



STOCKBROKING TRUST ACCOUNT (inc SMSF) application form

Please only use this form when you wish to open a trading account:

- as a Trust Account (including SMSF)
- where the trustees are individual(s) or a company
- as Trustee(s) for a minor

In order to process your application we will need:

- your completed application form
- identification for each account holder (as specified over the page)
- a certified copy of an excerpt of the Trust Deed which clearly states the name of the Trust, the name of the Trustees and the signatures of the Trustees. (Not applicable for Minor Accounts)
- your completed guarantee agreement

IMPORTANT

If a Company is acting as Trustee, Section A and Section B must be completed with the personal information of the Directors of the Company. If there are multiple Directors, a minimum of two Directors must provide their personal information and identification, and complete Section K. The details of any additional Directors must be provided in Section D (Proprietary companies only). All details must be as per ASIC records.

If an Individual is acting as Trustee, all Trustees must provide their personal information in Section A and Section B and complete Section K. If there are more than two Trustees, please provide personal information on a separate sheet.

If you require assistance when completing this form, please contact InvestSMART Financial Services on 1300 880 160.

Please mail your completed account form to the address below.

Introducing Adviser Use Only

Brokerage Schedule:

Adviser Code:

Please note that an electronic statement issued by any institution (including ADIs) may be accepted at CMC Markets' discretion

- gas, electricity, water, home phone, internet (dial-up, broadband), mobile phone bill, Foxtel bill
- a council rates notice
- a notice or assessment issued by the RTA or a government body
- a lease agreement, contract for sale of a property or rental bond lodgement document
- home and/or contents insurance policy statement

Example: Australian Passport and Water Bill



Certifying your documents

In order for your certification to be accepted, it must be signed and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall. We cannot accept a fax of a certified copy. For copies of your documents to be considered certified, they must be signed by any one of the following:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
2. a judge of a court
3. a magistrate
4. a registrar or deputy registrar of a court
5. a Justice of the Peace
6. a police officer
7. a permanent employee of the Australian Postal Corporation with two or more years of continuous service
8. a finance company officer with two or more continuous years of service with one or more finance companies
9. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.

For a full list of acceptable certifiers refer to: <http://www.comlaw.gov.au/Details/F2007L01000> (Section 1.2.1)

If you need help to meet these ID requirements, call InvestSMART Financial Services on **1300 880 160**.

Your application must be accompanied by a certified (true) copy of **EITHER** one document from Section A, **OR** one document from Section B and one from Section C, **for each applicant**.

The original certified copy of your ID must be returned by post. The signature of the certifier must be the original signature. We cannot accept faxes or scanned copies.

Section A (PROVIDE ONE OF THE FOLLOWING)

- driver's licence (front and back) – MUST be current
- proof of age card issued by the RTA – MUST be current

Example: Driver's Licence (front and back)



OR

Section B (PROVIDE ONE OF THE FOLLOWING)

- Australian passport – current or expired within the past TWO years
- overseas passport - MUST be current
- birth certificate or birth extract issued by a State or Territory
- citizenship certificate issued by the Commonwealth
- pension card issued by Centrelink (front and back)

and

Section C (PROVIDE ONE OF THE FOLLOWING)

A recent utility bill, financial statement or council rate notice – issued within the last three months – showing your name and residential address. This can include one of the following:

- a financial statement (savings, credit, mortgage) issued by an Approved Deposit-taking Institution (ADI) in Australia. To obtain a full list of ADIs in Australia, visit www.apra.gov.au/adi/ADIList.cfm.

Section A – Trustee 1 / Company Director 1

NB ALL FIELDS ARE MANDATORY.

Mr / Mrs / Miss / Ms / Dr / Other: _____

Surname _____ Given Name(s) _____

Residential Address _____

(PO Box not allowed)

Suburb/Town _____ State _____ Postcode _____

Postal Address _____

Same as above

Suburb/Town _____ State _____ Postcode _____

Contact Numbers Work _____ Home _____

Mobile _____ Fax _____

Email _____

To comply with the ASIC Market Integrity Rules the first email address must be that of the account holder.

NB If this account has multiple Trustees/Directors, trade confirmations will be sent to the postal address for Trustee/Director 1.

