

SPECIAL ADVERTISING SECTION

BARRON'S

TOP ADVISOR DIRECTORY

LETTER FROM THE EDITOR

What It Takes To Be A “Barron’s Advisor”

Barron’s began ranking financial advisors 12 years ago with the goal of shining a spotlight on the best people in the business. Many stories had been written in the press over the years about bad financial advisors and the trouble they caused investors. But those stories held little benefit for our readers. What our readers wanted to know is this: Who are the truly great financial advisors and what are they telling their clients?

That’s the question we’ve tried to answer with our advisor rankings over the past dozen years.

From the outset, the rankings have been a remarkable success. It is now accepted in the industry that the advisors ranked by Barron’s represent the top 1% of their profession. In fact, it’s become a badge of honor to be called a “Barron’s advisor,” a phrase that’s cropped up informally to describe the folks who make our lists. We began in 2004 with our first ranking of the nation’s top 100 advisors, working with a small firm called the Winner’s Circle, which we acquired in 2008. We published our first list of the Top 100 Women Advisors in 2006. A year after that, we published a list of the Top 100 Independent Advisors, meaning the best advisors not affiliated with the big full-service firms like Merrill Lynch, Morgan Stanley, Wells Fargo, or UBS.

One problem with all of these lists: They were dominated by advisors in New York, California, Florida, and a few other places where America’s wealth is concentrated. We knew that we were missing great advisors in South Carolina, Alaska, Wyoming, and other states with smaller amounts of wealth.

Advisors pay a fee to be listed in this section, but their participation has no bearing on their place in our rankings, nor does it guarantee that they will be ranked in future years.

Our solution: the Top 1000 state-by-state ranking, which we first published in 2009 and expanded to 1200 spots last year. This list grants each state a minimum of six spots and allows proportionately more spots for states with greater assets.

All of our advisor rankings are based on hard numbers: an advisor’s assets under management and annual revenue generated, as well as length of time in the business, client retention, and philanthropic work. Critically important, we carefully check the regulatory record of each advisor we rank.

Every year, readers ask us how to get in touch with specific advisors who are ranked in Barron’s. This special advertising section, published 10 times a year, is an effort to make that easier. This section allows Barron’s-ranked advisors—and only Barron’s-ranked advisors—to publish their phone numbers, email addresses, and Website addresses. A link to this special advertising section can be found at the top of any page on our Website: Barrons.com. Advisors pay a fee to be listed in this section, but their participation has no bearing on their place in our rankings, nor does it guarantee that they will be ranked in future years. In our advisor rankings, as in all Barron’s journalism, the readers’ interests must come first and not be subordinated to any commercial considerations.

We want to thank all Barron’s-ranked advisors and their firms for helping us make our rankings successful. We also want to thank these advisors for setting the highest standards for their profession and for sharing their investing insights on a regular basis with Barron’s readers.



EDITOR AND PRESIDENT Edwin A. Finn Jr.

Directory of Barron's-Ranked Financial Advisors



Name	Firm / City	Phone, E-Mail & Website	Retail (Up to \$1 mil)	High Net Worth (\$1-10 mil)	Ultra-High Net Worth (\$10 mil+)	Foundations	Endowments	Institutional	Team Total Assets (\$mil)	Typical Account Size (\$mil)	Typical Net Worth (\$mil)
ALABAMA	Mark Mantooth	Merrill Lynch WM Huntsville (256) 650-2441 / mark_mantooth@ml.com	•	•	•			•	\$857	\$1.75	\$35
	Michael Mullis	Securities America Vestavia Hills (205) 733-8006 / michael@kmwealthmanagement.com www.kmwealthmanagement.com	•	•	•			•	395	0.95	2.5
	Jordan Plaster	Merrill Lynch WM Tuscaloosa (205) 759-9534 / james_plasterjr@ml.com www.fa.ml.com/james_plasterjr	•	•	•				306	1.5	5
	Robert Runkle	Merrill Lynch WM Montgomery (334) 409-5810 / robert_runkle@ml.com fa.ml.com/robert_runkle	•	•	•	•		•	1,183	2.5	3.75
	Todd Thornell Sr.	Merrill Lynch WM Dothan (334) 677-0516 / todd_thornell@ml.com fa.ml.com/thornell_group	•	•	•			•	372	1.5	4
ARIZONA	Robert Bancroft	Morgan Stanley PWM Scottsdale (480) 624-5714 / robert.bancroft@morganstanleypwm.com www.morganstanleypwa.com/bbg/	•	•	•				692	15	20
	Allan Flader	RBC WM Phoenix (602) 381-5388 / allan.flader@rbc.com www.fladergroup.com	•	•	•				606	2.5	5
	Brian Friedman	Merrill Lynch WM Phoenix (602) 954-5008 / brian.friedman@ml.com	•	•	•				620	4.5	12
	James Marten	Merrill Lynch WM Phoenix (602) 954-5016 / james_marten@ml.com fa.ml.com/martengroup	•	•	•	•	•		1,146	3	5
	David Stahle	Merrill Lynch WM Mesa (480) 898-6612 / david_stahle@ml.com fa.ml.com/sbgroupaz	•	•	•	•	•		1,417	2	5
	Ruth Transue	Wells Fargo Advisors Tucson (520) 529-5932 / transuer@wellsfargo.com www.ruthtransue.wfadv.com	•	•	•				650	2	4
	Trevor Wilde	Wilde WM Group Scottsdale (480) 361-6203 / trevor@wildewealth.com www.wildewealth.com	•	•	•				328	0.65	1.25
	James Wood	Merrill Lynch WM Tucson (520) 747-6198 / james_wood@ml.com www.fa.ml.com/woodgroup	•	•	•				424	1	3
CALIFORNIA	Cameron Beck	UBS Financial Services Sacramento (916) 648-7266 / beckgroup@ubs.com www.ubs.com/team/beckgroup	•	•	•				430	2	3
	Laura D. Belo	UBS Financial Svcs San Francisco (415) 576-3832 / laura.belo@ubs.com www.ubs.com/team/baywm	•	•	•				741	3	7
	Jim Berliner	Westmount Asset Mgmt Los Angeles (310) 556-2502 / jberliner@westmount.com www.westmount.com	•	•	•				2,202	2.5	7.5
	Garrett Bland	J.P. Morgan Securities Los Angeles (310) 201-2771 / garrett.bland@jpmorgan.com www.jpmorgansecurities.com/theblandgroup	•	•	•	•			1,877	20	40
	Robert Daly Jr.	J.P. Morgan Securities Los Angeles (310) 201-7744 / robert.daly@jpmorgan.com www.jpmorgansecurities.com/dalykadishgroup	•	•	•	•			950	15	20
	Fred Fern	Churchill Mgmt Group Los Angeles (323) 937-7110 / fredafern@churchillmanagement.com www.churchillmanagement.com	•	•	•			•	3,608	0.75	2.5
	Gary Fournier	J.P. Morgan Securities Los Angeles (213) 553-7410 / gary.fournier@jpmorgan.com	•	•	•				1,013	10	25
	Rob Francais	Aspiriant Los Angeles (310) 806-4000 / cdoder@aspiriant.com www.aspiriant.com	•	•	•	•			8,917	9	25
	Robert Gallo	Merrill Lynch WM Walnut Creek (925) 945-4823 / robert_f_gallo@ml.com fa.ml.com/gallo_group	•	•	•				1,392	0.75	2.5
	Eric Gray	Merrill Lynch PBIG Los Angeles (310) 407-3979 / eric_gray@ml.com pwa.ml.com/grayteam	•	•	•	•			7,356	50	80
	Philippe Hartl	Merrill Lynch PBIG Century City (310) 407-3984 / philippe_hartl@ml.com www.pwa.ml.com/hartl_team	•	•	•	•			2,005	30	80
	Brian Holmes	Signature Estate & Inv Adv Los Angeles (310) 712-2326 / bholmes@seia.com www.seia.com	•	•	•	•		•	4,971	7.5	18
	Dana Jackson	Graystone Consulting Menlo Park (650) 234-5901 / dana.jackson@msgraystone.com www.morganstanleyfa.com/peninsulagroupsb	•	•	•	•			14,212	25	45
Steve Levine	UBS PWM Los Angeles (310) 734-3919 / steve.levine@ubs.com www.ubs.com/team/lw	•	•	•	•		•	4,666	15	40	

