



**CONVENTION ON
BIOLOGICAL DIVERSITY**

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**QUARTERLY REPORT ON THE ADMINISTRATION OF
THE CONVENTION ON BIOLOGICAL DIVERSITY
(April - June 2002)**

Note by the Executive Secretary

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I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP, which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report, which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 April to 30 June 2002.

II. EXECUTIVE DIRECTION AND MANAGEMENT

3. The sixth meeting of the Conference of the Parties to the Convention on Biological Diversity (COP-6) was held in The Hague, the Netherlands, from 7-19 April 2002. The meeting was very well attended with over 2200 participants from 176 countries and over 500 organizations and some 130 ministers partaking in its high level segment. COP-6 adopted 32 decisions including the Bonn Guidelines on Access to Genetic Resources and Benefit-sharing, an expanded work programme on forests, guiding principles on invasive alien species and the first strategic plan of the Convention, the Global Taxonomy Initiative and the Global Strategy for Plant Conservation. The meeting also adopted in decision IV/21 a message to the World Summit on Sustainable Development (WSSD), which underscores the relevance of the Convention to the Summit's objectives. Additionally, the meeting adopted The Hague Ministerial Declaration in which participating ministers reconfirmed their commitment to the objectives of the Convention and underlined the need to guide their actions based on ethical principles towards the broader goal of sustainable development, based on integration of economic, social and environmental matters.
4. The third meeting of the Inter-governmental Committee for the Cartagena Protocol on Biosafety followed the sixth meeting of the Conference of the Parties (ICCP-3). It was also held in The Hague from 22-26 April 2002. The meeting adopted 10 recommendations.
5. The Secretariat participated actively in the Fourth Preparatory Committee Meeting/Ministerial Level for the World Summit on Sustainable Development (PrepCom IV) which was held in Bali, Indonesia from 27 May – 7 June 2002. The CBD was also represented at the informal seminar on the outcomes of the World Summit on Sustainable Development, which was organized in Johannesburg, South Africa, from 16-17 May 2002.
6. With the support of the Governments of New Zealand and the USA, the Secretariat organized the second meeting of the *Ad hoc* Technical Expert Group on Marine and Coastal Protected Areas in Nelson, New Zealand, from 20-24 May 2002.
7. Furthermore, in response to decision V/2 and with the financial support of the Government of the Netherlands, the CBD Secretariat organized a liaison group meeting, in collaboration with the Ramsar Bureau and Wetlands International, to review, elaborate and refine the programme of work on biological diversity of inland waters. This meeting was held in Wageningen, the Netherlands from 13-15 June 2002.
8. During the second quarter of 2002, the CBD Secretariat was represented in various important international meetings namely: the third meeting of the Intergovernmental Working Group on Civil Liability

(Geneva, Switzerland, 6-8 May); GRULAC Regional Workshop for the UNEP/GEF Project on Development of National Biosafety Frameworks (Buenos Aires, Argentina, 8-10 May); the North African Network Formulation Workshop (Rabat, Morocco, 13-16 May); a briefing session by UN agencies to the members of the Permanent Forum on Indigenous Issues (New York, USA, 9-10 May) which was followed by the Permanent Forum on Indigenous Issues (New York, 13-24 May); Panel on cultural heritage and sacred sites (New York, USA, 15 May); ACFAS Symposium (Quebec City, Canada, 15 May); the 19th session of the GEF Council meeting (Washington, D.C., USA, 15-17 May); the seventh meeting of the Inter-sessional Committee – Forum of Ministers of the Environment in Latin America and the Caribbean (Sao Paulo, Brazil, 15-17 May); the meeting of the OECD Working Group on Economic Aspects of Biodiversity (Paris, France, 16-17 May); Quaker/UN Seminar on promoting participation on food and biodiversity issues in the post-Doha TRIPS work programme (Jongny-sur-Vecvey, Switzerland, 17-18 May); the World Ecotourism Summit (Quebec City, Canada, 19-22 May); the GISP Capacity Building Workshop: Development of Nordic/Baltic Invasive Species Informational Network (Tallinn, Estonia, 21-23 May); the Asia and Pacific Regional Workshop on Risk Assessment and Risk Management in implementing the Cartagena Protocol on Biosafety (New Delhi, India, 22-24 May); the UN Department of Public Information/NGO Briefing (New York, 23 May); the special session on biodiversity of the OECD Environmental Policy Committee (Paris, France, 24 May); the 16th session of the UNFCCC SBSTA (Bonn, Germany, 5-14 June); the 22nd annual training programme of the International Oceans Institute of Canada (Halifax, Canada, 10 June); the international training seminar on knowledge and plants among the first nations of the Americas (Montreal, Canada, 13 June); the WTO/CTE meeting (Geneva, Switzerland, 13-14 June); the Pugwash workshop on Sharing the Planet: Population-Consumption-Species (Groningen, the Netherlands, 12-14 June); the Sustainable Justice 2002 Conference on implementing international sustainable development law (Montreal, 13-15 June); the third session of the WIPO-intergovernmental Committee on Genetic Resources, Traditional Knowledge and Folklore (Geneva, 13-21 June); the 22nd annual conference of the International Association for Impact Assessments (The Hague, the Netherlands, 15-22 June); the Conference on Stockholm: Thirty Years On (Stockholm, Sweden, 17-18 June); and the EMBRAPA-FAO International Technical Workshop on Biological Management of Soil Ecosystems and Sustainable Agriculture (Londrina, Brazil, 24-28 June).

9. Classification of the new and upgraded posts approved by the sixth meeting of the Conference of the Parties in April is well underway. Advertisement of these positions is projected for the third quarter of 2002. Recruitment of several professional and general service posts is ongoing and the selected candidates are expected to report on duty during the third quarter of 2002.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

A. Personnel Arrangements

10. During the reporting period, the Secretariat had 27 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 7 Professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

B. Financial Arrangements

11. With regard to budgetary matters, as at 30 June 2002, of the total pledged contributions of US\$6,911,000 for 2002 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$3,659,639. Total unpaid pledges to the BY Trust Fund for prior years amounts to US\$869,812. Details of the BY Trust Fund are contained in Annex V.

12. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 30 June 2002, the total amount pledged for 2002 amounts to

US\$685,807. The total collected for 2002 as at 30 June 2002 was US\$505,000 and an additional \$512,277 has been collected in 2002 for prior years. Total unpaid pledges for 2002 and prior years to the BE Trust Fund is US\$546,563. Details of the BE Trust Fund are contained in Annex III.

13. Pledges totalling US\$423,396 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2002, all of which has already been paid. Total unpaid pledges for prior years to the BZ Trust Fund amount to US\$96,363. Details of the BZ Trust Fund are contained in Annex IV.

14. Invoices have been sent to the 183 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. As at 30 June 2002 seventy-one countries have totally or partially paid their assessed contributions for 2002 representing 53% of the total amount pledged to the Trust Fund in 2002.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

DECISION V/2: PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS

AND

DECISION VI/2: BIOLOGICAL DIVERSITY OF INLAND WATERS

15. The Secretariat in collaboration with the Ramsar Convention Bureau organized a side event on the River Basin Initiative (RBI) during COP-6. The Secretary General of the Ramsar Convention and a representative of the CBD Secretariat launched the RBI portal on integrating biological diversity wetland and river basin management.

16. COP-6 adopted decision VI/2 on biological diversity of inland water ecosystems. In paragraph 3 of this decision, COP emphasized the importance of review and elaboration of the programme of work on biological diversity of inland water ecosystems, as outlined in the progress report by the Executive Secretary on thematic programmes of work (UNEP/CBD/COP/6/11, paragraphs 22-24), and in paragraph 4, recognized the importance of the River Basin Initiative for implementation of the programme of work on inland waters and application of the ecosystem approach. The COP also requested the Executive Secretary:

- To strengthen collaboration with the Convention on Wetlands on the implementation of the River Basin Initiative (paragraph 4), and the Bureau of the Convention on Wetlands to facilitate the implementation of the third Joint Work Plan with the Convention on Wetlands as endorsed by the Conference of the Parties in its decision VI/20 C on cooperation with the Convention on Wetlands (paragraph 5 of decision VI/2).

17. In response to paragraphs 3 and 4 of decision VI/2, the Secretariat organized a liaison group meeting on review, refinement and elaboration of the programme of work on inland waters. The meeting was held in Wageningen, The Netherlands, from 13 to 15 June 2002. The group provided advice on draft papers prepared by the Executive Secretary, on the review of the programme of work on inland waters and on a proposal for a revised programme of work.

18. The Secretariat sent letters to a number of organizations inviting them to further collaborate in the implementation of the programme of work on biological diversity of inland waters ecosystems.

DECISION V/3. PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY AND DECISION VI/3. MARINE AND COASTAL BIOLOGICAL DIVERSITY

19. During COP-6, the Government of the Netherlands, in close collaboration with the CBD Secretariat and the Global Programme of Action for the Protection of the Marine Environment from Land-based Activities (GPA), organized a side event on the integration of the Convention's objectives into integrated marine and coastal area management (IMCAM) projects. Several case studies from around the world were presented.

20. COP-6 adopted decision VI/3 on marine and coastal biological diversity, in which it requested the Executive Secretary:

- To continue facilitating the implementation of the specific work plan on coral bleaching, as contained in annex II to recommendation VI/2 of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA), and the work plan on physical degradation and destruction of coral reefs as contained in annex I thereto, setting priorities as appropriate, with special emphasis on small island developing States (SIDS) and the least developed States, in collaboration with the International Coral Reef Initiative and its partners, the regional seas programmes of the United Nations Environment Programme, the Intergovernmental Oceanographic Commission of the United Nations Educational, Scientific and Cultural Organization, and other relevant organizations (paragraph 2);
- To continue to further develop the work plan on physical degradation and destruction of coral reefs as contained in annex I to recommendation VI/2 of SBSTTA (paragraph 3); and,
- To strengthen collaboration with the regional seas conventions and action plans (paragraph 4).

21. The Executive Secretary sent letters to a number of organizations drawing their attention to this and other decisions of particular relevance to them.

22. Secretariat convened the second meeting of the ad hoc technical expert group on marine and coastal protected areas in Marahau, New Zealand, from 20 to 24 May 2002. The meeting concluded the formal work of the ad hoc technical expert group. Some editing and peer review of documents will be done prior to the eighth meeting of SBSTTA. The expert group achieved the following: i) provision of advice on the value and effects of marine and coastal protected areas (MCPAs); ii) production of guidance for Parties on criteria for establishment and management of MCPAs; iii) identification of linkages between MCPAs and sustainable use of biodiversity; and iv) preparation of recommendations for future research, including pilot projects. The work of the expert group will be presented at SBSTTA-8 in March 2003.

23. Preparations are underway for the meeting of the ad hoc technical expert group on mariculture, which will take place in Rome from 1 to 5 July 2002. The meeting will be held in collaboration with FAO.

24. The Secretariat also gave a lecture on 10 June 2002, at the International Oceans Institute, Dalhousie University, Halifax, on the Convention, the Jakarta Mandate, and the programme of work on marine and coastal biological diversity as part of the International Oceans Institute's training programme to mid-career professionals from developing countries.

**DECISION V/4 PROGRESS REPORT ON THE IMPLEMENTATION OF THE
PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY
AND
DECISION VI/22. FOREST BIOLOGICAL DIVERSITY**

25. The discussions on forest biological diversity at COP-6 resulted in the adoption of decision VI/22 and the expanded programme of work on forest biological diversity (annexed to the decision). Paragraphs 19, 22, 23, 24, 37, 42 and 45 of the decision request the Executive Secretary to initiate several actions related to facilitating the implementation and reporting of the expanded work programme with several actors.

26. In paragraph 19, the Executive Secretary is requested to initiate, in collaboration with the Coordinator and Head of the UNFF Secretariat and the members of the Collaborative Partnership on Forests (CPF), action on some specific areas identified as important first steps towards the implementation of regional and international activities of the expanded work programme, which should facilitate or complement national implementation. These areas are (a) the ecosystem approach, (b) collaboration with other bodies, (c) cross-sectoral integration, (d) protected areas, (e) forest law enforcement and related trade, (f) sustainable use and benefit-sharing and (g) capacity-building. Most of these tasks, are expected to be completed before SBSTTA-9 (to be held in November 2003) and the fourth session of the UNFF (in mid 2004). The Secretariat started correspondence with the UNFF Secretariat and the CPF members to discuss the joint activities in implementing the expanded programme of work, recognizing the work done by the Workshop on Forests and Biological Diversity held in Accra from 28 to 30 January 2002. The joint meeting between the CBD and UNFF secretariats will be held on 11 and 12 July in Montreal.

