single root object with nested objects. For more information about functions applicable to non-JSON data, see SQL Functions (p. 128).

As with ANSI SQL, white space is insignificant and keywords are not case-sensitive. Strings and JSON properties are case-sensitive. In our examples, all keywords are capitalized following common practice for SQL.

### Expressions

Select, FROM, and WHERE clauses are all composed of expressions. The following expressions are allowed in AWS IoT.

Token	Meaning	Example
=	Equal, comparison	color = 'red'
<>	Not equal, compar- ison	color <> 'red'
AND	Logical AND	color = 'red' AND siren = 'on'
OR	Logical OR	color = 'red' OR siren = 'on'
()	Parenthesis, grouping	color = 'red' AND (siren = 'on' OR isTest)
\$	Dollar sign, used in reserved topic names. When spe- cifying a reserved topic name in a substitution tem- plate, the '\$' must be escaped using another '\$'	"\$\$aws/things/mything/shadow/update/accepted"
+	Addition, arithmetic	4 + 5
-	Subtraction, arith- metic	5 - 4
/	Division, arithmetic	20 / 4
*	Multiplication, arithmetic	5 * 4
%	Modulo division, arithmetic	20 % 6
<	Less than, compar- ison	5 < 6
<=	Less than or equal, comparison	5 <= 6
>	Greater than, com- parison	6 > 5

Token	Meaning	Example
>=	Greater than or equal, comparison	6 >= 5
Function call	A invocation of an SQL function	clientId()
A JSON extension expression	An expression that selects a specific value in a JSON document.	state.desired.color
CASE WHEN	Case statement	CASE location WHEN 'home'
THEN ELSE		THEN 'off' WHEN 'work'
END		THEN 'on' ELSE 'silent' END

### SELECT Clause

The AWS IoT SELECT clause is essentially the same as the ANSI SQL SELECT clause, with a few minor differences. The "AS" keyword is required with AWS IoT SELECT clauses unless you are using JSON extensions ('.' syntax), for example:

SELECT state.temperature FROM 'mydevices/device1'

For more information about JSON extensions, see JSON Extensions (p. 132).

Unlike querying relational databases, you can use SELECT \* without the concern of retrieving too much information. The information returned by the SELECT \* AS some\_name clause contains only the JSON payload of the MQTT message. The message can be returned without parsing, which possibly improves performance of the query. SELECT queries for a single object JSON document are of the form: SELECT <object> AS <object-name>. The <object> can be any object or attribute name in the JSON document. <object-name> provides a name for the result of the SELECT query. SELECT queries for JSON documents with nested objects are of the form: SELECT <object> where <object> is the root object, any level of nested objects, and an optional attribute. For example:

- object
- object.nestedObject
- object.nestedObject1.nestedObject2
- object.nestedObject1.nestedObject2.attribute

You can specify as many layers of nested objects as there are in the JSON document.

### **FROM Clause**

In ANSI SQL, you select data from tables. In AWS IoT SQL, you select data from JSON properties in MQTT messages.

The data source, which is the topic to which MQTT messages are sent, is specified using the MQTT() function, as shown in this example:

SELECT \* FROM mqtt('com.example/sensors/+')

However, the FROM clause assumes you are querying an MQTT message, so you can omit the call to the mqtt() function and specify only the topic name, as shown in the following example:

```
SELECT * FROM 'com.example/sensors'
```

### **WHERE Clause**

The WHERE clause filters the message data returned by the SELECT clause based on JSON attribute values. For example, suppose you have a topic filter from an MQTT topic, iot/thing/#. The following is an example JSON payload that could be published by a device:

```
{
    "deviceid" : "iot123",
    "temp" : 54.98,
    "humidity" : 32.43,
    "coords" : {
        "latitude" : 47.615694,
        "longitude" : -122.3359976
    }
}
```

You could use the following SQL statement in your rule to query the iot/thing/# topic and extract the sensor data when the temp field is above 50.

SELECT \* FROM 'iot/thing/#' WHERE temp > 50

### **Functions**

You can use the following built-in functions in the SELECT or WHERE clauses of your SQL expressions.

Function	Description	SQL Version
abs( <i>number</i> )	Returns the absolute value.	2015-10-08 and later.
accountId()	Returns the account ID of the MQTT client sending the message, or undefined if the message didn't come through MQTT.	2015-10-08 and later.
asin( <i>number</i> )	Returns the arcsine.	2015-10-08 and later.
atan(number)	Returns the arctangent.	2015-10-08 and later.
bitand(number1, number2)	Returns the result of a bitwise AND operation.	2015-10-08 and later.

Function	Description	SQL Version
cast(value as type)	Converts the value to the specified data type. Supported data types are: double Converts the value to a double. float Converts the value to a double. int Converts the value to an integer. integer Converts the value to an integer. ntext Converts the value to a string. num Converts the value to a string. num Converts the value to a double. nvarchar Converts the value to a string. string Converts the value to a string. text Converts the value to a string. text Converts the value to a string. varchar Converts the value to a string.	2015-10-08 and later.
ceil( <i>number</i> )	Returns the result of rounding up to the nearest integer.	2015-10-08 and later.
chr( <i>number</i> )	Returns the ASCII character represented by <i>number</i> .	2015-10-08 and later.
clientId()	Returns the client ID of the MQTT client sending the message, or undefined if the message didn't come through MQTT.	2015-10-08 and later.
concat(string1, string2)	Returns the concatenation of two strings.	2015-10-08 and later.
cos(number)	Returns the cosine.	2015-10-08 and later.
cosh( <i>number</i> )	Returns the hyperbolic cosine.	2015-10-08 and later.
encode( <i>value</i> , <i>encoding-</i> <i>Scheme</i> )	Encodes the value based on the provided encoding scheme. The function returns a string representation of the encoded payload.	2016-03-23-beta and later.
endswith(input, suffix)	Returns true if input ends with suffix.	2015-10-08 and later.
exp( <i>number</i> )	Returns e to the power of the specified number.	2015-10-08 and later.
floor( <i>number</i> )	Returns the result of rounding down to the nearest integer.	2015-10-08 and later.

Function	Description	SQL Version
get_thing_shadow( <i>thing-Name, roleArn</i>	Returns the thing shadow of the specified thing. thingName The name of the thing whose state you want to retrieve. roleArn A role with iot:GetThingShadow per- mission.	2016-03-23-beta and later.
In( <i>number</i> )	Returns the natural logarithm.	2015-10-08 and later.
log( <i>n</i> , <i>m</i> )	Returns the logarithm of <i>n</i> base <i>m</i> .	2015-10-08 and later.
lower(string)	Returns the result of converting all characters to lowercase.	2015-10-08 and later.
lpad(string, n)	Adds <i>n</i> spaces to the left side of <i>string</i> .	2015-10-08 and later.
ltrim(string)	Removes all white space from the left side of <i>string</i> .	2015-10-08 and later.
machinelearning_pre- dict( <i>modelld</i> , <i>roleArn</i> , <i>re-</i> <i>cord</i> )	Runs a prediction against the specified model, role ARN, and record.	2015-10-08 and later.
md2(string)	Returns the MD2 hash value.	2015-10-08 and later.
md5(string)	Returns the MD5 hash value.	2015-10-08 and later.
mod( <i>m</i> , <i>n</i> )	Returns the remainder of $m$ divided by $n$ .	2015-10-08 and later.
nanvl( <i>value, default</i> )	Returns <i>value</i> if it's non-null, and <i>default</i> otherwise.	2015-10-08 and later.
power( <i>m</i> , <i>n</i> )	Returns <i>m</i> raised to the <i>n</i> th power.	2015-10-08 and later.
remainder( <i>m</i> , <i>n</i> )	Returns the remainder of $m$ divided by $n$ .	2015-10-08 and later.
replace(source, substring, replacement)	Returns <i>source</i> with all occurrences of <i>sub-</i> <i>string</i> replaced by <i>replacement</i> .	2015-10-08 and later.
round( <i>number, precision</i> )	Returns the result of rounding <i>number</i> to <i>precision</i> decimal places. If <i>precision</i> is 0, the function rounds to the nearest whole number.	2015-10-08 and later.
rpad(string, n)	Adds <i>n</i> spaces to the right side of <i>string</i> . 2015-10-08 and later.	
rtrim(string)	Removes all white space from the right side of <i>string</i> .	2015-10-08 and later.
sign( <i>number</i> )	Returns a value indicating the sign of a num- ber. If number < 0, then -1. Else, if number = 0, then 0. Else, if number > 0, then 1.	2015-10-08 and later.
sin( <i>number</i> )	Returns the sine.	2015-10-08 and later.
sinh( <i>number</i> )	Returns the hyperbolic sine.	2015-10-08 and later.

Function	Description	SQL Version
sqrt( <i>number</i> )	Returns the square root.	2015-10-08 and later.
startswith(input, prefix)	Returns true if input starts with prefix.	2015-10-08 and later.
tan( <i>number</i> )	Returns the tangent.	2015-10-08 and later.
tanh( <i>number</i> )	Returns the hyperbolic tangent.	2015-10-08 and later.
traceId()	Returns the trace ID of the MQTT message, or undefined if the message didn't come through MQTT.	2015-10-08 and later.
topic( <i>number</i> )	Returns the specified topic segment. For ex- ample, if the topic is foo/bar, topic() returns "foo/bar", topic(1) returns "foo" and topic(2) returns "bar".	2015-10-08 and later.
trunc( <i>number</i> , precision)	Returns the result of truncating <i>number</i> to <i>precision</i> decimal places.	2015-10-08 and later.
upper(string)	Returns the result of converting all characters to uppercase.	2015-10-08 and later.
sha1(string)	Returns the SHA-1 hash value.	2015-10-08 and later.
sha224(string)	Returns the SHA-224 hash value.	2015-10-08 and later.
sha256(string)	Returns the SHA-256 hash value.	2015-10-08 and later.
sha512(string)	Returns the SHA-512 hash value.	2015-10-08 and later.
rand()	Returns a random number between 0 and 1.	2015-10-08 and later.
newuuid()	Returns a random 16-byte UUID.	2015-10-08 and later.
timestamp()	Returns the current Unix timestamp, as ob- served by the current server.	2015-10-08 and later.

# Making Predictions with Amazon Machine Learning in an AWS IoT Rule

Use the machinelearning\_predict function to make predictions using the data from an MQTT message based on an Amazon ML model. The parameters for the machinelearning\_predict function are:

#### modelld

The ID of the model to run the prediction against. The real-time endpoint of the model must be enabled.

roleArn

The IAM role that has a policy with machinelearning:Predict and machinelearning:GetMLModel permissions and allows access to the model against which the prediction is run.

record

The data to be passed into the Amazon Machine Learning Predict API. This should be represented as a single layer JSON object. If the record is a multi-level JSON object, the record will be flattened by serializing its values. For example, the following JSON:

```
{ "key1": {"innerKey1": "value1"}, "key2": 0}
```

would become:

```
{ "key1": "{\"innerKey1\": \"value1\"}", "key2": 0}
```

The function returns a JSON object with the following fields:

predictedLabel The classification of the input based on the model.
details
Contains the following attributes:
PredictiveModelType The model type. Valid values are REGRESSION, BINARY, MULTICLASS.
Algorithm The algorithm used by Amazon Machine Learning to make predictions. The value must be SGD.
predictedScores
Contains the raw classification score corresponding to each label.
predictedValue
The value predicted by Amazon Machine Learning

The value predicted by Amazon Machine Learning.

### **Encode the Payload Before Further Processing**

Use the encode function to encode the payload, which potentially might be non-JSON data, into its string representation based on the encoding scheme.

value

Any of the valid expressions, as defined in SQL Syntax (p. 126). In addition, you can specify \* to encode the entire payload, regardless of whether it's in JSON format. If you supply an expression, the result of the evaluation will first be converted to a string before it is encoded.

encodingScheme

A literal string representing the encoding scheme you want to use. Currently, only <code>'base64'</code> is supported.

### **JSON Extensions**

You can use the following extensions to ANSI SQL syntax to make it easier to work with nested JSON objects.

#### "." Operator

This operator accesses members in embedded JSON objects and functions identically to ANSI SQL and JavaScript.

#### \* Operator

This functions in the same way as the \* wildcard in ANSI SQL. It's used in the SELECT clause only and creates a new JSON object containing the message data. If the message payload is not in JSON format, \* returns the entire message payload as raw bytes.

#### Applying a Function to an Attribute Value

The following is an example JSON payload that could be published by a device:

```
{
    "deviceid" : "iot123",
    "temp" : 54.98,
    "humidity" : 32.43,
    "coords" : {
        "latitude" : 47.615694,
        "longitude" : -122.3359976
    }
```

}

{

}

{

}

}

The following example applies a function to an attribute value in a JSON payload:

SELECT temp, md5(deviceid) AS hashed\_id FROM topic/#

The result of this query is the following JSON object:

```
"temp": 54.98,
"hashed_id": "e37f81fb397e595c4aeb5645b8cbbbd1"
```

### Substitution Templates

You can use a substitution template to augment the JSON data returned when a rule is triggered and AWS IoT performs an action. The syntax for a substitution template is  $\{expression\}$ , where expression can be any expression supported by AWS IoT in SELECT or WHERE clauses. For more information about supported expressions, see Expressions (p. 126).

