

# Adapting to Climate Change in Europe and Central Asia

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## ACRONYMS

ECA:	Europe and Central Asia
CI:	Conservation International
GCM:	General circulation model
GHG:	Greenhouse gas
GIS:	Geographic information systems
IPCC:	Intergovernmental Panel on Climate Change
IWRM:	Integrated water resource management
KRU:	Kazakhstan, Russia, Ukraine
Ktoe:	Kiloton oil equivalent
RCM:	Regional climate model
SWIFT:	Structured What If Technique
WMO:	World Meteorological Organization
WWF:	World Wildlife Fund

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## ECA COUNTRIES AND SUB-REGIONS

For this report, the authors chose the regional groupings of the ECA countries based on current climate, projected climate, and general economic and agricultural characteristics. Because of its vast and varied territory, Russia has been divided into six sub-regions based on climate and the geographic distribution of agricultural activity.

<b>Regional groupings</b>	<b>Economies</b>
Southeastern Europe	Albania, Bosnia and Herzegovina, Bulgaria, Croatia, FYR Macedonia, Montenegro, Kosovo, Serbia, Slovenia, Turkey.
Central and Eastern Europe	Czech Rep., Hungary, Moldova, Romania, Slovakia, Ukraine
Baltics	Belarus, Poland, Estonia, Latvia, Lithuania
South Caucasus	Armenia, Azerbaijan, Georgia
Central Asia	Tajikistan, Uzbekistan, Turkmenistan, Kyrgyzstan
Kazakhstan	Kazakhstan
<b>Russia sub-regions</b>	<b>Oblasts, republics and districts</b>
Baltic and Western Arctic	Arkhangelsk, Kaliningrad, Karelia, Komi, Kostroma, Leningrad, Murmansk, Nenetsk, Novgorod, Pskov, St Petersburg, Tver, Vologda, Yamalo-Nenetsk, Yaroslavl, Arctic Islands
Central and Volga	Bashkortostan, Belgorod, Bryansk, Chuvashia, Ivanovo, Kaluga, Kirov, Komi-Permyak, Kursk, Lipetsk, Mari El, Mordovia, Moscow, Nizhniy Novgorod, Orel, Orenburg, Penza, Perm, Ryazan, Samara, Saratov, Smolensk, Tambov, Tatarstan, Tula, Udmurtia, Ulyanovsk, Vladimir, Volgograd, Voronezh
North Caucasus	Adygea, Astrakhan, Chechnya, Dagestan, Ingush, Kabardino-Balkaria, Kalmykia-Khalmg Tan, Karachay-Cherkessia, Krasnodar, North Ossetia-Alani, Rostov, Stavropol
Urals and Western Siberia	Altay, Chelyabinsk, Kemerovo, Khakassia, Khant-Mansiysk, Kurgan, Novosibirsk, Omsk, Sverdlovsk, Tomsk, Tyumen, Tyva
South Siberia	Aga Buryatia, Amur, Buryatia, Chita, Irkutsk, Ust-Orda Buryat
East Siberia and the Far East	Chukotka, Evenk, Jewish, Kamchatka, Khabarovsk, Koryak, Krasnoyarsk, Magadan, Primorskiy, Sakha-Yakutia, Sakhalin, Arctic Islands

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- *Summary of the Climate Science in the Europe and Central Asia Region: Historical Trends and Future Projections* by Michael I. Westphal
- *A Simple Index Of Vulnerability to Climate Change* by Marianne Fay and Hrish Patel

### On the natural environment and agriculture

- *Adaptation to Climate Change in Coastal Areas of the ECA Region* by Nicola Cenacchi
- *Biodiversity Adaptation to Climate Change in the ECA Region* by Nicola Cenacchi
- *Adaptation to Climate Change in Europe and Central Asia Agriculture* by William R. Sutton, Rachel I. Block, and Jitendra Srivastava

### On infrastructure

- *Adapting to Climate Change in Europe and Central Asia; Background Paper on Water Supply and Sanitation*, by Barbara Evans and Michael Webster
- *Achieving Urban Climate Adaptation in Europe and Central Asia*, by JoAnn Carmin and Yan F. Zhang
- *Europe and Central Asia Region: How Resilient is the Energy Sector to Climate Change?* by Jane Ebinger, Bjorn Hamso, Franz Gerner, Antonio Lim, and Ana Plecas
- *Climate Change Adaptation in the Transport Sector* by Ziad Nakat

### On health

- *The Health Dimension of Climate Change* by Tamer Rabie, Safinaz el Tahir, Tereen Alireza, Gerardo Sanchez Martinez, Katharina Ferl, and Nicola Cenacchi

### On disaster management

- *Climate Change Adaptation in Europe and Central Asia: Disaster Risk Management* by John Pollner, Jolanta Kryspin-Watson, and Sonja Nieuwejaar

### On Russia and Central Asia

- *Climate Change Projections and Impacts in the Russian Federation and Central Asia* by Vladimir Kattsov, Veronika Govorkova, Valentin Meleshko, Tatyana Pavlova, Igor Shkol
- *Expected Impact of the Changing Climate on Russia and Central Asia Countries* by Alexey Kokorin (WWF Russia)
- *Ongoing or Planned Adaptation Efforts and Strategies in Russia and Central Asia Countries* by Alexey Kokorin (WWF Russia)

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## SYNOPSIS

*The climate is changing and Europe and Central Asia (ECA) is already experiencing the consequences: increasing variability, warmer temperatures, changing hydrology, and more extremes—droughts, floods, heat waves, windstorms, and forest fires.*

*With a legacy of environmental mismanagement and under-investment in infrastructure and housing, the region is already vulnerable to the current climate conditions because of its “adaptation deficit,” which can only increase with projected climate changes. In the near term the region’s vulnerability is dominated by non-climatic factors, including socio-economic and environmental issues that are the legacy of the Soviet system. These will exacerbate climate risks and hamper the ability of sectors that could gain from climate change, such as agriculture, to reap full benefits.*

*Certainty about global warming and the dismal consequences of unmitigated emissions coexist with uncertainty about local impacts and the timing of particular weather events. Policy makers at national and local levels, individuals, and business-owners may face substantial uncertainty as to what to adapt to. The focus therefore must not be on precise impact assessment, but on reducing vulnerability, starting with vulnerability to the current climate. Postponing action until more is known would be a mistake. It would also preclude taking advantage of the many opportunities to increase resilience while reaping copious co-benefits.*

*This report has four key messages:*

***Contrary to popular perception, ECA faces a substantial threat from climate change, with a number of the most serious risks already in evidence.*** Average temperatures across ECA have already increased by 0.5°C in the south to 1.6°C in the north (Siberia), and overall increases of 1.6 to 2.6°C are expected by the middle of the century regardless of what mitigation efforts are undertaken. This is affecting hydrology, with a rapid melting of the region’s glaciers and a decrease in winter snows. Many countries are already suffering from winter floods and summer droughts—with both Southeastern Europe and Central Asia at risk for severe water shortages. Summer heat waves are expected to claim more lives than will be saved by warmer winters.

***Vulnerability over the next ten to twenty years will be dominated by socio-economic factors and legacy issues***—notably the dire environmental situation and the poor state of infrastructure—rather than by the changing climate itself.

***Even countries and sectors that stand to benefit from climate change are poorly positioned to do so.*** Many have claimed that warmer climate and abundant precipitation in the northeastern part of ECA (Kazakhstan, Russia and Ukraine) will open up a new agricultural frontier. However, the region’s currently low agricultural performance, with efficiency and productivity levels far below those of western Europe, does not augur well for its capacity to seize new opportunities.

***The next decade offers a window for ECA countries to make their development more resilient to climate change while reaping numerous co-benefits.*** While some impacts of climate change are already being felt, they will likely remain manageable over the next decade, thereby offering the ECA region a short period of time to increase its resilience by focusing on actions that have numerous co-benefits.

More details on particular sectors or countries can be found in the numerous background papers that underpin the report (<http://go.worldbank.org/7OOC1E7AU0>).

## EXECUTIVE SUMMARY

The climate is changing; and the Europe and Central Asia (ECA) region is vulnerable to the consequences.<sup>1</sup> Many of the region's countries are facing warmer temperatures, a changing hydrology and more extremes—droughts, floods, heat waves, windstorms, and forest fires. Already the frequency and cost of natural disasters have risen dramatically in the region. And the concentration of greenhouse gases already in the atmosphere guarantees that similar or greater changes are yet to come—even if the world completely stopped emitting CO<sub>2</sub>.

Now, and at least for the near future, ECA's vulnerability is being driven more by its existing sensitivity than by the severity of the climate impacts. In fact, ECA already suffers from a serious adaptation deficit even to its current climate. This derives from a combination of socio-economic factors and the Soviet legacy of environmental mismanagement.

Chronic environmental mismanagement is perhaps the most dangerous holdover from the past, massively increasing vulnerability to even modest global warming. Thus, the expected decrease in the level of the Caspian Sea means that the population will come into contact with a range of dangerous substances (pesticides, arsenic) presently locked in coastal sediments. Rising temperatures and reduced precipitation in Central Asia will exacerbate the environmental catastrophe of the disappearing Aral Sea.

The region also bears the burden of poorly constructed, badly maintained, and aging infrastructure and housing—a legacy of both the Soviet era and the transition years. These are ill-suited to cope with storms, heat waves, or floods, let alone protect populations from the impacts of such extreme events. And while Turkey does not carry the same legacy issues, it suffers from demographic pressures on fragile natural resources and inadequate and vulnerable infrastructure.

This report has four key messages:

**Contrary to popular perception, ECA faces significant threats from climate change, with a number of the most serious risks already in evidence.** Average temperatures across ECA have already increased by 0.5°C in the south to 1.6°C in the north (Siberia), and overall increases of 1.6 to 2.6°C are expected by the middle of the century. This is affecting hydrology, with a rapid melting of the region's glaciers and a decrease in winter snows. Many countries are already suffering from winter floods and summer droughts—with both Southeastern Europe and Central Asia at risk for severe water shortages. Summer heat waves are expected to claim more lives than will be saved by warmer winters.

**Vulnerability over the next ten to twenty years will be dominated by socio-economic factors and legacy issues**—notably the dire environmental situation and the poor state of infrastructure—rather than by the changing climate itself. A flood in Baia Mare, Romania in 2000 brought cyanide-laced waste from a gold mining operation into the Tiza and Danube Rivers, poisoning the water of 2 million people. And in sub-regions threatened with water shortages, poor water management dwarfs the likely climate change impacts anticipated for the next 20 years.

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<sup>1</sup> The ECA region covers all the former Eastern Bloc countries (excluding East Germany), plus Turkey.

**Even countries and sectors that stand to benefit from climate change are poorly positioned to do so.** Many have noted that warmer climate and abundant precipitation in the northeastern part of ECA (Kazakhstan, Russia, and Ukraine) will open up a new agricultural frontier. However, any potential benefit pales in comparison to the costs of the region's relative inefficiency and low productivity. While world grain yields have been growing on average by about 1.5 percent per year, they have been falling or stagnant in these three countries, where productivity is far below that of Western Europe or the US.

**The next decade offers a window of opportunity for ECA countries to make their development more resilient to climate change while reaping numerous co-benefits.** While some impacts of climate change are already being felt, they will likely remain manageable over the next decade. This offers the ECA region a short period to increase its resilience by focusing on “no-regret” beneficial actions. Regardless of climate change, ECA will gain a lot by improving its water resource management, fixing its disastrous environmental legacy, upgrading neglected infrastructure and housing, and strengthening disaster management.

But the region should also develop strategies to reduce vulnerability to future changes—focusing on infrastructure but also capacity-building and stronger institutions to support adaptation. And forward-looking decisions today help avoid locking countries or settlements into unsustainable patterns of development. Experiences from other countries, regions, or cities now developing and implementing adaptation plans offer valuable lessons and methodologies.

This report presents an overview of what adaptation to climate change might mean for ECA. It starts with a discussion of emerging best practice adaptation planning around the world and a review of the latest climate projections. The report then discusses possible actions to improve resilience organized around impacts on natural resources (water, biodiversity, and the coastal environment), health, the “unbuilt” environment (agriculture and forestry), and the built environment (infrastructure and housing). The last chapter concludes with a discussion of two areas in great need of strengthening given the changing climate: disaster preparedness and hydrometeorological services.

Adaptation to climate change is a nascent field, much less studied and understood than mitigation (which describes actions to reduce emissions of greenhouse gases).<sup>2</sup> Hence the focus of this report on adaptation, as opposed to mitigation, which is addressed in a number of other World Bank projects and reports.<sup>3</sup>

### ***Climate change—a major threat to ECA***

Both temperatures and precipitation are projected to change significantly over the coming decades in the ECA countries. Temperatures will continue increasing everywhere in the region, with the greater changes occurring in the more northern latitudes. The north is projected to see greater temperature changes in winter, while southern parts of the region are expected to see the greatest changes in summer. Overall in the region, the number of frost days is projected to decline by 14 to 30 days over the next 20 to 40 years (map 2.2), with the number of hot days

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<sup>2</sup> Tellingly adaptation was not part of the Kyoto negotiations in 1992. It now stands as one of the four pillars (along with mitigation, finance and technology) of the negotiations underway within the United Nations Framework Convention on Climate Change, which will culminate at the Climate Change Conference in Copenhagen in December 2009. See <http://unfccc.int> for more details.

<sup>3</sup> See <http://go.worldbank.org/7OOC1E7AU0> for more details.

increasing by 22 to 37 days over the same period. This warming trend is significant: by mid-century, countries such as Poland or Hungary are expected to experience the same number of hot days (>30°C) as today's Spain or Sicily.

Water availability is projected to decrease everywhere but Russia, as increased precipitation in many regions, except Southeastern Europe, is offset by greater evaporation due to higher temperatures (map 2.4b). The most dramatic decreases are likely to occur in Southeastern Europe (–25%). Even in Russia, most of the precipitation increase is expected to occur in winter; therefore, it is still possible that higher summer temperatures could offset precipitation and lead to drought conditions.

Yet even as much of the region is faced with possible droughts, floods are expected to become more common and severe. This is because precipitation intensity will increase across the region—notably through more frequent storms. And while models cannot predict floods per se—as they are events brought on by many factors other than precipitation, such as land use—ECA is in fact already experiencing more severe and frequent floods. Without substantial adaptation measures, the new weather pattern is likely to result in yet more floods.

Warmer temperatures also mean that glaciers are receding and that less winter precipitation falls and is stored in the form of snow. This complicates hydrology and makes it more likely for ECA to experience more winter flooding. And while in the short term basins that rely on glacial melt for summer water may see increased water flow from melting glaciers, the long-term implications for summer water availability are troubling—particularly in irrigation-dependent Central Asia.

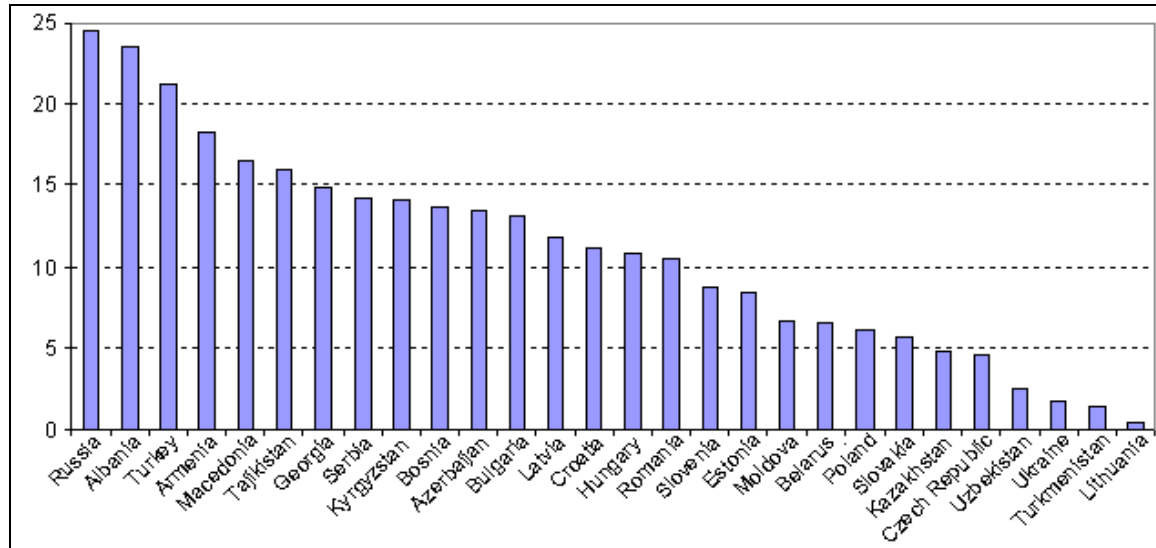
In the Arctic, temperatures have been warming at about twice the global average with significant impacts on arctic ice, the tundra, and permafrost. Ice cover in September (when the ice is at its minimum) is projected to decline 40 percent by mid century. Some models project that by the end of the century the Arctic will be completely ice-free in the summer. Russia's permafrost line is receding and seasonal thaw depths are projected to increase by 30 to 50 percent by 2050. The melting of ice and permafrost is affecting biodiversity, as well as leading to coastal erosion and the collapse of exposed buildings and infrastructure.

Changes in sea level, another impact of climate change, will affect ECA's four basins (the Baltic Sea, the East Adriatic and Mediterranean coast of Turkey, the Black Sea, and the Caspian) and the Russian Arctic Ocean. On the Baltic, Poland, with its heavily populated low-lying coast, is especially vulnerable to sea level rise. Along the Adriatic and the Mediterranean, storm surge and saltwater intrusion into aquifers threaten parts of the Croatian, Albanian, and Turkish coasts. Sea level rise has been highest in the Black Sea, where it is threatening the numerous ports and towns along the Russian, Ukrainian, and Georgian coasts. In the Caspian Sea, water levels are projected to drop by approximately six meters by the end of the twenty-first century, due to increased surface evaporation. This will imperil fish stock and affect coastal infrastructure.

An index designed to capture the strength of future climate change relative to today's natural variability (Baettig et al. 2007) suggests that the ECA countries most exposed to increased climate extremes are Russia, Albania, Turkey and Armenia, and, to a lesser extent, Macedonia and Tajikistan. Relative to the rest of the world, these countries are in the middle tier of

exposure. However, this is not necessarily reflected in a concern for climate change: only 40 percent of Russians think climate change is a serious issue; in contrast 70 percent of Turks do (Pew Global Attitudes Project 2007).

**FIGURE ES.1 ECA COUNTRIES LIKELY TO EXPERIENCE THE GREATEST INCREASES IN CLIMATE EXTREMES BY THE END OF THE 21ST CENTURY: RUSSIA, ALBANIA AND TURKEY**



Source: Baettig et al. (2007). Notes: The index combines the number of additional hot, dry and wet years; hot, dry and wet summers; and hot, dry and wet winters projected over the 2070–2100 period relative to the 1961–1990 period. As such, countries already experiencing substantial variability and extremes are less likely to rank highly on this index (e.g., India and the Czech Republic have about the same score).

Increased temperatures and changing hydrology are already affecting ECA’s forestry and agriculture. Extreme events combined with earlier snowmelt and hot, dry summers have caused substantial tree loss and degradation. In Russia, 20 million hectares were lost to fire in 2003 alone. The warming climate is also allowing the northward migration of pests and harmful plant species. For agriculture, net losses are likely for Southeastern Europe and Turkey, the North and South Caucasus, and Central Asia. The projected impacts are mixed or uncertain in Central and Eastern Europe, Kazakhstan, and the Central and Volga region of Russia.

Warmer weather and other factors associated with climate change are also affecting health. Malaria, which had been eradicated from Europe, is making a comeback as are a number of once rare infectious diseases; meanwhile, allergies related to pollen are projected to increase, particularly in Central Europe. Hundreds of deaths were attributed to the 2001 heat waves in Moscow and across Croatia, Slovenia and the Czech Republic. Such heat waves will occur much more frequently in the future.

***Vulnerability over the next ten to twenty years will be dominated by socio-economic factors and legacy issues***

Resilience to a changing climate—whether to a climate shock or to changing averages—depends heavily on the current state of the system it impacts, be it human, physical, or ecological. Thus, a small drought may be manageable for a farmer coming out of a prosperous year but ruinous if it follows another dry spell that exhausted the household’s savings. Similarly, declining water

runoff will be catastrophic for a region that already relies too much on its underground water resources, but may be manageable for another whose agriculture is sustainable in current conditions.

Decades of environmental mismanagement have diminished ECA's natural resilience. Under the Soviet system, economic growth was pursued in blatant disregard to natural conditions. When water was needed for irrigation, the rivers feeding the Aral Sea were diverted to the desert to produce rice, fruit, and cotton. Uzbekistan became one of the world's largest exporters of cotton, but at the cost of destroying the Aral Sea in the process. Today, the sand and salt blown from the dried-up sea bed onto the surface of Central Asian glaciers is accelerating the heat-induced melting of the glaciers—the source of most of the region's water. And Uzbekistan's agriculture and hugely wasteful irrigation system is extremely vulnerable to climate change.

The environmental legacy of central planning is particularly dramatic for agriculture, and greatly increases the sector's vulnerability to climate change. Uzbekistan is not the only country to have specialized in producing a small number of crops ill-suited to the local environment; other countries and sub-national regions have as well. Poor management of soil erosion, water resources, pest control, and nutrient conservation makes the agricultural system especially vulnerable.

Over the next couple of decades non-climatic factors, such as legacy issues and continuing unsustainable demand, will be the main drivers of water stress in Europe and Central Asia (Vörösmarty et al. 2000). Floods cannot be explained by increased precipitation alone, but result from a combination of heavy precipitation and poor land use and river basin management. Overall climate-related changes to freshwater systems have been small compared to factors such as pollution, inappropriate regulation of river flows, wetland drainage, reduction in stream flow, and lowering of the groundwater table (mostly due to extraction for irrigation). Clearly, more sustainable practices will be needed over the next decade before global warming's impacts become even more severe.

Pollution is another legacy issue that magnifies the impact of climate change. While Estonia's coast is not generally vulnerable to sea level rise, one danger persists: the leaching of radioactive waste at the Sillamae industrial center is separated from the sea by a narrow dam that is threatened by coastal surge. Coastal landfills around the Black Sea, notably in Georgia, have been identified as pollution hotspots, and coastal erosion could increase the amount of pollutants flushed to sea, threatening a fishing industry already struggling with the consequences of overfishing and pollution.

In many parts of ECA, dangerous facilities or dump sites were often located close to weather-sensitive sites or heavily settled areas. This means that floods or extreme events can cause far greater damage here than would be the case in other parts of the world.

Poor quality housing will raise the human toll of climate change as heat waves turn poorly ventilated buildings into furnaces, and heavy rains brings leaks and mold. This is a special problem for ECA's cities—most of which have a glut of aging Soviet-era buildings made with prefabricated concrete panels and in desperate need of refurbishment.

Meanwhile, during the transition from central planning, ECA's abundant and over-dimensioned infrastructure has suffered from years of under-investment. Poor management often

compounds the situation—especially in water and sanitation utilities. Global warming has an especially negative effect on water systems—exacerbated by the inefficiency of most water utilities, which under-price and suffer severe physical losses. This translates into high consumption and limited funding for upgrades and investments.

Elsewhere across ECA, the power sector is hard pressed to respond to the peaks in electricity demand linked to rising summer temperatures, and is badly in need of upgrade and expansion. Warmer summers, with periods of intense heat, have strained the transmission networks of Turkey, Azerbaijan and Kazakhstan, as well as systems throughout Southeastern Europe. In addition, extreme weather threatens the ability of networks to function as intended—especially aging and poorly maintained facilities.

ECA's transport infrastructure, with poorly maintained roads and structures, is also at risk. More intense precipitation will make sub-grade pavement less stable and weaken retaining walls. Long periods of droughts can lead to settling of the earth beneath the structures. More extreme temperatures will add to road deterioration as has already happened in Kazakhstan, where truck travel has to be limited on hot summer days when the asphalt softens.

It is tempting, though incorrect, to expect growth and prosperity to increase resilience to climate change. And this is especially untrue in ECA, where growth has typically occurred at the expense of the environment, thereby increasing vulnerability. In fact, growth and economic development are in some cases exacerbating vulnerability—such as coastal developments around the Black Sea, where buildings are being erected on sites exposed to coastal surge and storms.

***Even countries and sectors that could stand to benefit from climate change are poorly positioned to do so***

Higher latitudes could benefit from improved conditions for agriculture: the Baltics, parts of Kazakhstan and Ukraine, and most of Russia (except for the North Caucasus). However, the potential for gain is unclear since it could be offset by increased variability and extreme events. Most countries will face a mix of losses and gains.

Nevertheless, many global studies about future food production assume ECA countries will help offset the decline in world food production resulting from decreasing yields in lower latitudes. In particular, Kazakhstan, Russia, and Ukraine are often mentioned as the countries with the world's greatest unrealized food production potential.

The fact is that the current gap between potential and actual yields in ECA is significantly higher than any potential gains from climate change. In particular, the current yield gap for the former Soviet countries in Europe (including Ukraine and European Russia) is 4.5 times higher than the potential increase in production from climate change by 2050 (Olesen and Bindi 2002). In other words, unless current inefficiencies are addressed, the world's greatest unrealized food production potential will remain unrealized.

Forests show a similar pattern to agriculture. Estimates indicate that the largest share of potential forest stock increases in Europe would be from improved management (60–80%)

rather than climate change (10–30%) (Easterling et al. 2007). Improved management requires strong forest institutions, which are often lacking in the transition countries.

The inability of Kazakhstan, Russia, and Ukraine to close the productivity gap or respond to recent crop price increases does not bode well for their capacity to adapt to and benefit from climate change. Indeed, the key challenge will be to close the existing productivity gap rather than ride the climate change wave to a new time of prosperity. That will depend on technology, policy, investment, support services, and crop management—and not simply on climate conditions.

Northern areas will see intense competition between forestry and agriculture for land. The relative feasibility of field crops, tree crops, and livestock may further alter land-use patterns. A program of increasing farm outputs by expanding cultivation into newly temperate lands would require large investments in land-clearing, production, marketing, and transport infrastructure—suggesting that improving the productivity of land currently under cultivation is more attractive.

***The next decade offers a window of opportunity for ECA countries to make their development much more resilient to climate change while reaping numerous co-benefits***

Much of the adaptation needed to make ECA more resistant to climate change will have substantial co-benefits. Improved water resource management, better performing water utilities and energy systems, and upgraded housing and transport infrastructure are crucially needed independent of climate change. The gains from improved agricultural practices are much more significant than the changes expected from climate change. In any case, the region must clean up environmental hotspots, accelerate disaster management, and expand hydromet services.

Climate change does expose ECA's weaknesses and the costs and risk-implications of these weaknesses. But where to start? Consistent with the advice of many experts on climate change adaptation, ECA should focus on areas and sectors already vulnerable to today's climate conditions and on actions that have immediate positive impacts for the population. In fact, much of what is discussed in this report falls into the category of "no-regret" actions—that is, actions that are beneficial, whatever the climate change scenario.

But some decisions about long-term investments have to be made now—under conditions of uncertainty. For example, Albania, which currently derives 97 percent of its electricity from hydroelectric plants, but cannot rely on it as a future source, must think through its long-term electricity strategy. And Central European countries such as Poland, with over 5 million flats in poor Soviet-era buildings, need renovation plans given the predicted increases in both rainfall and temperatures.

Uncertainty can be paralyzing. It is one of the reasons that high *potential* for adaptation does not guarantee adaptation action. A recent study of the US—often assumed to have a high capacity for adaptation given its wealth, technical resources, and large size (allowing for both diversification and spreading of climate risk)—shows that many at-risk organizations and individuals are failing to adapt (Repetto 2008): the Army Corps of Engineers is rebuilding



Louisiana's levees to the same standards that failed during Katrina; many Southwestern states are failing to incorporate climate change in their drought preparedness plans. In most cases, the reason for not changing standards or continuing to build in the same exposed location is uncertainty about "what to adapt to."

However, some countries and communities are not waiting. Australia and the UK have developed methodologies, standards, and databases to help organizations and individuals develop adaptation plans (UKCIP 2003, Australian Government 2005).

One approach gaining traction is to focus on "robust strategies"—meaning strategies that are effective even in the face of an unpredictable future (Lempert and Schlesinger 2000). This approach tries to answer the question: *What actions should we take, given that we cannot predict the future?* It views climate change policy more as a contingency (*what if?*) problem than an optimization problem (*what is the best strategy given the most likely outcome?*). Looking for robust, as opposed to optimal, strategies is essentially scenario-based planning, and can help overcome the paralysis associated with uncertainty.

Perhaps the most critical lesson on how to develop adaptation plans is the importance of involving stakeholders. Stakeholders understand current vulnerabilities, the starting point for understanding future adaptation needs, and often have good ideas on how to reduce them. Involving stakeholders also improves the chance that the adaptation plan is implemented and that adaptation concerns are mainstreamed. This was the case in London where, five years after the *London's Warming* report, original stakeholders are still involved in the city's adaptation strategy.

ECA countries need to act. They can learn from other countries on how to manage uncertainty and assemble the right information to guide climate-resilient practices. But in ECA, perhaps more than in any other region, uncertainty should be a catalyst for action instead of an excuse for inaction. Fixing the region's current weaknesses and tackling its dismal environmental legacy will have immediate and substantial benefits on the welfare of individuals and on future economic growth, regardless of climate change.

## CHAPTER 1. A FRAMEWORK FOR DEVELOPING ADAPTATION PLANS

Regardless of any mitigation efforts, overall increases of 1.6 to 2.6°C are expected in ECA by 2050 (see chapter 2). And under a business-as-usual scenario, worldwide projections suggest a median warming of 5°C (Sokolov et al. 2009).

These are enormous shifts, unlike anything the world has seen for more than 800,000 years. In fact, the difference between our world and the last ice age is only 5°C. The implications for ecological and human systems are serious even with relatively low 2°C temperature increases (Smith et al., 2009).

There is also solid evidence that the climate will keep changing and that the sea level will continue rising for centuries as a result of CO<sub>2</sub> concentrations *currently* in the atmosphere (Solomon et al. 2009). This stems from the tremendous lags and inertia in the climate system. In these circumstances, then, adaptation is not merely optional.

Still, the question remains: *What exactly should countries be adapting to?* Certainty about global trajectories coexists with substantial uncertainty about discrete events or local changes—particularly concerning precipitation. This uncertainty increases with attempts to downscale on a regional or sub-regional basis (chapter 2) and is amplified by the deterioration in national climate services in a number of ECA countries (chapter 7).

The possibility of *reducing* the uncertainty about the nature and impact of climate change is limited—particularly in the short run. Policy work on climate change must therefore strive to help decision-makers *manage* uncertainty, even as the scientific community tries to reduce it.<sup>4</sup> Managing uncertainty is what most business and policymakers do on a regular basis.<sup>5</sup>

Managing uncertainty in the adaptation context means reducing the *vulnerability* of systems—human, social, ecological—to climate change; that is, reducing “the degree to which a system is susceptible to, and unable to cope with, adverse effects of climate change, including climate variability and extremes” (IPCC 2007a). This begins with understanding the sources of vulnerability.

Vulnerability can be determined without having to undertake expensive in-depth assessments. A number of countries and cities around the world have developed frameworks to assess and manage climate risk or reduce vulnerability (Australian Government 2005, Clean Air Partnership 2007, Finnish Environment Institute 2007, Natural Resources Canada 2005, Gagnon-Lebrun and Agrawala 2006). These offer practical methodological approaches and lessons regarding implementation.<sup>6</sup>

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<sup>4</sup> See Schneider and Kuntz-Duriseti (2002) for a discussion of the need to manage, rather than master, uncertainty. They trace the origin of this approach to work on resilience in ecology.

<sup>5</sup> Uncertainty, formally speaking, is different from risk (where there is a known probability distribution); however, it was impossible to write this report without using the word “risk,” so we have not adhered to a formal use of the two terms.

<sup>6</sup> These works stand in contrast to a large academic literature on adaptation that focuses on frameworks and definitions. While helpful in framing the discussion of what adaptation is, that literature does not offer much in the way of practical guidance for preparing and implementing an adaptation program.

But developing and implementing adaptation strategies entails a number of challenges, including processing climate data and prioritizing adaptation measures.<sup>7</sup> It requires getting the right data and knowing how to use it. Climate data are usually projections based on large-scale models that lose reliability even as they are downscaled (chapter 2). Even where data is available, decision-makers may be swamped by the number and variety of projections by climate modelers, or not understand how use the data and manage the uncertainty in projections.

Another challenge is to appraise and choose among options. Decision-makers need to decide whether and when to undertake costly and irreversible investments in a situation where the magnitude and probability of risk is largely unknown. How to decide whether to spend millions to protect against a flood that may never come? When should farmers decide to switch crops, or invest in irrigation? A critical element of any adaptation strategy is therefore a methodology for decision-making under uncertainty.

In addition, obstacles to adaptation must be understood. These may be straightforward, such as technical or financial obstacles, or less obvious obstacles linked to human psychology or informational and cognitive barriers. For example, people already dealing with multiple uncertainties may have a “finite pool of worry” (Hansen et al. 2004). Others may not act upon good information, like Floridian homeowners who failed to invest the few hundred dollars in simple upgrades that would have greatly reduced their homes’ vulnerability to hurricanes (Lewis 2007).

This chapter discusses vulnerability and its sources, as well as a way to estimate the vulnerability of countries in ECA. It then reviews how adaptation plans can and have been developed, including ingredients for success. The chapter concludes with a discussion of the challenges of making adaptation effective.

### ***Vulnerability as a function of exposure, sensitivity and adaptive capacity***

Vulnerability is the degree to which a system is likely to experience harm due to exposure to a hazard (Turner et al. 2003). Disentangling the components of vulnerability has been the subject of vigorous academic debate.<sup>8</sup> However, there is a simple and widely accepted approach that is broad enough to capture the essence of the different concepts of vulnerability detailed in the literature. The framework defines vulnerability as a function of exposure, sensitivity, and adaptive or coping capacity (figure 1.1).<sup>9</sup> The advantage of this approach is that it helps distinguish between what is exogenous, what is the result of past decisions, and what is amenable to policy action.

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<sup>7</sup> A number of these strategies have been written up, and in some cases attempts have been made to provide a critical analysis of what appears to work. For a comparative analysis of these strategies, see Heinz Center (2007).

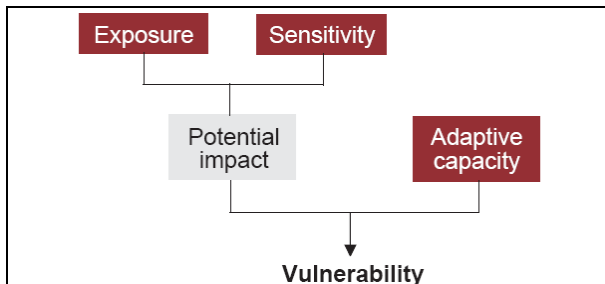
<sup>8</sup> A whole cottage industry has sprung up on how to define and measure vulnerability. This is partly because different disciplines or fields of research (e.g., catastrophic risk management, ecology, social protection, and climate change) use similar terms for different purposes; or researchers may use many different terms to describe the same fundamental concept. For a recent overview of the literature on the topic and a discussion of how this framework fits with other approaches see Füssel (2007).

<sup>9</sup> This follows the 2001 IPCC, and is a framework further developed by a number of authors.

*Exposure* is a fairly straightforward concept: it is determined by the type, magnitude, timing, and speed of climate events and variation to which a system is exposed (e.g., changing onset of the rainy season or minimum winter temperatures, floods, storms, heat waves).

But the impact of a climate shock or change also depends on how *sensitive* a system is to that shock. The impact of a flood, for example, will depend on several factors: Do people live in the flood plain? Have toxic waste or water treatment plants been sited in the flood plain? Does the municipality have the organizational and financial resources to prevent the spread of waterborne diseases, help people access shelter, and quickly rebuild washed-out infrastructure, thereby reducing post-disaster loss of life and promoting faster recovery?

**FIGURE 1.1 CONCEPTUAL FRAMEWORK FOR DEFINING VULNERABILITY**



Source: Australian Government 2005.

Sensitivity depends on how stressed the current system is. A system or a population already close to its limits will suffer great damages even from small shocks. These might include poor individuals without any savings; congested and poorly maintained transport systems; unhealthy populations; or water basins depleted of underground water resources.

Together, exposure and sensitivity determine the *potential impacts* confronting a community or a system—the impacts without considering adaptation. But vulnerability also depends on how capable a system is of adapting and coping. Adaptation can be planned or autonomous; it can be anticipatory or reactive. The ability to adapt is a function of organizational skills, access to and ability to use information, and access to financing.

The distinction between sensitivity and adaptive capacity can be blurry. Sensitivity can be the degree to which a system is affected (positively or negatively) *in its current form* by a climate trend, climate variability, or climate shock. Adaptive capacity, on the other hand, is dynamic and affects future sensitivity.

In practice, it may well be that the same factors that determine current sensitivity also determine the extent of adaptive capacity. A poor household, will be sensitive to shocks, experiencing large impacts from even small shocks; it will also usually have less adaptive capacity due to its lack of resources to finance relocation or protective infrastructure (dykes, stilts, irrigation systems).

The exposure/sensitivity/adaptive capacity approach helps identify the combination of factors that amplify or reduce the impact of climate change and distinguish exogenous factors (exposure) as opposed to those amenable to local policy actions (adaptive capacity hence future sensitivity). It can be applied to particular regions or cities or sector by sector, as illustrated by the Australian Government's application of this framework to agriculture (table 1.1).

**TABLE 1.1 APPLYING THE VULNERABILITY FRAMEWORK TO THE AUSTRALIAN CROPPING INDUSTRY**

Vulnerability criterion	Findings
<b>Exposure</b>	<p>The Australian broadacre cropping industry is located across a wide range of agro-ecological zones that differ significantly in their access to rain-fed and irrigated water. Climate change will leave many regions exposed due to increased temperature, reduced annual rainfall, or reduced water when needed for plant growth. An increase in the intensity and frequency of extreme events, such as drought and hail, will limit the capacity to grow productive crops in some regions. It is likely that the areas now considered marginal in their capacity to produce viable crops will be the most vulnerable to climate change.</p>
<b>Sensitivity</b>	<p>Some regional locations will be more sensitive to the impacts of climate change than others. This sensitivity can be attributed to a number of factors, including heat stress, susceptibility to pests and diseases, seasonal rainfall patterns delivering rain when it is not needed, frequency of frost days and very hot days, number of drought years, and the ability to recover after drought.</p> <p>Some regional communities depend heavily on the economic viability of the broadacre cropping sector and so will likely suffer significant decline subject to the impacts of climate change. The impact of elevated CO<sub>2</sub> on plant growth, together with reduced rainfall and increased temperature, may provide opportunities in some regions; but it is more likely to increase the reliance on nitrogen fertilizer to achieve current production rates.</p>
<b>Adaptive capacity</b>	<p>The broadacre cropping industry has few options to adapt to the impacts of climate change and relies strongly on the ability to obtain a good return in one year out of three. The introduction of drought tolerance into new plant varieties will increase the adaptive capacity of agriculture within a limited range of increased temperature and reduced soil moisture conditions. In addition, improved water use efficiency through better soil management, such as no-till, will increase the capacity of the industry to adapt to small changes in climate.</p>
<b>Adverse implications</b>	<p>Broadacre cropping industries remain the lifeblood of regional Australia, with crop production worth about \$8 billion of export and domestic earnings annually (principally export). Any adverse impacts of climate change will have a significant detrimental impact on regional communities.</p>
<b>Potential to benefit</b>	<p>The broadacre cropping industry has limited opportunity to adapt to the impacts of climate change with the main adaptations likely to be short to medium term only. Adaptations that will provide on-going productivity in some regions (although the most marginal regions will be the most exposed) are: increased water use efficiency through soil management; increased drought tolerance and shorter season varieties; long-term weather forecasts; a move away from commodity trading; and improved protection from pests and diseases. In all cases the potential to benefit improves where more accurate and reliable annual forecasts are provided, improving the capacity to increase yield and reduce the number of failures in bad years.</p>

Source: Reproduced from Australian Government 2005.