27. The Secretariat is in the process of identifying the IPF / IFF proposals for action directly related to the conservation of forest biological diversity, sustainable use of its components, and fair and equitable sharing of the benefits arising from genetic resources, as well as the relationship between these proposals for action and the expanded work programme (paragraphs 19 and 39 of the decision IV/22).

28. The third meeting of the liaison group between CBD, UNCCD and UNFCCC was held on 16 April on the margins of COP-6. The group agreed on issues such as the preparation of the joint workshop on forests and forest ecosystems, and material for a joint exhibition on the WSSD. In the framework of the activities of the joint liaison group, the Secretariat prepared a background paper on the synergies between the three conventions to be presented to the joint workshop on forests and forest ecosystems.

29. The Secretariat submitted to the FAO journal *Unasylva* an article on the national reporting on forests and forest ecosystems.

30. The Executive Secretary sent letters to a number of organizations interested in forest biological diversity requesting their views on how to further implement COP decisions including the programme of work on forest biological diversity.

**DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF
THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK
PROGRAMME
AND
DECISION VI/5. AGRICULTURAL BIOLOGICAL DIVERSITY**

31. COP-6 adopted decision VI/5 on agricultural biodiversity, which contains provisions on the implementation of the programme of work, the International Pollinator Initiative, soil biodiversity, animal genetic resources, trade liberalization and genetic use restriction technologies (GURTs). In this decision, COP adopted the steps for the further implementation of the programme of work by the Executive Secretary and partner organizations and the reporting schedule for SBSTTA and COP (tables 1 and 2 of annex I; paragraph 2). COP also adopted the plan of action on the International Pollinators Initiative (annex II) (paragraph 8); established the International Initiative on Soil Biodiversity (paragraph 13) and, established an ad hoc technical expert group on “the impacts of GURTs on smallholders farmers, indigenous and local communities and Farmers’ Rights” to prepare advice for COP-7 and report to the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions and SBSTTA prior to COP-7 (paragraph 21).

32. COP requested the Executive Secretary to carry out the following tasks:

- Prepare a draft format for the thematic report on agricultural biological diversity for consideration at COP-7 (paragraph 5);
- Prepare for COP-8 syntheses of studies and gaps and opportunities in the implementation of the Programme of work drawing upon the third national report and other information (paragraph 6);
- Study further impacts of trade liberalization on agricultural biodiversity, in cooperation with UNEP, FAO, WTO and other relevant organizations (paragraph 17);
- Invite FAO, in collaboration with other organizations, to investigate the potential impacts of GURTs in forestry, livestock, aquatic and other ecosystems, and take into account the findings of these organizations (paragraph 25(a));
- Invite relevant organizations to examine the applicability of existing, and to explore the need to develop new, legal mechanisms to address the application of GURTs (paragraph 25(c)).

33. The Executive Secretary sent letters to a number of organizations dealing with agricultural biodiversity drawing their attention to this and other decisions, which are of particular relevance to them, and invited them to collaborate in their implementation.

34. A representative of the Secretariat participated in the International Technical Workshop on “Biological Management of Soil Ecosystems for Sustainable Agriculture” organized by FAO and EMBRAPA-Soya, in Londrina, Brazil, from 24 to 27 June 2002. More than 40 experts participated in the workshop and prepared a proposal for priorities and key elements for action in the agricultural sector, as part of an eventual strategy for implementing the International Initiative on Conservation and Sustainable Use of Soil Biodiversity.

DECISION V/6 AND DECISION VI/12

ECOSYSTEM APPROACH

35. During COP-6, the Secretariat interacted with representatives of the Government of The Netherlands and of the CGIAR to explore ways through which progress can be made in the implementation of COP decisions on the ecosystem approach.

36. In addition, in preparing for the Stockholm meeting “Thirty Years On: Progress Achieved and Challenges Ahead in International Environmental Cooperation”, the Secretariat investigated the relationships between ecosystem approach, resilience and sustainable use.

37. COP-6 adopted decision VI/12 on ecosystem approach and requested the Executive Secretary, in paragraph 2, to (a) continue the compilation and dissemination of case-studies and lessons learned and report to SBSTTA prior to the seventh meeting of the COP; (b) in collaboration with relevant organizations and bodies, in particular the United Nations Forum on Forests, to convene a meeting of experts to compare the ecosystem approach with sustainable forest management and develop proposals for their integration; and (c) to develop proposals for the refinement of the principles and operational guidance.

38. In decision VI/22 on forest biological diversity, the COP also requested the Executive Secretary, in collaboration with the Coordinator and Head of Secretariat of the United Nations Forum on Forests to undertake a series of activities with regard to the ecosystem approach: (i) carry out a comparative study to clarify the conceptual basis of the ecosystem approach in relation to the concept of sustainable forest management with adequate consideration for regional conditions; (ii) undertake a synthesis of case-studies; and (iii) invite the Collaborative Partnership on Forests members to provide a discussion paper drawing on concrete national or regional experiences and inter-sessional meetings for consideration by the Convention (paragraph 19 (a)).

39. The Executive Secretary sent letters to a number of organizations including in particular Collaborative Partnership Forests (CPF) members and drew their attention to this decision.

DECISION V/7 AND DECISION VI/7. IDENTIFICATION, MONITORING, INDICATORS AND ASSESSMENT

Impact assessment

40. The draft guidelines for incorporating biodiversity-related issues into environmental impact assessment (EIA) legislation and/or processes and in strategic environmental assessments (SEA), which was recommended at SBSTTA-7 to COP-6, was endorsed in decision VI/7-A. In this decision, the COP requested the Executive Secretary to compile and disseminate current experiences in EIA and SEA procedures that incorporate biodiversity-related issues and to prepare proposals for further development and refinement of the guidelines, incorporating all stages of the EIA and SEA processes while taking into account the ecosystem approach. The COP requested that this be implemented in collaboration with relevant organizations, in particular the International Association for Impact Assessment (IAIA) (paragraph 3).

41. In light of COP decision VI/7-A, the Secretariat attended the 22nd Annual Conference of the IAIA on Assessing the Impact of Impact Assessment, held from 15 to 21 June 2002 in The Hague, The Netherlands, and contributed to the discussions with the Impact Assessment and Biodiversity Section members. A Memorandum of Cooperation between the Secretariat and IAIA has been drafted, and is expected to be signed in the coming months.

Indicators

42. In its decision VI/7-B, the COP requested the Executive Secretary to:
- Report on the development and use of indicators in all thematic areas and cross-cutting issues to SBSTTA prior to COP-7 (paragraph 1);
 - Convene a technical expert meeting to further develop the three annexes on ongoing work on indicators on the following issues:
 - (a) Principles for developing national-level monitoring and indicators;
 - (b) A set of standard questions for developing national-level indicators; and
 - (c) A list of available and potential indicators based on a conceptual framework that has a qualitative and quantitative approach.

43. In developing the list of indicators, the need for harmonization and collaboration with regional and international initiatives, *inter alia*, the OECD, the CSD, the Ramsar Convention on Wetlands, the Pan-European processes, the FAO and the UNFF was mentioned. During COP-6, the Secretariat held informal meetings with representatives of the government of The Netherlands to explore how The Netherlands can further assist the Executive Secretary in preparing documents on a number of issues including on monitoring and indicators for SBSTTA-9 and COP-7.

Scientific Assessments

44. COP decision VI/7-C highlighted the area of work on scientific assessments encouraging the Parties to support the involvement of experts in the Millennium Ecosystem Assessment (MEA) process and provide assistance to developing countries and countries with economies in transition that are interested in undertaking national or regional assessments within the framework of the MEA. The decision also requested SBSTTA to review the findings of the MEA and provide recommendations to the COP based on the review.

45. In addition, COP at its sixth meeting encouraged the Executive Secretary to facilitate the development of the assessment of the status of the world's protected areas, in close collaboration with the UNEP World Conservation monitoring centre and IUCN.

46. The Executive Secretary sent letters to a number of organizations dealing with identification, monitoring, indicators and assessments of biological diversity.

DECISION V/8 AND DECISION VI/23

ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

47. The COP adopted decision VI/23 on alien species that threaten ecosystem habitats or species, including the guiding principles for the prevention, introduction and mitigation of impacts of alien species that threaten ecosystems, habitats or species (paragraph 4).

48. In the margins of the sixth meeting of the Conference of the Parties, the Secretariat explored with the Office International des Epizooties ways and means through which, paragraph 8 of decision VI/23 could be implemented.

49. The Secretariat has finalised a contract with the Global Invasive Species Programme to work jointly on invasive alien species of freshwater ecosystems of small island developing Countries.

50. The Executive Secretary sent letters to a number of organizations drawing their attention to this and other decisions of particular relevance to them.

**DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND
FURTHER ADVANCE OF SUGGESTIONS FOR ACTION**

AND

DECISION VI/8. GLOBAL TAXONOMY INITIATIVE

51. COP-6 produced decision VI/8 on the Global Taxonomy Initiative. The decision endorsed the programme of work proposed by SBSTTA-6, and urged Parties, Governments, international and regional organizations to promote and, as appropriate, carry out the programme of work. COP:

- Invited the Executive Secretary to encourage the involvement of such entities to support Parties, Governments and relevant organizations in carrying out the programme of work, and recommended the continuation of the regional workshops on the GTI to facilitate this process (paragraph 3); and,
- Requested the Executive Secretary to complete the guide to the Global Taxonomy Initiative, and provide information and clarification to Parties and Governments concerning the GTI, in particular on the process for developing projects aimed at implementing the programme of work, including existing guidance from the Financial Mechanism (paragraph 5).

52. During COP-6 the Secretariat attended several meetings relevant to the GTI, including a discussion on enabling activity and capacity development initiative funding under the GEF, meetings covering projects in Sweden, initiatives in the EU, the working of the Global Invasive Species Programme, and participation of major systematic collections in the GTI. The Secretariat held a meeting on the implementation of the GTI programme of work at national and regional levels.

53. In pursuance of the decision by COP-6 that it considered capacity development at the national and regional levels as a driving force in implementing the programme of work, and the planned activity 6 of the GTI programme of work, dealing with capacity building, the Secretariat participated in a meeting in Morocco in conjunction with BioNET-INTERNATIONAL and Euro+Med PlantBase. The product of the meeting was a formulation document covering the setting up of a new taxonomic network, to include Algeria, Egypt, Libya, Mauritania, Morocco and Tunisia. The document is to be presented to governments for consideration.

54. Plans for a global workshop in Pretoria in July 2002, a regional workshop in Malaysia in September 2002, and a regional workshop in North America in either 2002 or 2003 have progressed.

55. The Executive Secretary sent letters to many organizations carrying out taxonomic work to invite them to contribute to the implementation of the GTI.

**DECISION V/10 AND DECISION VI/9
GLOBAL STRATEGY FOR PLANT CONSERVATION**

56. During COP-6 representatives of the Secretariat and the SBSTTA Bureau participated in the side event on the Global Strategy for Plant Conservation organized by Plant Europa.

57. COP-6 adopted the Strategy including its 16 outcome-oriented targets (paragraph 1) and welcomed the generous offer of the Botanic Garden Conservation International to second a staff member to the Secretariat to promote the implementation of the Strategy (paragraph 11). COP requested SBSTTA to (a) take the targets into consideration in its periodic reviews of the thematic and cross-cutting programmes of work of the Convention; (b) develop ways and means, within the CBD, for promoting implementation of the strategy, and for monitoring and assessing progress; and (c) report to COP-7 (paragraph 11). COP requested the Executive Secretary to:

- Arrange the secondment of a staff member from Botanic Gardens Conservation International ((decision VI/29, paragraph 30); and
- Carry out a set of activities mentioned in Section E of the annex, including the consideration of the establishment of a flexible coordination mechanism (paragraphs 19 and 20 of the Annex).

58. The Executive Secretary sent letters to invite them to assist Parties in implementing the Strategy.

**DECISION V/21: COOPERATION WITH OTHER BODIES
AND
DECISION VI/20 COOPERATION WITH OTHER ORGANIZATIONS, INITIATIVES
AND CONVENTIONS**

59. During its sixth meeting, the Conference of the Parties adopted Decision VI/20, on cooperation with other organization, initiatives and conventions.