Substitution templates appear in the SELECT clause within a rule, for example:

```
"sql": "SELECT *, topic() AS topic FROM 'my/iot/topic'",
"ruleDisabled": false,
"actions": [{
    "republish": {
        "topic": "${topic()}",
        "roleArn": "arn:aws:iam::123456789012:role/my-iot-role"
    }
}]
```

If this rule is triggered by the following JSON:

```
{
    "deviceid" : "iot123",
    "temp" : 54.98,
    "humidity" : 32.43,
    "coords" : {
        "latitude" : 47.615694,
        "longitude" : -122.3359976
```

Here is the output of the rule:

{

}

```
"coords":{
    "longitude":-122.3359976,
    "latitude":47.615694
},
"humidity":32.43,
"temp":54.98,
"deviceid":"iot123",
"topic":"my/iot/topic"
```

## **Device Shadows for AWS IoT**

A *thing shadow* (sometimes referred to as a device shadow) is a JSON document that is used to store and retrieve current state information for a thing (device, app, and so on). The Thing Shadows service maintains a thing shadow for each thing you connect to AWS IoT. You can use thing shadows to get and set the state of a thing over MQTT or HTTP, regardless of whether the thing is connected to the Internet. Each thing shadow is uniquely identified by its name.

#### Contents

- Device Shadows Data Flow (p. 135)
- Device Shadows Documents (p. 141)
- Using Device Shadows (p. 144)
- Device Shadow RESTful API (p. 154)
- Device Shadow MQTT Topics (p. 156)
- Device Shadow Document Syntax (p. 162)
- Device Shadow Error Messages (p. 165)

### **Device Shadows Data Flow**

The Thing Shadows services acts as an intermediary, allowing devices and applications to retrieve and update thing shadows.

To illustrate how devices and applications communicate with the Thing Shadows service, this section walks you through the use of the AWS IoT MQTT client and the AWS CLI to simulate communication between an internet-connected light bulb, an application, and the Thing Shadows service.

The Thing Shadows service uses a number of MQTT topics to facilitate communication between applications and devices. To see how this works, use the AWS IoT MQTT client to subscribe to the following MQTT topics with QoS 1:

\$aws/things/myLightBulb/shadow/update/accepted

The Thing Shadows service sends messages to this topic when an update is successfully made to a thing shadow.

\$aws/things/myLightBulb/shadow/update/rejected

The Thing Shadows service sends messages to this topic when an update to a thing shadow is rejected.

\$aws/things/myLightBulb/shadow/update/delta

The Thing Shadows service sends messages to this topic when a difference is detected between the reported and desired sections of a thing shadow.

\$aws/things/myLightBulb/shadow/get/accepted

The Thing Shadows service sends messages to this topic when a request for a thing shadow is made successfully.

\$aws/things/myLightBulb/shadow/get/rejected

The Thing Shadows service sends messages to this topic when a request for a thing shadow is rejected.

\$aws/things/myLightBulb/shadow/delete/accepted

The Thing Shadows service sends messages to this topic when a thing shadow is deleted.

\$aws/things/myLightBulb/shadow/delete/rejected

The Thing Shadows service sends messages to this topic when a request to delete a thing shadow is rejected.

To learn more about all of the MQTT topics used by the Thing Shadows service, see Device Shadow MQTT Topics (p. 156).

#### Note

We recommend you subscribe to the  $\dots$ /rejected topics to see any errors sent by the Thing Shadows service.

When the light bulb comes online, it sends its current state to the Thing Shadows service by sending an MQTT message to the <code>\$aws/things/myLightBulb/shadow/update</code> topic.

To simulate this, use the AWS IoT MQTT client to publish the following message to the \$aws/things/myLightbulb/shadow/update topic:

```
{
    "state": {
        "reported": {
            "color": "red"
        }
    }
}
```

The Thing Shadows service responds by sending the following message to the \$aws/things/myLightBulb/shadow/update/accepted topic:

```
{
  "messageNumber": 4,
  "payload": {
    "state": {
      "reported": {
        "color": "red"
      }
    },
    "metadata": {
      "reported": {
        "color": {
           "timestamp": 1469564492
        }
      }
    },
    "version": 1,
```

```
"timestamp": 1469564492
},
"qos": 0,
"timestamp": 1469564492848,
"topic": "$aws/things/myLightBulb/shadow/update/accepted"
}
```

This message indicates the Thing Shadows service received the UPDATE request and updated the thing shadow. If the thing shadow doesn't exist, it is created. Otherwise, the thing shadow is updated with the data in the message. If you don't see a message published to

\$aws/things/myLightBulb/shadow/update/accepted, check the subscription to
\$aws/things/myLightBulb/shadow/update/rejected to see any error messages.

An application that interacts with the light bulb comes online and requests the light bulb's current state. The application sends an empty message to the <code>\$aws/things/myLightBulb/shadow/get</code> topic. To simulate this, use the AWS IoT MQTT client to publish an empty message ("") to the <code>\$aws/things/myLightBulb/shadow/get</code> topic.

The Thing Shadows service responds by publishing the requested thing shadow to the \$aws/things/myLightBulb/shadow/get/accepted topic:

```
{
  "messageNumber": 1,
  "payload": {
    "state": {
      "reported": {
        "color": "red"
      }
    },
    "metadata": {
      "reported": {
        "color": {
          "timestamp": 1469564492
        }
      }
   },
    "version": 1,
    "timestamp": 1469564571
  },
  "qos": 0,
  "timestamp": 1469564571533,
  "topic": "$aws/things/myLightBulb/shadow/get/accepted"
}
```

If you don't see a message on the <code>\$aws/things/myLightBulb/shadow/get/accepted</code> topic, check the <code>\$aws/things/myLightBulb/shadow/get/rejected</code> topic for any error messages.

The application displays this information to the user, and the user requests a change to the light bulb's color (from red to green). To do this, the application publishes a message on the \$aws/things/myLightBulb/shadow/update topic:

```
{
    "state": {
        "desired": {
            "color": "green"
        }
```

}

}

The Thing Shadows service responds by sending a message to the \$aws/things/myLightBulb/shadow/update/accepted topic:

```
{
  "messageNumber": 5,
  "payload": {
    "state": {
      "desired": {
        "color": "green"
      }
    },
    "metadata": {
      "desired": {
        "color": {
          "timestamp": 1469564658
        }
      }
    },
    "version": 2,
    "timestamp": 1469564658
  },
  "qos": 0,
  "timestamp": 1469564658286,
  "topic": "$aws/things/myLightBulb/shadow/update/accepted"
}
```

and to the \$aws/things/myLightBulb/shadow/update/delta topic:

```
{
  "messageNumber": 1,
  "payload": {
   "version": 2,
    "timestamp": 1469564658,
    "state": {
      "color": "green"
    },
    "metadata": {
      "color": {
        "timestamp": 1469564658
      }
    }
  },
  "qos": 0,
  "timestamp": 1469564658309,
  "topic": "$aws/things/myLightBulb/shadow/update/delta"
}
```

The light bulb is subscribed to the <code>\$aws/things/myLightBulb/shadow/update/delta</code> topic, so it receives the message, changes its color, and publishes its new state. To simulate this, use the AWS IoT

MQTT client to publish the following message to the  $\max/\myLightbulb/\$ 

```
{
    "state":{
        "reported":{
            "color":"green"
        },
        "desired":null}
    }
}
```

In response, the Thing Shadows service sends a message to the \$aws/things/myLightBulb/shadow/update/accepted topic:

```
{
  "messageNumber": 6,
  "payload": {
    "state": {
      "reported": {
        "color": "green"
      },
      "desired": null
    },
    "metadata": {
      "reported": {
        "color": {
          "timestamp": 1469564801
        }
      },
      "desired": {
        "timestamp": 1469564801
      }
    },
    "version": 3,
    "timestamp": 1469564801
  },
  "qos": 0,
  "timestamp": 1469564801673,
  "topic": "$aws/things/myLightBulb/shadow/update/accepted"
}
```

The app requests the current state from the Thing Shadows service and displays the most recent state data. To simulate this, run the following command:

aws iot-data get-thing-shadow --thing-name "myLightBulb" "output.txt" && cat "output.txt"

#### Note

On Windows, omit the && cat "output.txt", which displays the contents of output.txt to the console. You can open the file in Notepad or any text editor to see the contents of the thing shadow.

The Thing Shadows service returns the thing shadow document:

If you want to determine if a device is currently connected, include a connected setting in the thing shadow and use an MQTT Last Will and Testament (LWT) message that will set the connected setting to false if a device is disconnected due to error.

#### Note

Currently, LWT messages sent to AWS IoT reserved topics (topics that begin with \$) are ignored. To work around this issue, register an LWT message to a non-reserved topic and create a rule that republishes the message on the reserved topic. The following example shows how to create a republish rule that listens for a messages from the my/things/myLightBulb/update topic and republishes it to aws/things/myLightBulb/shadow/update.

```
{
    "rule": {
    "ruleDisabled": false,
    "sql": "SELECT * FROM 'my/things/myLightBulb/update'",
    "description": "Turn my/things/ into $aws/things/",
    "actions": [{
        "republish": {
            "topic": "$$aws/things/myLightBulb/shadow/update",
            "topic": "$$aws/things/myLightBulb/shadow/update",
            "roleArn": "arn:aws:iam::123456789012:role/aws_iot_republish"
        }
    }
}
```

When a device connects, it registers an LWT that sets the connected setting to false:

```
{
    "reported":
    {
        "connected":"false"
    }
}
```

It also publishes a message on its update topic (saws/things/myLightBulb/shadow/update), setting its connected state to true:

```
{
    "reported":
    {
        "connected":"true"
    }
}
```

When the device disconnects gracefully, it publishes a message on its update topic and sets its connected state to false:

```
{
    "reported":
    {
        "connected":"false"
    }
}
```

If the device disconnects due to an error, its LWT message is posted automatically to the update topic.

To delete the thing shadow, publish an empty message to the \$aws/things/myLightBulb/shadow/delete topic. AWS loT will respond by publishing a message
to the \$aws/things/myLightBulb/shadow/delete/accepted topic:

```
{
  "messageNumber": 2,
  "payload": {
    "version": 3,
    "timestamp": 1469564968
  },
    "gos": 0,
    "timestamp": 1469564968492,
    "topic": "$aws/things/myLightBulb/shadow/delete/accepted"
}
```

# **Device Shadows Documents**

The Thing Shadows service respects all rules of the JSON specification. Values, objects, and arrays are stored in the thing shadow document.

#### Contents

- Document Properties (p. 141)
- Versioning of a Thing Shadow (p. 142)
- Client Token (p. 142)
- Example Document (p. 142)
- Empty Sections (p. 143)
- Arrays (p. 144)

#### **Document Properties**

A thing shadow document has the following properties:

#### state

#### desired

The desired state of the thing. Applications can write to this portion of the document to update the state of a thing without having to directly connect to a thing.

#### reported

The reported state of the thing. Things write to this portion of the document to report their new state. Applications read this portion of the document to determine the state of a thing.

metadata

Information about the data stored in the state section of the document. This includes timestamps, in Epoch time, for each attribute in the state section, which enables you to determine when they were updated.

timestamp

Indicates when the message was transmitted by AWS IoT. By using the timestamp in the message and the timestamps for individual attributes in the desired or reported section, a thing can determine how old an updated item is, even if it doesn't feature an internal clock.

clientToken

A string unique to the device that enables you to associate responses with requests in an MQTT environment.

version

The document version. Every time the document is updated, this version number is incremented. Used to ensure the version of the document being updated is the most recent.

For more information, see Device Shadow Document Syntax (p. 162).

### Versioning of a Thing Shadow

The Thing Shadows service supports versioning on every update message (both request and response), which means that with every update of a thing shadow, the version of the JSON document is incremented. This ensures two things:

- A client can receive an error if it attempts to overwrite a shadow using an older version number. The client is informed it must resync before it can update a thing shadow.
- A client can decide not to act on a received message if the message has a lower version than the version stored by the client.

In some cases, a client might bypass version matching by not submitting a version.

### **Client Token**

You can use a client token with MQTT-based messaging to verify the same client token is contained in a request and request response. This ensures the response and request are associated.

#### **Example Document**

Here is an example thing shadow document:

```
{
    "state" : {
        "desired" : {
            "color" : "RED",
            "sequence" : [ "RED", "GREEN", "BLUE" ]
        },
```

```
"reported" : {
      "color" : "GREEN"
},
"metadata" : {
    "desired" : {
        "color" : {
            "timestamp" : 12345
        },
        "sequence" : {
            "timestamp" : 12345
        }
    },
    "reported" : {
        "color" : {
             "timestamp" : 12345
        }
    }
},
"version" : 10,
"clientToken" : "UniqueClientToken",
"timestamp": 123456789
```

### **Empty Sections**

}

{

}

A thing shadow document contains a desired section only if it has a desired state. For example, the following is a valid state document with no desired section:

```
"reported" : {    "temp": 55    }
```

The reported section can also be empty:

```
{
    "desired" : { "color" : "RED" }
}
```

If an update causes the desired or reported sections to become null, the section is removed from the document. To remove the desired section from a document (in response, for example, to a device updating its state), set the desired section to null:

```
{
    "state": {
        "reported": {
            "color": "red"
        },
        "desired": null
    }
}
```

It is also possible a thing shadow document will not contain desired or reported sections. In that case, the shadow document is empty. For example, this is a valid document:

### Arrays

}

Thing shadows support arrays, but treat them as normal values in that an update to an array replaces the whole array. It is not possible to update part of an array.

Initial state:

```
{
    "desired" : { "colors" : ["RED", "GREEN", "BLUE" ] }
}
```

Update:

```
{
    "desired" : { "colors" : ["RED"] }
}
```

Final state:

```
{
    "desired" : { "colors" : ["RED"] }
}
```

Arrays can't have null values. For example, the following array is not valid and will be rejected.

```
{
    "desired" : {
        "colors" : [ null, "RED", "GREEN" ]
    }
```

# **Using Device Shadows**

AWS IoT provides three methods for working with thing shadows:

#### UPDATE

}

Creates a thing shadow if it doesn't exist, or updates the content of a thing shadow with the data provided in the request. The data is stored with timestamp information to indicate when it was last updated. Messages are sent to all subscribers with the difference between desired or reported state (delta). Things or apps that receive a message can perform an action based on the difference between desired or reported states. For example, a device can update its state to the desired state, or an app can update its UI to show the change in the device's state.