## A vulnerability index for ECA

We applied our vulnerability approach in an attempt to develop a simple vulnerability index for ECA countries. This is only a quick summary offered to guide more in-depth, questioning. In particular, conditions within countries may vary substantially. Fay and Patel (2008) discuss in detail the methodology and underlying data sources used in developing this index.

Our vulnerability index combines three sub-indices capturing a country's exposure, sensitivity, and adaptive capacity.<sup>10</sup> The first, *exposure*, is based on an index measuring the strength of future climate change relative to today's natural variability (Baettig et al. 2007). The index is available on a country basis and includes both annual and seasonal temperature and precipitation indicators. It combines the number of additional hot, dry and wet years; hot, dry and wet summers; and hot, dry and wet winters projected over the 2070–2100 period relative to the 1961–1990 period. This suggests that the countries most exposed to future climatic change are Russia, Albania, Turkey, Armenia and, to a lesser extent, Macedonia and Tajikistan (figure 1.2).

The second sub-index, a country's *sensitivity* to climate change, is based on indicators likely to increase the impact of climate shocks. This includes: physical indicators, such as the available renewable water resources per capita, and the extent of air pollution (since particulate matter in the air worsens the impact of heat waves); economic indicators capturing the importance of agriculture in the economy (share of employment and value of assets); and the share of electricity derived from hydroelectric plants. We also included a measure of the overall quality of infrastructure since infrastructure in poor condition is more likely to fail during an extreme event. Last, we include the share of population over 65, who tend to be more sensitive to climate shocks. The results suggest that Central Asian countries are particularly sensitive to climate change—along with Albania, Armenia and Georgia (figure 1.3).

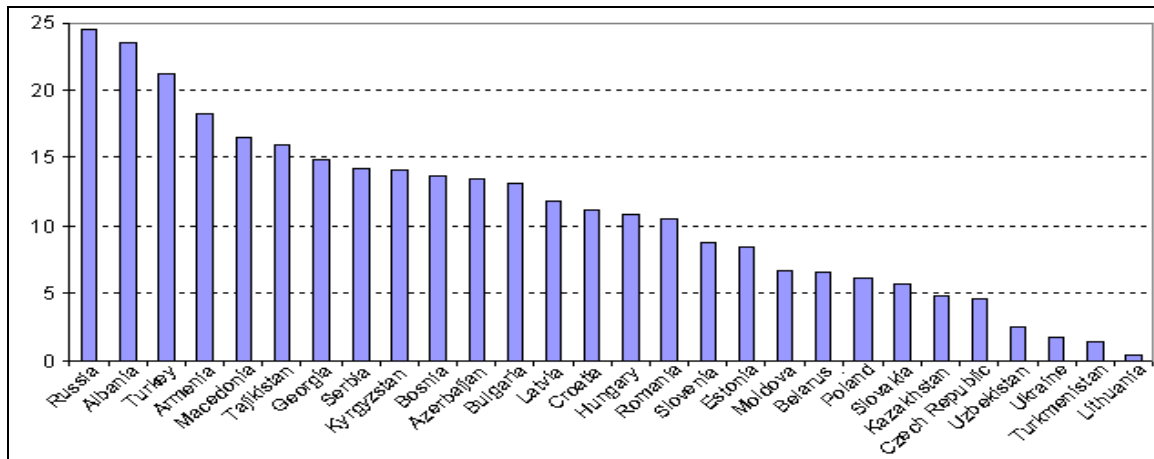
The third sub-index, *adaptive capacity*, is estimated by combining social (income inequality), economic (GDP per capita), and institutional measures.<sup>11</sup> The adaptive capacity sub-index differs from the other two in that higher values are good—that is, they denote higher adaptive capacity (figure 1.4). As expected we find sensitivity and adaptive capacity to be inversely correlated, with the richer countries generally less sensitive and with higher adaptive capacity. This is not universal, however: Russia has both low sensitivity and adaptive capacity.

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<sup>10</sup> The index uses principal component analysis (PCA) to calculate the sensitivity and adaptive capacity indicators, as well as to combine all three indices into the overall vulnerability index. PCA is a statistical technique that picks the weight in order to best explain the variance in the data. The exposure sub-index was from Baettig (2007) and uses a simple linear formula to combine the underlying variables.

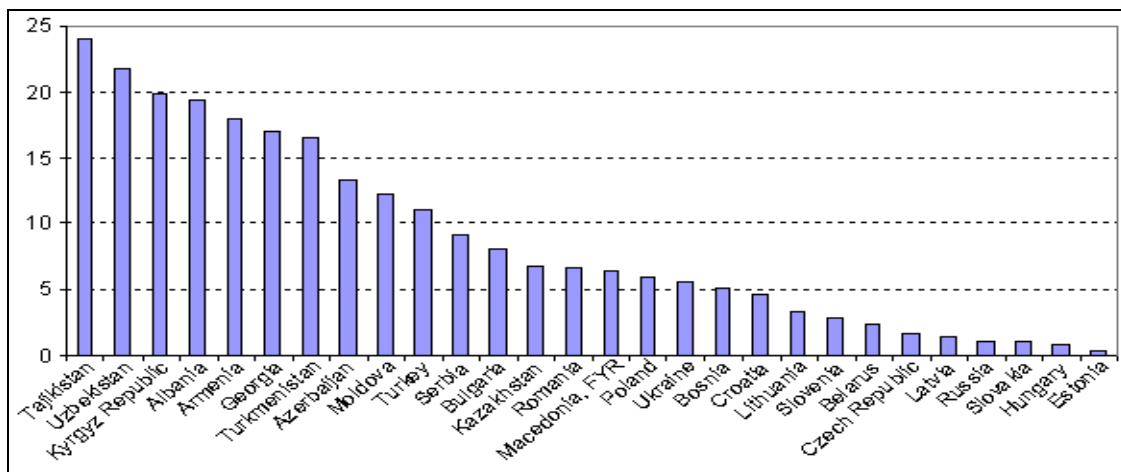
<sup>11</sup> The institutional measures are from the Worldwide Governance Indicators Project (Kaufmann et al. 2008) and include measures of voice and accountability; political stability and absence of violence; and an aggregate governance measure of government effectiveness, regulatory quality, rule of law, and control of corruption.

**FIGURE 1.2 AN INDEX OF EXPOSURE TO CLIMATE CHANGE**



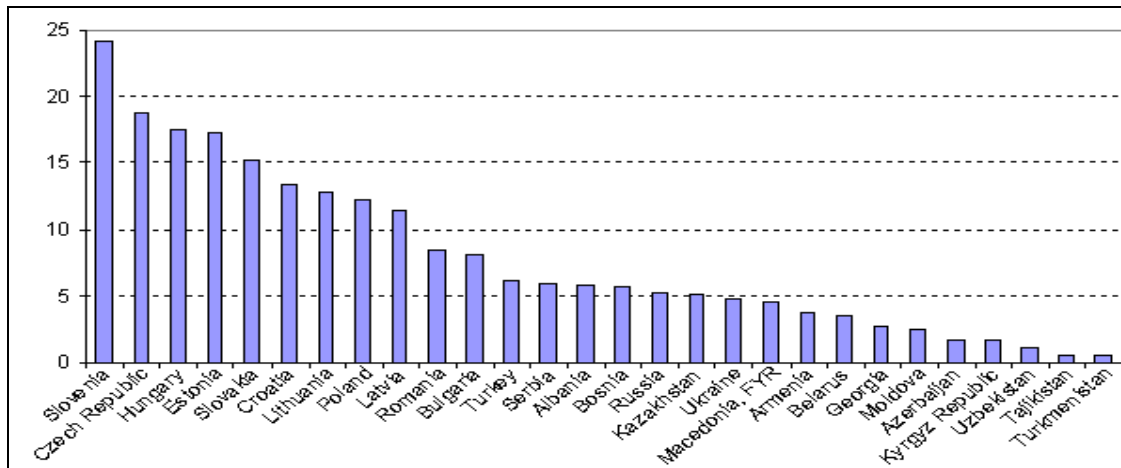
Source: Baettig et al. 2007.

**FIGURE 1.3 AN INDEX OF SENSITIVITY TO CLIMATE CHANGE**



Source: Fay and Patel (2008).

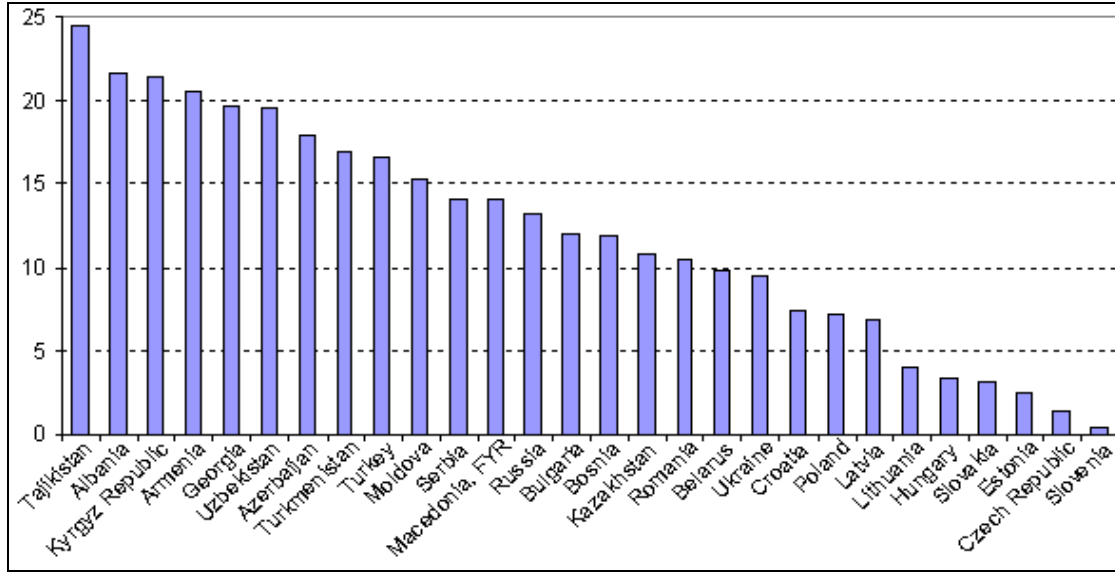
**FIGURE 1.4 AN INDEX OF ADAPTIVE CAPACITY TO CLIMATE CHANGE**



Source: Fay and Patel (2008).

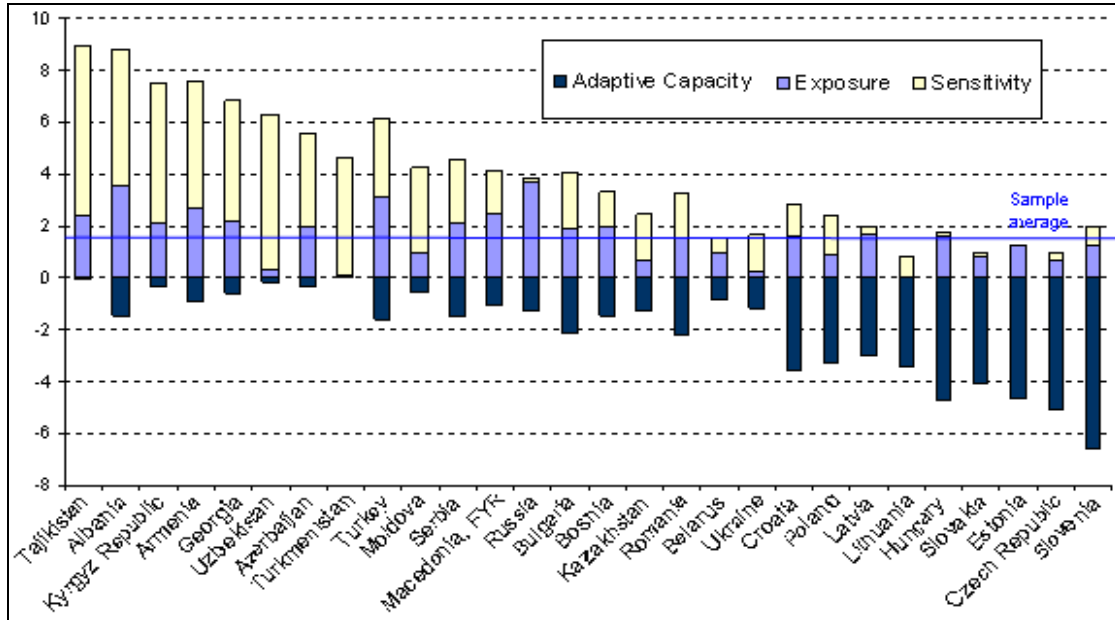
Combining the three components into a single index of vulnerability yields the ranking shown in figure 1.5a. Figure 1.5b uses a different scale to enable us to see what factor—exposure, sensitivity or lack of adaptive capacity—drives countries’ vulnerability. Thus, among the most vulnerable, Albania suffers from relatively high exposure, while Tajikistan and Kyrgyz Republic are estimated to have social and productive structures that make them very sensitive to the impact of a changing climate. Russia stands out for its high exposure and limited adaptive capacity, being offset by relatively low sensitivity.

**FIGURE 1.5A AN INDEX OF VULNERABILITY TO CLIMATE CHANGE**



Source: Fay and Patel (2008).

**FIGURE 1.5B THE DRIVERS OF VULNERABILITY TO CLIMATE CHANGE**



Source: Fay and Patel (2008). Note: Adaptive capacity decreases vulnerability, hence is shown here as taking negative values. Slovenia has very high adaptive capacity, which is therefore large and negative, while Tajikistan has very low adaptive capacity and is therefore close to zero. The overall indicator is rebased to vary from zero to 25, to be comparable to fig. 1.5a.



### An alternative measure

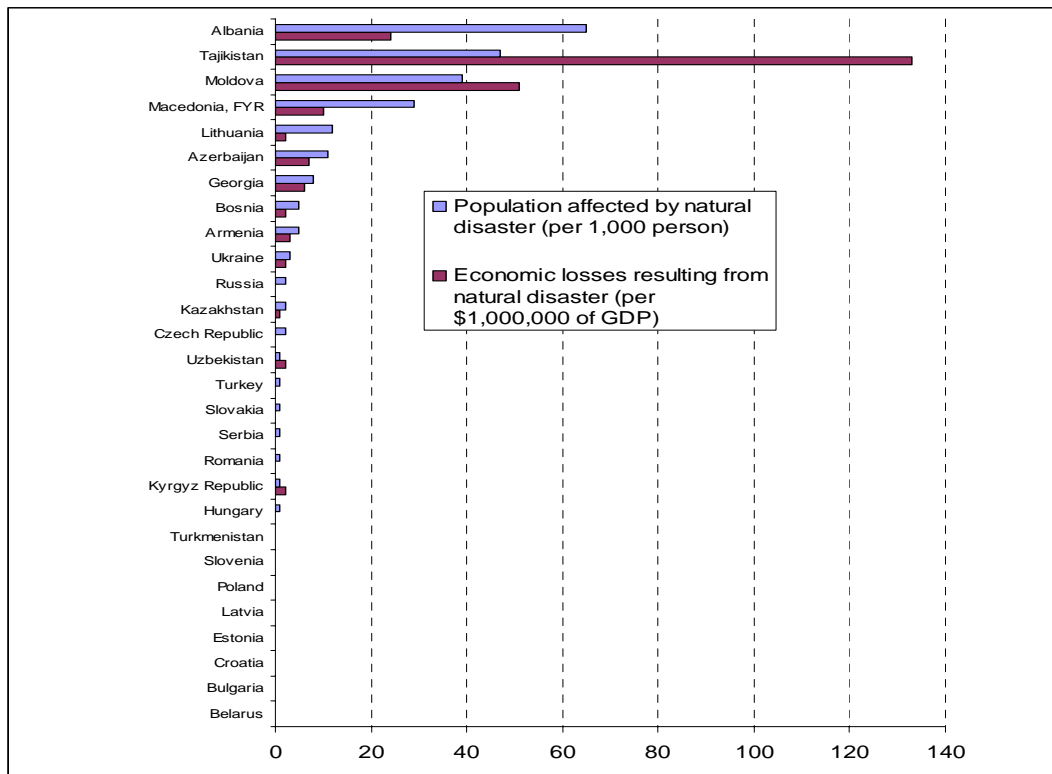
Researchers have argued that an alternative and good proxy for vulnerability to climate change is the current “adaptation deficit”—meaning the vulnerability to the current climate. But even this can be hard to estimate on an aggregate basis.

One proxy is offered by the incidence and impact of natural disasters over the last decades (figure 1.6). This suggests that the most vulnerable countries in ECA are Albania, Tajikistan, and Moldova. The ranking corresponds somewhat to that suggested by our index of vulnerability: the two most vulnerable countries are the same (Albania and Tajikistan), but the overall correlation of the two sets of ranking is only about 0.5.

The disaster impact data, however, has many limitations as a measure of the deficit of needed adaptation to climate change. First, it only looks at damage from natural disasters, but climate change also entails increasing variability and shifting averages that require different adaptation responses. The ability to limit disaster damage is not necessarily the same as the ability to handle changes in variability and averages, and vice versa.

Second, the financial estimates of damages in the EM-DAT database are considered much less reliable than the data on number of deaths; but adaptation to climate change is about much more than saving lives. The deficit measured by deaths is the inability of states to protect human life in disaster conditions—not the inability of states to protect livelihoods, welfare, and economic production in conditions of changing means and variability as well as disasters.

**FIGURE 1.6 IMPACT OF NATURAL DISASTERS IN ECA, 1990–2008**



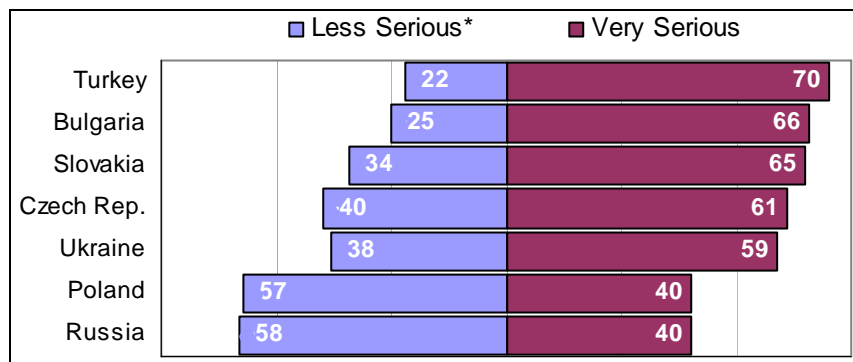
Source: EM-DAT, Centre for the Research on the Epidemiology of Disasters, Université Catholique de Louvain. [www.emdat.be](http://www.emdat.be)

### ***From vulnerability to action: facing the challenge of adaptation***

Awareness and concern about climate change is relatively low in ECA. Only about 50 percent of those interviewed in the 7 ECA countries included in a recent Global Attitude Survey of 48 countries consider climate change to be a serious problem—lower than the 59 percent average across the full sample of 48 countries (figure 1.7).

Part of this problem stems from the often confusing or misleading way in which climate change is communicated to the public. Journalists tend to want to present a “balanced” story, which has resulted in the press granting equal weight to climate scientists and others who often lack academic credentials.<sup>12</sup> This has led to calls for more interaction between journalists and scientists—who fault this traditional application of balance in reporting to science, arguing that peer-reviewed studies should not be weighed equally against expressions of opinion or policy arguments.

**FIGURE 1.7 GLOBAL WARMING: HOW SERIOUS A PROBLEM?**



Notes: \*Less serious = somewhat serious, not too serious, or not a problem.

Source: Pew Global Attitudes Project 2007.

In addition, awareness or understanding of climate change does not necessarily lead to action and behavioral change—as shown by ample evidence from risk, cognitive, and behavioral psychology. A recent review of adaptation action in the US shows continued chronic failure to upgrade standards to more appropriate ones despite the existing information on increased likelihood of floods, droughts, and hurricanes (Repetto 2008).

Part of the issue is that individuals may have a finite pool of worry, and so may fail to act upon existing information because of priorities or other factors, such as a lack of experience with climate-related events. They may feel paralyzed and unsure how to change their own behaviors to address such a large-scale problem, or face insurmountable financial or technical obstacles.

Policymakers need to be aware of these cognitive, behavioral, and socio-cultural barriers to action. While consistent and simple information campaigns are important, they won't guarantee that action will follow. Policymakers must be ready to address perceptions of risk, vulnerability,

<sup>12</sup> Thus while a survey of 928 peer reviewed articles published in academic journals found no disagreement with the consensus view, public opinion polls show high but far from universal belief in climate change (Oreskes 2004). In addition, every five years, the Intergovernmental Panel on Climate Change, composed of more than 2000 scientists nominated by their country, produce a consensus document on climate change. The fourth such report was produced in 2007 and concluded that the evidence on climate change was unequivocal (see [www.ipcc.ch](http://www.ipcc.ch)).

and capacity to adapt. Useful tools to support adaptation planning are discussed in the following sections.

### **Approaches to adaptation planning**

Hazard identification and risk assessment require a comprehensive approach regardless of the qualitative nature of the analysis. In other words, vulnerability should be assessed even if quantitative or detailed data about future conditions are missing.

The initial assessment should be based on the *current climate*, identifying current vulnerabilities and knowledge or other gaps. Such an assessment should include feedback loops throughout the process so that decision-makers can screen, evaluate, and prioritize risks before deciding on more detailed analysis of certain measures.

Most assessment frameworks emphasize a number of steps common to hazard identification and risk assessment (UKCIP 2003, Australian Government 2005, EEA 2007). Box 1.1 offers more detail on these, but the key steps progress as follows:

- Identify hazards and assess risks
- Identify options to manage risks
- Appraise options, including their costs and benefits
- Make decision
- Implement decision
- Monitor, evaluate, and review periodically

This approach offers flexibility: it can be applied at different levels, from the national ministries down to a city government or municipal water utility. The usefulness at the local level is key since adaptation actions are often locally determined and implemented.

However, the mayor or utility manager will more likely succeed in gathering the needed guidance, informational inputs, and political momentum if a national assessment has been conducted and a national adaptation plan, linked to local efforts, is in place (Adger et al. 2007).

Low cost presents another advantage. While adaptation measures may ultimately be costly, the process of screening risks and developing an effective adaptation plan are within the capacity of government budgets. The United Kingdom's Climate Impact Program (UKCIP), the UK agency in charge of helping promote adaptation across the country, has just 10 full-time staff and a budget for three years (2002–2005) of £1.766M. This is mostly because sector stakeholders, not permanent staff, are the real engines of activity.

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**BOX 1.1 STANDARD APPROACHES FOR UNDERSTANDING RISK AND DEVELOPING AN ADAPTATION STRATEGY**

Conducting a qualitative risk assessment includes the following key steps:

**1. Establish context and objectives for the assessment.** Formulate the issue and the scope of assessment; define the objectives of the exercise and the broad context for the decision; identify climate scenarios; and define the geographic region and key stakeholders (government, sector, and community) and/or audience to whom the assessment is targeted.

**2. Identify the hazards.** Start with a screening exercise to identify the main hazards, including what could happen given climate scenarios and their causes. Structured brainstorming techniques involving key stakeholders (e.g., policymakers, experienced sector specialists), such as the “Structured What If Technique” (SWIFT) can help identify major hazards. SWIFT allows a systematic and high-level, team-oriented approach and screens hazards by considering deviations from business as usual or normal operations, using checklists to support a brainstorming exercise. This technique relies on the quality of the expert team. For more details, see [rmd.anglia.ac.uk/uploads/docs/SWIFT.doc](http://rmd.anglia.ac.uk/uploads/docs/SWIFT.doc) or HSE (2001).

**3. Analyze hazards.** Consider each major hazard in step 2 and existing safeguards or controls, including policy and management responses. Assess the consequences to the organization based on existing controls and make a judgment about the likelihood of those consequences materializing. Determine the level of risk. HSE (2001, 2006), New Zealand Climate Change Office (2004), Australian Government (2006), and UKCIP (2003) provide good examples of risk matrices and their application. (See below for a further discussion of decision making under uncertainty.)

**4. Evaluate the risks.** Rank the risks, screening out minor risks and prioritizing major risks for further analysis. Describe the uncertainties associated with each risk factor and the sensitivity of the analysis to assumptions.

**5. Identify and appraise options to manage risk.** Option one: to support practical decision-making, identify a set of climate conditions as benchmark levels of climate risk that represent the threshold between tolerable and intolerable risk against which we should plan to manage. For example, for hydro power systems already under stress, a certain frequency of drought conditions could stretch the adaptive capacity of these systems and require proactive adaptation to address energy supply/security needs. (See table 1.3 for a typology of adaptation options.) Option two: real options analysis (discussed in next section). Option three: use insurers’ approach. See HSE (2001, 2006), UKCIP (2003) for more examples.

**6. Develop adaptation plan.** Develop a prioritized action plan that draws on management options, including a review of costs and associated benefits, to adapt to identified vulnerabilities and risks. Discuss risks associated with adaptation itself: under-, over-, or mal-adaptation. Ensure adaptation planning responds to changing climate averages as well as increased variability and extremes.

**7. Implement adaptation plan.** In formulating a roadmap for implementation, decide on whether to build on and update existing legal and regulatory frameworks, institutions, policies, strategies, and/or emergency and disaster management plans, or to develop new arrangements altogether; determine what institutional capacity exists and what is needed to support implementation; assess financing needs and sources; clarify what data/information gaps exist and how to address them, including through R&D.

**8. Review action plan.** Establish monitoring and evaluation (a feedback loop) to periodically re-evaluate risks and priorities as more information becomes available or other relevant events occur.

*Source:* Adapted from a variety of sources including HSE 2001, UKCIP 2003, New Zealand Climate Change Office 2004, Australian Government 2005 and 2006, and [rmd.anglia.ac.uk/uploads/docs/SWIFT.doc](http://rmd.anglia.ac.uk/uploads/docs/SWIFT.doc).

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Stakeholder engagement<sup>13</sup> may be the most important lesson emerging from a review of adaptation processes. This is critical for multiple reasons: stakeholders such as local farmers, water engineers, utility managers, or public health staff possess greater knowledge of stress points and vulnerability that may be difficult to access otherwise. They are also critical for making assessments and recommendations on the ground.

In addition, stakeholder involvement in the planning process increases the chance that they will “own” and support the ensuing adaptation plan. More important, by involving stakeholders and local decision-makers at all levels in an adaptation plan, governments (local or national) improve prospects that society will incorporate climate change concerns in future investment and management decisions (box 1.2).

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**BOX 1.2 LESSONS ON THE ENGAGEMENT OF STAKEHOLDERS IN ADAPTATION PLANS—AN URBAN CASE**

- Key stakeholders include municipal and regional government departments, transportation authorities, utilities, conservation authorities, and others.
- Engagement of key stakeholders is vital for (a) understanding how climate change may impact cities, (b) identifying practical adaptation strategies, and (c) gaining support for implementing those strategies.
- Engagement of stakeholders often begins with an event designed to raise awareness and pique interest in climate impacts and adaptation. However, a plan for ongoing engagement of stakeholders after the event is also necessary.
- It is important to understand the general goals and concerns of stakeholders and to investigate the way in which climate change could affect these.
- Sign-off from senior management is important; however, engagement may be more successful with mid-level stakeholders, who will likely participate more consistently in the adaptation process, and therefore develop a better understanding of impacts and adaptation strategies.
- Regular communications and meetings are required for sustained stakeholder engagement.
- Stakeholder engagement can be time consuming and costly; therefore, adequate staff time and funding are essential for successful and sustainable stakeholder involvement.
- Processes that focus on technical modeling issues and reports that contain too much technical jargon will reduce stakeholder engagement.
- Researcher-led adaptation initiatives are in danger of coming to an abrupt end when funding is over. For these initiatives to go beyond research to action, stakeholders must take ownership of the process

*Source:* Reproduced from Clean Air Partnership 2007.

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The cities of Boston and London offer interesting contrasts in their approaches to adaptation strategies. In Boston, stakeholders were not consistently involved in the researcher-led process, and as a result interest waned so that the final study was poorly understood, seen as overly technical, and had limited impact.

London, on the other hand, used a bottom-up approach involving stakeholders (local, regional, and national government representatives, utilities, business organizations, environmental NGOs, climate research staff, etc.) in the London Climate Change Partnership. After the *London’s*

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<sup>13</sup> This section is based on the review of six urban regions’ adaptation plans and processes by the Clean Air Partnership (Clean Air Partnership 2007).

*Warming* report was issued, many continued working with the Climate Change Partnership, which evolved into a more permanent organization. Five years later, many of the stakeholder organizations are still involved and still participate in the steering group of the Climate Change Partnership—a complete contrast with the Boston experience (Clean Air Partnership 2007).

### **Mainstreaming adaptation into development**

An adaptation plan needs to be mainstreamed into the daily operations of private and public decision-makers. Mainstreaming will ensure that climate stress is integrated in considerations of the multitude of other stresses that human and natural systems must cope with. It also helps avoid a portfolio of efforts that are unrealistic, inefficient, and potentially ineffective, particularly if working at cross-purposes (Yohe et al. 2007). However, experience with mainstreaming adaptation is short and knowledge is primarily limited to public actors (Adger et al. 2007).

While most agree that adaptation efforts should build on existing activities rather than multiplying fragmented initiatives, two distinct approaches are emerging. The first takes a technological view, focusing on physical exposure and ensuring that climate variables and projections influence choices of technologies and infrastructure specifications; this approach has been optimistically called “climate-proofing.” The second takes a development-oriented view, whereby projects are expanded to increase adaptive capacity and reduce vulnerability (Klein 2008).

The latter approach raises the question of whether mainstreamed adaptation is just good development practice. Clearly adapting to a changing climate makes development sense, and as countries develop and get richer they tend to accumulate the human, physical, and financial capital critical for adapting to changing conditions.

But adaptation is not identical to business-as-usual development. Development that fails to integrate climate considerations will not be sustainable, and can in some cases make populations or sectors *more* vulnerable to climate impacts (box 1.3). Examples include coastal developments that ignore sea level rise; increased reliance on air-conditioning, without regard for efficiency and demand-side management; or investments in irrigation to maintain rural livelihoods no longer suited to a changing climate and hydrology.

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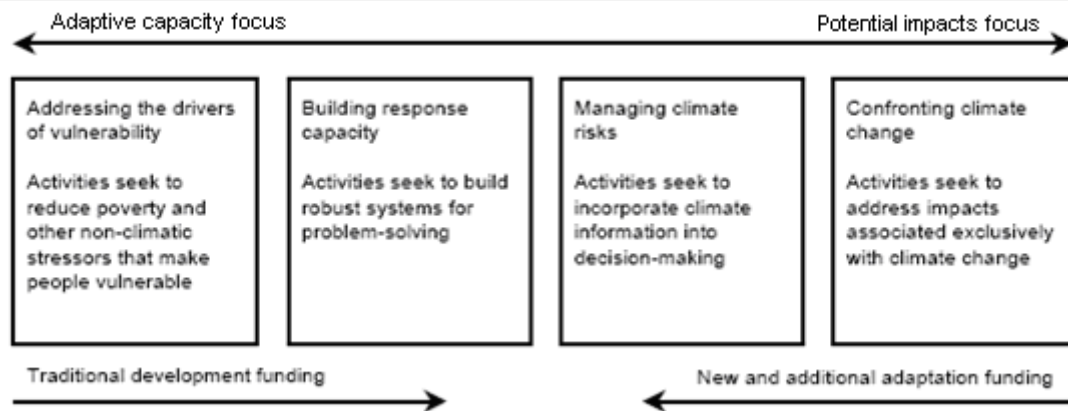
#### **BOX 1.3 IS ADAPTATION ANY DIFFERENT FROM DEVELOPMENT?**

Haven't we been working to improve individual and institutional capacity all along? Haven't governments always known that agricultural extension services are important? Haven't engineers always had to handle uncertainties about rainfall and floods when building reservoirs?

These and other examples illustrate the many overlaps between good adaptation and good development, and between the institutional and human resources required for each. Klein (2008), among many others, advocates adaptation that is mainstreamed into development activities and not compartmentalized into strictly technical measures.

*continued*

**FIGURE B1.3 ADAPTATION IN THE CONTEXT OF DEVELOPMENT**



Source: Adapted from Klein 2008.

So how does adaptation differ from development? First, in concrete technological terms, parameter specifications and assumptions about climate are changing, so designs for infrastructure projects and assumptions about revenues from tourism or agriculture should change. Second, the amplification of uncertainty from unknown distributions of weather events requires decision-makers to move away from business-as-usual development. Third, changing priorities may lead to different choices from among a set of investment or policy options. Finally, for better or worse, the international community is providing separate financing mechanisms, which may require differences in conceiving of and implementing projects.

Trade-offs, and even conflict, may arise in allocating resources for the different activities. For example, ceasing cultivation of irrigation-intensive cotton because of increasing water-stress in Central Asia would cause significant income loss for today's farmers, at least in the near term. And economic development alone does not solve the adaptation problem: it does not remove enough people or enough natural and built resources from harm's way. Development strategies that do not incorporate adaptation priorities can exacerbate vulnerabilities.

### ***Effective adaptation requires tackling several challenges***

Even as we agree that frameworks exist to develop adaptation plans and lessons are being drawn as to how and why to involve stakeholders (box 1.2, Næss et al. 2006), a number of technical challenges remain, especially if an adaptation plan is to change behaviors. We emphasize here that adaptation planning and implementation need to respond to both changing averages and increased variability and extremes.

### **Making decisions under uncertainty**

Changing climate averages, increasing variability, and the rising frequency of extreme weather events: all reasons that decision-makers in the private and public sectors must begin incorporating climate risk management in policy planning.<sup>14</sup> The question is how to do this given

<sup>14</sup> Even where averages are moving slowly enough and with enough predictability to theoretically provide the necessary time and signals to identify optimal adaptation strategies, the "noise" introduced by extreme weather events and normal climatic variability reduces the value of these signals. There is evidence for example that farmers are slow to adjust to climate change, in part because of such noise (Burton and Lim 2005). In other words, it can be very hard to distinguish a change in trend from a "bad year."

the inherent uncertainties in climate projections and the costs of either inaction or misguided adaptation.

Options to deal with or manage risk fall into several categories; for example, “do nothing” and “bear the loss” can be a strategy (table 1.3). A combination of different adaptation strategies may be optimal, as may be a shift from one to another as circumstances change. Whatever approach is taken, the literature offers some generic but important recommendations on how to select options for action: minimize the use of irreversible investments and maximize reliance on win-win approaches—i.e., approaches that yield benefits even if the expected risks do not materialize.

Many analysts recommend using past extreme events as an indicator of the range of risks to prepare for and of the key vulnerabilities of existing systems. They call for planners to develop vulnerability indices that rely on known dangers and hotspots (EEA 2005). This may be a good first step, based on the relatively strong certainty that the frequency of extreme events will increase.

However, because climate projections suggest an increase in the *intensity*, not just frequency, of extreme events (worse floods, droughts, storms etc.), protecting against known dangers may not be enough. And changing averages must be included, which may alter what should be built or how something should be managed. For example: will there be enough water for a hydroelectric power plant?

Decision-makers will have to choose between competing options, with trade-offs between current cost and potential averted damage. Moreover, they must choose in a context of uncertainty about the probability and magnitude of the changes these options are to protect against. Climate variability is nothing new, but uncertainty has increased: the probability distributions of extreme weather events are changing, and the extent and speed of this change is unknown. So-called 1,000-year floods (a flood of such magnitude that it generally happens only once every thousand years) may now be a 100-year flood. Such events have become unpredictable as they no longer follow a known probabilistic pattern.<sup>15</sup> And decisions about long-lived infrastructure also must take changing averages into account.

Unknown probability implies that traditional cost-benefit analysis or maximum expected value approaches (such as minimax, maximin approaches) cannot be used. Using subjective probabilities (e.g., expert opinions) continues to be an object of research (see UKCIP 2003 for an overview).

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<sup>15</sup> Some argue that we are ill-equipped to estimate the risk of low-probability, high impact catastrophes (Weitzman 2008; Taleb 2007). We have a limited recorded climate history (about 140 years) in which rare events may not be well represented. In fact, catastrophe modeling companies accept that the past is an imperfect guide to the future and generate hundreds of thousands of years of synthetic data to model a much broader set of possible catastrophes (Lewis 2007). Other statistical approaches, such as extreme value theory, might lead us to underestimate extreme risk.



**TABLE 1.2 TYPOLOGY OF POSSIBLE ADAPTATION STRATEGIES**

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Bear the loss	“Do nothing,” where there is no capacity to respond, or the cost of adaptation is too high in relation to risk or expected damage—e.g., loss of coastal areas, loss of a species.
Share the loss	Private insurance, public relief, reconstruction, and rehabilitation paid from public funds.
Modify the threat	Flood control measures; migration of people from high risk areas; new agricultural crops; change location of new housing, of water intensive industry, of tourism; improve forecasting systems to give advance warning of hazards and impacts; contingency and disaster plans.
Prevent effects	– <i>Structural and technological changes</i> needing more investment— increased irrigation water; increased reservoir capacity; water transfers; water efficiency; scale up coastal protection; upgrade wastewater and storm water systems; build resilient housing; modify transport infrastructure; and create wildlife corridors. – <i>Legislative, regulatory, and institutional changes</i> —change traditional land use planning practices; more resources for estuarine and coastal flood defense; revise guidance for planners; include climate change risks in criteria for site designation for biodiversity protection; and amend design standards.
Change use	Where continuation of economic activity is impossible or extremely risky—e.g., substitute for more drought tolerant crop, return crop land to pasture or forest.
Change location	Relocate major crops and farming regions away from areas of increased aridity and heat.
Research	New technologies and methods of adaptation; improve short-term climate forecasting and hazard characterization; more information on frequency and magnitude of extreme events; better regional indicators for climate change; more risk-based integrated climate change impact assessments; better knowledge of relation between past and present climate variation and system performance; produce higher resolution spatial and temporal data on future climate variability from model based climate scenarios.
Educate, inform and encourage behavioral change	Lengthen planning timeframes; reduce uneven awareness by stakeholders; increase public awareness to encourage people to take individual action (health, home protection, flood awareness) and to accept change to public policies (coastal protection, landscape protection, biodiversity conservation).

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Source: Adapted from UKCIP 2003 (table 2.3)

In addition, the possibility of major irreversible events or “unacceptable risks” may imply that cost-effectiveness analysis for a given level of risk is more relevant. In other words, once certain disasters or risks are identified as unacceptable, the most cost-effective measures are chosen in order to ensure that the disaster does not occur.<sup>16</sup> Multi-criteria analysis—which complements techniques that rely on criteria expressed solely in monetary terms—can help to distinguish acceptable from unacceptable options (UKCIP 2003).

A focus on “robust strategies”—that is, robust in the face of an unpredictable future (Lempert and Schlesinger 2000)—is well-suited for dealing with unknown probabilities. This means

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<sup>16</sup> The climate application of this approach, often used in engineering, has been developed in the context of mitigation analysis (Chichilnisky 2000; Azar and Lindgren 2003). See EEA 2007 for a discussion.

answering the question *what actions should we take given that we cannot predict the future?* as opposed to *what is the best strategy, given that we expect this particular state of the world to occur?* Climate change policy then becomes a contingency problem rather than an optimization problem.