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				Retail (Up to \$1 mil)	High Net Worth (\$1-10 mil)	Ultra-High Net Worth (\$10 mil+)						
CALIFORNIA	John C. McNamee	Merrill Lynch PBIG Los Angeles	(310) 407-4824 / john_mcnamee@ml.com www.pwa.ml.com/mcnameewhite		•	•	•		•	\$5,635	\$40	\$75
	Lon Morton	Morton Capital Mgmt Calabasas	(818) 591-6200 / lmorton@mortoncapital.com www.mortoncapital.com	•	•	•				1,474	3	8
	Dan Murphy	Morgan Stanley WM Menlo Park	(650) 926-7643 / dan.w.murphy@morganstanley.com www.morganstanleyfa.com/danmurphy	•	•	•			•	3,822	75	150
	Laila Pence	Pence WM Newport Beach	(800) 731-3623 / anne.godfrey@lpl.com www.pencewealthmanagement.com	•	•	•				1,253	2	5
	Spuds Powell	Kayne Anderson Rudnick Los Angeles	(310) 282-7945 / spowell@kayne.com www.kayne.com		•	•	•		•	9,870	7	15
	Joseph Schirripa	UBS Financial Services Beverly Hills	(310) 281-3810 / joseph.schirripa@ubs.com www.ubs.com/team/schirripagroup	•	•	•			•	1,503	2	10
	Antoine Souma	J.P. Morgan Securities Los Angeles	(310) 201-2616 / antoine.souma@jpmorgan.com www.jpmorgansecurities.com/thesoumagroup		•	•	•			2,985	25	100
	Emily Van Hoorickx	UBS Financial Services San Jose	(408) 286-5500 / emily.vanhoorickx@ubs.com www.ubs.com/team/svig	•	•	•				4,766	5	10
	Alan Whitman	Morgan Stanley WM Pasadena	(626) 405-9313 / alan.whitman@morganstanley.com www.morganstanleyfa.com/wr	•	•	•				2,440	5	15
	Dale Yahnke	Dowling & Yahnke San Diego	(858) 509-9500 / info@dywealth.com www.dywealth.com		•	•	•			2,988	2.9	18
Cheryl Young	Morgan Stanley WM Los Gatos	(408) 358-0976 / cheryl.young@morganstanley.com www.morganstanleyfa.com/youngandassociates	•	•	•				1,071	7	15	
COLORADO	Mark R. Brown	LPL Financial Svcs Denver	(303) 863-7231 / mbrown@brown-tedstrom.com www.brown-tedstrom.com		•	•				888	7.5	13.3
	Melissa Corrado-Harrison	UBS PWM Denver	(303) 820-5770 / melissa.harrison@ubs.com www.ubs.com/team/corrado-harrison		•	•				1,805	25	50
	Shawn Fowler	Morgan Stanley PWM Denver	(303) 446-3306 / shawn.fowler@morganstanleypwm.com		•	•			•	2,630	29	59
	Joseph Janiczek	Janiczek WM Greenwood Village	(303) 721-7000 / cwegner@janiczek.com www.janiczek.com		•	•				435	2.5	7
	Eric Koeplin	The Milestone Group Denver	(303) 539-0100 / info@themilestonegroup.com www.themilestonegroup.com		•	•				1,785	10	20
	Wally Obermeyer	Obermeyer Asset Mgmt Aspen	(970) 925-8747 / info@obermeyerwood.com www.obermeyerwood.com	•	•	•				927	2.5	5
	Mark J. Smith	M.J. Smith & Associates Greenwood Village	(303) 768-0007 / mark.smith@raymondjames.com www.mj-smith.com	•	•	•				769	1.6	2.1
CONNECTICUT	Harrison "Cal" Buxton	UBS PWM New London	(800) 504-6020 / cal.buxton@ubs.com www.ubs.com/team/humphreygroup	•	•	•				2,146	2	3
	Peter Chieco	Morgan Stanley WM Greenwich	(203) 625-4897 / peter.chieco@morganstanley.com www.morganstanleyfa.com/thesoundshoregroup	•	•	•	•		•	1,202	5	15
	Jeff Erdmann	Merrill Lynch PBIG Greenwich	(203) 861-5902 / jeff_erdmann@ml.com pwa.ml.com/erdmannngroup		•	•	•	•		5,633	25	45
	Michael Freeburg	Greenwich WM Greenwich	(203) 618-0103 / information@greenwichwealth.com www.greenwichwealth.com		•	•				1,697	10	25
	David E. Goodwin	Merrill Lynch WM Hartford	(860) 728-3622 / david_goodwin@ml.com www.fa.ml.com/goodwin_group	•	•	•	•			512	6	10
	William Greco	UBS Financial Services Hartford	(860) 727-1515 / william.greco@ubs.com financialservicesinc.ubs.com/team/grecogroup	•	•	•				4,519	7	12
	Dana Hanson	Relyea Zuckerberg Hanson Stamford	(203) 355-0884 / danah@rzhadvisors.com www.rzhadvisors.com		•	•				684	6	19
	Charles J. Noble III	Janney Montgomery Scott New Haven	(203) 784-7401 / noblegroup@janney.com noblewealthadvisory.com	•	•	•			•	708	3.5	7.5
	Harold Trischman	Morgan Stanley WM Greenwich	(203) 625-4824 / harold.j.trischman@morganstanley.com www.morganstanleyfa.com/trischmangroup	•	•	•	•	•		1,500	4	9

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CT.	John Adams Vaccaro	Westport Resources Westport	(203) 226-0222 / wrmssupport@westportresources.com www.westportresources.com	•	•	•			•	\$1,281	\$3.97	\$10
	Carl Zuckerberg	Relyea Zuckerberg Hanson Stamford	(203) 355-0888 / carlz@rzhadvisors.com www.rzhadvisors.com		•	•				620	6	16
DISTRICT OF COLUMBIA	Keith Apton	UBS Financial Services Washington	(202) 585-5358 / keith.apton@ubs.com financialservicesinc.ubs.com/team/capitalesopgroup		•	•				808	5	10
	Michael Freiman	Morgan Stanley WM Washington	(202) 778-1382 / michael.j.freiman@morganstanley.com www.teamfreiman.com	•	•	•	•			964	0.87	2.5
	Barry Haffner	UBS Financial Services Washington	(202) 585-5440 / barry.haffner@ubs.com ubs.com/fa/barryhaffner		•	•				636	5	7
	Michael Kirvan	Merrill Lynch WM Washington	(202) 659-7349 / michael_kirvan@ml.com fa.ml.com/thekirvangroup	•	•	•				637	1.6	7.5
	Marvin McIntyre	Morgan Stanley PWM Washington	(202) 778-1381 / marvin.mcintyre@morganstanleypwm.com www.morganstanleyfa.com/cwmg/	•	•	•	•	•	•	3,747	5	25
	James New	Credit Suisse Securities Washington	(202) 626-3377 / james.new@credit-suisse.com www.credit-suisse.com		•	•	•	•		710	10	20
	Christopher S. Sargent	Wells Fargo Advisors Washington	(202) 872-6570 / christopher.sargent@wfadvisors.com www.thesargentinvestmentgroup.wfadv.com	•	•	•	•			875	3	5
	William Slater III	Merrill Lynch PBIG Washington	(202) 659-7387 / william_slateriii@ml.com www.pwa.ml.com/skfm		•	•				1,202	8.5	15
	David Stuart	Morgan Stanley WM Washington	(202) 861-5028 / david.a.stuart@ms.com www.morganstanleyfa.com/stuart	•	•	•				651	1.75	3
	John M. Williams	Morgan Stanley PWM Washington	(202) 862-2876 / john.m.williams@morganstanleypwm.com www.morganstanleypwa.com/williams_group		•	•	•	•	•	3,700	20	35
DELAWARE	Donald DeWees Sr.	Wells Fargo Advisors Greenville	(800) 832-6669 / dana.edwards@wfadvisors.com www.deweescig.com	•	•	•	•		•	1,126	1.5	3.5
	Cynthia Hewitt	Merrill Lynch WM Wilmington	(302) 571-5524 / cynthia_hewitt@ml.com fa.ml.com/hart_group	•	•	•				1,221	4.5	10.5
	Michael Koppenhaver	Merrill Lynch WM Dover	(888) 766-4680 / michael_s_koppenhaver@ml.com www.fa.ml.com/koppenhaver_hawkins_associates	•	•	•	•	•		531	1.5	4.5
	Kimberlee Orth	Ameriprise Financial Wilmington	(302) 475-5105 / kimberlee.m.orth@ampf.com kimberleeorth.com	•	•	•			•	1,168	3.47	9.79
	Thomas Weisenfels	Merrill Lynch WM Wilmington	(302) 571-5244 / thomas_weisenfels@ml.com fa.ml.com/weisenfels	•	•	•				365	1.3	2.3
FLORIDA	Richard Altieri	Morgan Stanley WM Boca Raton	(561) 393-1836 / thealtierigroup@morganstanley.com morganstanleyfa.com/thealtierigroup.com	•	•	•				1,291	5	20
	Louise Armour	J.P. Morgan Securities West Palm Beach	(212) 272-6604 / louise.armour@jpmorgan.com www.jpmorgansecurities.com/louisearmour		•	•	•			1,556	13	17
	Peter Bermont	Raymond James Coral Gables	(305) 446-6600 / peter.bermont@raymondjames.com bermontadvisorygroup.com		•	•	•	•		1,173	9	21
	Stephen Bloch	Morgan Stanley WM Aventura	(305) 937-7175 / stephen.bloch@ms.com www.morganstanleyfa.com/thefaroblochgroup	•	•	•			•	4,725	3	5
	Hank Boyce	UBS Financial Services Aventura	(305) 682-4649 / hank.boyce@ubs.com ubs.com/team/constellation		•	•				1,615	24	30
	Ken Burke	Merrill Lynch WM Heathrow	(407) 333-8313 / kenneth_burke@ml.com fa.ml.com/bcg	•	•	•				978	10	14
	William S. Calabretta	Morgan Stanley WM Boca Raton	(561) 393-1812 / william.s.calabretta@morganstanley.com morganstanleyfa.com/thealtierigroup.com	•	•	•				1,291	5	20
	Jeff Camarda	Camarda Wealth Adv Grp Jacksonville	(800) 262-1083 / j@camarda.com camarda.com	•	•	•				241	1	3
	Adam Carlin	Morgan Stanley PWM Coral Gables	(305) 476-3302 / adam.e.carlin@morganstanleypwm.com www.morganstanleyfa.com/carlin/		•	•				1,978	14	20
Louis Chiavacci	Merrill Lynch PBIG Coral Gables	(305) 774-0500 / louis_chiavacci@ml.com pwa.ml.com/chiavacciteam			•	•			2,945	35	75	