60. As a follow-up to this decision, the Secretariat wrote a notification to relevant international organizations and conventions transmitting elements of the decision concerning cooperation with each organization or convention as mandated by the Conference of the Parties.

The Secretariat participated at the fourth preparatory committee meeting for the WSSD that took place in Bali, Indonesia.

61. The Secretariat also prepared the Report of the Executive Secretary to the United Nations General Assembly based on the outcome of the sixth meeting of the Conference of the Parties and the third meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety.

Cooperation with UNFCCC and UNCCD (paragraph 9 to 14)

62. Paragraphs 9-14 of decision VI/20 address cooperation with UNFCCC, UNCCD and IPCC. In paragraph 9 the COP requested the SBSTTA and the Executive Secretary to continue to cooperate with the UNFCCC, including its Kyoto Protocol, and the IPCC on relevant issues such as dry and sub-humid lands, agricultural biological diversity, forest biological diversity, marine and coastal biological diversity, especially on coral reefs, and incentive measures and impacts of measures envisaged under the Kyoto Protocol with a view to maximizing synergies between these processes. In paragraph 10, COP recognized

the need to take immediate actions under CBD and UNFCCC to reduce and mitigate the impacts of climate change on coral reefs biodiversity.

63. In paragraph 12 and 13, COP urged the joint liaison group among UNFCCC, UNCCD and CBD to facilitate cooperation between the conventions at national and international levels. In paragraph 13, COP takes note of the proposed programme of work agreed at the fifth meeting of SBSTA of UNFCCC and encouraged further coordinated work, especially on issues relating to the national level. In paragraph 14, COP invited Parties to provide to the Executive Secretary, by 30 May 2002, with views on the need for further enhanced cooperation between SBSTA on UNFCCC and SBSTTA on CBD.

64. The Secretariat has received the Intergovernmental Panel on Climate Change (IPCC) Technical Paper on Climate Change and Biodiversity and distributed it to the members of the ad hoc technical expert group (AHTEG) on biological diversity and climate change. The Secretariat has made preparations to produce a compilation of the literature published since 1999. The Secretariat has established a listserv to improve communication among the AHTEG members.

65. The Secretariat was represented at the sixteenth session of the UNFCCC SBSTA that took place from 5 to 14 June in Bonn, Germany. The items with high relevance on the agenda were issues related to the cooperation with relevant international organizations, in particular other conventions, and to the land use, land use change and forestry (definitions and modalities for including afforestation and reforestation activities under Article 12 of the Kyoto Protocol).

66. A UNFCCC Secretariat staff member served and assisted Working Group 1 at COP-6. Accordingly, a representative of the Secretariat was seconded to the UNFCCC Secretariat during the SBSTA-16 session. This arrangement is intended to strengthen practical collaboration between the secretariats, and facilitate information exchange on respective processes.

Cooperation with the Convention on Wetlands (Ramsar, Iran, 1971) (paragraph 15 and 16)

67. COP-6 endorsed the third joint work plan (2002-2006) between the Convention on Biological Diversity and the Convention on Wetlands (Ramsar, Iran, 1971) (paragraph 15), and requested SBSTTA and the Executive Secretary to take these actions fully into consideration in furthering the respective programme of work on these areas (paragraph 16).

68. The Executive Secretary sent letters to the Convention on Wetlands (Ramsar, Iran, 1971) drawing their attention to this and other relevant decisions.

Cooperation with the Convention on the Conservation of Migratory Species of Wild Animals (CMS)

69. COP-6 endorsed the joint work programme between the CBD and the Convention on the CMS, and requested SBSTTA to take the actions proposed in the programme of work fully into consideration in furthering the respective programmes of work.

70. COP invited the Executive Secretary (i) to compile and disseminate through the CHM case-studies on migratory species and their habitats (paragraph 20); and (ii) to generate, in collaboration with CMS and relevant organizations, guidance for the integration of migratory species into the national biodiversity strategies and action plans and ongoing and future programmes of work under the CBD (paragraph 21).

71. The Executive Secretary sent letters to the Conservation of Migratory Species of Wild Animals drawing their attention to this and other relevant decisions.

Cooperation with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

72. In paragraph 24, COP invited the secretariats of the CITES and CBD to continue to cooperate and coordinate activities with a view to facilitating the exchange of relevant information and experience and enhancing synergies in areas of mutual interest.

73. The Executive Secretary sent letters to the International Trade in Endangered Species of Wild Fauna and Flora drawing their attention to this and other decisions relevant to any collaboration with them.

Cooperation with the International Plant Protection Convention (paragraph 31 to 34)

74. In paragraph 32, COP requested the Executive Secretary to continue to maintain close cooperation with the Interim Commission on Phytosanitary Measures of the International Plant Protection Convention with regards to the development of standards for plant pest risk analysis involving living modified organisms.

75. The Executive Secretary sent letters to the International Plant Protection Convention drawing their attention to this and other relevant decisions.

**DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND
SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN,
ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS**

AND

DECISION VI/4. BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS

76. COP-6 adopted decision VI/6 on “Biological diversity of dry and sub-humid lands”. In this decision, the COP took note of the progress made (paragraph 1), recognized the interlinkages between biodiversity, desertification/land degradation and climate change (paragraph 2) and requested the Executive Secretary to prepare a proposal for the development of a mechanism to coordinate activities in these areas in collaboration with relevant conventions (paragraph 2).

77. The Executive Secretary sent letters to a number of organizations drawing their attention to this and other decisions of particular relevance to them.

78. The inter-sessional work of the AHTEG on dry and sub-humid lands is currently being carried out after its first meeting in March 2002 in preparation for the second ad hoc technical expert group meeting scheduled for 23 to 27 September 2002 in Montreal.

**DECISION VI/6. THE INTERNATIONAL TREATY ON PLANT GENETIC
RESOURCES FOR FOOD AND AGRICULTURE**

79. During the sixth meeting of the Conference of the Parties the International Treaty was considered under agenda item 16 agricultural biological diversity. The COP adopted decision VI/6 on the Treaty and requested the Executive Secretary to:

- Develop cooperation with the Secretariat of the Commission on Genetic Resources for Food and Agriculture (CGRFA) acting as the Interim Committee for the International Treaty on Plant

/...

Genetic Resources for Food and Agriculture and, upon its establishment, with the Secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture (paragraph 5);

- Convey the decision to the Commission on Genetic Resources for Food and Agriculture acting as the Interim Committee for the International Treaty on Plant Genetic Resources for Food and Agriculture (paragraph 6).

80. A representative of the Secretariat participated in the side-event on the Treaty organized by FAO in The Hague in the margins of COP-6.

81. The Executive Secretary sent a letter to FAO drawing their attention to this and other decisions of particular relevance to them. The Executive Secretary conveyed the decision to the CGRFA through a letter sent to the CGRFA Secretariat.

DECISION VI/30. PREPARATIONS FOR THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

82. COP requested the Executive Secretary to prepare for the priority themes for the seventh meeting of the Conference of the Parties as outlined in the document UNEP/CBD/COP/6/4 (paragraph 1); the priority themes are mountain biodiversity, protected areas and technology transfer and cooperation. COP also invited Parties, other Governments and relevant organizations to provide appropriate financial support for the organization of the AHTEG for the three priority themes (paragraph 3).

Mountain biodiversity

83. The Secretariat held an informal meeting with experts from national and international institutions on mountain biodiversity, in preparation for SBSTTA-8 and COP-7. The Secretariat is in the process of preparing background documents in preparation for SBSTTA-8. An outline of each of the proposed documents was discussed with the experts.

84. A representative of the Secretariat participated in the side event on mountain biodiversity organized by DIVERSITAS and its Global Biodiversity Mountain Assessment (GBMA) programme.

Protected areas

85. COP encouraged the Executive Secretary, in preparation for the protected areas theme at the seventh meeting of the Conference of the Parties to actively collaborate with the Vth World Congress on Protected Areas, as well as with other appropriate conventions, international organizations, and non-governmental organizations (paragraph 2).

Technology transfer and cooperation

86. In decision VI/25, paragraph 4 and 10, Parties are invited to submit thematic reports on technology transfer and cooperation no later than 30 March 2003. The COP also noted the status of implementation of technology transfer and cooperation in the framework of Articles 16 and 18, in particular its scientific, technological and technical aspects. In document UNEP/CBD/COP/6/4 referred to in paragraph 1 of decision VI/30, the Executive Secretary is requested to undertake the following tasks: (i) review the status of implementation of COP decisions relevant to Articles 16 and 18 and related provisions, including opportunities and obstacles; (ii) further inventory categories of technologies in thematic and cross-cutting issues and assess their potential impacts on biodiversity and their requirements for successful application, (iii) compile success stories, (iv) develop a proposals on future work, and (v) establish an AHTEG.

SOCIAL ECONOMIC AND LEGAL MATTERS

87. During the period under review, the work of the SEL unit consisted of servicing the sixth meeting of the Conference of the Parties in the respective programme areas, and in follow-up activities related to COP-6: the compilation of COP requests to Parties, to the Executive Secretary, and international organizations on relevant issues; the planning of activities on relevant issues for the biennium 2003-2004, according to the relevant decisions made by the Conference of the Parties; the updating of the website; and the preparation of information letters to relevant international organizations on the accomplishments of COP-6 and of notifications to Parties. Furthermore, SEL staff members participated in meetings of various international organizations to brief them on COP-6 results, as well as in the Ministerial Meeting for Latin America and the Caribbean.

DECISION V/15 AND DECISION VI 15 INCENTIVE MEASURES

88. During its sixth meeting, the Conference of the Parties adopted Decision VI/15 on incentive measures. In this decision, COP-6 endorsed the proposals for the design and implementation of incentive measures and the recommendations for future cooperation that were submitted by the Subsidiary Body on Scientific, Technical and Technological Advice, and invited Parties to take these proposals into consideration. It encouraged Parties and relevant organizations to submit case studies and relevant information on incentive measures, especially on positive and perverse incentives, to the Executive Secretary. It requested the Executive Secretary to continue compiling and disseminating the submitted information, and to elaborate, in cooperation with relevant organizations, proposals for the application of ways and means to remove or mitigate perverse incentives for consideration by SBSTTA at a meeting prior to COP-7.

89. The Secretariat prepared its programme of work under this item, compiled the relevant actions to be taken by Parties, the Executive Secretary and relevant intergovernmental organizations in view of implementing decision VI/15 over the next biennium, and updated the webpages on incentives to reflect the recent developments. Letters were also prepared to a number of relevant international organizations, informing them of decision VI/15 among others and elements of these decisions of particular relevance to them with respect to further collaboration.

90. The Executive Secretary wrote to the Director General of the WTO, to request that the observer status already granted to the Secretariat for the regular meetings of the WTO Committee for Trade and Environment (CTE) be extended to the upcoming meetings of the Special Session of CTE.

91. In addition to these follow-up activities, the Secretariat participated in the fourteenth meeting of the OECD Working Group on Economic Aspects of Biodiversity, which was held in Paris from 16 – 17 May 2002. The representative of the Secretariat briefed participants on the main accomplishments of COP-6, in particular the economic aspects, and provided advice to the Working Group in assuring coherence between the CBD process and programme of work on incentive measures and the further activities of the Working Group. In order to provide further input to CBD and to link their work to the requests emanating from COP-6, the participants decided *inter alia* to finalize their planned Handbook of Market Creation for Biodiversity prior to COP-7.

92. The Secretariat participated in the regular meeting of the WTO Commission on Trade and Environment (CTE), which was held in Geneva on 13 – 14 June 2002, following the meeting of the Special Session of the CTE on 11 and 12 June 2002. The meeting included a MEA information session and, *inter alia*, a discussion of paragraph 32 (ii) of the Doha declaration, on the provisions of the TRIPS Agreement relevant for the CTE agenda of work. During the MEA information session, the representative of the

Secretariat briefed delegates on the major trade-related accomplishments of COP-6. He also provided information on trade-related technical assistance provided under the Convention, on capacity-building and other mechanisms to support implementation, and on information exchange and future cooperation with the WTO. The discussion that followed the information session, on the relevant provisions of the TRIPS Agreements, focused on CBD and its provisions on ABS and traditional knowledge

**DECISION V/16 AND DECISION VI /10
ARTICLE 8 (j) AND RELATED PROVISIONS**

93. The Conference of the Parties addressed Article 8(j) and related provisions in its Decision VI/10. The main issues addressed in this decision include the following:

- Report on progress in the integration of relevant tasks of the programme of work on Article 8(j) and related provisions into the thematic programmes of the Convention on Biological Diversity
- Review of progress in the implementation of the priority tasks of the programme of work on Article 8(j) and related provisions;
- Outline of the composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biodiversity;
- Recommendations for the conduct of cultural, environmental and social impact assessment regarding developments proposed to take place on, or which are likely to impact on, sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities
- Participatory mechanisms for indigenous and local communities; AND
- Assessment of the effectiveness of existing subnational, national and international instruments, particularly intellectual property rights instruments, that may have implications for the protection of the knowledge, innovations and practices of indigenous and local communities.