Looking for robust rather than optimal strategies amounts to scenario-based planning. This approach considers “shaping actions,” which influence the future, “hedging actions,” which reduce future vulnerability, and “signpost” events that are chosen to trigger a change in strategy (van der Heijden 1996).<sup>17</sup> A recent documented case of a California water utility facing likely but uncertain decreases in water availability provides a practical example of how to use this approach in real life cases (Groves et al. 2008).

### **Getting the right data—and knowing how to use it**

Policymakers do not have a climate equivalent to the kind of fiscal, financial, or public health data that is regularly published as a limited set of well-understood variables. One issue is that climate indicators relevant for a farmer are not necessarily the same as for an electrical utility manager or health specialist—and the data relevant for the corporate monoculture farm may be different from that relevant to the small orchard owner. It is difficult to define which data is needed, at what scale in time and space this data should be produced and, perhaps most important, how to interpret this data and cope with the inherent uncertainty.

Nor is it always easy to access such climate projection data—particularly at a downscaled level.<sup>18</sup> Stakeholder-based climate risk assessment and adaptation planning tools, outlined earlier in this chapter, can provide a basis for understanding climate data needs, gaps, and costs for a given sector as well as help to prioritize their importance.

Some practitioners of adaptation planning note that the need for climate projection data is over-emphasized; such data is uncertain, and climate is only one driver of vulnerability. They also argue that an excessive emphasis on climate data can obscure the need for more important steps in adaptation planning, such as stakeholder involvement and understanding of vulnerability to current climate.<sup>19</sup> It is striking that most adaptation strategies reviewed for this report spend little time discussing projected climate change or tend to do so in broad terms—focusing on general trends (wetter/drier; hotter, more extremes) or highlighting major uncertainties.

Nevertheless, there are many initiatives to make climate data available and user friendly. For example, the World Bank has developed a “climate portal” that allows users to obtain climate projections for particular countries based on the ensemble of models used by the IPCC as well as from a high resolution Japanese GCM (box 1.4). For countries able to develop their own climate portals, the UK Climate Impact Program (UKCIP) website is a good example. It offers climate projections (described as climate scenarios), as well as an “adaptation wizard” to help users work their way through possible vulnerabilities and methodologies to appraise options and make decisions (box 1.5).

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<sup>17</sup> Of course, the ability to use signposts (signals that suggest a change in strategy is needed) depends on the inertia of the system. Thus, it may not be wise to wait for better data on sea level rise before deciding to halt settlements on a low lying coastal zone.

<sup>18</sup> As pointed out in chapter 2, greater geographic precision of climate model data does not imply greater reliability.

<sup>19</sup> Chris West (head of the UKCIP), pers.com.

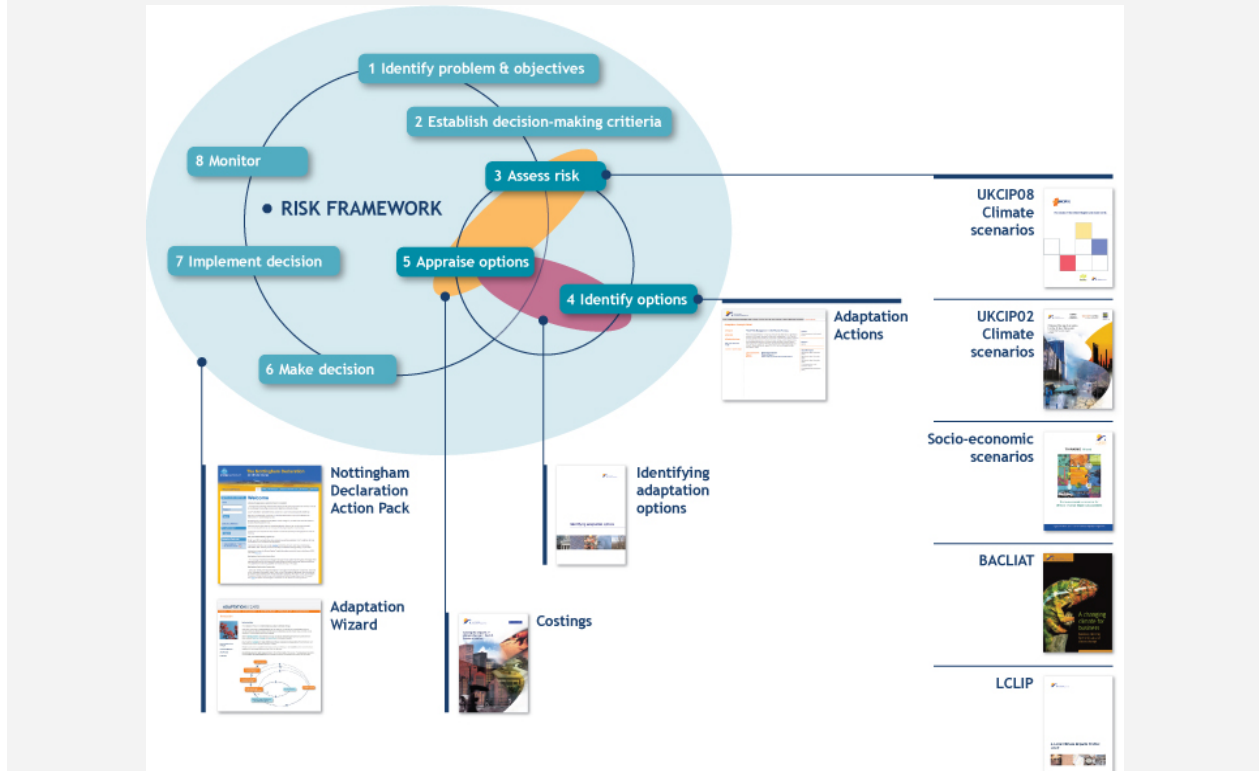
### Box 1.4 THE WORLD BANK'S CLIMATE PORTAL

The web-based World Bank Climate Change Data Portal (available at <http://worldbank.org/climateportal/>) provides quick and accessible global climate and climate-related data to the development community. The site is based on the familiar Google Maps platform and allows users to access outputs from climate models, historical climate observations, natural disaster data, crop yield projections, and socioeconomic data at any point on the globe. The site includes a mapping visualization tool (webGIS) that displays key climate variables and links to World Bank databases and a spatially referenced knowledge base. The portal will also serve as a launching point for climate change tools, and includes the ADAPT tool for assessing climate risk of World Bank projects.

The screenshot shows the World Bank Climate Change Portal interface. On the left, there is a sidebar with sections: "What is it?" (describing the portal's purpose), "How to use it?" (instructions on using the map and tools), and "Projects and Knowledge Base". The main area features a map of Europe with a location marker in Germany. A "Climate Data" table is overlaid on the map, showing data for a Japanese High Resolution GCM and IPCC GCMs. Below the table are links for "Save Climate Data (pdf)", "Metadata", and "Country Profile".

	Japanese High Resolution GCM (20 km.)	IPCC GCMs		Country Average Values
	Change (2091 - 2100 vs. 1981-1990)	Change (2030 - 2049 vs. 1980-1999)	# Models Projecting Change	
Mean Annual Precipitation (mm):	0%	-10%	20 out of 20	-7.75
DJF Precipitation:	--	-11%	17 out of 20	-7.64
MAM Precipitation:	--	-7%	15 out of 20	-6.01
JJA Precipitation:	--	-4%	12 out of 20	-2.82
SON Precipitation:	--	-11%	15 out of 20	-8.41

### Box 1.5 TOOLS TO HELP YOU: TOOLS PORTFOLIO OF THE UK CLIMATE IMPACT PROGRAM



Source: <http://www.ukcip.org.uk/>

## CHAPTER 2. HOW ECA'S CLIMATE HAS CHANGED AND IS LIKELY TO CHANGE FURTHER<sup>20</sup>

The world is becoming a warmer, wetter place and one where the frequency and magnitude of extreme events is increasing. As the Intergovernmental Panel on Climate Change's Fourth Assessment report states: "Warming of the climate system is unequivocal" (IPCC 2007b).

Adaptation is unavoidable. This is because past emissions are causing warming that will continue for decades: even if the world stopped producing greenhouse gases (GHGs), average temperatures would continue to increase by a total of about 0.6°C over the rest of the century (IPCC 2007b). Continued GHG emissions at or above current rates will induce further changes in the climate system, changes that are likely to be much larger than those experienced in the past century. Thus, mitigation will not substitute for adaptation; but the extent of adaptation needed will depend on how much mitigation does in fact occur.

### *ECA's climate is already changing*

While there is a good deal of inter-annual variation in temperature, a significant increasing trend in year-to-year temperature can be seen for many sub-regions, particularly the Baltics, Central Asia, and the Caucasus, as well as the northern and eastern parts of Russia (Westphal 2008). Comparing the mean value for annual temperature in 1901–1920 to 1980–2002, warming has varied from 0.5°C (Southeastern Europe) to 1.6°C (South Siberia) across ECA, and the results are statistically significant for all sub-regions.

There has also been a significant increasing trend in year-to-year precipitation over most of Russia, with the exception of the Central and Volga sub-regions and Baltic Russia, while there have been no significant trends in year-to-year precipitation for the rest of ECA.

The change has already shown itself in increased weather-related natural disasters, which have a large economic impact on the ECA region. Both the number of climate-related natural disasters and the economic losses associated with them have increased, with the vast majority of disasters concentrated in the last two decades (Figure 2.1). During this period, there has also been a marked increase in drought conditions over much of ECA, even in regions experiencing increased mean annual precipitation.

Many locations within ECA are in the top three deciles of the global distribution of economic losses per GDP for climate-related natural disasters (Dilley et al. 2005). The potential economic loss from natural disasters is particularly severe for the Caucasus and parts of Central Asia (Tajikistan), where it is upwards of 70 percent of GDP (Pusch 2004).

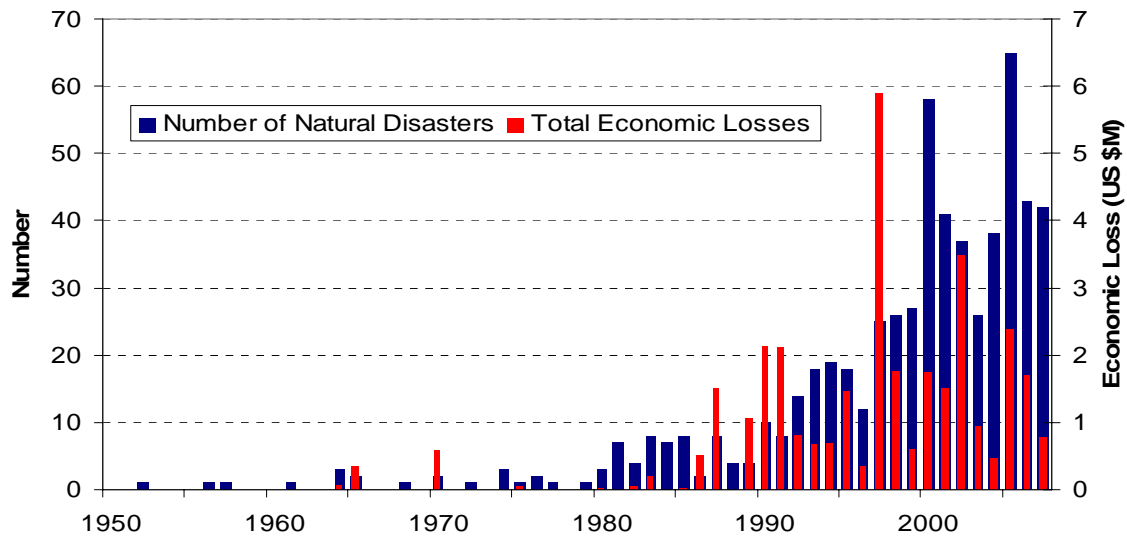
The two sub-regions are especially vulnerable to drought for geographic reasons (high inter-annual rainfall variability, dependence on snowmelt) and structural reasons (economies heavily dependent on agriculture, inadequate hydrometeorological monitoring, and poor water management planning). The 2000–2001 drought in the region was estimated to have cost Georgia and Tajikistan 6 and 5 percent of their respective GDPs (World Bank 2006).

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<sup>20</sup> This chapter is based on "Summary of the Climate Science in the Europe and Central Asia Region: Historical Trends and Future Projections" by Michael Westphal, a background paper commissioned for this report.

Of course, a natural disaster is a function of both a natural hazard (climatic event) and inherent vulnerability. The increase in climate-related natural disasters in ECA shows an adaptation deficit in regard to current climate variability.

**FIGURE 2.1 CLIMATE-RELATED NATURAL DISASTERS IN THE ECA REGION**



*Notes:* Natural disasters include floods, droughts, landslides, extreme temperatures, wind storms, and wildfires. A disaster is defined as an episode: leading to 10 or more deaths, affecting 100 or more people, resulting in a declaration of a state of emergency, and/or leading to a call for international assistance.

*Source:* EM-DAT ([www.emdat.be](http://www.emdat.be)).

***More change is certain—the question is where and how***

Adaptation requires an understanding of the potential impacts of climate change on human, economic, and ecological systems. Yet, any attempt to estimate such impacts means dealing with a cascade of uncertainties (Schneider and Kuntz-Duriseti 2002).

Uncertainty starts with the selection of an underlying emission scenario, which is determined by economic and population growth, and by energy-use choices. Will the world grow rapidly or slowly? Will developing-country populations soon adopt the consumption habits of high-income countries? And what kind of energy future are we to look forward to?

To account for these questions, the IPCC has developed six socio-economic scenarios that project possible trajectories of population and economic growth and the degree of adoption of clean technologies. However, no preferred scenario has emerged; nor is there any probability distribution associated with the scenarios. They are simply different options for the future that imply different carbon emission levels.

There is also uncertainty in the carbon cycle response and the global climate sensitivity, or how the earth’s system will respond to the increasing global carbon dioxide (CO<sub>2</sub>) level. Climate models work to capture the highly complex interaction of many different influences (ocean, atmosphere, cryosphere, etc.) on the weather. As a result they differ in their projections. The IPCC uses a set (ensemble) of global climate models instead of relying on a single one. The variation of results across models gives an estimate of uncertainty.

Local climate change is also affected by local features, such as mountains, which are not well represented in global models because of their coarse resolution. Capturing local characteristics requires downscaled models (see box 2.1). Regional climate models (RCMs) provide projections at a much finer scale (typically using cells measuring 50 km by 50 km as opposed to 300 km to 500 km for global climate models) for limited areas, taking their input from global climate models at their boundaries. However, greater precision does not guarantee greater reliability.

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**BOX 2.1 GENERAL CIRCULATION MODELS AND CLIMATE DOWNSCALING**

Most of the data presented in this report are from General Circulation Models (GCMs). GCMs are spatially explicit, dynamic models that simulate the three-dimensional climate system using as first principles the laws of thermodynamics, momentum, conservation of energy, and the ideal gas law. GCMs divide the world into a grid, and each equation is solved at each grid cell across the entire globe, at a fixed time interval (usually 10–30 minutes), and for several layers of the atmosphere. Due to the computational burden, GCMs typically have spatial resolutions of 1–4 degrees (~100–400 km<sup>2</sup>). The coarseness of the spatial resolution means that aspects of those climate dynamics that have smaller spatial scales—such as topography, clouds, and storms—are imperfectly incorporated and averaged over the entire grid cell, (Wilby et al., in press). Generally, climate models perform better in projecting temperature than precipitation, and mean changes rather than extreme events (IPCC 2007d).

The term climate downscaling in regard to climate change projections is an umbrella term that includes two approaches for enhancing precision but not necessarily improving accuracy. “Dynamic downscaling” generates Regional Climate Models (RCMs) while “empirical downscaling” relies on locally observed statistical relationships (Wilby et al., in press). Because both rely on data and boundary conditions from GCMs, it is pointless to downscale where there is limited confidence in the GCMs (Schiermeier 2004). Downscaling should only be undertaken in regions where the GCMs are in general agreement, which signals greater reliability.

RCMs simulate climate dynamically at very fine scales (10–50km). The atmospheric fields simulated by a GCM (surface pressure, temperature, winds, water vapor) are entered as boundary conditions for the RCM, and the “nested” RCM then simulates the smaller-scale climate. RCMs have been shown to realistically simulate regional climate features, such as precipitation, extreme climate events, and regional scale climate anomalies, such as those associated with the El Niño Southern Oscillation (Wang et al. 2004). However, RCMs are sensitive to the errors of the “mother” GCM models, which specify the boundary conditions and the choice of initial conditions (e.g., soil moisture).

Empirical downscaling relies on determining statistical relationships between large-scale atmospheric variables (e.g., strength of airflow, humidity) with local response variables, such as daily precipitation. Changes in those large-scale variables under climate change (as simulated by GCMs) can be translated into changes in local predictor variables and thus outcomes.

A plethora of downscaling software is available; however, access to data on predictor variables for calibration presents a major impediment to their use. Empirical downscaling relies on having good observational data and accurate predictions of the relationship of the local variables to large-scale forcing, as well as knowledge of how that relationship may be altered by climate change. In one global study of daily precipitation, empirical downscaling performed relatively poorly in near-equatorial and tropical locations, but adequately reproduced seasonal precipitation and the phase of daily precipitation in mid-latitude locations (Cavazos and Hewitson 2005).

*Source:* Westphal 2008.

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Finally, uncertainty is magnified when we attempt to estimate impacts (on ecosystems, health, agriculture, housing, the economy, etc.), since this requires developing another set of models that include sectoral information and socio-economic behavior, and making assumptions as to people and systems' capacity for adaptation (EEA 2007).

But uncertainty is no excuse for inaction. As indicated in chapter 1, countries must develop adaptive capacity rather than seek to adapt to one particular outcome. As the next section shows, there is no doubt that change is coming. And while it is a good idea to improve and refine projections, it would be a very poor strategy to do nothing until projections become "more precise," however that is defined.

### ***Climate projections: how is ECA likely to be affected?***

There is consensus about broad climate trends over the twenty-first century, particularly if we limit ourselves to general qualitative assessments (milder winters, hotter summers).

This report, however, goes a step further: the IPCC ensemble of models was used to generate projections for the period 2030 to 2049 (the more relevant one for adaptation policy) assuming a world of rapid economic growth, slow population growth, and very high, but cleaner, energy use (otherwise known as the A1B scenario).<sup>21</sup>

Given ECA's tremendous climate diversity (from polar to Mediterranean), the region was divided into 6 sub-regions plus Russia, itself divided into another 7, for a total of 13 sub-regions based on agro-ecological zones (map 2.1). A regional summary is presented here, but climate summary sheets are available for each of the 13 sub-regions in the background paper (Westphal 2008).

### **Warmer everywhere: fewer frost days, more heat waves**

The ensemble of General Circulation Models (GCMs) projects continued *warming everywhere*, with *fewer frost days* and *more heat waves*. There is *complete model concordance* on the direction of these changes (box 2.2).

Map 2.2 summarizes the projections: the projected increase in mean annual temperature in ECA ranges from 1.6°C to 2.6°C by the middle of this century, with a gradient of increasing temperature change with more northern latitudes; the northern parts of the region will have greater temperature changes in the winter, while the more southerly parts of the region will show greater warming in the summer months; the number of frost days is projected to decrease by 14–30 days, with the greatest decrease occurring in the Baltic sub-region. Furthermore, the number of hot days is projected to increase by 22–37 days per year by 2030–2049, and the greatest increases in heat wave duration are expected in the North Caucasus.<sup>22</sup> In general, daily minimum temperatures are projected to increase faster than daily maximum temperatures, narrowing intra-daily temperature ranges (IPCC 2007c).

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<sup>21</sup> Of the four "pillar" emission scenarios (A1, A2, A1B, B2) (IPCC 2007d), only projections for the mid-range A1B scenario are shown. There are no significant differences across scenarios in their warming projections until 2030; moreover, even by mid-century, the variation between climate models for a given emission scenario tends to be greater than the variation between model means calculated across the emission scenarios. For a full discussion of the methodology and model used, see Westphal 2008.

<sup>22</sup> This is a relative measure of the number of consecutive days that have a daily maximum temperature at least 5°C greater than the historical normal daily maximum temperature.

## **BOX 2.2 THE SKILL OF MODELS IN SIMULATING PRESENT CLIMATE IN ECA**

The credibility, or reliability, of climate models can be tested by comparing model-generated climate simulations for the current period against currently observed climate. Such an exercise reveals that regional climate models perform better generally than global climate models, but that performance varies across ECA sub-regions, with Central Asia most poorly served.

### **Europe**

Existing GCMs exhibit either positive or negative biases for temperature in the summer, while most have a cold bias in the winter, particularly for northern Europe (meaning that models tend to project colder than actual temperatures in the winter). Biases in temperature vary considerably in Europe, both spatially and temporally. Precipitation biases for Europe are smaller than those of most regions of the world. Most GCMs overestimate rainfall from autumn to spring in northern Europe, but many also overestimate summer rainfall. In the Southern Europe and Mediterranean region, the median simulated annual precipitation is very close to observation, although models differ in the sign of the small bias.

RCMs for Europe capture the geographical variation of temperature and precipitation better than global models but tend to simulate conditions that are too dry and warm in southeastern Europe in summer. Most but not all RCMs also overestimate the inter-annual variability of summer temperatures in southern and central Europe.

### **Asia**

For Russia west of the Urals, most models have negative temperature and positive precipitation biases (i.e., they underestimate temperatures but overestimate precipitation). GCMs typically perform poorly over central Asia due to the topography. Models, even RCMs, tend to overestimate precipitation over arid and semi-arid areas in the northern part of Central Asia. The precipitation biases range from –58 to +24% over the ensemble of models over all seasons. RCMs for Central Asia are much less developed than those for Europe.

*Source:* Westphal 2008.

Our projections are consistent with the results of downscaled models available for the region. In Europe, a team of 21 European research groups undertook an interdisciplinary project—PRUDENCE (Prediction of Regional scenarios and Uncertainties for Defining European Climate change risks and Effects)—to provide high resolution climate change scenarios for Europe at the end of the twenty-first century using Regional Climate Models (RCMs) (Christensen et al. 2007).

In terms of extreme temperature events, the PRUDENCE RCM projections reiterate the patterns seen in the GCM projections presented here. By the end of the twenty-first century, central Europe (roughly corresponding to the same sub-region of this study) is projected to experience the same number of hot days (> 30°C) as experienced in Spain and Sicily.

An RCM has also been developed for parts of Russia and Central Asia (Shkolnik et al. 2007). In winter, the model projects a decrease in temperature variability and cold extremes, while in the summer, extremely high daily temperatures are projected to increase at a faster rate than the rest of the temperature distribution. As for heat wave duration, the model projects the most severe increases for the Urals and Western Siberia, Kazakhstan, and Central Asia.<sup>23</sup>

<sup>23</sup> Heat wave duration is defined as the sequence of days with daily maximum temperatures exceeding the local 90<sup>th</sup> percentile of previous summers' maximum temperature distributions.



The following summarizes expected general trends for the region. Sub-regional trends are summarized in annex table 2.1, while more disaggregated and detailed projections are available in Westphal 2008.

### **Wetter north and east, drier south**

The GCMs project a wetter north and east and a drier south (map 2.3). By mid-century, mean annual precipitation will increase in most of the Russian sub-regions (by 5–11%), with the North Caucasus the only anomaly (–2%). The increase is most pronounced in Siberia and the Far East. In addition, winter precipitation in Russia is projected to increase more substantially than precipitation during the other seasons (9–18%, excluding the North Caucasus).

For all of the Russian sub-regions, there is strong model agreement in mean annual and winter precipitation; the situation for summer precipitation is not consistent, with the exception of South Siberia. However, by the end of the century, there are clear consistent trends for increased precipitation in most of Russia for the summer months (IPCC 2007c, Kattsov 2008). The projections for precipitation changes in Russia agree with the historical trends.

For the rest of ECA, the most consistent trends are: an increase in winter (9%) and spring (5%) precipitation in Kazakhstan by mid-century and a decrease in precipitation in Southeastern Europe (–6% for annual mean). The near-term picture of summer precipitation in Southeastern Europe is inconsistent across models, although end-of-century projections show consistent trends of decreasing precipitation (IPCC 2007c).

There is strong model disagreement for annual and seasonal precipitation on average for Central Asia, Caucasus, Central Europe, and the Baltics.

Finally, the models project that the interval between rainfall events will decrease in the north and east and increase in the south and west, with the greatest magnitude in Southeastern Europe (maximum consecutive dry days [CDD] increasing by five days) and eastern Russia (CDD decreases by four days in South Siberia).

Runoff, a measure of water availability, is projected to decrease everywhere but in Russia (map 2.4). The most dramatic decrease will likely occur in Southeastern Europe (–25%) and result in increased drought conditions (Milly et al. 2005; Milly et al. 2008).

The net impact is less clear in Russia: precipitation and runoff are projected to increase, but so are temperatures and heat waves, speeding evaporation and reducing water availability. Most of the precipitation increase in Russia is expected in the winter, and while low runoff is often used as a proxy for drought, the runoff indicator is an annual average that masks temporal variation.

It is still possible for higher summer temperatures to offset precipitation increases and lead to periodic drought conditions in the future. One projection of the Palmer Drought Severity Index shows an increase in drought conditions over the course of the twenty-first century over much of Russia, with the exception of far northeastern Siberia (Dai et al. 2004; Aiguo Dai, *personal communication*). Russia will likely receive more precipitation, but whether this excess can be captured and put to use is uncertain.

### **When it rains, it pours—everywhere**

Throughout the entire ECA Region, the models are *unequivocal*: precipitation intensity will *increase*, ranging from 2–6 percent (map 2.4). While this may not seem significant, these are mean values and depending on local hydrology and topography, this increase in precipitation intensity could have significant repercussions for water storage systems, sanitation, and flood management. With the exception of Southeastern Europe, most models project an increase in precipitation from extreme storm events (2–9% increase in the maximum amount of precipitation over a 5-day period). The PRUDENCE RCMs project heavy winter precipitation increases in Northern and Central Europe and decreases in the south. In the summer, the zone of heavy winter precipitation shifts to Northeast Europe. Extreme wind speeds (winter storms) are projected to increase over Central Europe (Beniston et al. 2007).

The projections for extreme precipitation cannot be translated directly into flood projections; detailed local-scale impact models, incorporating topography and specifics of hydrology, are needed. However, if a region is currently experiencing significant flooding and if no adaptation measures (flood mitigation) are enacted, then one can assume that an increase in extreme precipitation will result in more flooding. Whether this results in more natural disasters depends on whether vulnerability (e.g., land-use planning, the population in the floodplain, the existence of early-warning systems, institutional capacity) remains constant.

**ANNEX TABLE 2.1 GENERAL CLIMATE TRENDS IN ECA'S SUB-REGIONS**

<b>Sub-region</b>	<b>Current trends and weather related events</b>	<b>Projected temperature rise by 2050</b>	<b>Mean annual precipitation</b>	<b>Runoff</b>	<b>Rainfall intensity and variability</b>	<b>Interval between wet days</b>	<b>Heat waves</b>
Baltics	Warming trend over the past century. Flood damage significant.	1.6°C, warmer winters, decrease in frost days.	Unclear	South: decrease. North: increase.	Increase		Increase
Central Asia	Warming trend over the past century. Droughts and landslides in some parts.	2.0°C, decrease in frost days.	Unclear	Decrease	Increase		Increase
Caucasus	Warming trend accelerating in past 20 years. Droughts and landslides in parts.	1.7°C, warmer summers, decrease in frost days.	Unclear	Decrease	Increased and more variable	Increase	Increase
Central Europe	Warming in the last 20 years but no trends in precipitation.	1.7°C, decrease in frost days.	Unclear	Decrease (median –13%)	Increased and more variable	Increase	Increase
Kazakhstan	Warming over past century.	2.0°C	Increasing (4–9%)	Slight increase	Increase	Unclear	Increase
Southeastern Europe	No trends, but vulnerable to floods and drought.	1.8–2.1°C, decrease in frost days.	Decrease except summer.	Decrease (–25%)	Increase	Increase	Increase

*continued*

Sub-region	Current trends and weather related events	Projected temperature rise by 2050	Mean annual precipitation	Runoff	Rainfall intensity and variability	Interval between wet days	Heat waves
<b>Russian regions:</b>							
Baltic Russia	Flood and landslide damage is significant in some parts.	1.9°C, decrease in frost days.	Increasing (6%) wetter winter and spring.	Increase (13%)	Increase		Increase
Central & Volga	No trends, flooding significant.	1.9°C, warmer winters, decrease in frost days.	Winter and spring will be wetter.	Increase (7%)	Increase		Increase
North Caucasus	Increasingly wet over the past century.	1.6°C, decrease in frost days.	Unclear	Decrease (-12%)	Increased and more variable	Decrease	Increase
Siberia & Far-eastern Russia	Significant warming and wetting in the past century.	2.4°C, decrease in frost days.	Increase (11%), particularly in winter (17%).	Increase (22%)	Increase	Decrease	Increase
South Siberia	Warming and wetting trend over the past century. Floods and landslides.	2.1°C	Increasing (8%)	Increase	Increase	Decrease	Unclear
Urals and W. Siberia	Significant wetting in past century. Floods and landslides.	2.2°C, decrease in frost days.	Annual increase (9%), winter increase (15%).	Increase (10%)	Increase	Unclear	Increase

Source: Derived from climate summary tables (Westphal 2008).

## CHAPTER 3. HUMAN HEALTH: THE MOST BASIC VULNERABILITY<sup>24</sup>

Countries of all income levels are vulnerable to natural forces, as was amply demonstrated by Hurricane Katrina in the U.S. in 2005 and by the 2003 European heat wave. When extreme weather destabilizes the balance between natural and human systems, protective structures and institutions quickly break down, particularly those that are already weak or stressed, eventually threatening human lives and well-being.

In Europe and Central Asia, the most urgent health issues arising from climate change relate to already vulnerable populations: the elderly, the ill, the very young, the displaced, and the marginalized. When extreme weather combines with political instability and civil strife, the numbers of people facing serious health emergencies can multiply, as experienced in post-independence Georgia in the 1990s. Persons living in substandard, decrepit housing, such as the Roma, will be hardest hit by floods and heat waves.

Long-term threats to human health under a changed climate may be less easy to measure or attribute than those resulting from extreme weather events such as floods or droughts—but they are also important. A more stressed agriculture sector will translate into higher rates of malnutrition and increased susceptibility to disease. Families that depend on rain-fed agriculture will be affected by shifts in precipitation and may migrate to seek improved livelihoods, thereby increasing the numbers of people underserved by local health systems. Water degradation from a variety of sources will expose more people to dengue fever and diarrheal diseases.

What follows is an examination of two categories of health risk: first, immediate and direct threats occasioned by warmer, wetter weather, with more climatic extremes; second, setbacks brought on by the consequences of and adjustments to climate changes, including interrupted livelihoods, migration and temporary displacement, and inadequate nutrition.

### ***Warmer and more extreme weather brings new threats and exacerbates others***

Extreme weather events, such as floods and droughts, are the most immediate and obvious health risks—and projections indicate these events will become more intense and frequent. In addition, the threats arising from extreme events are sometimes aggravated by parallel crises, including civil strife, breakdown in health systems, and institutional collapse.

#### **Floods**

Floods, which account for half the world's natural-disaster fatalities, constitute a multi-pronged assault on human systems. From 2000 to 2007, ECA's ten most severe floods—in Russia, Turkey, Romania, Poland, and Tajikistan—accounted for nearly 500 casualties (table 3.1). Deaths from drowning or collapsing structures were compounded by landslides, which frequently accompany floods. Evacuations, particularly those involving hospital patients and other vulnerable groups, are enormously stressful and increase the risk of heart-attack.

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<sup>24</sup> This chapter is based on "The Health Dimension of Climate Change" by Tamer Rabie, Safinaz el Tahir, and Tereen Alireza, a background paper commissioned for this report.

**TABLE 3.1 A RISING TIDE OF FLOODING EPISODES**

Year	Country	Location	Casualties
2002	Russia	Novorossiisk	167
2002	Russia	Stavropol, Krasnodar, Karachaevo-Cherkesia, Ingushetia, Adygea, Chechnya, Kabardono-Balkaria, North Osetia-Alania, Dagestan	91
2006	Turkey	Cinar, Bismil	47
2002	Turkey	Rize, Corum, Yozgat, Kars and Mus provinces	34
2005	Romania	Harghita, Mures, Dolj, Bacau, Vrancea, Galati, Braila, Bistrita, Gorj, Suceava	33
2006	Romania	Arbore, Bistrita, Maramures, Arad	30
2001	Poland	Malopolskie, Swietokrzyskie, Donoslaskie, Oploskie, Slaskie, Warminsko-Mazurkie, Podlaskie, Gdansk, Slupsk regions	27
2005	Romania	Alba, Tulcea, Giurgiu, Vrancea, Bacau, Braila, Galati, Vrancea, Ialomita	24
2002	Tajikistan	Dasht, Langar	24
2007	Tajikistan	Asht district	21

*Source:* EM-DAT, accessed January 2008. *Notes:* Table includes the ten most lethal, in numbers killed, of the flood events in ECA recorded in EM-DAT for 2000–2007.

But some of the health impacts are less immediate and less obvious. Post-traumatic stress, increased poverty, compromised nutrition, and interrupted livelihoods all affect human health without appearing immediately as illnesses or injuries caused by extreme weather. Long-term displacement of people and permanent migration from flood-damaged residences goes hand-in-hand with lower living standards and increased vulnerability.

Over the last thirty years, Georgia’s experiences have shown the extent of a small, unstable country’s vulnerability to flooding. They also demonstrate the ways that extreme weather combines with institutional weakness and civil strife to further lower the quality of life for thousands of citizens.

Between 1987 and 1989, earthquakes, floods, and landslides caused the displacement of 20,000 people in the Svanetia and Ajara regions. At the same time, because of the disruptions during the unraveling of the Soviet Union, Georgia suffered a severe shortage of medical supplies and required international assistance. Civil strife added refugees from violence to those uprooted by natural disaster, leading to a number of crowded, unhealthy, and highly insecure temporary settlements. In 1993, thousands of people were driven out of makeshift homes, and again in 1998, by which time the population of internally displaced reached 40,000. Extreme weather events—in a context of political instability, institutional weakness and poverty—became a major contributor to poverty, insecurity, and vulnerability.

While Georgia emerges as the most vulnerable for the period 1980–2000, measured by the mortality rate among those exposed to floods, it wasn't alone. The Czech Republic was the second most vulnerable for the same period, followed by Slovakia and Moldova (UNDP DRI).

Following the immediate damage and trauma of a flood, but well before the long-term effects of displacement and loss of income run their course, there may be a wave of health risks stemming from water-borne illnesses as sewage, industrial wastes, and agricultural runoff flow into human settlements and degrade the water supply (box 3.1).

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**BOX 3.1 WITH EVERY FLOOD A RISK OF DISEASE**

Flooding, apart from causing drowning and injury from collapsing structures, introduces a host of illnesses as water supplies are contaminated with sewage and wastewater from farms and factories. Poorly maintained water systems and inherited environmental degradation add to the risks.

The following flood-related illnesses are already present in the ECA region, and are projected to become more frequent threats:

*Dysentery*, an infectious disease caused by the bacterium *Shigella dysenteriae*, is a common threat in floods. In Tajikistan in 1992, flooding combined with displacement from civil unrest to put hundreds of people at risk, resulting in higher childhood mortality in two villages.

*Typhoid fever*, an infectious disease carried by feces and urine, is caused by the bacterium *Salmonella typhi*. In May 1996, following heavy rains and flooding in Tajikistan, a poorly maintained sewage system came under additional stress and contaminated the water supplies. In the ensuing typhoid fever outbreak, 7,516 cases were reported in a month's time, a third of them in children under the age of 14. As in Georgia, simultaneous stresses on institutions and infrastructure, from the flooding and prior weaknesses, combined to worsen the health crisis. About 50 health clinics and schools were damaged by the floods. The toilet system of a major hospital was inundated, further spreading the dangerous bacterial contaminant. Prior conditions added to the population's vulnerability once the flood came. Amid civil violence, public funding of health facilities had tapered off, leaving the system short of diagnostic supplies and drugs for treatment of infectious diseases. In 1995, soap had become largely unavailable and chlorination of the water supply had been halted due to a lack of materials. In some parts of the country, people had begun using open canals for their water supply, but hundreds of these were ruined in the floods.

*West Nile Virus*, which is highly dangerous for the elderly, is spread primarily by mosquitoes, whose larvae thrive in the pools of standing water normally left by flooding. An outbreak of the disease followed 1999 floods in the Czech Republic, when *Aedes* mosquitoes proliferated in affected areas. Europe's largest recorded outbreak occurred in Bucharest, Romania in 1996 and showed that urban areas also were vulnerable, with larvae multiplying in flooded basements of buildings.

*Tahyna*, a virus that breeds in flooded areas, was detected in the Czech Republic following three separate episodes of flooding.

*Leptospirosis*, a once rare infectious disease carried by rodents and other animals, spreads through contact with moist soil, mud, vegetation, or contaminated water. Russia, Ukraine, and the Czech Republic have experienced outbreaks following floods.

Other water-borne diseases, including cholera, hepatitis A and salmonella, have surfaced in the region following flooding episodes.

*Source:* Rabie et al. 2008.

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The massive upheaval caused by a flood and the resulting loss of homes, possessions, and livelihoods leaves people strained and exhausted, often suffering from post-traumatic stress disorder and depression.

The fallout can be even more serious following floods that displace and destroy on a wide scale. For example, when Poland’s Oder River flooded in 1997, it affected 86 cities and towns, 875 villages, and 450,000 farms, with an overall economic cost of an estimated \$3.5 billion. The Federation of Red Cross and Red Crescent Societies reported 50 suicides linked to the disaster in a two-month period. High levels of physical and emotional stress impact a host of bodily systems, complicating pregnancies and raising the risk of heart disease.

### Heat waves

Heat waves have an immediate impact on public health, often aggravating a variety of health conditions or bringing about unhealthy changes in water or air quality. Researchers have found that during an extended period of intense heat, the number of deaths rises above established seasonal norms. These are considered “excess deaths,” and are attributed to the effects of intense heat.

Cities intensify heat waves because traffic, buildings, and sparse vegetation all increase temperatures further. In 2001 in Moscow, 276 deaths above a multi-year average were attributed to a nine-day heat wave. That same summer, heat waves may have caused hundreds of deaths in Croatia, Slovenia, and the Czech Republic. The latest estimates for the pan-European heat wave of 2003 point to 70,000 deaths. The 2003 heat wave was the most dramatic in recent history, but there have been a number of fatal heat waves in Central and Southeastern Europe over the last ten years (table 3.2).

**TABLE 3.2 HEAT WAVES ADD TO ILLNESSES**

Year	Heat wave temp. record °C	Country (location)	Number of heat wave related morbidities*
2005	36	Romania (Bucharest)	500
2000 <sup>a</sup>	46	Turkey	300
2000	35	Croatia (Zagreb, Split, Osijek, Rijeka)	200
2006	36	Romania	200
1996	40	Romania	200
2000	43	Romania (Bucharest, Bechet)	100
2007	40.3	Slovakia	89
2000	42	Serbia and Montenegro	70
2007 <sup>b</sup>	45.5	Bulgaria	50

*Source:* EM-DAT. Based on information obtained in January 2008. \* Reported in EM-DAT as number of injured, the people suffering from physical injuries, trauma or an illness requiring medical treatment as a direct result of a disaster. (a) Heat wave associated with drought event. (b) Heat wave associated with wild fires and drought event.



The following categories show the ways that periods of intense heat generate new health threats or undermine the body's capacity to manage existing conditions:

*Heatstroke* is a severe condition in which, under excessive exertion, the body ceases sweating. This causes body temperature to rise to dangerous levels, and can result in fainting, organ failure, and death.