GET

Retrieves the latest state stored in the thing shadow (for example, during startup of a device to retrieve configuration and the last state of operation). This method returns the full JSON document, including metadata.

DELETE

Deletes a thing shadow, including all of its content. This removes the JSON document from the data store. You can't restore a thing shadow you deleted, but you can create a new thing shadow with the same name.

### **Protocol Support**

These methods are supported through both MQTT and a RESTful API over HTTPS. Because MQTT is a publish/subscribe communication model, AWS IoT implements a set of reserved topics. Things or applications subscribe to these topics before publishing on a request topic in order to implement a request–response behavior. For more information, see Device Shadow MQTT Topics (p. 156) and Device Shadow RESTful API (p. 154).

# **Updating a Thing Shadow**

You can update a thing shadow by using the UpdateThingShadow (p. 155) RESTful API or by publishing to the /update (p. 157) topic. Updates affect only the fields specified in the request.

Initial state:

```
{
    "state": {
        "reported" : {
            "color" : { "r" :255, "g": 255, "b": 0 }
        }
    }
}
```

An update message is sent:

```
{
    "state": {
        "desired" : {
            "color" : { "r" : 10 },
            "engine" : "ON"
        }
    }
}
```

The device receives the desired state on the /update/delta topic that is triggered by the previous /update message and then executes the desired changes. When finished, the device should confirm its updated state through the reported section in the thing shadow JSON document.

Final state:

```
{
    "state": {
        "reported" : {
            "color" : { "r" : 10, "g" : 255, "b": 0 },
            "engine" : "ON"
        }
    }
}
```

# **Retrieving a Thing Shadow Document**

You can retrieve a thing shadow by using the GetThingShadow (p. 154) RESTful API or by subscribing and publishing to the /get (p. 159) topic. This retrieves the entire document plus the delta between the desired or reported states.

Example document:

```
{
    "state": {
        "desired": {
            "lights": {
                 "color": "RED"
            },
             "engine": "ON"
        },
        "reported": {
            "lights": {
                 "color": "GREEN"
            },
             "engine": "ON"
        }
    },
    "metadata": {
        "desired": {
            "lights": {
                 "color": {
                     "timestamp": 123456
                 },
                 "engine": {
                     "timestamp": 123456
                 }
            }
        },
        "reported": {
            "lights": {
                 "color": {
                     "timestamp": 789012
                 }
            },
             "engine": {
                 "timestamp": 789012
             }
        },
        "version": 10,
        "timestamp": 123456789
    }
}
```

Response:

```
{
    "state": {
        "desired": {
            "lights": {
                "color": "RED"
                "color": "RED"
                "RED"
```

```
},
             "engine": "ON"
        },
        "reported": {
            "lights": {
                 "color": "GREEN"
            },
            "engine": "ON"
        },
        "delta": {
            "lights": {
                 "color": "RED"
            }
        }
    },
    "metadata": {
        "desired": {
            "lights": {
                 "color": {
                     "timestamp": 123456
                 },
                 "engine": {
                     "timestamp": 123456
                 }
            },
             "reported": {
                 "lights": {
                     "color": {
                         "timestamp": 789012
                     }
                },
                 "engine": {
                     "timestamp": 789012
                 }
            },
             "delta": {
                 "lights": {
                     "color": {
                         "timestamp": 123456
                     }
                 }
            }
        },
        "version": 10,
        "timestamp": 123456789
    }
}
```

#### **Optimistic Locking**

You can use the state document version to ensure you are updating the most recent version of a thing shadow document. When you supply a version with an update request, the service rejects the request with an HTTP 409 conflict response code if the current version of the state document does not match the version supplied.

For example:

Initial document:

```
{
    "state" : {
        "desired" : { "colors" : ["RED", "GREEN", "BLUE" ] }
    },
    "version" : 10
}
```

Update: (version doesn't match; request will be rejected)

```
{
    "state": {
        "desired": {
            "colors": [
            "BLUE"
        ]
      }
    },
    "version": 9
}
```

Result:

409 Conflict

Update: (version matches; this request will be accepted)

```
{
    "state": {
        "desired": {
            "colors": [
               "BLUE"
        ]
        }
    },
    "version": 10
}
```

Final state:

```
{
    "state": {
        "desired": {
            "colors": [
            "BLUE"
        ]
        }
    },
    "version": 11
}
```

# **Deleting Data**

You can delete data from a thing shadow by publishing to the /update (p. 157) topic, setting the fields to be deleted to null. Any field with a value of null is removed from the document.

Initial state:

```
{
    "state": {
        "desired" : {
            "lights": { "color": "RED" },
            "engine" : "ON"
        },
        "reported" : {
            "lights" : { "color": "GREEN" },
            "engine" : "OFF"
        }
    }
}
```

An update message is sent:

```
{
    "state": {
        "desired": null,
        "reported": {
            "engine": null
        }
    }
}
```

Final state:

```
{
    "state": {
        "reported" : {
            "lights" : { "color" : "GREEN" }
        }
}
```

You can delete all data from a thing shadow by setting its state to null. For example, sending the following message will delete all of the state data, but the thing shadow will remain.

```
"state": null
```

{

}

The thing shadow still exists even if its state is null. The version of the thing shadow will be incremented when the next update occurs.

# **Deleting a Thing Shadow**

You can delete a thing shadow document by using the DeleteThingShadow (p. 156) RESTful API or by publishing to the /delete (p. 161) topic.

Initial state:

```
{
    "state": {
        "desired" : {
            "lights": { "color": "RED" },
            "engine" : "ON"
        },
        "reported" : {
            "lights" : { "color": "GREEN" },
            "engine" : "OFF"
        }
    }
}
```

A message is sent to the /delete topic.

Final state:

HTTP 404 - resource not found

### **Delta State**

Delta state is a virtual type of state that contains the difference between the desired and reported states. Fields in the desired section that are not in the reported section are included in the delta. Fields that are in the reported section and not in the desired section are not included in the delta. The delta contains metadata, and its values are equal to the metadata in the desired field. For example:

```
{
    "state": {
        "desired": {
            "color": "RED",
            "state": "STOP"
        },
        "reported": {
            "color": "GREEN",
            "engine": "ON"
        },
        "delta": {
            "color": "RED",
            "state": "STOP"
        }
    },
    "metadata": {
        "desired": {
            "color": {
                "timestamp": 12345
            },
            "state": {
```

```
"timestamp": 12345
            },
            "reported": {
                "color": {
                    "timestamp": 12345
                },
                "engine": {
                    "timestamp": 12345
                }
            },
            "delta": {
                "color": {
                    "timestamp": 12345
                },
                "state": {
                    "timestamp": 12345
                }
            }
        },
        "version": 17,
        "timestamp": 123456789
    }
}
```

When nested objects differ, the delta contains the path all the way to the root.

```
{
    "state": {
        "desired": {
            "lights": {
                 "color": {
                     "r": 255,
                     "g": 255,
                     "b": 255
                 }
            }
        },
        "reported": {
            "lights": {
                 "color": {
                     "r": 255,
                     "g": 0,
                     "b": 255
                 }
            }
        },
        "delta": {
            "lights": {
                 "color": {
                     "g": 255
                 }
            }
        }
    },
    "version": 18,
    "timestamp": 123456789
}
```

The Thing Shadows service calculates the delta by iterating through each field in the desired state and comparing it to the reported state.

Arrays are treated like values. If an array in the desired section doesn't match the array in the reported section, then the entire desired array is copied into the delta.

### **Observing State Changes**

When a thing shadow is updated, messages are published on two MQTT topics:

- \$aws/things/thing-name/shadow/update/accepted
- \$aws/things/thing-name/shadow/update/delta

The message sent to the update/delta topic is intended for the thing whose state is being updated. This message contains only the difference between the desired and reported sections of the thing shadow document. Upon receiving this message, the thing decides whether to make the requested change. If the thing's state is changed, it publishes its new current state to the <code>\$aws/things/thing-name/shadow/update topic</code>.

Devices and applications can subscribe to either of these topics to be notified when the state of the document has changed.

Here is an example of that flow:

- 1. Device reports state.
- 2. The system updates the state document in its persistent data store.
- 3. The system publishes a delta message, which contains only the delta and is targeted at the subscribed devices. Devices should subscribe to this topic to receive updates.
- 4. The thing shadow publishes an accepted message, which contains the entire received document, including metadata. Applications should subscribe to this topic to receive updates.

#### **Message Order**

There is no guarantee that messages from the AWS IoT service will arrive at the device in any specific order.

Initial state document:

```
{
    "state" : {
        "reported" : { "color" : "blue" }
    },
    "version" : 10,
    "timestamp": 123456777
}
```

Update 1:

{

}

```
"state": { "desired" : { "color" : "RED" } },
"version": 10,
"timestamp": 123456777
```

Update 2:

{

}

```
{
   "state": { "desired" : { "color" : "GREEN" } },
   "version": 11 ,
   "timestamp": 123456778
}
```

Final state document:

```
{
    "state": {
        "reported": { "color" : "GREEN" }
    },
    "version": 12,
    "timestamp": 123456779
}
```

This results in two delta messages:

```
{
    "state": {
        "color": "RED"
    },
    "version": 11,
    "timestamp": 123456778
}
```

```
"state": { "color" : "GREEN" },
"version": 12,
"timestamp": 123456779
```

The device might receive these messages out of order. Because the state in these messages is cumulative, a device can safely discard any messages that contain a version number older than the one it is tracking. If the device receives the delta for version 12 before version 11, it can safely discard the version 11 message.

### **Trim Device Shadow Messages**

To reduce the size of thing shadow messages sent to your device, define a rule that selects only the fields your device needs and republishes the message on an MQTT topic to which your device is listening.

The rule is specified in JSON and should look like the following:

} }] }

The SELECT statement determines which fields from the message will be republished to the specified topic. A "+" wildcard is used to match all thing shadow names. The rule specifies that all matching messages should be republished to the specified topic. In this case, the "topic()" function is used to specify the topic on which to republish. topic(2) evaluates to the thing name in the original topic. For more information about creating rules, see Rules.

# **Device Shadow RESTful API**

A thing shadow exposes the following URI for updating state information:

https://endpoint/things/thingName/shadow

The endpoint is specific to your AWS account. To retrieve your endpoint, use the describe-endpoint command. The format of the endpoint is as follows:

identifier.iot.region.amazonaws.com

#### **API Actions**

- GetThingShadow (p. 154)
- UpdateThingShadow (p. 155)
- DeleteThingShadow (p. 156)

### GetThingShadow

Gets the thing shadow for the specified thing.

The response state document includes the delta between the desired and reported states.

#### Request

The request includes the standard HTTP headers plus the following URI:

HTTP GET https://endpoint/things/thingName/shadow

#### Response

Upon success, the response includes the standard HTTP headers plus the following code and body:

```
HTTP 200
BODY: response state document
```

For more information, see Example Response State Document (p. 163).

#### Authorization

Retrieving a thing shadow requires a policy that allows the caller to perform the iot:GetThingShadow action. The Thing Shadows service accepts two forms of authentication: Signature Version 4 with IAM credentials or TLS mutual authentication with a client certificate.

The following is an example policy that allows a caller to retrieve a thing shadow:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": "iot:GetThingShadow",
        "Resource": ["arn:aws:iot:region:account:thing/thing"]
    }]
```

### **UpdateThingShadow**

Updates the thing shadow for the specified thing.

Updates affect only the fields specified in the request state document. Any field with a value of null is removed from the thing shadow.

#### Request

}

The request includes the standard HTTP headers plus the following URI and body:

```
HTTP POST https://endpoint/things/thingName/shadow
BODY: request state document
```

For more information, see Example Request State Document (p. 163).

#### Response

Upon success, the response includes the standard HTTP headers plus the following code and body:

```
HTTP 200
BODY: response state document
```

For more information, see Example Response State Document (p. 163).

#### Authorization

Updating a thing shadow requires a policy that allows the caller to perform the iot:UpdateThingShadow action. The Thing Shadows service accepts two forms of authentication: ignature ersion 4 with IAM credentials or TLS mutual authentication with a client certificate.

The following is an example policy that allows a caller to update a thing shadow:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": "iot:UpdateThingShadow",
        "Resource": ["arn:aws:iot:region:account:thing/thing"]
```

### }]

}

### DeleteThingShadow

Deletes the thing shadow for the specified thing.

#### Request

The request includes the standard HTTP headers plus the following URI:

HTTP DELETE https://endpoint/things/thingName/shadow

#### Response

Upon success, the response includes the standard HTTP headers plus the following code and body:

```
HTTP 200
BODY: Empty response state document
```

#### Authorization

Deleting a thing shadow requires a policy that allows the caller to perform the iot:DeleteThingShadow action. The Thing Shadows service accepts two forms of authentication: gnature Version 4 with IAM credentials or TLS mutual authentication with a client certificate.

The following is an example policy that allows a caller to delete a thing shadow:

```
{
   "Version": "2012-10-17",
   "Statement": [{
        "Effect": "Allow",
        "Action": "iot:DeleteThingShadow",
        "Resource": ["arn:aws:iot:region:account:thing/thing"]
   }]
}
```

# **Device Shadow MQTT Topics**

The Thing Shadows service uses reserved MQTT topics to enable applications and things to get, update, or delete the state information for a thing (thing shadow). The names of these topics start with \$aws/things/thingName/shadow. Publishing and subscribing on thing shadow topics requires topic-based authorization. AWS IoT reserves the right to add new topics to the existing topic structure. For this reason, we recommend that you avoid wildcard subscriptions to shadow topics. For example, avoid subscribing to topic filters like \$aws/things/thingName/shadow/# because the number of topics that match this topic filter might increase as AWS IoT introduces new shadow topics.