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Thomas Cleary	UBS Financial Services Orlando	(407) 803-4670 / tom.cleary@ubs.com www.ubs.com/fa/tomcleary	•	•	•	•		•	\$1,100	\$3	\$5
Don d'Adesky	Raymond James Boca Raton	(561) 981-3690 / don.dadesky@raymondjames.com www.raymondjames-theamericasgroup.com	•	•	•				3,116	3	10
Patrick Dwyer	Merrill Lynch PBIG Miami	(305) 577-6929 / patrick_dwyer@ml.com www.pwa.ml.com/dwyerassociates			•				2,489	25	50
Bob Edwards	Wells Fargo Advisors Naples	(239) 254-2200 / robert.t.edwards@wfadvisors.com www.moranedwards.com	•	•	•	•		•	2,875	5	20
Nelson Faro	Morgan Stanley WM Aventura	(305) 937-7138 / nelson.faro@morganstanley.com www.morganstanleyfa.com/thefaroblochgroup	•	•	•			•	4,725	3	5
Neil A. Fox	Morgan Stanley WM Boca Raton	(800) 327-0146 / neil.a.fox@morganstanley.com www.morganstanleyfa.com/foxteamsb/groupdetail.htm	•	•	•			•	2,600	4	10
David Fox	Morgan Stanley WM Boca Raton	(800) 327-0146 / david.h.fox@morganstanley.com www.morganstanleyfa.com/foxteamsb/groupdetail.htm	•	•	•			•	2,600	4	10
Eric Glasband	Merrill Lynch WM Boca Raton	(561) 361-3437 / eric.glasband@ml.com www.fa.ml.com/gs	•	•	•	•	•	•	822	3.53	10
William King	Merrill Lynch WM Vero Beach	(772) 231-9037 / william_w_king@ml.com fa.ml.com/kinggroup		•	•	•	•	•	1,583	7	10
Clarke Lemons	WaterOak Advisors Winter Park	(407) 567-2956 / newclient@wateroak.com www.wateroak.com	•	•	•	•		•	1,351	2.5	5
Michael A. McCain	Wells Fargo Advisors Naples	(239) 254-2206 / michael.mccain@wfadvisors.com home.wellsfargoadvisors.com/michael.mccain	•	•	•				1,129	5	10
William Merriam	Merrill Lynch WM Jacksonville	(904) 218-5931 / william_h_merriam@ml.com www.fa.ml.com/bill_merriam	•	•	•	•	•	•	742	1.7	5
Mark Miller	Merrill Lynch WM Boca Raton	(561) 416-4629 / mark_m_miller@ml.com fa.ml.com/millerbroichassociates.com	•	•	•				709	2	5
Todd Moll	Provenance Wealth Adv Fort Lauderdale	(954) 712-8892 / tmoll@provwealth.com www.provwealth.com		•	•			•	1,400	4	8
Thomas Moran	Wells Fargo Advisors Naples	(239) 254-2200 / thomas.moran@wfadvisors.com www.moranedwards.com	•	•	•	•		•	2,875	5	20
Charles Mulfinger II	Graystone Consulting Tampa	(813) 227-2061 / charles.mulfinger.ii@msgraystone.com www.morganstanleygc.com/gctampa/	•	•	•			•	4,846	5.4	8
Michael Nickler	Morgan Stanley WM West Palm Beach	(561) 822-4955 / michael.nickler@morganstanley.com www.morganstanley.com/fa/thenicklergroup	•	•	•				1,058	5	10
Adam Rosenfeld	Merrill Lynch WM Miami	(305) 577-6903 / adam.rosenfeld@ml.com www.fa.ml.com/rosenfeldgroup		•	•				651	15	100
Andrew Schultz	Morgan Stanley WM Miami Beach	(305) 695-6116 / andrew.schultz@morganstanley.com www.morganstanleyfa.com/theschultzgroup		•	•				1,050	7.5	10
Mickey Silverman	Raymond James Boca Raton	(561) 997-9100 / mickey.silverman@raymondjames.com raymondjames.com/silvermangroup	•	•	•	•			1,150	5	30
J. Thomas Smith	UBS PWM Palm Beach Gardens	(561) 776-2545 / tom.smith@ubs.com www.ubs.com/team/smith	•	•	•			•	770	10	15
Kurt Sylvia	UBS PWM Palm Beach	(561) 659-9526 / kurt.sylvia@ubs.com ubs.com/team/pwmpalmbeach		•	•	•		•	1,485	20	45
Sal Tiano	J.P. Morgan Securities Palm Beach Gardens	(561) 694-5600 / sal.tiano@jpmorgan.com www.jpmorgansecurities.com/tianogroup		•	•				1,100	10	25
Marc Walters	Morgan Stanley WM Tampa	(813) 227-2034 / marc.walters@morganstanley.com www.morganstanleyfa.com/thewaltersgroup	•	•	•				679	1.7	2.3