*Heat cramps and heat exhaustion* occur when the body sweats so much that the concentration of salt in the body becomes dangerously low. The condition can increase the heart rate and lead to heatstroke if left untreated. Infants and small children are at risk because their fluid reserves are smaller than those of adults. The elderly, who may eat and drink little because of weak appetite and take medications that leave them more prone to dehydration, are also at risk.

*Exacerbation of existing conditions* is a major risk, since a number of cardiovascular, cerebrovascular, renal, respiratory, and psychological conditions are sensitive to heat. For example, during 17 heat waves in the Czech Republic over an 18-year period, there was a 13.6 percent increase in cardiovascular mortality (Kyselý and Huth 2004). Studies in Croatia and Uzbekistan have found weakened performance of heart patients during times of extreme heat.

Stressed infrastructure can *compromise utility service delivery* and thereby worsen health conditions, and as chapter 6 will explain, ECA's inherited stock of Soviet-era infrastructure and poorly-ventilated housing is vulnerable to atypical heat. Heavy use of air-conditioning alleviates risks for people who can access or afford it, but strains power supplies and may lead to outages. Such an electricity outage would limit water access for many people, setting off a cascade of other impacts.

Extreme heat can also lead people to engage in *riskier behaviors*, such as swimming in open canals, rivers, or lakes, leading to deaths that wouldn't occur in less extreme summer weather.

*Pollution, smog and fires*—which often intensify during a heat wave—lead to higher than normal cardiovascular problems and deaths. Under extreme heat and a lack of rainfall in 2007, wildfires proliferated in Southeastern Europe, causing dozens of hospital visits as well as a number of deaths.

## **Droughts**

Droughts, depending on their severity and duration, present the human organism with a variety of health risks. A severe drought in 2000 and 2001 in Tajikistan and Uzbekistan cut the availability of drinking and irrigation water and led to slow, chronic forms of malnutrition as households eliminated meat and dairy products from their diet.

The severe drought that hit Moldova in the summer of 2007 offers a well-documented case of the impact on health. A survey by the World Food Program and the Food and Agriculture Organization of the U.N. estimated that the crisis impacted 84 percent of the country's arable land, leading to estimated economic losses of \$407 million from crop failures and livestock deaths.

Strains on ordinary citizens were evident. A household survey showed that 72 percent of the households interviewed were worried about having enough food. Of households with three or more children, 59 percent reported that they ate differently, with some foods they formerly counted on now unavailable. Nearly 40 percent of the households surveyed said their water source was dried up or at least damaged by the drought conditions.

### **Changing averages: malaria, allergies and algal blooms**

A number of diseases associated with warmer weather will probably become more prevalent in ECA, and some have already surfaced. A major concern is malaria.

Largely eradicated from Europe, malaria has returned to the Caucasus and Central Asia, with weather-related events raising disease levels. For example, mudslides in 1997 elevated the prevalence of malaria in Azerbaijan, increasing the number of breeding sites considerably. Malaria is also endemic in Turkey and Tajikistan, where the Roll Back Malaria program was introduced in 1998.

Warmer average temperatures will also increase pollen-related allergies, particularly in Central Europe where the ragweed *Ambrosia* is more highly concentrated than most other regions of the world. Pollen concentration increases with higher temperatures and higher ambient concentrations of CO<sub>2</sub>.

Changing averages are affecting health in other ways. Warmer, wetter weather is changing conditions in the Baltic Sea, with ramifications for human health. One process underway is eutrophication, involving an increase in nutrients, usually nitrogen and phosphorous, in the sea. The process triggers algal blooms that lead to a hypoxic or anoxic conditions and a degradation of environmental quality.

One category of algal blooms, cyanobacteria, has been present in the Baltic for decades, but has recently increased in duration, frequency, and biomass. Resulting toxins trigger gastrointestinal illnesses and liver damage in cases of persistent exposure, and ingesting contaminated water has killed cattle and pets.

The toxins are a risk to human health as well, and may be linked to carcinomas in China. Climate change models project two types of impacts in the Baltic Sea—increased freshwater runoff into the Baltic from increased precipitation and flooding, plus warmer sea temperatures—both of which can contribute to increased cyanobacteria.

### **The climate change–health outcome matrix**

The following matrix distills the findings and projections of an extensive literature on the relationship of current climate and climate change with human health.

**TABLE 3.3 HEALTH CONSEQUENCES OF A CHANGING CLIMATE: DIRECT AND INDIRECT**

Exposure	Direct Impacts			Indirect Impacts		
	Extreme weather events <i>Heat wave</i>	<i>Flood</i>	<i>Drought</i>	Changing averages	Migration	Coastal degradation
<b>Outcome</b>						
<b>Mortality (cause-specific)</b>						
Drowning		x				
Physical trauma		x				
Heat exhaustion	x	x				
Fire	x		x			
Suicide		x				
<b>Respiratory Diseases</b>						
Asthma				x		
Acute lower respiratory tract infections			x			
<b>Mental Diseases</b>						
Depression		x	x		x	
Post-traumatic stress disorder		x	x		x	
<b>Reproductive Diseases</b>						
Perinatal complications*		x				
Amenorrhea		x				
<b>Rodent/Vector Borne Diseases</b>						
Leptospirosis		x				
West Nile fever		x				
Tahyna		x				
Malaria		x		x	x	
Dengue				x		
Tick-borne encephalitis				x		
Lyme borreliosis				x		
<b>Water/Food Borne Diseases</b>						
Cholera		x	x	x	x	
Dysentery		x			x	
Hepatitis A		x			x	
Salmonella		x		x		
Acute toxicity						x
<b>Other</b>						
Malnutrition			x		x	
HIV/AIDS					x	
Allergies				x		
Dehydration			x			
Dermatitis						x
Gastroduodenal ulcer disease		x				

Source: Rabie et al. (2008). Notes: "x" denotes evidence for link between defined exposures and health outcomes; blank cells denote no evidence of association. \*Includes pregnancy loss and disorder (premature delivery, missed abortion, birth asphyxia, premature rupture of membranes, intrauterine growth retardation).

## **Vulnerability from climate-driven migration: the health perspective**

In rural areas, livelihoods depend directly on climate-sensitive resources, particularly water, and settlements are highly exposed to weather extremes. Households earning income from farming and livestock activities have historically resorted to seasonal or indefinite migration when conditions become too harsh or too precarious. For families reliant on subsistence farming, climate-driven migration tends to be permanent, as in situations where drought has decreased the land area that can be cultivated.

As elsewhere, impacts of climate change in ECA countries come on top of existing conditions and patterns of vulnerability. Migration linked to climate change will add to already high levels of migration; and recipient countries may find their resources are overstretched, particularly in the delivery of health services.

ECA accounts for one-third of the world's total migration (excluding movement of people between industrialized countries), partly because of the high level of migration since the break-up of the Soviet Union. Migration in ECA forms two main streams: first, from Eastern Europe and the former Soviet Union to Western Europe; second, within the states of the former Soviet Union. Russia is the main destination for migrants in the second stream, while the main sending countries in ECA are Albania, Kazakhstan, and Georgia.

People in the region move to pursue economic opportunities, and a challenging or changing climate already does and will continue to influence the economic decision to migrate. A chronic lack of rain pushes families and whole communities to relocate, usually in a depleted and highly vulnerable state. Recurrent drought in Moldova between 1990 and 2007—including a 45-day heat wave in 2007—hit the country's agricultural sector hard as water resources became increasingly scarce. The resulting outflow led to concentrations of Moldovans in large cities, such as Rome and Moscow (IOM 2007).

In Kazakhstan, flooding has caused widespread displacement. Unusually warm days and heavy rains in February 2008 resulted in the inundation of 48 settlements in southern Kazakhstan, forcing 13,000 people from their homes. Most moved into camps or relatives' homes. But in some cases, the floods did long-term damage to farmland and irrigation canals, making restoration of earlier living patterns unlikely. Looking ahead, some anticipate more flooding in the area, possibly displacing as many as 250,000 people.

Migration prompts illness and premature death in three ways (see figure 3.1). *First*, dislocated people are stressed and exhausted, without access to safe water and sanitation. This makes the migrants more vulnerable to infectious and psychological illnesses, as well as worsening chronic conditions.

Adding to this vulnerability, uprooted people have limited access to medical services. Migrants often have little choice but to work as unskilled labor in high-risk, unhealthy jobs. The psychological stresses of culture shock, language barriers, possible discrimination, and overarching insecurity tends to worsen other health conditions.

*Second*, health systems may be unprepared to deal with the infectious diseases and other illnesses brought by the migrants—either from their home countries or from somewhere along

their journey. Infectious diseases are a special challenge for health systems, which must treat individual patients *and* trace and contain the vectors of disease in order to protect the public.

Migrant populations are vulnerable to type 2 diabetes, cardiovascular diseases, and tuberculosis. According to the European Society for Clinical Microbiology and Infectious Diseases, several large European cities have already experienced tuberculosis epidemics related to increased migration from Asia, Africa, and Latin America. A survey taken in Athens in 2004 and 2005 found positive tuberculin skin tests for 96 out of 1,460 immigrants from Albania, Bulgaria, Romania, the former Soviet Union, Africa, and Southeast Asia (Antypa et al. 2007).

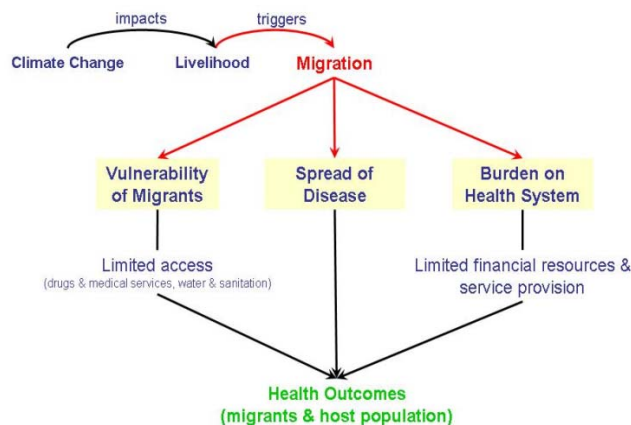
Migrants have higher rates of hepatitis B and C, HIV/AIDS, and malaria than the native populations (Gushulak and MacPherson 2006). A 2005 study within the European Union found that 46 percent of the HIV/AIDS diagnoses were among immigrants, with most of the infections originating outside the EU (Hamers et al. 2006).

Meanwhile, in Spain a study showed that all of the 24 children admitted to hospitals with malaria between 1997 and 2005 were children of immigrants (Martinez-Baylach et al. 2007). Between 1992 and 2001, Albania reported 114 cases of malaria, all immigrants who contracted the disease before arriving in Albania (WHO 2002). If the health systems of the destination countries aren't prepared to deal with a heavier disease burden, immigrants will not receive proper care, and the uninfected population, both immigrant and native, will be at risk.

*Third*, immigrants are often underserved by health systems in their destination countries, either because of poor communication, restricted access, or discrimination. Lacking access to primary and preventive care, as well as information about available services, immigrants postpone treatment, resorting finally to costly visits to the emergency room. According to evidence from Germany, the greater prevalence of unsafe working conditions and high fertility rates among immigrants also lead to a high number of health complications.

Adaptation options for dealing with migration, discussed more in the next section, might include expanding laboratory facilities to screen for previously unfamiliar diseases as well as familiar ones, such as tuberculosis. In addition, countries will need to design communication and education campaigns that help immigrants surmount barriers to health care.

**FIGURE 3.1 HOW MIGRATION AFFECTS HEALTH AND HEALTH SYSTEMS**



Source: Rabie et al. 2008.

## ***Assessing vulnerability and prioritizing protections***

Countries can take stock of their exposure to climate change and its impact on concurrent health problems. But first, governments will need data that answers some basic questions: What share of the population lives near the coast? What is the history of flash floods? How much of the population is over the age of 75? How many people are living with diabetes?

In addition, an assessment of exposure and sensitivity must be accompanied by an analysis of adaptive capacity in the health sector. Determinants of adaptive capacity include:

- *Economic resources*: public expenditure on health as a percentage of GDP;
- *Technology*: adequacy of technological assets in place for responding to health risks;
- *Human capital*: the quantity and skills of health professionals, including research specialists;
- *Access to risk-spreading mechanisms*: insurance products that enable a society to spread the financial losses associated with the health outcomes occasioned by climate change;
- *Access to and ability to manage information*: the availability of critical indicators basic to understanding health risks, including public health surveillance tools, emergency communications, as well as a system for monitoring changing averages;
- *Institutionalized practices*: clinical guidelines, performance assessment protocols, and systems for emergency preparedness;
- *Attention to equity*: a measure of how evenly access to and use of health services are distributed throughout the population, and how evenly health deficits are shared.

Adapting health systems to the realities of climate change will depend on a reliable flow of information and a paradigm of working across organizations. Public health is affected by the actions taken in many other sectors. For example, if a country's energy sector increases surge capacity to support cooling during heat waves, heat-related distress and death will decline. Recognizing the probability of flooding and threats to the water system, governments can lower health risks by strengthening the physical integrity of water facilities (see chapter 6).

Adaptation policy can be grouped into two categories: responsive, which reduces vulnerabilities arising from climate changes that have already occurred; and anticipatory, which addresses health outcomes associated with projected future climate change. Box 3.2 provides a number of adaptation actions, both responsive and anticipatory, for government health professionals and the general public to respond to two of the most likely and damaging climate extremes.

In addition to the extreme phenomena of floods and heat waves, climate change involves long-term shifts in average temperatures and precipitation levels, which carry long-term health implications. Governments should strengthen monitoring and surveillance activities in order to detect any new epidemics that might surface. Hygiene should be improved across the board (e.g., food preparation), along with vaccination programs and health education. A map of high-risk areas should be developed, along with plans for vector-control programs.

Anticipating an increase in migration as a result of climate change, governments should establish screening for tuberculosis and other services for uncommon diseases that might arrive with new residents. Health facilities must inform immigrants of the available health services, and perhaps hire more professionals from sending countries. The governments of destination and departure countries could work together to coordinate these actions.

## **BOX 3.2 ADAPTATION STRATEGIES FOR FLOODS AND HEAT WAVES**

### **Anticipatory strategies for floods**

#### *Governments:*

- Establish systems to communicate with the public, health professionals, and emergency responders
- Design education campaigns for populations at risk, including evacuation plans
- Set up multi-lingual information systems that can function during and after floods and power outages
- Divide regions into risk zones based on historical and projected trends for setting investment priorities and informing the public of risks
- Limit settlement in flood plains with updating and enforcement of zoning laws

#### *Health institutions and professionals:*

- Increase laboratory diagnostic capacity and strengthen disease-related data bases
- Increase awareness about vector-borne diseases
- Waterproof facilities and create safe storage for key equipment
- Train staff for emergency conditions, including hospital evacuations
- Back up patient files on computers
- Create flood-resistant communications systems
- Create a back-up supply of safe water for hospitals, and invest in purification equipment

#### *General public:*

- Understand safety procedures and priorities in event of a flood
- Participate in insurance schemes and other mechanisms for spreading the financial risk
- Demand a variety of flood-control policies from government

### **Responsive strategies for floods**

#### *Governments:*

- Deliver necessary public awareness materials and work with media to get key information about the emergency into circulation
- Ensure public hygiene is maintained
- Increase levels of human and animal vaccination in year of floods
- Survey contaminants and environmental threats
- Ensure access to food, water, and shelter for the most vulnerable

#### *Health institutions and professionals:*

- Employ sound surveillance methods to detect and contain epidemics
- Communicate with government and the public about outbreaks of disease
- Organize post-flood epidemiological monitoring
- Include psychological testing to pick up on stress-related factors
- Provide social support to vulnerable groups

#### *General public:*

- Drink from only safe water supplies, and boil or chlorinate tap water
- Discard suspect food; remove any dead animals and disinfect contaminated areas; always wear protective gear
- Treat furniture and rooms for vector-borne diseases that might come from rodents or insects
- Clean flooded basements promptly to avoid mosquitoes and molds
- Use insect repellent

*continued*

## **Anticipatory strategies for heat waves**

### *Governments:*

- Make sure there is enough surge capacity in the power system
- Plan future housing to maximize natural ventilation
- Include space for trees in urban designs
- Plan back-up water supplies
- Coordinate forecasting and early warning systems across local authorities
- Create cool spots and havens using natural and designed systems

### *Health institutions and professionals:*

- Inform patients of their particular vulnerabilities to heat stress
- Connect health professionals with forecasting/warning systems
- Coordinate with government on public awareness plan, with special outreach to vulnerable groups
- Ensure adequate staffing for emergency periods
- Create heat wave hotline and web-based services for public inquiries
- Create a media effort around limiting the effects of smoke and smog

### *General public:*

- Stay attuned to summer weather forecasts, and know the health risks, including one's own personal medical vulnerabilities associated with extreme heat
- Agree in advance on possible leave policies from work
- Advocate for policy makers to adopt heat wave plans

## **Responsive strategies for heat waves**

### *Governments:*

- Provide continuous electricity during heat waves, with priority for healthcare facilities
- Guarantee a flow of public information about government activities, forest fires, emergency programs

### *Health institutions and professionals:*

- Monitor health of patients, including out-patients, particularly the elderly and chronically ill
- Ensure patients understand the seriousness of heat-induced conditions
- Use media to expand awareness of ways to stay healthy during extreme heat

### *General public:*

- Avoid strenuous activities, and stay indoors during hours of maximum heat
- Drink a lot of fluids, but avoid alcohol and caffeine
- Refuel cars at night to lessen gas vapors; reduce car use
- Guard against forest fires and be ready to evacuate if needed
- Reach out to the elderly and vulnerable

*Source:* Rabie et al. 2008.



## **CHAPTER 4. CLIMATE CHANGE WILL MAKE WATER AND LAND MANAGEMENT MORE COMPLEX**

Physical impacts will vary depending on whether climate change manifests itself through slow changes in averages, through more frequent extreme events, or through sudden catastrophic changes (such as a collapse in the North Atlantic current or a collapse of the Greenland or Antarctic ice sheet). Slowly occurring changes are controllable for most human-managed systems—though not always for ecological ones. Extremes are, of course, much harder to cope with and more likely to impose irreversible damages.

This chapter describes the impact of slow-moving averages and more predictable extreme events (or so-called slow-onset disasters such as droughts), concentrating on direct physical impacts. The chapter looks at how climate change might complicate water resource management, and then we review how it is likely to affect ECA's coastal areas. Finally, the impact of a receding permafrost line is discussed.

### ***More difficult water resource management—too much or too little of a good thing***

As mentioned in chapter 2, ECA will be confronted with both more floods and more droughts. Rainfall is expected to become more intense and variable, resulting in increased flood risk everywhere in the region—particularly in Eastern and Central Europe. Droughts will be a serious issue for Central Asia, the Caucasus, and Southeastern Europe. Water management will become more complex everywhere, but—at least in the period up to 2030—this will be mostly driven by natural climate variability, socio-demographic trends, and unsustainable water resource management.

### **What climate change means for water resource management**

Climate change can cause or exacerbate water stress in a variety of ways beyond reduced precipitation. Increased temperature reduces water availability by increasing evaporation, while at the same time causing an increase in demand (for irrigation or recreational purposes), and affecting water quality by intensifying the effect of aquatic pollution.

Warmer temperatures also reduce the share of precipitation that falls as snow, which is a natural mechanism for storing water that is gradually released in spring and summer.<sup>25</sup> Climate change affects sea level rise and storm surges, which can lead to salinization of coastal aquifers (see discussion of coastal areas below).

Finally, more concentrated rainfall and a decline in snowfall, with its water retention function, will likely lead to a lower recharge of aquifers as saturated soil conditions lead to more surface runoff.

Increased flooding is a growing concern across all of ECA. Central Europe has been particularly affected in recent years—particularly Bulgaria and Romania. The flooding can be riverine or coastal, but can also come from rising underground water, which is a serious issue for a number

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<sup>25</sup> The melting of mountain snowpack over the summer is a natural redistributing mechanism of precipitation across seasons. Normally, greater winter precipitation is stored as snow and ice, and then gradually released throughout spring and summer as temperatures rise.

of Russian cities, such as St Petersburg. Increased intensity of rainfall along with storms and more rapid snowmelt in the spring are the climatic drivers of floods.

A number of non-climatic factors already threaten the sustainability of water resources, including urban growth, changing land use, and unsustainable agricultural and industrial water use (Arnell and Delaney 2006; Holman et al. 2005). One study (Vörösmarty et al. 2000) shows that for the early part of this century water stress in Europe and Asia will be almost entirely driven by increased water demand linked to socio-economic developments. Similarly, there is evidence that floods are often linked to poor land use and river basin management.

Generally, climate-related changes to freshwater systems have been small, compared with such non-climatic drivers as pollution, regulation of river flows, wetland drainage, reduction in streamflow, and lowering of the groundwater table (mainly due to extraction for irrigation). This mosaic of stresses calls for a shift towards more sustainable practices before the impacts of climate change are more strongly felt over the next 20 years.

### **A varying regional picture but more flooding (almost) everywhere**

As discussed in chapter 2, Central and Southeastern Europe, Central Asia, and the Caucasus will experience reduced precipitation, while the rest of the region (Northern Europe, Russia except for the Northern Caucasus, Kazakhstan) will mostly see increased rainfall. Annual runoff—meaning the water that runs over land, and a measure of water availability—is projected to decrease in Central and Southeastern Europe and Central Asia but increase for most of Russia and the Baltics (map 2.4, map 4.1). Winter runoff is projected to increase, especially in western Russia, with the most pronounced changes projected for the spring for the rest of ECA (Kattsov et al. 2008).

Projections are grim in terms of frequency and intensity of extreme flooding in ECA. The Danube and Tisza valleys in Hungary are very prone to frequent flooding. Floods are projected to be more frequent in Northern, Central, and Eastern Europe as well as in Asian Russia. Intense short-term precipitation and the risk of flash flooding will rise across most of Europe. Flood protection traditionally relies on reservoirs in highland areas and dykes in lowland areas.<sup>26</sup> However, other planned adaptation options are becoming more popular, such as expanding zoned floodplain areas (Helms et al. 2002), emergency flood reservoirs (Somlyódy 2002), preserved areas for flood water (Silander et al. 2006), and flood warning systems, especially for flash floods.

Anticipating and responding to flood risk will require intelligently managed institutions that identify water use trends, areas vulnerable to climate change, and opportunities to respond to the emerging challenges. Particular measures for flood management include effluent disposal strategies under conditions of lower self-purification in warmer water, design of water and wastewater treatment plants to work more efficiently, even during extreme climatic conditions, and ways of reusing and recycling water (Luketina and Bender 2002; Environment Canada 2004; Patrinos and Bamzai 2005).

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<sup>26</sup> The discussion of flood protection is adapted from Bates et al. 2008.

## Climate change will compound Central Asia’s already serious water shortages<sup>27</sup>

Central Asian countries are confronting a shared problem of future water shortages, probably the most dramatic in the region. Increased winter precipitation will be more than offset by declining summer precipitation and warmer temperatures. Declines in river runoff—estimated to be about 20 percent in the next 50 years—will compound already unsustainable water management.

The nature and extent of water vulnerability varies. In Kazakhstan, where the decline in runoff is expected to be milder, there is a potential problem of water resource management in the Ili River basin, which is shared with China. Kyrgyzstan and Tajikistan will have enough water for their own needs but may not be able to meet demand in their role as critical suppliers of water to the region—Kyrgyzstan has 30 percent and Tajikistan 40 percent of total water resources for the five countries (table 4.1).

**TABLE 4.1 WATER RESOURCES OF CENTRAL ASIA: SUPPLIERS OF THE MAIN RIVERS (KM<sup>3</sup>/Y)**

State	Amu-Darya River basin	Syr-Darya River basin	Balhash Lake basin	Issyk-Kul Lake basin	Tarim River basin	TOTAL, km <sup>3</sup> /y	Share of country’s resources in regional total
Kazakhstan	-	5	24	-	-	28	18%
Kyrgyzstan	2	34	0	4	7	47	30%
Tajikistan	63	1	-	-	1	65	41%
Turkmenistan	2.8**	-	-	-	-	3	2%
Uzbekistan	5	4	-	-	-	9	6%
<b>TOTAL</b>	<b>79*</b>	<b>38</b>	<b>29</b>	<b>4</b>	<b>8</b>	<b>157*</b>	<b>100%*</b>
<b>Share of basin’s contribution to regional total</b>	<b>50%</b>	<b>24%</b>	<b>19%</b>	<b>2%</b>	<b>5%</b>		<b>100%</b>

Notes: \* Including contribution of Afghanistan in Amu-Darya runoff, that is, 6.2 km<sup>3</sup>/y or 3.9% of the total water resources of the region. \*\* Including Iran’s small contribution in Amu-Darya runoff.

Source: Alamanov et al. 2006, page 105 as quoted in Kokorin 2008.

The rapid melting of the glaciers of Kyrgyzstan and Tajikistan is worrisome, particularly in the case of Tajikistan, whose glaciers contribute 10 to 20 percent of the runoff of the major river systems of the region (up to 70 percent during the dry season). The glaciers are critical to the Amu-Darya water basin, the most important in Central Asia and the principal source of water for Turkmenistan. In addition, Kyrgyzstan is also seeing a troubling decline, partly attributable to climate change, of the water level of Lake Issyk-Kul, which is important to its economy and ecosystems.

<sup>27</sup> This section is based on the background paper by Kokorin.

The water situation in Turkmenistan and Uzbekistan is dramatic, but would be so even without climate change. Uzbekistan is the main water consumer of the region—it is the most populated country with an economy largely based on irrigated farming. Almost all (90%) of its water resources come from mountains located in other countries. Adaptation will require more sustainable use of water, starting with implementation of low water consuming technologies and more effective irrigation management. It may also include reservoirs and regulation of runoff.

Unsustainable water management has caused the Aral Sea to shrink, which will be made worse by climate change. Once the fourth largest lake in the world, the Aral Sea is nearing extinction, having decreased over the last four decades from 68 to about 28 thousand km<sup>2</sup> (Glantz and Zonn 2005). Where once 178 species inhabited the Aral region, there are now fewer than 40 (Alamanov et al. 2006). Salt air pollution from the open sea bottom is dangerous for agriculture and human and animal health. Warming temperatures are only making it worse—for example, by increasing evaporation over the 1300 km man-made Karakum channel.

Significant damage has already occurred in the Amu-Darya River delta, and measures to manage today's stresses will be even more important to refine as the climate changes. The Amu-Darya is a key source of water for Uzbekistan, Tajikistan, and Turkmenistan, which share in its use and therefore will have to coordinate efforts to save the Aral. The government of Uzbekistan is now attempting to stabilize the sea with a program that includes development of buffer protection basins, which are chains of local water reservoirs in the Amu-Darya River delta and surrounding areas.

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**BOX 4.1 PLACING MORE EMPHASIS ON RIVER BASIN MANAGEMENT**

Integrated Water Resource Management (IWRM) is a systematic approach to planning and management that considers a range of supply-side and demand-side processes and actions, and incorporates stakeholder participation in decision processes. It identifies and balances trade-offs among the water management objectives of environmental sustainability, economic efficiency, and social equity. IWRM simultaneously addresses the two distinct systems that shape the water management landscape. The biophysical system—including climate, topography, land cover, surface water hydrology, groundwater hydrology, soils, water quality, and ecosystems—determines the availability of water and its movement through a river basin. Factors related to the socio-economic system, driven largely by human demand for water, shape how available water is stored, allocated, and delivered within or across river basin boundaries.

Integrated analysis of the natural and managed systems is arguably the most useful approach to evaluate management alternatives. This type of analysis uses hydrologic modeling tools that simulate physical processes including precipitation, evapotranspiration, runoff, and infiltration. In managed systems, analysts must also account for the operation of hydraulic structures, such as dams and diversions, as well as institutional factors that govern the allocation of water between competing demands, including consumptive demand, such as agricultural or urban water supply, and non-consumptive demands for hydropower generation or ecosystem protection.

IWRM at the river basin level seeks to manage the sharing of costs, benefits, and impacts among all uses and users across a river basin. But it is also the most challenging approach to water resources management because of the obstacles created by sector and administrative boundaries.

*Source:* contributions by Shelley McMillan.

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Many problems, however, have not yet been addressed, including modernization of archaic , wasteful irrigation systems and other climate-sensitive infrastructures. Although most of the countries have developed adaptation policies, implementation is slow (Kokorin 2008). And, while integrated river basin management is essential throughout the region, it is complicated by the transboundary nature of the region’s water resources (box 4.1).

### ***More stress on already stressed coastal areas***<sup>28</sup>

Coastal areas, defined as “areas on and above the continental shelf [...] routinely inundated by saltwater, and adjacent land, within 100 km from the shoreline” (Martinez et al. 2007), are subject to impacts from both the sea and the land. This exposes them to the influence of climate change either directly (sea level rise, storm surges, floods, droughts), or indirectly through events that originate off-site but whose consequences propagate down to the coasts, like river floods and changes in the seasonality, pulses, and quality of runoff from inland sources.

Coastal vulnerability varies tremendously across ECA’s four basins (the Baltic Sea, the East Adriatic coast and Mediterranean coast of Turkey, the Black Sea, and the Caspian Sea) and the Russian Arctic Ocean. Some basins are experiencing a decrease in sea levels (Caspian and northern Baltic), while others face varying degrees of sea level rise. Seawater acidification—caused by higher concentrations of CO<sub>2</sub>—and increases in water temperature affects them all. Vulnerability in all basins is exacerbated by poor coastal management and existing stresses—pollution, overfishing, construction too close to the coast, and the damming of rivers, which prevents sediment flows from reaching the coast, worsening erosion.

Vulnerability also depends on whether a significant share of a country’s population or economic activities is situated in low-elevation coastal zones. This share is highest in Latvia, where 34 percent of the population lives in coastal zones less than 10 m above sea level, and significant in a number of other ECA countries (table 4.2).

**TABLE 4.2 SHARE OF THE POPULATION LIVING IN LOW ELEVATION COASTAL ZONES (LESS THAN 10 M ABOVE SEA LEVEL)**

Country	Total population in low lying coastal zone	As a share of national population (%)
Latvia	814,288	33.6
Albania	317,894	10.1
Georgia	328,396	6.2
Lithuania	186,901	5.1
Turkey	2,449,027	3.7
Romania	760,789	3.4
Croatia	139,930	3.0
Ukraine	1,315,903	2.7
Poland	973,501	2.5
Russia	3,552,274	2.4
Moldova	87,726	2.0
Bulgaria	121,581	1.5
Montenegro	8,583	1.3
Bosnia-Herzegovina	700	0.0

*Notes:* Armenia, Azerbaijan, Belarus, Hungary, Kazakhstan, Kyrgyz Republic, Macedonia FYR, Serbia, Slovakia, Tajikistan, Turkmenistan, Uzbekistan have no exposed population. *Source:* SEDAC–CEISIN.

<sup>28</sup> This section is based on “Adaptation to Climate Change in Coastal Areas of the ECA Region” by Nicola Cenacchi, a background paper commissioned for this report.

## Baltic Sea

Variations in the Baltic Sea level are strongly affected by the uplift of the Scandinavian plate in the north and the lowering of the southern Baltic coasts. This, combined with the increase in mean ocean level, has resulted in a recorded sea level rise of 1.7 mm per year in the southeastern Baltic, but a decrease of 9.4 mm per year in the northern part (HELCOM 2007). Projected sea level rise will depend mostly on land uplift and global sea level rise, with the latter apt to balance the former in the northern areas. The best studies on coastal vulnerability in the Baltic have been carried out in Estonia and Poland, which provide the richest examples.

To date, no obvious trend of sea level rise has been recorded in Estonia, whose coast is only moderately vulnerable. A 1m sea level rise, for example, would threaten important ecological sites, but few settlements (Kont et al. 2008). This is because the coast is sparsely populated. The only two vulnerable sites are the city of Tallinn (the capital of Estonia) and the Sillamae industrial center. The latter is the dumping site for the radioactive wastes of a former uranium enrichment plant. These wastes regularly leach into the soil and water, and are separated from the sea only by a narrow dam.

Increased storminess and sea level rise could cause radioactive material to be flushed directly into the Baltic. The city of Tallinn is protected for one-third of its coastline by seawalls, but the defense system will require adjustments because of increased storminess. Increased coastal development—partly for tourism—would increase vulnerability.

In contrast, Poland's coast seems more vulnerable. Global circulation models project increased frequency and strength of storm conditions along with a continued rise in sea level that could reach 45 to 65 cm by 2100 (Pruszek and Zawadzka 2008).

And Poland's low-lying and mostly sandy coasts are exposed to flooding and erosion, which has increased since the 1970s because of the rise in sea level, greater storminess, and sediment starvation, brought about by the regimentation of rivers.<sup>29</sup> The socio-economic vulnerability of Poland's coast is particularly high at the eastern and western extremities (the cities of Gdansk, Gdynia, and Szczecin). Sensitivity could increase, as coastal development, which began in the 1990s after a jump in GDP, continues its course.

Runoff into the entire Baltic Sea will likely increase over this century as precipitation linked to climate change becomes heavier, altering the delicate coastal water nutrient balance. More runoff will translate into a greater input of nutrients, and possibly intensify eutrophication (HELCOM 2007).<sup>30</sup> This, combined with the projected continued warming of sea water, will spark an increased phytoplankton growth that could be harmful to human and animal health (see chapter 3).

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<sup>29</sup> Subsidence has had little effect on the Polish coast, being only of 1 mm/year.

<sup>30</sup> Eutrophication literally means over-nourishment. The term refers either to atypical algal blooms, or to the massive death of organisms following the decomposition of algae and the loss of oxygen in the water. These events are triggered by the availability of enormous quantities of both inorganic and organic nutrients, such as from runoff from fertilized fields.

## **Caspian Sea**

The Caspian Sea has in the past displayed significant sea level fluctuations. The causes are not well understood, but may include changes in precipitation and runoff, along with tectonic movements.

Climate models project a six meter *decrease* in the level of the Caspian Sea from 1975 to the end of the twenty-first century because of increased surface evaporation, which is expected to exceed the augmented runoff from the Volga (Renssen et al. 2007, Elguindi and Giorgi 2007). A significant drop in sea level combined with increasing temperatures will impact fish stocks and put additional stress over the already imperiled sturgeon population. It would also affect infrastructure and economic activity, increasing costs for industry (mainly oil and gas) and transport.

Unfortunately, awareness of the unpredictable sea levels has not discouraged coastal development on land freed by the retreating sea. Past rises have caused vast damages along sections of the Caspian coastline, a prominent example being the Russian coast (Frolov 2000, GEF 2002). A new drop in level could result in another rush to occupy newly available land, exposing the population to potentially dangerous substances, such as pesticides, arsenic, and other heavy metals locked in coastal sediments.

## **Mediterranean Sea (East Adriatic and Mediterranean coast of Turkey)**

The Mediterranean is a difficult place to gather data on sea level forecasts. Tectonic activity, changes in density of deep waters, and local changes in air pressure systems complicate measurement activities (Karaca and Nicholls 2008). Within the East Adriatic, observations of sea level rise at different locations show great differences, with the average rising at one site and dropping at another.

In Croatia, for example, studies project a significant sea level rise, but with high levels of uncertainty (e.g.,  $+65 \pm 35$  cm by 2100). For this reason, a UNDP/GEF project is working on a qualitative assessment of vulnerability to a wide range of possible sea level changes (Barić et al. 2008).

Croatia's rocky coast would protect it well against a small sea level rise (e.g., 20 cm) but not against much higher rises. Particularly vulnerable are tourism, fisheries, and shipping infrastructures built right up to the shore. Further analysis is needed to understand the vulnerabilities of coastal cities, notably to salt water intrusion into groundwater tables (Barić et al. 2008).<sup>31</sup>

The northern part of Albania is highly sensitive to floods and more frequent storms. Unregulated urban development has allowed building right to the shoreline, exposing infrastructures to a high risk of weather-related damages. The impact will vary with the extent of sea level rise: the 48–60 cm rise projected for 2100 would flood coastal areas and cause significant saltwater infiltration (UNDP-Albania 2002), whereas the 20–24 cm projected for 2050 should not have major impacts despite the fact that all the coasts of Albania are considered lowlands.

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<sup>31</sup> At the same time that the sea is rising, projected declines in precipitation (or increased extraction) would lower the level of underground freshwater supplies, making inflow of saltwater for a given amount of sea level rise even more likely.

Along Turkey's Mediterranean coast, a rise in sea level will have mostly local impacts. The coastline's geophysical characteristics indicate a low physical vulnerability, but some settlements and productive activities will be vulnerable (UNDP-Turkey 2007).

Nevertheless, sea level rise and storm surges could impact tourism and agriculture (Karaca and Nicholls 2008). Delta plains where land has been reclaimed for agricultural use (Gediz, Seyahn and Ceyhan) are especially vulnerable (Karaca and Nicholls 2008). The movement of populations towards coastal cities is amplifying the sensitivity of the socio-economic system to sea level rise. Istanbul is particularly exposed, as 10 percent of the population lives within 1km from the shore, and the city by itself accounts for 21 percent of national GDP. The biggest concerns involve saltwater intrusion, particularly in two coastal lagoons and to Lake Terkos, which supply freshwater for the city (Karaca and Nicholls 2008).

### **Black Sea**

Sea level rise has been higher in the Black Sea than in the Mediterranean ( $27 \pm 2.5$  mm per year, versus  $7 \pm 1.5$  mm per year, Valiela 2006) though the few studies that exist lack consistency.

The Georgian coast appears to be subsiding relative to the rest of the Black Sea basin (Karaca and Nicholls 2008), while the Russian coast with its numerous ports and high economic activity will be vulnerable to floods and salt water intrusion into the aquifers (Frolov 2000). Ukraine is already experiencing erosion problems that are prompting a loss of housing, arable land, and industrial and touristic sites.

The Black Sea coast of Turkey is vulnerable mainly in a few deltaic areas (Karaca and Nicholls 2008). Storm surges are already affecting some settlements and worsening conditions may bring damages to the 23 ports along the Black Sea. Furthermore, storms, erosion and sustained flooding are predicted to damage the very important east-west road system that runs along the coast very near to the shoreline.

Climate change will add to the stresses already felt in the Black Sea coast. The economically critical fishing industry is already threatened by overfishing and pollution, and will be further stressed by the projected increase in water temperatures. The Black Sea is also an important source, refinement point, and transport route for oil and gas, and there are fears that increased storminess and erosion will stress oil and gas infrastructure on the Russian, Ukrainian, and Georgian coasts. Accidents, in turn, would spread further pollution. Coastal landfills in the Black Sea are pollution hot-spots (GEF 2007); and along the Georgian coast, and some other areas, sea level rise and coastal erosion may further damage these landfills and increase the volume of pollutants flushed to sea.

Finally, the damming and channeling of rivers, along with ill-managed coastal development, is altering the sediment balance and distribution, resulting in erosion problems. In Russia, Ukraine and Georgia, unregulated building close to the shore is also advancing erosion and increasing sensitivity to climate impacts.



### ***Declining arctic ice, tundra and permafrost<sup>32</sup>***

Climate impact is fastest and most visible in the Arctic region. Projections of Arctic Ocean ice show decreases in area and mass throughout the twenty-first century, with the decreases more pronounced in the seasonal minima (September) than in the seasonal maxima (March) (Kattsov 2007, 2008). While there is a lot of inter-model variation, studies project a mean reduction in September ice on the order of 40 percent by mid-century. Zhang and Walsh (2006) project the multi-year arctic ice to decrease on the order of 45–65 percent in the last two decades of the twenty-first century, while seasonal ice (meaning ice that melts in the summer) is projected to increase 14–28 percent over the same period. In some models, the Arctic Ocean’s ice cover becomes entirely seasonal by the end of the century.