The following are the MQTT topics used for interacting with thing shadows.

#### Topics

- /update (p. 157)
- /update/accepted (p. 157)

- /update/documents (p. 158)
- /update/rejected (p. 158)
- /update/delta (p. 159)
- /get (p. 159)
- /get/accepted (p. 160)
- /get/rejected (p. 160)
- /delete (p. 161)
- /delete/accepted (p. 161)
- /delete/rejected (p. 162)

# /update

A thing publishes a request state document to this topic to update the thing shadow:

\$aws/things/thingName/shadow/update

AWS IoT responds by publishing to either /update/accepted (p. 157) or /update/rejected (p. 158).

For more information, see Request State Documents (p. 163).

#### **Example Policy**

The following is an example policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": ["iot:Publish"],
        "Resource": ["arn:aws:iot:region:account:topic/$aws/things/thingName/shad
ow/update"]
        }]
}
```

### /update/accepted

AWS IoT publishes a response state document to this topic when it accepts a change for the thing shadow:

\$aws/things/thingName/shadow/update/accepted

For more information, see Response State Documents (p. 163).

#### **Example Policy**

{

The following is an example of the required policy:

```
"Version": "2012-10-17",
"Statement": [{
"Effect": "Allow",
```

```
"Action": [
    "iot:Subscribe",
    "iot:Receive"
    ],
    "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/update/accepted"]
    }]
}
```

### /update/documents

AWS IoT publishes a state document to this topic whenever an update to the shadow is successfully performed:

```
$aws/things/thingName/shadow/update/documents
```

The JSON document will contain two primary nodes: previous and current. The previous node will contain the contents of the full shadow document before the update was performed while current will contain the full shadow document after the update is successfully applied. When the device shadow is updated (created) for the first time, the previous node will contain null.

#### **Example Policy**

The following is an example of the required policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/update/documents"]
     }]
}
```

# /update/rejected

AWS IoT publishes an error response document to this topic when it rejects a change for the thing shadow:

\$aws/things/thingName/shadow/update/rejected

For more information, see Error Response Documents (p. 164).

#### **Example Policy**

The following is an example of the required policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/update/rejected"]
     }]
}
```

# /update/delta

AWS IoT publishes a response state document to this topic when it accepts a change for the thing shadow and the request state document contains different values for desired and reported states:

```
$aws/things/thingName/shadow/update/delta
```

For more information, see Response State Documents (p. 163).

#### **Publishing Details**

- A message published on update/delta includes only the desired attributes that differ between the desired and reported sections. It contains all of these attributes, regardless of whether these attributes were contained in the current update message or were already stored in AWS IoT. Attributes that do not differ between the desired and reported sections are not included.
- If an attribute is in the reported section but has no equivalent in the desired section, it is not included.
- If an attribute is in the desired section but has no equivalent in the reported section, it is not included.
- If an attribute is deleted from the reported section but still exists in the desired section, it is included.

#### **Example Policy**

The following is an example of the required policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/update/delta"]
        }]
}
```

### /get

A thing publishes to this topic to get the thing shadow:

\$aws/things/thingName/shadow/get

AWS IoT responds by publishing to either /get/accepted (p. 160) or /get/rejected (p. 160).

#### **Example Policy**

The following is an example of the required policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Publish"
        ],
        "Resource": ["arn:aws:iot:region:account:topic/$aws/things/thingName/shad
ow/get"]
        }]
}
```

# /get/accepted

AWS IoT publishes a response state document to this topic when returning the thing shadow:

\$aws/things/thingName/shadow/get/accepted

For more information, see Response State Documents (p. 163).

#### **Example Policy**

The following is an example of the required policy:

```
{
   "Version": "2012-10-17",
   "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/get/accepted"]
        }]
}
```

### /get/rejected

AWS IoT publishes an error response document to this topic when it can't return the thing shadow:

```
$aws/things/thingName/shadow/get/rejected
```

For more information, see Error Response Documents (p. 164).

#### **Example Policy**

The following is an example of the required policy:

```
{
   "Version": "2012-10-17",
   "Statement": [{
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/get/rejected"]
      }]
}
```

### /delete

A thing publishes a document to this topic to delete a thing shadow:

\$aws/things/thingName/shadow/delete

To delete a thing shadow, send a message to the delete topic. The content of the message is ignored.

AWS IoT responds by publishing to either /delete/accepted (p. 161) or /delete/rejected (p. 162).

#### **Example Policy**

The following is an example policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topic filter/$aws/things/thing
Name/shadow/delete"]
        }]
}
```

### /delete/accepted

AWS IoT publishes a message to this topic when deleting a thing shadow:

\$aws/things/thingName/shadow/delete/accepted

#### **Example Policy**

The following is an example of the required policy:

```
{
    "Version": "2012-10-17",
    "Statement": [{
        "Effect": "Allow",
        "Action": [
            "iot:Subscribe",
            "iot:Receive"
        ],
        "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/delete/accepted"]
     }]
}
```

# /delete/rejected

AWS IoT publishes an error response document to this topic when it can't delete the thing shadow:

```
$aws/things/thingName/shadow/delete/rejected
```

For more information, see Error Response Documents (p. 164).

#### **Example Policy**

The following is an example of the required policy:

```
{
   "Version": "2012-10-17",
   "Statement": [{
      "Effect": "Allow",
      "Action": [
         "iot:Subscribe",
         "iot:Receive"
      ],
      "Resource": ["arn:aws:iot:region:account:topicfilter/$aws/things/thing
Name/shadow/delete/rejected"]
    }]
}
```

# **Device Shadow Document Syntax**

The Thing Shadows service uses the following documents in UPDATE, GET, and DELETE operations using the RESTful API (p. 154) or MQTT Pub/Sub Messages (p. 156). For more information, see Device Shadows Documents (p. 141).

#### Examples

- Request State Documents (p. 163)
- Response State Documents (p. 163)
- Error Response Documents (p. 164)

### **Request State Documents**

Request state documents have the following format:

```
{
    "state": {
        "desired": {
            "attribute1": integer2,
             "attribute2": "string2",
            . . .
             "attributeN": boolean2
        },
        "reported": {
            "attribute1": integer1,
            "attribute2": "string1",
             . . .
            "attributeN": boolean1
        }
    }
    "clientToken": "token",
    "version": version
}
```

- state Updates affect only the fields specified.
- clientToken If used, you can verify that the request and response contain the same client token.
- version If used, the Thing Shadows service processes the update only if the specified version matches the latest version it has.

### **Response State Documents**

Response state documents have the following format:

```
{
    "state": {
        "desired": {
            "attribute1": integer2,
            "attribute2": "string2",
            . . .
            "attributeN": boolean2
        },
        "reported": {
            "attribute1": integer1,
            "attribute2": "string1",
            . . .
            "attributeN": boolean1
        },
        "delta": {
            "attribute3": integerX,
            "attribute5": "stringY"
        }
    },
    "metadata": {
        "desired": {
            "attribute1": {
```

```
"timestamp": timestamp
            },
             "attribute2": {
                 "timestamp": timestamp
            },
             . . .
             "attributeN": {
                 "timestamp": timestamp
             }
        },
        "reported": {
             "attribute1": {
                 "timestamp": timestamp
            },
             "attribute2": {
                 "timestamp": timestamp
            },
             . . .
             "attributeN": {
                 "timestamp": timestamp
            }
        }
    },
    "timestamp": timestamp,
    "clientToken": "token",
    "version": version
}
```

• state

{

}

- reported Only present if a thing reported any data in the reported section and contains only fields that were in the request state document.
- desired Only present if a thing reported any data in the desired section and contains only fields that were in the request state document.
- metadata Contains the timestamps for each attribute in the desired and reported sections so that you can determine when the state was updated.
- timestamp The Epoch date and time the response was generated by AWS IoT.
- clientToken Present only if a client token was used when publishing valid JSON to the /update topic.
- version The current version of the document for the thing shadow shared in AWS IoT. It is increased by one over the previous version of the document.

#### **Error Response Documents**

Error response documents have the following format:

```
"code": error-code,
"message": "error-message",
"timestamp": timestamp,
"clientToken": "token"
```

• code — An HTTP response code that indicates the type of error.

- message A text message that provides additional information.
- timestamp The date and time the response was generated by AWS IoT.
- clientToken Present only if a client token was used when publishing valid JSON to the /update topic.

For more information, see Device Shadow Error Messages (p. 165).

# **Device Shadow Error Messages**

The Thing Shadows service publishes a message on the error topic (over MQTT) when an attempt to change the state document fails. This message is only emitted as a response to a publish request on one of the reserved \$aws topics. If the client updates the document using the REST API, then it receives the HTTP error code as part of its response, and no MQTT error messages are emitted.

HTTP Error Code	Error Messages
400 (Bad Request)	<ul> <li>Invalid JSON</li> <li>Missing required node: state</li> <li>State node must be an object</li> <li>Desired node must be an object</li> <li>Reported node must be an object</li> <li>Invalid version</li> <li>Invalid clientToken</li> <li>JSON contains too many levels of nesting; maximum is 6</li> <li>State contains an invalid node</li> </ul>
401 (Unauthorized)	Unauthorized
403 (Forbidden)	• Forbidden
404 (Not Found)	Thing not found
409 (Conflict)	Version conflict
413 (Payload Too Large)	The payload exceeds the maximum size allowed
415 (Unsupported Media Type)	Unsupported documented encoding; supported encoding is UTF-8
429 (Too Many Requests)	• The Thing Shadow service will generate this error message when there are more than 10 in-flight requests.

HTTP Error Code	Error Messages
500 (Internal Server Error)	Internal service failure

# **AWS IoT SDKs**

#### Contents

- Android SDK (p. 167)
- Arduino Yún SDK (p. 167)
- AWS IoT Embedded C SDK (p. 168)
- AWS Mobile SDK for iOS (p. 168)
- AWS IoT Java SDK (p. 168)
- AWS IoT JavaScript SDK (p. 168)
- AWS IoT Device SDK for Python (p. 169)
- Getting Started with AWS IoT on the Raspberry Pi and the AWS IoT Embedded C SDK (p. 169)
- Getting Started with AWS IoT on Raspberry Pi and the AWS IoT Device SDK for JavaScript (p. 180)

The AWS IoT device SDKs help you to easily and quickly connect your device to AWS IoT. The AWS IoT Device SDKs include open source libraries, developer guides with samples, and porting guides so that you can build innovative IoT products or solutions on your choice of hardware platforms.

# **Android SDK**

The AWS SDK for Android contains a library, samples, and documentation for developers to build connected mobile applications using AWS. This SDK also includes support for calling AWS IoT APIs. For more information, see the following:

- AWS Android SDK on GitHub
- AWS Android SDK Readme
- AWS Android SDK Samples

# Arduino Yún SDK

The AWS IoT Arduino Yún SDK allows developers to connect their Arduino Yún-compatible boards to AWS IoT. By connecting a device to AWS IoT, users can securely work with the message broker, rules, and thing shadows provided by AWS IoT and with other AWS services like AWS Lambda, Amazon Kinesis, and Amazon S3. For more information, see the following:

- Arduino Yún SDK on GitHub
- Arduino Yún SDK Readme

# AWS IOT Embedded C SDK

The AWS IoT device SDK for embedded C is a collection of C source files that can be used in embedded applications to securely connect to the AWS IoT platform. It includes transport clients, TLS implementations, and examples for their use. It also supports AWS IoT-specific features such as an API to access the Thing Shadows service. It is distributed as source code and is intended to be built into customer firmware along with application code, other libraries, and RTOS. For more information see the following:

- Embedded C SDK on GitHub
- Embedded C SDK Readme
- Embedded C SDK Porting Guide

# AWS Mobile SDK for iOS

The AWS SDK for iOS is an open-source software development kit, distributed under an Apache Open Source license. The SDK for iOS provides a library, code samples, and documentation to help developers build connected mobile applications using AWS. This SDK also includes support for calling the AWS IoT API.

- AWS SDK for iOS on GitHub
- AWS SDK for iOS Readme
- AWS SDK for iOS Samples

# AWS IOT Java SDK

The AWS IoT Device SDK for Java enables Java developers to access the AWS IoT platform through MQTT or MQTT over the WebSocket protocol. The SDK is built with AWS IoT thing shadow support, providing access to thing shadows using HTTP methods, including GET, UPDATE, and DELETE. It also supports a simplified thing shadow access model, which allows developers to exchange data with thing shadows by just using getter and setter methods without having to serialize or deserialize any JSON documents. For more information, see the following:

- AWS IoT SDK for Java on GitHub
- AWS IoT Java SDK readme

# **AWS IoT JavaScript SDK**

The aws-iot-device-sdk.js package allows developers to write JavaScript applications that access AWS IoT using MQTT or MQTT over the secure WebSocket protocol. It can be used in Node.js environments and browser applications. For more information, see the following:

- AWS IoT SDK for JavaScript on GitHub
- AWS IoT SDK for JavaScript readme

# **AWS IoT Device SDK for Python**

The AWS IoT Device SDK for Python allows developers to write Python scripts to use their devices to access the AWS IoT platform through MQTT or MQTT over the WebSocket protocol. By connecting their devices to AWS IoT, users can securely work with the message broker, rules, and thing shadows provided by AWS IoT and with other AWS services like AWS Lambda, Amazon Kinesis, and Amazon S3, and more.