Date of Birth ____ / ____ / ____

Tax File Number -- OR Exemption Code _____

If you do not provide your tax file number, any applicable taxation will be charged at the highest rate.

Occupation _____

Will you be acting on the trading account on behalf of the Company (i.e. an Authorised Agent)? Yes No

If this account has multiple Trustees/Directors proceed to SECTION B >
If the account has a single Trustee/Director proceed to SECTION C >

Section B – Trustee 2 / Company Director 2

NB ALL FIELDS ARE MANDATORY.

Mr / Mrs / Miss / Ms / Dr / Other: _____

Surname _____ Given Name(s) _____

Residential Address _____

(PO Box not allowed)

Suburb/Town _____ State _____ Postcode _____

Postal Address _____

Same as above

Suburb/Town _____ State _____ Postcode _____

Contact Numbers Work _____ Home _____

Mobile _____ Fax _____

Email _____

To comply with the ASIC Market Integrity Rules the first email address must be that of the account holder.

NB If this account has multiple Trustees/Directors, trade confirmations will be sent to the postal address for Trustee/Director 1.

Date of Birth ____ / ____ / ____

Tax File Number -- OR Exemption Code _____

If you do not provide your tax file number, any applicable taxation will be charged at the highest rate.

Occupation _____

Will you be acting on the trading account on behalf of the Company (i.e. an Authorised Agent)? Yes No

If there are more than two Individual Trustees, please provide their details on a separate sheet. All Trustees must sign in SECTION K.

Proceed to SECTION F >

If a Proprietary company is acting as Trustee, and there are more than two Directors, please provide their full names and addresses in SECTION D.

Proceed to SECTION C >

Section C – Company As Trustee

This section is applicable for Trust Accounts where a Company is acting as the Trustee, please complete all fields.
All Details provided must be as per ASIC records.

Full Company Name (as registered with ASIC) _____

Type of Company Proprietary Public (Sections D and E are not required)

Trading Name (if any) _____

Address of Registered Office _____

Address of Principal Place of Business _____

Postal Address (if different) _____

Suburb/Town _____ State _____ Postcode _____

Your ACN is required to perform an ASIC check on the company to verify the Company Name and the Directors.

ACN – –

Please provide the Company's Tax File Number.

Tax File Number – – OR Exemption Code _____

If you do not provide your tax file number, any applicable taxation will be charged at the highest rate.

Proceed to SECTION D >

Section D – Director Details (Proprietary companies only)

If the Company has more than two Directors, please provide the full name of each additional Director:

(If there are more than 4 Directors, please provide details on a separate sheet.)

Full Name _____

Residential Address _____

(PO Box not allowed)

Full Name _____

Residential Address _____

(PO Box not allowed)

Full Name _____

Residential Address _____

(PO Box not allowed)

Full Name _____

Residential Address _____

(PO Box not allowed)

Proceed to SECTION E >

Section E – Details of Ultimate Beneficial Owners (Proprietary companies only)

Please provide the full name and residential address of each ultimate beneficial owner.

'Ultimate Beneficial Owner' means any individual who ultimately owns or controls (either directly or indirectly) through one or more share-holdings, more than 25 per cent of the issued capital in the company.

Full Name _____

Residential Address _____

(PO Box not allowed)

Full Name _____

Residential Address _____

(PO Box not allowed)

Full Name _____

Residential Address _____

(PO Box not allowed)

Proceed to SECTION F >

Section I - Account Features

Online Trading

Our website is simple to use, and can assist investors in managing their portfolio efficiently. Our website provides live prices for equities, fixed interest and derivative products and also comprehensive research on the top 300 companies.

You have the ability to place your orders online without having to speak to a Dealer. Our website utilises '**Straight Through Processing**'. This means that your order is sent directly to the market. Even if you choose to trade online, you still retain the ability to trade over the phone with our experienced dealing team.

If you want to trade online, you will be required to be CHESS sponsored with CMC Markets Stockbroking and to open a Cash Account. You have to receive your confirmation notes by email. There is no charge for these services.

This trading account can be linked to your existing login code. This will enable you to keep a record of the trades and holdings which occur on your account.

If you have an **existing** login code to our website, please supply it here. If you do not have a login code, but wish to receive one, please complete the details below.

Each account holder must have their own login code to the website.