Regarding Russian permafrost, seasonal thaw depths are projected to increase by more than 50 percent in the most northern parts of Siberia by 2050, and by 30–50 percent in most other permafrost areas (Anisimov and Reneva 2006). Projections also suggest increased seasonal thawing depths along with a northward shifting of the boundary between seasonal thawing and seasonal freezing (map 4.2). Finally, over the next 100 years, Russia’s tundra is projected to shift to forest (Scholze et al. 2006), with estimates of total converted tundra area ranging from 10–50 percent (Anisimov and Vaughan 2007).

The implications of the large scale thawing of the permafrost go far beyond the urgent biodiversity problem caused by the loss of ice in the Arctic and the impacts on buildings and infrastructure (chapter 6). Permafrost is thought to hold about twice the amount of carbon in the atmosphere. And while some of it would be captured by the encroachment of trees in the tundra, emissions of carbon (as carbon dioxide or methane—a much more potent greenhouse gas) from microbial decomposition of organic carbon in thawing permafrost could amount to roughly half those resulting from global land-use change during this century (Schuur et al. 2008). The large scale thawing of the permafrost is a major catastrophic event that could lead to runaway global warming.

### ***Threats to biodiversity are significant<sup>33</sup>***

ECA countries are home to a significant part of the world’s biodiversity. This includes the world’s largest contiguous steppe and intact forest ecosystems along with 21 mountain chains (Brylski and Abdulin 2003), 9 of 15 major biomes, and nearly 100 different eco-regions (map 4.3). Much of this biodiversity is already threatened. Indeed ECA is also home to 26 of the World Wildlife Fund’s (WWF) global 200 priority areas (map 4.4), and three hotspot regions:<sup>34</sup> the Mediterranean basin, the Caucasus, and the Mountains of Central Asia.

The first impact of increasing temperatures will be to change species’ ranges, meaning it will induce a movement of ecosystems themselves. Some species and ecosystems—those that

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<sup>32</sup> The material on projections is based on the background papers by Westphal as well as by Kattsov et al., while the discussion of the implication of permafrost melting and adaptation options is from the background paper by Kokorin.

<sup>33</sup> This section is based on “Biodiversity Adaptation to Climate Change in the ECA Region” by Nicola Cenacchi, a background paper commissioned for this report.

<sup>34</sup> The WWF 200 Global priority areas are a set of ecoregions where conservation efforts and resources should be concentrated—based on the level of species richness and endemism. Hotspots are areas “featuring exceptional concentrations of endemic species and experiencing exceptional loss of habitat” (Myers et al. 2000).

already occupy the most extreme areas in the mountainous or arctic regions—will have nowhere to go and are under threat of extinction. Others will not be able to adapt fast enough, given the unprecedented rates of temperature change. As species push northward or upward, warmer and wetter conditions are also expected to create more opportunities for invasive species to expand their range (Reid 2006, Alcamo et al. 2007). Climate change will also affect the timing of natural cycles, such as flowering or mating seasons.<sup>35</sup>

### **Two key lines of intervention: conservation and minimizing non climate change-related stresses**

A first step entails tackling directly those stressors that undermine adaptation of species and ecosystems—one of the arguments behind establishing protected areas. However, protected areas—still basic to biodiversity conservation—will become less effective as habitat ranges, and with them the distribution of species, shift.

The key to an adaptation strategy then is an anticipatory framework enabling the natural systems to adapt on their own, to the extent possible, to climate change. The preferred approach is the establishment of networks of protected areas, shielded by buffer zones and connected through vegetation corridors, which allow species' migration along altitude and latitude gradients (Price and Neville 2003).<sup>36</sup> But to be effective, they must have a landscape-regional (or "bioregional") approach (box 4.2).

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#### **BOX 4.2 BIOCLIMATIC MODELS**

Ideally, the design of protected areas should be informed by bioclimatic modeling, i.e., modeling of the range shifts of species. Regional modeling of biodiversity responses (including magnitude and direction of change) is necessary since the global models are not useful for conservation of biodiversity (Hannah et al. 2002).

One of the challenges is how to test the various models' predictive ability; obviously, there are no future data to test the predicted distribution of species in relation to climate change. One solution has been to make use of past climate and species distribution data (Araujo and Rahbek 2006). But this type of data is hard to find and testing of models is restricted to a few regions and a few species within them.

In ECA, there is a large amount of untapped historic data that may be extremely useful (one example is "Chronicles of Nature," an official document produced in each of the about 200 protected areas of Russia, recording past changes in the distribution of species, both flora and fauna); the situation calls for a program to track and recover such material and use it to support regional biomodeling of future changes.

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One example is the EU's central conservation measure, the Natura 2000 Network—26,000 protected areas covering all member states with a total area of 850,000 km<sup>2</sup> or more than 20 percent of EU territory. It does not exclude all human activity, but rather includes both nature

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<sup>35</sup> These cycles are known as phenological cycles. The effect of a phenological shift on a species depends on whether the other species on which it relies—for food, pollination, or seed dispersal—change with it.

<sup>36</sup> A **buffer zone** has the double purpose of benefiting local populations while providing an additional level of protection to the conservation area; it is intended for both conservation and development fostering research, tourism, etc., and for prohibiting activities like logging, mining, and construction. **Corridors** typically indicate landscape vegetational structures that facilitate the migration of both animal and vegetal species, as well as the exchange of human populations, to reduce the chance of genetic isolation.

reserves and privately owned land where extensive agriculture or pasture are allowed and managed according to sustainable practices (European Commission 2005).

The UNESCO World Network of Biosphere Reserves is an example of the extension of the landscape approach to the global scale. Unlike national protected areas, this network spans across national boundaries. Examples within ECA are the biosphere reserve at the southwestern end of the Tien Shan Mountains, and the Carpathians.

### **Adaptations by biome**

ECA's hotspots and WWF's Global 200 priority areas—spanning a number of ecoregions—face great stresses of habitat destruction and fragmentation, requiring forward-looking adaptation measures (table 4.3).

**Grasslands and forests** are vulnerable due to the increased risk of wildfires and invasive species. A key adaptation strategy centers on control of exotic species. Monitoring the migration of wild grazers is also a critical factor. The Daurian steppe (see table 4.4) contains rare plant species and is currently exposed to unregulated road construction and unsustainable grazing practices. Both factors are potentially disastrous for maintaining resiliency to climate change—as plant genetic diversity will be key in efforts to identify forage and other plants that thrive in the changing climate— and have to be addressed urgently as an initial adaptation measure.

Given the need for northward migration, physical barriers to migration must be avoided. For instance, in the north of the Central Asian steppe lies a vast swatch of agricultural land that is difficult for species to cross. Corridors or “stepping-stones” could allow the southern grassland species to move into and across the land occupied for human use.<sup>37</sup>

Global warming will cause **mountain ecosystems** to migrate upward (rather than northward). This will result in a loss of the ecological zones at the summit of the mountains—since they have no place to migrate to (Price and Neville 2003) . This phenomenon is already observable all over the world, from the Italian Alps to the Urals to the Altai-Sayan Mountains. In mountain chains with a north-south geographical orientation (such as the Urals), the process may be delayed as species may find temporary refuge in the northernmost areas.

In the Urals the main threats are the clear-cutting of old-growth forests, mining, agriculture and pasture, air pollution, and tourism. However, the threats are not equally distributed along the chain. While the mountain tundra seems to have been degraded all across the ecoregion (apart from a few protected areas), the northern taiga is still in relatively good condition.<sup>38</sup> Its protection is therefore critical.

In the Altai-Sayan and Khangai mountains, stressors are hunting, poaching, logging, overgrazing, and mining. In the Carpathians, poaching and air and water pollution are the main issues, along with logging for ski resorts and building of hydroelectric dams.

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<sup>37</sup> In corridors, stepping stones are smaller disconnected areas or protected habitat that has been tested to facilitate movement of animals, including insects, birds, and large mammals.

<sup>38</sup> [http://www.worldwildlife.org/wildworld/profiles/terrestrial\\_pa.html](http://www.worldwildlife.org/wildworld/profiles/terrestrial_pa.html)

**TABLE 4.3 CATEGORIES OF ADAPTATION OPTIONS**

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Protected areas	<ul style="list-style-type: none"><li>• Identify ecosystem, species, and processes particularly sensitive to climate change;</li><li>• Design areas to protect species, habitat, and ecosystems;</li><li>• Evaluate and improve management and monitoring capabilities.</li></ul>
Conservation networks	<ul style="list-style-type: none"><li>• Create a network of protected areas endowed with buffer zones and connected through corridors that allow species to move along different altitudes and latitudes;</li><li>• Use stepping stones and landscape management to allow movement through mostly anthropogenic landscapes.</li></ul>
Bioregional approaches	<ul style="list-style-type: none"><li>• Create a network of protected areas covering and crossing political boundaries (e.g., the EU Natura 2000 Network) to allow more protection on species movement and preserve functions of large ecosystems.</li></ul>
Participation in management	<ul style="list-style-type: none"><li>• Involve local people in the management of protected areas;</li><li>• Improve locals' livelihoods by decreasing their dependence on natural resources and provide incentives for people to value and sustain ecosystem services.</li></ul>
Monitoring	<ul style="list-style-type: none"><li>• Key element of any adaptive management;</li><li>• For example, GLORIA—Global Observation Research Initiative in Alpine environments. This is a long-term observation network to detect effects of climate change.</li></ul>
Supporting policies	<ul style="list-style-type: none"><li>• Develop policies and plans for specific geographical areas, sectors, and agencies, including legal provision and economic instruments.</li></ul>
Minimize non-climate change related stresses	<ul style="list-style-type: none"><li>• This is a landscape-level prescription, and applies also to protected areas. Minimize pollution, control exotic species, and minimize pressures from land-use changes, development, and tourism.</li></ul>

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As a priority, conservation networks (ideally collaborations of governments, NGOs, and technical experts) must be recognized by neighboring countries to eliminate political obstacles. For example, the Altai-Sayan mountain environments are shared by Russia, Mongolia, and Kazakhstan; the Carpathians span across Romania, Ukraine, Slovakia, Czech Republic, and Poland. Finally, because poverty is endemic in these areas, conservation goals are unlikely to be achieved unless local livelihoods are improved and dependence on unsustainable exploitation of natural resources is reduced.

Given the scale of projected climatic impacts over the **Arctic**, the only adaptation strategy is to enhance natural autonomous adaptation capacity. This requires tackling current stressors, particularly pollution. The city of Norilsk is one of the major sources of sulfur in the world because of its nickel smelters plants. Sulfur dioxide has already destroyed a vast part of the forests in the Taimyr and central Siberian tundra—one of the WWF priority areas (National Geographic 2001). The Lena river delta, one of WWF's freshwater priority areas, is partially protected, but the delta is threatened by mining activities, forestry, and agriculture development (WWF 2008). This is critical as permafrost melting, in combination with sea level rise, is projected to increase coastal erosion. The developed areas around the Lena wetlands represent a barrier to species migration, and they may also cause a coastal squeeze, impeding the retreat of wetlands in the face of sea level rise.

**TABLE 4.4 BIOMES, AREAS OF HIGH CONSERVATION INTEREST, AND ADAPTATION MEASURES**

Biome	Global 200 Priority Areas and CI Hotspots in ECA	Anticipatory planned measures to promote autonomous adaptation
Alpine/montane ecosystems (Temperate coniferous forests; montane grasslands and shrublands)	<ul style="list-style-type: none"> <li>• Carpathian montane forests (22)</li> <li>• Altai-Sayan montane coniferous forests (21,25) §</li> <li>• Altai-Sayan alpine meadows and tundra (55,59) §</li> <li>• Khangai Mountains alpine meadow (56)</li> <li>• Tien Shan montane conifer forests (26) †</li> <li>• Ural montane forests and tundra (35)</li> </ul>	<ul style="list-style-type: none"> <li>• Minimize all non climate-related threats (habitat destruction/fragmentation, pollution etc.)</li> <li>• Promote the establishment of protected areas and protected networks</li> <li>• Promote the participation of local people in conservation by improving their livelihoods</li> <li>• Monitor and actively control the introduction and spread of exotic species</li> </ul>
Temperate broadleaf, mixed or coniferous forests	<ul style="list-style-type: none"> <li>• Caucasus Anatolian Hyrcanian temperate forests (3,6,10,24) ‡</li> <li>• Ussuri broadleaf and mixed forests (16) [Russian Far East broadleaf and mixed forests priority area]</li> </ul>	<ul style="list-style-type: none"> <li>• Control current threats, particularly degradation, fragmentation, and exotic species</li> <li>• Modify protected areas to take CC-induced shifts into consideration, and to increase connectivity</li> </ul>
Boreal forests/taiga	<ul style="list-style-type: none"> <li>• East Siberian taiga (27) [Central and Eastern Siberian taiga priority area]</li> <li>• Kamchatka taiga (28,29)</li> </ul>	<ul style="list-style-type: none"> <li>• Change management of forests to larger biogeographic scales, including an increased control over buffer zones</li> <li>• Make sure all habitat types are represented in the protected areas and protect mature and old growth stands</li> </ul>
Mediterranean forests, woodlands, and shrub	<ul style="list-style-type: none"> <li>• East Adriatic coast, Greece, Turkey and East Mediterranean-south Anatolian coasts (74–79) ¶</li> </ul>	
Temperate grasslands and steppe	<ul style="list-style-type: none"> <li>• Sayan intermontane steppe (49) §</li> <li>• Alai-Western Tien Shan steppe (37) †</li> <li>• Gissaro-Alai open woodlands (43) †</li> <li>• Tien Shan foothill arid steppe (52) †</li> <li>• Daurian forest steppe (40)</li> </ul>	<ul style="list-style-type: none"> <li>• Monitor and control the spread of exotic species through roads</li> <li>• Regulate the unsustainable grazing (e.g., in the Daurian steppe)</li> <li>• Promote connectivity to prevent fragmentation during migration processes</li> </ul>
Arctic ecosystems (including tundra)	<ul style="list-style-type: none"> <li>• Kamchatka mountain and forest tundra (65)</li> <li>• Chukchi peninsula tundra (64)</li> <li>• Kola peninsula tundra (66) [Fenno Scandia alpine tundra and taiga]</li> <li>• Northeast Siberian coastal tundra (67) [Taimyr and Russian coastal tundra]</li> </ul>	<ul style="list-style-type: none"> <li>• Habitat protection</li> <li>• Reduction of non-climatic stresses (pollution, overharvesting)</li> <li>• Monitoring and regulation of tourism</li> <li>• Monitoring and control of invasive species</li> <li>• Implementation of the WWF “Conservation First” principle</li> </ul>
Freshwater areas	<ul style="list-style-type: none"> <li>• Volga River Delta</li> <li>• Danube River Delta</li> <li>• Lena River Delta</li> <li>• Balkan rivers and streams</li> <li>• Russian Far East rivers and wetlands</li> <li>• Lake Baikal</li> </ul>	<ul style="list-style-type: none"> <li>• Protect a variety of potential habitats, including thermal refugia</li> <li>• Protect water flow and hydrological characteristics</li> <li>• Protect habitat connectivity between rivers, lakes, and wetlands</li> <li>• Control spread of exotic species</li> </ul>

\* Name of the priority areas is supplemented with numbers identifying the relative ecoregion in map 4.4. § Part of the Altai-Sayan priority area. ¶ Part of the Mediterranean basin hotspot. † Part of the Middle Asian montane woodlands and steppe priority area (also a hotspot). ‡ Also a Conservation International (CI) Hotspot.

## CHAPTER 5. THE UNBUILT ENVIRONMENT: AGRICULTURE AND FORESTRY<sup>39</sup>

For ECA's productive environment—farms, commercially exploited forests, and fisheries—climate change is already happening. Moldova's drought-stricken agricultural sector and Central Europe's forest fires during the 2003 heat wave provide a harbinger of the challenges the farming and forestry sectors will face over the coming years (Fink et al. 2004).

However, the impact of climate change will vary across ECA countries, with some areas and sectors projected to experience significant new stresses, while others might see a positive impact. There are also variations in when and how directly different areas and sectors must cope with climate change impacts. The increased frequency of heat stress, drought, and flooding caused by climate change threaten to reduce crop yields and livestock productivity in many areas. Shorter and less harsh winters may result in potential productivity gains in others. In the forestry sector, increased risks of fires and pest outbreaks will negatively affect the health of forests (Easterling et al. 2007).

The agriculture and forestry sectors also can help mitigate further climate change and may offer opportunities for tapping into carbon finance. Forests, which play a critical role in absorbing carbon dioxide emissions, are cut in order to clear land for farming. Globally, agricultural production and deforestation account for up to 30 percent of greenhouse gas emissions, second only to the power sector (IPCC 2007c). These sectors therefore offer opportunities for carbon sequestration, such as through afforestation or minimum tillage agriculture. But mitigation strategies do not protect societies against the climate change impacts already in evidence, or those in the pipeline as a result of past greenhouse gas emissions.

Adaptation is essential to protect and enhance rural livelihoods in ECA. But adaptation is also critical to supply global food markets as global population soars, and as yields in many countries decline from the damaging physical impacts of climate change. Farms, forests and fisheries play a crucial role in rural poverty reduction, employment, economic growth, and food security. Indeed, the ECA countries that stand to benefit from moderate temperature increases (+1 to +3°C in the global annual average) will play a vital role in meeting the world's growing demand for food.

But the benefits and risks of climate change are far outweighed by the costs of the region's comparative inefficiency and low productivity (Olesen and Bindi 2002). The recent crisis in global food prices revealed the inability of a number of ECA countries to respond to the changed environment, raising concerns about skewed incentives and the region's ability to adapt to the challenging shifts projected under climate change scenarios. To change this, ECA's leadership and farming community must be ready to address the productivity gap with Western Europe in both agriculture and forestry.

All governments in the region will need strategies that allow their countries to take advantage of potential gains from climate change, as well as minimize risks and threatened losses. But positive outcomes won't arrive automatically. A country or sub-region may be positioned to expand farm outputs under certain climate change scenarios, but if the physical infrastructure is failing, or if institutional or market barriers are a constraint, the benefits of increased farm outputs won't materialize.

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<sup>39</sup> This chapter is based on "Adaptation to Climate Change in Europe and Central Asia's Agriculture" by William Sutton, Rachel Block, and Jitendra Srivastava, a background paper commissioned for this report.

Despite variations across countries, each one will need to remove barriers to efficiency and sustainability. In Central Asia, the unforgiving topography and hydrology will complicate adaptation strategies, even if institutions are functioning at optimum effectiveness. Southeast Europe, home to some of the most productive land in the region, is projected to suffer from drought, heat waves, and more frequent forest fires. In the north, there are potential benefits from climate change, but these will only be realized if countries adjust institutional frameworks to support new patterns of production. Even then, other barriers will persist, including in northern Russia's poor soil's, the lack of public services and infrastructure, and possible social dislocations and local environmental damage (Dronin and Kirilenko 2008).

In sum, countries should be ready to take advantage of potential benefits or to minimize threatened losses through assessment and well-structured adaptation programs. For those linked to the farm and forestry sectors, the need is urgent since climate change will affect them immediately and most directly. Countries will have to strengthen the capacity of institutions involved in the rural economy and to improve public services, particularly those that impart skills and understanding to farmers and foresters. Finally, infrastructures that affect production and institutions that support markets will require modernization.

The chapter reviews the impacts of climate change on farming and forestry in ECA, highlighting the region's inherent sensitivity and limited adaptive capacity, and what this implies for both winning and losing regions and sectors. It concludes with recommendations about possible adaptation measures. First, however, we discuss the continued importance of agriculture in many countries in the region—particularly for poverty concerns.

### ***Climate impacts will exacerbate ECA's persistent problem of rural poverty***

Despite the perception of ECA as an urbanized region, many livelihoods are still linked to the productivity of agriculture, even if those involved do not work directly on farms (Alam et al. 2005). Agriculture is a particularly important part of GDP in Central Asia, the South Caucasus, and Southeastern Europe (table 5.1).

Across ECA, roughly one-third to one-half of the population lives in rural areas with the figure approaching two-thirds in Central Asia. Even in Kazakhstan and Central and Eastern Europe, a significant share of the population remains rural, despite the fact that agriculture accounts for a smaller portion of the economy. And, in much of ECA, half or more of the poor live in rural areas, with three-fourths of extremely poor people in Central Asia living in the countryside. Thus, any forward-looking poverty strategy must take into account new stresses felt in rural areas as a consequence of global climate change.

Forestry and forests, though not as significant economically as agriculture, remain important for rural livelihoods both through direct employment and through ecosystem services (such as the provision of wood and food, or protection against erosion and flood) Forestry accounts for only about 0.1 percent of GDP in much of Central Asia and the Caucasus; but outside market systems, forest resources may be significant to rural communities, particularly with respect to the fuelwood. The market importance of forestry is somewhat higher in Central, Eastern, and Southeastern Europe.<sup>40</sup>

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<sup>40</sup> Forestry as a share of GDP is 2.3% in Belarus, 0.8% in Russia, 1.2% in Ukraine, 2.2% in Bosnia-Herzegovina, 3.1% in Serbia and Montenegro, and about 0.8% in Bulgaria, Macedonia, and Turkey (all figures 2000; Sutton et al. 2008).

**TABLE 5.1 AGRICULTURE MATTERS: POVERTY AND THE RURAL ECONOMY IN ECA**

Region	Agricult. as share of GDP %	Rural pop %	Rural extreme poverty rate %	Rural poverty rate %	Of extremely poor, share in rural areas %	Of poor, share in rural areas %
Southeastern Europe	12.3	35.4	20 with Turkey, 9 without	61 with Turkey, 44 without	46	45
Central & Eastern Europe	8.7	36.1	10	44	54	48
Baltics	5.3	35.2	3	33	39	42
Russia	5.6	27.1	14	53	42	34
South Caucasus	12.0	45.9	30	80	49	48
Kazakhstan	6.7	42.2	31	79	64	52
Central Asia	27.0	64.1	62	94	73	69

*Notes on Poverty:* Extreme poverty line \$2.15 or less per person per day. Poverty line \$4.30 per person per day. Both poverty lines using purchasing-power parity dollars. 2002, 2003 or 2004 if available. For rural poverty, Central Europe is Ukraine, Romania, Moldova; Central Asia is Kyrgyzstan, Tajikistan, Uzbekistan; Southeastern Europe is Bulgaria, Serbia, Montenegro, Albania, FYR Macedonia, Bosnia-Herzegovina, +/- Turkey. *Notes on Agriculture and Population:* 2006 or 2005.

*Sources:* World Development Indicators (WDI) and Alam et al. (2005).

Rural poverty rates in ECA are significantly higher than national averages, and the share of rural people in poverty ranges from a low of one-third in Russia to a staggering 94 percent in Central Asia. In the rest of the region about half of the poor are found in rural areas. Thus, most ECA countries other than Russia have a poverty profile heavily influenced by conditions in rural areas, particularly with respect to agriculture.

Agriculture is uniquely effective in reducing poverty in all country types.<sup>41</sup> The inverse, of course, is that setbacks in agriculture—whether losses or missed opportunities—will be disproportionately damaging to the rural poor. Thus, even if climate change has only a small impact on the overall economy, it could have a profound effect on the portion of the population living below the poverty line, or the population of a particular district or locality. Moreover, at the local or household level, the impact could go beyond income to affect human health and nutrition (Randolph et al. 2007).

Livestock activities are important to many vulnerable groups in the ECA region and may be undergoing structural shifts as the demand for meat, eggs, and dairy products increases in Asia's fast-growing economies. The delicate balance of grain allocation as a staple food or as animal feed may become more difficult to maintain in the context of changing global demand. Shocks from climate change could add to an already uncertain mix of factors, potentially exacerbating the current global food and feed crisis (Sirohi and Michaelowa 2007). Untangling the interplay of shifting global demand, climate change, and patterns in livestock-related land use—and teasing out the policy implications—is a continuing endeavor worldwide.

### ***Models predict that there will be winners and losers in ECA***

Beyond the undisputed conclusion that climate change will add to the vulnerability of most if not all rural populations already living in poverty, the effects of changing weather patterns on ECA's agriculture and forestry are hugely varied. In addition, projections build on uncertain

<sup>41</sup> This point, which was highlighted in the *World Development Report 2008* on agriculture, is well illustrated by the fact that GDP growth originating in the agricultural sector reduces poverty twice as much as growth driven by other sectors (World Bank 2007).



factors, including the ways that private interests or institutions might respond to the new opportunities and risks that come with warmer, wetter, or drier weather.

Adaptation will be essential not only to protect the poorest but also to realize potential benefits. However, adaptation strategies carry costs and face barriers that must be factored into any calculation about net gains in ECA. And the scope of opportunities is partly a function of world food markets, which are subject to fluctuation and uncertainty.

Still, climate and agro-economic information, while far from comprehensive, provides sufficient data to illustrate the scope of climate shifts already underway, along with some future changes and their potential impacts.

### **Insights from observed climate changes and impacts**

Changes in climate and their impacts on agricultural systems and rural economies are already evident throughout ECA. The growing season has lengthened in locations stretching from Germany to European Russia (Maracchi et al. 2005). Chapter 2 noted that extreme events have occurred with greater frequency and intensity in Europe, most recently in the 2003 summer heat wave over much of the continent, and more intense flooding in Central and Southeastern Europe. A decline in precipitation along the northeastern coast of the Mediterranean has caused significant drought-related damages in the agricultural economies of Southeastern Europe (Alcamo et al. 2007, p545). Drought-induced economic losses in all sectors have been calculated for the region, and are in some cases large.<sup>42</sup> Successive weather extremes add to stresses: Moldova's resilience was already weakened by past storms and droughts when a major drought arrived in 2007, bringing greater economic disruption.

In Central Asia, Kazakhstan, Asian Russia, and the Arctic, twentieth century increases in temperature have surpassed the worldwide warming average, rising by as much as +3°C (Cruz et al. 2007 p475; Kattsov 2007 p8). The frequency and intensity of extreme events has increased, including heat waves, extreme cold days and winter storms, heavy rains and floods, and droughts (Alcamo et al. 2007; Cruz et al. 2007).

In the mountainous South Caucasus, observed changes have exhibited geographic variation in both direction and magnitude; so while average temperature has increased slightly and average precipitation declined slightly, localized impacts have been larger (Hovsepian and Melkonyan 2007). Severe droughts have become increasingly common in the North and South Caucasus and Central Asia, worsened by poor land management, soil degradation, and reduced rain or river runoff (World Bank 2005).

### **Impacts: the agronomic view**

ECA as a whole, as well as individual ECA countries, is unique in encompassing both warm, dry areas where agriculture and forests are projected to experience significant damage from climate change, and colder areas where agriculture and forestry could benefit from warmer temperatures and increased precipitation (see table 5.2 for a summary of changes in agricultural potential; detailed regional information on impacts is in box 5.1).

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<sup>42</sup> Albania (1989–1991: \$25m), Macedonia (1993: \$10m), Moldova (2000: \$170m, 2007: \$1 billion), Romania (2000: \$500m), Croatia (2003: \$330m), Bosnia-Herzegovina (2003: \$410m) (UNISDR / World Bank 2007; WMO 2007).

Small-holder farms in Albania that depend on irrigation may be hard hit by droughts and heat waves, while in parts of Poland, a longer growing season and warmer winters may allow greater crop diversity and increased productivity.

Large countries such as Kazakhstan incorporate various climate zones, and will be home to both winners and losers as climate change impacts play out. Areas projected to see increasing rainfall could see expanding opportunities for rain-fed, high-yielding winter wheat, while other parts of the country face reduced water availability, sporadic drought, and lower cotton yields.

Ideally, countries could embark on a smooth adaptation (as illustrated by the arrows in table 5.2), with cereal cultivation shifting northward in Russia and Kazakhstan, and longer growing seasons allowing for increased diversification into high-yield or high-value crops in the cool, temperate areas of Central Europe and European Russia. Of course, it takes planning, investment, and effective knowledge services to take advantage of climate-induced opportunities.

**TABLE 5.2 CROP POTENTIAL IN THE ECA REGION TODAY AND POSSIBLE SHIFTS BY 2100**

General climate class	Average temperature of warmest months (°C)	Crop-growing period (days)	Crop potential	ECA regions in 2009	ECA regions in 2100
Very cold	8.5–11	<90	Quick maturing green root vegetables (lettuce & radishes)	Parts of Arctic Region, Siberia & Far East (Russia)	
Cold	10.5–16	<100	Early varieties of vegetables (cabbage, spinach, turnips), early varieties of barley, oats, buckwheat, flax, hardiest local varieties of apples & pears	Northern parts of Urals, Western Siberia & Far East	
Moderately cold	15–20	100–150	Winter wheat, spring wheat, rye, barley, oats, legumes, flax, potatoes, cabbage, beets, locally adapted winter-hardy varieties of apples, pears, plums.	Baltics, northern parts of Central Russia & Volga Region & Southern Siberia, Northern Kazakhstan	
Moderate	18–25	150–180	Grain, corn, sunflower, soybeans, rice, wheat, melons, early cotton, vegetables, walnuts, peaches, apricots, apples, grapes, cherries, plums.	Ukraine, southern parts of Central Russia & Volga Region, Northern Caucasus, Central Europe	
Warm	>25	>180	Cotton, citrus, figs, grapes, olive, wheat, corn, rice, vegetables during winter, subtropical perennials (tea), nuts and a variety of fruit crops	Central Asia, Caucasus, Southeastern Europe, Turkey, Southern Kazakhstan	

Compare to South Mediterranean & Middle East in 2009

Source: Sutton et al 2008.

## BOX 5.1 ESTIMATED AGRONOMIC IMPACTS OF CLIMATE CHANGE IN ECA TO 2050—A SUMMARY

### SOUTHEASTERN EUROPE including Turkey

*Decreased precipitation in all seasons, yet more storms, floods* • Soil erosion from wind, storms, and floods\* • increased evapotranspiration, soil salinization • increased irrigation demand, stress on water supply • especially severe water stress in southern Turkey.

*Higher average temperature, very hot summers, heat waves, and droughts* • Faster maturation, shorter development period, with water shortage and heat stress, grain sterility, lower yields of many cereals, oilseeds, and pulses (i.e., determinant crops)\* • decreased yield or quality of onions,\*\* cool-weather vegetables\* • longer season for warm-weather vegetables • possible shifts to higher altitude of some crops (esp. mountainous Turkey) • increased variability of grape quality, quantity, and vulnerability to pests, but potential benefit from CO<sub>2</sub> fertilization • expansion of drought-tolerant olive, citrus, fig\*\*\*\* • but tree crops highly vulnerable to storms, pests\*\* • winter survival and subsequent proliferation of pests.†

Increased variability in yields of cereals, other crops.\*\*\*\*\*

*Livestock* • Heat stress and both indigenous and non-indigenous disease in livestock threaten milk and meat production. \*\*\*\*\* Heat, water scarcity decrease forage production leading to shortage in late summer. \*\*\*

### CENTRAL & EASTERN EUROPE

Right on line between north (wetter, milder winter) and south (drier, hotter), so not yet clear if climate and thus impacts will be similar to the neighbors to the north or to the south. Potential yield increases projected by models mostly shown in Alps, Carpathians,†† where significant agriculture not actually feasible. Disagreement among sources, including range from benefits to large losses around Black Sea (E. Romania, Moldova, S. Ukraine—hot and dry), little agreement for all of Ukraine. \*\*††

*Increased storms, but ambiguous magnitude and direction of precipitation change* • Tree crops vulnerable to storms • even if no change in region overall, possible yield decline if too wet in the north (see Baltics) or even slightly drier in the south (see Southeastern Europe).

*Equal amount of warming in winter and summer* • Faster maturation, shorter development period, may lower yield of many cereals, oilseeds, and pulses (i.e., determinant crops)\* • potential for northward expansion of warm weather crops like oilseeds, pulses, vegetables\*\* • potatoes more variable, possibly limited by low soil moisture\* • winter survival and subsequent proliferation of pests • too warm, dry for rain-fed cereals in parts, but suitable for more tree crops, including fruit, nuts and more natural pasture biomass for animals; possible increase in area of winter wheat and rye.

### BALTICS

*Increased precipitation, floods* • Risk of soil erosion • excess soil moisture limits days suitable for machinery use\* • spring planting disrupted by April/May rains • harvest disrupted, damage from water-logging, or molding of harvested grain if excess rain in autumn.\*

*Milder winters and higher average temperature* • Faster maturation, shorter grain-filling period, lower yield of winter wheat,\* but now possible to use higher yielding spring-wheat • potential for northward expansion of warm-weather crops like oilseeds, pulses, vegetables\* • either no or favorable changes in potato, sugar-beet yields, but increased variability\* • winter survival and subsequent proliferation of pests\* • more varieties of apples, plums, pears.

Increased variability in yields of cereals, other crops. †††, ‡

Potential yield gains require more fertilizer and pesticides.\*\* No consensus on strongly positive nor strongly negative yield projections overall; generally small, positive for initial moderate warming, becoming unpredictable and possibly negative as mean temperature increases further. †,††

*Livestock* • Increased survival, reduced winter feed requirements for livestock.\*\* Forage, grassland may benefit but only with proper drainage. †,††

### RUSSIA: Baltic & Western Arctic

*Marked increase of precipitation, especially in winter, and of surface water* • Risk of soil erosion and nutrient leaching from excess rain • excess soil moisture limits days suitable for machinery use\* • spring planting disrupted by April/May rains • harvest disrupted, damage from water-logging, or molding of harvested grain if excess rain in autumn.\*

*Much milder winters and higher average temperature* • Potential for northward expansion of temperate cereals, vegetables, pulses in Baltic, and of hardiest crops into uncultivated land\*\* • longer growing season† • potato yields more variable, though with average increase.\*\*

Large change, especially in Arctic, and thus large uncertainty.

Expansion of leaf-bearing and steppe range into current tundra, taiga.\*\* Change in composition of forests, and possible increase in value for timber production.

*Livestock* • Increased survival, reduced winter feed requirements for livestock.\*\* Forage, grassland may benefit but only with proper drainage. \*\*,†

### RUSSIA: Central & Volga

*Small increase of precipitation, mostly in winter, and of surface water* • Given small change, unclear if there will be sufficient moisture, given temperature increases and faster evaporation, in some months • extreme low runoff events threaten output† due to drought.

*Much milder winters and hotter summers, higher average temperature* • Potential for northward expansion of winter cereals and crops like oilseeds, pulses, vegetables, as well as fruit crops currently grown in N Caucasus\*\* • longer growing season • winter survival and subsequent proliferation of pests.†

Increased variability in yields of cereals, other crops. †††, ‡

*Livestock* • Increased survival and reduced feed requirements for livestock in winter.\*\* Possible heat stress, drying up of grassland in summer. \*\*,†,‡ Possible expansion, intensification of indigenous and non-indigenous disease.† In southern part, productivity of grassland to decline, will need to shift northward. Lower grass production, heat stress, dry summers lead to reduced milk, vulnerability to disease.†

(continued)

### **RUSSIA: North Caucasus**

*Decreased precipitation in all seasons, yet more storms, floods, and soil erosion.*

*Higher average temperature, very hot summers, heat waves, and droughts.*

Very similar changes, on average, to South Caucasus, though even higher heat wave risk. See agronomic impacts information for South Caucasus. The area with the greatest potential damages within Russia, given current agricultural importance and nature of projected changes. Plant and animal diseases to become more recurrent.

### **RUSSIA: Urals & W. Siberia, S. Siberia, E. Siberia & Far East**

*Marked increase of precipitation, especially in winter, and of surface water, high flood risk* • Excess precipitation may limit expansion of cereals otherwise possible from temperature increase alone • risk of soil erosion • excess soil moisture limits days suitable for machinery use\* • spring planting disrupted by April/May rains • harvest disrupted, damage from water-logging, or molding of harvested grain if excess rain in autumn.\*  
*Much milder winters and higher average temperature* • Shift of agro-ecological zones on a diagonal gradient towards the northeast, so currently forested or uncultivated land warm enough for winter cereals, short season vegetables, • expansion of cereals would entail major changes in land use over time.

*Livestock* • Increased survival, reduced winter feed requirements for livestock.\*\* Forage, grassland may benefit but only with proper drainage.\*\*†

Expansion of leaf-bearing and steppe range into current tundra, taiga.\*\* Change in composition of forests, and possible increase in value for timber production.

South Siberia has a different climatic and agricultural baseline, though projected climate *changes* are similar to the rest of Asian Russia. See impacts in Kazakhstan for more relevant agronomic impacts.

### **SOUTH CAUCASUS**

*Decrease in surface water; droughts and floods; decline in spring and summer precipitation, small increase on sea coasts in winter* • High risk of summer droughts • salinization, desertification, and soil degradation\*\* • yield declines for cereals, vegetables, potatoes from water shortage and excess heat in many areas • widespread crop failures during droughts • strain on water supply for irrigated agriculture. ††*Especially hotter in summer, also milder winters* • Despite milder winters, more crop-destroying frosts (tree crops, fruits) because of absence of heat-retaining humidity\*\* • longer growing season may allow multiple harvests\*\* • expanded area for cultivation of warm-weather tree crops (figs, nuts) in plains, and expanded area for vegetables (tomato, peppers) and cool-weather tree crops (apples) at high altitudes, but limited by steepness and risk of increased erosion\*\* • potential yield increase and geographic expansion for hot-weather perennials like grapevine, olive, citrus, but with risk of high variability\*\* • tree crops vulnerable to storms, pests\*\* • winter survival and subsequent proliferation of pests.†  
*Livestock* • Increased heat stress and disease, but less stress from cold in winter.\*\* Outcomes for forage, grassland not clear. ††

### **KAZAKHSTAN**

*More rainfall, surface water year-round in north, with very dry summers in south* • Despite CO<sub>2</sub> fertilization, increased heat and water shortage cause decline in cotton, rice, fodder, vegetable and fruit crop production in irrigated south† • potential expansion of grazing land northwards and in formerly virgin marginal lands, that were later ploughed for wheat cultivation. Note, greater water demand for rice production with higher temperatures.†

*Much warmer throughout year, slightly more in summer* • Potential increase in cereal, legume and oil crop production in cooler, wetter north • increased fodder production • increased water demand of plants and drying of soils in warmer months because of higher temperatures, causing drought risk and water scarcity to persist or worsen.

*Livestock* • Initial warming good for livestock, provided sufficient water availability, but after first few degrees, increased heat stress and disease.†

### **CENTRAL ASIA**

*Unchanged or increased winter rainfall, decrease in rainfall and surface water in spring, summer, fall, with droughts* • Major stress on water resources for irrigation • decline in cereal yield from water shortage from spring to fall, and from thermal stress† • drought, desertification, soil erosion, salinization • widespread crop failures during droughts • increased suitability for drought-resistant tree crops. Note, greater water demand for rice production with higher temperatures.†

*Hotter summer, milder winter* • Greater water demand for rice production with higher temperatures† • despite CO<sub>2</sub> fertilization, increased heat and significant water shortage cause decline in cotton yields.†

*Livestock* • Marginal grasslands at risk for aridization, desertification. Heat stress reduces milk production.

*Sources:* Olesen and Bindi 2002;\* Maracchi et al. 2005;\*\* Branczik 2007;\*\*\* IPCC 2007c;† European Commission 2007;†† Alexandrov 1997;††† Sirotenko, Abashina, and Pavlova 1997;‡ Hovsepian and Melkonyan 2007. ††

Further south, hotter, drier summers pose new risks, with more frequent, intense droughts in Southeastern Europe and Turkey, the North and South Caucasus, and Central Asia. The net effect could well be new limits on output, and far greater volatility in crop yields from year to year. In fact, as illustrated in the last row of table 5.2, the model for agriculture in the already warm, dry areas of ECA eventually will be drawn less from local experience than from current practices in the Middle East and North Africa (MENA). Today's management challenges and conflicts over water in MENA offer a sobering picture of what some in ECA must adapt to.