- AWS IoT SDK for Python on GitHub
- AWS IoT SDK for Python readme

# Getting Started with AWS IoT on the Raspberry Pi and the AWS IoT Embedded C SDK

This guide provides step-by-step instructions for connecting your Raspberry Pi to the AWS IoT platform and setting it up for use with the AWS IoT Embedded C SDK. After following the steps in this guide, you will be able to get connected to the AWS IoT platform and run sample apps included with the AWS IoT Embedded C SDK.

### **Prerequisites**

• A fully set up Raspberry Pi board with Internet access

For information about setting up your Raspberry Pi, see Raspberry Pi Quickstart Guide.

• Chrome or Firefox (Iceweasel) browser

For information about installing Iceweasel, see the instructions on the Embedded Linux wiki.

In this guide, the following hardware and software are used:

- Raspberry Pi 2 Model B
- Raspbian Wheezy
- Iceweasel browser

### **Connecting Your Raspberry Pi**

#### Sign in to the AWS IoT Console

Turn on your Raspberry Pi and confirm you have an Internet connection.

Sign in to the AWS Management Console and open the AWS IoT console at https://aws.amazon.com/ iot. On the **Welcome** page, choose **Get started with AWS IoT**.



If this is your first time using the AWS IoT console, you will see two buttons: **Get Started** and **Start interactive tutorial**.



AWS IoT

AWS IoT is a managed cloud platform that lets connected devices -- cars, light bulbs, sensor grids and more -- easily and securely interact with cloud applications and other devices.



 Image: West of the second s

If you don't see a blue banner with Create a thing, Create a rule, Create a certificate, and Create a

policy buttons,	choose the	Create a	resource button:

Choose Get Started. The following page should appear.

AWS IOT	Resources
Resources + Create a resource Connect AWS IoT Button	
Filter by resource names or by resource type (below)         All       0/0 things       0/0 thing types       0/0 rules       0/0 CAs       0/0 certificates       0/0 policies	

#### **Create and Attach a Thing (Device)**

A thing represents a device whose status or data is stored in the AWS IoT cloud. The Thing Shadows service maintains a thing shadow for each device connected to AWS IoT. Thing shadows allow you to access and modify thing state data.

AWS IoT	Resources
Resources	Close create panel
	See a thing       Create a thing type       Create a rule       Use my certificate       Create a certificate
Create a thing	
Create a thing to represent	t your device in the cloud. This step creates an entry in the thing registry and a thing shado
Name	myRaspberryPi
No type V Attributes use	ype to your thing. If you do not want to associate your thing with a type, choose <b>No type</b> <b>d in a thing search</b> e thing attributes to describe the identity and capabilities of your device. Each attribute is a
	Add attribute
	Create
And an and advantage of the	المحاصين المحص محاطر والعاص العربي ومحجون والمحاوي والمحافظ والمحاص والمحاط والمحاط والمحاط والمحا

Choose **Create a thing**, type a name for the thing, and then choose **Create**:

In addition to a confirmation message, the View thing button will be displayed:

AWS IOT	Resources
Resources Close create panel Connect AWS IoT Button	
Create a thing type Create a rule Use my certificate	Create a c
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when your thing publish View thing	es a message
▼ Filter by resource names or by resource type (below) All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies	
myRaspberryPi	

Choose View thing to display information about your thing:

AWS IOT
Resources Close create panel
Image: Create a thing       Image: Create a thing type       Image: Create a rule       Image: Create a certificate
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when your thing publishes a messag
View thing
Filter by resource names or by resource type (below)         All       1/1 things       0/0 thing types       0/0 rules       0/0 CAs       0/0 certificates
All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies
All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates
All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies

Choose the **Connect a device** button to download a key pair and a certificate generated by AWS IoT:

AWS IOT	
Resources Close create panel	
Image: Create a thing       Image: Create a thing type       Image: Create a rule       Image: Create a rule       Image: Create a certificate	
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when your thing publishes a n	nessag
View thing	
View thing	
▼ Filter by resource names or by resource type (below)	
▼ Filter by resource names or by resource type (below) All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates	S
▼ Filter by resource names or by resource type (below) (All) 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies	
▼ Filter by resource names or by resource type (below) (All) 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies	4

On the **Connect a device** page, select the SDK to use, and then choose **Generate certificate and policy**:



This will generate an X.509 certificate and key pair; activate the X.509 certificate; and create an AWS IoT policy and attach it to the certificate.

The following page will be displayed:

AWS IoT	Resources
Connect a device Connect your device to one of our many supported SDKs. • Embedded C NodeJS • Arduino Yún	Please download these files and save them in a safe place. but the private and public keys will not be retrievable after c • Download public key • Download private key • Download certificate
	Confirm & start conne

Create a working directory called deviceSDK where your files will be stored. Choose the links to download your public and private keys and certificate and save them in the deviceSDK directory.

Choose Confirm & start connecting. The following page will be displayed: AWS IoT Resources Connect a device Connect your device to one of our many supported SDKs. Embedded C NodeJS AWS IoT C SDK Arduino Yún Download one of the AWS IoT C SDKs: OpenSSL mbed-TLS Set up the SDK using the instructions in our README on Gi Add in the following sample code based on your account, Th // Get from console #define AWS\_IOT\_MQTT\_HOST "a3vw4yc #define AWS\_IOT\_MQTT\_PORT 8883 "myRaspb #define AWS\_IOT\_MQTT\_CLIENT\_ID "myRaspb #define AWS\_IOT\_MY\_THING\_NAME #define AWS\_IOT\_ROOT\_CA\_FILENAME "root-CA. #define AWS\_IOT\_CERTIFICATE\_FILENAME "9335912 #define AWS\_IOT\_PRIVATE\_KEY\_FILENAME "9335912 Start one of the sample applications found in the SDK. You of the state of your thing's shadow and interact with your devi

> device can use a clientID for connecting to the AWS IoT plat connect multiple devices concurrently please create a separa

that you intend to connect.

**Return to Thing Detail** 

There are two versions of the AWS IoT Embedded C SDK: OpenSSL and mbed TLS. Choose the **OpenSSL** link. This will download the AWS IoT AWS IoT Device SDK for C in a tarball (linux\_mqtt\_openssl-latest.tar). Save it in your deviceSDK directory. In a terminal window, type the following command to extract the tarball into your deviceSDK directory:

`tar -xvf linux\_mqtt\_openssl-latest.tar`

# Set Up the Runtime Environment for the AWS IoT Embedded C SDK

Before you can use the AWS IoT Embedded C SDK, you must install the OpenSSL library on Raspberry Pi. . In a terminal window, run sudo apt-get install libssl-dev.

### Sample App Configuration

The AWS IoT Embedded C SDK includes sample apps for you to try. For simplicity, we are going to run subscribe\_publish\_sample. Copy your certificate and private key into the deviceSDK/certs directory. Download a root CA certificate here. Copy the root CA text from the browser, paste it into a file, and then copy it into the deviceSDK/certs directory.

Navigate to the deviceSDK/sample\_apps/subscribe\_publish\_sample directory. You will need to configure your personal endpoint, private key, and certificate. If you have access to a machine with the AWS CLI installed, you can use the aws iot describe-endpoint command to find your personal endpoint URL. Otherwise, go to the AWS IoT console, double-click **MyNewThing**, and copy everything after "https://" including ".com" from **REST API endpoint**.

AWS IOT	
Resources + Create a resource	Learn n
▼ Filter by resource names or by resource type (below)	REST
Select all Actions -	
All         1/1 things         0/0 rules         1/1 certificates           1/1 policies         First         Previous         1	
	Linke

Open the aws\_iot\_config.h file and update the values for the following:

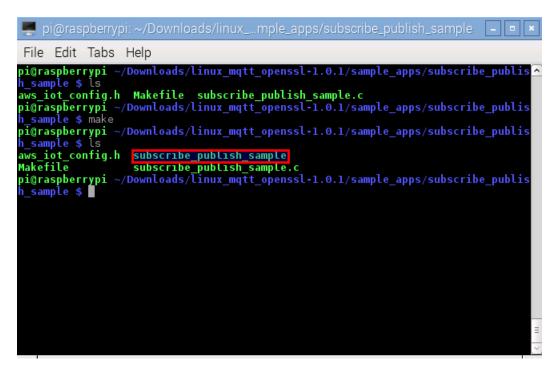
AWS\_IOT\_MQTT\_HOST Your personal endpoint. AWS\_IOT\_MY\_THING\_NAME Your thing name. AWS\_IOT\_ROOT\_CA\_FILENAME Your root CA certificate. AWS\_IOT\_CERTIFICATE\_FILENAME Your certificate. AWS\_IOT\_PRIVATE\_KEY\_FILENAME Your private key.

### **Run Sample Applications**

Compile the subscribe\_publish\_sample\_app using the included makefile.

make -f Makefile

This will generate an executable file.



Now run the subscribe\_publish\_sample\_app. You should see output similar to the following:

💻 pi@raspberrypi: ~/Downloads/linuxmple_apps/subscribe_publish_sample 🛛 🗖 💌
File Edit Tabs Help
DEBUG: main L#143 clientKey /home/pi/Downloads/linux_mqtt_openssl-1.0.1/sample _apps/subscribe_publish_sample///certs/private.pem.key Connecting Subscribing >sleep >sleep
Subscribe callback sdkTest/sub hello from SDK : 0 >sleep Subscribe callback sdkTest/sub hello from SDK : 1 Subscribe callback sdkTest/sub hello from SDK : 2 >sleep
>steep Subscribe callback sdkTest/sub hello from SDK : 3 >sleep >sleep Subscribe callback
sdkTest/sub hello from SDK : 4 Subscribe callback sdkTest/sub hello from SDK : 5 >sleep

Your Raspberry Pi is now connected to AWS IoT using the AWS IoT Device SDK for C.

# Getting Started with AWS IoT on Raspberry Pi and the AWS IoT Device SDK for JavaScript

This guide provides step-by-step instructions for connecting your Raspberry Pi to the AWS IoT platform and setting it up for use with the AWS IoT Device SDK for JavaScript. After following the steps in this guide, you will be able to get connected to the AWS IoT platform and run sample apps included in the SDK.

## **Prerequisites**

• A fully set up Raspberry Pi board with Internet access

For information about setting up your Raspberry Pi, see the Raspberry Pi Quickstart Guide.

Chrome or Firefox (Iceweasel) browser

For information about installing Iceweasel, see the instructions on the Embedded Linux wiki.

In this guide, the following hardware and software are used:

- Raspberry Pi 2 Model B
- Raspbian Jessie
- Iceweasel browser

## **Connecting Your Raspberry Pi**

### Sign in to the AWS IoT Console

Turn on your Raspberry Pi and confirm you have an Internet connection.

Sign in to the AWS Management Console and open the AWS IoT console at https://aws.amazon.com/ iot. On the **Welcome** page, choose **Get started with AWS IoT**:



If this is your first time using the AWS IoT console, you will see two buttons: **Get Started** and **Start Interactive Tutorial**.



AWS IoT

AWS IoT is a managed cloud platform that lets connected devices -- cars, light bulbs, sensor grids and more -- easily and securely interact with cloud applications and other devices.



Choose Get Started. The following page should appear.

AWS IoT Resources Resources Connect AWS IoT Button Create a resource Filter by resource names or by resource type (below) T (All) 0/0 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies

If you don't see a blue banner with Create a thing, Create a rule, Create a certificate, and Create a policy buttons, choose the Create a resource button:

AWS IOT	Resources
Resources + Create a resource Connect AWS IoT Button	
Filter by resource names or by resource type (below)         All       0/0 things       0/0 thing types       0/0 rules       0/0 CAs       0/0 certificates       0/0 policies	

### **Create and Attach a Thing (Device)**

A thing represents a device whose status or data is stored in the AWS IoT cloud. The Thing Shadow service maintains a thing shadow for each device connected to AWS IoT. Thing shadows allow you to access and modify thing state data.

AWS IoT	Resources
Resources	★ Close create panel
	Create a thing type Create a rule Use my certificate Create a c
Create a thing	
Create a thing to represent	your device in the cloud. This step creates an entry in the thing registry and a thing shadow
Name	myRaspberryPi
No type V	Type ope to your thing. If you do not want to associate your thing with a type, choose <b>No type</b> <b>If in a thing search</b> a thing attributes to describe the identity and capabilities of your device. Each attribute is a
	Add attribute
	Create
	ل الماليني المنص محادثة برجيم التي المحصور من معلون المحادثة المحمد الي محادثة المحمد ال

Choose **Create a thing**, type in a name for the thing, and then choose **Create**:

In addition to a confirmation message, the View thing button will be displayed:

AWS IOT	Resources
Resources Close create panel Connect AWS IoT Button	
Create a thing type Create a rule Use my certificate	Create a c
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when your thing publish View thing	es a message
▼ Filter by resource names or by resource type (below) All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies	
myRaspberryPi	

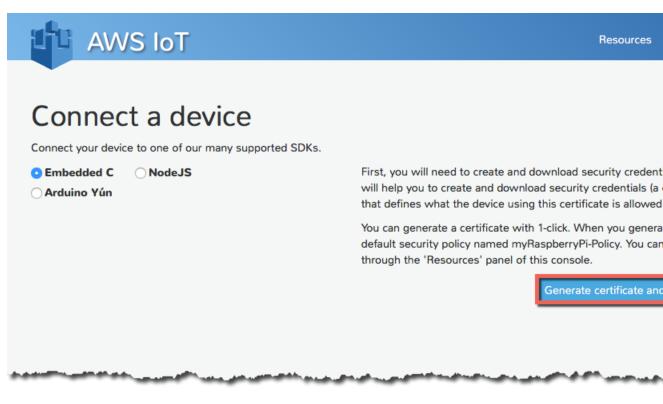
Choose View thing to display information about your thing:

aller .	
AWS IOT	
Resources Close create panel Connect AWS	S IoT Button
Create a thing type Create a rule Use	e my certificate
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions	when your thing publishes a message
View thi	ng
▼ Filter by resource names or by resource type (below)	
	ng
▼ Filter by resource names or by resource type (below) (All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates	ng
<ul> <li>▼ Filter by resource names or by resource type (below)</li> <li>All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates 0/0 policies</li> </ul>	ng

Choose the **Connect a device** button to download a key pair and a certificate generated by AWS IoT :

AWS IOT	
Resources Close create panel Connect AWS lot But	ton
Create a thing type Create a rule Use my certification	ate Create a certificate
Your thing has been created. You can now connect a device to this thing, or add a rule that will trigger actions when yo	our thing publishes a messag
View thing	
▼ Filter by resource names or by resource type (below) All 1/1 things 0/0 thing types 0/0 rules 0/0 CAs 0/0 certificates	
0/0 policies	

On the **Connect a device** page, select the SDK to use, and then choose **Generate certificate and policy**:



This will generate an X.509 certificate and key pair; activate the X.509 certificate; and create an AWS IoT policy and attach it to the certificate.