FLORIDA

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GEORGIA	Robert Balentine Balentine Atlanta	(404) 537-4800 / rbalentine@balentine.com www.balentine.com	•	•	•			•	\$2,138	\$16	\$25	
	Andy Berg Homrich Berg Atlanta	(404) 264-1400 / berg@homrichberg.com www.homrichberg.com	•	•	•				3,858	3	7	
	Roger S. Green Cetera Advisors Duluth	(800) 275-3101 / roger.green@rogersgreen.com www.rogersgreen.com	•	•					387	0.35	0.6	
	James Hansberger Morgan Stanley WM Atlanta	(404) 266-4269 / james.c.hansberger@morganstanley.com www.morganstanleyfa.com/hansberger&merlin/	•	•	•				2,450	15	40	
	Ronald Hart Morgan Stanley WM Atlanta	(866) 360-4278 / ronald.hart@morganstanley.com www.morganstanleyfa.com/thehartgroup	•	•	•	•			2,113	7.5	50	
	Michael Hines Raymond James Atlanta	(404) 892-1995 / mhines@cpcadvisors.com www.cpcadvisors.com	•	•	•			•	553	2	3.5	
	Rod Westmoreland Merrill Lynch PBIG Atlanta	(404) 264-2066 / rod_westmoreland@ml.com pwa.ml.com/westmorelandgroup	•	•	•				3,573	30	45	
ILLINOIS	Raj Bhatia Merrill Lynch PBIG Chicago	(312) 325-2676 / raj_bhatia@ml.com www.pwa.ml.com/bhatiagroup	•	•	•	•	•		1,300	15	25	
	Brent Brodeski Savant Capital Mgmt Rockford	(815) 227-0300 / bbrodeski@savantcapital.com www.savantcapital.com	•	•	•			•	4,035	1.25	3	
	Kyle Chudom Morgan Stanley WM Oak Brook	(630) 573-9680 / kyle.chudom@ms.com chudomhayes.com	•	•	•				690	1	2.5	
	Patricia Cutilletta Morgan Stanley WM Chicago	(312) 648-3401 / patricia.g.cutilletta@morganstanley.com www.morganstanleyfa.com/cutillettagroup	•	•	•				610	7	10	
	Ted Davis UBS Financial Services Oakbrook Terrace	(630) 572-4060 / ted.davis@ubs.com www.ubs.com/team/daviswmg	•	•					655	1.4	2	
	Brad DeHond Morgan Stanley PWM Chicago	(312) 706-4517 / brad.dehond@morganstanley.com www.morganstanleypwa.com/mspwmchicago-mdm		•	•	•			2,200	30	50	
	Steven Hefter Wells Fargo Advisors Deerfield	(847) 405-7300 / steven.hefter@wellsfargoadvisors.com www.hlmcapital.com		•	•				4,700	10	30	
	Joseph Kartheiser Morgan Stanley PWM Chicago	(312) 419-3337 / joe.kartheiser@morganstanleypwm.com www.morganstanleyfa.com/thekartheisergroup		•	•				1,220	5	15	
	Scott Magnesen Morgan Stanley WM Oak Brook	(630) 573-9694 / scott.magnesen@morganstanley.com www.morganstanleyfa.com/thempwgroup/	•	•	•				2,402	1	3	
	James M. Moriarity Morgan Stanley PWM Chicago	(312) 706-4572 / james.moriarity@morganstanley.com www.morganstanleypwa.com/mspwmchicago-mdm		•	•	•			2,200	30	50	
	James Oberheide Morgan Stanley PWM Chicago	(312) 443-6090 / james.oberheide@morganstanleypwm.com www.morganstanleyfa.com/theoberheidegroup		•	•	•			1,824	35	75	
	Sharon Oberlander Merrill Lynch WM Chicago	(312) 696-7620 / s_oberlander@ml.com www.fa.ml.com/oberlandergroup		•	•				1,053	5	12	
	Maureen Raihle Merrill Lynch PBIG Chicago	(312) 325-2635 / maureen_raihle@ml.com pwa.ml.com/vrgroup		•	•				5,659	32	60	
	Kathleen Roeser Morgan Stanley WM Chicago	(312) 443-6500 / kathy.roeser@morganstanley.com www.morganstanleyfa.com/theroesergroup/		•	•	•		•	803	6	8	
	David Sheppard Merrill Lynch WM Deer Park	(847) 550-7878 / david_n_sheppard@ml.com fa.ml.com/sheppardgroup		•	•				558	4.1	6	
	Joseph Silich Morgan Stanley WM Chicago	(312) 443-6200 / joseph.silich@morganstanley.com		•	•				846	7	12	
	Ronald Stenger Morgan Stanley WM Oak Brook	(630) 573-9676 / ronald.stenger@ms.com	•	•					512	1.1	3	
	Mark Thorndyke Merrill Lynch WM Chicago	(312) 696-7645 / mark_w_thorndyke@ml.com www.fa.ml.com/thorndyke_group	•	•	•				977	3.5	7	
	John Ver Bockel Merrill Lynch PBIG Chicago	(312) 325-2634 / john_verbockel@ml.com pwa.ml.com/vrgroup		•	•				5,659	32	60	
	David Wright Merrill Lynch PBIG Chicago	(312) 325-2620 / dave_wright@ml.com www.pwa.ml.com/wrighthudakzabel		•	•	•	•		2,036	25	35	

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IND.	Eric Payne	Merrill Lynch WM Indianapolis	(317) 706-3160 / eric_payne@ml.com www.fa.ml.com/payne_mencias	•	•	•	•	•		\$1,718	\$3.5	\$7.5
	Timothy Finucan	Edward Jones Webster City	(515) 832-4155 / timothy.finucan@edwardjones.com	•	•					429	1	1.5
IOWA	Matthew Fryar	Wells Fargo Advisors Des Moines	(515) 245-3120 / matthew.r.fryar@wellsfargo.com		•	•	•			603	4	5
	Keith Swanson	Morgan Stanley PWM West Des Moines	(515) 224-5501 / keith.k.swanson@morganstanleypwm.com www.morganstanleypwa.com/swanson		•	•			•	655	7	15
KY.	Barry Barlow	Merrill Lynch WM Louisville	(502) 329-5097 / barry_barlow@ml.com www.fa.ml.com/b_barlow	•	•	•	•			617	3	7.5
LA.	James Spiro	Morgan Stanley WM New Orleans	(504) 585-3973 / james.m.spiro@morganstanley.com www.morganstanleyfa.com/spirogroup	•	•	•				1,702	1	4
ME.	Jeremiah Burns	Morgan Stanley WM Portland	(207) 871-7373 / jeremiah.burns@morganstanley.com morganstanley.com/fa/jeremiah.burns	•	•	•		•	•	475	7.5	10
	Larry Boggs	Wells Fargo Advisors Cumberland	(301) 724-2660 / larry.d.boggs@wfadvisors.com www.home.wellsfargoadvisors.com/larry.d.boggs	•	•	•				1,144	3	5
MARYLAND	Robert Collins	Collins Inv Grp (Wells FiNet) Bethesda	(301) 915-9630 / robert.collins@wfafinet.com collinsinvestmentgroup.com	•	•	•				750	3	6
	Barry Garber	Deutsche Asset & WM Baltimore	(410) 895-3971 / barry.l.garber@db.com www.deutscheawm.com/wealth-us		•	•	•	•	•	2,300	15	25
	Tom Hill	Morgan Stanley WM Easton	(410) 770-6124 / thomas.e.hill@ms.com morganstanleyfa.com/hillgroup	•	•	•				1,020	3	7
	Jim Holzapfel	Wells Fargo Advisors Hagerstown	(844) 894-5706 / james.holzapfel@wfadvisors.com www.holzapfelinvestmentgroup.com	•	•	•	•		•	738	1.5	3.5
	Leo Kelly	HighTower Hunt Valley	(410) 472-5381 / lkelly@hightoweradvisors.com www.kellywealthmgmt.com	•	•	•		•	•	1,326	5	10
	Brian Kroneberger Jr.	RBC WM Hunt Valley	(410) 316-5454 / brian.kroneberger@rbc.com www.rbcwmfa.com/dkggroup/		•	•			•	1,200	2.5	4
	John Lynch	Raymond James Fulton	(888) 465-8424 / john.lynch@lynchretirementgroup.com www.lynchretirementgroup.com	•	•	•				524	1.5	5
	James Meek	Graystone Consulting Baltimore	(410) 736-5367 / james.k.meek@msgraystone.com www.morganstanleygc.com/jkmeekgroup		•	•	•	•	•	1,348	12.5	18
	William F. Orr	Merrill Lynch PBIG Frederick	(800) 595-4197 / william_orr@ml.com pwa.ml.com/theorgroup	•	•	•	•			590	10	15
	Kent Pearce	Merrill Lynch Wealth Mgmt Towson	(410) 321-4340 / kent_pearce@ml.com www.fa.ml.com/pearce_group		•	•	•	•		1,144	7	20
	E. Geoffrey Sella	SPC Financial, Inc Rockville	(301) 770-6800 / jsella@spcfinc.com www.spcfinc.com	•	•					640	0.68	1
	MASSACHUSETTS	James Atwood	Merrill Lynch PBIG Boston	(800) 765-6194 / james_atwood@ml.com pwa.ml.com/theatwoodgroup		•	•				4,361	45
Debra Brede		D.K. Brede Inv Mgmt Needham	(781) 444-9367 / dkb@bredeinvestment.com www.bredeinvestment.com		•	•			•	756	2	4
Ross Dolgoff		J.P. Morgan Securities Boston	(617) 654-2253 / ross.dolgoff@jpmorgan.com		•	•			•	2,700	5	10
Timothy Grimes		Grimes Westborough	(508) 366-3883 / advisors@grimesco.com www.grimesco.com		•	•				2,008	3.5	7
Susan Kaplan		Kaplan Financial Svcs Newton	(617) 527-1557 / susan.kaplan@ipl.com www.kaplan-financial.com		•	•	•			1,600	3.75	10
Stephen Kelliher		Morgan Stanley WM Norwell	(877) 535-4437 / stephen.kelliher@morganstanley.com www.kellihercorbettgroup.com	•	•	•	•	•	•	2,700	5.5	10
Victor Livingstone		Morgan Stanley PWM Boston	(617) 478-6500 / victor.livingstone@morganstanleypwm.com www.morganstanley.com		•	•	•	•	•	3,604	25	100
Richard Miller	Ameriprise Financial Wellesley	(617) 607-3366 / richard.r.miller@ampf.com www.richardmiller.com	•	•	•				703	0.59	1.3	