The projected increase in weather extremes presents challenges for agriculture across all parts of ECA. Inundating rains in Russia and the Baltics may interrupt sowing and harvesting of cereals. Storms in Central and Southeastern Europe could destroy tree crops. Alternating drought and intense rain and snowmelt could cause erosion and landslides in the densely cultivated slopes of the Caucasus. Drought combined with the scarcity of irrigation water could accelerate soil degradation; and as vegetation withers, local climate feedback effects result in less precipitation and worsening drought. Climate change will worsen this long-term spiral of intensifying aridity in Central Asia and the Southern Caucasus (Easterling et al. 2007; Cruz et al. 2007; Alcamo et al. 2007; Olesen and Bindi 2002; Maracchi, Sirotenko, and Bindi 2005; Branczik et al. 2007; Hovsepian and Melkonyan 2007).

Livestock production, also sensitive to weather patterns, could benefit in the north from increased forage production, lower feed requirements, and less threat of extreme cold. But in the warmer, drier areas changing rainfall patterns and extreme heat will affect livestock both directly—through heat stress, lack of drinking water, and changed reproductive patterns—and indirectly—through reduced forage and feed yields. The unwelcome arrival of infectious diseases, such as brucellosis or rabies, because of warmer temperatures, would add to stresses on herds.

Livestock production can add to the climate change problem—through overgrazing and local climate feedback effects and, globally, through methane emissions. All told, livestock activities now contribute 80 percent of all agricultural greenhouse gas emissions (FAO 2006a). If producers respond to declines in the productivity of livestock by enlarging their herds, the result could be overgrazing, pasture degradation, and erosion of watershed catchments, causing devastating local climate feedback effects (Kokorin 2008). This scenario of grasslands becoming dry and barren is already a concern in water-scarce Central Asia, where many people depend on traditional agro-pastoral grazing systems.

ECA's forests face tree loss and degradation from extreme events and from the combination of earlier snowmelt and hot, dry summers. Regional droughts and shifting wind patterns have already increased the frequency and intensity of wildfires, notably in Serbia, Bosnia and Croatia in 2007, and Russia, where some 20 million hectares were lost to fires in 2003 alone. Strong winds, which are projected to increase as climate changes, can not only spread wildfires but also spark the initial conflagration. Many suspect that strong winds near electrical wires were the culprit in the 2008 fires in the Turkish province of Antalya, where, in addition to taking life and destroying trees, the fires devastated vast stretches of productive farmlands.

A changing climate can redistribute tree species, with warming causing shifts to higher latitudes. The new patterns can also provoke outbreaks of insect infestations, as seen in the northern march of damaging pests in boreal forests around the world (Easterling et al. 2007).

Similarly, a changed climate sets the stage for an invasion of non-native, harmful plant species into already disrupted forest ecosystems. Plant and pest species will move to higher altitudes in response to global warming, a trend already observed in the expanded northward range of birch (*Betula pubescens*) into the tundra of Sweden over the last half of the twentieth century.

### **Impacts: the economic models**

#### *The model estimates*

The economic effects of climate change on agriculture include direct yield impacts, which are the most easily estimated, as well as ripple effects across sectors and markets. We take the initial shock to potential crop yields as our starting point before subsequently considering market forces and feedbacks, with particular focus on the international food market. Based on our analysis and estimates available in global synthesis studies, primarily Cline (2007), which are discussed further in box 5.2, we have also attempted to identify potential winners and losers in agricultural output markets.

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#### **BOX 5.2 ECONOMIC AGRICULTURAL IMPACT MODELS AND THEIR LIMITATIONS**

The Cline estimates have been chosen here because they incorporate both main types of models, agronomic and Ricardian, to arrive at consensus estimates. (For further discussion, see Sutton et al. 2008.) However, there are a number of reasons to interpret the results with caution. Five major limitations of the estimates are (i) the lack of ECA-specific data, particularly important in mountainous and water-constrained areas, in the initial design of the models; (ii) the reliance on averages to determine yields, when in fact variability, extremes, and non-linear tipping points may be equally or more important; (iii) oversimplification of hydrology, and thus failure to consider realistic constraints on water availability; (iv) a partial equilibrium view of resource allocation and production, i.e., omission of trade-offs in the allocation of land and water and of market feedback effects; (v) the lack of consideration of the barriers to adaptation, from the geographic, technological, and infrastructural to the institutional, informational, and financial; (vi) highly optimistic assumptions about a positive supply response from ECA in the face of global shifts in food production potential, demand, and prices, which would in fact require currently absent complementary institutions and investments.

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The results show that there is the potential for the following changes in the agricultural economies of the region:

- Net losses in Southeastern Europe and Turkey, the North and South Caucasus, and Central Asia;
- Gains in the Baltics and Siberia, Urals, Far East, and Baltic & Western Arctic regions of Russia;
- Mixed or uncertain outcomes in Central and Eastern Europe, Kazakhstan, and the Central and Volga regions of Russia (table 5.3).

The sub-regional summaries are not meant to be definitive because uncertainties remain, but they can help identify potential conditions that farmers and policymakers can shape and respond to based on current climate change knowledge. While precise impacts can't be gauged, a pattern does emerge in which southern areas, already water-stressed, will be vulnerable to the projected higher temperatures and lower precipitation, while higher latitudes could benefit from improved conditions for agriculture. (The economic impact models for forests are less developed.)

**TABLE 5.3 ECA’S POTENTIAL WINNERS AND LOSERS IN AGRICULTURE FROM CLIMATE CHANGE**

Region	Based on annex 5.1, Cline, authors’ analysis	Yield impacts 2080s without CO <sub>2</sub> fertilization (%)	Yield impacts 2080s with CO <sub>2</sub> fertilization <sup>43</sup> (%)
South Caucasus	Likely loser	-17	-5
Central Asia	Likely loser	-9	+4.6
Southeastern Europe & Turkey	Likely loser	Eur: -8.6 Turk: -16.2	Eur: +5.1 Turk: -3.6
Central & Eastern Europe	Mixed/ indeterminate	-5	+8.5
Kazakhstan	Mixed/ indeterminate	+11.4	+28.1
Russia: North Caucasus	Likely loser		
Russia: Central and Volga	Mixed/ indeterminate		
Russia: Baltics	Potential winner		
Russia: West Arctic	Potential winner		
Russia: South Siberia	Potential winner	-7.7	+6.2
Russia: Urals & W.Sib, E.Sib & FarEast	Potential winner		
Baltics	Potential winner	-5 to +5	+9.5 to +27.9

*Source:* Sutton et al. 2008. *Notes:* Relative to the other parts of ECA, Kazakhstan’s yield increases are probably an overestimate. More details are in box 5.2.

#### *Interpretation and caveats*

At first glance, the impact on ECA’s farm economy appears manageable, particularly when compared to South Asia or the Sahel, where yields are projected to decrease by more than 25 percent. Because the models all have weaknesses, and because country level economic projections are rudimentary, decision-makers should see the projections as indicative. To date, very little analytical work has been done at the country level in ECA to estimate the economic costs and benefits of climate change impacts and agricultural adaptation, and even less to address the intra-country distributional implications of climate change.

The estimates are limited in the sense that they can only include trends; but not all climate changes follow a simple trajectory. A key example of climate change complexity is the Syr Darya and Amu Darya Rivers, which draw on mountain snowmelt in the spring and early summer and glacial melt in late summer, and which provide much of the water for Central Asian farms before eventually draining into the Aral Sea in western Kazakhstan and Uzbekistan. The crucial glaciers of the Tien Shan Mountains of Northern China and Kyrgyzstan, a critical source of water, have declined sharply in the past 50 years, with an accelerated retreat in the past two decades (Niederer et al. 2008).

<sup>43</sup> Carbon fertilization refers to an expected increase in yield of many crops in an environment of higher CO<sub>2</sub> concentrations, because i) CO<sub>2</sub> is an input into photosynthesis, and more CO<sub>2</sub> means more photosynthesis and thus growth; and ii) higher concentrations can reduce respiration, i.e., water loss from the “pores” in leaves, thereby increasing water use efficiency. There is still debate about the magnitude of the CO<sub>2</sub> fertilization effect, so both estimates with and without it are considered here (Cline 2007).

As warming continues and winter snowfall is replaced by rainfall, river flow will increase in the winter but decline in the spring and summer when it is most needed. This is because there will be little accumulated snow. Up to the year 2050, water from the melting glaciers will increase substantially: estimates range from an increase of one-third (Agaltseva 2008) to a tripling (Cruz et al. 2007). But after these few decades, the flow from the diminished glaciers will slow to the point where Central Asian farms won't have enough water for irrigation. As a result, the Aral Sea will likely shrink further, possibly reversing recent successes in restoring its ecosystems (Savoskul et al. 2003).

### ***The state and sensitivities of ECA's agriculture today***

For any region the capacity to manage climate change will depend on its demonstrated ability to address a broader category of problems related to the environment and national resource base. The institutional and economic conditions of countries will shape the ways that countries respond to the challenges posed by shifting weather patterns.

Stakeholders engaged in adaptation assessments and planning will need to understand how land is used, which population groups are vulnerable, as well as the diversity of agricultural practices. A map of the region's land use categories appears in map 5.1, while ECA's agricultural characteristics appear in table 5.4.

### **Climate change is complicated by environmental management weaknesses**

Independent of climate change, environmental problems have presented substantial challenges to ECA countries, many of which lack management practices needed to protect the natural resource base on which critical economic activities depend (Sutton et al. 2007). Shortcomings are evident in soil fertility management, water use, pest control, nutrient conservation, forest health, and illegal logging. Projecting current management practices into an era of accelerating climate change raises concerns not only about social and economic setbacks in farming and forestry, but also about ecosystem stresses including biodiversity loss, and damage to watersheds and rural landscapes.

Failure to address soil erosion is particularly worrisome, since climate change could make present problems worse through a pattern of alternating droughts and extreme rains. Turkey stands out for its progress in managing soil erosion, motivated in part by concrete estimates of lost output, which helped to motivate stakeholders. This highlights the importance of monetary estimates to empower champions advocating for change (Sutton et al. 2007).

Institutional and management weaknesses in ECA stem mainly from the wrenching transition from centrally planned, Communist-era governance models. Though the bleakest decade is past, a legacy of distorted specialization and rigid, resource-poor institutions remains. The emphasis on inputs that characterized the region's thinking on agriculture for decades—more fertilizer, more seeds, more irrigation—have left the sector unprepared to adapt to knowledge-based farming better suited to a world of constrained resources.



**TABLE 5.4 CHARACTERISTICS OF CURRENT AGRICULTURAL PRODUCTION IN ECA**

Region	Distribution, Ownership, and Productivity of Agricultural Land	Major Crops & Products	Cropland Irrigation and Water Supply
South-eastern Europe and Turkey	Farms of Bulgaria now privatized; Croatian and Macedonian farms privately owned. Albania, Serbia and Montenegro mostly private but unclear ownership rights, and some inefficient collectives remain. Excessive fragmentation of holdings throughout region limits efficiency. In Turkey, farms are small and privately owned.	Highly diversified. Cereals, fruits, vegetables, orchards, vineyards, oilseeds, nuts, sugar beets; dairy, pork, sheep, poultry. In Turkey, cotton, olives, figs in addition to above.	Northwestern part of Balkans entirely rainfed. Albania: 50% irrigated. Macedonia, Bulgaria: 15%. Turkey: 20%. Drought-prone, hot desiccating winds, intense rain, soil erosion.
Central & Eastern Europe	Current yields low relative to potential. Moldova especially poor and agriculture-based; moderate privatization but highly fragmented private holdings and some remaining inefficient collectives. Privatization also incomplete in Ukraine. In Romania, mix of small family and commercial farms, all privately owned.	Moderately diversified. Wheat, barley, fodder, fruit & vegetables, orchards, potatoes, oilseeds, sugar beets. Livestock, though smaller share than rest of ECA.	Mostly rainfed, around 10% irrigated, except in Romania 30%. Moderately drought-prone, Moldova more drought-prone.
Baltics	Farms are privately owned.	Little diversification. Barley, rye, wheat, potatoes (especially Belarus). Livestock, pork and poultry. Oilseed in Poland. Limited fruits and vegetables.	Entirely rainfed, abundant precipitation.
Russia	Farms mostly in Central & Volga, N. Caucasus, some in Baltic, and in southern Urals and South Siberia. About one-third of agricultural land in private hands, the rest public. Few subsistence farms. Family, joint stock company farms, and public owned farms; low yields, poorly run.	Little diversification except in N. Caucasus. Barley, rye, potatoes, fodder in north & west. Spring wheat in north & east, some winter wheat in south. Diverse fruits, vegetables, vineyards in Volga & N. Caucasus. Some rice in N. Caucasus. Livestock.	Mostly rainfed. Some irrigation in N Caucasus, southernmost part of Urals and Siberia, small amount in Central & Volga. Moderately drought prone in south.
South Caucasus	Most productive arable land now under private ownership, but pasture still communal in places. Small, fragmented holdings. Subsistence and family farms with low productivity.	Highly diversified. Fruits & vegetables, orchards including apple, pears, cherries and some citrus, vineyards, dairy, sheep. Cereals, forage, corn, tea.	Armenia, Azerbaijan: 20–30% of cropland irrigated. Georgia: 40%. Highly drought-prone, but rainfall more abundant in Black Sea coastal area of Georgia.
Kazakhstan	Privatization progressing but incomplete. Small family farms in irrigated south but large farms in the north are better-run, private joint stock companies growing wheat.	Moderate diversification. Cotton, rice, wheat, fruits & vegetables. Forage, livestock, poultry in south. In the north monoculture of wheat, some oil crops, pasture.	Rainfed pasture. Just 10% irrigated. Highly drought-prone, especially in south.
Central Asia	Little privatization, with land ownership and distribution policies distortionary except in Kyrgyzstan, which is implementing privatization. Subsistence/family farms, inefficient low-productivity collective farms.	Highly diversified. Cotton, rice, wheat, corn, large number of fruits, vegetables, livestock, poultry, sheep, pasture. Especially reliant on livestock.	Kyrgyz, Turkmen, Uzbek mostly rainfed pasture. 75–90% of region's cropland irrigated. Extremely drought-prone, water-stressed.

*Notes:* Central Europe is Ukraine, Moldova, and Romania. *Sources:* *World Development Indicators*. Alam et al. 2005. FAO 2006b. Csaki et al. 2006. World Bank 2005.

Building the capacity to adapt will be crucial for ECA's Agricultural Knowledge and Information Systems, which were designed to assist large, public-sector, collective farms in meeting pre-determined production targets for crops and livestock commodities. These systems remain ill-suited for meeting the needs of smaller, private farmers who constitute a large share of the sector today.

Years of over-specialized production have also taken a toll. Under the command economy, collective farms, sub-national regions, and even entire countries specialized in an often small number of goods that may or may not have been appropriate to the local natural and human resource endowment. One of the most damaging examples was the concentration of cotton production in Central Asia, which led to overexploitation of water for irrigation, held in place by an institutional framework resistant to diversification.

In the first decade of the region's transition to markets, agriculture, like most sectors, experienced major upheavals, with sometime severe declines in output, and a drying up of government financial support (World Bank 2007). The new private farmers lacked experience in modern management or in operating in a market economy. They had little training support from institutions that had either collapsed or remained geared towards the old system. Knowledge gaps combined with a shortage of inputs, equipment, storage facilities, and market structures continue to weaken the farm sector throughout the region (Swinnen and Rozelle 2006).

The agricultural sector is gradually adjusting to policy reforms. Farm economies have begun to recover, with harvests and heads of livestock increasing toward 1990 levels. Private agriculture based on market principles is now predominant. But serious problems persist in the sector's institutional foundations. Environmental laws protecting agriculture, forestry, and biodiversity are weakly or unevenly enforced (Sutton et al. 2007). Research, education, training, and technology transfer systems suffer from neglect.

Turkey stands out, since it isn't emerging from Communist-era central planning. Private farms have always dominated agriculture in Turkey, though the small farm size limits the country's productivity gains. There is diversity in farm production within the country, and agriculture in western Turkey has generally been more progressive and export-oriented than in eastern Turkey. The research, extension, training, information, and technology transfer institutions function relatively well, and cross-ministry cooperation on environmental issues is promising (Sutton et al. 2007).

The capacity to monitor the impact of climate change has largely broken down in Russia as well as in other Eastern European and Central Asian countries, along with services for monitoring baseline weather conditions (see chapter 7). The ability to track pests, watch for forest fires, and provide warning of flash floods and other extreme events will increase the risks for farms and foresters as climate change plays out over time. Because fires pass unchecked across borders, they can spark transboundary political disagreements in addition to causing physical and economic damages. The fires of the summer of 2007 in Southeastern Europe offer a sobering example of the human, economic, and political cost of insufficient cooperation and coordinated planning at the national and international level.

## **Farm type and adaptive capacity**

Different types of farms have varying advantages and disadvantages in adapting to the challenges posed by climate change.

Broadly, the ability to adapt to a changing climate depends on the elements of a functioning agricultural system: (i) timely climate information and weather forecasts, and the skills needed for their interpretation; (ii) locally relevant agricultural research in techniques and crop varieties; (iii) training in new technologies and knowledge-based farming practices; (iv) private enterprises, as well as public or cooperative organizations for inputs, including seeds and machinery, and affordable finance for such inputs; (v) infrastructure for water storage and irrigation; (vi) physical infrastructure and logistical support for storing, transporting, and distributing farm outputs; and (vii) strong linkages with local, national, and international markets for agricultural goods.

Different types of farms have different levels of access to these critical elements. Although smaller private farms would seem to be the most nimble in responding to changing conditions, larger farms generally would have superior climate information and expanded access to credit; and government-owned farms would have better access to state sources of information and finance.

All told, diversified operations are better positioned to respond to stresses that might hit one set of crops or one type of activity. Any farms already dealing with stressed water supplies will face new hardships in the more uncertain and extreme times that may lie ahead.

Corporate farms in Bulgaria, Romania, Russia, and northern Kazakhstan represent the largest type of farm and have the greatest physical and human capital resources. Next are the cooperative or group farms, generally managed by a few individuals using the pooled land of many smallholders, who may also be hired to provide farm labor. While these farms can exploit economies of scale, their managers typically lack the technological know-how and financing of the corporate farms, making them more vulnerable.

The largest and fastest growing group is the small, family farm, which produces for the commercial market but at a small scale. These farms make up the bulk of agricultural income and output in the Balkans, Turkey, the Caucasus, and Central Asia, and remain important in Central and Eastern Europe and Russia. These farms will likely continue to serve as the engine of the rural economy in the coming decades, but they may be highly vulnerable to climate change given their size, the farmers' limited technical knowledge, and poor access to public and private information and financial services.

Small farmers in particular will face climate change as yet one more stress compounding many others, including fragmented holdings, marginal land, poor environmental management, ill-defined property rights, increasing demand for standardized and safety-controlled products, declining health and vitality of the rural poor (in ECA, due to aging and outmigration of the young), protectionist food policies abroad, and unpredictable world food prices (Easterling et al. 2007).

The final type of farm is the low-productivity subsistence farm, with aging proprietors supported in part by urban remittances, which have little resilience to shocks. The transition out of

agriculture will not be easy for these people since they often have no other options. Safety nets will be needed to assist them.

### ***Potential climate change winners face their own challenges***

Potential winners will not benefit automatically without making substantial investments in the future. They must take significant actions if they are to reap the potential benefits of climate change. Producers and policymakers in northern latitudes have begun to anticipate longer growing seasons and improved farm outputs.

However, any complacency would be misplaced, since adaptation investments will be required to take advantage of any potential gains (Parry, Rosenzweig, and Livermore 2005). The potential winners need to be aware of the specific changes projected and how best to take advantage of them. Moreover, most countries will have a mix of losing and winning producers, and will require adaptation strategies across sectors and sub-regions.

Some new challenges will emerge as producers take advantage of new farming opportunities. Northern areas will see intense competition between forestry and agriculture for land. The relative feasibility of field crops, tree crops, and livestock may further alter land-use patterns. As seen in the case of the Aral Sea, overexploitation of water resources for irrigation, as well as overuse and resulting runoff of polluting fertilizers, can have devastating consequences on fisheries and other water-dependent activities.

The question of whether ECA's potential winners can realize the benefits of favorable climatic conditions has important implications not just for the countries themselves, but for world food markets in general. In particular, Kazakhstan, Russia, and Ukraine (KRU), it is often said, have the most unrealized grain production potential, and they could benefit from climate change (at least in their northern regions).

But a recent report notes that, since the breakup of the Soviet Union, these three countries combined have removed 23 million hectares of arable land from production, the largest such withdrawal in recent world history (FAO & EBRD 2008). Almost 90 percent of this land had been used to produce grain.

Bringing large parts of this land back into production could increase world grain supplies and help solve the current global food prices crisis. Meanwhile, a number of global studies (e.g. Cline 2007) project a substantial increase in agricultural output for the KRU countries as a result of climate change (see the caveats in the discussion of table 5.3 above). These projected increases contribute to the relatively sanguine attitude of many towards climate change's impact on world food supplies.

The key question is whether the potential ECA winners will be able to provide the supply response that many expect of them. There are two possibilities for increasing production in the KRU countries: (i) raise yields on currently cultivated agricultural land; and/or (ii) expand the areas under cultivation. Because the latter would require large investments in land-clearing, production, marketing, and transport infrastructure, moves to improve productivity of existing farms are more attractive.

Productivity depends not only on the climate conditions, but also on technology, investment, support services, and crop management. Analysis has shown that the current gap between potential and actual yields in Central and Eastern Europe and the European parts of the former Soviet Union are significantly higher than any potential gains from climate change. In particular, the current yield gap for the former Soviet countries in Europe (including Ukraine and European Russia) is 4.5 times higher than the potential increase in production from climate change by 2050 (Olesen and Bindi 2002).

While world grain yields have been rising on average by about 1.5 percent per year since 1991, yields in Ukraine and Kazakhstan have fallen, and Russia's have increased only slightly. Yields in all three countries are far lower than those in Western Europe or the US. The fact that the KRU countries and other ECA countries have not been able to take advantage of this potential for productivity gains suggests fundamental weaknesses in the agricultural sectors of these countries, which does not bode well for their capacity to adapt to and benefit from climate change. Indeed, the key challenge would be to close the existing productivity gap rather than expecting to ride the climate change trends to a new era of prosperity.

Forests show a similar pattern to agriculture. Estimates indicate that the largest share of potential forest stock increases in Europe would be due to improved management (60–80%) rather than climate change (10–30%) (Easterling et al. 2007). Improved management requires strong forest institutions, which are often lacking in the transition countries.

### ***Adaptation in the productive environment***

Adapting the productive environment to challenges of climate change will demand technologies to monitor and measure conditions in the productive environment, institutions to facilitate change, and policies that encourage reform. Managers will need to show resilience and flexibility if they are going to be less vulnerable to changing weather patterns. A number of sustainable, appropriately chosen adaptation initiatives would yield measurable benefits regardless of climate factors. Policies and technologies for more efficient distribution and on-farm use of water make economic sense—by lowering costs to government in the form of water subsidies—and make adaptation sense—by equipping farmers to cope with reduced water availability as well as drought events.

But adaptation is a national effort not limited to individual farmers or foresters. For example, increased water-use efficiency will not be implemented without adoption of irrigation technologies and management strategies. But institutional components are equally important: water-user associations might aid in knowledge sharing, and advisory services can equip farmers with waste-reducing techniques. At the policy level, governments can invest in advisory services and awareness campaigns, while setting water prices to give users incentives to reduce waste and thereby lower government spending on subsidies.

Given the uncertainty about the exact spatial and temporal distribution of climate changes, a cautious approach is to pursue adaptations that would be worthwhile even without climate change. Following are examples from areas where adaptation measures hold the greatest promise, independent of climate change scenarios:

**Technology and management** (see annex table 5.1): Conservation tillage for maintaining moisture levels; reducing fossil fuel use from field operations, and reducing CO<sub>2</sub> emissions from the soil; use of organic matter to protect field surfaces and help preserve moisture; diversification of crops to reduce vulnerability; adoption of drought-, flood-, heat-, and pest-resistant cultivars; modern planting and crop-rotation practices; use of physical barriers to protect plants and soils from erosion and storm damage; integrated pest management (IPM), in conjunction with similarly knowledge-based weed control strategies; capacity for knowledge-based farming; improved grass and legume varieties for livestock; modern fire management techniques for forests.

**Institutional change** (see annex table 5.2): Support for institutions offers countries win-win opportunities for reducing vulnerability to climate risk and promoting development. Key institutions include: hydromet centers, advisory services, irrigation directorates, agricultural research services, veterinary institutions, producer associations, water-user associations, agro-processing facilities, and financial institutions.

**Policy** (see annex table 5.3): Non-distorting pricing for water and commodities; financial incentives to adopt technological innovations; access to modern inputs; reformed farm subsidies; risk insurance; tax incentives for private investments; modern land markets; and social safety nets.

**ANNEX TABLE 5.1 TECHNOLOGICAL ADAPTATION PRACTICES AND INVESTMENTS FOR VARIOUS CLIMATE, WEATHER AND AGRICULTURAL PHENOMENA**

Technological adaptation measures and investments	Climate / weather / agricultural phenomena								
	Drought	Need for soil moisture conservation (rain-fed)	Need for water use efficiency (irrigated)	Land degradation, soil infertility, erosion	Heat stress	Pest and disease control	Excess rain, flooding, storms	Milder winters, longer growing season	Emissions mitigation, carbon sequestration
Land use management	x	x	x	x	x	x	x	x	x
Mixed farming systems (crops, livestock, and trees)	x	x	x	x	x	x	x	x	x
Conservation tillage	x	x	x	x					x
Nutrient management and use of organic matter	x	x		x					x
Watershed management	x	x		x			x		x
Water harvesting techniques, storage, reduction of runoff	x	x	x	x	x			x	
Drainage systems				x		x	x	x	
Rehabilitation and modernization of irrigation infrastructure, canals	x		x		x		x		
Develop new irrigation facilities	x				x			x	
Use of marginal water	x		x						
Dams for water storage, flood control	x	x		x	x		x		
Supplemental irrigation	x		x		x				
Irrigation at critical stages of crop growth	x		x		x				
Sprinkler irrigation	x		x						
Drip irrigation	x		x						
Furrow and flat-bed irrigation	x		x						

**Technological adaptation measures and investments**
**Climate / weather / agricultural phenomena**

	Drought	Need for soil moisture conservation (rain-fed)	Need for water use efficiency (irrigated)	Land degradation, soil infertility, erosion	Heat stress	Pest and disease control	Excess rain, flooding, storms	Milder winters, longer growing season	Emissions mitigation, carbon sequestration
Crop diversification	x	x	x	x		x		x	
Use water-efficient crops, varieties	x		x	x				x	
Heat- and drought-resistant crops/varieties/hybrids	x	x	x		x			x	
Switch to crops, varieties appropriate to temp, precipitation	x		x	x	x	x	x	x	
Crop rotation (sequencing)	x	x				x			
Switch from field to tree crops (agro-forestry)	x	x	x	x	x	x	x		x
Timing of operations (planting, inputs, irrigation, harvest)	x	x	x		x	x	x	x	
Strip cropping, contour bunding and farming	x	x		x			x		
Vegetative barriers, snow fences, windbreaks	x	x	x	x	x	x			x
Rangeland rehabilitation and management	x	x		x	x	x	x	x	x
Pasture management (rotational grazing, etc) and improvement	x	x		x	x	x	x	x	x
Supplemental feed	x			x					
Fodder banks	x			x					
Watering points	x			x	x			x	x
Livestock management (including animal breed choice)				x	x	x	x	x	
Fire management for forest and brush fires				x					x
Response farming (using seasonal forecasts)	x		x	x	x	x		x	
Integrated Pest Management	x					x		x	

Source: authors; Padgham (forthcoming).



**ANNEX TABLE 5.2 INSTITUTIONS CRITICAL FOR ADAPTATION**

Institution	Importance for Adaptation	Status in ECA
<b>NATIONAL AND LOCAL GOVERNMENTS</b>		
Hydromet & Forecasting Centers	Essential information for planning, understanding changing climate, providing farmers with long-term, seasonal, and daily weather forecasting for knowledge-based response farming.	USSR was served well, has since crumbled. Improving in European Russia but still unsatisfactory in Central Asia and the rest of ECA. Poor capacity for local monitoring, local data interpretation, and forecasting.
Advisory Services (incl. Agricultural Extension) i. Agronomic Info ii. Financial Advice iii. Market Info	<p>i. Interpret hydromet output for practical advice to farmers; convey information on trends of climate change and risk; recommend and train in new and off-the-shelf technologies and in new/different locally-adapted crops and varieties; demonstrate new farming practices.</p> <p>ii. Provide information on sources of finance for adaptive investments.</p> <p>iii. Provide information on market prices and channels of distribution for crops and livestock.</p> <p>Key to ensure that services reach small and medium family farms.</p>	Generally poor state of both public and private sector advisory services. Challenge to reach small farmers. Lack of capacity for interpretation of climate forecasts, interpretation of probabilistic climate data, and thus communication of probabilistic and not deterministic forecasts. In Turkey, advisory services are better developed but lack capacity to effectively advise farmers in an environment of increased challenges.
Irrigation Directorates	Maintain, rehabilitate, expand, and replace old and new irrigation facilities, which will be more important in water-stressed areas. Intermediary between managers of water resources and farm users.	
Forestry Departments / Agencies	Maintain health of forests and respond to pests and risks of fire. Observe changes in forest ecosystems in response to changing climate. Participate in planning related to forest-agriculture land trade-offs.	In much of ECA, often among the best-functioning of those institutions that will be relevant for climate adaptation.
Agricultural Research Institutes	Bring knowledge of locally-relevant needs to research networks from local to international level, develop varieties and technologies suitable for changing climate and local endowments.	After the disintegration of the Soviet Union, research systems collapsed and are not effective in meeting the current demands. In Turkey, the situation is better.
Agricultural Education at Vocational Schools, Technical Colleges	Important conduit for information about implications of climate change for farmers and managers, including adaptation measures and technologies and guidance on how and when to implement them. Key in move towards more knowledge-based rather than input-based farming.	
Quality Control, Phytosanitary, & Veterinary Services	Provide standards information and enforcement consistent with national and international regulation, monitor and control livestock health and provide timely information on disease risks.	Strong in some countries, in others not up to challenge of global food market.

<b>Institution</b>	<b>Importance for Adaptation</b>	<b>Status in ECA</b>
<b>CIVIL SOCIETY</b>		
Producer Associations & Farmer Organizations	Share information about outcomes and challenges of adaptation, serve as locus for absorbing new information from and communicating farmer concerns to government bodies and private enterprises, allow shared investment in new machinery by small farmers.	Producer associations and farmer organizations are starting to grow and their effectiveness varies across countries. There is potential for further expansion to more areas and for deepening of activities.
Water User Associations	Encourage more sustainable water use.	Relatively recent institution, not fully developed, just beginning to function.
NGOs	Provide information, funding, and institutional support at small scale for pilot adaptation efforts by farmers, offer microcredit to enable adoption, share knowledge of local experiences, advocate farmers' concerns.	Moderate presence, increasing in ECA client countries. Face the usual challenges, e.g. interventions not sustained after projects end, struggle to reach the neediest, lack of coordination with other institutions.
<b>PRIVATE ENTERPRISES</b>		
Private & Public Seed Companies & Nurseries	Ensure production and availability of seeds/seedlings of appropriate varieties, e.g., with improved drought- and pest-resistance, to take advantage of agricultural research and development and facilitate adoption.	In Europe, available but currently inadequate. Limited presence, efficacy in Caucasus, Central Asia. Good in Turkey.
Grain Storage and Drying Facilities	Will be needed in currently unserved newly cultivated areas, and areas with intense rainfall or heat which cause rot, spoilage.	Not present or inadequate in areas that will need them as cropping, livestock zones shift, and as rainfall increases during cereal harvesting time in the Baltics, Central Europe, Russia, northern Kazakhstan.
Agroprocessing Facilities	Offer processing of livestock products in expanded pasture areas, processing of horticulture crops in new areas.	Not present or inadequate in areas that will need them as cropping, livestock zones shift northwards.
Marketing Enterprises	Exploit economies of scale by buying produce of family farms and selling at market, mitigates risk to farmers of adopting unfamiliar crops or varieties with uncertain demand locally.	Variable and with scope for improvement. Generally stronger in Turkey and Europe than the Caucasus, Central Asia.
Financial Services i. Banks ii. Microloans iii. Agricultural Insurance	i. Provide necessary finance for implementation of adaptations. ii. Reach out to small farmers with limited access to formal banks. iii. Mitigate risks of crop failure from unpredictable weather, unproven adaptations, market uncertainties.	Poor access of small farmers to banks. Limited presence, effectiveness of microcredit organizations. Weather-indexed insurance does not exist in most of ECA client countries.

**ANNEX TABLE 5.3 POLICIES CRITICAL FOR ADAPTATION**

<b>Policy</b>	<b>Importance for Climate Change Adaptation and implementation challenges</b>
Non-Distortionary Water Pricing	Reduce subsidies to increase incentives for better management of water resources, allocation of water, and efficiency of its use. Difficult because removing subsidies often meets political resistance.
Non-Distortionary Commodity Market Policies	Reduce distortions in markets for cereals and oilseeds, including setting price caps, or taxing or otherwise restricting exports. Letting prices pass through will increase incentives for producers to invest and expand production of these crops over time. Export restrictions become contagious, significantly reducing agricultural trade and the ability of world food markets to respond to climate change. Also, manage state grain reserves transparently and effectively to ensure supply during short-term shocks, not to keep prices low.
Financial Incentives for Adoption of Technological Adaptations	Provide tax incentives for, e.g., farmers' purchase of machinery required for conservation tillage, planting of drought-resistant seedlings. Provide financing, coordination for hiring of machines and labor for reforestation projects.
Access to Modern Inputs	Remove restrictions on imports of modern seeds and seedlings to allow farmers access to modern varieties (e.g., with increased drought resistance or longer maturation).
Invest in Support Institutions (identified in previous table)	Those institutions have been underfunded for a long time, some governments pay attention to it and some don't, some have the resources to invest there and some don't.
Reform Farm Subsidies	Subsidies targeted at production of specific crops may be counterproductive as comparative advantages change. Avoid trying to "pick winners," e.g., subsidies for cereals rather than the fruits and vegetables which may become more appropriate due to warming. Recurrent production subsidies also reduce scope for investments in public services and farm investment subsidies.
Promote Private Investments	Promote investments by the private sector in new technologies by providing tax incentives, matching grants, technical assistance, etc. Not only for primary production, but also for inputs, processing, logistics, warehousing, and other related sectors.
Risk Insurance	Explore opportunities for developing system of weather index insurance (as opposed to traditional multi-peril crop insurance). For smaller countries especially, spread risk across countries.
Improve Land Markets	Ensure land tenure security, improve land registration and cadastre systems, and reduce market transaction costs. This will help to increase the flexibility of farmers, reduce fragmentation, increase access to finance, and encourage investment.
Calculate Economic Costs and Benefits	Calculate the economic costs and benefits of policy changes and investments decisions as rigorously as possible to ensure the most efficient and effective use of public resources. This will often require capacity building.
Encourage Livelihood Diversification	In some areas, and for some rural residents, agriculture and forestry may become unviable. Provide training and financial support to encourage the development of non-farm rural employment or skills for urban employment.
Strengthen Social Safety Nets	Provide targeted income support for poor and vulnerable segments of the population that may have difficulty affording food, who may live in areas where agriculture becomes unviable, may not be able to easily change livelihoods (elderly, sick).

## **CHAPTER 6. THE BUILT ENVIRONMENT: CITIES, TRANSPORT, WATER SYSTEMS, AND ENERGY**

The built environment of the former East Bloc is acutely vulnerable to physical changes from climate variability and extremes. Floods are an obvious threat in many cities. Storm surges in the Black Sea and elsewhere are affecting coastal infrastructure. Projected warming trends and changes in precipitation patterns have the potential to impact the entire energy chain—from production, through transmission and distribution, to end use. With the likelihood of many more extreme events—floods and droughts—water quality could be profoundly affected.

This vulnerability is driven mainly by the poor condition of infrastructure. Old, badly maintained or constructed installations take fewer stresses to overwhelm them.

Consider housing: from the mid-1950s through the late 1980s, state enterprises built multi-story, multi-family housing blocks from prefabricated concrete panels, most of them designed for a life of about 30 years. In Poland, for example, there are more than 5 million Soviet-era flats, many in desperate need of refurbishment. Add the stresses of higher winds, more intense precipitation, summer heat waves, or melting permafrost in some regions, and some of the buildings could become less livable still. And transport systems, energy infrastructure and water utilities are similarly vulnerable.

While the most significant impacts of climate change are perhaps decades away, some vulnerabilities are already evident. A flood in Baia Mare, Romania in 2000 brought cyanide-laced waste from a gold mining operation into the Tiza and Danube rivers, tainting the drinking water of 2 million people downriver. It was the mix of extreme weather and past environmental mismanagement that turned a flood into a major threat to public health. Storm surges in the Black Sea are affecting coastal settlements, and more severe conditions may damage the 23 ports along the Black Sea. The more extreme heat conditions of Central Asian summers have exacerbated problems of poor road maintenance and low design standards. Warmer temperatures and resulting ground settlement in permafrost areas of Russia have destabilized a number of structures, including residential buildings, a power station, and an airport runway in Yakutsk.

How well ECA's cities, buildings, and infrastructure can cope with climate change will depend on whether governments improve current management practices, and address quality deficits that leave so many structures vulnerable. Barring runaway catastrophes, climatic changes are likely to be manageable if utilities and structures are well run and maintained.

But it takes far smaller shocks to overwhelm over-stretched utilities, decrepit housing, and poorly maintained infrastructures. Policymakers must identify the most vulnerable structures and accelerate, retrofit, and upgrade programs to improve their energy efficiency and livability while increasing their resilience to the effects of warmer and more extreme weather patterns.

Following are analyses of the impacts of projected climate change on urban structures, energy service provision, water systems, and transport infrastructure. Each shows some of the ways that a warmer, wetter, and more extreme climate may affect existing structures and systems, and suggests a framework, as well as practical steps, to lessen the risks. Proposed actions would

support sturdier, better maintained structures and assist governments to protect buildings, roads, ports, energy systems, and waterworks from the damaging effects of climate change.

### ***Urban challenges: making cities livable and viable in a warmer century***<sup>44</sup>

About two-thirds of ECA’s population lives in cities,<sup>45</sup> many of which are beginning to experience the effects of climate change. Some are encountering water shortages; others are facing increased or variable precipitation, rising temperatures, or more intense extreme weather events. Over time, continued shifts in weather patterns could damage some buildings and make others uninhabitable, stress infrastructure, threaten urban plant and animal life, and increase illness and deaths among vulnerable populations (box 6.1).

But despite the potential risks for cities and their residents, few municipalities in the region have integrated climate adaptation into their planning. To increase the resilience of cities to projected changes, ensure their livability, and maintain the provision of basic services in the long term, local governments need to begin planning today.

Plans will have to address issues such as projected higher temperatures in the summer months, associated increases in pollution and heat outdoors, and altered indoor air quality and temperature in many buildings. While this may be less problematic in the far north, the increased incidence of heat waves across southern and central Europe will require buildings to improve ventilation and cooling, not only for those individuals most vulnerable to health threats from the heat—the elderly, infants, and the disabled—but for the general population as well. In southern cities, projected reductions in precipitation and higher temperatures could also lead to groundwater depletion. In addition to raising concerns about water shortages for urban dwellers, reduced moisture in soils can affect the foundations of buildings.