94. In order to further advance the implementation of the work programme on Article 8(j) and related provisions, the COP decided to reconvene the *Ad Hoc* Open-ended Intersessional Working Group on Article 8(j) and related provisions prior to the seventh meeting of the COP, in 2004.

95. A Notification was sent to Parties and Organizations requesting their input in the work on various elements of decision VI/10. Another notification was sent to Parties, NGOs and organizations inviting expressions of interest from consultants for the preparation of the composite report on the status and trends of traditional knowledge.

96. As part of the cooperation with other organizations, agencies and conventions on Article 8(j), the Secretariat participated in the following meetings:

- first session of the Permanent Forum on Indigenous Issues held at the UN headquarters, New York in May 2002, and
- the third meeting of the WIPO Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore held in Geneva from 13-21 June, 2002.

97. The Secretariat representative briefed participants in the WIPO Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore on COP-6 results and on the questions submitted to WIPO by COP-6. The Committee supported the WIPO proposals on steps to address these issues.

98. In addition, a Memorandum of Cooperation was signed by the CBD Secretariat and WIPO.

99. The Secretariat made a presentation on the work of the Convention as it relates to traditional knowledge to a group of representatives of indigenous communities from the Americas at a seminar on the CBD and Traditional Knowledge organized by the Université du Québec in Montreal.

**DECISION V/18 AND DECISION VI/11
IMPACT ASSESSMENT, LIABILITY AND REDRESS**

100. During its sixth meeting, the Conference of the Parties adopted Decision VI/11, on Liability and Redress (Article 14, Paragraph 2). In its decision, COP-6 requests the Executive Secretary to continue collecting relevant information and to conduct analysis of such information and other relevant issues, and to convene a group of legal and technical experts with the mandate to review the gathered information and conduct further analysis on a number of pertinent issues.

101. The Secretariat analysed the elements of the decision and prepared its work programme for 2002-2004.

**DECISION V/24 AND DECISION VI/13
SUSTAINABLE USE AS A CROSS-CUTTING ISSUE**

102. During the sixth meeting of the Conference of the Parties, the Secretariat was requested, in Decision VI/13 on Sustainable Use, to organize a fourth meeting on the sustainable use of biological resources, in order to synthesize outcomes, integrate different views and regional differences and develop a final set of practical principles and operational guidelines arising from the three regional workshops held in 2001-2002.

103. To facilitate the search for significant examples, which could contribute to the identification of sustainable use principles and guidelines, the Secretariat continues to compile case studies, submitted by Governments and organizations, on the sustainable use of biological diversity. Following up on Decision VI/13, a notification was sent to Parties to reiterate their invitation to submit case studies on the sustainable use of biological diversity. Submitted case studies are regularly made available through the clearing-house mechanism of the Convention.

**DECISION V/25 AND DECISION VI/14
BIOLOGICAL DIVERSITY AND TOURISM**

104. As requested by the sixth meeting of the Conference of the Parties, in its Decision VI/14 on Biological Diversity and Tourism, the draft guidelines on sustainable tourism in vulnerable ecosystems, as drafted by a group of experts and stakeholders during a workshop held in Santo Domingo from 4 to 7 June 2001, are currently under review by the Secretariat.

105. A notification was sent to Parties, relevant international organizations and indigenous and local communities to inform them about a second round of consultations on the guidelines and to request them to submit their comments to the Secretariat, should they desire to further modify the guidelines. The guidelines will be revised taking into account submitted comments and the outcome of the World Ecotourism Summit, which took place in Quebec City in May 2002.

106. In order to assess the adaptability of the guidelines, test their effectiveness and identify constraints to their implementation, the Secretariat is also gathering and analyzing the first case-studies on the implementation of the guidelines. The case studies are being compiled and made available through the clearing-house mechanism of the Convention.

107. The Secretariat participated in the World Eco-tourism Summit (WES) in Quebec City, an initiative of the World Tourism Organization (WTO) and the United Nations Environment Programme (UNEP), and collaborated in the drafting of the "Québec Declaration on Ecotourism", a set of recommendations to be delivered to the Earth Summit in Johannesburg. The CBD guidelines on sustainable tourism in vulnerable ecosystems were presented in Working Group 1 on Ecotourism Policy and Planning. An informal meeting was also organized during WES to discuss main steps required for the review of the current text. Possible areas for collaboration between SCBD and the UNEP- Sustainable Tourism office in Paris were considered.

DECISION V/26 AND DECISION VI/24 ACCESS TO GENETIC RESOURCES

108. The Conference of the Parties addressed access and benefit-sharing as related to genetic resources in its Decision VI/24. The main issues addressed in this decision are the following:

- the Bonn Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising Out of their Utilization;
- other approaches, including the development of an action plan for capacity-building;
- the role of intellectual property rights in access and benefit-sharing arrangements.

109. The Bonn Guidelines, included in the Annex to Decision VI/24A, are meant to assist in the development of legislative, administrative or policy measures on access and benefit-sharing and in the elaboration of contracts and other arrangements for access and benefit-sharing.

110. In addition, under decision VI/24B, the Conference of the Parties recognized that other approaches could be considered to complement the Bonn Guidelines to assist Parties and stakeholders in the implementation of access and benefit-sharing arrangements. The Conference of the Parties also decided to convene an Open-ended Expert Workshop on Capacity-building for Access and Benefit-sharing in order to further develop elements of an Action Plan for capacity-building.

111. With respect to the role of intellectual property rights in access and benefit-sharing arrangements, in decision VI/24C, the Conference of the Parties invited Parties and Governments to encourage the disclosure of the country of origin of genetic resources and related traditional knowledge in applications for intellectual property rights, where the subject matter of the application concerns or makes use of genetic resources in its development. However, it also recognized that further work was to be carried out on this issue in collaboration with relevant intergovernmental organizations, such as the World Intellectual Property Organisation.

112. Finally, the Conference of the Parties decided to reconvene the Ad Hoc Open-ended Working Group on Access and Benefit-sharing to address outstanding issues.

113. As a follow-up to the adoption of decision VI/24, a number of activities were carried out:

- Compilations were prepared to highlight actions to be taken by Parties, the Executive Secretary and relevant intergovernmental organizations in view of implementing decision VI/24 over the next biennium.
- Letters were also prepared to WIPO/UPOV, FAO, WTO, UNCTAD, UNCHR, informing them of decision VI/24 among others and elements of these decisions of particular relevance to them with respect to further collaboration.
- The Executive Secretary wrote to the Director General of the WTO, to renew the request for observer status on the TRIPs Council, as requested by COP. In addition, a note was prepared for the WTO TRIPs Council on the relationship between the TRIPs Agreement and the CBD in light of recent developments at COP VI.

- A notification to Parties regarding decision VI/24 was prepared to invite Parties to take specific actions to assist the Secretariat in the preparation of relevant background documentation for meetings and for the dissemination of information in view of the implementation of decision VI/24.
- The webpage on access and benefit-sharing was updated to reflect recent developments.
- A short article was prepared on the outcome of COP VI on access and benefit-sharing for the next edition of the CBD News.

114. In addition, the Secretariat participated in a meeting organized by the Quaker United Nations Organization on “Promoting participation for negotiating food and biodiversity in the post-Doha TRIPs work programme”, held in May 2002.

DECISION V/27: CONTRIBUTION OF THE CONVENTION ON BIOLOGICAL DIVERSITY TO THE TEN-YEAR REVIEW OF PROGRESS ACHIEVED SINCE THE UNITED NATIONS CONFERENCE ON ENVIRONMENT AND DEVELOPMENT

Preparations for the World Summit on Sustainable Development (Rio + 10)

115. During its sixth meeting, the Conference of the Parties considered the note submitted by the Executive Secretary on cooperation with other bodies and contribution to the 10-year review of progress achieved since the United Nations Conference on Environment and Development (UNCED). The Conference of the Parties adopted Decision VI/21, which was annexed to the Hague Ministerial Declaration of the Conference of the Parties. In this decision, the Executive Secretary is requested to continue to participate actively in the preparatory process for the World Summit on Sustainable Development (WSSD), and in the summit itself. The annex to this decision describes the experience gained and lessons learned in implementing the Convention on Biological diversity, and submits ideas and proposals for the way forward for the further implementation of Agenda 21.

116. The Secretariat transmitted the Hague Ministerial Declaration and its annex to the Commission on Sustainable Development and the fourth preparatory committee meeting for the WSSD.

117. The Secretariat also participated in the fourth preparatory committee meeting for the WSSD that took place in Bali, Indonesia. The Ministerial Declaration and the Annex constituted an important input into the Draft Plan of Implementation for the World Summit on Sustainable Development adopted by the preparatory committee for transmission to the WSSD.

Activities carried out pursuant new decisions made by COP-6

118. A number of issues were serviced by the SEL unit during the sixth meeting of the Conference of the Parties which were reflected in new decisions taken by the Conference of the Parties.

Decision VI/21: Annex to the Hague Ministerial Declaration

119. The Ministerial Declaration and the Annex constituted an important input into the Draft Plan of Implementation for the World Summit on Sustainable Development adopted by the preparatory committee for transmission to the WSSD.

120. The Secretariat transmitted the Hague Ministerial Declaration and its annex to the Commission on Sustainable Development and the fourth preparatory committee meeting for the WSSD.

Decision VI/26: Strategic Plan

121. In this decision, the Conference of the Parties adopted a Strategic Plan for the Convention on Biological Diversity, containing a mission statement and a definition of strategic goals and objectives, and requested the Executive Secretary to provide appropriate information to the Parties at an inter-sessional meeting for consideration of the future evaluation of progress in the implementation of the Convention and the Strategic Plan. The Secretariat prepared its work programme for 2002-2004 on this issue.

Decision VI/28: Multi-year programme of work of the Conference of the Parties up to 2010

122. In this decision, the Conference of the Parties requests the Executive Secretary to prepare a multi-year programme of work up to 2010, requests Parties to submit to the Executive Secretary proposals on issues to be included into the programme of work, and decides to hold an open-ended inter-sessional meeting to consider the multi-year programme of work. The Secretariat analyzed the elements of the decision and prepared its work programme for 2002-2004.

BIOSAFETY

DECISION V/1 AND DECISION VI/1

WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY

The Biosafety Protocol

123. During the reporting period under consideration, two major meetings of relevance to the Protocol took place, namely the sixth meeting of the Conference of the Parties (COP 6) and the third meeting of the Intergovernmental Committee on the Cartagena Protocol (ICCP 3), held from 7-19 April 2002 and from 22 to 26 April 2002, respectively, in The Hague. COP 6 adopted a number of decisions of relevance to the Protocol, notably decision VI/1 regarding the ICCP, which, inter alia, endorsed the decision of the Bureau of COP 5 to convene a third meeting of the ICCP back-to-back with COP 6 to further advance preparations for the first meeting of the Conference of the Parties serving as the Meeting of the Parties to the Protocol (COP/MOP). The decision also provides for arrangements for the convening of COP/MOP 1. In decision VI/29 concerning the programme budget, COP 6 established a trust fund, on a pilot phase basis, for voluntary contributions from Parties and Governments for the specific purpose of supporting developing country Parties and Parties with economies in transition to pay for the use of experts selected from the roster of experts on biosafety.

124. The ICCP 3 meeting was attended by approximately 580 participants representing 147 Parties to the Convention and other States and more than 100 organizations. Participation of developing countries and countries with economies in transition was made possible by the generous financial contributions of: the Netherlands, Germany, Japan, New Zealand, Norway, Sweden the United Kingdom and the European Community. The report of the meeting including its recommendations (contained in document UNEP/CBD/ICCP/3/10) has been distributed to all national focal points in the six languages and is also available on the website of the Secretariat.