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				Retail (Up to \$1 mil)	High Net Worth (\$1-10 mil)	Ultra-High Net Worth (\$10 mil+)						
MASSACHUSETTS	Peter Noonan	J.P. Morgan Securities Boston	(617) 654-2318 / peter.noonan@jpmorgan.com www.jpmorgansecurities.com/peternoonan	•	•	•				\$1,432	\$16	\$100
	James Odorczuk	J.P. Morgan Securities Boston	(617) 654-2252 / james.odorczuk@jpmorgan.com		•	•			•	2,700	5	10
	Raju Pathak	Morgan Stanley WM Boston	(617) 589-3373 / raju.pathak@morganstanley.com www.morganstanleyfa.com/pathakgroup	•	•	•			•	1,317	8	15
	Peter Princi	Graystone Consulting Boston	(617) 589-3229 / peter.b.princi@msggraystone.com www.morganstanleyfa.com/theprincigroup		•	•	•	•	•	3,570	7.5	15
	Raj Sharma	Merrill Lynch PBIG Boston	(800) 926-5579 / www.pwa.ml.com/sharma_group			•	•	•	•	7,169	10	15
	Brian Strachan	Morgan Stanley PWM Boston	(617) 570-9240 / brian.s.strachan@morganstanleypwm.com www.morganstanleypwa.com/theastrachangroups		•	•	•			2,495	10	20
MICHIGAN	Douglas Iles	Merrill Lynch PBIG Saginaw	(866) 260-6235 / douglas.iles@ml.com pwa.ml.com/the_iles_group		•	•	•	•		674	5	20
	David Kudla	Mainstay Capital Mgmt Grand Blanc	(866) 444-6246 / mainstay@mainstaycapital.com www.mainstaycapital.com	•	•	•				1,960	0.5	4
	John Kulhavi	Merrill Lynch WM Farmington Hills	(888) 273-1093 / john_kulhavi@ml.com www.fa.ml.com/kulhavi		•	•	•		•	1,191	5	20
	Leon LaBrecque	LJPR Troy	(248) 641-7400 / leon.labrecque@ljpr.com ljpr.com	•	•		•		•	683	0.8	1.5
	Sherri Stephens	Stephens WM Group Flint	(810) 732-7411 / sheryl.stephens@stephenswmg.com www.stephenswmg.com	•	•	•				447	1.2	3
MINNESOTA	Aaron M. Howe	RBC WM Wayzata	(952) 476-0555 / bigwatergroup@rbc.com www.bigwatergroup.com	•	•	•				479	2.5	8
	Ross Levin	Accredited Investors Edina	(952) 841-2222 / ross@accredited.com accredited.com		•	•				1,431	3.6	5
	Bennett Marks	Marks Group WM Minnetonka	(952) 582-6100 / ben.marks@marksgroup.com www.marksgroup.com	•	•	•	•		•	655	2	5
	Mark Rethlake	UBS Financial Services Minneapolis	(612) 303-5851 / mark.w.rethlake@ubs.com financialservicesinc.ubs.com/fa/markwrethlake/	•	•	•				471	2	10
MISSOURI	Michael Dowell	Morgan Stanley WM Jackson	(800) 321-6426 / dowellgroup@morganstanley.com www.morganstanleyfa.com/dowellgroupsb/	•	•	•	•		•	1,198	2	5
	Robert L. Millner	Morgan Stanley WM St. Louis	(314) 889-9868 / robert.millner@morganstanley.com www.morganstanleyfa.com/themillnergrou	•	•	•				680	2	5
NEVADA	Michael Moeller	Wells Fargo Advisors Chesterfield	(800) 866-2801 / mike.moeller@wfadvisors.com www.themoellergroup.net	•	•	•	•	•	•	1,125	2	3
	Deborah Danielson	Danielson Financial Group Las Vegas	(702) 734-7000 / deborah@danielsonfinancialgroup.com www.danielsonfinancialgroup.com	•	•	•				510	2	5
	Randy A. Garcia	The Investment Counsel Co Las Vegas	(702) 871-8510 / randy@iccnv.com www.iccnv.com		•	•	•	•	•	907	5	11
NEW HAMPSHIRE	Robert Bonfiglio	Ameriprise Financial Bedford	(603) 606-4255 / robert.a.bonfiglio@ampf.com www.bobbonfiglio.com	•	•					343	0.75	2.5
	John Habig	Morgan Stanley WM Portsmouth	(603) 422-8901 / john.f.habig@morganstanley.com www.morganstanleyfa.com/habig	•	•	•	•			364	1	2.5
	P. Kevin O'Brien	Wells Fargo Advisors Portsmouth	(603) 334-4032 / kevin.obrien@wellsfargoadvisors.com www.obrienwmg.com	•	•	•				281	0.5	0.5
NEW JERSEY	Jim Barry	Merrill Lynch WM Princeton	(609) 243-7976 / jim_barry@ml.com fa.ml.com/barry_team	•	•	•			•	3,342	2	7
	Bruce G. Barth	Merrill Lynch WM Newark	800-245-9819 / bruce_g_barth@ml.com www.fa.ml.com/barthgroup	•	•	•	•	•	•	784	1.5	4
	Jack Burke	Merrill Lynch Wealth Mgmt Florham Park	(973) 410-2309 / john_j_burke@ml.com www.fa.ml.com/the_harbor_group	•	•	•				2,176	6	15
	Christopher Cook	Merrill Lynch Wealth Mgmt Florham Park	(973) 301-7790 / c_cook@ml.com www.fa.ml.com/gcca	•	•	•				1,835	5	10

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				(Up to \$1 mil)	(\$1-10 mil)	(\$10 mil+)						
NEW JERSEY	Mark Cortazzo	MACRO Consulting Parsippany	(973) 451-9400 / info@macroconsultinggroup.com www.macroconsultinggroup.com	•	•	•				\$985	\$2.5	\$6.5
	Mary Deatherage	Morgan Stanley PWM Little Falls	(973) 890-3015 / mary.m.deatherage@morganstanleypwm.com www.morganstanleyfa.com/mary_deatherage	•	•	•				2,350	10	15
	Mark Fendrick	UBS Financial Services Mt. Laurel	(856) 985-2070 / mark.fendrick@ubs.com www.ubs.com/team/fendrickgroup	•	•	•				622	3	5
	Bruce Gsell	Merrill Lynch WM Edison	(800) 677-9405 / bruce_gsell@ml.com fa.ml.com/gsellgroup	•	•	•			•	6,676	2	5
	John Hudspeth	Merrill Lynch WM Mount Laurel	(800) 521-9399 / john_r_hudspeth@ml.com fa.ml.com/brownehudspeth	•	•	•				702	2	4
	Elliott Kugel	Merrill Lynch WM Bridgewater	(908) 685-3252 / elliot_m_kugel@ml.com www.fa.ml.com/kugelbriegs	•	•	•				1,095	1.7	3
	Joseph Lauricella	Merrill Lynch WM Montvale	(800) 694-5340 / joseph_lauricella@ml.com www.fa.ml.com/joseph_lauricella	•	•	•	•	•		833	10	30
	Mark Lichtenfeld	UBS PWM Florham Park	(973) 360-4369 / thesomersethillsgroup@ubs.com www.ubs.com/team/thesomersethillsgroup	•	•	•			•	1,710	5	10.5
	Gregory Makowski	CFS Investment Adv Svcs Totowa	(973) 826-8800 / greg@cfsias.com www.cfsias.com	•	•	•			•	810	2	5
	James Maltese	Merrill Lynch WM Edison	(800) 288-3249 / james_p_maltese@ml.com www.fa.ml.com/maltesegroup	•	•	•				1,462	3	5
	Neil McPeak	Wells Fargo Advisors Linwood	(800) 527-4960 / neil.mcpeak@wfadvisors.com www.mcpeakgroup.wfadv.com	•	•	•			•	886	1	5
	John J. Murphy	Murphy Capital Mgmt Gladstone	(908) 719-6430 / info@murphycapital.com www.murphycapital.com	•	•	•	•	•	•	769	1.3	3
	Harris Nydick	CFS Investment Adv Svcs Totowa	(973) 826-8800 / harris@cfsias.com www.cfsias.com	•	•	•			•	810	2	5
	Nicholas A. Pascarella	Cetera Advisors Parsippany	(973) 428-3400 / vickip@npcofinancial.com www.npcofinancial.com	•	•	•				873	1	3.4
	Todd Sherman	Morgan Stanley WM Mt. Laurel	(888) 651-0085 / todd.sherman@morganstanley.com www.morganstanleyfa.com/shermansobin	•	•	•				500	3	3
Mark Tobias	Morgan Stanley WM New Brunswick	(732) 435-2225 / mark.s.tobias@morganstanley.com www.morganstanleyfa.com/mark_tobias	•	•	•				850	3	8	
N.M.	John Moore	Raymond James Albuquerque	(505) 881-5100 / info@johnmoore.com www.johnmoore.com	•	•	•				447	1.8	3.5
NEW YORK	Lewis Altfest	Altfest Personal WM New York	(212) 406-0850 / inquiry@altfest.com www.altfest.com	•	•	•				1,210	2	4
	Jay Canell	Morgan Stanley WM New York	(212) 551-7232 / jay.canell@morganstanley.com www.morganstanleyfa.com/canell/	•	•	•			•	3,400	15	25
	Neil Canell	Morgan Stanley WM New York	(212) 551-7242 / neil.canell@morganstanley.com www.morganstanleyfa.com/canell/	•	•	•			•	3,400	15	25
	Roger Coleman	Morgan Stanley WM Garden City	(516) 227-5600 / roger.v.coleman@ms.com www.morganstanleyfa.com/colemangroup	•	•	•			•	27,638	25	50
	Steve Condos	Morgan Stanley PWM New York	(212) 492-6335 / steve.condos@morganstanleypwm.com www.morganstanleypwa.com/apollogroup	•	•	•	•			3,160	10	25
	Nancy Cooley	Morgan Stanley PWM New York	(212) 315-6228 / nancy.cooley@morganstanleypwm.com www.morganstanleypwa.com/thecmfgroup	•	•	•			•	1,386	25	30
	Lee DeLorenzo	United Asset Strategies Garden City	(516) 222-0021 / leed@unitedasset.com www.unitedasset.com	•	•	•			•	743	1	5
	Mark Donohue	Morgan Stanley WM New York	(888) 270-0542 / mark.donohue1@ms.com www.morganstanleyfa.com/donohue/	•	•	•	•		•	1,856	20	40
	Marc R. Fischer	Graystone Consulting Rochester	(585) 389-2271 / marc.r.fischer@msgraystone.com www.morganstanleyic.com/ftc	•	•	•	•	•	•	4,300	35	35
	Gregg Fisher	Gerstein Fisher New York	(212) 968-0707 / info@gersteinfisher.com www.gersteinfisher.com	•	•	•	•	•	•	3,251	1.55	3.9