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#### **BOX 6.1 ROMA, ALREADY MARGINALIZED, ARE PARTICULARLY VULNERABLE**

Across the world, marginalized communities remain the most vulnerable in times of natural disaster. In the former East Bloc, the Roma—dispersed across the region—face continual stresses. Not only are many Roma neighborhoods overcrowded, but a study conducted in 2000 in Hungary, Romania, and Bulgaria found that the majority of homes in these areas do not have hot running water or central heat and showed an overall state of disrepair (Revenga, Ringold, and Tracy 2002). When floods hit the Slovakian town of Jarovnice in 1998, approximately 140 Roma homes were affected and 45 Roma died, compared to 25 non-Roma homes and two non-Roma deaths. Similarly, when the floods of 1997 hit the Czech city of Ostrava, white, non-Roma residents were offered opportunities to resettle in flats outside of the flood area, while Roma families were offered small workers’ cabins or sent back to their flooded homes, even though they were in an area deemed unfit for habitation.

*Source:* Adapted from MRG (2008); Bukovska (2002).

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Another issue cities face, which climate change can aggravate, is the urban “heat island” effect. Most urban areas were built with surfaces that absorb the heat, interrupted by parks and green spaces populated with plants that are suited to historic climate patterns. As temperatures increase, some plants may have difficulty surviving the new climate. When combined with the

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<sup>44</sup> This section is based on “Achieving Urban Climate Adaptation in Europe and Central Asia,” by JoAnn Carmin and Yan F. Zhang, a background paper commissioned for this report.

<sup>45</sup> The share remains closer to one third in Central Asia.

amount of non-reflective surfaces in cities and the heat generated through rising energy use, cities can become significantly warmer than surrounding areas, raising concerns about heat stress and unmanageable surges in energy demand for cooling.

Coastal cities face additional concerns of infrastructure vulnerability (as already noted in chapter 4). Sea level rise will accelerate coastal erosion, increase the incidence of flooding, and lead to saltwater intrusion into groundwater aquifers in cities, particularly those along the Baltic and Adriatic. Turkey, for example, is highly vulnerable since it is bordered by four seas (Mediterranean, Black, Aegean, and Marmara). A 1m rise in sea level would affect approximately 30 percent of the nation’s total population living in urban areas close to the coastline. Sea level rise has the potential to affect not only natural systems and housing and infrastructure, but also tourism and enterprise (Karaca and Nicholls 2008).

Many northern cities situated along major waterways face the prospect of greater precipitation, leading to river swell and stress on existing dams. Cities have large areas of impervious surfaces. As precipitation increases and soils become waterlogged, existing storm water drainage systems, as well as sewage treatment plants and sewer lines, may be overwhelmed. Sewers that carry both storm water and sewage are common in many cities throughout the region. During the Prague floods of 2002, these systems were stressed, and many sewage treatment plants had to halt operations. Flood waters can transfer contaminants from abandoned industrial sites and operational facilities to populated areas. Along with the other types of wastes that will wash up onto the shores, these conditions can pose threats to human health.

Large, pre-fabricated, and poorly maintained Soviet-era buildings, a dominant feature of so many cities in the region, are vulnerable to projected changes. Formulating plans and mobilizing resources for retrofitting work is a priority across the region. Ideally, retrofits should draw on sustainable technologies to provide for healthier interior conditions and sturdier resistance to extreme weather, while also reducing carbon emissions through energy efficient systems, thus helping to reduce costs for consumers, spikes in energy demand for cooling, the emissions driving the overall climate change problem.

The housing stock is often under-maintained, energy-inefficient, leaky, and a visible weakness in the urban fabric. Table 6.1 shows the extent of prefabricated panel residences and the projected costs of refurbishing the buildings.

**TABLE 6.1 PROJECTED REFURBISHMENT NEEDS RELATIVE TO SUPPORT PROGRAMS**

	Latvia	Poland	Lithuania	Estonia	Eastern Germany
Number of flats in panel buildings, built 1950–1990	416,460	5,200,600	790,000	406,570	2,150,000
Assumed average refurbishment requirement per flat (€)	8,000	8,000	8,000	8,000	20,000
Overall refurbishment requirement (€ millions)	3,332	41,605	6,320	3,253	43,000
Investments achieved with support programs (€ millions)	3	250	20	30	30,000
Refurbishment covered to date by support programs	0.10%	0.60%	0.32%	0.92%	69.77%

Source: BEEN 2007.

Retrofitting on a large scale is costly, but the technologies and solutions are straight-forward. The major aspects of retrofitting taking place in ECA and elsewhere focus on energy-saving measures. These include thermal insulation, replacement windows, and modernization of central heating systems. In addition to these measures, green roofing is being tested as a further means for improving the quality of the quality of living spaces as well as a way to manage fluctuations in precipitation (box 6.2).

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**BOX 6.2. GREEN ROOFS TO MANAGE STORM WATER AND HEAT WAVES**

A green roof is a roof partially or completely covered with vegetation and soil, planted over a waterproofing membrane. It may include additional layers such as a root barrier and drainage and irrigation systems.

Green roofs are increasingly popular for two reasons. First, they help storm water runoff management: they retain up to 75% of rainwater, gradually releasing it back into the atmosphere via condensation and transpiration, while retaining pollutants in their soil. They also help combat the urban heat island effect. Traditional building materials soak up the sun's radiation and re-emit it as heat, making cities much hotter than surrounding areas. Green roofs can cool the surrounding air by as much as 3 to 11 °C at the same time as they reduce the need for air conditioning inside the building.

Green roofs have been around for thousands of years (from the sod roofs of rural cabins to the hanging gardens of Babylon) but are now making a major come back. Germany pioneered their modern incarnations by in the 1970s, when existing sewage systems were unable to cope with heavy rains. Now, many local authorities in Germany, Switzerland, and Austria require new buildings to include them. Green roofs are now becoming more common across Eastern European countries—a well-known example is that of the Warsaw library.

*Sources:* [http://en.wikipedia.org/wiki/Green\\_roof](http://en.wikipedia.org/wiki/Green_roof); Kimberly Conniff Taber, "Fight climate change by turning roof green" International Herald Tribune, March 19, 2008.

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In recent years, the region has seen more urban sprawl. As cities move to develop adaptation plans, city managers and planners could promote new, compact and sustainable construction and site planning and zoning policies that reflect climate change risks.

For example, by limiting development in areas affected by flooding, high precipitation, or other weather-related events, or by preserving green spaces and waterways, government policies can enhance the hydrological environment's natural ability to adapt. Site planning must extend to consider industrial areas, mining operations, and brownfield sites to address the risks that these areas pose to people and settlements when floods occur. In addition, new building codes and energy conservation ordinances should be aligned with principles of green design.

Operating from a planning paradigm that incorporates climate change will require new processes and new capacities. Municipal governments and government agencies must have the capacity to plan for and implement adaptation measures. Capacity in this case refers to technology, expertise, financial resources, staffing, and inter-agency coordination.

Given the nature of climate change, there must be strong ties to the scientific community so that timely information is received; there should also be mechanisms that retrieve input about changes from local communities so that officials can respond.

Local communities must be part of the decision-making process (see chapter 1), and lessons should be drawn from cities already engaged in adaptation planning (Prasad et al. 2009). In addition, future research can make a significant contribution. Questions that could be explored include:

- Which cities in ECA are most vulnerable to the impact of climate change?
- Where are the vulnerable populations located and what steps can be taken to reduce their risks?
- What are the drivers for municipalities to initiate climate adaptation planning and action?
- What municipal adaptation planning efforts have been most successful, and which problems have surfaced frequently?

***Water: basic to all human activity but facing multiple pressures<sup>46</sup>***

Extreme precipitation, drought, and heat waves can all have negative impacts on water quality. For example, floods often bring about wastewater overflows and contaminated runoff from farms and factories. Increased sediment loading may occur in areas already stressed from deforestation, resulting in increased water treatment costs. Where drier weather and drought cause a decline in flows from lakes and streams, there will be increased concentrations of pollutants and changed biological properties in water sources that communities rely upon. Hotter days bring increased surface evaporation, lending to greater salinization. Sea surges lead to saltwater intrusions in coastal aquifers.

While climate change promises a mélange of effects—some positive, such as longer growing seasons in northern regions—the fallout for water systems is overwhelmingly negative. Water professionals are confronted with an expanded set of possibilities and extremes and face more complex choices. Where water is less available, communities will have to change their water-consumption patterns, or bring in water from farther away. Hydropower output could be affected by varied or lower in-flows in some regions, straining energy supplies. Storm water drains may prove inadequate.

In general, water structures such as pipelines, reservoirs, and dikes have been designed based on historic climate trends—but new patterns may call for structural shifts. Simple calculations of supply and demand raise other concerns. Population growth plus increased agricultural and industrial demands may coincide with diminishing water resources, particularly in Central Asia. In other parts of ECA, heavily populated coastal areas already face an array of pollution and groundwater problem that will only worsen over time. Sea surges will instigate more saltwater mixing in aquifers and less available freshwater. Throughout ECA, there is the continued risk that sewage and inorganic materials will mix with water supplies.

Most water utilities in ECA face additional challenges that hamper their capacity to adapt. Being overstretched and underfunded, water and sanitation utilities show relatively poor performance, and most can't cover their costs. This has created shortcomings in service delivery, quality, and capacity, some of which are described below.

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<sup>46</sup> This section is based on “Adapting to Climate Change in Europe and Central Asia; Background Paper on water Supply and Sanitation,” by Barbara Evans and Michael Webster, a background paper commissioned for this report.



*Lower than expected coverage—particularly in rural areas.* Although the ECA region has nominally high access to improved water sources and sanitation, 27 million people still lack access to improved water supply. In addition, quality and reliability is often poor. Even in capital cities, possibly even less than 65 percent of connected households enjoy a 24-hour supply, and performance is typically worse in smaller towns. According to a 2005 OECD study, “almost all trends in the water supply and sanitation sector point in the direction of further deterioration of water services,” even without climate change.

*Highly inefficient systems with low revenues and high investment needs.* Non-revenue water rates are high (physical losses alone are in excess of 40 percent in eight countries of the region) as are labor costs (most utilities report 3–5 staff per thousand connections, which can be compared with the UK average of 0.3–1.0 staff per thousand). Cost-recovery is often low, with water utility revenues across the region estimated to cover only around 60 percent of operational costs—for example, 61 percent in Russia and 64 percent in Ukraine (OECD 2005). This is due to a combination of unwillingness to raise tariffs and expensive Soviet-era designs. The low revenue base translates into a cycle of underinvestment, poor maintenance, deterioration of infrastructure, and rising costs. Resources for rehabilitation and major investment are scarce and the poor revenue record makes borrowing difficult. An estimated \$15–34 per capita per year of additional finance is needed simply to maintain and renew infrastructure at its current levels.

*Transition from centralized economies to municipal government.* Most countries in the region have undergone a rapid and almost complete decentralization to the municipal level, placing severe strains on local government capacity and finance. The resulting underinvestment may have had a knock-on impact on technical skills and capacity within utilities.

In general, water utility planning in ECA is only weakly linked to the overall management requirements for water resources as a whole (World Bank 2003)—although there have been notable successes in the Baltic Sea states and slow progress is being made in the Aral Sea basin. Changes are clearly needed to create stronger incentives in the water supply sector through stronger linkages to water resources management and greater efforts to stimulate capital flows to cash-starved utilities. Pilot programs in managing water markets will be useful, in addition to further research to identify the most vulnerable systems.

To address the above shortcomings and improve climate resilience, governments could explore practical steps to improve efficiency in the near and far terms and lower sensitivity to climate-related disruptions. Some possible priorities:

*Demand-side management.* There is considerable potential to reduce water demand; consumption levels are high by international standards. Cutting energy consumption through a variety of conservation measures and efficiency improvements would not only reduce vulnerabilities in the energy sector, but also save significant amounts of water. This could be further supported through improved metering and tariff-setting. In parallel, water supply infrastructure could be rehabilitated to significantly reduce losses.

*Improve water storage.* Provide more storage by constructing new dams and reservoirs to help those countries facing probable droughts and exhaustion of water supplies. A lower-cost option is to improve the management of existing reservoirs and dams.

*Improve flood protection and drainage systems.* Investment in flood protection will be important for dams, treatment plants, and distribution systems, while improved storm drainage could limit flood damage and protect groundwater supplies.

*Explore the benefits of desalination facilities.* Desalination has long been a costly strategy for expanding water supply, but with high costs for alternative supplies this option may become more attractive in light of changing climate scenarios.

The process of evaluating these and other possible investments demands a capacity to make sound economic judgments about costs, risks, and trade-offs. Climate change calls for new and sophisticated planning skills, which many of the region's utilities lack.

Finally, the significant variation in exposure and sensitivity across the region implies a need for locally determined adaptation plans. While planning models can be similar, each locality must be able to analyze specific risks and to fashion programs that address the most urgent threats.

### ***Energy: new pressure to overcome a legacy of inefficiency<sup>47</sup>***

The supply, transmission, and distribution of energy will be affected by climate change, particularly as the region experiences more climate variability and increasing episodes of extreme weather, such as droughts and flash flooding.

First, it should be stated that the region is a contributor to global warming, and although this report focuses on adapting to climate change, there are synergies between future energy strategies that would assist in limiting the region's carbon footprint and those that would help the energy sector adapt to new and more challenging climate conditions (box 6.3).

Rising temperatures across the region will lead to changes in the level and timing of peak demand, resulting in a flattening of the electricity consumption profile across the year as demand for cooling energy rises and heat energy declines. While ECA-specific projections are unavailable, European data is indicative: heating demand is projected to decline by 2–3 weeks per year and cooling demand to rise between 2–3 weeks (in coastal areas) and 5 weeks (in inland areas) by 2050. This represents a decrease in heat energy demand of up to 10 percent.

Other potential climate related concerns for the energy sector in particular sub-regions include:

*Lower heating costs, higher cooling costs.* The trade-off accompanying warmer winters, with lower demand for heating, is a possible costly demand for cooling. The Baltic countries, along with Poland and Belarus, will likely see lower need for natural gas and electrical power imports. But more days of extreme heat—above 35 and 40°C— could place new burdens on power systems in southern and eastern regions, particularly for cities that will see enhanced temperatures due to heat island effects. Electricity systems—some already stretched, such as those in Southeastern Europe, Turkey, and Central Asia—may strain to meet heavier demands for air-conditioning, particularly if they rely on hydropower, which could be impacted at the same time by accelerated evaporation and drought.

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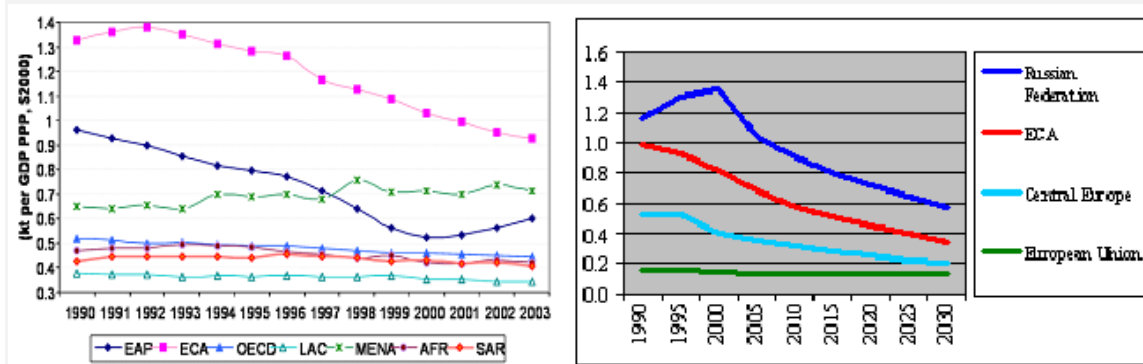
<sup>47</sup> This section is based on “Europe and Central Asia Region: How Resilient is the Energy Sector to Climate Change?” by Jane Ebinger, Bjorn Hamso, Franz Gerner, Antonio Lim and Ana Plecas, a background paper commissioned for this report

**BOX 6.3 ECA’S ENERGY SECTOR—IN NEED OF INVESTMENTS AND IMPROVED MANAGEMENT**

The ECA region, accounting for 5% of the world’s GDP but 10% of its energy demand, is the most energy-inefficient region in the world both in terms of consumption and production of energy (Figure B6.3). Sector assets employ old and outdated technologies, many running beyond design life; the average age of power generation facilities is 35–40 years with nearly 80% installed prior to 1980. Poor maintenance throughout the 1990s has left systems more inefficient, unreliable and polluting.

**FIGURE B6.3 ECA HAS THE WORLD’S HIGHEST CARBON INTENSITY**

*Total primary energy supply in Ktoe (Kiloton oil equivalent) per GDP in millions of US\$, 2004 prices*



Source: Ebinger et al., with data from WDI, IEA and ECA Energy Flagship Model.

Demand is expected to rise in the period to 2030—electricity consumption grows at an average annual rate of 3.7 percent—and fossil fuels are expected to remain the dominant source of energy. Future gas and electricity shortages are possible in several sub-regions (Southeastern Europe, Central Europe, Turkey, and Russia) threatening rapid growth. Together with rising gas prices and concern about reliance on Russia for fuel, the region is trending towards a growth pattern based on more polluting but locally available coal and resistance to shutting down aging nuclear reactors.

By 2030, coal-fired and nuclear generation are both projected to increase to 35% and 20% respectively, while hydropower and gas fired generation will decline to 12% and 29%. Expectations are that about half of today’s infrastructure will be rehabilitated by 2030, while 40% is retired and around 726 GW of new generation capacity is built, mostly thermal (72 percent). Overall, investment costs are estimated at US\$1.2 trillion. The renewal of sector assets in the period to 2030 provides a window of opportunity to curtail the carbon footprint and increase the resilience of the sector to climate change.

Source: Ebinger et al. 2008.

*Altered contribution from hydropower.* Hydropower in southeastern parts of Europe (including Turkey) and Central Asia will see changes in the timing and volume of flow to storage systems. Runoff will significantly decline (in some parts up to 25%) but in the near term may be balanced by glacial melt in the Alps, Caucasus, and Central Asia. The melting will initially increase stream flow but is then expected to decline over time by up to 50 percent. Hydropower potential around the Mediterranean is projected to decline by 20 to 50 percent while increasing in Eastern Europe by 15 to 30 percent and remaining stable in Central Europe (Alcamo et al. 2007).

Changing conditions will affect generation efficiency (sedimentation), reservoir management (storage and use, mudflows, lake outbursts), and seasonal water availability. There may be increased competition with other sectors and/or neighboring countries for scarce water

supplies. At stake may be water-export arrangements between the Kyrgyz Republic and Tajikistan—both comparatively rich in water resources—and drier Kazakhstan, Uzbekistan, and Turkmenistan. However, northern parts of Europe and parts of Russia will see increased hydropower capacity.

*Pressures on thermal and nuclear power.* The operation of thermal and nuclear power facilities will be challenged by water availability and temperature concerns because of their dependence on significant volumes of water for cooling. Lower levels in lakes and rivers, reduced runoff, accelerated evaporation, and warmer water could deplete water for cooling or cause restrictions on cooling water intake or discharge, constraining facilities' generation capacity. Those stresses could translate into interrupted and more expensive electricity generation. Impacts are likely to be less significant than for hydropower, requiring operational management strategies and consideration in design.

*Extreme weather effects on network management.* Climate change will likely affect power transmission: extreme weather stretches the abilities of power transmission networks to function, reducing efficiency or impacting structural integrity, particularly for older and poorly maintained facilities. Transmission capacity, already constrained in parts of Russia, Southeastern Europe, and parts of the Caucasus and Central Asia, may be hampered by load management issues, especially during summer peak demand. Efficiency can decline with rising temperatures because of issues such as line sag and extreme events that affect line integrity, including heavy snowfall, precipitation, wind storms, and icing.

*Mixed impacts for extractive activities in Arctic and Siberian Russia.* Rising temperatures in Arctic and Siberian Russia could open up major economic opportunities, such as offshore oil exploration, but will have negative impacts in zones of discontinuous permafrost.

Oil and gas extraction and mining in permafrost areas will have to adjust to changes, including new challenges from thawing and shifting ground. Freeze-thaw processes are already having a negative effect on the structural integrity of buildings, key infrastructure (access routes, power plants, mines), and pipelines, leading to the failure of pilings and heaving structures as well as the erosion of shorelines and riverbanks.

For example, collapsing ground in Yakutsk in western Siberia has already damaged several large residential buildings, a power station, and a runway at the Yakutsk airport. And thawing and ground settling are impeding railways and roads used in energy transport, reducing the number of access days for transit routes and operations sites.

In offshore areas, reduced sea ice will lengthen the navigation season, allowing exploration and exploitation of as yet untapped mineral resources and reduce costs for industries that rely on shipping for transit. However, broken free sea ice and increased storm surges may endanger shipping, enhance the coastal erosion process, and increase the risk of pollution.

*Vulnerability to floods.* More frequent flooding, from rivers in the interior or from sea surges, threatens all types of structures, including energy infrastructure. In Romania in 2005, six consecutive waves of flooding led to widespread power cuts. And structures near coastlines—such as a Russian oil storage facility on the barrier island of Varandei in the Pechora Sea—are already under threat because of changing sea levels.

*Opportunities for renewable energy.* Projected higher wind speeds bring new opportunities for wind-power generation, both offshore and inland. In addition, more solar power may be possible for Mediterranean areas.

But wind and solar power are also sensitive to climate—namely, more variable wind patterns and more cloud cover during warm months.

From an adaptation perspective, the key question for regulators and industry alike is how much to invest in adaptation today given the uncertainties in climate forecasting and the build up and impact of greenhouse gases in the atmosphere in coming decades. A growing number of specialists now support a risk-based and flexible approach that focuses on “no-regrets” and “win-win” adaptation solutions, combining infrastructure investment with operational management solutions and further monitoring and research.

Despite many unknowns, it is certain that ECA’s energy sector will be affected by climate change, although the nature and degree of impacts will vary across the region. On the positive side, the energy sector is accustomed to working in harsh environments, adapting—at a cost—to the realities that present themselves. The oil and gas industry has a long history of working in harsh environmental conditions and seeking innovative technical solutions to operational challenges. The power sector has vast experience in day-to-day grid management operations based on short-term climate forecasting. Most adaptation measures are already known, and the resilience and resourcefulness of the sector will be important assets; however, financing could present a constraint.

Future strategies will have to include and engage a broad range of stakeholders who will be affected both by climate change and by the various schemes to adjust to it. Some options to address management and structural issues include:

*Transfer best practices.* Transfer best practice technical solutions developed for the energy sector in other parts of the world to ECA—for example, North American experience offers potential solutions for issues facing Russian Arctic and Siberian permafrost zones today.

*Take a look at demand-side management.* Energy saving and demand-side management measures provide a cost-effective, win-win solution for mitigation and adaptation concerns surrounding rising demand and supply constraints. Water resource and flood management techniques are well known and will be important for those regions suffering drought conditions; meanwhile, regional cooperation, integration, and trade (for energy and water) can offer potential solutions as well.

*Optimize the design for new or retrofitted investments.* The anticipated large investment in ECA’s energy infrastructure in coming decades provides a window of opportunity for smart climate-resilient design. Targeted refurbishing can help solidify weaker elements of the energy infrastructure assets that have a typical lifespan of 30–50 years. Meanwhile, investment in design standards to reflect projected changes can increase the resilience of new infrastructure.

For example, where permafrost is melting, deeper pilings can be used, and buildings can be raised slightly above the ground and thickly insulated. Lighter weight building materials can be employed to limit subsiding and shifting during thaws. Some lessons might be drawn from recent strategies to offset weather effects on the Trans-Alaska Pipeline.

*Introduce proactive maintenance programs.* Routine monitoring, regular repairs, and strictly observed maintenance standards will be needed to ensure that preventable deterioration doesn't increase vulnerabilities.

*Regional energy cooperation* through trade and power swaps can help governments manage supply–demand constraints. Southeastern Europe is currently expanding regional grid interconnections in what may be a promising trend.

*Improve knowledge systems* to provide more lead time and accurate tracking of climate trends and weather events. Tailor data for sector operations, maintenance, and design needs, and for the development of workable emergency plans.

*Provide supporting framework for action.* Support the above initiatives through regulation, incentives for change and, most important, outreach to key stakeholders.

### ***Transport: taking on another increment of challenge<sup>48</sup>***

Times of more extreme heat, heavier precipitation, and occasional flooding carry implications for the planning, design, construction, and maintenance of transportation infrastructures. The weather conditions may also bring about changes in the ways that people use transportation.

The greatest concerns revolve around a cluster of extremes: rising sea levels, storm surges, heavier rainfall or snow storms, and more days of intense heat. Coastal infrastructure on the Baltic and Black seas may require costly upgrading, or may have to be moved altogether. With higher winds and more storms, railways, bridges, harbor structures, tunnels, and cranes in Central Europe and the Baltic coasts will be more vulnerable. More intense rains can stress transport systems, with pavement sub-grades becoming less stable, and retaining walls and abutments weakening. Flooding can lead to landslides and slope failure, washing out roads and railway lines. At the other extreme, long periods of intense heat or drought—as projected for much of Central Asia, the Caucasus, and Southeast Europe—could lead to soil settling effects beneath key structures and roads.

More extreme temperatures alone can accelerate road deterioration, particularly in Central Asia. In parts of Kazakhstan, the government already has imposed restrictions on truck travel to limit wear and tear during the scorching summer months when the asphalt softens. Elsewhere, changes in the freeze-thaw cycles can result in road damages. Specifically, degradation of the permafrost in northern and eastern Russia may affect a number of structures, including sections of the Trans Siberian Railway and airports serving remote communities in northern and eastern Russia.

Rural communities, already isolated and separated from some essential services, may become more marginalized if roads deteriorate or become impassable as a result of landslides or slope failures. Earth and gravel roads are easily damaged in heavy rainstorms, and shorter, warmer winters shrink the length of time ice roads can be used. This is a critical issue for forestry and oil and gas exploitation in Russia, where these sectors depend on ice-road travel.

Transportation planners and decision-makers will face new challenges. Flooding and storm surges will affect multiple structures and systems across a wide area. At times, broader regional

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<sup>48</sup> This section is based on “Climate Change Adaptation in the Transport Sector,” by Ziad Nakat, a background paper commissioned for this report.

or cross-border cooperation will be required to solve a particular problem. Financial constraints will complicate and limit the planning process—particularly since climate change issues aren't normally factored into budget plans.

Planners can fashion “no-regret” policies that generate direct or indirect benefits, significant enough to offset the immediate costs regardless of how extreme the climate change impacts turn out to be. Improved maintenance and rehabilitation programs to prepare structures for climate-related stresses are also good investments under any weather scenario. Meanwhile, governments can be encouraged to provide insurance against climate extremes, which can no longer be categorized as unforeseeable events. Public–private partnerships may help in providing this coverage.

There are a number of concrete actions to help limit risks.

- Transportation agencies should establish systems for climate-attuned monitoring of key structures. For example, systems to measure bridge supports for the effects of heat stress or new pressures from changing water levels would be important. Sensor technologies and computer processing advances make it possible to create more “intelligent transportation systems” that in effect track their own stress levels. Development of temperature-resistant materials will allow decision-makers to make more optimal maintenance and rehabilitation choices.
- Planners can update design standards for key transport systems, incorporating current projections for warming, new precipitation patterns, and higher seas.
- New information and communications systems will have to ensure not only accurate and timely storm warnings and weather information, but also efficient communication of key information to transportation managers. More frequent intense storms will require the establishment of permanent evacuation routes and other emergency plans.
- Decision-makers should acquire new technologies to help them understand and manage climate-related challenges. Digital elevation maps, satellite-based monitoring, and computer-assisted scenario planning can be critical.
- Institutionalized mechanisms for knowledge sharing and communication between climate scientists and transportation professionals can help fill in the missing practical information decision-makers need to identify and address the most vulnerable features of the larger transport system.

## **CHAPTER 7. PROTECTION AND PREPARATION: DISASTER RISK MANAGEMENT AND WEATHER FORECASTING**

Over the past 30 years, natural disasters have cost ECA countries about \$70 billion in economic losses. Most of the damage has occurred in Armenia, Romania, Poland, Russia, and Turkey.

Meanwhile, climate change scenarios project even more frequent weather extremes, including increased flooding, heat waves, and drought, which will cause even greater losses. Changing trends and nonlinear tipping point impacts (such as polar ice sheet collapse) can also set off abrupt disasters.

But whatever the uncertainty of climate projections, floods, heat waves, droughts and snow emergencies will still occur, and still take a toll on human life and health as well as on buildings, infrastructures, and ecological systems. Taking steps to reduce the risks to people and structures from weather-related disasters is a worthwhile endeavor that will always pay off over time.

By investing in strategies and systems for lowering the risk from one hazard, a government is strengthening a society's capacity to prepare for and adapt to a range of other threats. Planning for the extremes will lessen physical damages and save lives, while softening the economic impact.

An essential starting point is to define risk management as a priority. From this base, a range of actions—from hazard warning and monitoring systems to employing financial instruments and disaster insurance products—can help countries manage hazards from or intensified by climate change.

Monitoring the weather to know when extremes are coming is a critical capacity, but one which has deteriorated through underfunding and other pressures that characterize the post-Soviet transition in most of the region. Information technologies have fallen behind, as has training for key personnel. While weather monitoring systems in the region have deteriorated, systems in other parts of the world have become more reliable. In many parts of the world, thanks to improved technology, seven-day forecasts are nearly as accurate as three-day forecasts were in the early 1980s.

For most countries in ECA, there is both the opportunity and the need to catch up with advances in weather forecasting, and to employ improved systems for managing disaster risks. Sophisticated disaster risk management would lessen countries' vulnerability to weather extremes; and improved weather tracking and forecasting would help anticipate emergencies and provide protection for human life and critical structures. By making the necessary investments today, countries would not only contain losses from disasters but build a variety of useful capacities that would benefit productive sectors such as agriculture, aviation, and energy.

What follows is an analysis of the shortcomings and the opportunities in better preparing for weather risks.



## ***Softening the blow when disaster strikes***<sup>49</sup>

To handle today's physical and economic climate, countries need strategies to lessen the impacts of natural hazards and the environmental and structural breakdowns they cause. Some aspects of these strategies involve physical structures, while others focus on information systems or financial protection through insurance.

### **Current capacity in ECA**

In the difficult transition from centrally planned economies, the region has overhauled most political, social and administrative structures, demilitarizing and restructuring many disaster management functions. The process of restructuring and decentralization—carried out in an environment of systemic change and in some countries, political instability—inevitably left gaps in responsibility for maintaining and improving existing mechanisms and services.

A 2004 study analyzed the capacities of all ECA countries to manage the multiple risks posed by natural disasters (Pusch 2004). In many European and Central Asian countries the existing mechanisms are insufficient for the current level of vulnerabilities, and will be more inadequate still if the more extreme scenarios projected by climate change models materialize. Some of the principal findings of shortcomings and possible improvements follow:

*The concept of hazard risk management is not fully institutionalized.* Countries have elements of a new regulatory framework in place, but many governments lack statutory authority to devise and execute comprehensive, multi-sectoral disaster risk management programs.

*Coordination mechanisms between authorities are under-developed.* Countries need better coordination between sectors, as well as stronger linkages between the central and local levels.

*Hazard warning and monitoring systems require improvement.* Hydrometeorological systems in the region need to incorporate recent technological advances that have dramatically strengthened forecasting capacities in other countries.

*Economic considerations are not fully integrated in investment decisions.* Disaster risk management needs to incorporate rigorous cost-benefit or cost-effectiveness analyses so that investment priorities can be solidly established.

*Catastrophe risk financing tools are not fully used.* Most countries in the region can potentially access capital-market instruments to lessen the risks posed by natural disaster. But officials need expert support to master the available tools that other countries have already begun to use.

*Funding of disaster risk mitigation is insufficient.* Recovery and reconstruction are much more costly in the aftermath of a disaster; shifting investments away from clean-up towards mitigation of risks can lower costs significantly.

*Information and communication systems require upgrading.* Countries need the capacity to gather, interpret, and communicate vital information during an emergency. Some countries in the region, including Turkey, Romania, and Croatia, have initiated improvements in their emergency communication and information systems, but many others are lagging behind.

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<sup>49</sup> This section is based on: "Climate Change Adaptation in Europe and Central Asia: Disaster Risk Management" by John Pollner, Jolanta Kryspin-Watson, Sonja Nieuwejaar, a background paper commissioned for this report.

There is evidence that countries are already experiencing more frequent episodes of extreme weather. SIGMA, the catastrophe analysis arm of Swiss Re, one of the major global reinsurance companies, has also reported increasing incidences of weather-induced disasters in countries of the region (table 7.1).

**TABLE 7.1 REPORTED INCREASED INCIDENCE OF WEATHER-INDUCED DISASTERS IN ECA**

Country	Hazard
Bulgaria	Cold wave, floods
Croatia	Floods
Czech Republic	Cold wave, floods
Estonia	Cold wave
Hungary	Wind storms, floods
Latvia	Snow fall, extreme cold, power shortage
Lithuania	Snow fall, extreme cold, power shortage
Moldova	Snow fall, extreme cold, power shortage
Montenegro	Floods
Poland	Cold wave, floods
Romania	Cold wave, floods
Russia	Cold wave
Serbia	Floods
Slovakia	Floods
Turkey	Cold wave, floods

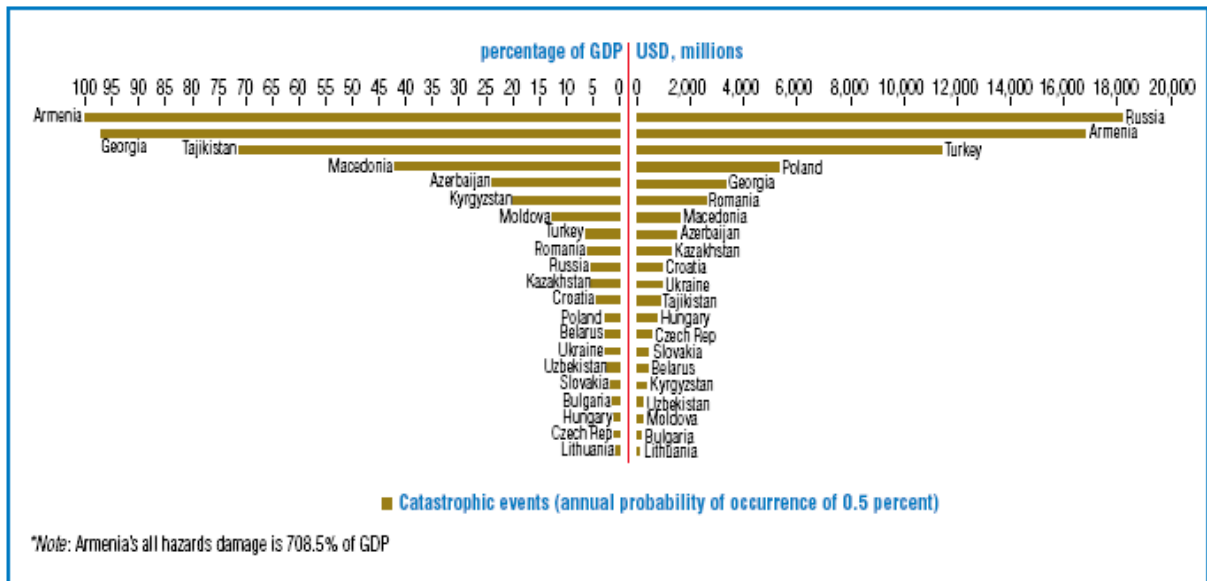
*Source:* Pollner et al. 2008.

With climate change contributing to the increase in weather extremes, disaster risk management becomes an urgent component of any climate change adaptation program. To reduce vulnerability, a disaster risk management program must incorporate five key elements:

- Risk assessment
- Mitigation investments addressing specific hazards
- Catastrophe risk financing
- Institutional capacity building
- Emergency preparedness and management

What might seem like a low-probability event can translate into a major blow to the economy. Catastrophic events that have an annual probability of occurrence of 0.5 percent threaten an economic loss for Armenia, Azerbaijan, Georgia, Macedonia, and Tajikistan that exceeds 20 percent of GDP; 10 percent for the Kyrgyz Republic and Moldova; and 5 percent of GDP in Kazakhstan, Romania, Russia, Turkey, and Southeastern Europe. Figure 7.1 shows the economic loss potential of catastrophic events on the GDP of each ECA country.

**FIGURE 7.1 ECONOMIC LOSS POTENTIAL OF CATASTROPHIC EVENTS FOR ECA COUNTRIES**



Source: Pusch 2004. Notes: Does not include drought, forest fire, or industrial accident hazards.

### Spreading the risk: budgeting, facilitating and accessing insurance protection

For the most part, current government budgets in ECA are grossly insufficient to finance large losses from extreme events, while insurance protection is mostly inadequate to make up for the shortfall. An exception in the region is the Czech Republic. Flooding in 2002 caused €3 billion in damages, but after absorbing lessons from the experience of flooding in 1997, the Czech government could report that 40 percent of losses in 2002 were insured (CEA Insurers of Europe 2007). A rational fiscal policy would budget annual premiums for insurance, avoiding the greater disruption of having to make massive expenditures once a disaster hits.

Within the global catastrophe insurance market, insurance premiums for extreme events fluctuate, complicating budget planning for government. However, vulnerable countries can protect themselves against catastrophic risk *and* premium volatility by using capital markets. The annualized risk of extreme losses from weather events induced by climate change to date has been in the 1 percent range, a level of risk that is normally acceptable to the markets. Thus, there is room for the broader private financial sector to absorb and spread the risks, both domestically and internationally. Two potentially useful mechanisms for more efficient management of catastrophic risk are pooled insurance coverage supported by liquidity and credit enhancement facilities, and weather-indexed bonds to securitize risk.

Multilateral development institutions can support the development of these mechanisms, while still ensuring actuarially fair premiums. For example, the Caribbean Catastrophe Risk Insurance Facility implemented a risk pool with World Bank support, which reduced the cost of premiums paid by the island governments for coverage for extreme hurricane and earthquake events. The World Bank also assisted Mexico in launching an indexed catastrophe bond for coverage in the event of a massive earthquake.

Currently, the Bank is assisting a number of ECA countries in establishing the Catastrophe Risk Insurance Facility, which will pool individual disaster risks and provide coverage to homeowners and businesses in Southeastern and Central Europe.

Reinsurance from foreign companies can lower the price of disaster insurance, but the reinsurance itself can be costly. When the domestic insurers shift all but very low levels of risk to reinsurance companies abroad, the coverage is generally expensive because of the high likelihood that it will be triggered. Contracting reinsurance only for much higher levels of loss would lower the premiums.

But when catastrophic events occur, and reinsurance companies experience massive payouts, premiums rise and extreme event reinsurance markets then tighten. Thus, while helpful, reinsurance is not a panacea.

When global insurance and reinsurance markets become too costly, an alternative is the “catastrophe bond” market, which exists in Japan, Europe, and the United States. Investors buy high-yield bonds from the party that seeks to be insured. These bonds can either be backed by premiums collected on insured assets, or can be structured as a financial option using other calibrations. Many of these risk management methods could be adapted for use in ECA countries.

Direct government involvement can play a part in insuring against losses from extreme events associated with climate change, with catastrophe bonds or reinsurance arrangements available as options. Another insurance innovation which national governments could facilitate is the creation of a central fund for catastrophe risks. A mechanism could be established in which liquidity and credit enhancement facilities support insurance coverage against catastrophic risks. The domestic insurance industry would transfer catastrophic coverage to a central fund regulated by the government but operated by the insurance industry itself. The risks covered would not be reflected on the balance sheets of local insurers but would be liabilities of the pooled fund. The international insurance industry could then reinsure climate induced catastrophic coverage under the fund up to a specified loss limit. Multilateral institutions might provide contingent credit at the next highest loss level, supporting the liquidity of the fund in the event of immediate large losses in the initial years of operation.