Trustee 1/Director 1

Existing login code (if applicable): _____

I do not have a login code, but wish to receive one.

Trustee 2/Director 2

Existing login code (if applicable): _____

I do not have a login code, but wish to receive one.

By supplying your login code, or requesting a login code above, you agree that you have received, read and agree to the CMC Markets Stockbroking Terms and Conditions, Part B Online Trading.

CHESS Sponsorship (required for online trading)

CHESS Sponsorship is a free service provided by the ASX to electronically register share holdings.

An explanation of the effect of CHESS Sponsorship is available on our website cmcmarkets.com.au/stockbroking

I/We wish to appoint CMC Markets Stockbroking as my/our CHESS Sponsor and agree to be bound by the CHESS Sponsorship Agreement contained in Part G of the CMC Markets Stockbroking Terms and Conditions.

I/We have CHESS sponsored Holdings with another broker, and would like to transfer them to this account with CMC Markets Stockbroking. I/We wish to appoint CMC Markets Stockbroking as my CHESS Sponsor and agree to be bound by the CHESS Sponsorship Agreement contained in Part G of the CMC Markets Stockbroking Terms and Conditions.

****Please attach a completed 'Transfer CHESS Holdings' form with this application.**

Electronic Confirmations (required for online trading)

By providing an email address you authorise CMC Markets Stockbroking to send you a confirmation of your trades electronically. If you authorise CMC Markets Stockbroking to send your confirmations via email, you will not be charged the postage and handling fee and you will not receive a paper copy. **As per ASIC Market Integrity Rules at least one of the emails provided below MUST belong to the applicant(s).**

Trustee 1 / Director 1 Email Address: _____

Trustee 2 / Director 2 Email Address: _____

Proceed to next page >

Cash Account (required for online trading)

We recommend opening a Cash Account for the settlement of your share transactions. This facility is provided by BankWest and managed by CMC Markets Stockbroking on your behalf. There are no account-keeping fees or government charges.

To open a Cash Account, please complete and attach a **Cash Account Application Form**.

Do you wish to have dividends paid into this Cash Account?

Linked Bank Account

This section allows CMC Markets Stockbroking to link an external bank account to your trading account. This will allow you to

- Transfer funds from your Cash Account directly to this external account
- Have sale proceeds credited directly to your external bank account

This authority does **not** allow CMC Markets Stockbroking or your Introducing Adviser to **debit** funds from this account. It only allows funds to be transferred **into** this account.

I/We authorise and request CMC Markets Stockbroking to arrange for funds to be credited from my/our trading account or Cash Account to the Financial Institution identified below. Further, I/We authorise:

1. CMC Markets Stockbroking to verify the details of the Account below with the relevant Financial Institution; and
2. The Financial Institution to release information to CMC Markets Stockbroking for the purpose of verifying the Account details.

This authorisation is to remain in force until the Account Holder(s) expressly revokes it in writing and the revocation is received by CMC Markets Stockbroking.

Account Name _____
This account should be in the same name as your trading account.

Name of Financial Institution or Bank _____

Branch Name _____

Bank State Branch (BSB) Number - Account Number

Proceed to SECTION J >

SECTION J – Disclosure of Information

From time to time, your Introducing Adviser may request your personal information, including your trading activity.

By completing this application form, you provide consent for CMC Markets Stockbroking to disclose your personal information, including your trading activity, to your Introducing Adviser and their authorised representatives. For further information please contact CMC Markets Stockbroking or your Introducing Adviser.

Proceed to SECTION K >

Section K - Client Agreement & Declaration

NB This Section is mandatory for all account holders.

By signing this Application Form I/we agree to be bound by Part A and any other relevant Parts of the CMC Markets Stockbroking Terms and Conditions. I/we also acknowledge that I/we have read, received and understood the CMC Markets Stockbroking Financial Services Guide and the Financial Services Guide of my/our Introducing Adviser.

I/We acknowledge that my/our Introducing Adviser may charge brokerage on trading securities which may differ to the brokerage rates published by CMC Markets Stockbroking from time to time; and CMC Markets Stockbroking may receive fees or pay rebates in relation to these securities transactions.