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Martin Halbfinger	UBS Financial Services New York	(212) 821-2010 / martin.halbfinger@ubs.com www.ubs.com/team/halbfingergroup		•	•	•		•	\$3,637	\$20	\$35
Harvey Kadden	Morgan Stanley PWM New York	(212) 883-7777 / harvey.kadden@morganstanleypwm.com www.morganstanleyfa.com/kpkgroup	•	•	•			•	2,175	5	25
Jonathan Kass	Merrill Lynch WM New York	(800) 800-2280 / jonathan_e_kass@ml.com www.fa.ml.com/kf_group		•	•				4,414	8	25
Jason Katz	UBS Financial Services New York	(212) 713-9201 / jason.m.katz@ubs.com www.ubs.com/team/katz	•	•	•			•	1,550	7	17
Susan Kingsolver	Morgan Stanley PWM New York	(212) 893-6366 / susan.l.kingsolver@morganstanleypwm.com www.morganstanleypwa.com/susan.kingsolver		•	•				1,210	20	75
Gerard Klingman	Klingman & Associates, LLC New York	(212) 867-7647 / gklingman@klingmanria.com www.klingmanria.com		•	•				1,499	10	15
Robin Krasny	Morgan Stanley WM New York	(888) 243-5316 / robin.krasny@ms.com www.morganstanleyfa.com/klwealthmanagementgroup/		•	•	•	•		1,210	7	15
Jonathan Kuttin	Ameriprise Financial Melville	(631) 770-0335 / jonathan.s.kuttin@ampf.com kuttin-metiswealthmanagement.com	•	•	•				1,995	0.95	3.9
Christopher Mahoney	Merrill Lynch WM West Nyack	(845) 348-6903 / christopher_j_mahoney@ml.com fa.ml.com/mahoney_group	•	•	•			•	2,736	3	5
Terence Markey	Morgan Stanley PWM New York	(212) 296-6088 / terry.markey@morganstanleypwm.com www.morganstanleypwa.com/fmmsgroup		•	•		•	•	6,400	30	60
W. Corby May	Merrill Lynch WM Garden City	(516) 877-8290 / wcorby_may@ml.com www.fa.ml.com/mayparksmallfettone	•	•	•			•	1,326	7	17
John Mirsepahi	Morgan Stanley WM New York	(212) 382-8456 / john.mirsepahi@morganstanley.com www.morganstanleyfa.com/thejmggroup	•	•	•	•	•	•	2,900	10	20
Deborah Montaperto	Morgan Stanley PWM New York	(212) 893-6465 / deborah.d.montaperto@ms.com morganstanleypwa.com/polwealthmanagementgroup/		•	•	•	•	•	9,242	25	50
Daniel O'Connell	Merrill Lynch WM Garden City	(516) 877-8316 / daniel_o_connell@ml.com fa.ml.com/daniel_o_connell		•	•	•	•		2,436	9	15
Craig Pastolove	Morgan Stanley WM New York	(212) 296-1026 / craig.pastolove@morganstanley.com www.morganstanleyfa.com/excelsiorwealthmanagement/		•	•			•	1,411	4.5	10
Lyon Polk	Morgan Stanley PWM New York	(212) 893-6400 / lyon.polk@morganstanleypwm.com www.morganstanleypwa.com/polwealthmanagementgroup		•	•	•	•		9,242	25	50
Michael Poppo	UBS Financial Services New York	(212) 626-8721 / michael.poppo@ubs.com		•	•	•	•		1,210	8	12
Shawn Rubin	Morgan Stanley WM New York	(212) 893-6322 / shawn.a.rubin@ms.com www.morganstanleyfa.com/theabacusgroup		•	•				1,500	5	10
Stephen Ruvituso	Merrill Lynch PBIG White Plains	(914) 241-6409 / stephen.ruvituso@ml.com www.pwa.ml.com/rkms		•	•	•			1,343	12	25
Frank J. Sabia	UBS Financial Services White Plains	(914) 287-6010 / frank.sabia@ubs.com www.ubs.com/fa/franksabia	•	•	•			•	2,429	5	15
Richard Saperstein	Treasury Partners/HighTower New York	(917) 286-2777 / rsaperstein@treasurypartners.com www.treasurypartners.com		•	•		•		7,111	15	40
David Schwartz	FCE Group Great Neck	(516) 487-8220 / dhs@fcequities.com www.fcequities.com	•	•	•	•		•	1,125	3	3
Theodore Serure	J.P. Morgan Securities New York	(212) 272-6448 / ted.serure@jpmorgan.com	•	•	•			•	1,500	10	25
Michael Siden	Morgan Stanley WM New York	(212) 296-1044 / michael.siden@morganstanley.com www.morganstanleyfa.com/sidengroup	•	•	•	•		•	1,165	17	45
Evan Steinberg	Morgan Stanley PWM New York	(212) 893-7501 / evan.steinberg@ms.com		•	•	•			1,500	7	10
Thomas E. Sullivan	Merrill Lynch Wealth Mgmt Garden City	(516) 877-8342 / thomas_sullivan@ml.com fa.ml.com/the_sullivan_group	•	•	•				1,873	4.5	8
Lori Van Dusen	LVW Advisors Pittsford	(585) 267-4900 / lvandusen@lvwadvisors.com www.lvwadvisors.com		•	•	•	•	•	4,158	50	75