The following page will be displayed:

AWS IoT	Resources
Connect a device Connect your device to one of our many supported SDKs. • Embedded C NodeJS • Arduino Yún	Please download these files and save them in a safe place. but the private and public keys will not be retrievable after c • Download public key • Download private key • Download certificate
	Confirm & start conne

Create a working directory called deviceSDK where your files will be stored. Choose the links to download your public and private keys and certificate, and then save them in the deviceSDK directory.

Choose Confirm & start connecting. The following page will be displayed:



# Set Up the Runtime Environment for the AWS IoT Device SDK for JavaScript

To use the AWS IoT Device SDK for JavaScript, you need to install Node and the npm development tool on your Raspberry Pi. These packages are not installed by default.

#### Note

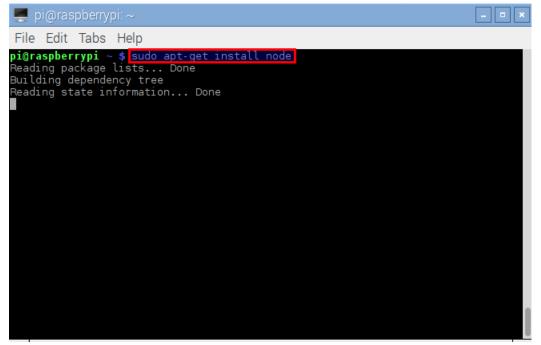
Before you continue, you might want to configure the keyboard mapping for your Raspberry Pi. For more information, see Configure Raspberry Pi Keyboard Mapping.

To add the Node repository, open a terminal and run the following command:

curl -sLS https://apt.adafruit.com/add | sudo bash

🜉 pi@raspberrypi: ~	×
File Edit Tabs Help	
pi@raspberrypi ~ \$ curl -sLS https://apt.adafruit.com/add   sudo bash	

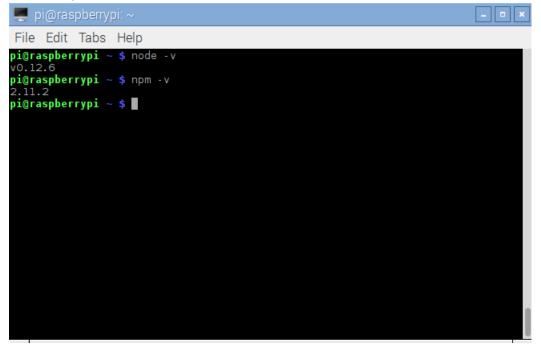
To install Node, run sudo apt-get install node. You should see output similar to the following:



To install npm, run sudo apt-get install npm. You should see output similar to the following:

💭 pi@raspberrypi: ~	_ <b>-</b> ×
File Edit Tabs Help	
pi@raspberrypi ~ \$ sudo apt-get install npm Reading package lists Done Building dependency tree 75%	

To verify the installation of Node and npm, run node -v and npm -v. You should see output similar to the following:



### Install the AWS IoT Device SDK for JavaScript

Now you will port the SDK to the Raspberry Pi. On the AWS IoT Device SDK page, choose the **Get** source in **GitHub** link:

#### AWS IoT Developer Guide Connecting Your Raspberry Pi

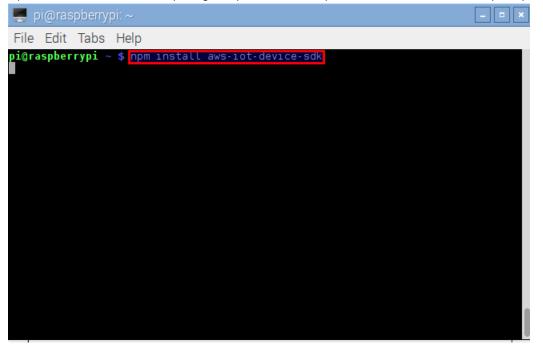
📕 Menu	webservices	AWS re:Invent	Announcements	Products	Solutions	Pricing	More 🔻
	PRODUCTS AWS IOT How It Works Pricing Getting Started FAQs	> > > >	AWS IC The AWS IoT hardware dev hardware dev gateway and The AWS IoT guide with sa IoT products	Device SDK vice to AWS I vice can sear device shad Device SDK umples, and t	helps you to oT. It provide nlessly and se ow provided t includes ope he porting gu	easily and s enhance ecurely wo by AWS Io en source li ide so tha	d features s rk with the T. ibraries, the t you can b
			SDKs				
			Embedded	С	JavaScri	ipt	Ar

 Embedded C
 JavaScript
 Ar

 Get source in GitHub
 Get source in GitHub
 Get

 Developer Guide
 Developer Guide
 Developer Guide

Open a console window. To keep things simple, we will use npm to install the SDK from the npm repository:



After the installation is complete, you should find the installed module in ~ directory. (/home/pi is the default.)

톶 pi@raspberrypi: ~			_ 🗆 🗙
File Edit Tabs Help			
<b>pi@raspberrypi</b> ~ <b>\$</b> pwd /home/pi			
pi@raspberrypi ~ \$ ls Desktop Downloads <mark>node modules</mark> Documents Music Pictures pi@raspberrypi ~ \$	Public python_games	Templates Videos	
			U

### Prepare to Run the Sample Applications

The AWS IoT Device SDK for JavaScript includes sample apps for you to try. To run them, you must configure your certificates and private key.

Type  $cd \sim to$  go to your home directory. Create a directory where your certificate, private key, and root CA certificate will be stored. Name the directory certs.

Copy your certificate and private key into the directory. Download a root CA certificate from here. Copy the text from the browser, paste it into a file, and then copy it into the certs directory.

You will need to configure your personal endpoint, private key, and certificate. If you have access to a machine with the AWS CLI installed, you can use the aws iot describe-endpoint command to find your personal endpoint URL. Otherwise, go to the AWS IoT console, double-click **MyNewThing**, and copy everything after "https://" including ".com" from **REST API endpoint**.

AWS IOT	
Resources + Create a resource	Learn n
▼ Filter by resource names or by resource type (below)	REST
Select all     Actions -       (All) 1/1 things     0/0 rules     1/1 certificates	
1/1 policies First Previous 1 Next Last	Linke
	LINKe
By default, the files should be named as follows:	

- your private key: private.pem.key
- your certificate: certificate.pem.crt
- the CA root certificate: root-CA.crt

You can edit the cmdline.js file to change the default names used by each sample.

```
default: {
   region: 'us-east-1',
   clientId: clientIdDefault,
   privateKey: 'private.pem.key',
   clientCert: 'certificate.pem.crt',
   caCert: 'root-CA.crt,
   testMode: 1,
   reconnectPeriod: 3 * 1000, /* milliseconds */
   delay: 4 * 1000 /* milliseconds */
};
```

### **Run the Sample Applications**

Now you can run examples using node examples/<YourDesiredExample>.js-f <certs location> (assuming you are under node\_modules/aws-iot-device-sdk/). In this case, the certificates location should be ~/certs/. You can specify the certificates location and your own host address using command line options. For information, see Certificates.

Your Raspberry Pi is now connected to AWS IoT using the AWS IoT SDK for JavaScript.

# **Monitoring AWS IoT**

Monitoring is an important part of maintaining the reliability, availability, and performance of AWS IoT and your AWS solutions. You should collect monitoring data from all parts of your AWS solution so that you can more easily debug a multi-point failure if one occurs. Before you start monitoring AWS IoT, you should create a monitoring plan that includes answers to the following questions:

- What are your monitoring goals?
- Which resources will you monitor?
- · How often will you monitor these resources?
- Which monitoring tools will you use?
- · Who will perform the monitoring tasks?
- Who should be notified when something goes wrong?

The next step is to establish a baseline for normal AWS IoT performance in your environment, by measuring performance at various times and under different load conditions. As you monitor AWS IoT, store historical monitoring data so that you can compare it with current performance data, identify normal performance patterns and performance anomalies, and devise methods to address issues.

For example, if you're using Amazon EC2, you can monitor CPU utilization, disk I/O, and network utilization for your instances. When performance falls outside your established baseline, you might need to reconfigure or optimize the instance to reduce CPU utilization, improve disk I/O, or reduce network traffic.

To establish a baseline you should, at a minimum, monitor the following items:

- PublishIn.Success
- PublishOut.Success
- Subscribe.Success
- Ping.Success
- Connect.Success
- GetThingShadow.Accepted
- UpdateThingShadow.Accepted
- DeleteThingShadow.Accepted
- RulesExecuted

#### Topics

- Monitoring Tools (p. 195)
- Monitoring with Amazon CloudWatch (p. 196)
- Logging AWS IoT API Calls with AWS CloudTrail (p. 201)

# **Monitoring Tools**

AWS provides various tools that you can use to monitor AWS IoT. You can configure some of these tools to do the monitoring for you, while some of the tools require manual intervention. We recommend that you automate monitoring tasks as much as possible.

### Automated Monitoring Tools

You can use the following automated monitoring tools to watch AWS IoT and report when something is wrong:

- Amazon CloudWatch Alarms Watch a single metric over a time period that you specify, and perform
  one or more actions based on the value of the metric relative to a given threshold over a number of
  time periods. The action is a notification sent to an Amazon Simple Notification Service (Amazon SNS)
  topic or Auto Scaling policy. CloudWatch alarms do not invoke actions simply because they are in a
  particular state, the state must have changed and been maintained for a specified number of periods.
  For more information, see Monitoring with Amazon CloudWatch (p. 196).
- Amazon CloudWatch Logs Monitor, store, and access your log files from AWS CloudTrail or other sources. For more information, see Monitoring Log Files in the Amazon CloudWatch Developer Guide.
- Amazon CloudWatch Events Match events and route them to one or more target functions or streams to make changes, capture state information, and take corrective action. For more information, see Using Events in the Amazon CloudWatch Developer Guide.
- AWS CloudTrail Log Monitoring Share log files between accounts, monitor CloudTrail log files in real time by sending them to CloudWatch Logs, write log processing applications in Java, and validate that your log files have not changed after delivery by CloudTrail. For more information, see Working with CloudTrail Log Files in the AWS CloudTrail User Guide.

### Manual Monitoring Tools

Another important part of monitoring AWS IoT involves manually monitoring those items that the CloudWatch alarms don't cover. The AWS IoT, CloudWatch, and other AWS console dashboards provide an at-a-glance view of the state of your AWS environment. We recommend that you also check the log files on AWS IoT.

- AWS IoT dashboard shows:
  - CA certificates
  - Certificates
  - Polices
  - Rules
  - Things
- CloudWatch home page shows:
  - Current alarms and status
  - · Graphs of alarms and resources
  - Service health status

In addition, you can use CloudWatch to do the following:

- · Create customized dashboards to monitor the services you care about
- · Graph metric data to troubleshoot issues and discover trends
- · Search and browse all your AWS resource metrics
- Create and edit alarms to be notified of problems

## Monitoring with Amazon CloudWatch

You can monitor AWS IoT using CloudWatch, which collects and processes raw data from AWS IoT into readable, near real-time metrics. These statistics are recorded for a period of two weeks, so that you can access historical information and gain a better perspective on how your web application or service is performing. By default, AWS IoT metric data is automatically sent to CloudWatch in 1 minute periods. For more information, see What Are Amazon CloudWatch, Amazon CloudWatch Events, and Amazon CloudWatch Logs? in the Amazon CloudWatch Developer Guide.

#### Topics

- AWS IoT Metrics and Dimensions (p. 196)
- How Do I Use AWS IoT Metrics? (p. 198)
- Creating CloudWatch Alarms to Monitor AWS IoT (p. 198)

### **AWS IoT Metrics and Dimensions**

When you interact with AWS IoT, it sends the following metrics and dimensions to CloudWatch every minute. You can use the following procedures to view the metrics for AWS IoT.

#### To view metrics using the CloudWatch console

Metrics are grouped first by the service namespace, and then by the various dimension combinations within each namespace.

- 1. Open the CloudWatch console at https://console.aws.amazon.com/cloudwatch/.
- 2. In the navigation pane, choose Metrics.
- 3. In the **CloudWatch Metrics by Category** pane, under the metrics category for AWS IoT, select a metrics category, and then in the upper pane, scroll down to view the full list of metrics.

#### To view metrics using the AWS CLI

• At a command prompt, use the following command:

aws cloudwatch list-metrics --namespace "AWS/IoT"

CloudWatch displays the following metrics for AWS IoT:

### **AWS IoT Metrics**

AWS IoT sends the following metrics to CloudWatch once per received request.