	Trustee 1/Director 1	Trustee 2/Director 2	Trustee 3 (if applicable)
Client Signature(s)	_____	_____	_____
Name(s) (printed)	_____	_____	_____
Date	___ / ___ /20___	Date ___ / ___ /20___	Date ___ / ___ /20___

Proceed to SECTION L >

If you would like to Authorise your Introducing Adviser or another person to act on your trading account, please complete and attach an **'Authorised Agent (Authorised Person)' Form**.

Section L - Guarantee Agreement

This section is mandatory.

The undersigned (each, a **Guarantor**) wish to establish a Guarantee Agreement in respect of the account noted below (**Account**) held in the name of the client noted below (Client) with CMC Markets Stockbroking Limited (ABN 69 081 002 851, AFSL No. 246381) (**CMC Markets**).

The undersigned hereby guarantees all amounts due and payable to CMC Markets in respect of the Account, any other accounts with CMC Markets operated and/or held by the Client, or any other amounts owed by the Client to CMC Markets. In the event of a Client not paying any moneys outstanding at the time and in the manner imposed by any agreement between the Client and CMC Markets, the Guarantor agrees to be liable and pay the moneys due and payable to CMC Markets immediately and on demand. A demand may be made irrespective of whether a demand has been made on the Client, and may be made at any time.

As a separate and independent obligation, the Guarantor indemnifies CMC Markets against all claims and proceedings and all liability, loss (including consequential losses and all legal costs and expenses on a full indemnity basis) and damage CMC Markets suffers or incurs as a direct result of the Account (provided that such indemnity does not arise as a result of the negligence, fraud or wilful misconduct on the part of CMC Markets) as a sum equal to the amount of any loss in respect of which an indemnity is given to CMC Markets on demand. The Guarantor is liable for and must pay a sum equal to the amount of any loss in respect of which an indemnity is given to CMC Markets on demand.

The Guarantor further agrees that the Guarantor must not make any claim, enforce any right or counter-claim to reduce its liability under this Guarantee Agreement against CMC Markets.

Each Guarantor represents and declares the following:

- (a) The obligations of the Guarantor under this Guarantee Agreement are principal obligations and as such are imposed upon the Guarantor as principal debtor.
- (b) CMC Markets may make a claim or demand against any Guarantor under this Guarantee Agreement without CMC Markets having first proceeded against, made any claim against, or taken steps to enforce any right, collateral security or remedy against, the Client, another Guarantor or any other person.
- (c) The Guarantor has been advised to, and has had the opportunity to, obtain independent legal advice in respect of providing the Guarantee and has either obtained such advice or has waived their right to obtain such advice.

Client Account Name: _____

Account Number: _____ (if known)

Full name of the Guarantor:	Witness name:
Address:	Address:
Signature:	Signature:
Date: / /20	Date: / /20

Full name of the Guarantor:	Witness name:
Address:	Address:
Signature:	Signature:
Date: / /20	Date: / /20

STOCKBROKING CASH ACCOUNT application form

Details

Cash Accounts offer competitive interest with no fees and charges.

To conveniently settle your share purchases or sales, we recommend opening a CMC Markets Cash Account with Bankwest, a division of the product issuer, Commonwealth Bank of Australia ABN 48 123 123 124 AFSL/Australian Credit Licence 234945.

Advantages

- Bankwest offer a competitive interest rate, calculated on the daily balance of your account and credited monthly. These transactions appear on your monthly statement.
- There are no account fees and no government charges.
- The Financial Claims Scheme (FCS) applies to this cash account. The FCS is free and automatically covers the total aggregated balance of all your deposit accounts with an Authorised Deposit-taking Institution up to the limit of \$250,000. To obtain the information about FCS, visit www.apra.gov.au

A Cash Account is necessary if you are planning to trade online.

How it works

Share purchases are debited from your account on the day of settlement and proceeds from share sales are credited to your account on the day of settlement.

There is no need to contact Bankwest – all enquiries about your account may be directed to Broker Services, including requests to withdraw funds.

If we receive your instruction to withdraw funds before 11.00am AEST/AEDT, we can draw a cheque on the same day. If your request is received after 11.00am, a cheque will be drawn the next business day or funds deposited into one of your other bank accounts.

Opening an account

To open a Cash Account, simply complete and send us the attached application form with identification (if not already provided).

If your 'Corporate Trust' or your 'Company' trading account was opened before 2011, please complete a full trading application form to accompany the cash Account form.