NEW YORK

Directory of *Barron's*-Ranked Financial Advisors



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Ron Vinder	UBS Financial Services New York	(212) 333-8852 / ron.vinder@ubs.com financialservicesinc.ubs.com/fa/ronvinder/			•				\$4,272	\$30	\$125
Todd Yannuzzi	Morgan Stanley WM New York	(212) 296-1051 / todd.yannuzzi@ms.com www.morganstanleyfa.com/fifthavenuegroup	•	•	•	•	•		2,326	10	20
Christopher Davis	Wells Fargo Advisors Davidson	(704) 655-7696 / chris.davis@wfadvisors.com www.davidsonwealthmgt.com	•	•					1,489	0.75	2.75
Sam Rankin	UBS Financial Services Charlotte	(704) 362-8282 / mason.rankin@ubs.com ubs.com/team/rankin	•	•	•	•	•	•	923	1	5
Gary Rooth	Janney Montgomery Scott Raleigh	(919) 791-3832 / grooth@janney.com www.roothinvestmentpartners.com		•	•	•	•	•	355	3	8
Stephen Thomas	Linden Thomas and Co Charlotte	(704) 554-8150 / info@lindenthomas.com www.lindenthomas.com	•	•	•	•	•	•	1,158	3	5
Mark Walker	Ameriprise Financial Charlotte	(704) 540-9996 / mark.walker@ampf.com www.markwalkeradvisor.com	•	•				•	760	1	1.5
Rick Buoncore	MAI Capital Mgmt Cleveland	(216) 920-4900 / rbuoncore@mai.capital www.mai.capital	•	•	•				4,017	9	25
Randy Carver	Raymond James Mentor	(440) 974-0808 / randy.carver@raymondjames.com www.carverfinancialservices.com	•	•	•			•	987	0.8	1.5
Joseph A. Chornyak, Sr.	Chornyak & Associates Columbus	(614) 888-2121 / chornyak@chornyak.com www.chornyak.com	•	•	•			•	840	2	3.5
Timothy Cornell	UBS Financial Services Dayton	(937) 226-6561 / tim.cornell@ubs.com ubs.com/team/cnteam/	•	•	•	•			796	2	5
Charles Dankworth	UBS Financial Services New Albany	(614) 939-2202 / charles.dankworth@ubs.com www.ubs.com/team/thedankworthgroup		•	•				970	10	30
Brian Edwards	Morgan Stanley WM Columbus	(614) 473-2401 / brian.j.edwards@morganstanley.com www.morganstanleyfa.com/theedwardsgrpsb	•	•					712	2	5
Joseph Evelo	Merrill Lynch PBIG Cincinnati	(513) 579-3888 / joseph_evelo@ml.com pwa.ml.com/evelosingersullivan		•	•				2,418	15	25
Craig Findley	UBS Financial Services Sylvania	(419) 318-5500 / craig.findley@ubs.com www.ubs.com/findleywisewealthmanagement	•	•	•			•	1,548	5	10
Thomas Haught	Sequoia Financial Advisors Akron	(330) 375-9480 / thaught@sequoia-financial.com www.sequoia-financial.com	•	•	•				1,544	1.6	3.75
Tad Lawrence	UBS Financial Services Cincinnati	(513) 792-2139 / john.lawrenceiii@ubs.com ubs.com/team/lawrenceburchenal	•	•	•		•	•	5,362	12	20
Kevin Myeroff	NCA Financial Planners Cleveland	(440) 473-1115 / kmyeroff@ncafinancial.com ncafinancial.com	•	•	•		•	•	1,238	1.5	2.5
Valerie Newell	RiverPoint Capital Mgmt Cincinnati	(513) 421-3100 / newell@riverpointcm.com www.riverpointcm.com	•	•	•				2,137	4	8
Daniel Roe	Budros Ruhlin & Roe Columbus	(614) 481-6900 / daniel.roe@b-r-r.com www.b-r-r.com	•	•	•	•		•	2,025	4	5
David Singer	Merrill Lynch PBIG Cincinnati	(513) 579-3888 / david_singer@ml.com pwa.ml.com/evelosingersullivan		•	•				2,418	10	20
Steven Stocker	Investment Partners, LTD New Philadelphia	(330) 308-9707 / sstocker@invp.com www.invp.com	•	•	•	•	•		843	0.48	2.5
Dean D. Trindle	Morgan Stanley WM Cincinnati	(513) 852-4469 / twm.pm@ms.com www.morganstanleyfa.com/trindlewealthmanagement	•	•	•				602	2	5
Kenneth Wise	UBS Financial Services Sylvania	(419) 318-5500 / kenneth.wise@ubs.com www.ubs.com/findleywisewealthmanagement	•	•	•			•	1,548	5	10
Charlene Carter	Raymond James Eugene	(541) 683-2900 / charlene@carterandcarter.com www.carterandcarter.com	•	•	•				310	1.5	2
Chad Martindale	Ameriprise Financial Portland	(503) 439-1880 / chad.r.martindale@ampf.com www.ameripriseadvisors.com/chad.r.martindale/	•	•	•				313	0.66	1.4
Judith McGee	McGee WM Portland	(503) 597-2222 / info@mcgeewm.com www.mcgeewm.com	•	•				•	428	1.5	5

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				Retail (Up to \$1 mil)	High Net Worth (\$1-10 mil)	Ultra-High Net Worth (\$10 mil+)						
PENNSYLVANIA	Robert Boland	RBC WM Philadelphia	(215) 557-1702 / robert.boland@rbc.com www.bolandinvestmentgroup.com	•	•	•			•	\$978	\$6.9	\$20
	Patricia C. Brennan	Key Financial West Chester	(610) 429-9050 / pbrennan@keyfinancialinc.com keyfinancialinc.com	•	•					584	1.8	3
	William H. Brown, Jr.	Merrill Lynch WM Sewickley	(412) 749-7912 / william_brownjr@ml.com www.fa.ml.com/thebrownhurrayplantzgroup	•	•	•				880	2	5
	Anthony DiValerio, Jr.	Morgan Stanley PWM West Conshohocken	(610) 260-7049 / anthony.divalerio@morganstanleypwm.com www.morganstanleyfa.com/frontstreetgroup		•	•	•			1,200	30	75
	Joshua Gross	Mill Creek Capital Advisors Conshohocken	(610) 941-7714 / jgross@millcreekcap.com www.millcreekcap.com		•	•	•	•	•	3,575	14	25
	Gregory Hayes	Merrill Lynch WM Yardley	(267) 757-1409 / greg_hayes@ml.com fa.ml.com/gregory_hayes	•	•	•	•		•	695	1	2.5
	Barbara Hudock	Hudock Moyer Wealth Res Williamsport	(866) 855-0569 / bhudock@hudockcapital.com www.hudockcapital.com	•	•	•	•	•		547	3	5
	James Meredith	Hefren-Tillotson Pittsburgh	(412) 633-1574 / meredithgroup@hefren.com www.hefren.com	•	•	•			•	1,453	1.8	4.3
	J. Douglas Moran	Merrill Lynch WM Wayne	(610) 687-7925 / jdouglas_moran@ml.com fa.ml.com/jdm	•	•	•				342	3.5	4.5
	Robert P. Moran, Jr.	Merrill Lynch WM Exton	(610) 594-1131 / robert_moranjr@ml.com fa.ml.com/robert_moranjr	•	•	•			•	394	1.5	3
	John Parker	Wells Fargo Advisors Philadelphia	(215) 564-8487 / john.j.parker@wfadvisors.com home.wellsfargoadvisors.com/john.j.parker	•	•	•				1,530	3	5
	Eric Salmansohn	Morgan Stanley WM Philadelphia	(215) 854-6272 / eric.salmansohn@morganstanley.com www.morganstanleyfa.com/libertywealthmanagementgroup		•	•	•			1,431	3	10
	Thomas Seiler	Raymond James Newtown	(855) 473-4537 / thomas.seiler@raymondjames.com raymondjames.com/theseilergroup	•	•	•	•		•	625	5	6
	John Solis-Cohen	Wells Fargo Advisors Jenkintown	(215) 572-4276 / john.solis-cohen@wfadvisors.com	•	•	•				896	3	5
D. Scott Wisman	Merrill Lynch WM Exton	(610) 594-1186 / scott_wisman@ml.com fa.ml.com/wisman_willisgroup	•	•	•				802	2.5	4	
R.I.	Malcolm Makin	Raymond James Westerly	(401) 596-2800 / mmakin@ppgadvisors.com ppgadvisors.com	•	•	•		•		1,055	1.5	3
	David Reiser	Morgan Stanley WM Newport	(855) 899-0509 / david.r.reiser@morganstanley.com www.morganstanleyfa.com/reiser/index.htm	•	•	•				452	2	3
	Matthew A. Young	Richard C. Young & Co. Newport	(888) 456-5444 / info@younginvestments.com www.younginvestments.com	•	•	•				973	1.76	5
S.C.	Derrick Sullivan	Morgan Stanley WM Charleston	(843) 727-7771 / derrick.a.sullivan@morganstanley.com www.morganstanleyfa.com/thesullivancrawfordgroup	•	•	•	•	•		936	8	20
S.D.	Gordon Wollman	Raymond James Sioux Falls	(605) 352-9490 / cfs@gordonwollman.com www.gordonwollman.com	•	•	•				255	0.8	1.7
TENNESSEE	Christi Edwards	Morgan Stanley WM Nashville	(615) 298-6554 / christi.edwards@morganstanley.com www.morganstanleyfa.com/christi.edwards	•	•	•				658	3	5
	Eric Klindt	Merrill Lynch PBIG Nashville	(615) 747-5625 / eklindt@ml.com pwa.ml.com/klindtcole		•	•	•	•		890	15	30
	Dugan McLaughlin	Merrill Lynch PBIG Knoxville	(865) 521-8805 / dugan_mclaughlin@ml.com www.pbig.ml.com		•	•	•	•	•	674	17	25
	Mitzi Michaelson	Merrill Lynch WM Brentwood	(615) 750-1057 / mitzi_c_michaelson@ml.com www.fa.ml.com/wallace_michaelson	•	•	•				360	1.66	3
TEXAS	Bonner C. Barnes	Corda Investment Mgmt Houston	(713) 439-0665 / bonner@cordamanagement.com www.cordamanagement.com	•	•					675	0.5	1.5
	James Coffee	Merrill Lynch WM Houston	(713) 658-1386 / james_coffeejr@ml.com www.fa.ml.com/james_coffeejr	•	•					3,939	5	7
	Don Donnally	J.P. Morgan Securities Dallas	(214) 979-7770 / chester.donnally@jpmorgan.com www.jpmorgansecurities.com/donnallygroup	•	•	•	•			3,600	12	35