125. The Bureau of the ICCP held a meeting on 20 April 2002 in The Hague to discuss matters related to the organization and conduct of the third meeting of ICCP and to review progress in the implementation of the inter-sessional activities.

126. The Secretariat is currently focusing its activities on the implementation of the recommendations from ICCP 3 as part of the overall preparations for the entry into force of the Protocol and the convening of the first meeting of the COP/MOP, which may occur in 2003.

IMPLEMENTATION AND OUTREACH
DECISION V/11 AND DECISION VI/16
ADDITIONAL FINANCIAL RESOURCES

127. 127. The Secretariat serviced the COP-6 negotiations on financial mechanism with respect to financial resources, which resulted in the successful adoption of decision VI/16 on additional financial resources.

128. During the COP-6, the Secretariat participated the high-level event “Resourcing Sustainable Biodiversity: Towards implementing the Convention on Biological Diversity” organised by the European Centre for Nature Conservation (ECNC) in co-operation UNEP-Regional Office for Europe, the Netherlands Ministry of Agriculture, Nature Management and Fisheries, the Swiss Agency for the Environment, Forests and Landscape, and the Rabobank Netherland.

129. During COP-6, the Secretariat also participated in the side event “Sustainable Financing of Biodiversity Conservation - Guide on Innovative Finance Mechanisms and Conservation Finance Alliance: Action Plan” organized by the Nature Conservancy.

130. The CBD News Supplement on Financing for Biodiversity was widely distributed at the sixth meeting of the Conference of the Parties.

131. The Secretariat has been undertaking consultation with relevant stakeholders with respect to the implementation of COP-6 decisions. A work plan has also been prepared.

**DECISION V/12: REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL
MECHANISM**
DECISION V/13: ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM
DECISION VI/17: FINANCIAL MECHANISM UNDER THE CONVENTION

132. The Secretariat serviced the COP-6 negotiations on the financial mechanism with respect to both further guidance and the second review of the effectiveness of the financial mechanism, which resulted in the successful adoption of decision VI/17 on the financial mechanism under the Convention. During COP-6, the Secretariat participated in the seven side events organized by the GEF on various aspects of the GEF operation.

133. The Secretariat continued monitoring the third replenishment process of the GEF. The rescheduled final meeting in May 2002 in Washington, DC was not able to deliver a final result. Donors agreed on further informal consultations.

134. The Secretariat chaired the GEF Project Preparation Training for representatives of indigenous and local communities during COP-6.

135. The Secretariat attended the nineteenth meeting of the GEF Council: the last one for the second replenishment period, and made a statement on decisions adopted by the sixth meeting of the Conference of the Parties. For the first time, the GEF posted the statement of the Executive Secretary on its website.

136. The Secretariat compiled all provisions of the COP-6 decisions that are of direct or indirect relevance to the GEF and communicated to the GEF Secretariat in June 2002. Further consultation on their implementation is under preparation.

**DECISION V/14 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING
HOUSE MECHANISM (ARTICLE 18)**

Clearing-house Mechanism

137. During the period under review the CHM participated in the following meetings and undertook the following activities:

- the sixth meeting of the Conference of the Parties, held in The Hague, The Netherlands on 7 to 19 April 2002;
- the Third Meeting of the Intergovernmental Committee For The Cartagena Protocol On Biosafety held in The Hague, The Netherlands on 22 to 26 April 2002;
- the Informal Advisory Committee of the Clearing-house Mechanism on 9 April 2002 in The Hague, The Netherlands;
- a joint Clearing-house Mechanism National Focal Point/Informal Advisory Committee meeting in support of Decision V/14 (7);
- the Global Invasive Species Programme workshop on the Development of a Nordic/Baltic Invasive Species Informational Network from 21-23 May 2002 in Tallinn, Estonia;
- the CHM made available through the CBD website, and in hypertext Markup Language (HTML), the Global Biodiversity Outlook;
- The CHM continues to participate in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety;
- Work continues on the updating of the CHM Toolkit; and
- Discussions are underway on a possible partnership with the Inter-American Biodiversity Information Network in response to Decision V/14, Annex II (f).

DECISION V/17: EDUCATION AND PUBLIC AWARENESS

138. The Executive Secretary addressed and attended the 16 th session of the Global Biodiversity Forum (GBF 16), held in The Hague, The Netherlands, on 5 and 6 April 2002. The GBF 16 dedicated a special workshop to " The Role of Communication, Education and Public Awareness in mainstreaming biodiversity".

139. During the first day of COP 6 a video on Communication, Education and Public Awareness (CEPA) was shown; this special video was produced by IUCN/UNESCO and SCBD. A side event on the issue was organized on 10th of April 2002.

140. COP 6 adopted decision VI/19 on Communication, Education and Public Awareness, including a programme of work, containing three programme elements with among others, operational objectives, proposed actions, partners, a time frame and a budget.

141. The Executive Secretary addressed the Opening of the Youth Conference on 14th of April. The purpose of this Youth Forum, organized in conjunction with COP 6 from 14 to 18 April 2002, was to make the next generation familiar with and aware of biodiversity issues and with the work and aims of the Convention on Biological Diversity. The participants learned to understand the challenges facing biodiversity and how to live in a responsible future.

142. The Secretariat attended the first Multi-Stakeholder Dialogue held during a meeting of the Conference of the Parties to the Convention, attended by 200 delegates from Parties and civil society organizations.

143. The Secretariat attended the Workshop for the development of a SBSTA Work Programme on Article 6 of the Framework Convention on Climate Change on Education, Training and Public Awareness, organized by the UNFCCC - Secretariat in Bonn, Germany on 2.3.June 2002.

144. Finally a letter was sent to UNESCO, the appointed partner for the global initiative on CEPA, to establish the formal cooperation process to implement Decision 6/19.

Library and documents management

145. From April to June 2002 the main part of the activities was devoted to the production of CBD publications. A total of 86 references of the bibliographic database of data were edited during the period under review. Three lists of new acquisitions were distributed, and a mailing list is being implemented to facilitate the distribution of SCBD documents.

Publications

146. Preparations continued for the production of the following publications in Arabic, Chinese and Russian:

- Biodiversity and tourism brochure;
- Global Taxonomy Initiative leaflet;
- Promoting action leaflet;
- two CBD panels for the UN exhibition panel at the Standton Centre;
- text for the joint brochure with UNCCD and UNFCCC;
- update for the brochure RIO + 10;
- CBD Newsletter No. 1, volume 2, and
- Special Supplement for the Johannesburg Summit.

147. Preparations also started for the production of the COP6 decisions booklet, and continued for the participation of the CBD in the Johannesburg Summit.

Public education

148. The Secretariat organized an exposition of SCBD publications in the "ruelle des Fortifications" in Montreal and participated in the organization of activities on the occasion of the International Day for Biological Diversity.

CBD website

149. A webpage on the Global Biodiversity Outlook (GBO) has been produced.

.DECISION V/19 AND DECISION VI/25 NATIONAL REPORTING

150. At its sixth meeting, the Conference of the Parties adopted the Decision VI/25 on national reports. In accordance with the decision VI/25, Parties are invited to submit thematic reports on mountain ecosystems, protected areas and transfer of technology and technology cooperation. Hard copies of the

formats for these thematic reports in all the six United Nations languages have been distributed to the Parties, together with a Notification for this purpose. Meanwhile these formats in all the six UN languages have been posted on the website of National Reports.

151. In keeping with Decision VI/25, a letter has been prepared and will be sent to those Parties that have not submitted a second national report to solicit relevant information concerning the non-, or late submission of the second national reports. The relevant information will be compiled and presented to the seventh meeting of the Conference of the Parties with a view to facilitate the preparation of the third national reports.

152. Preparations are under way for developing the formats for the third national reports. A list of obligations for the Parties arising from the decisions of the sixth meeting of the Conference of the Parties is being prepared to provide a basis for developing the format for the third national reports. Meanwhile, in cooperation with the United Nations Environment Programme and the United Nations Development Programme, information is being gathered on how to assess the implementation of national biodiversity strategies and action plans through national reporting under the Convention. Consultations are being held with relevant Parties in order to improve the methodology for the third national reports.

153. A letter has been forwarded to the Global Environment Facility to transmit all the requests from the sixth meeting of the Conference of the Parties to the financial mechanism of the Convention, including a request to address the financial difficulty experienced by a considerable number of developing country Parties in the preparation of their second national reports.

154. In keeping with Decision VI/22, consultation is being undertaken to prepare for the format on the section on the implementation of the programme of work on forest biological diversity in the third and subsequent national reports.

155. By the end of June 2002, a total number of eighty-one second national reports have been submitted to the Secretariat. Submissions of national biodiversity strategies and action plans, total eighty-nine.

DECISION V/20 AND DECISION VI/27 OPERATIONS OF THE CONVENTION

156. At its sixth meeting, the Conference of the Parties adopted Decision VI/27, on the operations of the Convention. The Secretariat analyzed the elements of the decision and prepared its work programme for 2002-2004.

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

I Personnel Arrangements

1. During the reporting period, the Secretariat had 27 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 7 professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

II Contributions and Funds

2. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, two new pledges were made to the BE Trust Fund during the second quarter of 2002. New pledges were received from the Governments of Spain and the United States of America. The Government of Spain pledged funds for the Technical Expert Group Meetings on Articles 18.2 (a) of the Cartagena Protocol on Biosafety, Montreal, Canada, 18-20 March 2002, and the Government of the USA pledged funds for the second meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas, Nelson, New Zealand 20-24 May 2002.

3. For 2002 a total of US\$685,807 has been pledged to the BE Trust Fund, of which US\$507,238 has been collected as at 30 June 2002. Additionally, \$202,277 was collected in 2002 for pledges from 2001 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 30 June 2002, total unpaid pledges to the BY Trust Fund for 2001 and prior years amounted to US\$1,611,391. The total amount pledged for the BY Trust Fund in 2002 is US\$6,911,000. Of this amount, US\$3,659,639 or 53% has been received as at 30 June 2002. Additionally, US\$1,175,000 has been pledged as additional contributions to the BY Trust Fund. Total unpaid pledges to the BY Trust Fund for 2002 amounts to US\$4,426,361. Total unpaid pledges for 2002 and prior years is therefore US\$5,296,191. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

5. As at 30 June 2002 a total of US\$423,396 was pledged for 2002 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Australia (\$10,000), Germany (\$11,536), Ireland (\$38,180), Japan (\$45,000), the Netherlands (\$80,000), New Zealand (\$16,664), Norway (\$63,857), Sweden (\$84,694) and the United Kingdom (\$71,835).

6. As at 30 June 2002, contributions to the BZ Trust Fund in 2002 amounted to US\$423,396 or 100% of the total pledged for 2002. A total of US\$96,363 remains outstanding for the BZ Trust Fund for prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

III Accounting and Reporting

Reimbursement for Services provided to the Secretariat

8. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service administrative post to be paid from PSC.

Conference and Other Services

9. During the period April to June 2002, UNON provided conference servicing assistance to the CBD for the sixth meeting of the Conference of the Parties which took place in the Hague, the Netherlands from 7-19 April 2002, and the third Meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety, which took place in the Hague, the Netherlands, from 22-26 April 2002.