Metric	Description
PublishIn.Success	A client published on an MQTT topic successfully.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count
PublishOut.Success	Clients subscribed to an MQTT topic recieved a published message.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count
Subscribe.Success	AWS IoT message broker received a request to subscribe to an MQTT topic.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count
Ping.Success	AWS IoT received a Ping message.
	Valid Dimensions: Protocol
	Valid Statistics:1 per ping request from the client.
	Unit: Count
Connect.Success	A client connected to AWS IoT.
	Valid Dimensions: Protocol
	Valid Statistics: 1 per successful MQTT connection from the client.
	Unit: Count
GetThingShadow.Accepted	AWS IoT received a GetThingShadow request.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count
UpdateThingShadow.Accepted	AWS IoT received a UpdateThingShadow request.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count

Metric	Description
DeleteThingShadow.Accepted	AWS IoT received a DeleteThingShadow request.
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count
RulesExecuted	AWS IoT executed a rule
	Valid Dimensions: Protocol
	Valid Statistics:1 for success, 0 for failure.
	Unit: Count

### **Dimensions for AWS IoT Metrics**

Metrics use the namespace and provide metrics for the following dimension(s):

Dimension	Description
Protocol	The protocol with which the request was made. Valid values are MQTT or HTTP.

## How Do I Use AWS IoT Metrics?

The metrics reported by AWS IoT provide information that you can analyze in different ways. The following use cases are based on a scenario where you have ten things that connect to the internet once a day. Each day:

- Ten things connect to AWS IoT at roughly the same time.
- Each thing subscribes to a topic filter, and then waits for an hour before disconnecting. During this period, things communicate with one another and learn more about the state of the world.
- Each thing publishes some perception it has based on its newly found data using UpdateThingShadow.
- Each thing disconnects from AWS IoT.

These are suggestions to get you started, not a comprehensive list.

- How can I be notified if my things do not connect successfully each day? (p. 199)
- How can I be notified if my things are not publishing data each day? (p. 200)
- How can I be notified if my thing's shadow updates are being rejected each day? (p. 200)

### **Creating CloudWatch Alarms to Monitor AWS IoT**

You can create a CloudWatch alarm that sends an Amazon SNS message when the alarm changes state. An alarm watches a single metric over a time period you specify and performs one or more actions based on the value of the metric relative to a given threshold over a number of time periods. The action is a notification sent to an Amazon SNS topic or Auto Scaling policy. Alarms invoke actions for sustained state changes only. CloudWatch alarms do not invoke actions simply because they are in a particular state; the state must have changed and been maintained for a specified number of periods.

# How can I be notified if my things do not connect successfully each day?

1. Create an Amazon SNS topic, arn:aws:sns:us-east-1:123456789012:things-not-connecting-successfully.

For more information, see Set Up Amazon Simple Notification Service.

2. Create the alarm.

```
Prompt>aws cloudwatch put-metric-alarm \
    --alarm-name ConnectSuccessAlarm \
    --alarm-description "Alarm when my Things don't connect successfully"
    --namespace AWS/IOT \
    --metric-name Connect.Success \
    --dimensions Name=Protocol,Value=MQTT \
    --statistic Sum \
    --threshold 10 \
    --comparison-operator LessThanThreshold \
    --period 86400 \
    --unit Count \
    --evaluation-periods 1 \
    --alarm-actions arn:aws:sns:us-east-1:1234567890:things-not-connecting-
successfully
```

```
Prompt>aws cloudwatch put-metric-alarm \
    --alarm-name ConnectSuccessAlarm \
    --alarm-description "Alarm when my Things don't connect successfully"
    --namespace AWS/IoT \
    --metric-name Connect.Success \
    --dimensions Name=Protocol,Value=MQTT \
    --statistic Sum \
    --threshold 10 \
    --comparison-operator LessThanThreshold \
    --period 86400 \
    --unit Count \
    --evaluation-periods 1 \
    --alarm-actions arn:aws:sns:us-east-1:1234567890:things-not-connecting-
successfully
```

#### 3. Test the alarm.

```
Prompt>aws cloudwatch set-alarm-state --alarm-name ConnectSuccessAlarm --
state-reason "initializing" --state-value OK
```

```
Prompt>aws cloudwatch set-alarm-state --alarm-name ConnectSuccessAlarm --
state-reason "initializing" --state-value ALARM
```

# How can I be notified if my things are not publishing data each day?

1. Create an Amazon SNS topic, arn:aws:sns:us-east-1:123456789012:things-not-publishing-data.

For more information, see Set Up Amazon Simple Notification Service.

2. Create the alarm.

```
Prompt>aws cloudwatch put-metric-alarm \
    --alarm-name PublishInSuccessAlarm\
    --alarm-description "Alarm when my Things don't publish their data \
    --namespace AWS/IoT \
    --metric-name PublishIn.Success \
    --dimensions Name=Protocol,Value=MQTT \
    --statistic Sum \
    --threshold 10 \
    --comparison-operator LessThanThreshold \
    --period 86400 \
    --unit Count \
    --evaluation-periods 1 \
    --alarm-actions arn:aws:sns:us-east-1:1234567890:things-not-publishing-data
```

3. Test the alarm.

```
Prompt>aws cloudwatch set-alarm-state --alarm-name PublishInSuccessAlarm --state-reason "initializing" --state-value OK
```

```
Prompt>aws cloudwatch set-alarm-state --alarm-name PublishInSuccessAlarm --
state-reason "initializing" --state-value ALARM
```

# How can I be notified if my thing's shadow updates are being rejected each day?

1. Create an Amazon SNS topic, arn:aws:sns:us-east-1:1234567890:things-shadow-updates-rejected.

For more information, see Set Up Amazon Simple Notification Service.

2. Create the alarm.

```
Prompt>aws cloudwatch put-metric-alarm \
    --alarm-name UpdateThingShadowSuccessAlarm \
    --alarm-description "Alarm when my Things Shadow updates are getting
rejected" \
    --namespace AWS/IOT \
    --metric-name UpdateThingShadow.Success \
    --dimensions Name=Protocol,Value=MQTT \
    --statistic Sum \
    --threshold 10 \
    --comparison-operator LessThanThreshold \
```

```
--period 86400 \
--unit Count \
--evaluation-periods 1 \
--alarm-actions arn:aws:sns:us-east-1:1234567890:things-shadow-updates-
rejected
```

#### 3. Test the alarm.

```
Prompt>aws cloudwatch set-alarm-state --alarm-name UpdateThingShadowSuc cessAlarm --state-reason "initializing" --state-value OK
```

```
Prompt>aws cloudwatch set-alarm-state --alarm-name UpdateThingShadowSuc cessAlarm --state-reason "initializing" --state-value ALARM
```

## Logging AWS IoT API Calls with AWS CloudTrail

AWS IoT is integrated with CloudTrail, a service that captures all of the AWS IoTAPI calls and delivers the log files to an Amazon S3 bucket that you specify. CloudTrail captures API calls from the AWS IoT console or from your code to the AWS IoT APIs. Using the information collected by CloudTrail, you can determine the request that was made to AWS IoT, the source IP address from which the request was made, who made the request, when it was made, and so on.

To learn more about CloudTrail, including how to configure and enable it, see the AWS CloudTrail User Guide.

### **AWS IoT Information in CloudTrail**

When CloudTrail logging is enabled in your AWS account, API calls made to AWS IoT actions are tracked in CloudTrail log files where they are written with other AWS service records. CloudTrail determines when to create and write to a new file based on a time period and file size.

All AWS IoT actions are logged by CloudTrail and are documented in the AWS IoT API Reference. For example, calls to the **CreateThing**, **ListThings**, and **ListTopicRules** sections generate entries in the CloudTrail log files.

Every log entry contains information about who generated the request. The user identity information in the log entry helps you determine the following:

- Whether the request was made with root or IAM user credentials.
- Whether the request was made with temporary security credentials for a role or federated user.
- Whether the request was made by another AWS service.

For more information, see the CloudTrail userIdentity Element.

You can store your log files in your Amazon S3 bucket for as long as you want, but you can also define Amazon S3 lifecycle rules to archive or delete log files automatically. By default, your log files are encrypted with Amazon S3 server-side encryption (SSE).

If you want to be notified upon log file delivery, you can configure CloudTrail to publish Amazon SNS notifications when new log files are delivered. For more information, see Configuring Amazon SNS Notifications for CloudTrail.

You can also aggregate AWS IoT log files from multiple AWS regions and multiple AWS accounts into a single Amazon S3 bucket.

For more information, see Receiving CloudTrail Log Files from Multiple Regions and Receiving CloudTrail Log Files from Multiple Accounts.

## **Understanding AWS IoT Log File Entries**

CloudTrail log files can contain one or more log entries. Each entry lists multiple JSON-formatted events. A log entry represents a single request from any source and includes information about the requested action, the date and time of the action, request parameters, and so on. Log entries are not an ordered stack trace of the public API calls, so they do not appear in any specific order.

The following example shows a CloudTrail log entry that demonstrates the  ${\tt AttachPrincipalPolicy}$  action.

```
{
    "timestamp":"1460159496",
    "AdditionalEventData":"",
    "Annotation":"",
    "ApiVersion":""
    "ErrorCode":"",
    "ErrorMessage":"",
    "EventID": "8bff4fed-c229-4d2d-8264-4ab28a487505",
    "EventName": "AttachPrincipalPolicy",
    "EventTime": "2016-04-08T23:51:36Z",
    "EventType": "AwsApiCall",
    "ReadOnly":"",
    "RecipientAccountList":"",
    "RequestID": "d4875df2-fde4-11e5-b829-23bf9b56cbcd",
    "RequestParamters":{
        "principal": "arn: aws: iot: us-east-
1:123456789012:cert/528ce36e8047f6a75ee51ab7bed
db4eb268ad41d2ea881a10b67e8e76924d894",
        "policyName": "ExamplePolicyForIoT"
    },
    "Resources":"",
    "ResponseElements":"",
    "SourceIpAddress":"52.90.213.26",
    "UserAgent": "aws-internal/3",
    "UserIdentity":{
        "type": "AssumedRole",
        "principalId": "AKIAI44QH8DHBEXAMPLE",
       "arn":"arn:aws:sts::12345678912:assumed-role/iotmonitor-us-east-1-beta-
InstanceRole-1C5T1YCYMHPYT/i-35d0a4b6",
        "accountId":"2222222222",
        "accessKeyId": "access-key-id",
        "sessionContext":{
            "attributes":{
                "mfaAuthenticated":"false",
                "creationDate":"Fri Apr 08 23:51:10 UTC 2016"
            },
            "sessionIssuer":{
                "type": "Role",
                "principalId": "AKIAI44QH8DHBEXAMPLE",
              "arn":"arn:aws:iam::123456789012:role/executionServiceEC2Role/iot
```

# **Troubleshooting AWS IoT**

The following information might help you troubleshoot common issues in AWS IoT.

#### Tasks

- Diagnosing Connectivity Issues (p. 204)
- Setting Up CloudWatch Logs (p. 205)
- Diagnosing Rules Issues (p. 209)
- Diagnosing Problems with Thing Shadows (p. 210)

## **Diagnosing Connectivity Issues**

### **Authentication**

How do my devices authenticate AWS IoT endpoints?

Add the AWS IoT CA certificate to your client's trust store. You can download the CA certificate from here.

How can I validate a correctly configured certificate? Use the OpenSSL s\_client command to test a connection to the AWS IoT endpoint:

openssl s\_client -connect custom\_endpoint.iot.us-east-1.amazonaws.com:8443
-CAfile CA.pem -cert cert.pem -key privateKey.pem

## **Authorization**

I received a PUBNACK or SUBNACK response from the broker. What do I do? Make sure there is a policy attached to the certificate you are using to call AWS IoT. All publish/subscribe operations are denied by default.

# Setting Up CloudWatch Logs

As messages from your devices pass through the message broker and the rules engine, AWS IoT sends progress events about each message. You can opt in to view these events in CloudWatch Logs. For more information, see CloudWatch Logs.

#### Note

Before you enable AWS IoT logging, be sure you understand the access permissions to CloudWatch Logs in your AWS account. Users with access to CloudWatch Logs will be able to see debugging information from your devices.

## Configuring an IAM Role for Logging

Use the IAM console to create a logging role.

### **Create an IAM Role for Logging**

The following policy documents provide the role policy and trust policy that allow AWS IoT to submit logs to CloudWatch on your behalf.

Role policy:

```
{
    "Version": "2012-10-17",
    "Statement": [
        {
             "Effect": "Allow",
             "Action": [
                 "logs:CreateLogGroup",
                 "logs:CreateLogStream",
                 "logs:PutLogEvents",
                 "logs:PutMetricFilter",
                 "logs:PutRetentionPolicy"
             ],
             "Resource": [
                 " * "
            ]
        }
    ]
}
```

Trust policy:

```
{
   "Version": "2012-10-17",
   "Statement": [
        {
            "Sid": "",
            "Effect": "Allow",
            "Principal": {
                "Service": "iot.amazonaws.com"
            },
            "Action": "sts:AssumeRole"
        }
```

#### ] }

### **Register the Logging Role with AWS IoT**

Use the AWS IoT console or the following CLI command to register the logging role with AWS IoT.

```
aws iot set-logging-options --logging-options-payload
roleArn="arn:aws:iam::<your-aws-account-num>:role/IoTLoggingRole",logLevel="INFO"
```

The log level can be DEBUG, INFO, ERROR, or DISABLED:

- · DEBUG provides the most detailed information of AWS IoT activity.
- INFO provides a summarized view of most actions. This is sufficient for most users.
- · ERROR provides error cases only.
- DISABLED removes logging altogether, but keeps your logging role intact.

### **CloudWatch Log Entry Format**

Each log entry has the following information:

Event

Describes the actions that take place in AWS IoT.

TimeStamp

The time the log was generated.