Please call our Broker Services Team on **1300 557 561** for our current interest rates or if you require any further information.

Please note that funds on deposit with Bankwest are not protected by the ASX National Guarantee Fund.

Electronic verification check

We are required to verify your identity. We may be able to verify your identity by conducting an electronic verification check. If you do not wish us to conduct an electronic verification check, please notify us when you submit this form.

Driver's licence number
Director 1

Driver's licence number
Director 2

Providing your driver's licence number will help us to conduct your electronic verification check. We will not use your driver's licence number for any other purpose than to conduct your electronic verification check.

Non-electronic verification check

If we notify you that your electronic verification check was unsuccessful, or you do not wish us to conduct an electronic verification check, you'll need to provide us with certified copies of original identification verifying your full name, your current residential address and your date of birth. In either case, all the documents you'll need to provide are listed below. You can also go to cmcmarkets.com.au, click 'Open an account' and view 'ID requirements'.

Your application must be accompanied by a certified (true) copy of **EITHER** one document from Section A, **OR** one document from Section B and one from Section C, **for each applicant**.

The original certified copy of your ID must be returned by post. The signature of the certifier must be the original signature. We cannot accept faxes or scanned copies.

Section A (PROVIDE ONE OF THE FOLLOWING)

- driver's licence (front and back) – MUST be current
- proof of age card issued by the RTA – MUST be current

Example: Driver's Licence (front and back)



OR

Section B (PROVIDE ONE OF THE FOLLOWING)

- Australian passport – current or expired within the past TWO years
- overseas passport - MUST be current
- birth certificate or birth extract issued by a State or Territory
- citizenship certificate issued by the Commonwealth
- pension card issued by Centrelink (front and back)

and

Section C (PROVIDE ONE OF THE FOLLOWING)

A recent utility bill, financial statement or council rate notice – issued within the last three months – showing your name and residential address. This can include one of the following:

- a financial statement (savings, credit, mortgage) issued by an Approved Deposit-taking Institution (ADI) in Australia. To obtain a full list of ADIs in Australia, visit www.apra.gov.au/adi/ADIList.cfm.

Please note that an electronic statement issued by any institution (including ADIs) may be accepted at CMC Markets' discretion

- gas, electricity, water, home phone, internet (dial-up, broadband), mobile phone bill, Foxtel bill
- a council rates notice
- a notice or assessment issued by the RTA or a government body
- a lease agreement, contract for sale of a property or rental bond lodgement document
- home and/or contents insurance policy statement

Example: Australian Passport and Water Bill



Certifying your documents

In order for your certification to be accepted, it must be signed and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall. We cannot accept a fax of a certified copy. For copies of your documents to be considered certified, they must be signed by any one of the following:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
2. a judge of a court
3. a magistrate
4. a registrar or deputy registrar of a court
5. a Justice of the Peace
6. a police officer
7. a permanent employee of the Australian Postal Corporation with two or more years of continuous service
8. a finance company officer with two or more continuous years of service with one or more finance companies
9. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.

For a full list of acceptable certifiers refer to: <http://www.comlaw.gov.au/Details/F2007L01000> (Section 1.2.1)

If you need help to meet these ID requirements, call InvestSMART Financial Services on **1300 880 160**.

Current Account (if applicable)

Current Account Number:

Investments

Do you wish to have dividends paid into this Cash Account?

Yes

No

Individual Applicants – please complete Section A and E

Joint Applicants – please complete Section A, B and E

Company Accounts – please complete Section C and E

Trust Accounts – please complete Section D and E

Section A – Individual Applicant/Joint Applicant 1

Purpose of investment: _____

Do you have any Citizenship/Tax Residency in any other countries? Yes No

If yes, please provide the following (if you have Residency/Citizenship in more than one other country please provide details of each);

Country Name(s): _____

Citizenship: Yes No Citizenship (if more than one): _____

Tax Residency: Yes No Tax Residency (if more than one): _____

Tax Identification number(s) (TIN): _____

Why are you opening an Australian Banking Account? _____

Section B – Joint Applicant 2

Purpose of investment: _____

Do you have any Citizenship/Tax Residency in any other countries? Yes No

If yes, please provide the following (if you have Residency/Citizenship in more than one other country please provide details of each);

Country Name(s): _____

Citizenship: Yes No Citizenship (if more than one): _____

Tax Residency: Yes No Tax Residency (if more than one): _____

Tax Identification number(s) (TIN): _____

Why are you opening an Australian Banking Account? _____

Section C – Company Accounts

Purpose of investment: _____

Industry: _____

Nature of Business Activity: _____

Is the company operating as a charity? Yes No

If yes, what is the purpose of the charity? _____

For Proprietary and Private Companies Only

Tick if the company's primary business activity is investing?