Appendix 1
STAFFING TABLE of the Secretariat of the Convention on Biological Diversity
1 April – 30 June 2002

Post #	Level	Title	PROFESSIONAL STAFF
1101	D2	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray – (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Vacant (Recruitment in progress)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Mr. M. Lehmann (Regularized)
1110	P4	PO Conservation Ecology (STTM)	Vacant (Recruitment in progress)
1111	P4	PO, Agricultural Biodiversity (STTM)	Ms. M. Gauthier –(Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	P3	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P5	PO, Reports & Reviews (I&O)	Vacant (Recruitment in progress)
1117	P3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	P3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	P3	Information Officer (I&O)	Ms. M. Stricker (Regularized)
1120	P3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	P3	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	P3	PO, Financial Resources (I&O)	Mr. Y. Xiang (Regularized)
1124	P3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1126	P3	Associate Programme Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P2	Associate Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1129	L5	Senior Programme Officer (STTM & I&O)	Mr. A. Heydendael (Regularized) (Post funded by The Netherlands)
1131	P4	PO, Inland Waters (STTM)	Ms. P. Mirabzadeh (Regularized)
1132	P4	PO, Scientific & Technical Assessments (STTM)	Vacant (Recruitment in progress)

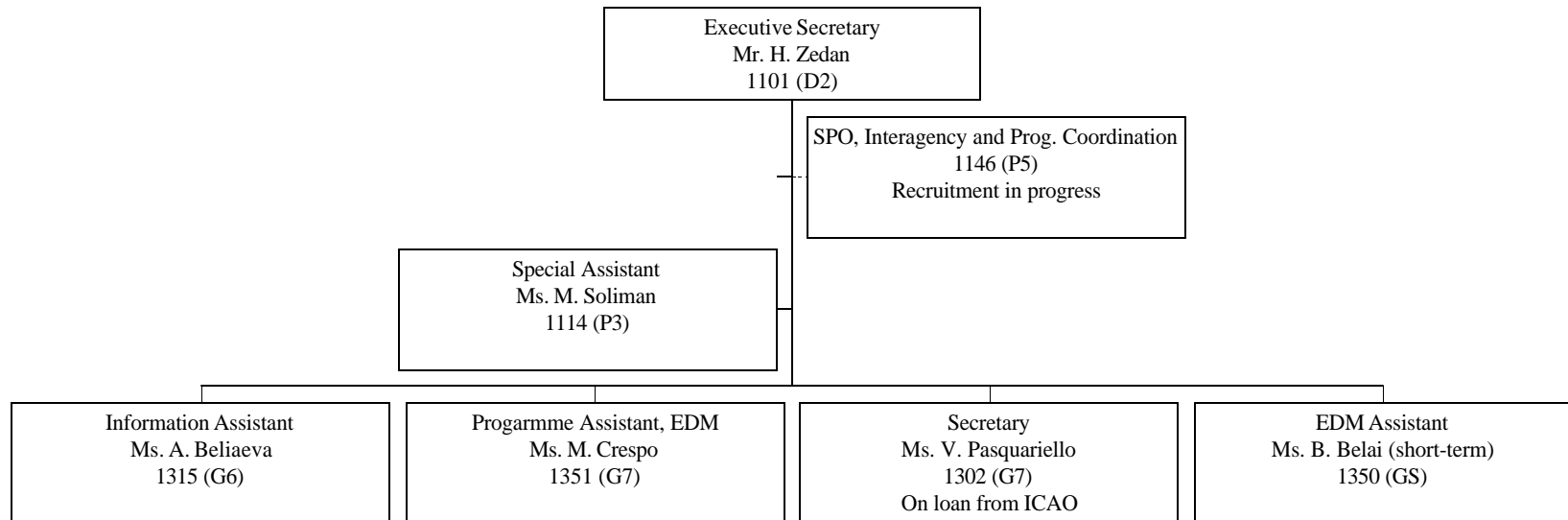
Post #	Level	Title	PROFESSIONAL STAFF
1133	P3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)
1134	P3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)
1135	P4	Editor (RMCS)	Mr. J. Fry (Regularized)
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)
1137	P4	Taxonomy, PO (STTM)	Vacant (Post being re-classified)
1139	L2	JPO, EIS (STTM)	Ms. I. Chung (Regularized) (Post funded by Republic of Korea)
1141	P4	PO, Dry and Sub-humid lands (STTM)	Vacant (Recruitment process completed) – new post
1142	P3	PO, Reports (I&O)	Mr. L. Cai (Regularized)
1143	P3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)
1145	P3	PO, Scientific Assessments (Biosafety)	Mr. R. Hill (Regularized)
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Vacant (Recruitment in progress) – new post
1147	L5	Senior Professional Officer, Forests (STTM)	Mr. H. Toivonen (Regularized) (Post funded by Finland)

Post #	Level	Title	GENERAL SERVICE STAFF
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment from ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Vacant (post advertised)
1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)
1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)
1312	G7	Personnel Assistant (RMCS)	Ms. J. Martinez (seconded from ICAO) (post funded by UNEP)
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Ms. S. Castelagne (short-term)

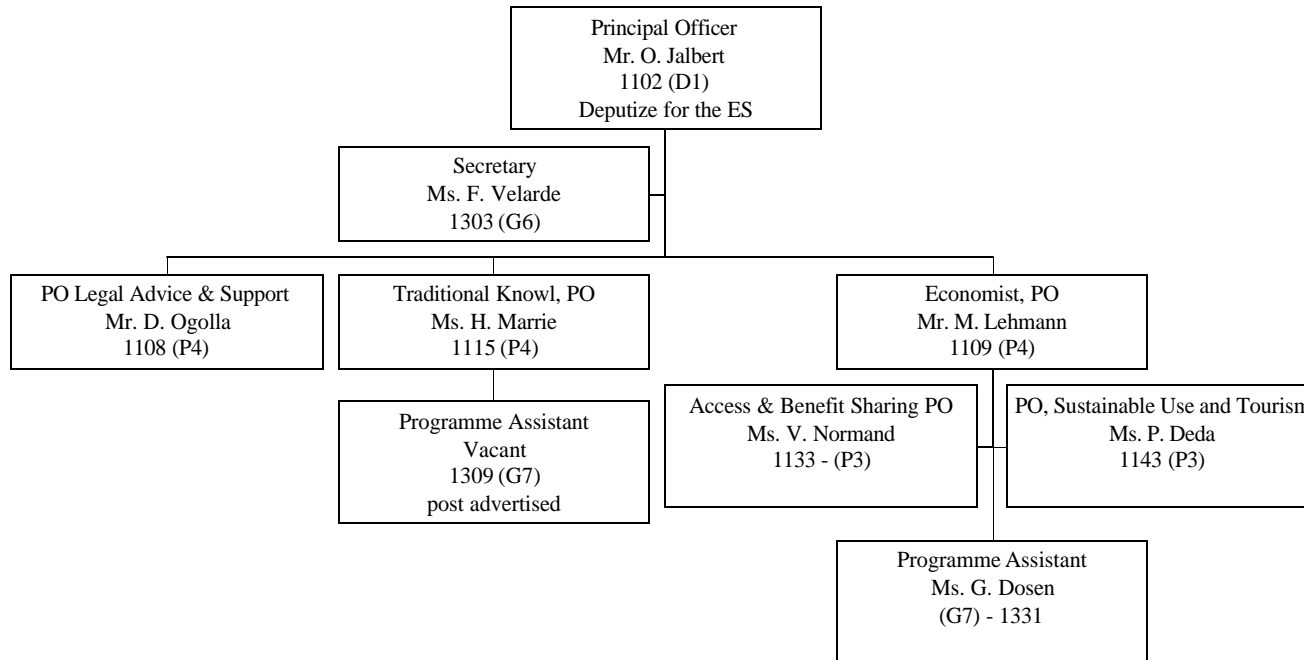
Post #	Level	Title	GENERAL SERVICE STAFF
1315	G6	Information Assistant (EDM)	Ms. A. Beliaeva (Regularized)
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Contributions Assistant - (RMCS)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Ms. T. Zavarzina (short-term). Post funded by UNEP
1350	G5	EDM Assistant – (EDM)	Ms. B. Belai (short-term)
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D’Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Ms. M. Ocampo (Regularized)

Annex II

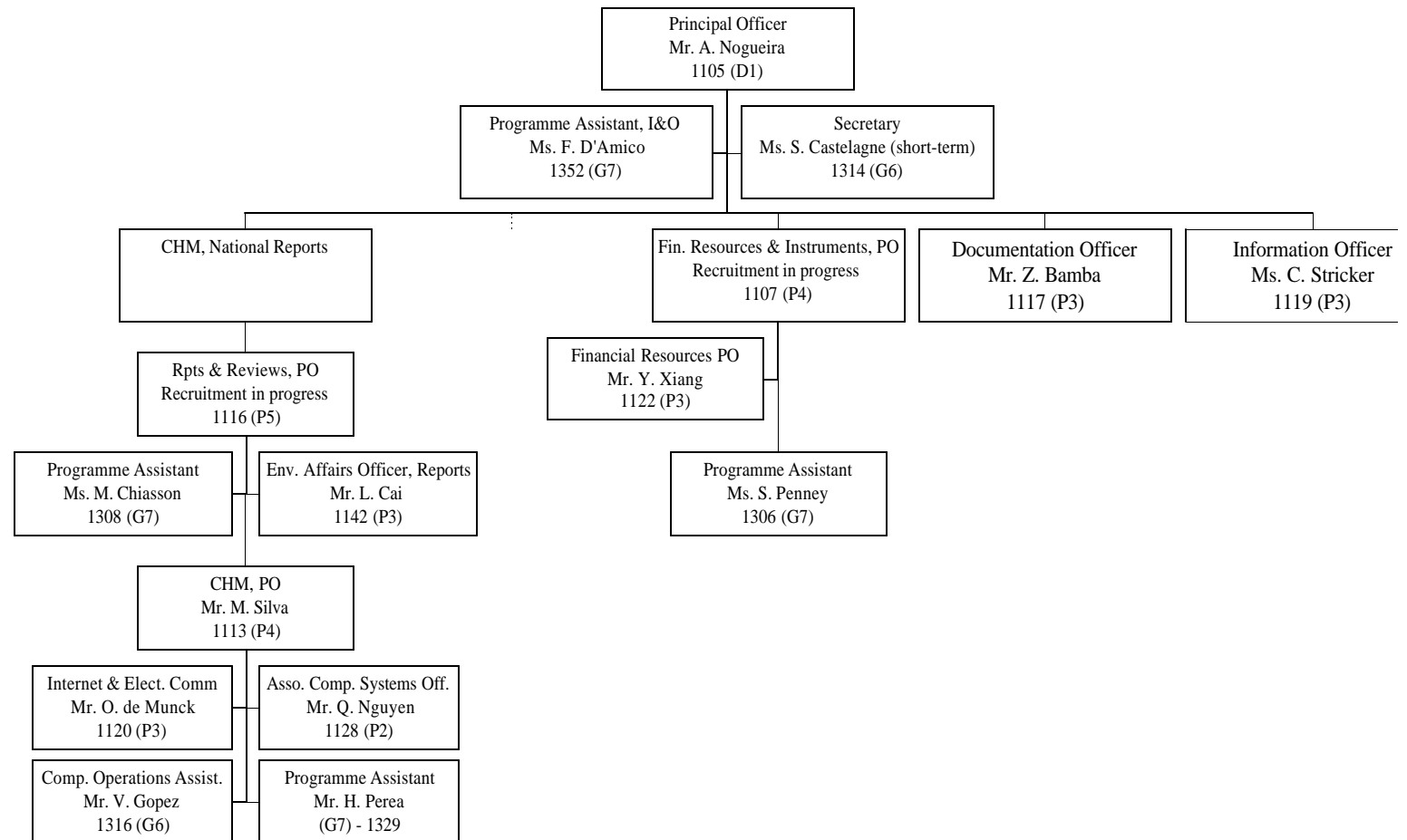
Executive Direction, Management & Inter-governmental Affairs