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TEXAS	Ira Kravitz	UBS Financial Services Plano	(469) 440-0547 / ira.kravitz@ubs.com financialservicesinc.ubs.com/team/kravitzgroup/	•	•	•				\$577	\$4.5	\$6
	John Merrill	Tanglewood WM Houston	(713) 840-8880 / www.tanglewoodwealth.com	•	•	•				833	2.5	5
	Marie A. Moore	Morgan Stanley WM Dallas	(214) 696-7175 / marie.a.moore@ms.com www.morganstanleyfa.com/themooregroup/	•	•	•				360	5	15
	Darrell Pennington	Ameriprise Financial Houston	(713) 332-4422 / darrell.r.pennington@ampf.com www.penningtonwealth.com	•	•	•				742	1.5	2.5
	Richard Piatas	Merrill Lynch WM Dallas	(972) 386-1349 / richard.piatas@ml.com www.fa.ml.com/richard.piatas	•	•	•				2,555	10	25
	R. Scot Smith	Morgan Stanley WM Dallas	(214) 696-7064 / scot.smith@morganstanley.com	•	•	•	•			475	2	3
	Murray Snow	Morgan Stanley WM Houston	(713) 966-2177 / murray.snow@ms.com morganstanleyfa.com/thesnowgroup	•	•	•	•			700	2.5	5
	Scott Tiras	Ameriprise Financial Houston	(713) 332-4400 / scott.b.tiras@ampf.com tiraswealth.com	•	•	•				1,902	2	3.5
UT.	Hal Anderson	Soltis Investment Adv St. George	(800) 735-1601 / hal@soltisadvisors.com www.soltisadvisors.com	•	•	•			•	1,690	8	15
VT.	Jennifer Kelley	Wells Fargo Advisors Burlington	(802) 864-2667 / jen.kelley@wfadvisors.com www.kelleybrooks.wfadv.com	•	•	•				277	1.25	2.5
	Tim Stotz	Morgan Stanley WM Burlington	(802) 863-7709 / timothy.stotz@morganstanley.com	•	•	•	•	•	•	703	2.5	4
VIRGINIA	Garret Alcaraz	Wells Fargo Advisors Virginia Beach	(757) 431-6375 / gary.alcaraz@wfadvisors.com www.alcarazfisherjustiswmg.wfadv.com	•	•	•	•	•	•	1,454	1.5	3.5
	Tristan Caudron	Wells Fargo Advisors Alexandria	(703) 739-4548 / tristan.caudron@wfadvisors.com www.speckcaudron.com	•	•	•	•	•	•	1,525	1.5	2.5
	Barry Glassman	Glassman Wealth Services McLean	(703) 534-4444 / lisa@glassmanwealth.com www.glassmanwealth.com	•	•	•				793	7	10
	Michael Joyce	JoycePayne Partners Richmond	(804) 358-2702 / mjoyce@joycepaynepartners.com www.joycepaynepartners.com	•	•	•				640	2.5	3
	Soo Kim	Ameriprise Financial Vienna	(703) 226-2300 / soo.m.kim@ampf.com www.ameripriseadvisors.com/soo.m.kim/	•	•	•				1,025	0.75	1.5
	Jeff LeClair	Wells Fargo Advisors McLean	(703) 760-5717 / jeff.leclair@wellsfargo.com www.leclairlemlihapproach.com	•	•	•	•	•	•	1,234	5	20
	Joseph Montgomery	Wells Fargo Advisors Williamsburg	(888) 465-8422 / joe.montgomery@wellsfargoadvisors.com www.osg.wfadv.com	•	•	•	•	•	•	15,205	7	15
	Paul Pagnato	HighTower Reston	(703) 468-2700 / pk@hightoweradvisors.com www.hightoweradvisors.com/pagnatokarp	•	•	•	•			2,354	25	40
	Gregory S. Smith	Robert W. Baird Reston	(571) 203-1600 / gssmith@rwbaird.com www.thewiseinvestorgroup.com	•	•	•				2,230	1.02	3
	Ryan Sprowls	Wells Fargo Advisors Alexandria	(703) 739-1439 / ryan.sprohls@wellsfargoadvisors.com sdkwealth.com	•	•	•	•	•	•	1,000	1.25	2.5
	Greg Sullivan	SBSB McLean	(703) 734-9322 / greg.sullivan@sbsbinc.com www.sbsbinc.com	•	•	•	•		•	2,854	5	10
	Eric Wightman	Robert W. Baird Reston	(571) 203-1600 / ewightman@rwbaird.com www.thewiseinvestorgroup.com	•	•	•				2,230	1	3
	WASHINGTON	Michael Ernst	Morgan Stanley WM Bellevue	(425) 453-5833 / michael.j.ernst@morganstanley.com www.morganstanleyfa.com/northwestcapitalgroup	•	•	•	•		•	890	0.5
David Hay		Evergreen Gavekal Bellevue	(425) 467-4600 / dhay@evergreengavekal.com evergreengavekal.com	•	•	•		•		1,368	1.2	5
Lawrence Hood		Pacific Portfolio Seattle	(206) 623-6641 / larry@pacific-portfolio.com www.pacific-portfolio.com	•	•	•	•		•	2,884	11	18
Randall Linde		AGP Wealth Advisors Renton	(800) 563-1636 / randall.s.linde@ampf.com www.agpwealthadvisors.com	•	•	•				1,688	0.75	1.5

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WASHINGTON	Michael Matthews	UBS Financial Services Bellevue	(425) 451-2350 / m.matthews@ubs.com www.ubs.com/fa/mmatthews	•	•	•				602	3.5	6
	Erik Morgan	Freestone Capital Mgmt Seattle	(206) 707-7310 / erik@freestonecapital.com www.freestonecapital.com	•	•	•				\$3,105	\$5	\$11
	Erin Scannell	Ameriprise Financial Mercer Island	(425) 709-2345 / erin.j.scannell@ampf.com www.heritage-wealth.com	•	•	•			•	1,520	1.5	2
	Kathleen Weber	Morgan Stanley WM Bellevue	(425) 451-3320 / kathleen.l.weber@morganstanley.com www.morganstanleyfa.com/weber	•	•	•				547	5	7
	Jason Weese	Morgan Stanley WM Bellevue	(425) 453-6916 / jason.weese@ms.com www.morganstanleyfa.com/jason.weese/		•	•	•			875	7.5	20
W. VA.	David Miller	RBC WM Huntington	(304) 697-2030 / david.j.miller@rbc.com themillerinvestmentgroup.com	•	•	•				261	0.25	0.75
	Fred Scheeren	Wells Fargo Advisors Wheeling	(304) 232-2550 / fred.scheeren@wfadvisors.com www.wfadvisors.com/fred.scheeren	•	•	•			•	558	1.1	5
WI.	Andrew Burish	UBS Financial Services Madison	(608) 831-4282 / andrew.burish@ubs.com www.ubs.com/team/burishgroup	•	•	•	•	•	•	3,428	2	3
	David Spano	Annex WM Elm Grove	(262) 786-6363 / dspano@annexwealth.com www.annexwealth.com	•	•					507	0.4	0.8

This directory features advisors whom Barron's has ranked in one of its four annual financial advisor rankings. Ranked advisors who wish to appear in this section should contact Rob Walkley at 609-520-7184 or robert.walkley@dowjones.com. Advisors who wish to apply for a ranking should contact Matt Barthel at 212-416-2709 or matthew.barthel@dowjones.com.