TraceId

An identifier generated randomly for an incoming request that can be used to filter all of the corresponding logs to one incoming message.

#### PrincipalId

A certificate fingerprint or a thing name, depending on which endpoint (MQTT or HTTP) received the request from a device.

LogLevel

The logging level. Can be DEBUG, INFO, ERROR, or WARN.

**Topic Name** 

The MQTT topic name, which is added to an entry when an MQTT publish or subscribe message is received.

ClientId

The ID of the client that sent an MQTT message.

ThingId

The thing identifier, which is added to an entry when a request is sent to an HTTP endpoint to update or delete thing state.

#### RuleId

The rule identifier, which contains the ID of a rule when the rule is triggered.

### Log Level

The log level specifies which types of logs will be generated.

#### DEBUG

Information that might be helpful when debugging a problem.

Logs will include DEBUG, INFO, ERROR, and WARN information.

#### ERROR

Any error that causes an operation to fail.

Logs will include ERROR information only.

INFO

High-level information about the flow of things.

Logs will include INFO, ERROR, and WARN information.

WARN

Anything that can potentially cause inconsistencies in the system, but might not necessarily cause the operation to fail.

Logs will include ERROR and WARN information.

## **Logging Events and Error Codes**

This section lists the logging events and error codes sent by AWS IoT.

#### **Identity and Security**

Operation/Event Name	Description
Authentication Success	Successfully authenticated a certificate.
Authentication Failure	Failed to authenticate a certificate.

#### Identity and Security Error Codes

Error Code	Error Description
401	Unauthorized

#### Message Broker

Operation/Event Name	Description
MQTT Publish	MQTT Publish received.
MQTT Subscribe	MQTT Subscribe received.
MQTT Connect	MQTT Connect received.
MQTT Disconnect	MQTT Disconnect received.
HTTP/1.1 POST	MHTTP/1.1 POST received.
HTTP/1.1 GET	HTTP/1.1 GET received.
HTTP/1.1 Unsupported Method	Used when a message contains a syntax error or the action (HTTP PUT/DELETE/) is forbidden.
Malformed HTTP Message	The connection was terminated because of a mal- formed HTTP message.
Malformed MQTT Message	The connection was terminated because of a mal- formed MQTT message.

Operation/Event Name	Description
Authorization Failed	This client attempted to publish to or subscribe on a topic for which it has no authorization.
Package Exceeds Maximum Payload Size	This client attempted to publish a payload that exceeds the message broker's upper limit.

#### Message Broker Error Codes

Error Code	Error Description
400	Bad Request
401	Unauthorized
403	Forbidden
503	Service Unavailable

### **Rules Engine Events**

Operation/Event Name	Description
MessageReceived	Received a request for a topic.
DynamoActionSuccess	Successfully put DynamoDB record.
DynamoActionFailure	Failed to put DynamoDB record.
KinesisActionSuccess	Successfully published Amazon Kinesis message.
KinesisActionFailure	Failed to publish Amazon Kinesis message.
LambdaActionSuccess	Successfully invoked Lambda function.
LambdaActionFailure	Failed to invoke Lambda function.
RepublishActionSuccess	Successfully republished message.
MessageReceived	Received request for a topic.
RepublishActionFailure	Failed to republish message.
S3ActionSuccess	Successfully put Amazon S3 object.
S3ActionFailure	Failed to put Amazon S3 object.
SNSActionSuccess	Successfully published to Amazon SNS topic.
SNSActionFailure	Failed to publish to Amazon SNS topic.
SQSActionSuccess	Successfully sent message to Amazon SQS.
SQSActionFailure	Failed to send message to Amazon SQS.

#### Thing Shadow Events

Operation/Event Name	Description
UpdateThingState	A thing's state is updated over HTTP or MQTT.
DeleteThing	A thing is deleted.

#### Thing Shadow Error Codes

Error Code	Error Description
400	Bad request.
401	Unauthorized.
403	Forbidden.
404	Not found.
409	Conflict.
413	Request too large.
422	Failed to process request.
429	Too many requests.
500	Internal error.
503	Service unavailable.

## **Diagnosing Rules Issues**

CloudWatch Logs is the best place to debug issues you are having with rules. When you enable CloudWatch Logs for AWS IoT, you get visibility into which rules are triggered and their success or failure. You also get information about whether WHERE clause conditions match.

The most common issue is authorization. In this case, the logs will tell you your role is not authorized to perform AssumeRole on the resource.

#### To view CloudWatch logs (console)

- 1. In the AWS Management Console, navigate to the CloudWatch console.
- 2. Choose Logs, and then choose the AWSIoTLogs log group from the list.
- 3. On the **Streams for AWSIoTLogs** page, you will find a log stream for each principal (X.509 certificate, IAM user, or Amazon Cognito identity) that called into AWS IoT under your account.

For more information, see CloudWatch Logs.

External services are controlled by the end user. Before rule execution, make sure external services are set up with enough throughput and capacity units.

# **Diagnosing Problems with Thing Shadows**

Issue	Troubleshooting Guidelines
A thing shadow document is rejected with "Invalid JSON document."	If you are unfamiliar with JSON, modify the ex- amples provided in this guide for your own use. For more information, see Thing Shadow Document Syntax.
I submitted correct JSON, but none or only parts of it are stored in the thing shadow document.	Be sure you are following the JSON formatting guidelines. Only JSON fields in the desired and reported sections will be stored. JSON content (even if formally correct) outside of those sections will be ignored.
I received an error that the thing shadow exceeds the allowed size.	The thing shadow supports 8 KB of data only. Try shortening field names inside of your JSON document or simply create more thing shadows. A device can have an unlimited number of thing shadows. The only requirement is that the thing name is unique in your account.
When I receive a thing shadow, it is larger than 8 KB. How can this happen?	Upon receipt, the AWS IoT service adds metadata to the thing shadow. The service includes this data in its response, but it does not count toward the limit of 8 KB. Only the data for desired and repor- ted state inside the state document sent to the thing shadow counts toward the limit.
My request has been rejected due to incorrect version. What should I do?	Perform a GET operation to sync to the latest state document version. When using MQTT, subscribe to the ./update/accepted topic so you will be notified about state changes and receive the latest version of the JSON document.
The timestamp is off by several seconds.	The timestamp for individual fields and the whole JSON document is updated when the document is received by the AWS IoT service or when the state document is published onto the ./update/accepted and ./update/delta message. Messages can be delayed over the network, which can cause the timestamp to be off by a few seconds.
My device can publish and subscribe on the corresponding thing shadow topics, but when I attempt to update the thing shadow document over the HTTP REST API, I get HTTP 403.	Be sure you have created policies in IAM to allow access to these topics and for the corresponding action (UPDATE/GET/DELETE) for the credentials you are using. IAM policies and certificate policies are independent.
Other issues.	The Thing Shadows service will log errors to CloudWatch Logs. To identify device and configur- ation issues, enable CloudWatch Logs and view the logs for debug information.

#### Diagnosing Thing Shadows

# **AWS IoT Limits**

The following tables list limits in AWS IoT.

# **Message Broker Limits**

Client ID size	128 bytes of UTF-8 encoded characters.
Connection inactivity (keep-alive interval)	By default, an MQTT client connection is disconnec- ted after 30 minutes of inactivity. When the client sends a PUBLISH, SUBSCRIBE, PING, or PUB- ACK message, the inactivity timer is reset.
	A client can request a shorter keep-alive interval by specifying a value between 5-1,200 seconds in the MQTT CONNECT message sent to the server. If a keep-alive value is specified, the server will disconnect the client if it does not receive a PUB- LISH, SUBSCRIBE, PINGREQ, or PUBACK mes- sage within a period 1.5 times the requested inter- val. The keep-alive timer starts after the sender sends a CONNACK.
	If a client sends a keep-alive value of zero, the de- fault keep-alive behavior will remain in place.
	If a client request a keep-alive shorter than 5 seconds, the server will treat the client as though it requested a keep-alive interval of 5 seconds.
	The keep-alive timer begins immediately after the server returns a CONNACK to the client. There might be a brief delay between the client's sending of a CONNECT message and the start of keep-alive behavior.
Maximum number of slashes in topic and topic filter	A topic provided while publishing a message or a topic filter provided while subscribing can have no more than eight forward slashes (/).

Maximum inbound unacknowledged messages	The message broker allows 100 in-progress unac- knowledged messages per client. (This limit is ap- plied across all messages that require ACK.) When this limit is reached, no new messages will be ac- cepted from this client until an ACK is returned by the server.
Maximum outbound unacknowledged messages	The message broker allows only 100 in-progress unacknowledged messages per client.(This limit is applied across all messages that require ACK.) When this limit is reached, no new messages will be sent to the client until the client acknowledges the in-progress messages.
Maximum retry interval for delivering QoS 1 mes- sages	If a connected client is unable to receive an ACK on a QoS 1 message for one hour, the message broker will drop the message. The client might be unable to receive the message if it has 100 in-flight messages, it is being throttled due to large pay- loads, or other errors.
Maximum subscriptions per subscribe call	A single SUBSCRIBE call is limited to request a maximum of eight subscriptions.
Message size	The payload for every PUBLISH message is limited to 128 KB. The AWS IoT service will reject messages larger than this size.
Restricted client ID prefix	'\$' is reserved for internally generated client IDs.
Restricted topic prefix	Topics beginning with '\$' are considered reserved and are not supported for publishing and subscrib- ing except when working with the Thing Shadows service.
Subscriptions per session	The message broker limits each client session to subscribe to up to 50 subscriptions. A SUBSCRIBE request that pushes the total number of subscriptions past 50 will result in the connection being disconnected.
Thing name size	128 bytes of UTF-8 encoded characters. This limit applies for both the thing registry and Thing Shadow services.
Throughput per connection	AWS IoT limits the ingress and egress rate on each client connection to 512 KB/s. Data sent or received at a higher rate will be throttled to this throughput.
Topic size	The topic passed to the message broker when publishing a message cannot exceed 256 bytes of UTF-8 encoded characters.

WebSocket connection duration	WebSocket connections are limited to 24 hours. If the limit is exceeded, the WebSocket connection will automatically be closed when an attempt is made to send a message by the client or server. If you need to maintain an active WebSocket connec- tion for longer than 24 hours, simply close and re- open the WebSocket connection from the client side before the time limit elapses. AWS IoT supports keep-alive values specified in
	MQTT CONNECT messages. When a client spe- cifies a keep-alive value, the client tells the server to disconnect the client and transmit any last-will message associated with the MQTT session if the server does not receive a message (PUBLISH, SUBSCRIBE, PUBACK, PINGREQ) within 1.5 times the keep-alive period. AWS IoT supports keep-alive values between 5 seconds and 20 minutes. If a client requests no keep-alive (that is, sets the field to 0 in the MQTT CONNECT mes- sage), the server will set the keep-alive value to 20 minutes, which corresponds to the maximum idle time supported by AWS IoT of 30 minutes. Most MQTT clients (including the AWS SDK clients) support keep-alive values by sending a PINGREQ if the keep-alive period expires without the transmis- sion of any other message by the client.

# **Device Shadow Limits**

Maximum depth of JSON device state documents	The maximum number of levels in the "desired" or "reported" section of the JSON device state document is 5. For example:
	<pre>"desired": {     "one": {         "two": {             "three": {                 "four": {                     "five": {</pre>
Maximum number of in-flight, unacknowledged messages	The Thing Shadows service supports up to 10 in- flight unacknowledged messages. When this limit is reached, all new shadow requests will be rejected with a 429 error code.

Maximum number of JSON objects per AWS account	There is no limit on the number of JSON objects per AWS account.
Maximum size of a JSON state document	8 KB.
Maximum size of a thing name	128 bytes of UTF-8 encoded characters.
Shadow lifetime	A thing shadow is deleted by AWS IoT if it has not been updated or retrieved in more than one year.

# **Security and Identity Limits**

Maximum number of policies that can be attached to a certificate	10
Maximum number of named policy versions	5
Maximum policy document size	2048 characters (excluding white space)
Maximum number of device certificates that can be registered per second	15

# **Throttling Limits**

The following table lists the throttling limits for AWS IoT API:

ΑΡΙ	Transaction per Second
AcceptCertificateTransfer	10
AttachPrincipalPolicy	15
AttachThingPrincipal	15
CancelCertificateTransfer	10
CreateCertificateFromCsr	15
CreatePolicy	10
CreatePolicyVersion	10
CreateThing	15
CreateThingType	15
DeleteCertificate	10
DeleteCACertificate	10
DeletePolicy	10
DeletePolicyVersion	10
DeleteThing	15
DeleteThingType	15

API	Transaction per Second
DeprecateThingType	15
DescribeCertificate	10
DescribeCACertificate	10
DescribeThing	10
DescribeThingType	10
DetachThingPrincipal	15
DetachPrincipalPolicy	15
DeleteRegistrationCode	10
GetPolicy	10
GetPolicyVersion	15
GetRegistrationCode	10
ListCACertificates	10
ListCertificates	10
ListCertificatesByCA	10
ListOutgoingCertificates	10
ListPolicies	10
ListPolicyPrincipals	10
ListPolicyVersions	10
ListPrincipalPolicies	15
ListPrincipalThings	10
ListThings	10
ListThingPrincipals	10
ListThingTypes	10
RegisterCertificate	10
RegisterCACertificate	10
RejectCertificateTransfer	10
SetDefaultPolicyVersion	10
TransferCertificate	10
UpdateCertificate	10
UpdateCACertificate	10
UpdateThing	10

# **AWS IoT Rules Engine Limits**

Maximum number of rules per AWS account	1000
Actions per rule	A maximum of 10 actions can be defined per rule.
Rule size	Up to 256 KB of UTF-8 encoded characters (includ- ing white space).