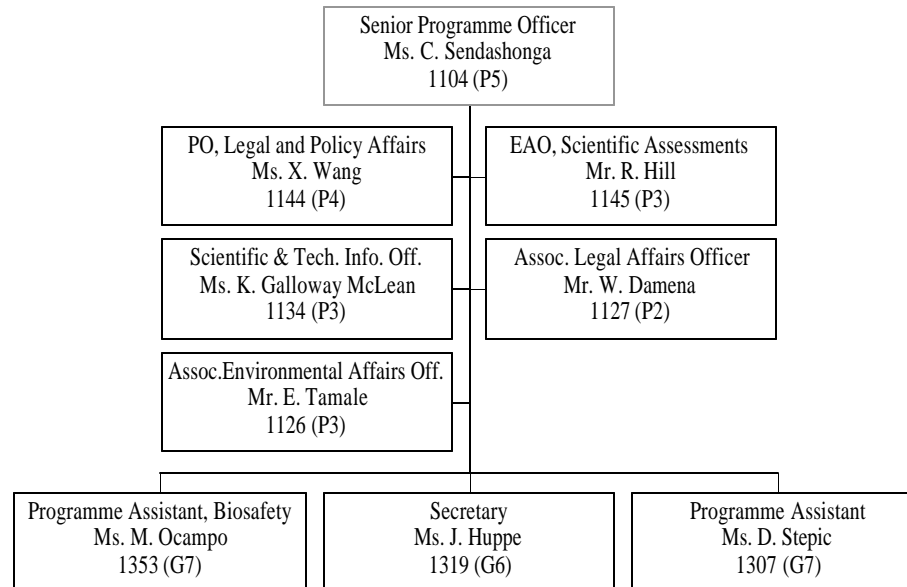
Social, Economic and Legal Matters



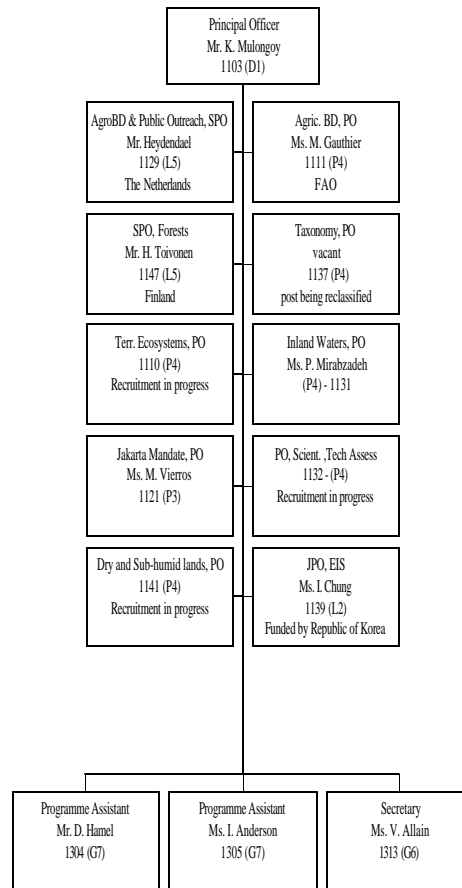
Implementation & Outreach



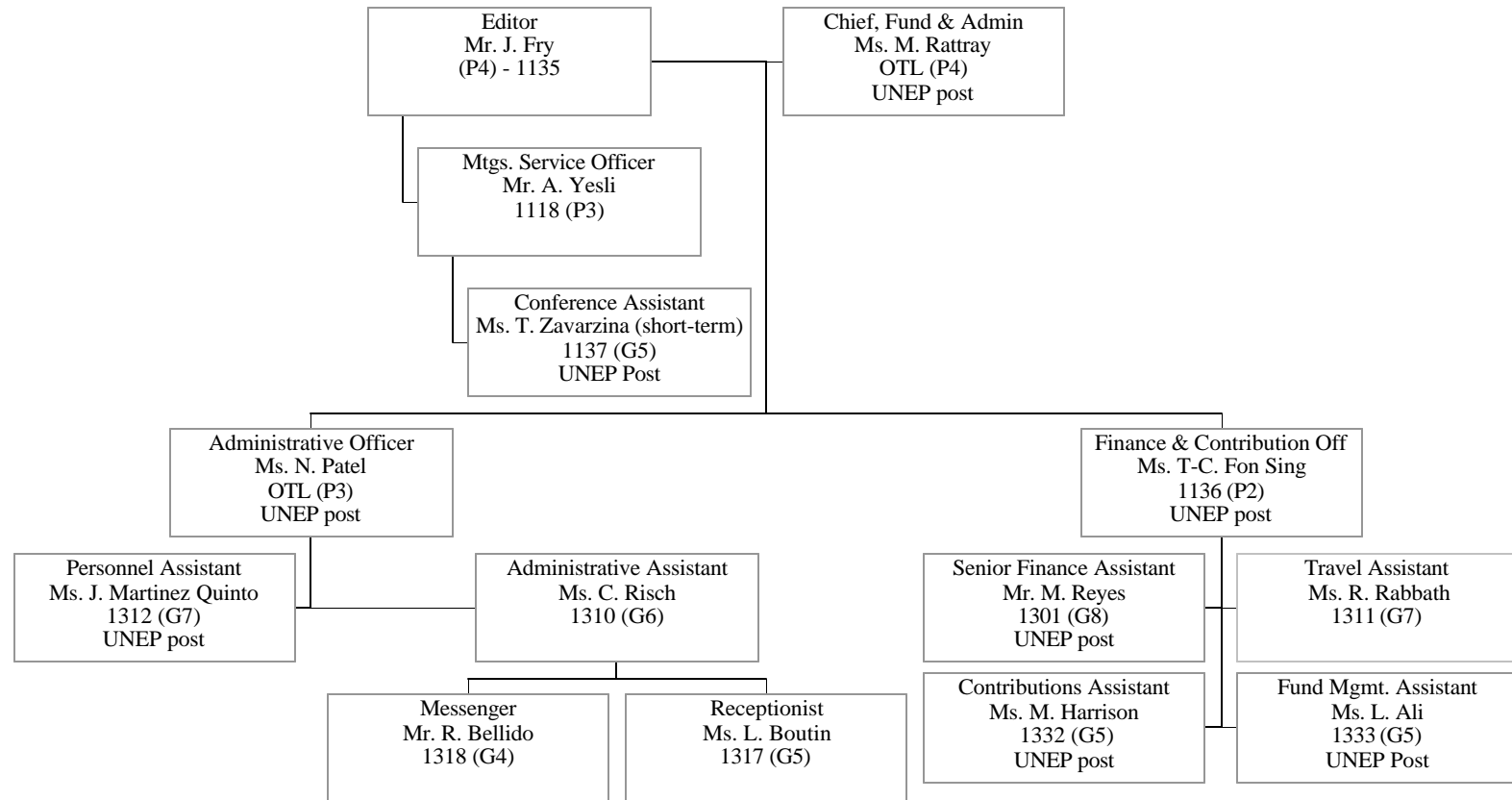
Biosafety



Scientific, Technical & Technological Matters



Resource Management & Conference Services



ANNEX III

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)

Status of Contribution as at 30 June 2002 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.01	Adjustments	Pledges for 2002	Collections in 2002 for prior years	Collections in 2002 for 2002 & futures yrs	Unpaid pledges for 2002 & prior years
Canada	78,616	1/		38,118		40,498
Canada	100,000	2/		100000		0
Canada			2,238	19/		2,238
Denmark	3,415	3/				3,415
France	886	4/				886
Germany	20,882	5/				20,882
Japan			20,000	15/	20,000	0
Japan			30,000	16/	30,000	0
Netherlands	99,000	6/		99,000		0
Netherlands	99,000	7/		99,000		0
Netherlands	99,000	8/		2,000		97,000
Netherlands	147,000	9/				147,000
Netherlands	0		455,000	21/	455,000	0
Spain	25,000	10/				25,000
Spain			27,076	24/		27,076
Sweden	38,095	22/		23,020		15,075
Sweden			42,493	23/		42,493
Switzerland	30,139	11/		30,139		0
Switzerland			35,000	17/		35,000
UK	6,000	12/				6,000
USA	10,000	13/				10,000

COUNTRIES	Unpaid Pledges as at 31.12.01	Adjustments	Pledges for 2002	Collections in 2002 for prior years	Collections in 2002 for 2002 & futures yrs	Unpaid pledges for 2002 & prior years
USA	0		50,000	18/		50,000
USA			24,000	25/		24,000
FAO	10,000	14/			10,000	0
FAO		20/	111,000		111,000	0
Total	767,033		685,807		512,277	546,563

1/Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety

2/Canada pledge US\$100,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

3/Denmark pledge in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

4/France pledge for workshop on Liability and Redress - June 2001, Paris, France

5/ Germany pledge for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany

6/ Netherlands pledge for African Workshop on Sustainable Use of Biodiversity

7/ Netherlands pledge for Asian Workshop on Sustainable Use of Biodiversity

8/ Netherlands pledge for Latin American and the Caribbean Workshop on Sustainable Use of Biodiversity

9/ Netherlands pledge US\$147,000 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002

10/Spain pledge US\$25,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana, Cuba 11-13 July 2001

11/Switzerland pledge CHF50,000 for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland 21-25 January 2002

12/UK pledge of US\$6,000 for two consultants on Forests Biological Diversity

13/USA pledge for GISP Meeting on Global Invasive Species

14/FAO pledge towards the settlement of invoices for Ocean Atlas

15/Japan pledge for the Asia and Pacific Regional Meeting on Capacity Building for Biosafety

16/Japan pledge for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002

17/Switzerland pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

18/USA pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

19/Canada pledge towards the participation of Ms. Esther Camac from Costa Rica to the 2nd Meeting of the Ad Hoc Open-Ended Inter-sessional Working Group

20/FAO 1999 pledge towards the secondment of a Programme Officer to SCBD. Pledge was received but not allocated.

21/Netherlands, pledge for COP-6 and ICCP-3, the Hague, the Netherlands, 7-26 April 2002

22/Sweden pledge SEK400,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2001

23/Sweden pledge SEK450,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2002

24/Spain pledge Euros 30,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

25/USA pledge US\$24,000 for the 2nd Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas, New Zealand, 20-24 May 2002

ANNEX IV**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**

Status as at 30 June 2002 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.01		Adjustments		Pledges for 2002	Collections in 2002 for prior years	Collections in 2002 for 2002 & fut yrs	Unpaid pledges for 2002 & prior years
Australia				12/	10,000		10,000	0
Central African Rep.	2,000	1/						2,000
Central African Rep.	1,500	2/						1,500
Denmark	15,512	3/						15,512
Denmark	94,118	4/				75,525		18,593
EEC	43,758	5/						43,758
Germany				14/	11,536		11,536	0
Ireland				17/	38,180		38,180	0
Japan				8/	45,000		45,000	0
Netherlands				7/	80,000		80,000	0
New Zealand				9/	16,664		16,664	0
Norway				13/	63,857		63,857	0
Sweden				10/	28,409		28,409	0
Sweden				11/	57,915		57,915	0
Switzerland	15,000	6/						15,000
UK				15/	42,675		42,675	0
UK				16/	29,160		29,160	0
Total	171,888		0		423,396	75,525	423,396	96,363

** Please note that the figures in bracket () means credit

1/Central African Republic pledge for Biosafety Protocol Meeting, Vienna Austria, 15-19 September 1999

2/Central African Republic pledge for Art. 8(j) meeting in Seville 27-31 March 2000

3/Denmark pledge for travel expenses for Central and Eastern European countries-COP-5, Nairobi Kenya, 15-26 May 2000

4/Denmark pledge DKK 800,000 to support participation of Representatives at the Central and Eastern European Regional Workshop, February 2002

5/EEC pledge towards the second Meeting of ICCP on Biosafety, the seventh Meeting of the SBSTTA and the intersessional Meeting on the Strategic Plan. Grant Agreement SUB 01/324311

6/Switzerland pledge for SBSTTA-3, Montreal Canada, 1-5 September 1997

- 7/The Netherlands pledge towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 8/Japan pledge US\$45,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 9/New Zealand pledge NZ\$40,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 10/Sweden pledge SEK300,000 towards the ICCP-3 Meeting, the Hague, the Netherlands, 22-26 April 2002
- 11/Sweden pledge SEK600,000 towards the Article 8(j) Meeting, Montreal, Canada, 4-8 February 2002 and COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002
- 12/Australia pledge US\$10,000 towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002
- 13/Norway pledge NOK500,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 14/Germany pledge towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002
- 15/ UK pledge UK pounds 30,000 towards COP 6, the Hague, the Netherlands, 7-19 April 2002
- 16/UK pledge US\$29,160 towards the ICCP-3 meeting, the Hague, the Netherlands, 22-26 April 2002
- 17/Ireland pledge Euros 40,000 for SBSTTA-8 and other meetings

ANNEX V

**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)
as at 30 June 2002**

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
	US \$	US \$			US \$	US \$	US \$	US \$
Albania	0	274	110			0	164	164
Algeria	7,552	7,841				7,552	7,841	15,393
Angola	0	912	912		887	0	(887)	(887)
Antigua & Barbuda	0	182	182			0	0	0
Argentina	124,243	100,566				124,243	100,566	224,809
Armenia	19,782	547				19,782	547	20,329
Australia	0	135,213	135,213		150,455	0	(150,455)	(150,455)
Austria	0	85,887			85,887	0	0	0
Azerbaijan	965	1,002				965	1,002	1,967
Bahamas	0	1,368	1,368			0	0	0
Bahrain	0	1,550				0	1,550	1,550
Bangladesh	0	912			479	0	433	433
Barbados	0	729	3		702	0	24	24
Belarus	74,881	5,197		5,197		69,684	5,197	74,881
Belgium	0	100,658				0	100,658	100,658
Belize	0	91				0	91	91
Benin	128	182				128	182	310
Bhutan	0	91	91			0	0	0
Bolivia	0	638				0	638	638
Botswana	0	912			912	0	0	0
Countries	Unpaid Pledges as at	Pledges for 2002	Collections in 2001 for	Collections in 2002 for prior	Collections During 2002	Unpaid Pledges for	Unpaid Pledges for	Unpaid Pledges for 2002 & Prior years