Finally, weather-indexed bonds are another insurance instrument that can mitigate climate-related risk. Catastrophe bonds, based on payouts linked to measurable weather events (as reflected in weather indexes or parametric measures), have the advantage of being relatively easy to implement once a reliable weather measurement mechanism is identified. They bypass the traditional insurance loss adjustment process, which requires site-by-site evaluation of losses before indemnity is provided. The payout is simply based on the weather index reaching a certain range.

The main risk with weather-indexed instruments is that the payout is not directly linked to actual losses. A payment might be made—with the bondholder losing interest and principal—even though the insured experiences no loss. Alternately, the insured party may experience a loss but receive no indemnity because the parametric index was not triggered. The instrument might be attractive to international investors for portfolio diversification, since natural disasters have little or no correlation with global financial market trends.

## Mitigating the risks

Insurance schemes help countries transfer the costs of a disaster brought on by extreme weather. But it is also important to take steps that actually mitigate the risks by making structures, people, and ecological systems less vulnerable to damage from a weather-induced disaster. Following are ways—several already alluded to in previous chapters—that government can lower the risks:

*Retrofitting:* the modification of existing structures to withstand natural disasters. Examples include installing back-up valves in sewage and water pipes, elevating structures, and installing storm shutters or foundation strengthening.

*Regulations:* by controlling the use of land and the construction of buildings, governments can significantly reduce the potential losses from disasters. In some cases, risks could be lowered simply by enforcing existing zoning and building codes.

*Protective structures:* structures such as sea walls and levees can protect buildings and people and mitigate the impact of floods and storms.

*Natural resource management:* better managing of natural resources—controlling erosion, managing forests, and restoring wetlands—preserves ecosystem services that minimize the risk of disasters.

A critical element in reducing vulnerability is an analysis of human settlements and infrastructure in the high-risk areas. Geographic Information Systems (GIS), with layers of digital data, can be used to create risk maps and data sources that help decision-makers to assess and locate risks, take preventive and preparedness measures, and set investments priorities.

Some risk mitigation steps will need to be specific to particular hazards. Fire protection is an important component in protecting forest and grassland, particularly in Southeastern Europe, where the growing frequency of wildfires highlights the risks. Particularly helpful might be the development of an early warning system to predict when and where forest fires are more likely to occur, as well as a monitoring system that helps with response coordination.

### ***Understanding when extreme weather is coming***<sup>50</sup>

Thirty years ago, weather forecasting and overall hydrometeorological (hydromet) services in many ECA countries were among the most advanced and reliable in the world. However, the status of most weather services among the ECA countries has deteriorated considerably in the last two decades, mainly as a consequence of persistent under-financing during the arduous transition that followed the end of central planning and the break-up of the Soviet Union.

Performance has deteriorated in virtually all the region's weather services, and certain agencies are on the brink of collapse. Surface data collection stations have closed, and those that remain open record a more limited set of parameters on a less frequent basis using instruments that are aging and failing. Communications equipment to convey station data to headquarters for analysis is often obsolete, unreliable, labor-intensive, and expensive. Training is inadequate both to keep the skills of senior staff current, and to prepare a sufficient number of incoming staff.

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<sup>50</sup> This section is based on "Weather and Climate Services in Europe and Central Asia," by Lucy Hancock, Vladimir Tsirkunov, and Marina Smetanina (World Bank Working Paper No. 151).

Worrisome examples of shortcomings proliferate in ECA. Turkmenistan has no upper-atmosphere sensing stations at present, which compromises the safety of aviation in Ashgabat. Tajikistan's network of weather stations was severely damaged in the conflict of 1992–1998, and reliable weather time series are relatively unavailable. Kazakhstan does not have meteorological radars or specialized stations to receive satellite data. In Georgia, most meteorological and hydrological stations have closed, upper air observations have halted, and only one meteorological radar is in operation. In Ukraine, 90 percent of all instruments have exceeded their intended service life, and many facilities are in urgent need of repair.

In sum, the range of the accumulated problems is so great that, without massive modernization, networks in some ECA countries are on their way to becoming completely dysfunctional. No longer able to count on their own weather services, countries would be forced to depend on low-resolution forecasts prepared by others that often would miss significant local and rapid-onset hazards, including floods, frosts, and severe storms. The perils of a weakening forecast capacity have become evident in Russia's system, where the share of hazardous weather phenomena that were not picked up and forecast increased from 6 percent at the beginning of 1990s to 23 percent only ten years later.

Recent research underscores the value of investment in hydromet services. A study in China concluded that expenditures on the meteorological service had a cost/benefit ratio of between 1 to 35 and 1 to 40 (Guocai and Wang 2003). An estimate in Mozambique suggested a cost benefit of 1 to 70 for investment in the meteorological service, which needed to be rebuilt after that country's civil war. Mozambique saw directly the consequences of being uninformed and unprepared: when floods swept the country in 2000, it cost Mozambique nearly half its GDP.

A number of easily accessible technologies and available upgrades to weather forecasting systems would be generally affordable, as long as governments budget, staff, and equip hydromet services at adequate levels. Some examples:

*Bandwidth.* A global telecommunications system organized by the United Nations World Meteorological Organization (WMO) shares global forecasts and data. Yet ECA's underfunded agencies are often unable to make full use of this resource for lack of bandwidth to download large files.

*Satellite dishes.* Weather satellites launched over Europe broadcast low-cost, or no-cost, images of storm systems, fires, coastal zone pollution, and other environmental data. However, many weather agencies in ECA cannot make use of this critical data because they lack satellite dishes or processing capacity.

*Local area modeling.* Global communities of experts have jointly devised open-source models for weather prediction that lend themselves to local weather forecasting that can be run on computers only slightly more powerful than commonly used desktops. Many countries would benefit from training in use of these packages.

*Forecasting workstations.* In some countries, satellite and radar data from neighboring countries would be available, but weather agencies often lack the workstations and software to make use of the data for forecasting purposes.

These widely available tools won't take the place of the more comprehensive modernization that most ECA hydromet systems need. To manage more frequent weather extremes and

changing patterns in heat and precipitation, national systems will need to draw on data from radars, surface weather stations, upper-air sounding stations, hydrological stations, and specialized networks. These inputs will need to flow to a national headquarters through efficient telecommunications networks. Staff will need training to produce accurate forecasts covering a three-day period, along with useful seven-day forecasts specific to locations within 10 kilometers. This level of performance would not only help countries to warn citizens of pending weather catastrophes, but would provide valuable information to the agriculture, water management, and transport sectors.

Often, the benefits of timely and accurate forecasts, for reducing disaster impacts (box 7.1) or improving decision making in agriculture, can be easily measured. Increased accuracy in forecasting would assist in the timing of fertilizer application and pest and disease control, avoiding over-application that raises input costs and exacerbates environmental damage. There is abundant evidence that farmers in Tajikistan, Montenegro, Uzbekistan, and Albania would benefit significantly from improved monitoring and forecasting.

Forecasts also would enable mitigation of frost damage, which is a serious problem for agriculture in Ukraine, Turkmenistan, Montenegro, Moldova, Armenia, Macedonia, Kazakhstan, and Bosnia, among others. Tools to mitigate the effects of sudden freezes are being developed globally, but cost-effective application depends on accurate forecasting.

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**BOX 7.1 POLAND'S FLOOD DISASTER LEADS TO STEPPED-UP PREPARATION**

Poland, caught by surprise in massive floods in 1997, resolved to face future weather extremes better prepared. A Flood Emergency Project, supported by the World Bank and the European Bank for Reconstruction and Development, included development of a monitoring, forecasting and warning system, flood prevention planning, and upgrading of flood prevention infrastructure. It also supported development of non-structural measures to limit damage, including regulations for economic use of risky areas, flood impact minimization plans prepared by local communities and groups, warning systems, and flood insurance, among other measures.

The upgraded system cost \$62 million to establish and \$8 million a year to maintain. The investment is small when set against the costs of the 1997 disaster: the floods inundated dozens of cities, and hundreds of villages, costing 55 people their lives and causing \$3.4 billion in damages.

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Extreme weather doesn't respect national borders. Countries in the region have unnecessarily suffered because critical weather information wasn't shared properly among neighboring countries. Damaging weather patterns of special importance include Atlantic and Mediterranean cyclones and intrusions of cold air from the far north. Rapidly changing, dangerous events are best monitored through transboundary data sharing that goes beyond WMO requirements (Ogonesyan 2004).

However, gaps in data sharing persist in ECA, often because of political instability and conflict. The Caucasus region and the Balkans have experienced significant breaks and gaps in data sharing as a result of the clashes and upheavals of the past decade.

Other parts of the world have had some success with regional multi-hazard centers, and public-private partnerships, where private firms play a role in processing or disseminating weather data. Both these approaches could prove useful in rebuilding ECA's forecasting capacity.

Different sub-regions within ECA face different challenges in upgrading their systems. In the mountainous Balkans, there is a relatively sparse network of weather stations, limiting countries' ability to update and localize global weather data. Accessing and incorporating data from Greek weather stations would be helpful. Similarly, the Caucasus region suffers from a paucity of operating weather stations, and might benefit from heavier use of data from Turkey.

In the water-stressed, mountainous areas of Central Asia and the Caucasus, weather systems are especially critical for water management. In these countries, where snow and glacier melt feeds local rivers, monitoring of snow accumulation and glacier volume is needed to project water resources and water quality. Central Asia's mountains pose other challenges. Oceanic air masses moving over them can first appear to exhaust their supply of moisture, but then rise and cool, collecting sufficient moisture to cause heavy rains and flash flooding in the Kyrgyz Republic, Tajikistan, Kazakhstan, and Uzbekistan. Additional monitoring stations would help forecasters track the changing air patterns.

### ***Conclusion***

ECA countries are not the world's most vulnerable to weather extremes. According to data assembled from ministries responsible for emergencies in a number of ECA countries, annual losses from weather events range from 0.5 percent to 1.9 percent of GDP. That compares to a global range of 0.1 percent to 5 percent.

However, unusually large storms and floods can cause, and have caused, damages that far exceed these averages. And as weather extremes become more frequent, it makes sense to act early to minimize the losses.

Investment in forecasting systems that provide reliable and timely warning is critical, with analyses demonstrating that outlays to modernize hydrometeorological systems pay for themselves many times over. Equally critical are disaster risk management measures, both to lessen physical exposure to weather-related disasters, and to limit or transfer economic losses when disasters do occur.

Another critical concern is to provide adequate safety nets for those who, despite improved warning systems and disaster risk management plans, suffer devastating losses in disasters. Analysis of the adequacy of existing safety net programs, and recommendations for practical ways of filling existing gaps, will be a high priority for ECA countries and their international partners given the additional strains of a changing climate.



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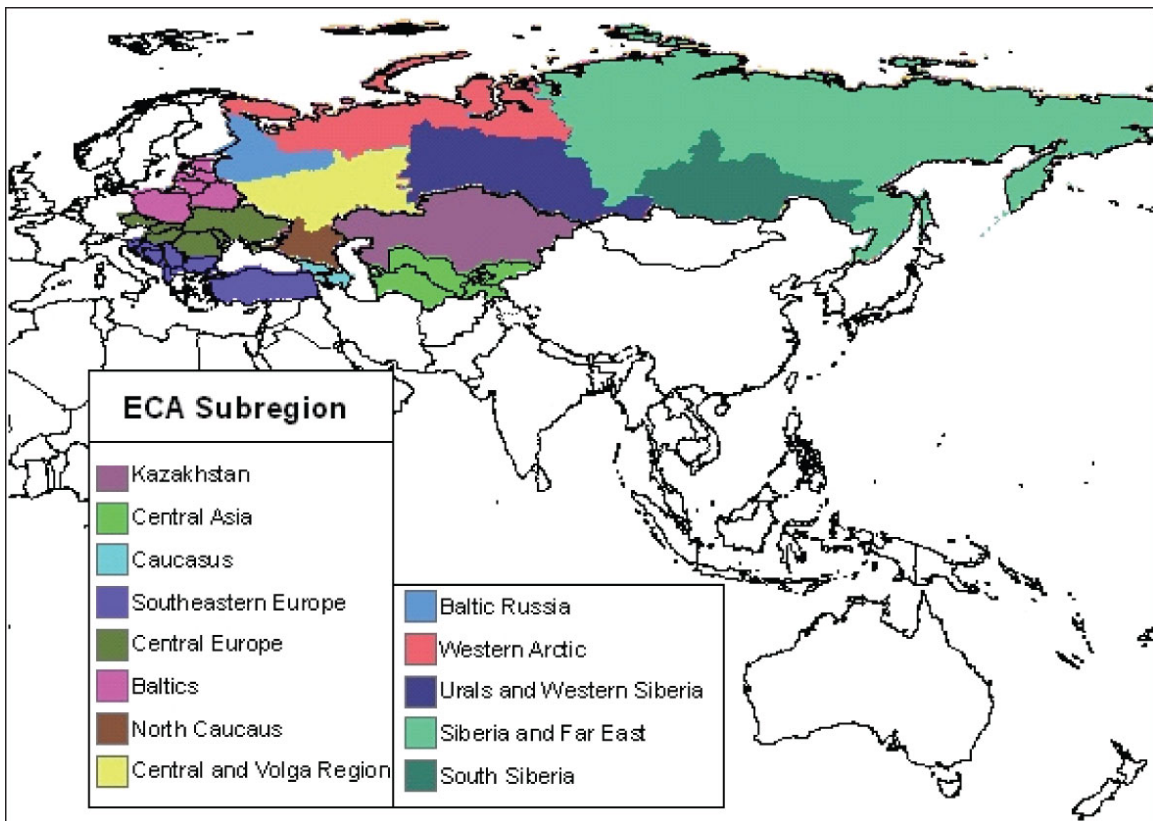
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## MAPS

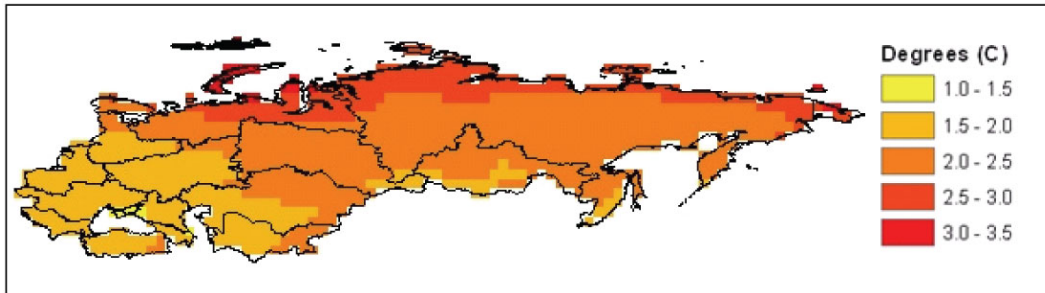
MAP 2.1 ECA SUB-REGIONS



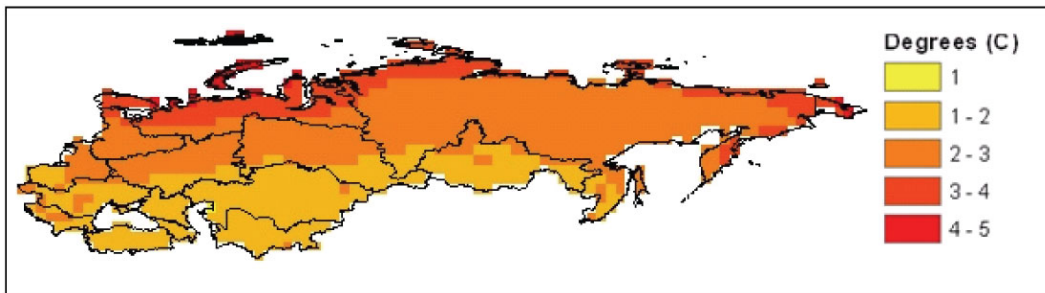
Source: Michael Westphal 2008.

**MAP 2.2 PROJECTED CHANGES IN ANNUAL AND SEASONAL TEMPERATURE**

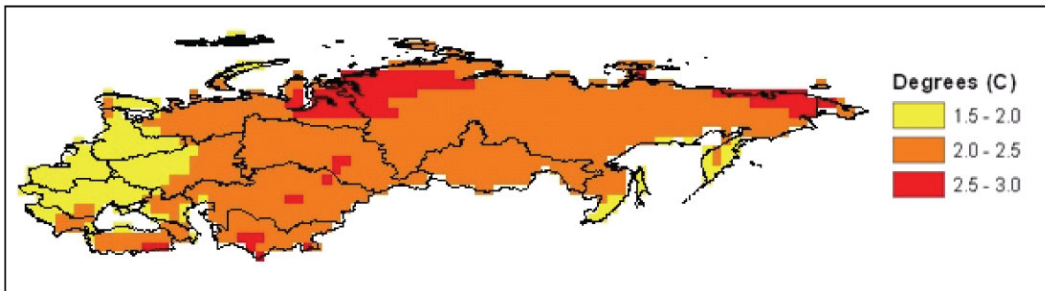
**a. Change in mean annual temperature (2030-2049; 1980-1999; A1B; 8 GCMs)**



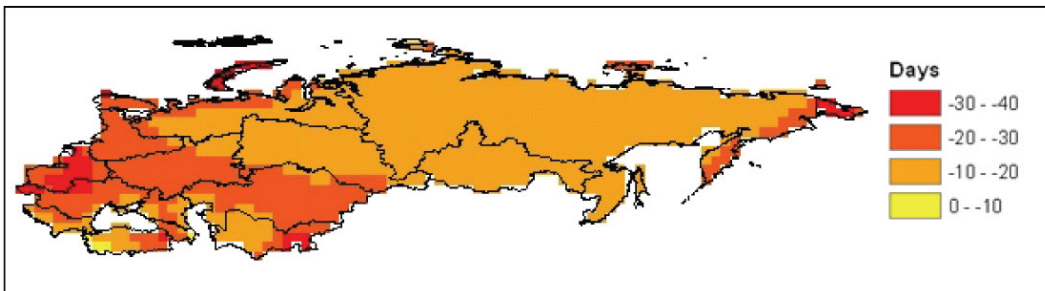
**b. Change in winter (DJF) temperature (2030-2049; 1980-1999; A1B; 8 GCMs)**



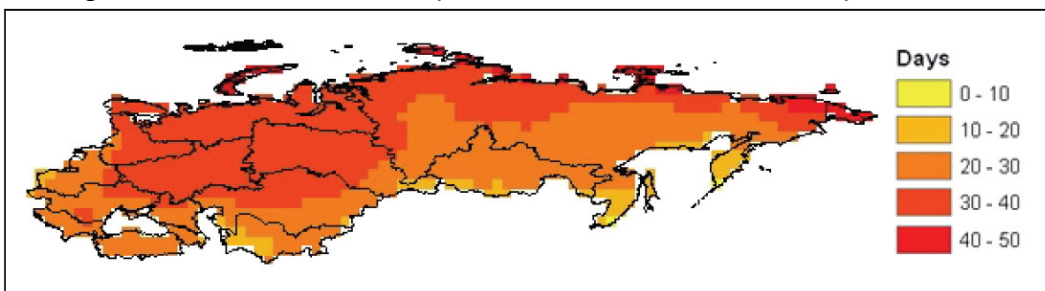
**c. Change in summer (JJA) temperature (2030-2049; 1980-1999; A1B; 8 GCMs)**



**d. Change in number of frost days (2030-2049; 1980-1999; A1B; 8 GCMs)**



**e. Change in heat wave duration index (2030-2049; 1980-1999; A1B; 8 GCMs)**

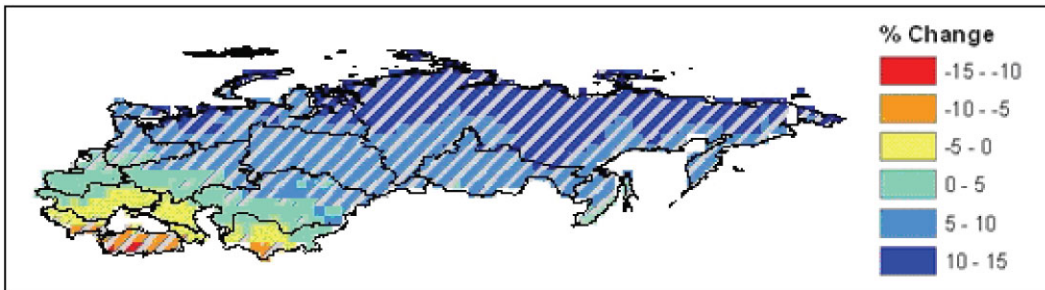


Source: Michael Westphal 2008.

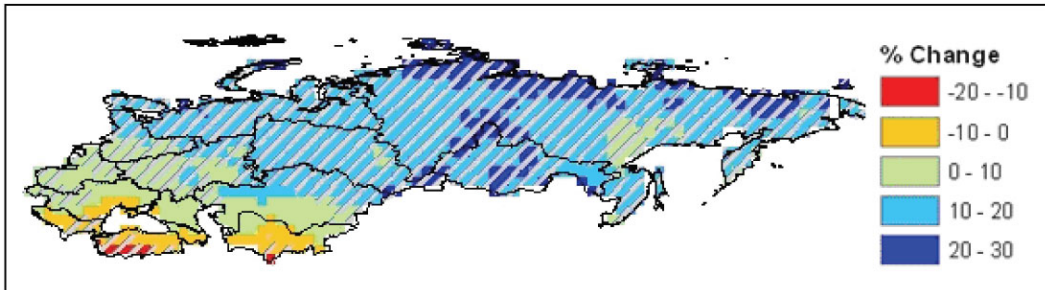


**MAP 2.3 PROJECTED CHANGES IN ANNUAL AND SEASONAL RAINFALL**

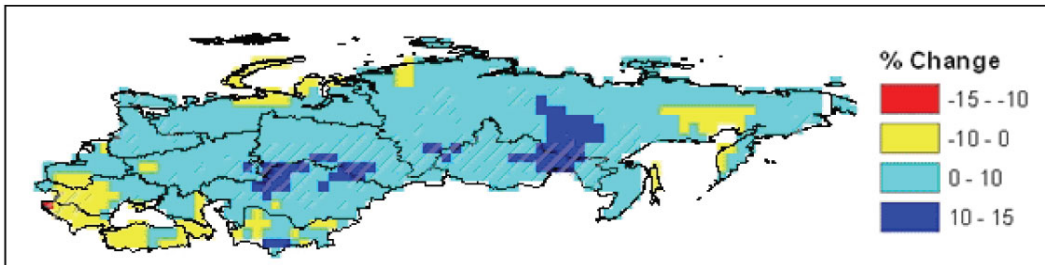
**a. Change in mean annual rainfall (2030-2049; 1980-1999; A1B; 20 GCMs)**



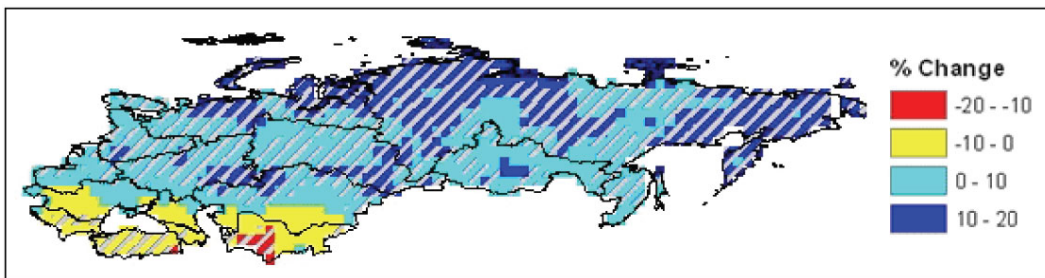
**b. Change in DJF rainfall (2030-2049; 1980-1999; A1B; 20 GCMs)**



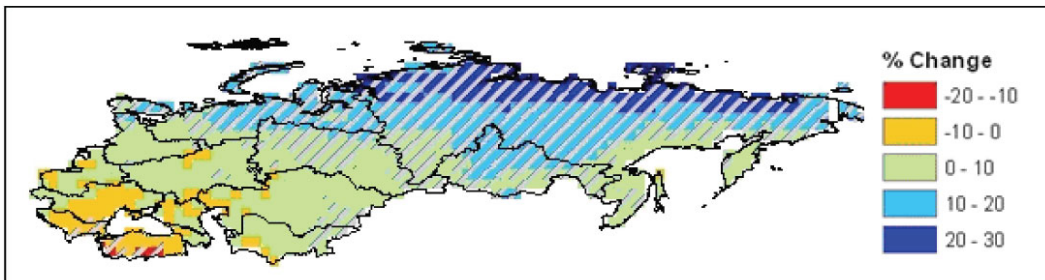
**c. Change in JJA rainfall (2030-2049; 1980-1999; A1B; 20 GCMs)**



**d. Change in MAM rainfall (2030-2049; 1980-1999; A1B; 20 GCMs)**



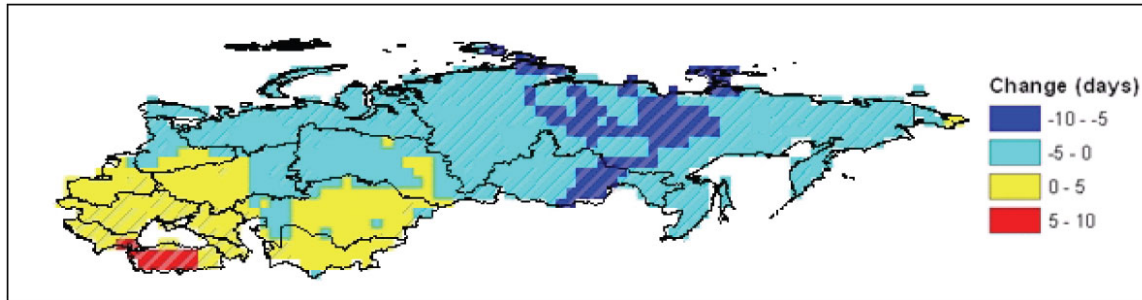
**e. Change in SON rainfall (2030-2049; 1980-1999; A1B; 20 GCMs)**



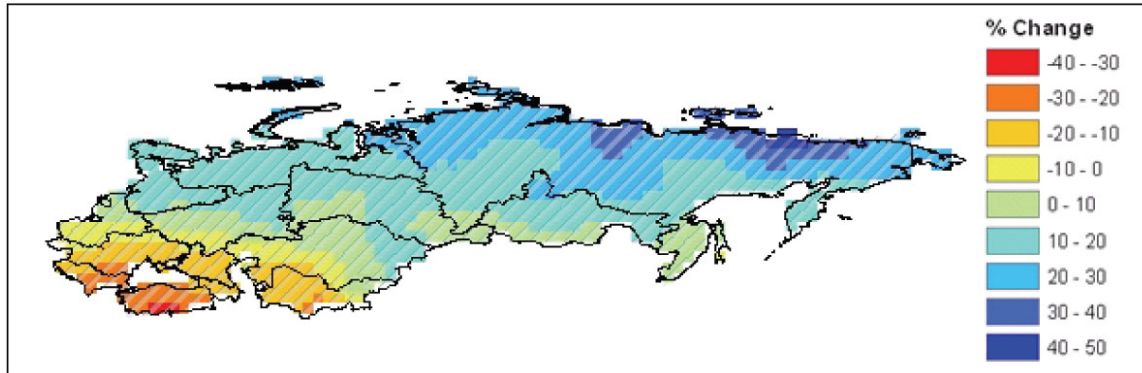
Source: Michael Westphal 2008. Notes: The hatching indicates where at least 2/3 of the models agree with the sign of the change.

**MAP 2.4 PROJECTED CHANGES IN CONSECUTIVE DRY DAYS, RUNOFF, AND RAINFALL INTENSITY**

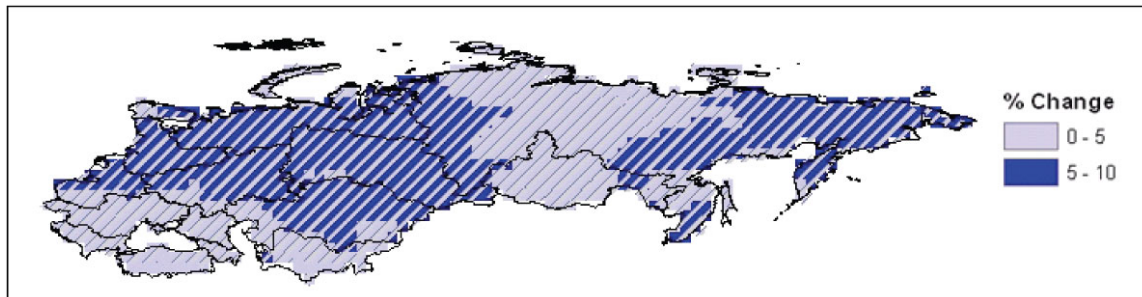
**a. Change in consecutive dry days (2041-2060; 1900-1970; A1B; 8 GCMs)**



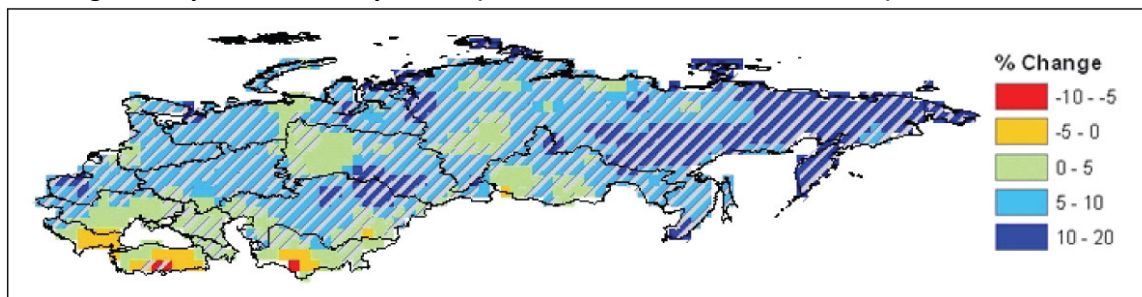
**b. Change in runoff (2041-2060; 1900-1970; A1B; 8 GCMs)**



**c. Change in daily rainfall intensity (2030-2049; 1980-1999; A1B; 8 GCMs)**

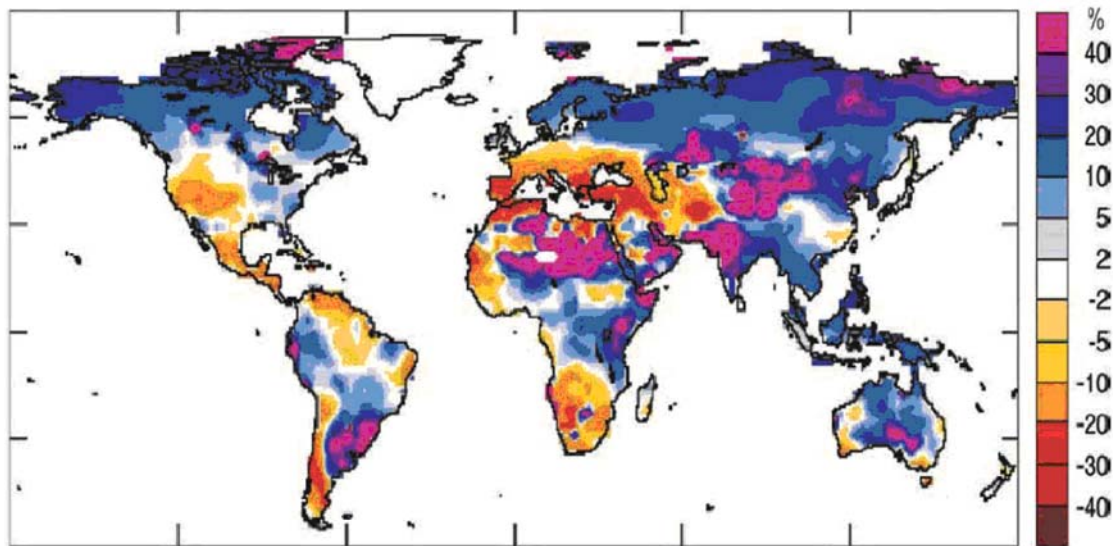


**d. Change in daily maximum 5 day rainfall (2030-2049; 1980-1999; A1B; 8 GCMs)**



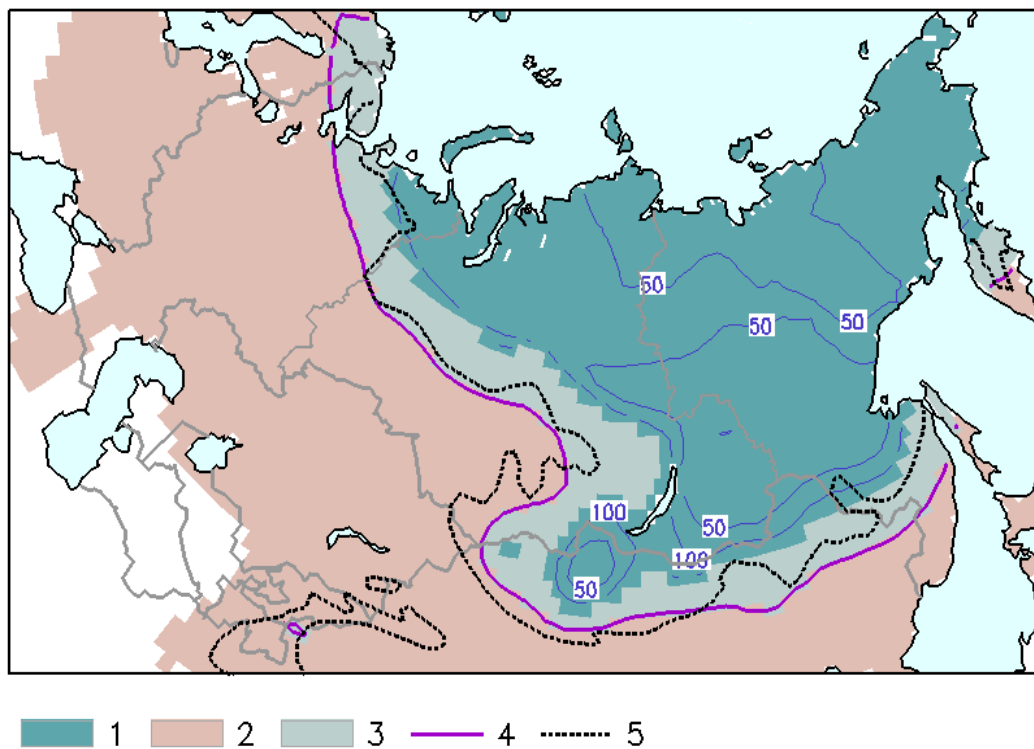
Source: Michael Westphal 2008. Notes: The hatching indicates where at least 2/3 of the models agree with the sign of the change.

**MAP 4.1 CHANGES IN PERCENT OF ANNUAL RIVER RUNOFF BY 2041-2060 FROM THE LEVEL OF 1900-1970**



Source: Carter et al. 2007, p. 184.

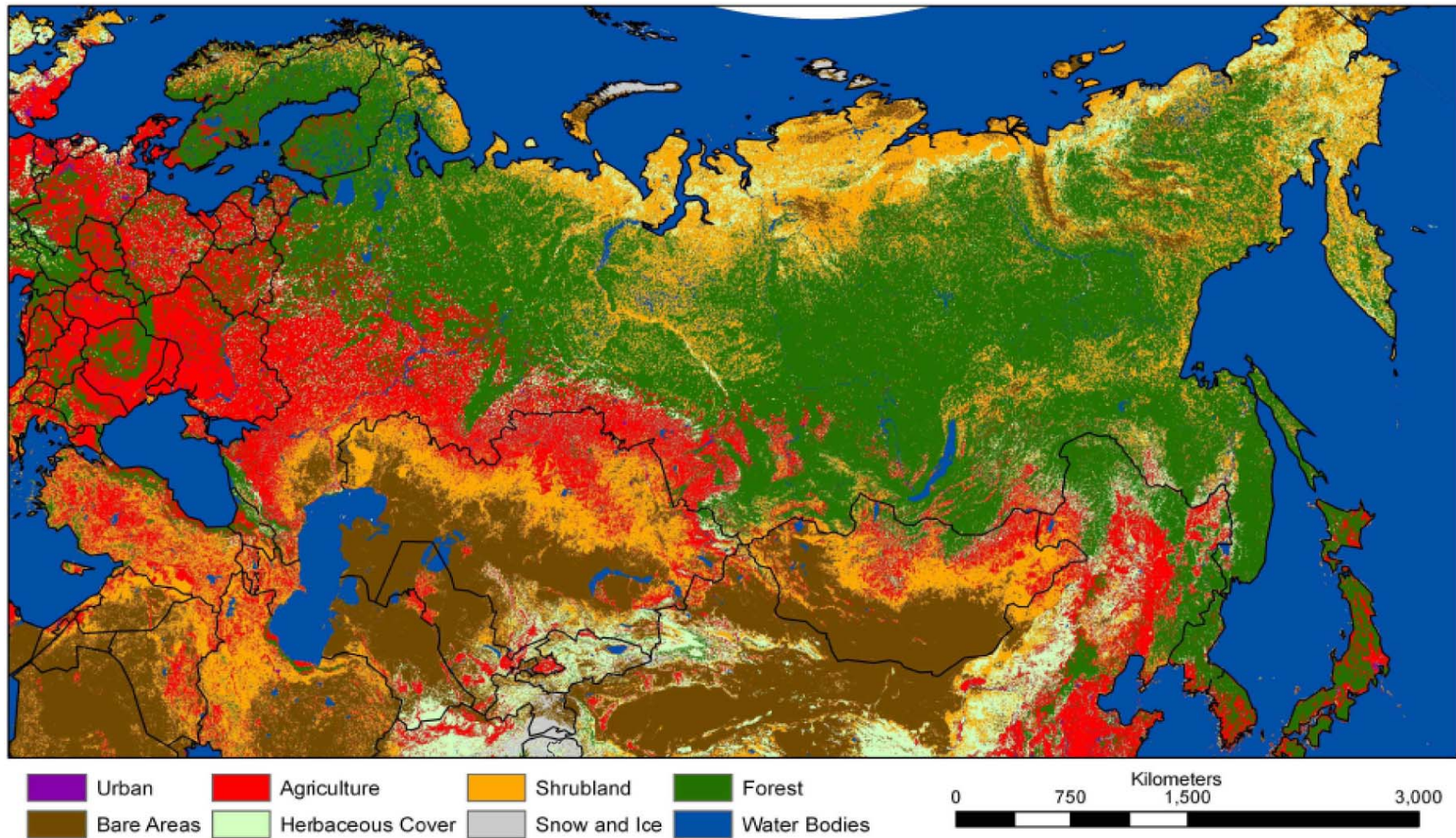
**MAP 4.2 SHIFTING BOUNDARIES AND DEGRADATION OF PERMAFROST BY MID-CENTURY**



Source: Kattsov 2008. Notes: (1) seasonal thawing, (2) seasonal freezing, and (3) transition from the regime of seasonal thawing to that of seasonal freezing in the upper 3-meter layer. Contours show an increase of thawing depths (cm) relative to 1980-1999; (4) the simulated current boundary of permafrost defined as the position of zero-degree isotherm at the 3-meter depth; (5) an approximate observed current position of the permafrost boundary.



MAP 5.1 CURRENT AGRICULTURAL AND OTHER LAND USE IN ECA



Source: European Commission's Joint Research Center. Global Land Cover 2000. Notes: Based on spot vegetation data collected at 1km intervals.