If ticked, does the entity derive more than 50% of its gross income from passive investment activities? (e.g. Rent, interest and dividends) or does more than 50% of the entity's assets produce or are held for the production of passive investment income? Yes No

Section D – Trust Accounts

Purpose of investment: _____

If the trust is a charity, what is the purpose of the charity? _____

If the trust was not established in Australia, please provide the below:

Country where the trust established: _____

What is the objective of the trust? _____

Why are you opening an Australian Banking Account? _____

For Corporate Trustees; (Proprietary and Private Companies Only)

Is the company operating as a charity? Yes No

If yes, what is the purpose of the charity? _____

Tick if the company's primary business activity is investing?

If ticked, does the entity derive more than 50% of its gross income from passive investment activities? (e.g. Rent, interest and dividends) or does more than 50% of the entity's assets produce or are held for the production of passive investment income? Yes No

Section E – Client Authorisation

Client Authorisation – CMC Markets Cash Account – All Applications

By signing this Application Form, I/We agree, declare and/or certify to the following:

1. I/We have received and read the Cash Account Product Information Statement (PIS) in Australia, including the Product Schedule provided by CMC Markets.
2. I/We have read the section of the Cash Account PIS containing the information under the heading 'Privacy'. I am/We are aware that until I/We inform CMC Markets otherwise, I/We will be taken to have consented to all the uses and disclosure of my/our personal information. This consent includes my/our agreement to Bankwest collecting, using, exchanging and transferring overseas, my/our personal information as described and set out in Bankwest's Privacy Policy.
3. I/We declare that the details as shown within the application forms are complete and correct and that I/We will advise CMC Markets if these details change.
4. I/We hereby authorise CMC Markets and any person nominated by CMC Markets to act as my/our agent and act in accordance with the authority given under the terms contained within the CMC Markets Cash Account PIS.
5. I/We disclose to Bankwest or its nominees through CMC Markets any of my/our personal information required to open and administer the Cash Account.
6. Bankwest will only act on requests authorised by CMC Markets or any other person nominated by CMC Markets. Any requests conducted and authorised by CMC Markets and any person(s) acting on behalf of CMC Markets will be treated as if it has been actioned and authorised by me/us personally. By appointing CMC Markets, I/We are giving CMC Markets and any person(s) acting on behalf of CMC Markets authority to transact and modify details on the Cash Account until I/We provide written notification amending or revoking the authority above.
7. I/We indemnify Bankwest against all losses, liabilities and costs incurred directly or indirectly:
 - a. As a result of the appointment of CMC Markets and its nominees; and
 - b. In connection with any action or payment CMC Markets and its nominees makes on my/our Cash Account.
8. I/We release Bankwest from all claims and liabilities in connection with any act or omission relating to the appointment of CMC Markets and its nominees.
9. CMC Markets authority takes effect on the date when the Cash Account is set up and continues until cancelled. I/We can cancel this authority by telling CMC Markets in writing which has the effect of closing my/our Cash Account.
10. Bankwest may cancel the appointment of CMC Markets by telling me/us in writing.
11. CMC Markets will receive commission from Bankwest, or such other amount as agreed from time to time between Bankwest and CMC Markets and this has been disclosed to me/us.

Please tick for Trust/Company (only for Proprietary/Private Companies) Accounts:

I/We certify that the entity's activities and classification that I/We have provided is true and complete and that I/We will advise CMC Markets immediately if such information is to change.

Please tick for Individual/Joint Accounts

I/We certify that the Countries of Citizenship and tax residence information that I/We have provided is true and complete and that I/We will advise CMC Markets immediately if such information is to change.

Account Holder 1

Account Holder 2

Client Signature(s)

Name(s) (printed)

Title (if company)

Eg director, officer, secretary

Date