	31/12/01		2002	years	for 2002 & future years	Prior Years	2002	
Brazil	232,816	134,119				232,816	134,119	366,935
Bulgaria	966	1,003				966	1,003	1,969
Burkina Faso	464	182				464	182	646
Burundi	307	91				307	91	398
Cambodia	88	91				88	91	179
Cameroon	1,054	1,185				1,054	1,185	2,239
Canada	0	249,091			249,091	0	0	0
Cape Verde	2,180	182				2,180	182	2,362
Central African Rep.	138	91		138	66	(0)	25	25
Chad	2,415	91				2,415	91	2,506
Chile	0	3,282	26,771		3,161	0	(26,650)	(26,650)
China	0	90,719				0	90,719	90,719
Colombia	11,461	9,938		11,461	7,047	0	2,891	2,891
Comoros	2,415	91				2,415	91	2,506
Congo	2,072	274				2,072	274	2,346
Congo Dem.Rep	3,874	638				3,874	638	4,512
Cook Islands	88	91				88	91	179
Costa Rica	6,932	1,459				6,932	1,459	8,391
Cote d'Ivoire	810	821				810	821	1,631
Croatia	0	2,735	2,735			0	0	0
Cuba	12,705	2,188				12,705	2,188	14,893
Cyprus	0	3,100			3,100	0	0	0
Czech Republic	0	9,756			9,756	0	0	0
Denmark	0	63,093			63,093	0	0	0
Djibouti	88	91				88	91	179
Dominica	0	91	24			0	67	67
Dominica Rep.	4,666	1,368				4,666	1,368	6,034
Ecuador	1,756	1,824		1,756		0	1,824	1,824
EEC	0	171,168			171,168	0	0	0
Egypt	0	5,926			5,926	0	0	0
El Salvador	0	1,094			1,094	0	0	0

Equatorial Guinea	2,415	91				2,415	91	2,506
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Eritrea	0	91	91			0	0	0
Estonia	0	1,094				0	1,094	1,094
Ethiopia	0	547				0	547	547
Fiji	0	365	365		311	0	(311)	(311)
Finland	0	49,508			49,508	0	0	0
France	0	596,742			596,742	0	0	0
Gabon	4,794	1,368				4,794	1,368	6,162
Gambia	88	91				88	91	179
Georgia	40,553	638				40,553	638	41,191
Germany	0	898,715			898,715	0	0	0
Ghana	2,853	638				2,853	638	3,491
Greece	0	32,003				0	32,003	32,003
Grenada	0	91				0	91	91
Guatemala	8,957	1,641		8,957	1,671	0	(30)	(30)
Guinea	2,211	274				2,211	274	2,485
Guinea-Bissau	1,936	91				1,936	91	2,027
Guyana	1,586	91				1,586	91	1,677
Haiti	1,490	182				1,490	182	1,672
Honduras	838	274				838	274	1,112
Hungary	0	10,941			10,941	0	0	0
Iceland	0	2,918			2,918	0	0	0
India	50	27,261				50	27,261	27,311
Indonesia	49,839	17,141		49,839		0	17,141	17,141
Iran	27,438	14,679				27,438	14,679	42,117
Ireland	0	20,423	20,373			0	50	50
Israel	0	31,911			31,911	0	0	0
Italy	0	495,720				0	495,720	495,720
Jamaica	0	547	547			0	0	0
Japan	0	1,711,675				0	1,711,675	1,711,675
Jordan	527	547				527	547	1,074

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Kazakhstan	55,573	4,376		4,979		50,594	4,376	54,970
Kenya	612	638				612	638	1,250
Kiribati	0	91	3			0	88	88
Korea, Dem. People's Rep.	18,411	1,368				18,411	1,368	19,779
Korea, Rep. of	0	91,722				0	91,722	91,722
Kyrgyzstan	5,638	547				5,638	547	6,185
Lao PDR	0	91				0	91	91
Latvia	0	1,550				0	1,550	1,550
Lebanon	25	1,459		25	1,434	(0)	25	25
Lesotho	0	182				0	182	182
Liberia	161	182				161	182	343
Libya	2,469	11,274				2,469	11,274	13,743
Liechtenstein	0	547			547	0	0	0
Lithuania	0	1,368				0	1,368	1,368
Luxembourg	0	6,200			6,200	0	0	0
Macedonia	1,291	365				1,291	365	1,656
Madagascar	0	274	285			0	(11)	(11)
Malawi	176	182				176	182	358
Malaysia	0	16,685			16,685	0	0	0
Maldives	0	91	91			0	0	0
Mali	2,180	182				2,180	182	2,362
Malta	922	1,276				922	1,276	2,198
Marshall Island	88	91				88	91	179
Mauritania	1,246	91				1,246	91	1,337
Mauritius	0	821	821			0	0	0
Mexico	33,480	90,719		33,480	6,065	0	84,654	84,654
Micronesia, Fed. States	0	91				0	91	91
Moldova, Rep. of	21,840	912		2,591		19,249	912	20,161
Monaco	0	365	21		344	0	0	0
Mongolia	0	182				0	182	182

Morocco	3,600	3,738		3,600		0	3,738	3,738
Mozambique	0	91				0	91	91
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Myanmar	0	729			729	0	0	0
Namibia	615	638		615	85	0	553	553
Nauru	2,535	91				2,535	91	2,626
Nepal	0	365	2,032			0	(1,667)	(1,667)
Netherlands	0	148,798				0	148,798	148,798
New Zealand	0	20,150	20,150			0	0	0
Nicaragua	88	91				88	91	179
Niger	2,180	182				2,180	182	2,362
Nigeria	8,380	2,918				8,380	2,918	11,298
Niue	0	91				0	91	91
Norway	0	55,617			55,617	0	0	0
Oman	0	4,650				0	4,650	4,650
Pakistan	9,899	5,379		9,899	5,899	0	(520)	(520)
Palau	88	91			91	88	0	88
Panama	0	1,185				0	1,185	1,185
Papua New Guinea	0	638				0	638	638
Paraguay	4,746	1,276				4,746	1,276	6,022
Peru	16,610	9,026				16,610	9,026	25,636
Philippines	7,113	7,385		7,113	7,385	0	0	0
Poland	0	17,870	17,870			0	0	0
Portugal	360	39,297		360	38,937	0	360	360
Qatar	0	3,009				0	3,009	3,009
Romania	0	5,106				0	5,106	5,106
Russian Federation	94,572	98,196				94,572	98,196	192,768
Rwanda	913	91				913	91	1,004
St. Kitts & Nevis	0	91	91			0	0	0
St. Lucia	168	91		168	91	0	0	0
Saint Vincent & Grenadines	1,586	91				1,586	91	1,677
Samoa	0	91				0	91	91

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
San Marino	0	182			182	0	0	0
Sao Tome Principe	88	91				88	91	179
Saudi Arabia	0	50,578				0	50,578	50,578
Senegal	36	547				36	547	583
Seychelles	0	182	1,467			0	(1,285)	(1,285)
Sierra Leone	1,915	91				1,915	91	2,006
Singapore	0	16,320	16,320			0	0	0
Slovak	0	3,191			3,191	0	0	0
Slovenia	0	5,562	5,536			0	26	26
Solomon Is.	1,936	91				1,936	91	2,027
South Africa	0	33,370				0	33,370	33,370
Spain	0	236,235			236,235	0	0	0
Sri Lanka	1,054	1,094				1,054	1,094	2,148
Sudan	3,395	638				3,395	638	4,033
Suriname	972	365				972	365	1,337
Swaziland	0	182				0	182	182
Sweden	15	98,378				15	98,378	98,393
Switzerland	0	110,778	110,778			0	0	0
Syria	0	5,835	16,983			0	(11,148)	(11,148)
Tajikistan	1,558	365				1,558	365	1,923
Togo	88	91				88	91	179
Tonga	88	91				88	91	179
Trinidad & Tobago	0	1,459	8,647			0	(7,188)	(7,188)
Tunisia	0	2,553			2,553	0	0	0
Turkey	0	40,117			40,117	0	0	0
Turkmenistan	6,980	547				6,980	547	7,527
Uganda	0	365	1,236			0	(871)	(871)
Ukraine	0	17,323				0	17,323	17,323
U. K.	0	464,082	464,082			0	0	0
United Arab Emirates	0	16,229	15,820			0	409	409

Un.Rep. of Tanzania	1,313	274		1,313	20,623	0	(20,349)	(20,349)
Uruguay	8,053	4,376				8,053	4,376	12,429
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Uzbekistan	11,963	2,279				11,963	2,279	14,242
Vanuatu	88	91		88	69	0	22	22
Venezuela	8,383	14,588				8,383	14,588	22,971
Viet Nam	0	638				0	638	638
Yemen	3,774	912				3,774	912	4,686
Zambia	196	182				196	182	378
Zimbabwe	1,510	821				1,510	821	2,331
Sub Total	1,011,391	6,911,000	871,018	141,579	2,788,621	869,812	3,251,361	4,121,173
Additional Contributions								
Canada	400,000	800,000		400,000		0	800,000	800,000
USA	0	175,000				0	175,000	175,000
Quebec	200,000	200,000		199,982		18	200,000	200,018
Grand Total	1,611,391	8,086,000	871,018	741,561	2,788,621	869,812	4,426,361	5,296,191

ANNEX VI

**LIST OF MEETINGS ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(As of 30 June 2002)**

2002		
DATES	MEETING TITLE	VENUE
9- 12 January 2002	Asian Regional Workshop on Sustainable Use of Biological Diversity	Hanoi, Vietnam
19 January 2002	African Regional Meeting on Capacity-Building for the Biosafety Clearing House	Nairobi, Kenya
21-25 January 2002	Ad-Hoc Working Group on the Interlinkages between Biological Diversity and Climate Change	Helsinki, Finland
28-30 January 2002	Workshop on Incentive Measures for the Workshop on Forests and Biological Diversity	Accra, Ghana
3 February 2002	Impacts of Genetic Use Restriction Technologies on Indigenous and Local Communities, and on Farmers' Rights in keeping with the Revision of the International Treaty on Plant Conservation for Food and Agriculture	Montreal, Canada
4-8 February 2002	Open-ended Meeting of the Ad-Hoc Intersessional Working Group on Article 8(j) and Related Provisions	Montreal, Canada
5-9 February 2002	Eastern and Central Europe Regional Meeting on the Pilot Phase of the Biosafety Clearing house.	Nitra, Slovak Republic
11-13 February 2002	Technical Expert Group Meeting on Global Strategy for Plant Conservation	Gran Canaria, Spain
18-19 February 2002	Meeting of the Bureau of the Intergovernmental Committee for the Cartagena Protocol on Biosafety	Yaounde, Cameroon
18-20 February 2001	Latin American and the Caribbean Regional Workshop on Sustainable Use of Biological Diversity	Salinas, Ecuador
19-20 February 2002	Informal Meeting on Formats, Protocols and Standards for the Improved Exchange of Biodiversity Information	Montreal , Canada
4-8 March 2002	Asian and Pacific Regional Meeting on the Pilot Phase of the Biosafety Clearing house	Beijing, China
13-15 March 2002	Technical Expert Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms , (Article 18.2b and 18.2c of the Biosafety Protocol)	Montreal , Canada

DATES	MEETING TITLE	VENUE
18-20 March 2002	Technical Experts Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2a of the Biosafety Protocol)	Montreal, Canada
18-20 March 2002	Regional Meeting for Asia and the Pacific in preparation for the Sixth Meeting of the Conference of the Parties	Bangkok, Thailand
18-22 March 2002	Regional Meeting for Latin America and the Caribbean in preparation for the Sixth Meeting of the Conference of the Parties	Kingston, Jamaica
18-22 March 2002	Ad hoc Technical Expert Group on Dry and Sub-humid Land Biodiversity	Montreal, Canada
19-21 March 2002	Regional Meeting for Africa in preparation for the Sixth Meeting of the Conference of the Parties	Nairobi, Kenya
7-19 April 2002	Sixth meeting of the Conference of the Parties to the Convention on Biological Diversity	The Hague, The Netherlands
22-26 April 2002	Third meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety	The Hague, The Netherlands
20-24 May 2002	Second Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas	Nelson, New Zealand